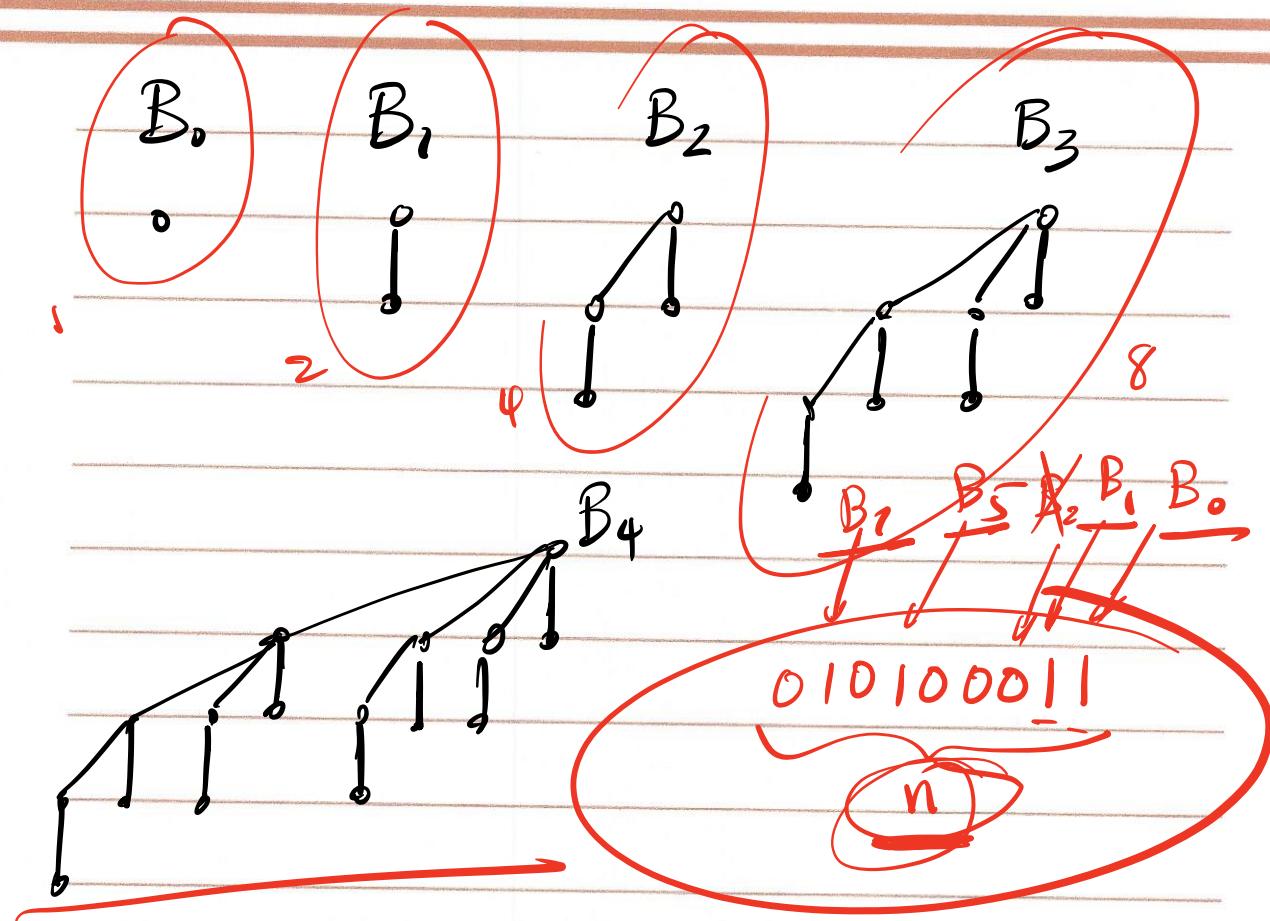


Heaps (cont'd)

Def. A binomial tree B_k is an ordered tree defined recursively

• Binomial tree B_0 consists of one node

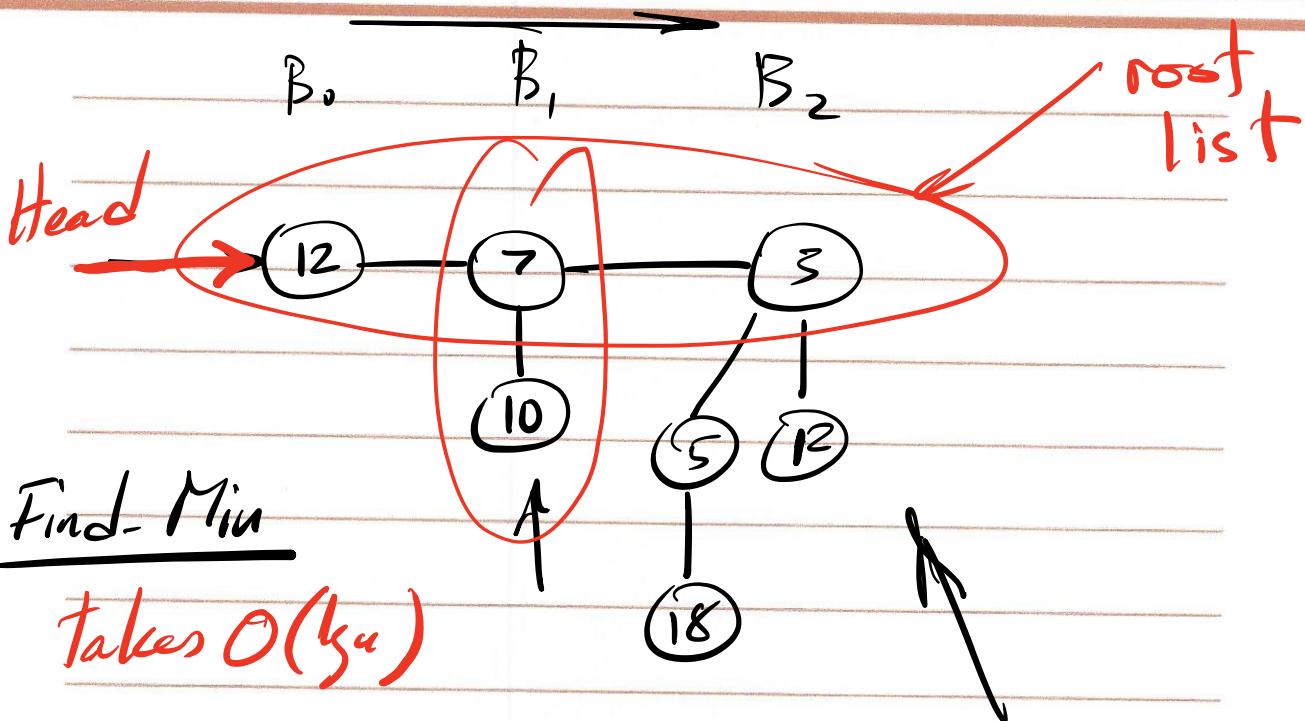
• Binomial tree B_k consists of 2 binomial trees B_{k-1} that are linked together such that root of one is the leftmost child of the root of the other.



