SKHASH: A Python Package for Computing Earthquake Focal Mechanisms v0.1 – manual last modified: 13 June 2024

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Official code repository: https://code.usgs.gov/esc/SKHASH

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Overview

SKHASH has been tested on all versions of Python that are currently supported and released (as of June 2024: 3.8, 3.9, 3.10, 3.11, & 3.12) on Linux, macOS, and Windows.

```
User inputs are provided in a control file that is passed as a command line argument: python3 SKHASH.py path to/control file.txt
```

Optionally, user inputs can also be passed via the command line with (or without) the control file: python3 SKHASH.py path_to/control_file.txt --num_cpus=8

Control File

The control file is an ordinary text file containing the user-defined variables. In the control file, variable names are preceded by a '\$' with the desired value on the following line, e.g.:

```
# Text that follows a '#' (like this line) are ignored.
$fpfile  # P-polarity input filepath
examples/hash1/IN/north1.phase.txt

$plfile  # station polarity reversal filepath
examples/hash1/IN/scsn.reverse.txt
```

The exception is for velocity model filepaths, which can be either space delimited <u>or</u> put on multiple lines, e.g.:

```
$vmodel_paths # velocity model filepaths on multiple lines
examples/velocity_models/socal.txt
examples/velocity_models/north.txt
examples/velocity_models/lab1.txt
```

Text on a line following a '#' is ignored. The input variables in the control file can be listed in any order. If a user input is not provided in the control file or passed via the command line, the default value (described below) is used.

File Formats

This manual principally describes the flexible 'SKHASH' file formats, and the use of this format is the default. To maintain backwards compatibility, SKHASH also accepts file formats for all previous HASH v1.2 drivers. For file formats using these HASH 1-5 drivers, refer to the HASH v1.2 manual.

When using the SKHASH formats, provide a comma separated file with column names. Any lines that start with a '#' are treated as comments and are ignored. The columns that are required depend on how you would like to compute the mechanisms, and these requirements are described below.

Examples

Included with the code are a handful of examples of how to use SKHASH. All examples are found in the SKHASH/examples/ folder. A brief description of each of these examples are provided here.

smile

A synthetic example in the shape of a friendly face. *P*-wave polarities and *S/P* ratios are provided in the SKHASH format. The source-receiver azimuths and takeoff angles are precomputed. Quality control output files are created along with an automatic beachball plot.

maacama

Composite mechanisms for two earthquake families near the Maacama Fault in Northern California. *P*-wave polarity weights were computed using correlation consensus. Earthquake hypocentral information is provided in the polarity file rather than a separate catalog file. Using the station metadata, source-receiver azimuths and takeoff angles are computed.

quakeml

Producing a focal mechanism for an <u>earthquake</u> in Oregon with the phase file is in the 'quakeml' format. The automatic beachball plotting is demonstrated, showing multiple potential solutions can match the polarity observations.

hash1

The remaining five examples come directly from HASH, demonstrating the backwards compatibility of our code. Each example contains 24 mechanisms from the Northridge, California, sequence. See the HASH v1.2 manual for information about the file formats.

P-polarities with precomputed source-receiver azimuths and takeoff angles. File formats follow the hash driver1.f example.

hash2

P-polarities and station metadata are provided. The source-receiver azimuths and takeoff angles are computed. File formats follow the hash_driver2.f example.

hash3

P-polarities, *S/P* ratios, and station metadata are provided. The source-receiver azimuths and takeoff angles are computed. File formats follow the hash_driver3.f example.

hash4

P-polarities and station metadata are provided similar to hash2, but using an updated SCEDC file format that includes five character station codes. The source-receiver azimuths and takeoff angles are computed. File formats follow the hash_driver4.f example.

hash5

P-polarities are provided similar to hash2, but using precomputed source-receiver azimuths and takeoff angles determined with SIMULPS 3D ray-tracing format file. File formats follow the hash_driver5.f example.

