



Sorting algorithms

Data Structures and Algorithms

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Overview

① Sorting concepts

② Insertion Sort

Straight Insertion Sort
Shell Sort

③ Selection Sort

Straight Selection Sort

④ Exchange Sort

Bubble Sort

⑤ Devide-and-Conquer

Quick Sort
Merge Sort

Sorting

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Merge Sort



Sorting concepts

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Devide-and-Conquer

- Quick Sort
- Merge Sort

Sorting

One of the most **important concepts** and **common applications** in computing.

23	78	45	8	32	56
----	----	----	---	----	----



8	23	32	45	56	78
---	----	----	----	----	----



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Sorting

Sort stability: data with equal keys maintain their relative input order in the output.

78	8	45	8	32	56
----	---	----	---	----	----



8	8	32	45	56	78
---	---	----	----	----	----





Sort efficiency: a measure of the relative efficiency of a sort = number of **comparisons** + number of **moves**.

Sorting concepts

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Sorting

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Sorting concepts

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Shell Sort

Selection Sort

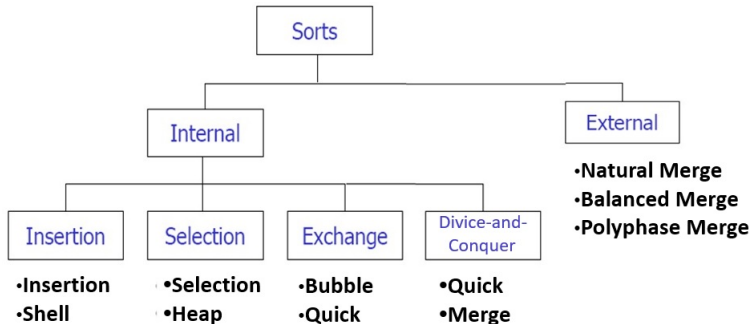
Straight Selection Sort

Exchange Sort

Bubble Sort

Divide-and-Conquer

Quick Sort
Merge Sort



Insertion Sort

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Sorting concepts

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Selection Sort

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Exchange Sort

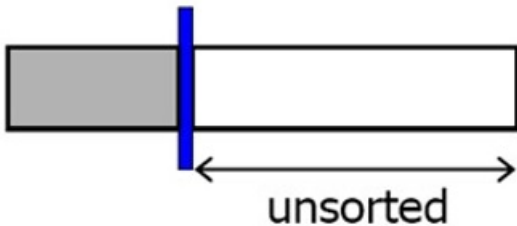
- Bubble Sort

Divide-and-Conquer

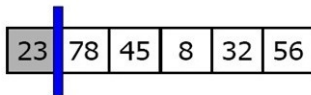
- Quick Sort
- Merge Sort

Straight Insertion Sort

- The list is divided into two parts: **sorted** and **unsorted**.
- In each pass, the first element of the unsorted sublist is **inserted** into the sorted sublist.



Straight Insertion Sort



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Sorting concepts

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Shell Sort

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Exchange Sort

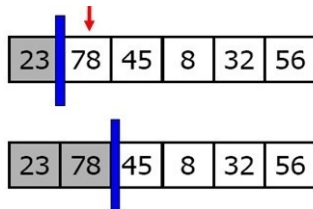
Bubble Sort

Divide-and-Conquer

Quick Sort

Merge Sort

Straight Insertion Sort



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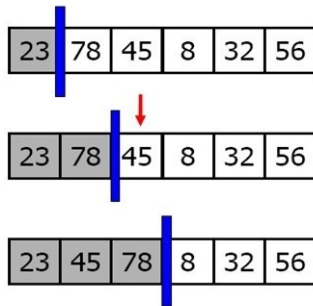
Bubble Sort