Def: A binomial Heap H is a set of binomial trees that satisfies the following properties:

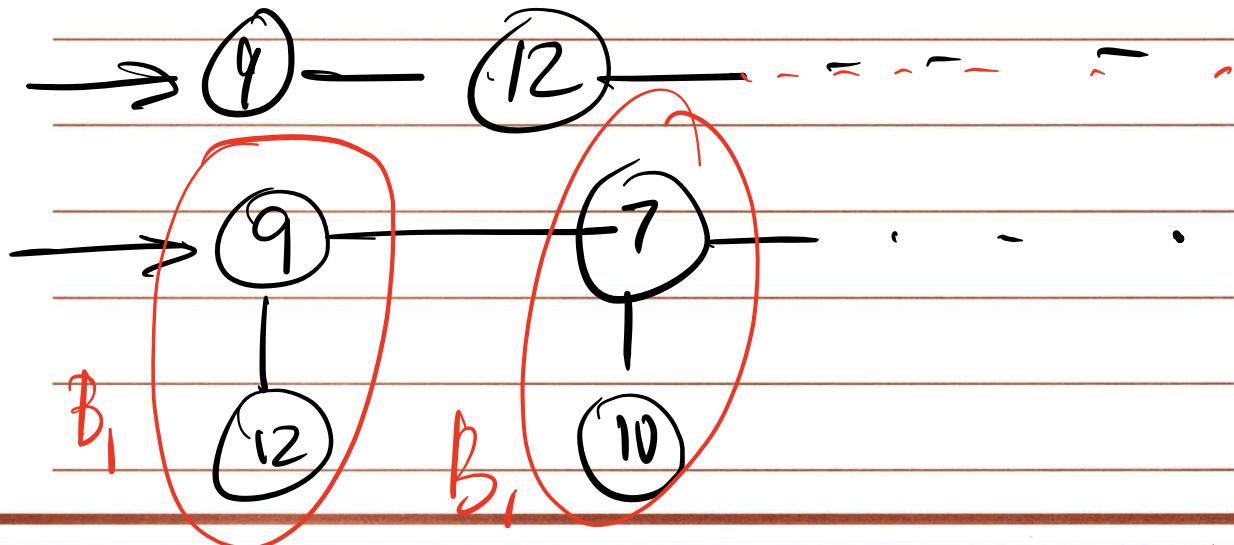
1- Each binomial tree in H obeys the Min-heap property

2- For any non-negative integer k , there is at most one binomial tree in H whose root has degree k .

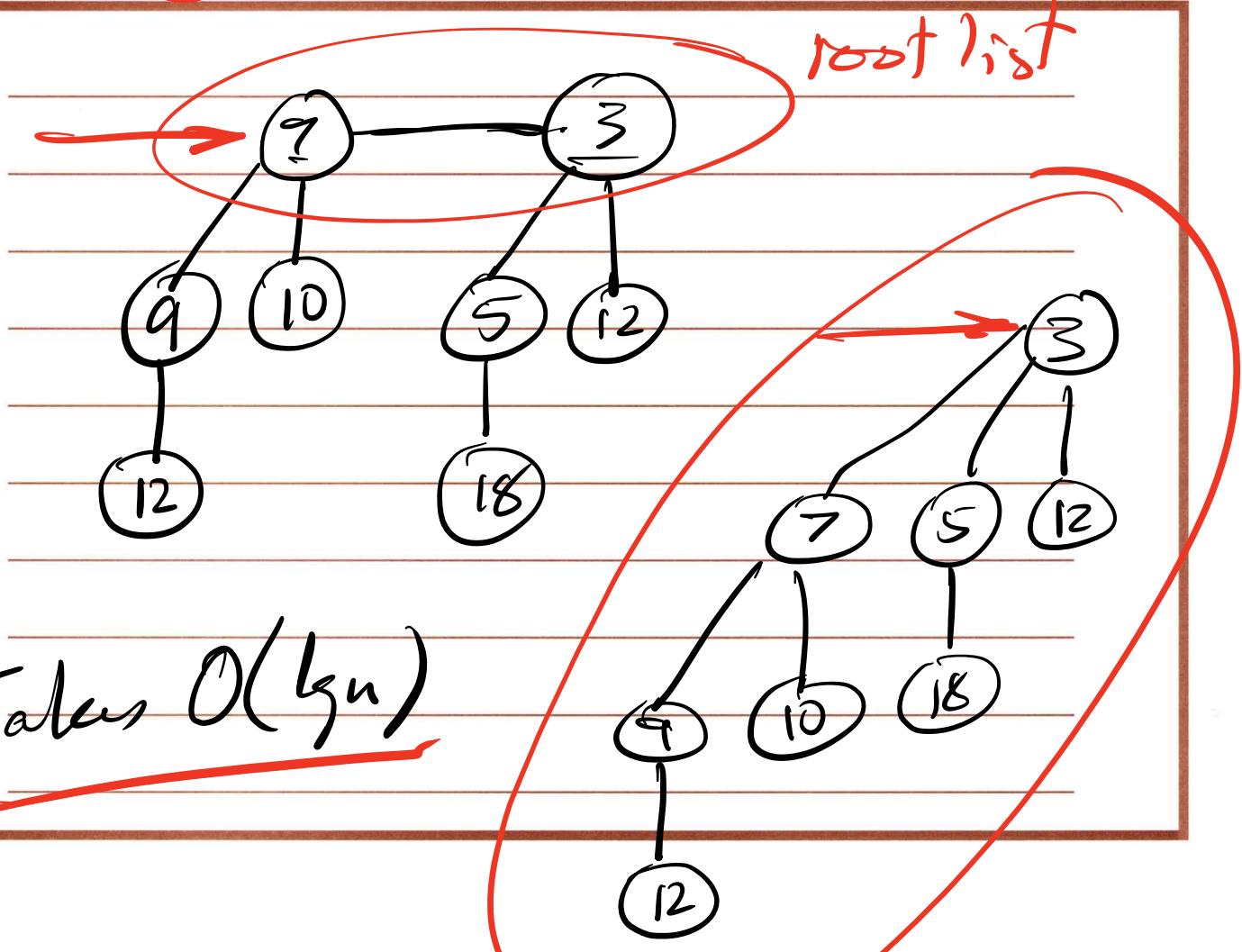


insert

insert 9



root list



Takes $O(\lg n)$

Binomial tree Costs

Find Min

insert

Extract_Min

Decrease-key

Delete

Merge

Construction

} $O(\lg(n))$

$O(n)$

Amortized Cost Analysis

Ex. 1

```
for i = 1 to n  
    push or Pop  
end for
```

push takes $O(1)$
Pop takes $O(1)$

Our worst case run time complexity = $O(n)$

Ex. 2

```
for i = 1 to n  
    push or pop or multipops  
end for
```

push takes $O(1)$ worst-case
pop takes $O(1)$ worst-case
multipop takes $O(n)$ worst-case

Our worst case run time complexity = $O(n^2)$

Aggregate Analysis

- We show that a sequence of n operations (for all n) takes worst-case time $T(n)$ total.

