

# Semaphores

# 동기화

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## ▶ 동기화의 필요성

- ▶ 멀티태스킹 환경의 병렬성(parallelism) 활용.
  - ▶ ➔ 처리율, 응답 시간 등의 성능 향상.
- ▶ 공유 자원(shared resource)
  - ▶ 시스템 자원의 대부분이 공유될 수 있음.
  - ▶ 동시 접근으로부터 보호되어야 함.
- ▶ 경쟁 조건(race condition)의 발생.
  - ▶ 하나 이상의 태스크가 동일한 자원을 접근하기를 원함.

# 동기화

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## ▶ 동기화 방법

- ▶ 상호 배제(mutual exclusion) 메커니즘의 사용.
  - ▶ 한 시점에 하나의 태스크만 공유 자원을 접근할 수 있음.
- ▶ 임계 구역(critical section)을 정의.
  - ▶ 공유 자원을 접근하기 위한 코드의 일부.
- ▶ 대표적인 동기화 방법.
  - ▶ 세마포어, 뮤텝스, 파이프 등.

# Introduction

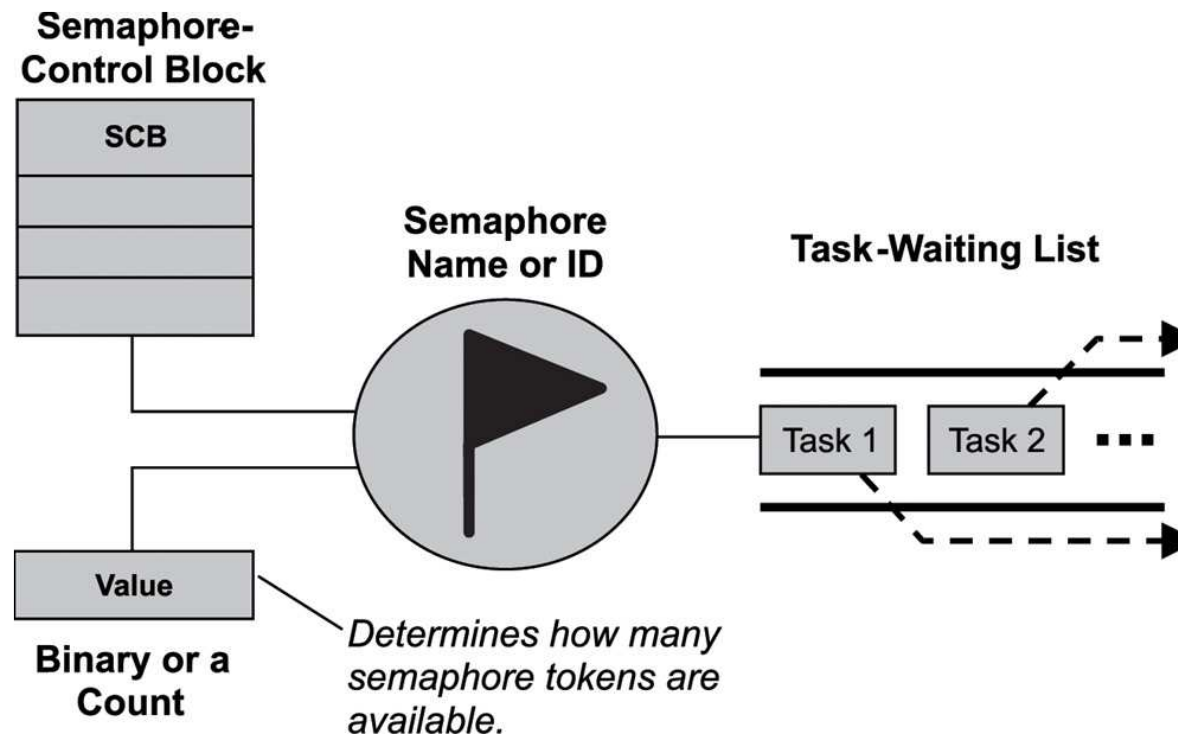
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- ▶ **Multiple concurrent tasks must be able to**
  - ▶ Synchronize their execution.
  - ▶ Coordinate mutually exclusive access to shared resources.
  
- ▶ **RTOS kernels provide**
  - ▶ Semaphore object
  - ▶ Associated semaphore management services.
  
- ▶ **Semaphore (semaphore token)**
  - ▶ A kernel objects that one or more tasks can acquire or release for the purposes of synchronization or mutual exclusion.

# Defining semaphores

## ► Data structures on semaphore

- Semaphore control block (SCB)
- A unique ID
- A value (binary or count)
- A task-waiting list



# Defining semaphores

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## ▶ Operations on semaphore

- ▶ Kernel tracks the number of times that a semaphore has been acquired or released by maintaining a **token count**.
  - ▶ When a task acquires the semaphore, token count is decremented.
  - ▶ When a task releases the semaphore, token count is incremented.
- ▶ If the token count reaches 0, a requesting task blocks.
  - ▶ **Task-waiting list** tracks all tasks blocked while waiting on an unavailable semaphore.
    - with FIFO or highest priority first order.
  - ▶ When semaphore becomes available, the first task in the task-waiting list acquires it.

