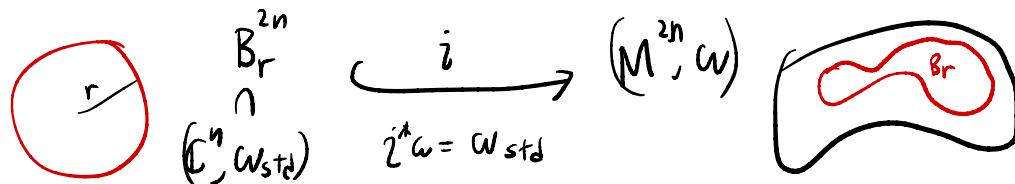


# The Biran Decomposition

Following Biran 2001, "Lagrangian barriers and symplectic embeddings"

The M.O. of symplectic geometry is to pretend to be complex geometry today, we will use this philosophy to understand symplectic embeddings into Kähler manifolds.  
 Question: can we abstract symplectic embeddings of balls?



Volume bound: if  $\text{vol}(M, \omega) < \text{vol}(B_r^{2n})$ , there are no symplectic balls of radius  $r$  in  $M$

Some times the volume bound is sharp:

Example:  $(\mathbb{C}P^n, \omega_{FS})$   $\omega_{FS}$  is Fubini-Studi form, normalized s.t.  $\int_{\mathbb{C}P^n} \omega_{FS} = \pi$ , where  $\mathbb{C}P^n \setminus \mathbb{C}P^1$  is a line.

Then  $(\mathbb{C}P^n \setminus \mathbb{C}P^{n-1}, \omega_{FS}) \simeq (\text{int}(B_1), \omega_{std})$   
 $\{[z_0, \dots, z_n] \mid z_0 \neq 0\}$

that is, a symplectic ball of radius 1 fully fills  $(\mathbb{C}P^n, \omega_{FS})$   
embedding which saturates volume bound



Sometimes volume is not sharp:

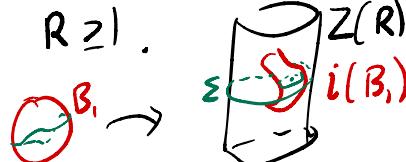
Example:  $Z(R) = B_R^2 \times \mathbb{C}^{n-1}$  with product symplectic form

note  $\text{vol}(Z(R)) = \infty$ , so there is no volume obstruction. Yet, embeddings are obstructed!!

Thm (Gromov Non-Squeezing):

$\exists$  symplectic embedding  $B_1^{2n} \hookrightarrow Z(R)$  iff  $R \geq 1$ .

Proof sketch: Suppose  $\exists$  embedding



1. compactify  $Z(R)$  along disc:  $Z(R) \hookrightarrow \overline{Z(R)} = S_R^2 \times \mathbb{C}^{n-1}$

2. find  $\Sigma$ -holo cone  $\Sigma$  in class  $[S_R^2] \times \text{pt} \in H_2(\overline{Z(R)})$  thru center of  $i(B_1)$  (GW theory)  
 $\Sigma$  has area  $\propto R^2$

3. pull back  $\Sigma$  to holomorphic cone in  $B_1^{2n}$ . Minimal surface theory  $\Rightarrow$  area  $\Sigma \geq \mathcal{C} \Rightarrow [R \geq 1]$



we can sometimes obstruct symplectic balls by cutting out Lagrangian submanifolds  
 these are called Lagrangian barriers

Thm (Biran): consider  $(\mathbb{C}P^n \setminus \mathbb{RP}^n = \{[z_0, \dots, z_n] \mid z_i \notin \mathbb{R}\})$  Lagrangian submanifold

$\exists$  symplectic embedding  $B_r^{2n} \hookrightarrow \mathbb{C}P^n \setminus \mathbb{RP}^n$  iff  $r < 1/\sqrt{2}$

$\iff$  Symplectic balls  $B_r$  w/  $\frac{1}{\sqrt{2}} < r < 1$  must intersect  $\mathbb{RP}^n$

This arises from a very good symplectic understanding of Kähler manifolds:

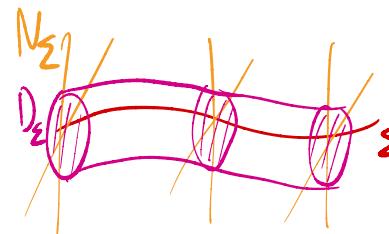
### Thm: (Biran Decomposition)