- So, in the worst case, the amortized cost (average cost) per operation will be $T(n)/n$

observation: Multi-pop takes $O(n)$ time if there are n elements pushed on the stack

A sequence of n pushes takes $O(n)$
multi-pop takes $O(n)$ worst-case

$$T(n) = O(n)$$

when amortized over n operations,

$$\text{average cost of an operation} = \frac{O(n)}{n} = O(1)$$

Ex. 2

for $i = 1$ to n
 $O(1)$ push or pop or multi-pop
 $O(1)$
end for

push takes $O(1)$ amortized
pop " "
multi-pop " "

our worst case runtime complexity = $\Theta(n)$

Accounting Method

- We assign different charges (amortized costs) to different operations
- If the charge for an operation exceeds its actual cost, the excess is stored as credit.
- The credit can later help pay for operations whose actual cost is higher than their amortized cost.
- Total credit at any time = total amortized cost - total actual cost
 - ^Credit can never be negative.

Ex. 2

for $i = 1$ to n
 push or pop* or multipop
 end for

try #1 assign a ~~charge~~ cost of 1 to each operation

<u>OP.</u>	<u>charge</u>	<u>Actual Cost</u>	<u>tot/credit</u>
push	1	1	0
push	1	1	0
multipop	1	2	-1

try #2

assign charges as follows:

Push 2 $\rightarrow O(1)$

Pop 0 $\rightarrow O(1)$

Multipop 0 $\rightarrow O(1)$

<u>OP.</u>	<u>charge</u>	<u>Actual Cost</u>	<u>tot/credit</u>
push	2	1	1
push	2	1	2
multipop	0	2	0

Ex. 2

for $i = 0$ to n

push or pop or multipop
end for

Amortized cost

push

$O(1)$

pop

$O(1)$

multipop

$O(1)$

worst-case runtime complexity = $O(n)$

- Fibonacci heaps are loosely based on binomial heaps.

- A Fibonacci heap is a collection of min-heaps trees similar to Binomial heaps, however, trees in a Fibonacci heap are not constrained to be binomial trees. Also, unlike binomial heaps, trees in Fibonacci heaps are not ordered.

- Link to Fibonacci heaps animations:

[www.cs.usfca.edu/ngalles/JavascriptVisual/
FibonacciHeap.htm](http://www.cs.usfca.edu/ngalles/JavascriptVisual/FibonacciHeap.htm)

Amortized Costs

Binary Heap Binomial Heap Fibonacci Heap

Find-Min

Insert

Extract-Min

Delete

Decrease-Key

Merge

Construct

$O(1)$

$O(\lg n)$

"

"

"

$O(n)$

$O(n)$

$O(\lg n)$

"

"

"

$O(n)$

$O(1)$

$O(1)$

$O(\lg n)$

$O(\lg n)$

$O(1)$

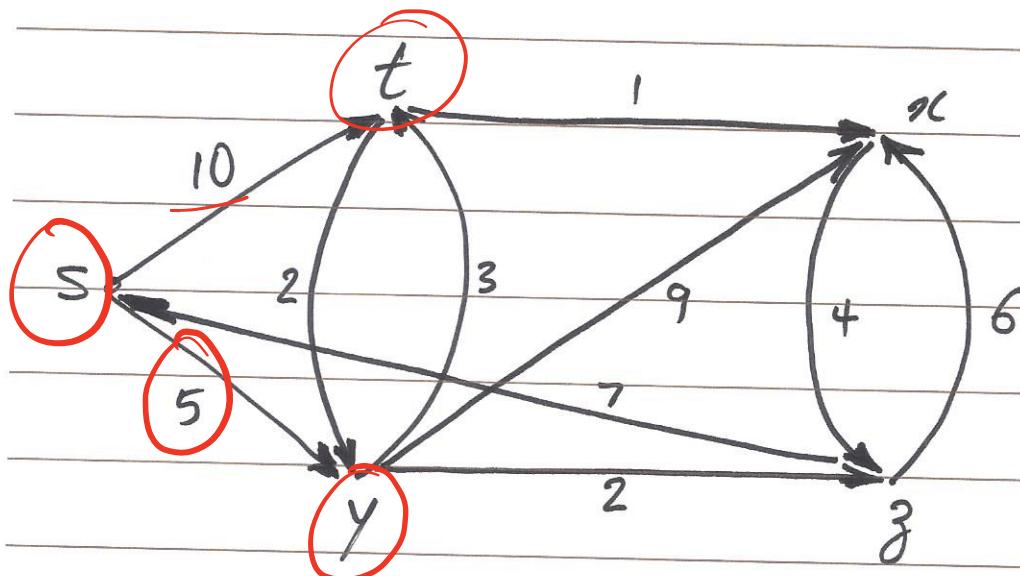
$O(1)$

$O(n)$

Shortest Path

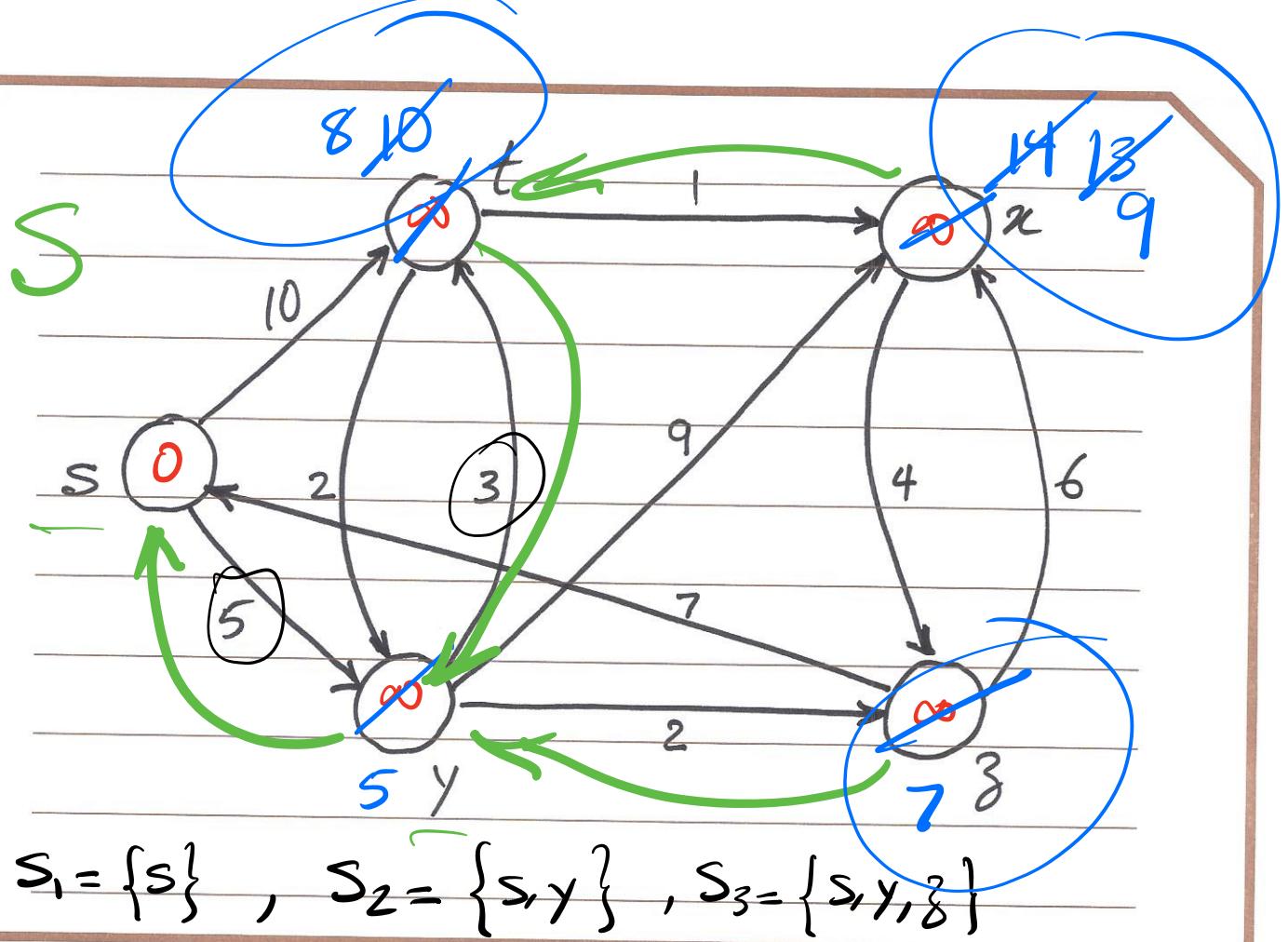
Problem Statement:

Given $G = (V, E)$ with $w(u, v) \geq 0$
for each edge $(u, v) \in E$, find the
shortest path from $s \in V$ to $V - S$.



Solution

1. Start with a set S of vertices whose final shortest path we already know.
2. At each step, find a vertex $v \in V - S$ with shortest distance from S .
3. Add v to S , and repeat.



$$S_4 = \{s, y, z, t\} \quad , \quad S_5 = \{s, y, z, t, x\}$$

Dijkstra's
Alg.

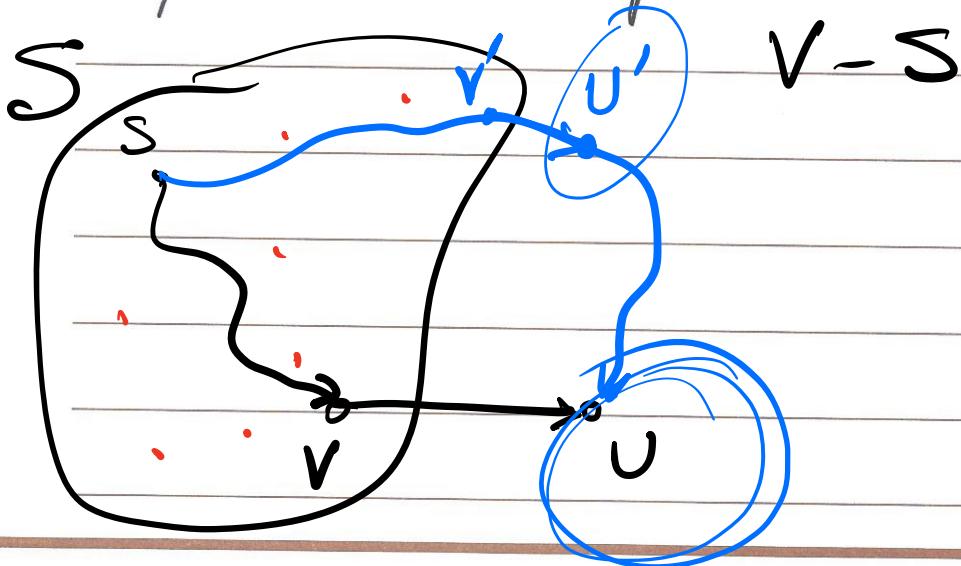
Proof of Correctness

We will prove that at each step, Dijkstra's algorithm finds the shortest path to a new node in the graph.

Proof by mathematical induction:

Base Case: $|S|=1$, $S = \{s\}$ and $d(s) = 0$

Inductive Step: Suppose the claim holds when $|S|=k$ for some $k \geq 1$. We now grow S to size $k+1$ and prove that we have found the shortest path to the new node.



Implementation of Dijkstra's

Initially $S = \{s\}$ and $d(s) = 0$
for all other nodes $d(v) = \infty$

While $S \neq V$

Select a node $v \notin S$ with at least
one edge from S for which
 $d(v) = \min_{e(u,v): u \in S} (d(u) + \text{le})$

Add v to S

endwhile

More Detailed Implementation of Dijkstra's

$S = \text{Null}$

Initialize priority Queue Q with all nodes V where $d(v)$ is the key value.
(All $d(v)$'s are $= \infty$, except for s where $d(s) = 0$)

While $S \neq V$

$\rightarrow v = \text{Extract-Min}(Q)$

$S = S \cup \{v\}$

 for each vertex $u \in \text{Adj}(v)$

 if $d(u) > d(v) + l_e$:

 Decrease-Key($Q, u, d(v) + l_e$)

 end for

end while

$O(n^2)$ Decreasekey op's

can be reduced to $O(n)$

Complexity Analysis

- Initialize Priority Queue $O(n)$

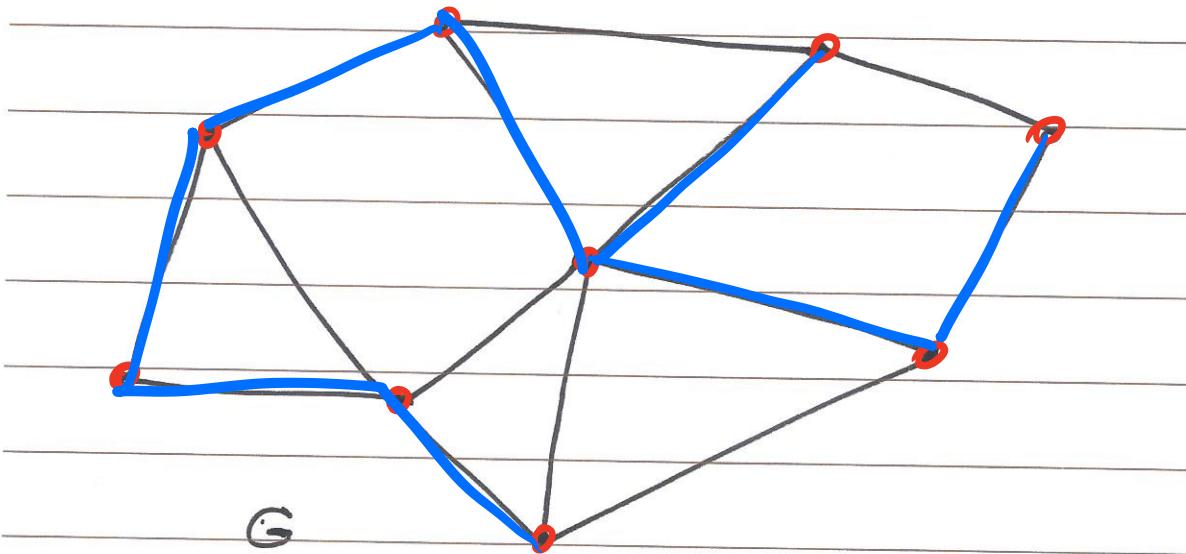
- Max. no. of Extract-Min op's : $O(n)$

- Max. no. of Decrease-key op's : $O(m)$

	Binary Heap	Binomial Heap	Fibonacci Heap
n. Extract-Min's	$O(n \lg n)$	$O(n \lg n)$	$O(n \lg n)$
m. Decrease-keys	$O(m \lg n)$	$O(m \lg n)$	$O(m)$
<u>Total</u>	$O(m \lg n)$	$O(m \lg n)$	$O(m + n \lg n)$
For Sparse graphs	$O(n \lg n)$	$O(n \lg n)$	$O(n \lg n)$
For Dense graphs	$O(n^2 \lg n)$	$O(n^2 \lg n)$	$O(n^2)$

Problem Statement

Find minimum cost network that connects all nodes in G .