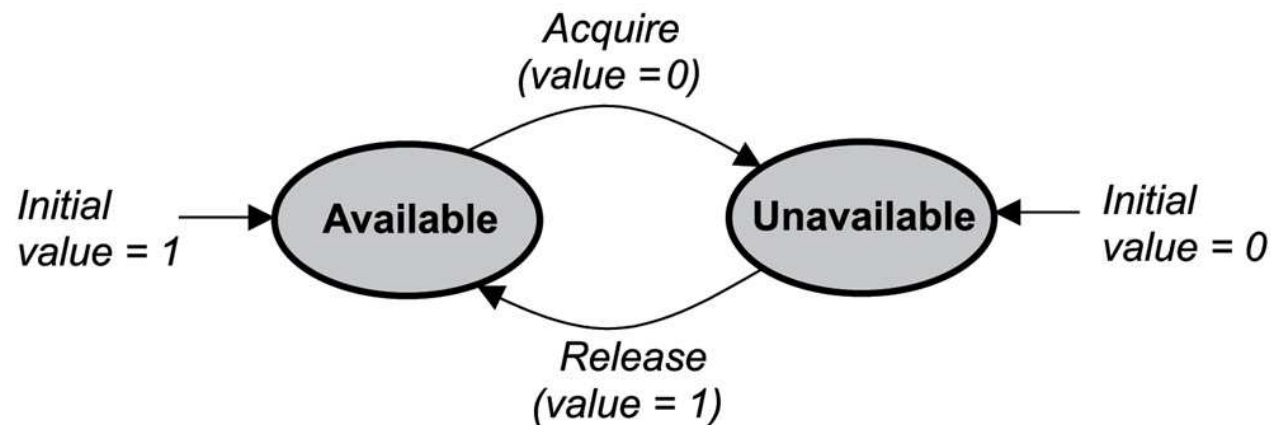
# Defining semaphores

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- ▶ **Kernel support many different types of semaphores**
  - ▶ Binary semaphore
  - ▶ Counting semaphore
  - ▶ Mutual-exclusion semaphore (mutex)

# Binary semaphores

- ▶ A binary semaphore can have a value of **either 0 or 1**.
  - ▶ 0, unavailable (or empty)
  - ▶ 1, available (or full)

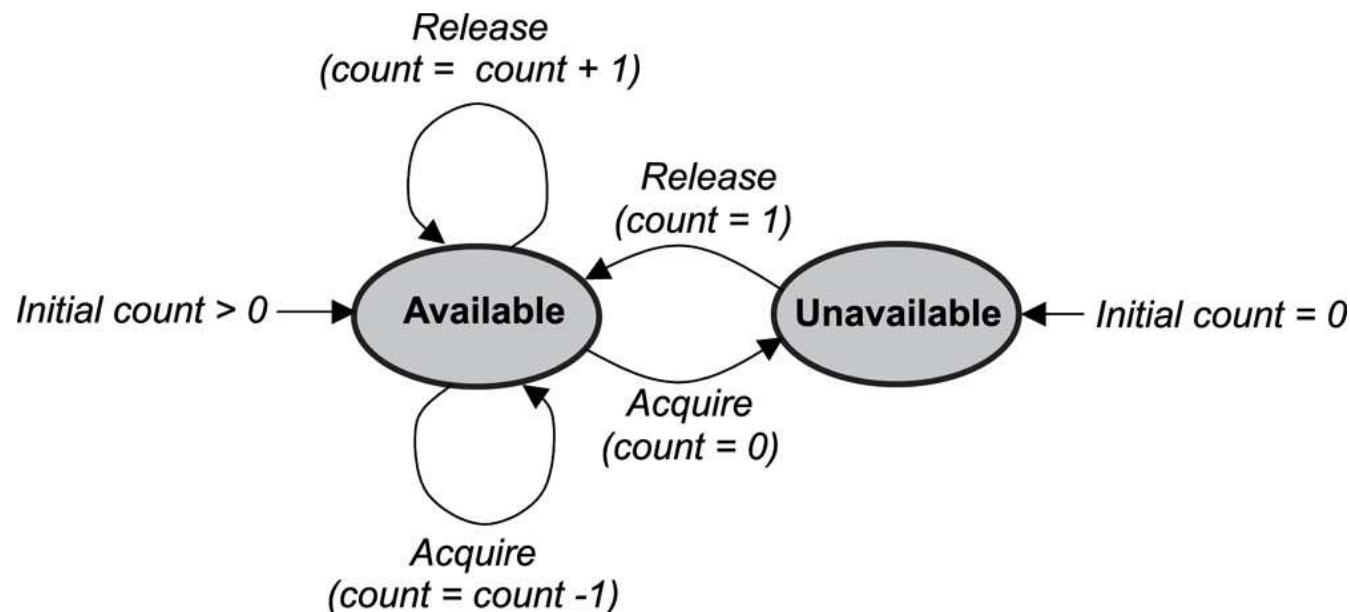


- ▶ **Binary semaphores are global resources.**
  - ▶ Even the task did not initially acquire semaphore can release it.