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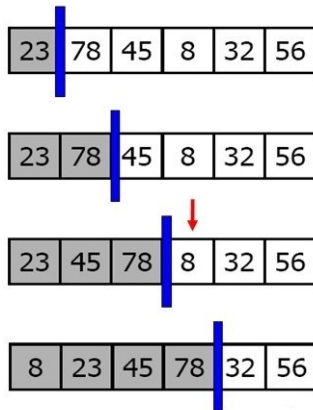
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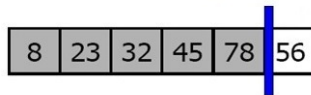
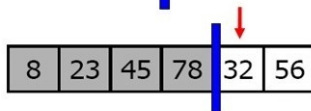
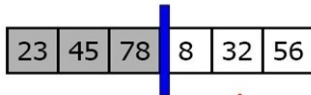
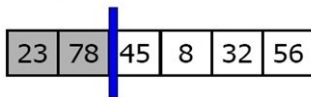
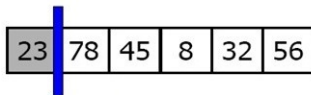
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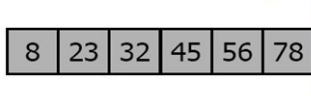
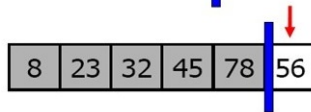
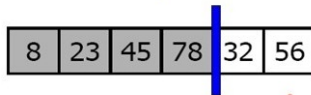
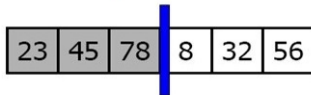
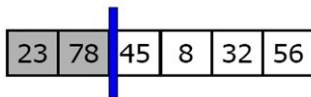
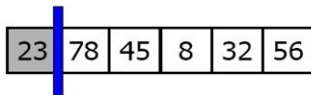
Bubble Sort

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Straight Insertion Sort

```
1 Algorithm InsertionSort()  
2 Sorts the contiguous list using straight insertion  
  sort.  
  
3 if count > 1 then  
4     current = 1  
5     while current < count do  
6         temp = data[current]  
7         walker = current - 1  
8         while walker >= 0 AND temp.key <  
           data[walker].key do  
9             data[walker+1] = data[walker]  
10            walker = walker - 1  
11        end  
12        data[walker+1] = temp  
13        current = current + 1  
14    end  
15 end
```



Shell Sort

- Named after its creator Donald L. Shell (1959).
- Given a list of N elements, the list is divided into K segments (K is called the **increment**).
- Each segment contains N/K or more elements.
- Segments are dispersed throughout the list.
- Also is called **diminishing-increment sort**.

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Exchange Sort

Bubble Sort

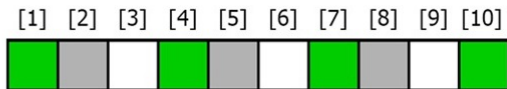
Divide-and-Conquer

Quick Sort

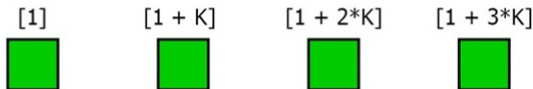
Merge Sort

Shell Sort

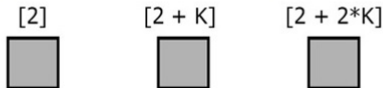
$K = 3$



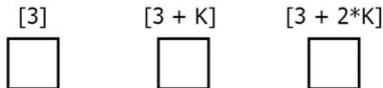
Segment 1



Segment 2



Segment 3



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Shell Sort



- For the value of K in each iteration, sort the K segments.
- After each iteration, K is reduced until it is 1 in the final iteration.