Def. Any tree that covers all nodes of a graph is called a spanning tree.

Def. A spanning tree with minimum total edge cost is a minimum spanning tree. (MST)

Problem Statement

Find a MST in an undirected graph

Sol. 1: Sort all edges in increasing order of cost. Add edges to T in this order as long as it does not create a cycle. If it does, discard the edge.

~~Kruskal's Alg.~~

Sol. 2: Similar to Dijkstra's algorithm, start with a node set S (initially the root node) on which a minimum spanning tree has been constructed so far. At each step, grow S by one node, adding the node v that minimizes the attachment cost.

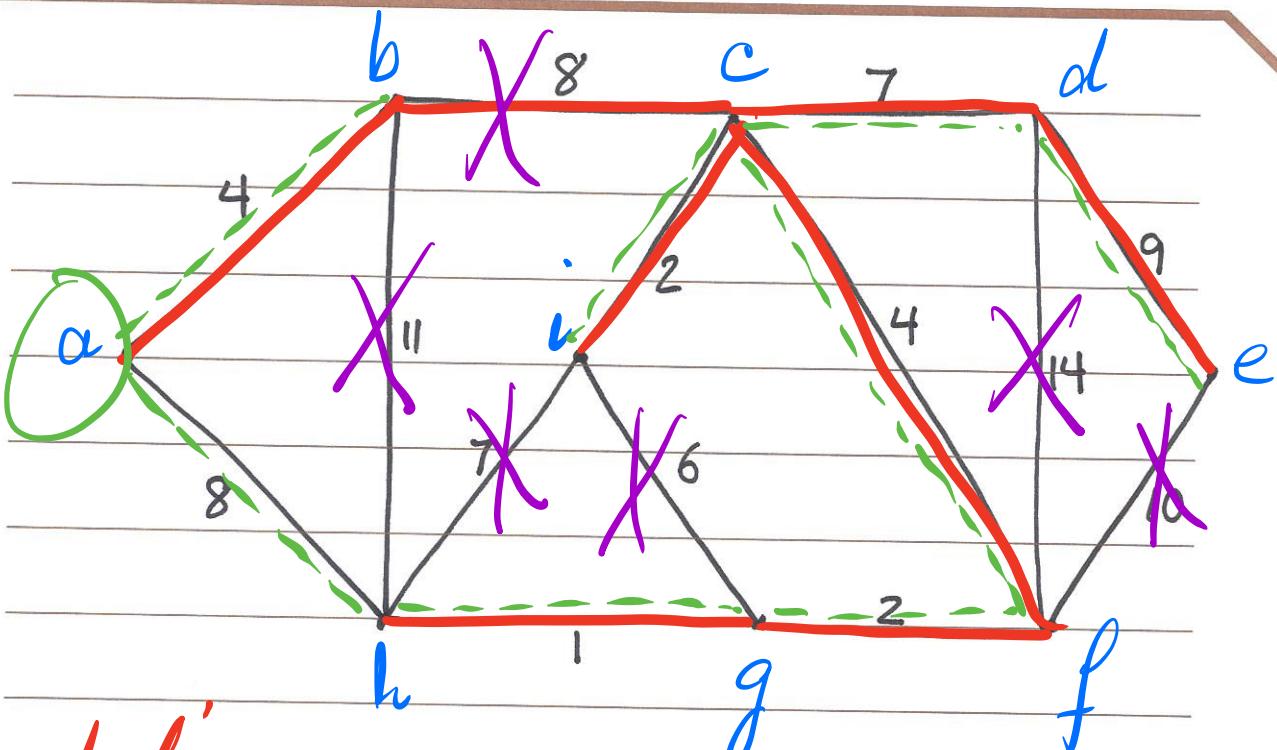
~~Prim's Alg.~~

Sol. 3: Backward version of Kruskal's.

Start with a full graph (V, E).

Begin deleting edges in order
of decreasing cost as long as
it does not disconnect the graph