# Counting semaphores

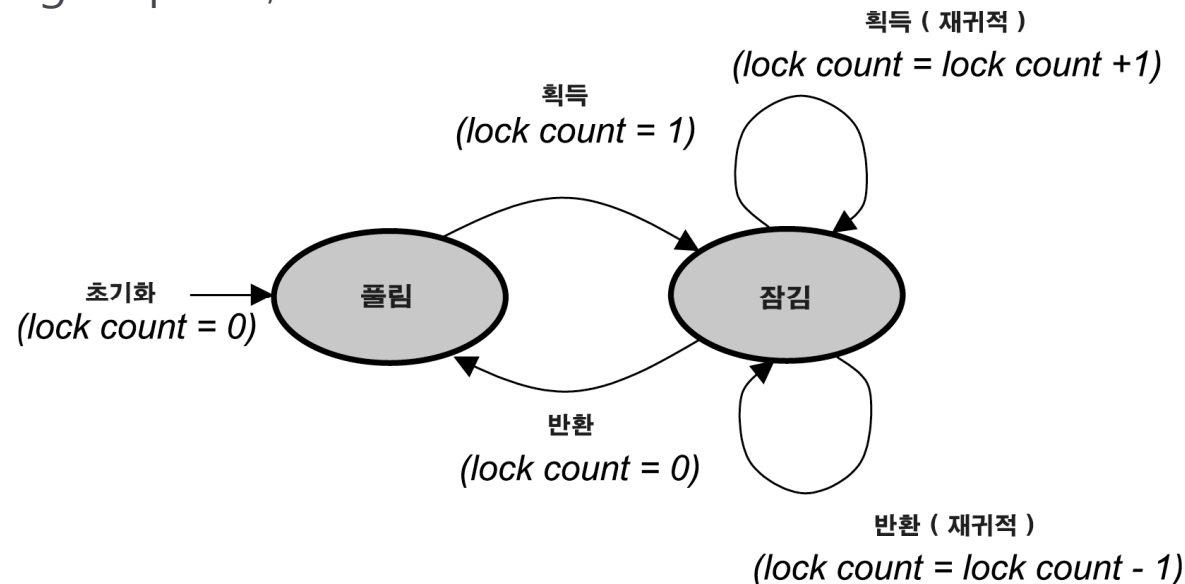
- ▶ A counting semaphore uses a count to allow it to be acquired or released **multiple times**.
  - ▶ If the initial count is
    - ▶ 0, is created in unavailable state.
    - ▶  $> 0$ , is created in the available state.



- ▶ Counting semaphores are also global resources.

# Mutual exclusion semaphores

- ▶ A mutual exclusion(mutex) semaphore is a special binary semaphore.
- ▶ The states of a mutex are
  - ▶ 0, unlocked
  - ▶ 1, locked
- ▶ Operations on mutex
  - ▶ A mutex is initially created in the unlocked state.
  - ▶ After being acquired, the mutex moves to the locked state.



# Mutual exclusion semaphores

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- ▶ **A mutual exclusion semaphore can support**
  - ▶ Ownership
  - ▶ Recursive locking
  - ▶ Task deletion safety
  - ▶ Priority inversion avoidance

# Mutual exclusion semaphores

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- ▶ **Ownership**

- ▶ Ownership of a mutex is gained when a task locks the mutex.
- ▶ Conversely, a task loses ownership of the mutex when unlocks it.

- ▶ **Compare with binary semaphore!**

- ▶ Even a task that did not originally acquire the binary semaphore can release it.

# Mutual exclusion semaphores

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## ▶ Recursive locking

- ▶ Task that already owns the mutex can acquire it multiple times.
- ▶ The mutex with recursive locking is called a **recursive mutex**.

## ▶ Count in mutex vs. count in counting semaphore

- ▶ The count in mutex
  - ▶ the number of times that task owning the mutex has locked or unlocked it.
- ▶ The count in counting semaphore
  - ▶ the number of tokens that have been acquired or released by tasks.

# Mutual exclusion semaphores

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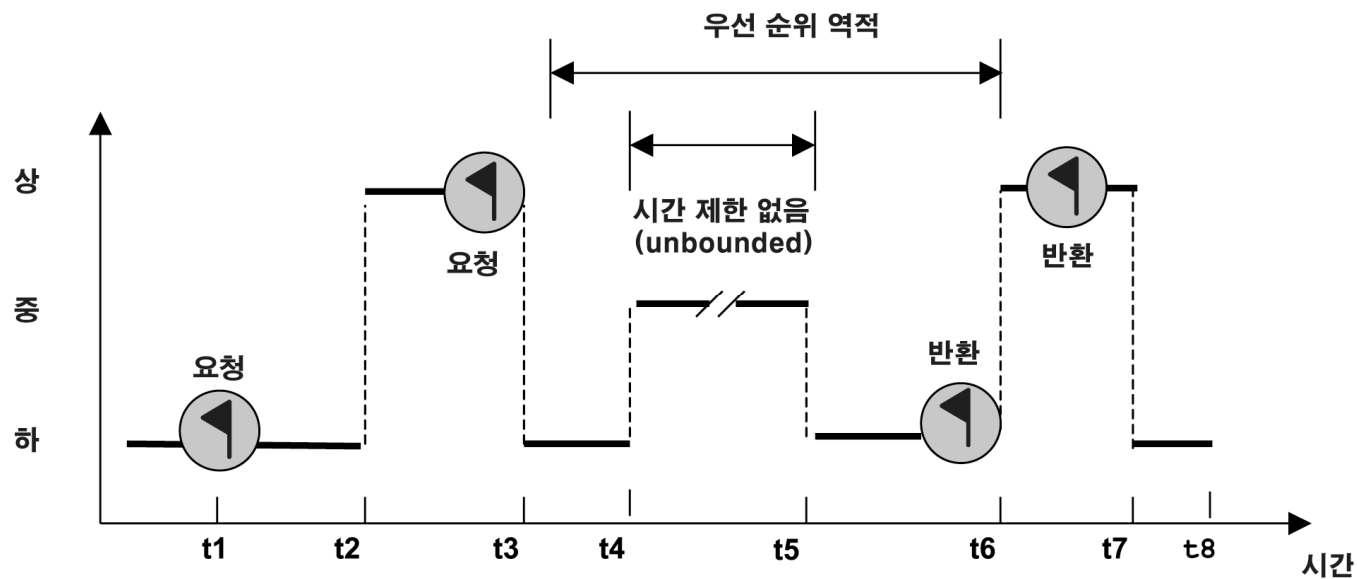
- ▶ **Task deletion safety**

- ▶ Premature task deletion is avoided.
- ▶ While a task owns the mutex, the task cannot be deleted by other tasks.