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Example of Shell Sort

Unsorted

Tim
Dot
Eva
Roy
Tom
Kim
Guy
Amy
Jon
Ann
Jim
Kay
Ron
Jan

Sublists incr. 5

Tim Dot
Eva Roy
Tom
Kim Guy
Amy Jon
Ann
Jim Kay
Ron Jan

5-Sorted

Jim Dot
Amy Jan
Ann
Kim Guy
Eva Jon
Tom
Tim Kay
Ron Roy

Recombined

Jim
Dot
Amy
Jan
Ann
Kim
Guy
Eva
Jon
Tom
Tim
Kay
Ron
Roy

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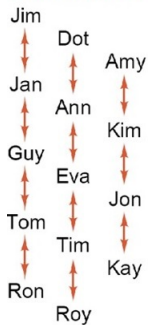
Divide-and-Conquer

Quick Sort

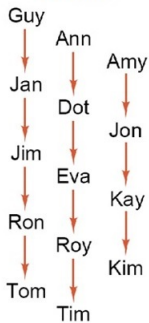
Merge Sort

Example of Shell Sort

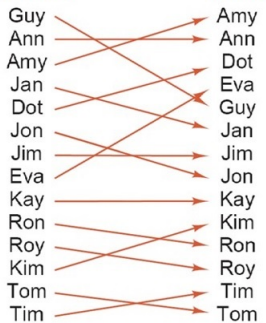
Sublists incr. 3



3-Sorted



List incr. 1



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Merge Sort

Choosing incremental values

- From more of the comparisons, it is better when we can receive more new information.
- Incremental values should not be multiples of each other, other wise, the same keys compared on one pass would be compared again at the next.
- The final incremental value must be 1.

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Choosing incremental values

- Incremental values may be:

1, 4, 13, 40, 121, ...

$$k_t = 1$$

$$k_{i-1} = 3 * k_i + 1$$

$$t = \lceil \log_3 n \rceil - 1$$

- or:

1, 3, 7, 15, 31, ...

$$k_t = 1$$

$$k_{i-1} = 2 * k_i + 1$$

$$t = \lceil \log_2 n \rceil - 1$$

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Shell Sort

```
1 Algorithm ShellSort()
2 Sorts the contiguous list using Shell sort.

3  $k = \text{first\_incremental\_value}$ 
4 while  $k \geq 1$  do
5     segment = 1
6     while segment  $\leq k$  do
7         SortSegment(segment)
8         segment = segment + 1
9     end
10     $k = \text{next\_incremental\_value}$ 
11 end
12 End ShellSort
```

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Quick Sort

Merge Sort

Shell Sort

```
1 Algorithm SortSegment(val segment <int>, val k  
   <int>)  
2 Sorts the segment beginning at segment using  
   insertion sort, step between elements in the  
   segment is k.  
  
3 current = segment + k  
4 while current < count do  
5     temp = data[current]  
6     walker = current - k  
7     while walker >= 0 AND temp.key <  
       data[walker].key do  
8         data[walker + k] = data[walker]  
9         walker = walker - k  
10    end  
11    data[walker + k] = temp  
12    current = current + k  
13 end
```

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Insertion Sort Efficiency

- Straight insertion sort:
$$f(n) = n(n + 1)/2 = O(n^2)$$
- Shell sort:
 $O(n^{1.25})$ (Empirical study)

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Selection Sort

In each pass, the smallest/largest item is **selected** and placed in a sorted list.

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Sorting concepts

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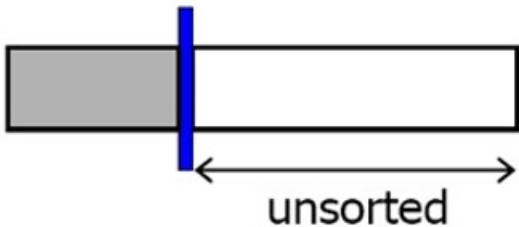
Bubble Sort

Divide-and-Conquer

Quick Sort
Merge Sort

Straight Selection Sort

- The list is divided into two parts: **sorted** and **unsorted**.
- In each pass, in the unsorted sublist, the smallest element is **selected** and **exchanged** with the first element.