SKHASH File Format

Polarity File(s) [\$fpfile, \$impfile, \$conpfile, \$dlpfile]

Files containing traditional (\$fpfile), imputed (\$impfile), consensus (\$conpfile), and deep-learning (\$dlpfile) *P*-polarity measurements.

Always required:

event_id: The earthquake event identifier. Measurements with the same event_id are used to produce a unique mechanism.

p_polarity: weight of the polarity measurement. +: up, -: down. Float within [-1, 1]

Required by default:

network: Network code. To ignore, set <code>\$require_network_match=False</code> station: Station code. To ignore, set <code>\$require_station_match=False</code> location: Location code. To ignore, set <code>\$require_location_match=False</code> channel: Channel code. To ignore, set <code>\$require_channel</code> match=False

If computing takeoff angles and source-receiver azimuths*:

origin latitude: Latitude of earthquake.

origin_longitude: Longitude of earthquake.

origin depth km: Depth of earthquake in km.

horz_uncert_km: Optional. Horizontal hypocentral uncertainty in km.

vert uncert km: Optional. Vertical hypocentral uncertainty in km.

*This information can be given in a catalog file (\$catfile) instead.

If using precomputed takeoff and source-receiver azimuths:

takeoff: Takeoff angle, degrees from vertical. (<90: upgoing, >90: downgoing).

takeoff_uncertainty: Optional. Takeoff angle uncertainty in degrees.

azimuth: Source-receiver azimuth.

azimuth uncertainty: Optional. Source-receiver azimuth uncertainty in degrees.

sr dist km: Optional. Source-receiver distance in km.

If computing composite mechanisms:

event id2: Represents the earthquake event identifier that the measurement was made on.

The first few lines from an example polarity file:

```
event id, station, network, location, channel, p polarity
123456,
         ST000,
                                      EHZ,
                                               1.0
                  XX,
                           --,
                           --,
123456,
         ST001,
                                      EHZ,
                                               -1.0
                  XX,
                           --,
123456.
         ST002,
                  XX,
                                      EHZ,
                                                0.5
```

The first few lines from an example polarity file with precomputed takeoffs and azimuths:

```
event id, station, network, location, channel, p polarity, takeoff, azimuth
                  XX,
                           --,
123456,
         ST000,
                                    EHZ,
                                              \overline{1}.0, 115.0,
                                                                   105.0
                           --,
                                              -1.0,
123456,
                                     EHZ,
         ST001.
                  XX,
                                                         115.0,
                                                                   110.3
                                                         115.0,
123456.
         ST002,
                  XX,
                                     EHZ.
                                              0.5,
                                                                   115.6
```

The first few lines from an example polarity file to compute composite mechanisms, in addition to providing hypocentral information:

```
event_id,event_id2,station,location,channel,p_polarity,origin_latitude,origin_longitude,origin_depth_km
       123456, ST000,
                                   EHZ, 0.02566, 39.36743,
                                                                -123.25380,
                                                                                    7.14200
1.
                         --,
        123456,
                 ST001,
                                   DPZ,
                                          -0.00193,
                                                     39.36743,
                                                                    -123.25380.
                                                                                     7.14200
                                          0.00002, 39.36743,
        123456.
                 ST002.
                          01,
                                   EHZ.
                                                                    -123.25380,
                                                                                     7.14200
```

S/P File(s) [\$ampfile, \$relampfile]

Files containing traditional (\$ampfile) and relative (\$relampfile) S/P ratio measurements.

Always required:

event_id: Earthquake event identifier. Measurements with the same event_id are used to produce a unique mechanism.

Required by default:

network: Network code. To ignore, set <code>\$require_network_match=False</code> station: Station code. To ignore, set <code>\$require_station_match=False</code> location: Location code. To ignore, set <code>\$require_location_match=False</code> channel: Channel code. To ignore, set <code>\$require_channel_match=False</code>

If computing *S/P* ratios:

noise_p: Noise level prior to the *P*-wave window noise_s: Noise level prior to the *S*-wave window amp_p: *P*-amplitude amp_s: *S*-amplitude

If using pre-computed *S/P* ratios:

sp ratio: Your pre-computed *S/P* ratio.