# Mutual exclusion semaphores

## ▶ Priority inversion avoidance

- ▶ Priority Inheritance protocol



# Typical semaphore operations

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- ▶ **Typical operations with semaphores**
  - ▶ Creating and deleting semaphores.
  - ▶ Acquiring and releasing semaphores.
  - ▶ Clearing a semaphore's task-waiting list.
  - ▶ Getting semaphore information.



# Typical semaphore use

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- ▶ **Semaphores are useful for**
  - ▶ the **synchronized** execution of multiple tasks
  - ▶ **coordinating** access to **a shared resources**.
  
- ▶ **Typical uses**
  - ▶ Wait-and-signal synchronization
  - ▶ Single shared-resource-access synchronization
  - ▶ Recursive shared-resource-access synchronization
  - ▶ Multiple shared-resource-access synchronization
  - ▶ ...

# Wait-and-signal synchronization

- ▶ **Two tasks** can communicate for the purpose of **synchronization**.
  - ▶ Binary semaphore is initially 0.
  - ▶ tWaitTask has higher priority and runs first, and blocked.
  - ▶ Lower priority tSignalTask has a chance to run and release semaphore.
  - ▶ tWaitTask is unblocked and preempts tSignalTask.



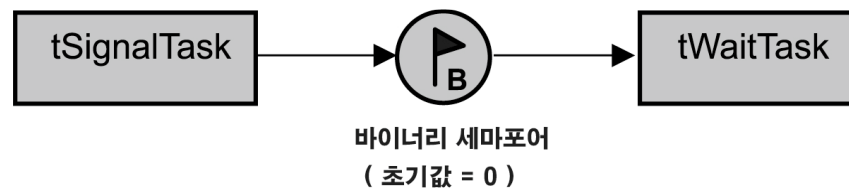
# Wait-and-signal synchronization

## ► Pseudo code

```

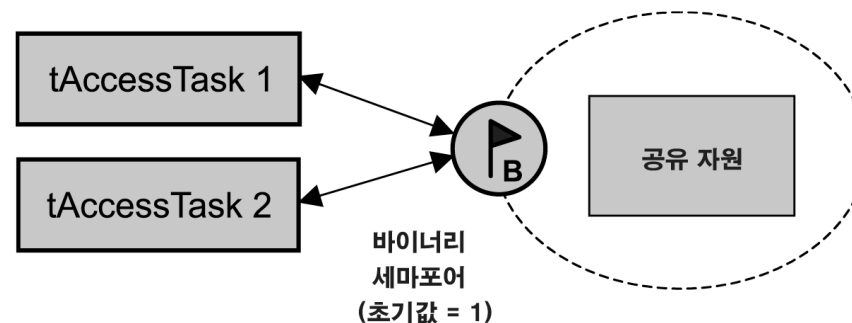
tWaitTask ( )           ← higher priority
{
    :
    acquire binary semaphore token
    :
}

tSignalTask ( )         ← lower priority
{
    :
    release binary semaphore token
    :
}
    
```



# Single shared-resource-access synchronization

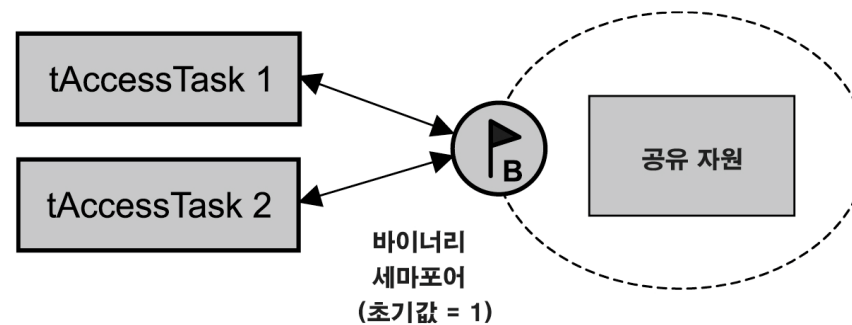
- ▶ **Mutually exclusive access to a shared resource**
  - ▶ A binary semaphore is initially 1.
  - ▶ To use the shared resource, task needs to acquire the binary semaphore.
  - ▶ Since binary semaphore is global, may cause problem.
  - ▶ → use Mutex with ownership concept.



# Single shared-resource-access synchronization

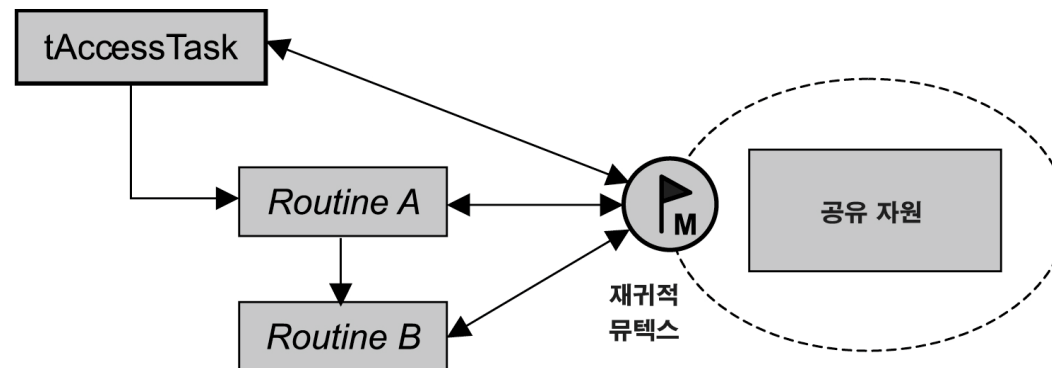
## ▶ Pseudo code

```
tAccessTask ( )  
{  
    :  
    acquire binary semaphore token  
    read or write to shared resource  
    release binary semaphore token  
    :  
}
```