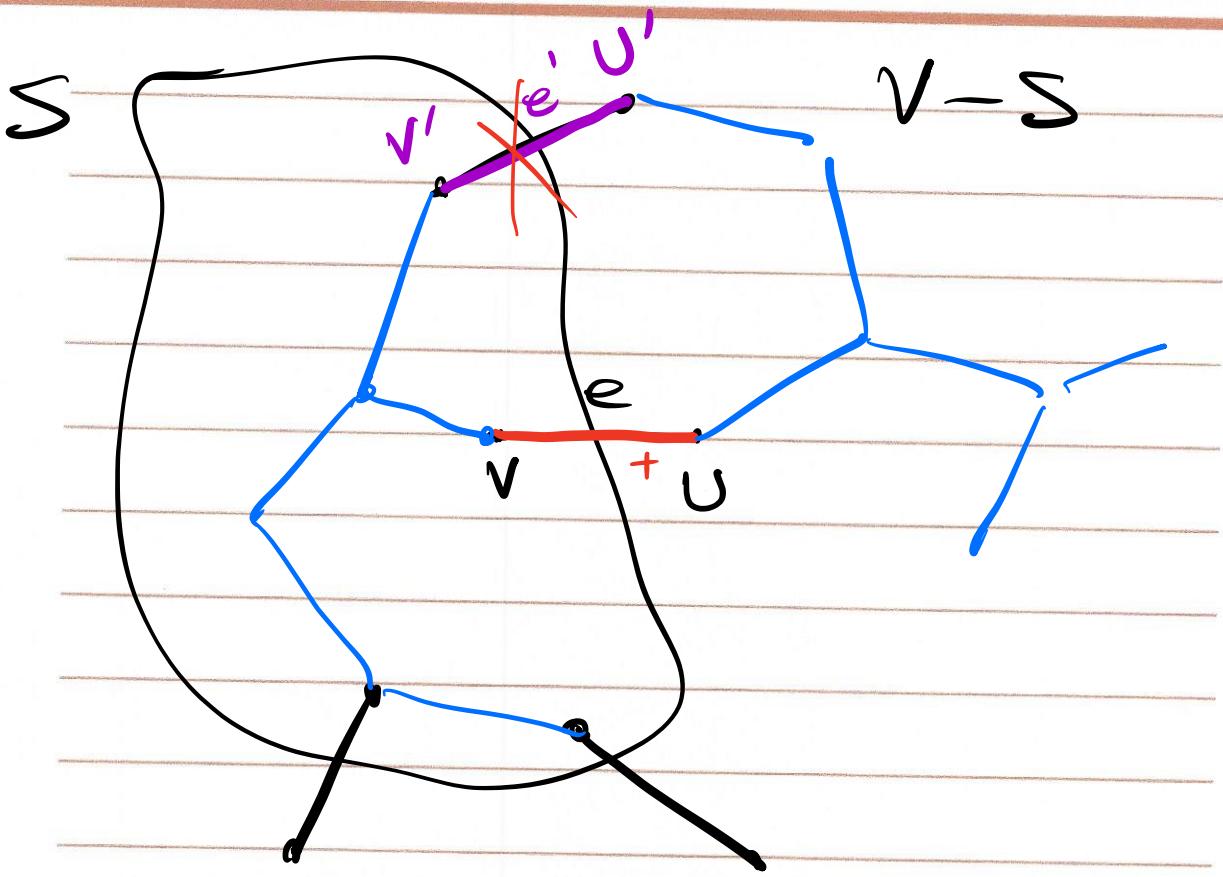
~~Reverse-Delete Alg.~~



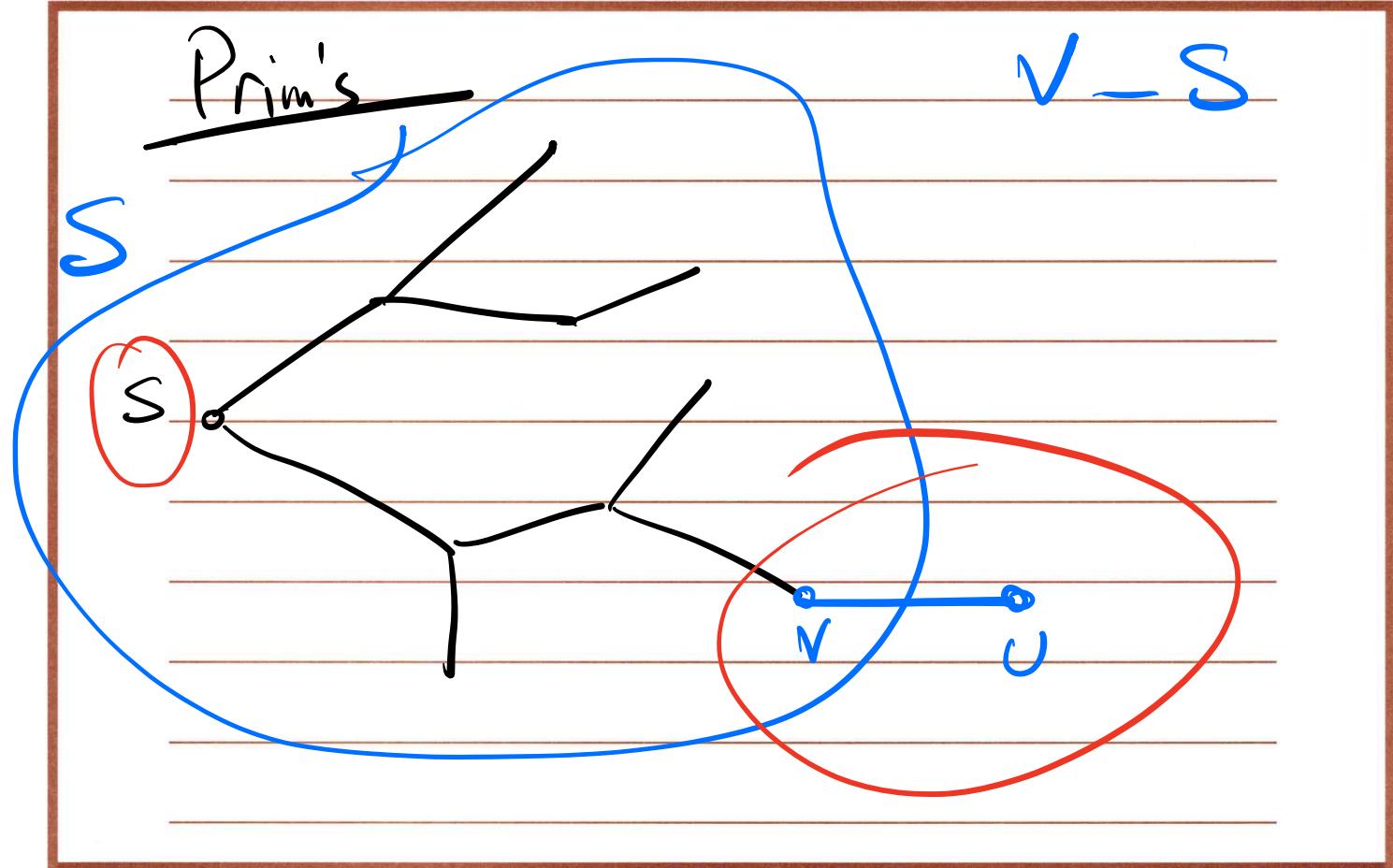
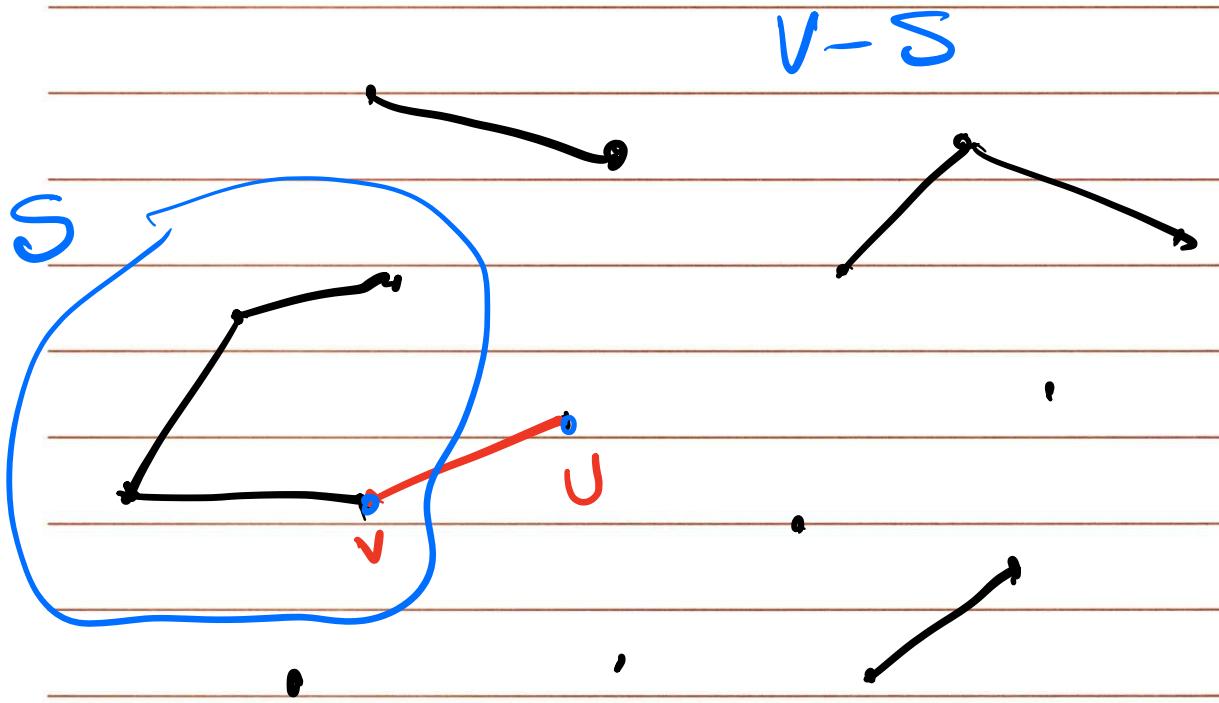
Kruskals' —
 Prim's -----

Reverse-Delete X

FACT: Let S be any subset of nodes
that is neither empty nor equal to
all of V , and let edge $e = (v, w)$
be the min cost edge with one end
in S and the other end in $V - S$.
Then every MST contains the edge e .