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Straight Selection Sort

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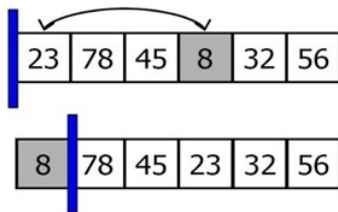
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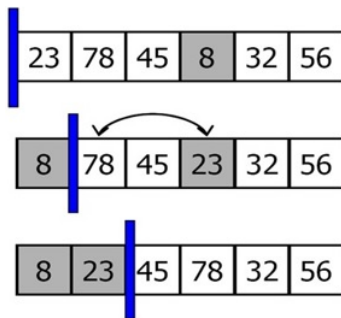
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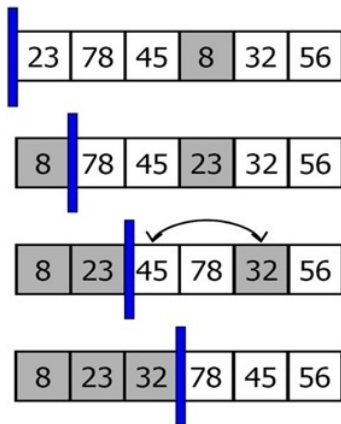
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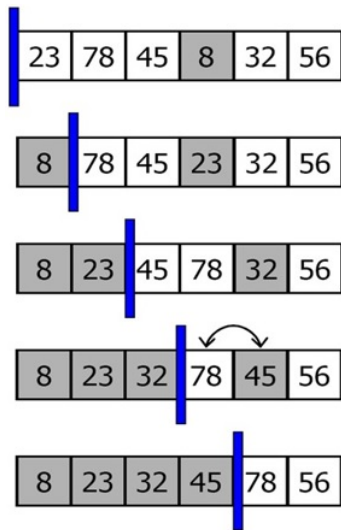
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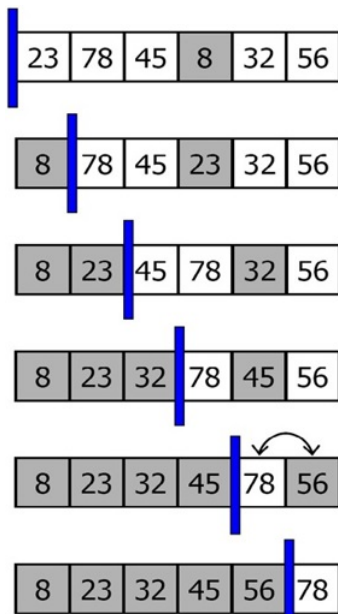
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Divide-and-Conquer

Quick Sort
Merge Sort

Straight Selection Sort

```
1 Algorithm SelectionSort()
2 Sorts the contiguous list using straight selection
  sort.

3 current = 0
4 while current < count - 1 do
5     smallest = current
6     walker = current + 1
7     while walker < count do
8         if data [walker].key < data [smallest].key
9             then
10                 | smallest = walker
11             end
12             walker = walker + 1
13         end
14     swap(current, smallest)
15     current = current + 1
16 end
```

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Straight Insertion Sort
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Exchange Sort

Bubble Sort

Divide-and-Conquer

Quick Sort
Merge Sort

Selection Sort Efficiency

- Straight selection sort:
 $O(n^2)$

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Quick Sort

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Exchange Sort

- In each pass, elements that are out of order are **exchanged**, until the entire list is sorted.
- **Exchange** is extensively used.

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Sorting concepts

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Exchange Sort

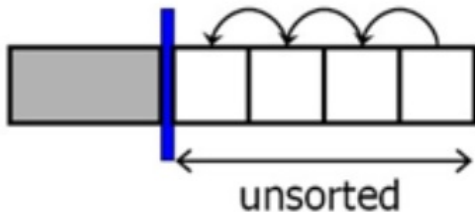
Bubble Sort

Divide-and-Conquer

Quick Sort
Merge Sort

Bubble Sort

- The list is divided into two parts: **sorted** and **unsorted**.
- In each pass, the smallest element is **bubbled** from the unsorted sublist and moved to the sorted sublist.