# Recursive shared-resource-access synchronization

- ▶ **Tasks to access a shared resource recursively.**
  - ▶ "tAccessTask → Routine A → Routine B"
  - ▶ Assume that all three need access to the same shared resource.
  - ▶ Tasks would end up blocking, causing a "deadlock".
  - ▶ Use "recursive mutex"
    - ▶ After tAccessTask locks the mutex, the task owns it.
    - ▶ Additional attempts for locking the mutex from the task or routines will succeed.



# Recursive shared-resource-access synchronization

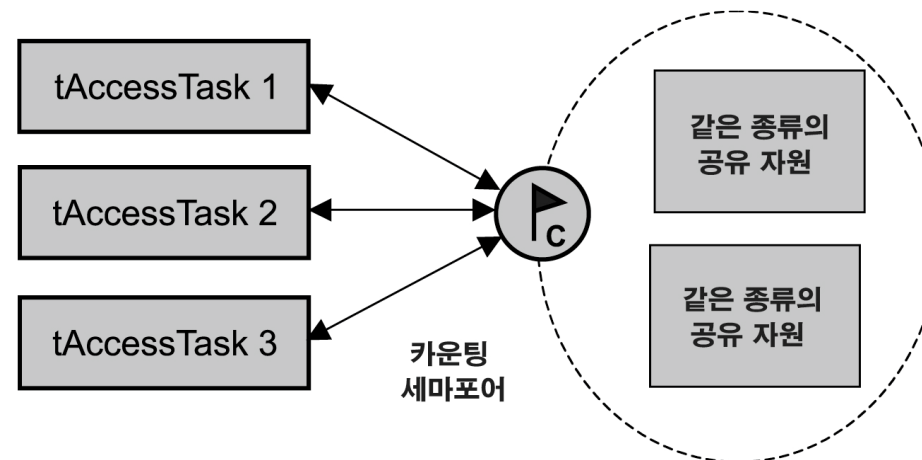
## ► Pseudo code

```
tAccessTask ( )
{
    :
    acquire mutex
    access shared resource
    call Routine A
    release mutex
    :
}
Routine A ( )
{
    :
    acquire mutex
    access shared resource
    call Routine B
    release mutex
    :
}
Routine B ( )
{
    :
    acquire mutex
    access shared resource
    release mutex
    :
}
```

# Multiple shared-resource-access synchronization

## ▶ Counting semaphore for multiple equivalent shared resources

- ▶ The count is initially set to the number of shared resources.
- ▶ Assume that **the count is 2**.
- ▶ tAccessTask1 and tAccessTask2 acquire a token successfully.
- ▶ tAccessTask3 blocks until the other task releases a token.

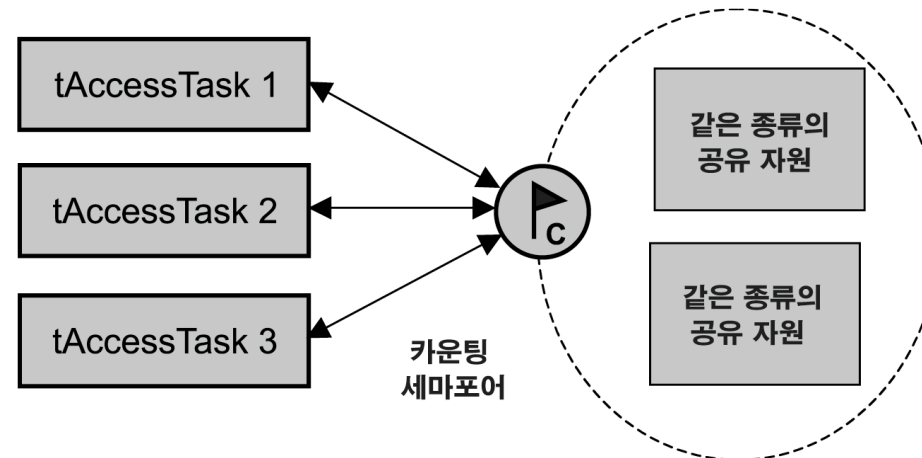




# Multiple shared-resource-access synchronization

## ► Pseudo code

```
tAccessTask ( )  
{  
    :  
    acquire a counting semaphore token  
    read or write to shared resource  
    release a counting semaphore token  
    :  
}
```



# Multiple shared-resource-access synchronization

- ▶ **If a task release a semaphore that it did not originally acquire.**
  - ▶ → use "mutex".
  - ▶ Instead of the previous code, use the following.

```
tAccessTask ( )
{
    :
    acquire first mutex in non-blocking way
    if not successful then acquire 2nd mutex in a blocking way
    read or write to shared resource
    release the acquired mutex
    :
}
```

# Case study: uCOS-II

Semaphores

# 동기화 메커니즘

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- ▶ **인터럽트 잠금**

- ▶ OSEnterCritical() / OSExitCritical()

- ▶ **선점금지**

- ▶ OSSchedLock() / OSSchedUnlock()