If computing takeoff angles and source-receiver azimuths*:

origin_latitude: Latitude of earthquake.
origin_longitude: Longitude of earthquake.
origin_depth_km: Depth of earthquake in km.
horz_uncert_km: Optional. Horizontal hypocentral uncertainty in km.
vert_uncert_km: Optional. Vertical hypocentral uncertainty in km.
*This information can be given in a catalog file (\$catfile) instead.

If using precomputed takeoff and source-receiver azimuths:

takeoff: Takeoff angle, degrees from vertical. (<90: upgoing, >90, downgoing). azimuth: Source-receiver azimuth. takeoff_uncertainty: Optional. Takeoff angle uncertainty in degrees. azimuth uncertainty: Optional. Source-receiver azimuth uncertainty in degrees.

sr dist km: Optional. Source-receiver distance in km.

Station Corrections [\$corfile]

When using *S/P* ratios, you may wish to include station corrections.

Always required:

sta_correction: The station correction, $log_{10}(S/P)$.

Required by default:

network: Network code. To ignore, set <code>\$require_network_match=False</code> station: Station code. To ignore, set <code>\$require_station_match=False</code> location: Location code. To ignore, set <code>\$require_location_match=False</code> channel: Channel code. To ignore, set <code>\$require_channel_match=False</code>

Station File [\$stfile]

File containing the station locations. The station file is required whenever computing takeoff angles and source-receiver azimuths.

Always required:

latitude: Station latitude. longitude: Station longitude.

elevation: Station elevation in meters.

Required by default:

network: Network code. To ignore, set <code>\$require_network_match=False</code> station: Station code. To ignore, set <code>\$require_station_match=False</code> location: Location code. To ignore, set <code>\$require_location_match=False</code> channel: Channel code. To ignore, set <code>\$require_channel match=False</code>

Polarity Reversal File [\$plfile]

File containing information about stations with reversed polarities (e.g., up appears as down). If there are no reversed stations, this file is not needed.

Required:

start_time: Start of the reversal window at the given station, string (e.g., "1990-04-01") end time: End of the reversal window at the given station, string (e.g., "1991-11-01")

Required by default:

network: Network code. To ignore, set <code>\$require_network_match=False</code> station: Station code. To ignore, set <code>\$require_station_match=False</code> location: Location code. To ignore, set <code>\$require_location_match=False</code> channel: Channel code. To ignore, set <code>\$require_channel_match=False</code>

Catalog File [\$catfile]

Rather than providing information about hypocentral locations in the above P-polarity and S/P files, they can be specified in the catalog file.

Required:

event_id: Earthquake event identifier. time: Origin time of the earthquake latitude: Latitude of the hypocenter. longitude: Longitude of the hypocenter.

depth: Depth of the hypocenter.

Optional:

horz_uncert_km: Horizontal hypocentral uncertainty in km. vert uncert km: Vertical hypocentral uncertainty in km.

Velocity model file format

Each velocity model should be in a separate file. Each velocity model file is a comma delimited file following the format:

Depth(km), Vp(km/s)

Any lines that begin with a '#' are treated as comment lines and are ignored. An example velocity model file:

```
# Comment line in the velocity model file
# Depth(km), Vp(km/s)
0.0, 4.02
1.0, 4.28
3.0, 4.79
4.0, 5.04
10.0, 5.92
20.0, 6.78
```

Mechanism Quality

All computed mechanism solutions are assigned a quality letter grade. These qualitative grades can be useful as a quick means to convey the uncertainty of the mechanism solutions. The qualities here are similar to those introduced in HASH with the biggest difference being the ability to compute uncertainties for very low-quality mechanisms; previously, low-quality mechanisms were not computed and reported as either 'E' and 'F' qualities. Especially for low quality mechanism solutions, special care should be taken when interpreting the solution qualities, and all the quality criteria rather than solely the qualitative grade should be considered.