Let  $(M^{2n}, \omega)$  be a compact Kähler manifold, with  $[\omega] \in H^2(M, \mathbb{Q})$ . Then,  $\exists$ :

-  $\sum_{i=1}^{2(n-1)} \subset M^{2n}$  a complex hypersurface w/  $[\Sigma]$  Poincaré dual to  $\kappa[\omega]$

- an isotropic CW complex  $\Delta \subset M$

- a symplectomorphism  $M \setminus \Delta \cong D_\Sigma$   
unit disc bundle of normal bundle  $N_\Sigma$   
w/ symplectic area  $\gamma_h$



M

$\Sigma$

$\epsilon$

$N_\Sigma$

$D_\Sigma$

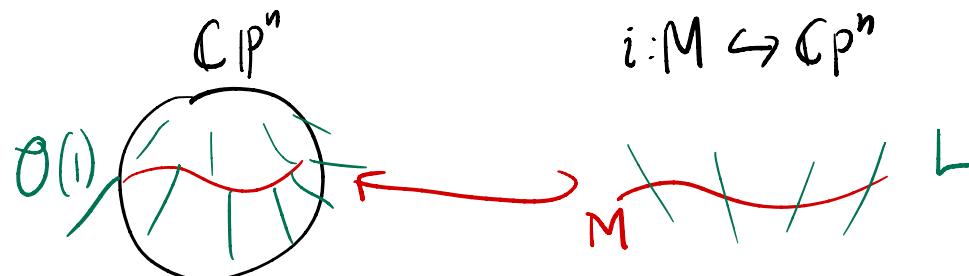
$\epsilon$

## Part I: Lefschetz's dream

Biran's decomposition is inspired by the philosophy of complex geometry, in particular Lefschetz's program for studying the topology of projective manifolds. To give us ground to build on, we describe Lefschetz's classical paradigm:

We are interested in projective manifolds, complex submanifolds of projective space

$\mathbb{C}\mathbb{P}^n$  has many structures, which  $M$  inherits



Kähler structure

$\omega_{FS}$

$\omega = i^* \omega_{FS}$

line bundle

$\theta(I)$ ,  $c_1(\theta(I)) = [\omega_{FS}]$

$L = i^* \theta(I)$ ,  $c_1(L) = [\omega]$

hermitian metric  
on line bundle

curvature  $\omega_{FS}$

h, w/ curvature  $F_h = \omega$

There are several equivalent perspectives on projective manifolds:

-  $M$  projective  $\Leftrightarrow M$  algebraic variety **Chow's theorem**

-  $M$  projective  $\Leftrightarrow M$  has ample line bundle (curvature is positive definite (1,1) forms)  
**Kodaira embedding theorem**

This motivates our geometric setup:

$(M, \omega)$  Kähler w/ line bundle  $(L, h) \downarrow M$  s.t.  $h$  has curvature  $\omega$  "Prequantum line bundle"

Goal: understand the topology of  $M$  via sections of  $L$

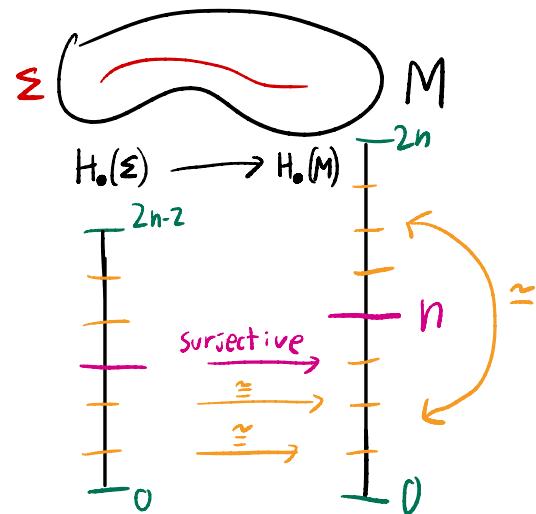
let  $s \in H^0(M, L)$  be a holo. section "Quantum state"

consider zero set  $\Sigma = \bar{s}^{-1}(0)$  (choose  $s$  s.t  $\Sigma$  is smooth)

### Thm (Lefschetz hyperplane theorem)

$H_k(\Sigma, \mathbb{Z}) \rightarrow H_k(M, \mathbb{Z})$  is

- an isomorphism for  $k < n-1$
- surjective for  $k = n-1$



### Thm: (hard) Lefschetz theorem

$$H_k(M, \mathbb{Z}) \cong H_{2n-k}(M, \mathbb{Z})$$

nearly all the topology of  $M$  is contained in  $\Sigma$ !