- ▶ **기타**

- ▶ 세마포어
  - ▶ 뮤텝스
  - ▶ 이벤트 플래그

# 동기화 구현

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- ▶ uC/OS-II는 커널 내부의 동기화 메커니즘으로 대부분 “인터럽트 잠금” 기능을 이용
- ▶ 응용 프로그램은 선점금지, 인터럽트 잠금, 세마포어, 이벤트 등을 활용하여 동기화 구현

# 세마포어 개요

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## ▶ uC/OS-II 세마포어

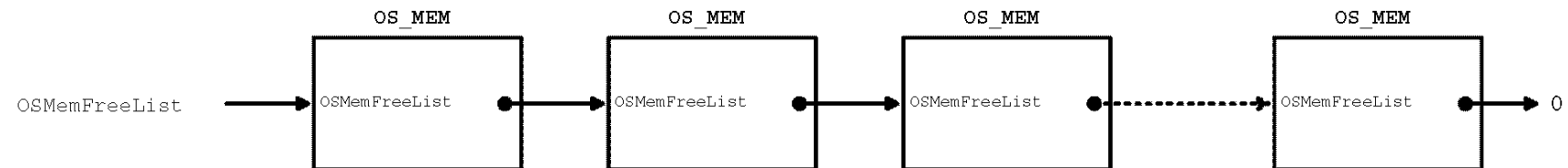
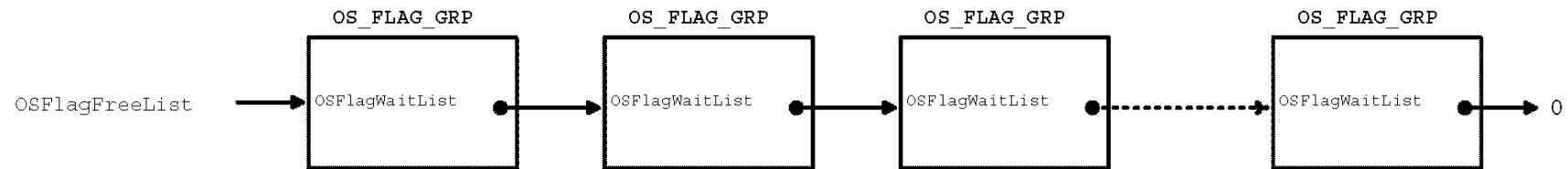
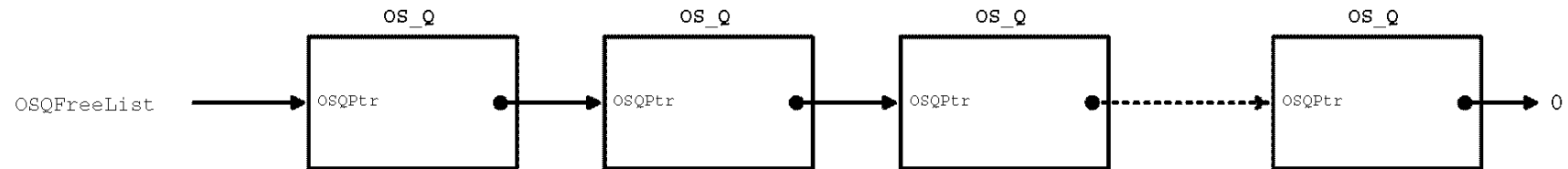
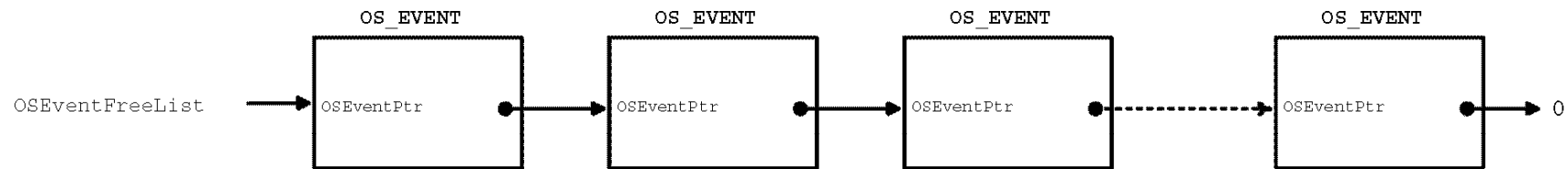
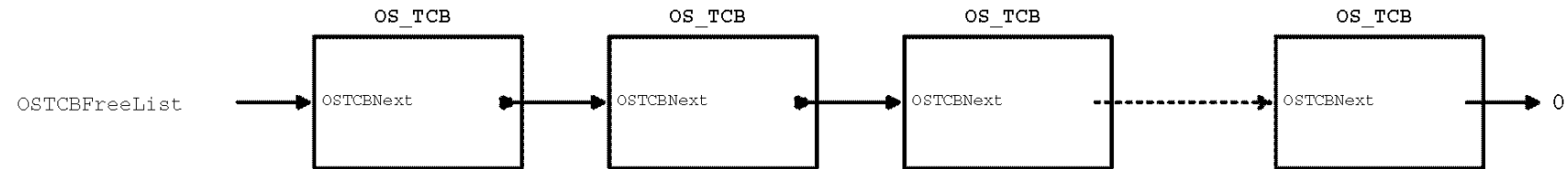
- ▶ 바이너리 / 카운팅 세마포어 (카운트는 최대 65535까지 허용)
- ▶ 세마포어 소유자에 대한 체크가 없음.