Quality grade	Average misfit	RMS plane uncertainty	Station distribution ratio	Mechanism probability		
· A ?	< 0.15			-		
'A'	≤ 0.15	≤ 25°	≥ 0.5	≥ 0.8		
'B'	\leq 0.20	≤ 35°	≥ 0.4	≥ 0.6		
'С'	\leq 0.30	≤ 45°	≥ 0.3	≥ 0.7		
'D'	> 0.30	> 45°	< 0.3	> 0		

Variables

Variables are declared in your control file and change how the program is run. While not recommended, default values can be overwritten by changing the values near the top of the SKHASH.py file.

File Formats

Tells the program how to read your input files. If you are using the 'SKHASH' format, none of these file format variables are needed.

```
$input format (default: skhash)
```

The general format of your input files. All other files will be read using this format unless you specify a different file type for the individual file (e.g., input_format_fpfile) that are listed below. Possible values:

skhash, ncsn, hypoinverse, hash1, hash2, hash3, hash4, hash5.

The following variables are only needed if they differ from your \$input format.

```
$input_format_fpfile: Traditional P-polarity file format.
$input_format_impfile: Imputed P-polarity file format.
$input_format_conpfile: Consensus P-polarity file format.
$input_format_dlpfile: Deep-learning P-polarity file format.
$input_format_plfile: P-polarity reversal file format.
$input_format_ampfile: S- and P-wave amplitude input file format.
$input_format_relampfile: Relative S/P ratio file format.
$input_format_stfile: Station list file format.
```

Acceptable input formats		File Format							
for the different file types		skhash	ncsn	hypoinverse	hash1	hash2	hash3	hash4	hash5
	<pre>input_format_fpfile</pre>	X	X	X	X	X	X	X	X
Je	<pre>input_format_impfile</pre>	X	X	X	X	X	X	X	X
Variable Name	<pre>input_format_conpfile</pre>	X	X	X	X	X	X	X	X
	<pre>input_format_dlpfile</pre>	X	X	X	X	X	X	X	X
	<pre>input_format_plfile</pre>	X			X	X	X	X	X
ari	<pre>input_format_ampfile</pre>	X					X		
	<pre>input_format_relampfile</pre>	X					X		
	<pre>input_format_stfile</pre>	X		X		X	X	X	

Input File Paths

The following variables will point SKHASH to the files that it needs to run. All values should be a filepath to the corresponding file. For example:

```
$catfile
PATH TO/catfile.txt
```

Metadata:

\$catfile : Earthquake catalog information file

\$stfile : Station list file

\$plfile : Station polarity reversal file

P-polarities:

\$fpfile: P-polarity input file

```
$impfile: Imputed P-polarity input file
$conpfile : Consensus P-polarity input file
$dlpfile: Deep-learning P-polarity input file
S/P Ratios:
$ampfile : Amplitude input file
$corfile: Station correction file
$relampfile : Relative S/P ratios input file
SIMULPS File (for HASH5 format):
```

\$simulpsfile: SIMULPS file containing azimuth and takeoff angles.

Output File Paths

The following variables will determine the type of output files that are produced and where to save them. All values should be a filepath to the corresponding file. For example:

```
$outfile1
PATH TO/outfile1.txt
$outfile1 : Path to focal mechanisms output file
$outfile2 : Path to acceptable plane output file
$outfile pol agree: Path to record of polarity (dis)agreement output file
$outfile sp agree : Path to record of S/P difference output file
Soutfile pol info: Path to record of all polarities considered in the mechanisms along with
information (station lat/lon, avg takeoff angles, avg source-receiver azimuths, etc.) file
Soutfolder plots: Path to folder where simple focal mechanism plots will be created following
the file structure of: outfolder plots/event id.png. To ignore, leave blank and no plots will be generated.
```

Direct Program Controls

The following variables control specific numerical aspects of how SKHASH will generate focal mechanisms.

```
$npolmin
Minimum number of polarity data (integer value)
Default value:
   8
$nmc
Number of trials (integer value)
Default value:
   30
$maxout
Maximum number of focal mechanisms outputted (integer value)
Default value:
   500
$ratmin
```

Minimum allowed signal-to-noise ratio (float).