Lefschetz's program: study  $M$  using  $\Sigma$ , and induct on dimension!

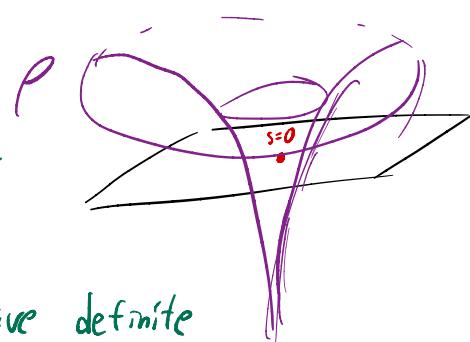
Biran's decomposition is a symplectic enhancement of Lefschetz hyperplane thm

### Proof (Lefschetz hyperplane theorem) w/ Morse theory!

Bott 1959, "On a theorem of Lefschetz"

for  $s \in H^0(M, L)$ , define  $\rho = \log \|s\|_h^2$

Fact:  $\frac{i}{2\pi} \partial \bar{\partial} \rho = F_h = \omega$   $\rho$  is plurisubharmonic



Prop: all critical pts of  $\rho$  have index  $\geq n$

$$\partial \bar{\partial} \rho = \sum \frac{\partial^2 \rho}{\partial z_i \partial \bar{z}_j} dz_i d\bar{z}_j = -2\pi i \omega \text{ negative definite}$$

$\Rightarrow$  Hess  $\rho$  is negative on  $n$ -dimensional subspace  $\text{span} \langle \partial z_i \rangle$

$\Rightarrow$  Hess  $\rho|_x$  has at least  $n$  negative e.v.s @ all crit pts  $x$

use Morse theory of  $\rho$  to build  $M$ :

$$\rho^{-1}(-\infty) = \bar{s}^{-1}(0) = \Sigma$$

$\Rightarrow$   $M$  built from  $\Sigma$  by attaching  $d$ -cells w/  $d \geq n$

$\Rightarrow$  applying homology LES, get Lefschetz hyperplane thm

note:  $\dim W_p^u = 2n - \text{index } p$ , but  $W_p^u$  is isotropic, so  $\dim(W_p^u) \leq n$   
 this gives an alternate proof that  $\text{index}(p) \geq n$

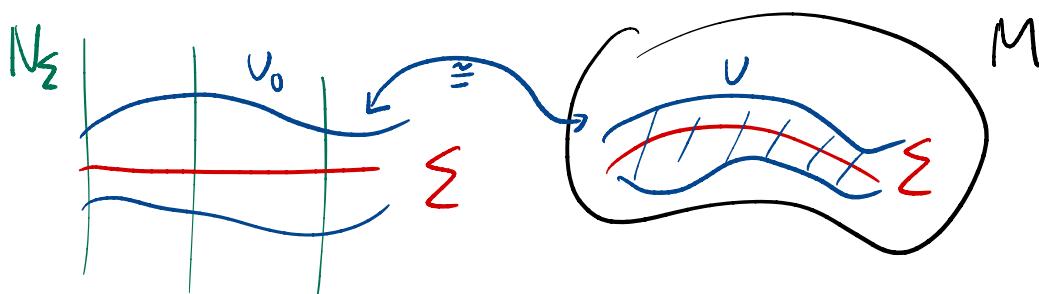
Next we turn to the symplectic geometry of the zero set  $\Sigma$ :

- $\Sigma \subset M$  is symplectic submanifold (as  $\Sigma \subset M$  complex)

Symplectic neighborhood theorem: let  $N_\Sigma$  be the normal bundle of  $\Sigma \hookrightarrow M$ .