Proof of Correctness for Kruskal's

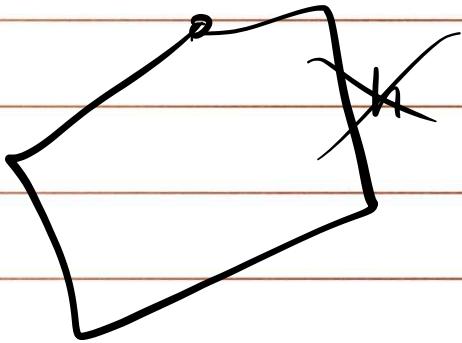


Reverse-Delete

FACT: Highest Cost Edge

in a cycle cannot

belong to any MST



More Detailed Implementation of ~~Dijkstra's~~ Prim's

$S = \text{NULL}$

Initialize priority Queue Q with all nodes V where $d(v)$ is the key value.
(All $d(v)$'s are $= \infty$, except for s where $d(s) = 0$)

While $S \neq V$

$v = \text{Extract-Min}(Q)$

$S = S \cup \{v\}$

for each vertex $u \in \text{Adj}(v)$

if $d(u) > d(v) + l_e$

 Decrease-key($Q, u, d(v) + l_e$)

end for

end while

Kruskal's

Create an empty set for each node

A = Null

Sort edges in non-decreasing order of weight

for each edge $(u,v) \in E$, taken in this order, if $u \& v$ are NOT in the same set then

$$A = A \cup \{(u,v)\}$$

merge the two sets
endif

endfor

Union-Find data structure

- Make set

$O(1)$ for set size = 1

- Find set

$O(1)$ or $O(\lg n)$

- Union

$O(\lg n)$ or $O(1)$

array impl. ptr impl.

Implementation of Kruskal's

$A = \text{Null}$

$O(n)$ for each vertex $v \in V$
 Make-set(v)
end for

$O(m \lg m)$ Sort the edges of E into non-decreasing
order of cost

$O(m)$ for each edge $(u, v) \in E$ in this order.
 if Find-set(u) \neq Find-set(v) then
 $A = A \cup \{(u, v)\}$
 Union(u, v)
 endif
end for

$O(\lg n)$

$$\begin{aligned} \text{Total} &= O(n) + O(m \lg m) + O(m \lg n) \\ &= O(m \lg m) \end{aligned}$$

Prim's

$$O(m \lg n)$$

Kruskal's

$$O(m \lg m)$$

$$O(m \lg n^2) = O(2m \lg n)$$

$$= O(m \lg n)$$

Reverse-Delete

sort edges in decreasing order of cost
loop over edges in this order

$O(m) \rightarrow$ Run BFS/DFS

$O(m)$

\checkmark

\cup

takes $O(m^2)$

Discussion 4

1. Hardy decides to start running to work in San Francisco city to get in shape. He prefers a route that goes entirely uphill and then entirely downhill so that he could work up a sweat uphill and get a nice, cool breeze at the end of his run as he runs faster downhill. He starts running from his home and ends at his workplace. To guide his run, he prints out a detailed map of the roads between home and work with k intersections and m road segments (any existing road between two intersections). The length of each road segment and the elevations at every intersection are given. Assuming that every road segment is either fully uphill or fully downhill, give an efficient algorithm to find the shortest path (route) that meets Hardy's specifications. If no such path meets Hardy's specifications, your algorithm should determine this. Justify your answer.
2. You are given a graph representing the several career paths available in industry. Each node represents a position and there is an edge from node v to node u if and only if v is a prerequisite for u . Top positions are the ones which are not prerequisites for any positions. The cost of an edge (v, u) is the effort required to go from one position v to position u . Ivan wants to start a career and achieve a top position with minimum effort. Using the given graph can you provide an algorithm with the same run time complexity as Dijkstra's? You may assume the graph is a DAG.
3. You have a stack data type, and you need to implement a FIFO queue. The stack has the usual POP and PUSH operations, and the cost of each operation is 1. The FIFO has two operations: ENQUEUE and DEQUEUE. We can implement a FIFO queue using two stacks. What is the amortized cost of ENQUEUE and DEQUEUE operations.
4. Given a sequence of numbers: 3, 5, 2, 8, 1, 5, 2, 7
 - a. Draw a binomial heap by inserting the above numbers reading them from left to right
 - b. Show a heap that would be the result after the call to deleteMin() on this heap

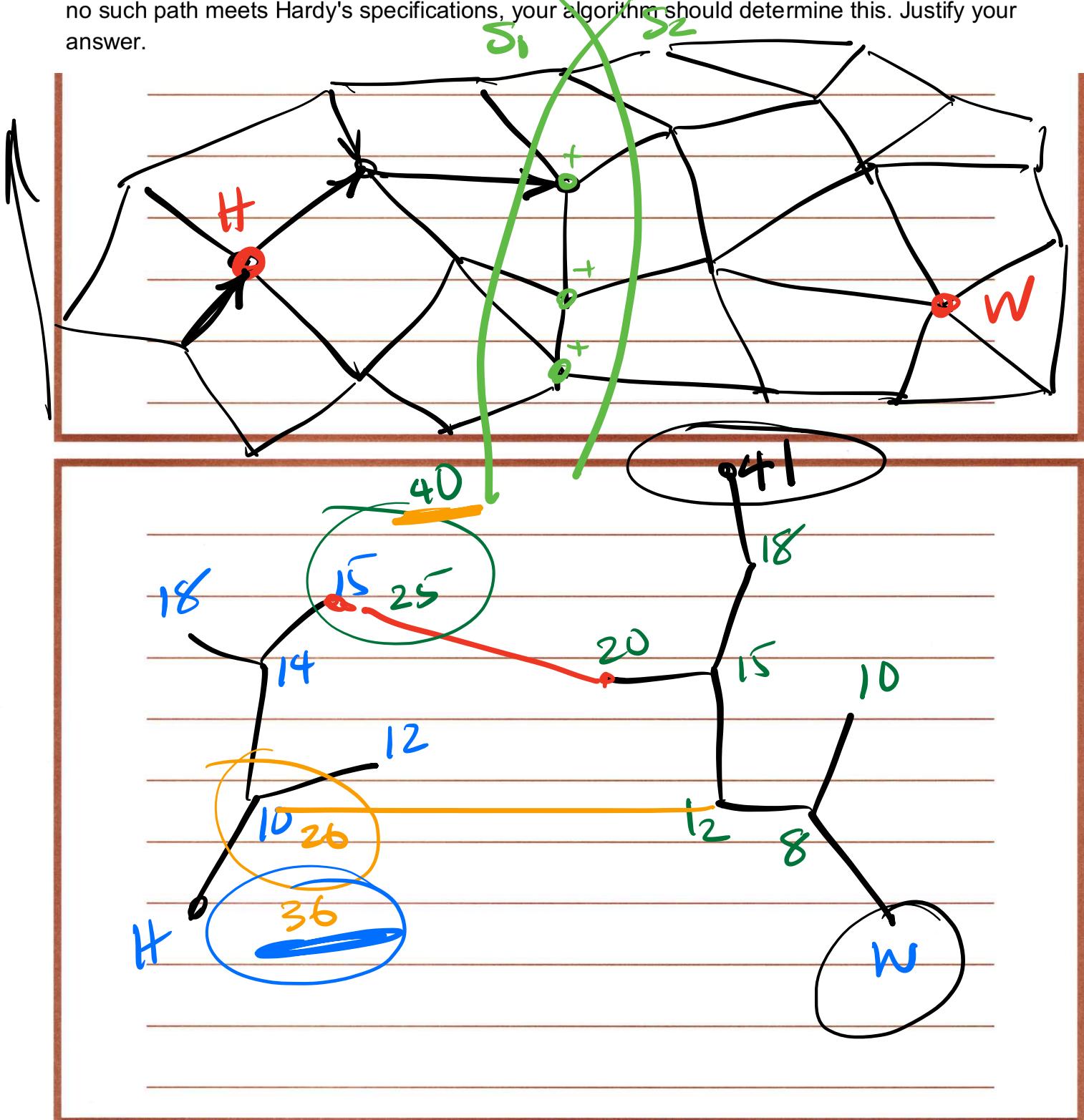
5. (a): Suppose we are given an instance of the Minimum Spanning Tree problem on a graph G . Assume that all edges costs are distinct. Let T be a minimum spanning tree for this instance. Now suppose that we replace each edge cost c_e by its square, c_e^2 thereby creating a new instance of the problem with the same graph but different costs. Prove or disprove: T is still a MST for this new instance.