Default value:

3

\$badfrac

Fraction of *P*-polarities assumed to be incorrect (float).

Default value:

0.1

\$badmin

Minimum number of P-polarities per event assumed to be incorrect. If $\beta = [(sum of polarity weights)*\beta = (float)]$, then $\beta = (float)$.

Default value:

2.0

\$qbadfrac

Assumed noise in S/P amplitude ratios, \log_{10} (e.g. 0.3 for a factor of 2) (float). Default value:

0.3

\$qbadmin

Minimum S/P amplitude misfit allowed per event. If \$qbadmin > [(sum of number of S/P measurements)*\$qbadfrac], then \$qbadmin is used instead of \$qbadfrac (float). Default value:

2.0

\$min polarity weight

Any polarities with abs(weight) < min_polarity_weight will be ignored (float). Default value:

0.1

\$delmin

Minimum allowed source-station distance in km; if delmin ≤ 0 , all results $\geq look_del[0]$ distance are used (float).

Default value:

0

\$delmax

Maximum allowed source-station distance in km; if delmax ≥ 0 , all results $\leq look_del[1]$ distance are used (float).

Default value:

0

\$azmax

Maximum allowed source-station azimuth uncertainty in degrees. To use all results regardless of azimuthal uncertainty, set azmax to 0 (float).

Default value:

10

\$pmax

Maximum allowed takeoff ("plungal") uncertainty in degrees. To use all results regardless of takeoff uncertainty, set pmax to 0 (float).

Default value:

0

\$cangle

Angle in degrees for computing focal mechanism probability (float).

Default value:

45

\$prob max

Probability threshold for multiples (float).

Default value:

0.2

\$max agap

Maximum azimuthal gap between stations in degrees (float).

Default value:

90

\$max pgap

Maximum "plungal" gap between stations in degrees (float).

Default value:

60

\$vmodel paths

List of paths to velocity models, delimited by whitespace or line breaks (string).

PATH TO/vmodel1.txt PATH TO/vmodel2.txt

Additional Parameters

The following variables control error flags, quality-of-life features, and other functions.

\$plot station names

If creating plots (see <code>\$outfolder_plots</code>), this will plot station names on the beachball near their corresponding polarities (boolean).

Default value:

False

\$plot acceptable solutions

If creating plots (see <code>\$outfolder_plots</code>), this will plot all acceptable nodal fault plane solutions on the beachball (boolean).

Default value:

False

\$plot mult solutions

If creating plots (see <code>\$outfolder_plots</code>), this will plot all the average solutions on the beachball (boolean).

Default value:

False

\$write lookup table

If set to True, the lookup table will be saved so that it can be used in future runs. A ".npy" file extension will be added to the vmodel_paths (boolean).

Default value:

False

\$recompute lookup table

If a lookup table with an identical filename already exists, SKHASH will remake it (boolean). Default value:

True

\$require temporal match

When determining the station information for a given pick, SKHASH requires the reported earthquake to occur between the station metadata start-end time (boolean).

Default value:

False

\$require_network_match

When determining the station information for a given pick, SKHASH requires the reported pick network to match the metadata (boolean).

Default value:

True

\$require station match

When determining the station information for a given pick, SKHASH requires the reported pick station to match the metadata (boolean).

Default value:

True

\$require channel match

When determining the station information for a given pick, SKHASH requires the reported pick channel to match the metadata (boolean).

Default value:

True

\$allow duplicate stations

Allows an event_id to have multiple polarities and *S/P* ratios from the same receiver (boolean). Default value:

False

\$remove duplicate stations

If an event_id has multiple polarities or S/P ratios from the same receiver, removes the duplicates (boolean).