$N_\Sigma$  has standard symplectic form  $\omega_0$  s.t.  $\Sigma \xrightarrow{\text{0 section}} N_\Sigma$  is symplectic

then,  $\exists$  nbhds  $U_0$  of  $\Sigma$  in  $N_\Sigma$ ,  $U$  of  $\Sigma$  in  $M$ , & symplectomorphism  $\psi: U_0 \rightarrow U$



we have a good symplectic model for a tubular nbhd of  $\Sigma$  in  $M$ .  
 we can only make this neighborhood radius 1 in  $N_\Sigma$ . more specifically,  
 let  $D_\Sigma^1$  be the unit disc bundle in  $N_\Sigma$  w/ radius 1

Theorem:  $D_\Sigma^1$  is symplectomorphic to  $M \setminus \Delta$

Intuition:

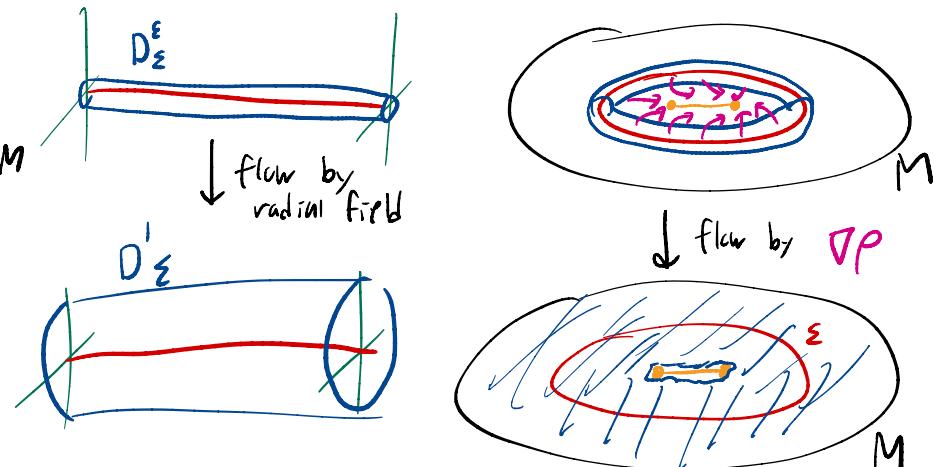
Start w/ small Disc bundle  $D_\Sigma^\epsilon$   
 & it's symplectomorphic image in  $M$

then flow w/  $\nabla \rho$ !

expand  $D_\Sigma^\epsilon$  until it fills  $M$

Since  $\nabla \rho$  Liaville,

$$\psi^+(D_\Sigma^\epsilon, \omega_0) = (D_\Sigma^1, \omega_0)$$



Proof sketch

$N_\Sigma \cong \mathcal{L}_\Sigma$  by adjunction formula

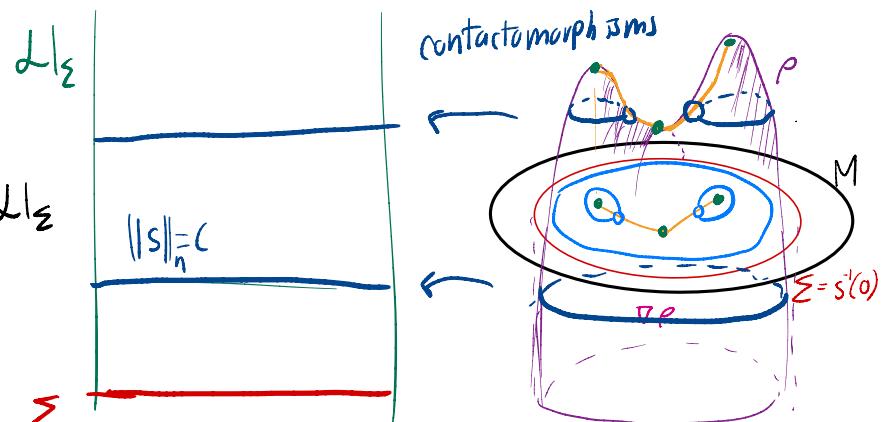
$\mathcal{L}_\Sigma$  has coords  $(x, f)$   $f \in \mathcal{L}_x$

If  $|f|_h$  is a plurisubharmonic function on  $\mathcal{L}_\Sigma$

want to equate  $|f|_h$  on  $D_\Sigma^1$  w/  $\rho$  on  $M$

so, equate contact manifolds

$$|f|_h^{-1}(c) \cong \rho^{-1}(c)$$



# Examples:

$$(M, \omega) = (\mathbb{C}P^2, \omega_{FS})$$

$L = \mathcal{O}(1)$  in projective coordinates  $[z_0 : \dots : z_n]$  hermitian metric is  $h([z_0 : \dots : z_n]) = \frac{1}{\sum |z_i|^2}$