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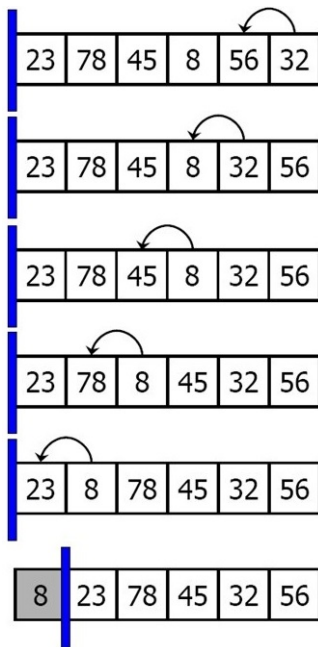
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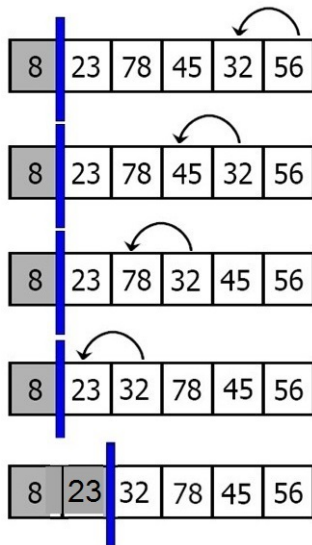
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Bubble Sort

```
1 Algorithm BubbleSort()  
2 Sorts the contiguous list using bubble sort.  
  
3 current = 0  
4 flag = False  
5 while current < count AND flag = False do  
6     walker = count - 1  
7     flag = True  
8     while walker > current do  
9         if data [walker].key < data [walker-1].key  
10            then  
11                flag = False  
12                swap(walker, walker - 1)  
13            end  
14        walker = walker - 1  
15    end  
16    current = current + 1  
17 end
```

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Exchange Sort Efficiency

- Bubble sort:
$$f(n) = n(n + 1)/2 = O(n^2)$$

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Devide-and-Conquer

Devide-and-Conquer Sort

```
1 Algorithm DevideAndConquer()  
2 if the list has length > 1 then  
3     | partition the list into lowlist and  
      | highlist  
4     lowlist.DevideAndConquer()  
5     highlist.DevideAndConquer()  
6     combine(lowlist, highlist)  
7 end  
8 End DevideAndConquer
```

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Devide-and-Conquer Sort

	Partition	Combine
Merge Sort	easy	hard
Quick Sort	hard	easy

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Bubble Sort

Devide-and-Conquer

Quick Sort
Merge Sort

Quick Sort

- 1 **Algorithm** QuickSort()
- 2 Sorts the contiguous list using quick sort.
- 3 recursiveQuickSort(0, count - 1)
- 4 **End** QuickSort

Sorting

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MsC



Sorting concepts

Insertion Sort

Straight Insertion Sort
Shell Sort

Selection Sort

Straight Selection Sort

Exchange Sort

Bubble Sort

Divide-and-Conquer

Quick Sort

Merge Sort

Quick Sort

```
1 Algorithm recursiveQuickSort(val left  
   <int>, val right <int>)  
2 Sorts the contiguous list using quick sort.  
3 Pre: left and right are valid positions  
   in the list  
4 Post: list sorted  
  
5 if left < right then  
6 |   pivot_position = Partition(left, right)  
7 |   recursiveQuickSort(left,  
   |   pivot_position - 1)  
8 |   recursiveQuickSort(pivot_position +  
   |   1, right)  
9 end
```

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Bubble Sort

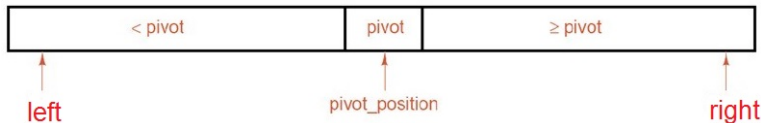
Divide-and-Conquer

Quick Sort

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Quick Sort

Given a pivot value, the partition rearranges the entries in the list as the following figure:



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Quick Sort Efficiency

- Quick sort:
 $O(n \log_2 n)$

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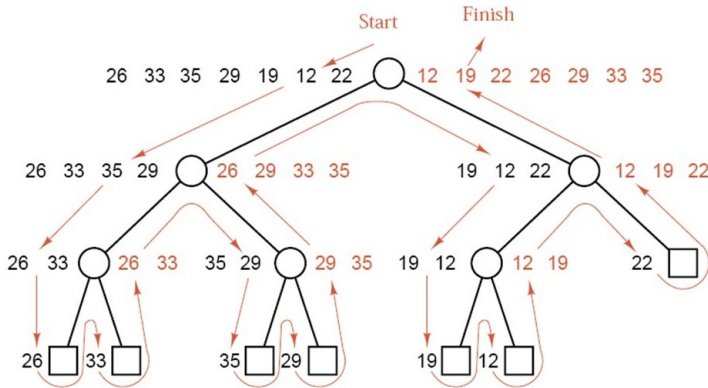
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Merge Sort



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Merge Sort

Merge Sort

- 1 **Algorithm** MergeSort()
- 2 Sorts the linked list using merge sort.
- 3 recursiveMergeSort(head)
- 4 **End** MergeSort

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Merge Sort

Merge Sort

```
1 Algorithm recursiveMergeSort(ref sublist  
   <pointer>)  
2 Sorts the linked list using recursive merge  
   sort.  
  
3 if sublist is not NULL AND sublist->link  
   is not NULL then  
4     Divide(sublist, second_list)  
5     recursiveMergeSort(sublist)  
6     recursiveMergeSort(second_list)  
7     Merge(sublist, second_list)  
8 end  
9 End recursiveMergeSort
```

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Merge Sort

```
1  Algorithm Divide(val sublist <pointer>, ref
   second_list <pointer>)
2  Divides the list into two halves.

3  midpoint = sublist
4  position = sublist->link
5  while position is not NULL do
6      position = position->link
7      if position is not NULL then
8          midpoint = midpoint->link
9          position = position->link
10     end
11 end
12 second_list = midpoint->link
13 midpoint->link = NULL
14 End Divide
```

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Sorting concepts

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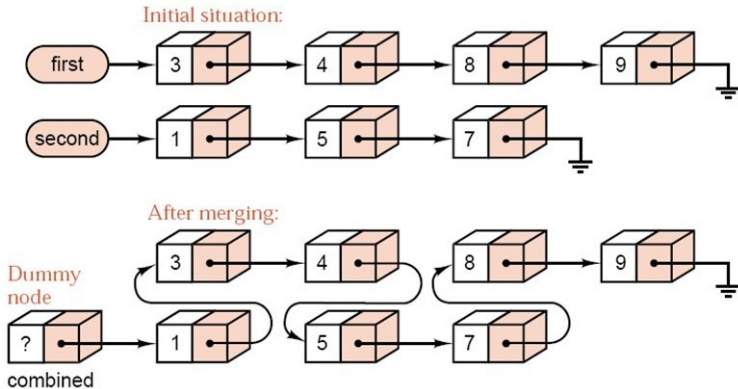
Bubble Sort

Devide-and-Conquer

Quick Sort

Merge Sort

Merge two sublists



Sorting

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Sorting concepts

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Merge Sort

Merge two sublists

```
1  Algorithm Merge(ref first <pointer>, ref second  
   <pointer>)  
2  Merges two sorted lists into a sorted list.  
  
3  lastSorted = address of combined  
4  while first is not NULL AND second is not NULL  
   do  
5      if first->data.key <= second->data.key then  
6          lastSorted->link = first  
7          lastSorted = first  
8          first = first->link  
9      else  
10         lastSorted->link = second  
11         lastSorted = second  
12         second = second->link  
13     end  
14 end
```

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Merge Sort

Merge two sublists

```
1 // ...
2 if first is NULL then
3   | lastSorted->link = second
4   | second = NULL
5 else
6   | lastSorted->link = first
7 end
8 first = combined.link
9 End Merge
```

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THANK YOU.

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