Default value:

False

\$iterative avg

If set to True, SKHASH will iteratively compute the average mech by removing the solution furthest from the average following HASH. This is significantly slower than the SKHASH approach (boolean). Default value:

False

\$min quality report

Only mechanism qualities that are better than or equal to min_quality_report will be reported. To return all accepted solutions regardless of quality, leave blank (string).

Possible values:

A,B,C,D

\$overwrite output file

If set to True, SKHASH will overwrite any preexisting output files (boolean).

Default value:

True

\$perturb epicentral location

If True, SKHASH will randomly perturb the horizontal location of the earthquakes (boolean). Default value:

False

\$ignore_missing_metadata

If set to True, any measurements with missing metadata will be ignored. If set to False, an error will be raised (boolean).

Default value:

False

\$use fortran

If set to True, SKHASH will attempt to load the Fortran grid search subroutine. If it cannot load or is False, the Python routine will be used instead (boolean).

Default value:

False

\$num cpus

Number of cores to run things in parallel. Additionally, you may set this to '0' to use all available cores, or '1' to run in serial (integer).

Default value:

1

\$dang

Minimum grid spacing in degrees

Default value:

5

\$nx0

Maximum source-station distance bins for lookup tables Default value:

101

SndO

Maximum source depth bins for lookup tables

Default value:

14

\$look dep

Minimum source depth, maximum, and interval for the lookup table Default value:

[0, 39, 3]

\$look del

Minimum source-station distance, maximum, and interval for the lookup table Default value:

```
[0, 200, 2]
```

\$allow hypocenters outside table

If set to True, then the minimum/maximum lookup table depth is used for hypocenters that fall outside of the lookup table range. If set to False, an error will be produced.

Default value:

False

\$nump

Number of rays traced

Default value: 9000

\$min sp

If any user-provided S/P ratios are less than min_sp after applying station corrections, those values are replaced with min_sp. To ignore, use a value ≤ 0 .

Default value:

0.0005

\$max sp

If any user-provided S/P ratios are greater than max_sp after applying station corrections, those values are replaced with max_sp. To ignore, use a value ≤ 0 .

Default value:

2000

\$min amp

Minimum amplitude [0-1] considered when calculating expected P and S amplitudes. Values $< \min_{a} p$ are treated as 0. To ignore, use a value ≤ 0 .

Default value:

0.0005

\$epicenter degree precision

Number of decimal places to round lat, lon epicenter coordinates.

Default value:

6

\$epicenter degree precision

Number of decimal places to round lat, lon epicenter coordinates.

Default value:

6

\$output angle precision

Number of decimal places to output for angles (e.g., strike, dip, rake, takeoff) Default value:

1au

\$output quality precision

Number of decimal places to output for mechanism qualities (e.g., prob, mfrac, mavg, stdr) Default value:

1

\$output km distance precision

Number of decimal places to output for source-receiver distances (reported in km) Default value:

3

\$output vector precision

Number of decimal places to output for normal vectors

Default value:

4

\$default_vert_uncert_km

If the vertical uncertainty for an earthquake is missing or negative, this value is used instead when perturbing the source depth.

Default value:

1

\$default horz uncert km

If the horizontal uncertainty for an earthquake is missing or negative, this value is used instead when perturbing the source depth.

Default value:

1

'NCSN' or 'Hypoinverse' File Format

A Northern California Seismic Network phase/polarity file in Hypoinverse format obtained directly from the Northern California Earthquake Data Center can also be read. For information on this file format, refer to the Shadow 2000 document.

The assigned weight code for a phase arrival is used to determine the weight of the polarity measurement (column 17). The weights are identical to the station arrival time weighting. The weight code: absolute value of the polarity weight is:

Weight Code	abs(weight)
0	1.0
1	0.5
2	0.2
3	0.1
≥4	0.0

When using the 'NCSN' or 'Hypoinverse' format for *P*-polarities, all other files formats will default to the 'SKHASH' format described above.

If you are reading in NCSN polarities, be sure to specify to add the following lines somewhere in your control file:

```
$input_format_fpfile
ncsn
```