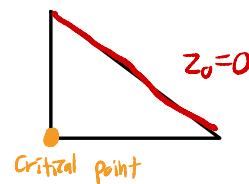
$H^0(\mathbb{C}P^2, \mathcal{O}(1))$  consists of linear functions

choose  $S = z_0 \in H^0(\mathbb{C}P^2, \mathcal{O}(1))$  :  $|S|_h^2 = \frac{|z_0|^2}{\sum |z_i|^2}$

$$\sum = S'(0) = \{z_0 = 0\}$$

critical points of  $S$  are only  $[1:0:\dots:0] \Rightarrow \Delta = [1:0:\dots:0]$

Birman Decomposition:  $\boxed{\mathbb{C}P^2 \simeq D_{\mathbb{P}}^1(\mathcal{O}(1)) \sqcup p_t}$



$$(M, \omega) = (\mathbb{C}P^2, 2\omega_{FS})$$

$L = \mathcal{O}(2)$   $h = \sum |z_i|^2$  hermitian metric doesn't change w/ tensor powers of  $L$

$H^0(\mathbb{C}P^2, \mathcal{O}(2))$  are homogenous quadratic functions

choose  $S = z_0^2 + z_1^2 + z_2^2$ :

$\sum \simeq \mathbb{C}P^1 \subset \mathbb{C}P^2$  is the quadric

crit  $S = \mathbb{R}\mathbb{P}^2$  (in a more bolt way), so  $\Delta = \mathbb{R}\mathbb{P}^2$

$\boxed{(\mathbb{C}P^2, 2\omega_{FS}) \simeq D_{\mathbb{P}}^1(\mathcal{O}(2)) \sqcup \mathbb{R}\mathbb{P}^2}$

### Part III: Symplectic embeddings

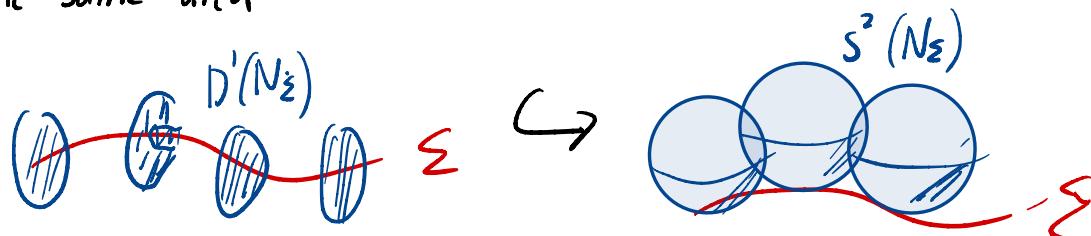
we have decomposition  $M = D^1(N_\Sigma) \sqcup \Delta$

if there are no symplectic embeddings  $B^r \hookrightarrow D^1(N_\Sigma)$ , then every symplectic ball  $B^r \subset M$  must intersect  $\Delta$ :  $\Delta$  is a barrier

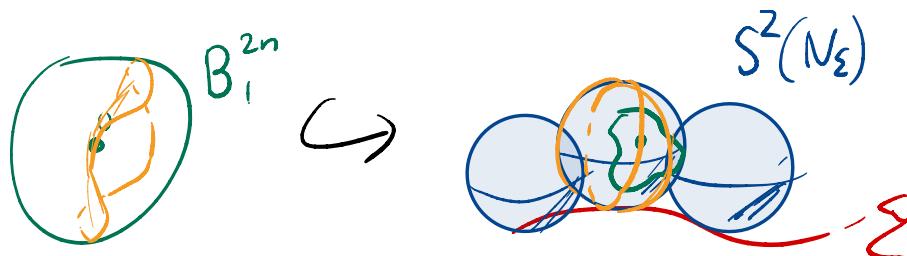
Thm:  $\exists$  symplectic ball  $i: B^r \hookrightarrow D^1(N_\Sigma) \iff r \leq 1$

**Proof  $\Rightarrow$ :** we wish to obstruct symplectic balls of radius  $> 1$  rigidity follow the argument of from non-squeezing:

1. compactify  $D^1(N_\Sigma)$  from disc bundle to  $\mathbb{P}^1$ -bundle  $S^2(N_\Sigma)$  w/ the fibers having the same area



2. find a  $J$ -holomorphic curve through center of ball  $i(d)$ , in homology class of the fiber



To do this, we compute that the gromov-witten invariant counting these curves is 1. To verify GW Theory works here takes some work & technical assumptions on  $M$ . To get the count 1, we count holo. curves in the original kahler structure