### ▶ API

- ▶ OSemCreate()           // 세마포어 생성 및 초기화
- ▶ OSemDel()               // 세마포어 삭제
- ▶ OSemPend()             // 세마포어 획득
- ▶ OSemPost()             // 세마포어 반환
- ▶ OSemAccept()          // 세마포어 획득 시도
- ▶ OSemQuery()            // 세마포어 정보 획득

- ▶ uC/OS-II에서 세마포어는 EventControlBlock 구조체를 이용하여 구현됨

# OSInit() review



# 세마포어 구조체

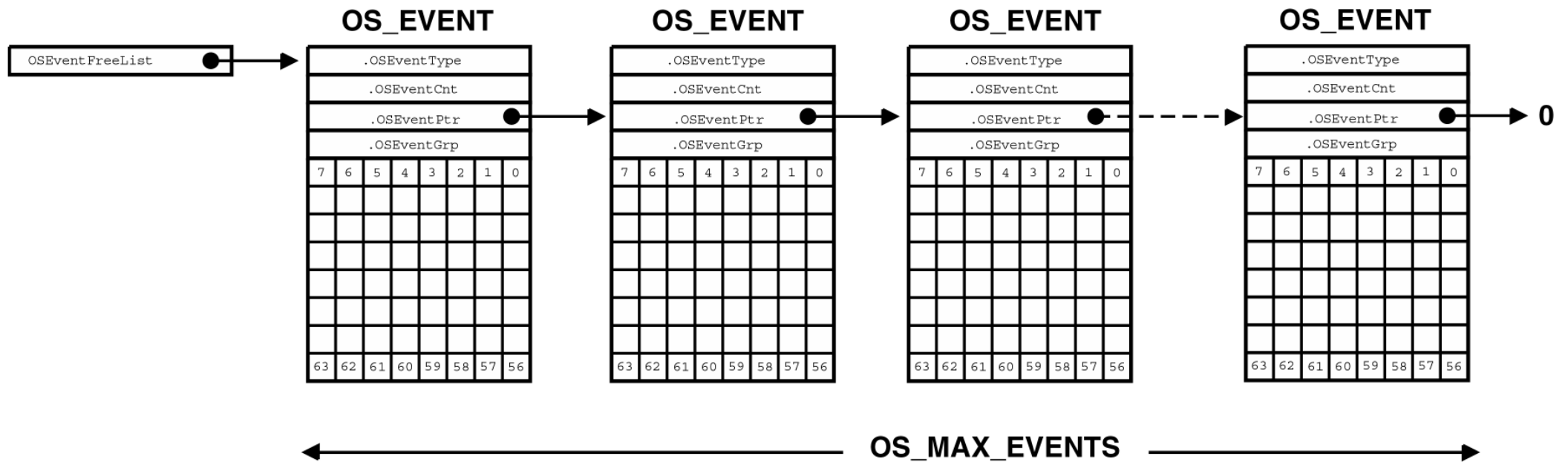
- ▶ uC/OS-II에서 세마포어는 EventControlBlock 구조체를 이용하여 구현 됨

```
typedef struct {  
    INT8U    OSEventType;          /* Event type */  
    INT8U    OSEventGrp;          /* Group for wait list */  
    INT16U   OSEventCnt;          /* Count (when event is a semaphore) */  
    void     *OSEventPtr;         /* Ptr to message or queue structure */  
    INT8U    OSEventTbl[OS_EVENT_TBL_SIZE]; /* Wait list for event */  
} OS_EVENT;
```

- ▶ OSEventType
  - ▶ 세마포어의 경우, OS\_EVENT\_TYPE\_SEM 으로 지정
- ▶ OSEventPtr
  - ▶ 세마포어의 경우, 사용하지 않음
- ▶ OSEventTbl[], OSEventGrp
  - ▶ 준비 리스트와 동일한 방법으로 세마포어 대기 리스트 표현
- ▶ OSEventCnt
  - ▶ 세마포어 카운트 저장



# OS\_EVENT 구조체



# Creating a Semaphore, OSSemCreate()

---

- ▶ **OS\_SEM.C / Kernel**
- ▶ **OS\_EVENT \*OSSemCreate (INT16U cnt)**
  - ▶ cnt : 세마포어의 초기 카운트
  - ▶ 세마포어를 생성하고, cnt로 초기화
  - ▶ 생성된 세마포어 반환

# Acquire a Semaphore, OSSemPend()

---

- ▶ **OS\_SEM.C / Kernel**
- ▶ **void OSSemPend (OS\_EVENT \*pevent, INT16U timeout, INT8U \*err)**
  - ▶ pevent : 대상 세마포어
  - ▶ timeout : 획득 실패 시, timeout tick 수
  - ▶ err : 세마포어 연산의 결과값
- ▶ 세마포어를 획득한다.
- ▶ 획득 실패 시, timeout ticks 만큼, 세마포어 대기 리스트에서 블록 상태로 대기
- ▶ timeout이 0으로 호출되면, 획득 실패 시, 획득 성공할 때까지 무한정 대기
- ▶ ISR은 호출할 수 없음

# Release a Semaphore, OSSemPost()

---

- ▶ **OS\_SEM.C / Kernel**
- ▶ **INT8U OSSemPost (OS\_EVENT \*pevent)**
  - ▶ pevent : 대상 세마포어
  - ▶ 세마포어를 반환한다.
  - ▶ 대기 중인 태스크가 있으면, 최상위 우선순위 태스크를 깨움.
  - ▶ 대기 중인 태스크가 없으면, 세마포어 count 증가.