False -10, -1 1, 100

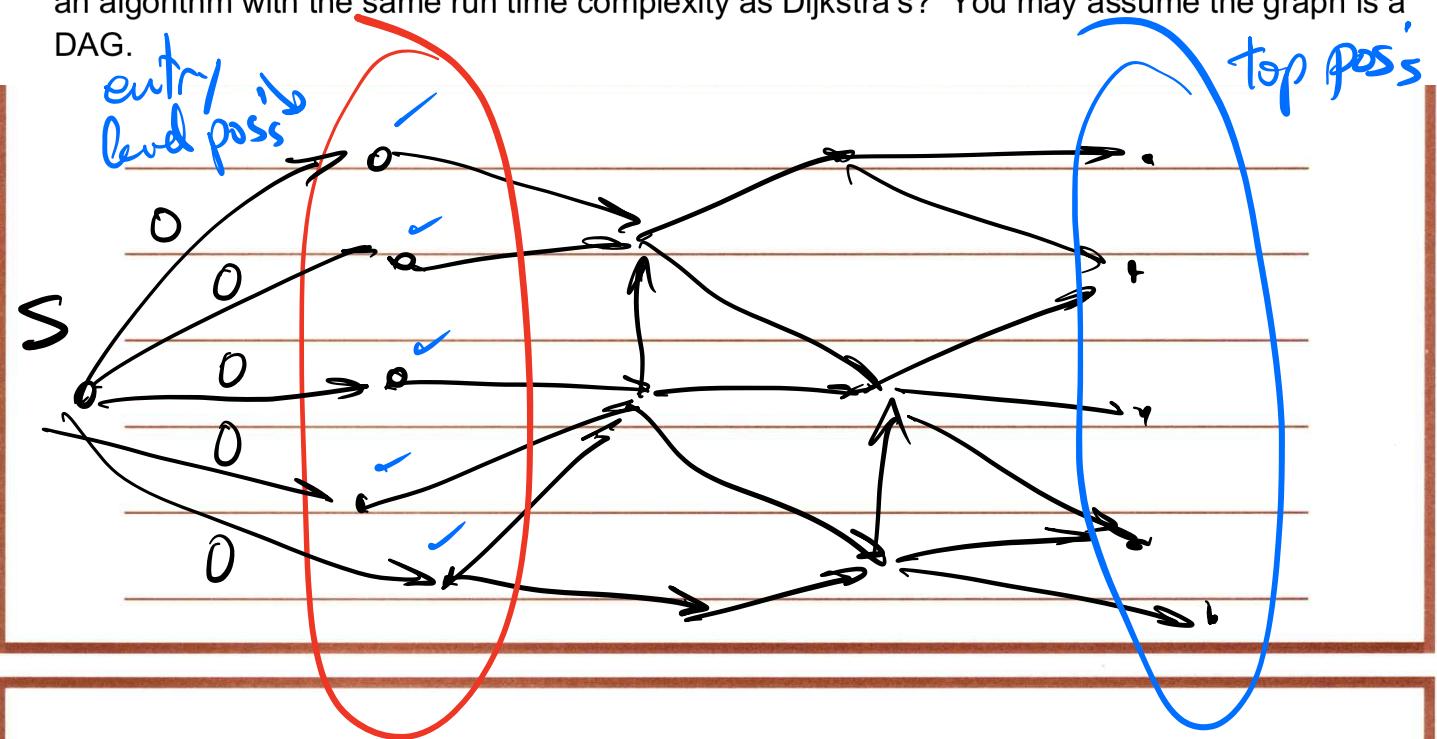
- (b): Consider an undirected graph $G = (V, E)$ with distinct nonnegative edge weights $w_e \geq 0$. Suppose that you have computed a minimum spanning tree of G . Now suppose each edge weight is increased by 1: the new weights are $c'_e = c_e + 1$. Does the minimum spanning tree change? Give an example where it changes or prove it cannot change.

True

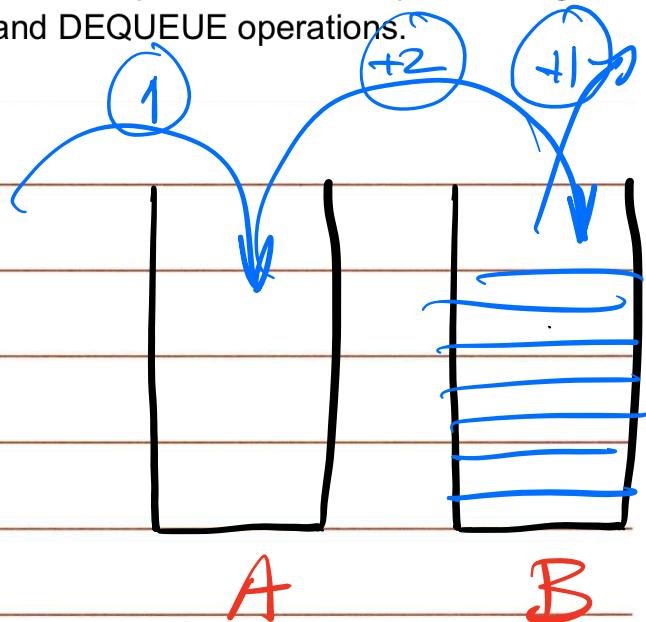
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n enqueue + 1 dequeue

n pushes

n pops from A

n pushes into B

1 pop from B

$$\text{total } T(n) = 3n + 1$$

$$T(n)/n+1 = \frac{3n+1}{n+1} = \underline{\underline{3}} = O(1)$$