3. pull back  $J$ -curve to  $B_i$ , & bound 2D area of  $B_i$  using area of  $J$ -curve

(this part is identical to the non-squeezing theorem)

**Proof  $\Leftarrow$ :** wish to show  $\exists$  embedding int  $B_i^{2n} \hookrightarrow D^1(N_\Sigma)$

we will realize lefschetz's dream in a symplectic world, & construct  $B_i^{2n}$  inductively

**lemma:** if  $E_a \subset \mathbb{C}^n$  is a symplectic ellipsoid of radii  $a_1, \dots, a_n$ , then the disc bundle  $D^r(E_a \times \mathbb{C})$  is symplectomorphic to  $E_{\vec{a}, r} \subset \mathbb{C}^{n+1}$

**Warning:** the symplectic disc bundle does not carry the product symplectic structure  
symplectic form is  $(1-r\rho^2)\alpha^* \omega_{std} + r\rho d\rho \wedge d\theta$

this is noted, for example, in lemma 2.1 of

Opshtain 2006, "Maximal symplectic packings of P2"

in particular, if  $B_i^{2(n+1)} \hookrightarrow \Sigma$  is a symplectic ball

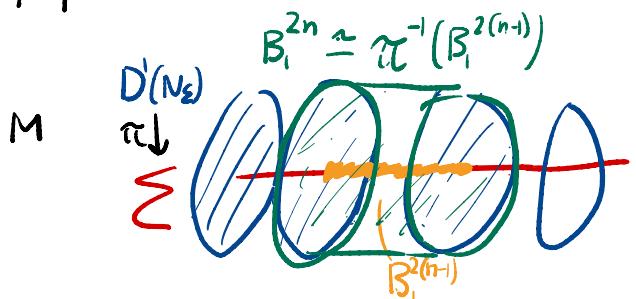
then  $\pi^{-1}(B_i^{2(n+1)}) \cong \Sigma_{1,\dots,1} = B_i^{2n} \hookrightarrow M$

so, symplectic ball  $B_i^{2(n+1)} \hookrightarrow \Sigma \Rightarrow$  symplectic ball  $B_i^{2n} \hookrightarrow M$

when  $n=0$ , have symplectic embedding  $B_i^0 = \mathbb{R}^{2n} \hookrightarrow M^0 = \mathbb{R}^{2n}$

induct on dimension!

$\Rightarrow$  every integral Kähler manifold contains  
a symplectic ball of radius 1



Remarks:

**corollary:** every rational Kähler manifold is fully filled by an ellipsoid

This idea was used to prove packing stability for all rational symplectic manifolds:

1. use Donaldson submfld to construct  $\Sigma$
2. full packings of  $M \Leftrightarrow$  full packing of  $\Sigma$  (induct on dimension)
3. reduce to 4D problem, & use ECH capacities

Buse, Hind 2013: "Ellipsoid embeddings and symplectic packing stability"

# Part IV: extention & applications

## extention to non-Kahler manifolds

We can get a Biran type decomposition for arbitrary rational manifolds.

First we need a candidate for  $\Sigma$ : this is provided by Donaldson's approach to symplectic submanifolds. Instead of setting  $\Sigma = s^{-1}(0)$  for  $s$  holomorphic, we attempt to find a section  $s$  s.t.  $\bar{\partial}_J s = 0$  for some almost complex structure  $J$ . alas,  $\bar{\partial}_J s = 0$  generally has no solutions.

We suffice w/ a family of almost holomorphic sections  $s_k \in \Gamma(L^k)$ , satisfying:

$$-\|\bar{\partial}_J s\|_{L^\infty} \leq C/k$$

$$-|\bar{\partial}_J s| \leq |\partial_J s| \text{ on } s^{-1}(0)$$

for  $k$  sufficiently large,  $\Sigma = s^{-1}(0)$  is symplectic, w/  $[\Sigma] = \mathrm{tr} \mathrm{PD}([\omega])$

Donaldson proved  $\Sigma$  always exist. Biran proved they have an analogous Biran decomposition for a 4-manifold.

Biran 1999, "A stability property of symplectic packing"

Using this, Biran proved packing stability for rational 4-manifolds: full filling by disjoint balls  
 $\exists N$  s.t.  $\forall n > N$ ,  $\exists$  full filling by  $N$  equal radius balls.