# Try to acquire a Semaphore, OSSemAccept()

---

- ▶ **OS\_SEM.C / Kernel**
- ▶ **INT16U OSSemAccept (OS\_EVENT \*pevent)**
  - ▶ pevent : 대상 세마포어
  - ▶ 세마포어를 획득을 시도한다.
  - ▶ 획득 실패하는 경우, 대기하지 않고, 바로 return.
    - ▶ return 값이 1이상이면, 태스크가 세마포어 획득했음을 의미
    - ▶ return 값이 0이면, 태스크가 세마포어 획득 실패했음을 의미

# Get the status of a Semaphore, OS\_SemQuery()

---

- ▶ OS\_SEM.C / Kernel
- ▶ INT8U OS\_SemQuery (OS\_EVENT \*pevent, OS\_SEM\_DATA \*pdata)
  - ▶ pevent : 대상 세마포어
  - ▶ pdata : 세마포어 상태 정보를 복사할 메모리 공간
- ▶ OS\_EVENT 구조체에서 세마포어에 해당하는 정보만 pdata로 복사
  - ▶ 대기 리스트
  - ▶ 세마포어 카운트

# Deleting a Semaphore, OSSemDel()

---

- ▶ OS\_SEM.C / Kernel

- ▶ **OS\_EVENT \*OSSemDel (OS\_EVENT \*pevent, INT8U opt, INT8U \*err)**

- ▶ pevent : 대상 세마포어
- ▶ opt : 옵션
- ▶ err : 수행 결과

- ▶ 세마포어를 삭제
- ▶ option이 OS\_DEL\_NO\_PEND이면, 대기 태스크가 없는 경우에만 세마포어 삭제
- ▶ option이 OS\_DEL\_ALWAYS이면, 대기 태스크가 있는 경우에도 세마포어 삭제.
  - ▶ 대기 태스크들은 모두 wakeup 됨

# Example codes

Semaphores



# 문제 1

---

- ▶ 다음에 기술된 대로 프로그램을 작성하고 실행 결과를 관찰한다.
  - ▶ TicksPerSec를 50으로 수정 (OS\_CFG.H)
  - ▶ 우선순위 1-5인 태스크 5개를 생성한다.
    - ▶ main에서 모든 태스크 생성
  - ▶ Main()
    - ▶ 난수표 초기화
    - ▶ 태스크 생성
  - ▶ 각 태스크
    - ▶ result.txt 파일을 append 모드로 연다.
    - ▶ current clock tick, 자신의 priority, "file open" 메시지를 파일에 출력한다
    - ▶ 임의의 클럭 수 (1-3) 만큼 sleep (난수 발생 함수 rand() 이용)
    - ▶ current clock tick, 자신의 priority, "file close" 메시지를 파일에 출력한다
    - ▶ 파일 닫기
    - ▶ 임의의 클럭 수 (1-3) 만큼 sleep (난수 발생 함수 rand() 이용)
    - ▶ 1-6 반복



# 문제1 - 코드

---

```
void CreateTasks (void)
{
    INT8U i;

    for (i = 0; i < N_TASKS; i++) {
        OSTaskCreate(Task, (void *) 0, &TaskStk[i][TASK_STK_SIZE - 1], (INT8U) (i + 1));
        // (6)
    }
}
```

## 문제1 - 코드

```
void Task (void *pdata)
{
    FILE *out;
    INT8U sleep;

    for (;;) {
        out = fopen("result.txt", "a");
        fprintf(out, "%4u: Task %u, file openWn", OSTimeGet(), OSTCBCur->OSTCBPrio);
        fflush(out);
        sleep = (rand() % 3) + 1;
        OSTimeDly(sleep);
        fprintf(out, "%4u: Task %u, file closeWn", OSTimeGet(), OSTCBCur->OSTCBPrio);
        fflush(out);
        fclose(out);
        sleep = (rand() % 3) + 1;
        OSTimeDly(sleep);
    }
}
```

# 문제2

---

- ▶ **문제 1 내용을 다음과 같이 수정한다.**
  - ▶ 한번에 하나의 태스크만 파일을 접근할 수 있도록 함
    - ▶ file open 이후, 다른 태스크는 file open 불가.
    - ▶ file close 이후, 다른 태스크가 file open 수행 가능

## 문제2 - 코드

---

```
#include "includes.h"
#include <time.h>

#define TASK_STK_SIZE          512
#define N_TASKS                5

OS_STK  TaskStk[N_TASKS][TASK_STK_SIZE];
OS_EVENT *sem;                                     // (1)

void Task(void *data);
void CreateTasks(void);

int main (void)
{
    OSInit();

    srand(time(NULL));
    sem = OSSemCreate(1);                          // (2)

    CreateTasks();

    OSStart();
    return 0;
}
```

## 문제2 - 코드

---

```
void CreateTasks (void)
{
    INT8U i;

    for (i = 0; i < N_TASKS; i++) {
        OSTaskCreate(Task, (void *) 0, &TaskStk[i][TASK_STK_SIZE - 1], (INT8U) (i + 1));
        // (3)
    }
}
```

## 문제2 - 코드

---

```
void Task(void *pdata)
{
    FILE *out;
    INT8U sleep;
    INT8U err;

    for (;;) {
        OSSemPend(sem, 0, &err);                // (3)
        out = fopen("result.txt", "a");
        fprintf(out, "%4u: Task %u, file openWn", OSTimeGet(), OSTCBCur->OSTCBPrio);
        sleep = (rand() % 3) + 1;
        OSTimeDly(sleep);
        fprintf(out, "%4u: Task %u, file closeWn", OSTimeGet(), OSTCBCur->OSTCBPrio);
        fclose(out);
        OSSemPost(sem);                          // (4)
        sleep = (rand() % 3) + 1;
        OSTimeDly(sleep);
    }
}
```