

λ -calculus and Functional Programming

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January 6, 2017

Motivation

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Let's consider $f(x) = x^2$ and $g(x) = \sin x$.

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Let's consider $f(x) = x^2$ and $g(x) = \sin x$.

We can write the **composition** of f and g as
 $h(x) = f(g(x)) = \sin^2 x$. For example, $h(\frac{\pi}{6}) = \frac{1}{4}$.

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Let's consider $f(x) = x^2$ and $g(x) = \sin x$.

We can write the **composition** of f and g as
 $h(x) = f(g(x)) = \sin^2 x$. For example, $h(\frac{\pi}{6}) = \frac{1}{4}$.

What about $h = f(g)$?

We'd have $(f(g))(\frac{\pi}{6})$. Is this meaningful?

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Passing functions as data around like this is called using **first-class functions**.

Let's consider the function $s(x, y) = x^2 + y^2$. For example, $s(3, 5) = 3^2 + 5^2 = 34$.

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Passing functions as data around like this is called using **first-class functions**.

Let's consider the function $s(x, y) = x^2 + y^2$. For example, $s(3, 5) = 3^2 + 5^2 = 34$.

We can write this function **anonymously** as $(x, y) \mapsto x^2 + y^2$.

What advantages does this have?

Currying

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First, let's restrict ourselves to functions that take one argument.

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First, let's restrict ourselves to functions that take one argument.

How can we accommodate functions that take multiple arguments?

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First, let's restrict ourselves to functions that take one argument.

How can we accommodate functions that take multiple arguments?

Through **currying**: $x \mapsto (y \mapsto x^2 + y^2)$.

Currying

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First, let's restrict ourselves to functions that take one argument.

How can we accommodate functions that take multiple arguments?

Through **currying**: $x \mapsto (y \mapsto x^2 + y^2)$.

One of the first cool things we can do is to do **partial application**:

$$[(x \mapsto (y \mapsto x^2 + y^2))(3)](5) = (y \mapsto 9 + y^2)(5) = 34$$

.

The λ -operator

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What if we make everything a function? Then we get λ -calculus.

Let's rewrite our anonymous function $x \mapsto (y \mapsto x^2 + y^2)$ as $\lambda x.(\lambda y.(x^2 + y^2))$.

Our function application would be written as:

$$\begin{aligned} ((\lambda x.(\lambda y.(x^2 + y^2))\ 3)\ 5) &= ((\lambda y.(3^2 + y^2))\ 5) \\ &= ((\lambda y.(9 + y^2))\ 5) \\ &= 9 + 5^2 \\ &= 34 \end{aligned}$$

λ -expressions

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These three rules together enumerate all the set of all valid λ -**expressions** (or λ -terms), Λ , for some variables V :

- 1 **Variables:** $x \in V \rightarrow x \in \Lambda$. For example, $x \in \Lambda$.
- 2 **λ -abstraction:** $x \in V, t \in \Lambda \rightarrow (\lambda x.t) \in \Lambda$. For example, for $x = x$ and $t = x^2 + 2$, then $(\lambda x.x^2 + 2) \in \Lambda$.
- 3 **λ -application:** $t, s \in \Lambda \rightarrow (ts) \in \Lambda$. For example, if $t = (\lambda x.x^2 + 2)$ and $s = 3$, then $((\lambda x.x^2 + 2) 3) \in \Lambda$.

Variables

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Variables are either **free** or **bound**, with free variables $F(q)$ of all expressions $q \in \Lambda$ defined as follows:

$$1 \quad x \in V \rightarrow F(x) = \{x\}$$

$$2 \quad x \in V, t \in \Lambda \rightarrow F(\lambda x.t) = V(t) - \{x\}$$

$$3 \quad t, s \in \Lambda \rightarrow F(ts) = F(t) \cup V(s)$$

For example, in $(\lambda x.x + y)$, x is bound while y is free.

λ -terms are very much like functions, but they take functions as input!

α -substitution

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Definition (α -substitution)

Replacement in some expression A of one variable by another variable to yield the expression B when it doesn't change the meaning of the expression, denoted $A \xrightarrow{\alpha} B$.

For example,

- $\lambda x.x \equiv \lambda y.y \equiv \lambda z.z$
- $\lambda x.x \not\equiv \lambda x.y$

Notation

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To simplify, for notational purposes, we write the following:

- $\lambda x_0 x_1 x_2 \dots x_n. t$ means $(\lambda x_0. (\lambda x_1. (\lambda x_2 \dots (\lambda x_n. t)))) \dots$
- $E_0 E_1 E_2 \dots E_n$ means $(\dots ((E_0 E_1) E_2) \dots) E_n$

More operations

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We can **substitute** some variable x for some variable y in t , denoted $t[x := y]$, by replacing all occurrences of x with y . Subject to some annoying restrictions (that we won't list here):

Definition (β -reduction)

The “application” of a λ -term to another λ -term:

$$(\lambda x.t)s \xrightarrow[\beta]{} t[x := s].$$

Definition (η -conversion)

The “abstraction” of a λ -term f : $f \xrightarrow[\eta]{} \lambda x.fx$, when x does not appear free in f .

This captures the intuitive notion of function application. We have now defined every possible computer program.

???

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Wait, what??? How!

Let's find out!

Basic functions

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We begin with basic functions.

- *Identity*: $\mathbf{I} \equiv \lambda x.x$
- *Constant*: $\mathbf{C} \equiv \lambda x.y$

Boolean logic functions

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Intuitively, we want to have Boolean values **T** and **F** where for some values x and y and a Boolean value B , Bxy evaluates to the expression “if B then x else y ”. We may accomplish this as follows:

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Intuitively, we want to have Boolean values **T** and **F** where for some values x and y and a Boolean value B , Bxy evaluates to the expression “if B then x else y ”. We may accomplish this as follows:

- *True*: $\mathbf{T} \equiv \lambda xy.x$
`def T(x,y): return x`
- *False*: $\mathbf{F} \equiv \lambda xy.y$
`def F(x,y): return y`

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- *False*: $\mathbf{F} \equiv \lambda xy.y$
`def F(x,y): return y`
- *Negation*: $\neg \equiv \lambda x.x\mathbf{F}\mathbf{T}$ (read: “if x then **F** else **T**”)
`def not(x): return x(F, T)`

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- *Negation*: $\neg \equiv \lambda x.x\mathbf{F}\mathbf{T}$ (read: “if x then **F** else **T**”)
`def not(x): return x(F, T)`
- *Conjunction*: $\wedge \equiv \lambda xy.xy\mathbf{F}$ (read: “if x then y else **F**”)
`def and(x,y): return x(y, F)`

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Intuitively, we want to have Boolean values **T** and **F** where for some values x and y and a Boolean value B , Bxy evaluates to the expression “if B then x else y ”. We may accomplish this as follows:

- *True*: $\mathbf{T} \equiv \lambda xy.x$
`def T(x,y): return x`
- *False*: $\mathbf{F} \equiv \lambda xy.y$
`def F(x,y): return y`
- *Negation*: $\neg \equiv \lambda x.x\mathbf{F}\mathbf{T}$ (read: “if x then **F** else **T**”)
`def not(x): return x(F, T)`
- *Conjunction*: $\wedge \equiv \lambda xy.xy\mathbf{F}$ (read: “if x then y else **F**”)
`def and(x,y): return x(y, F)`
- *Disjunction*: $\vee \equiv \lambda xy.x\mathbf{T}y$ (read: “if x then **T** else y ”)
`def or(x,y): return x(T, y)`

Arithmetic

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We can also define the natural numbers using λ -calculus, the basic idea being that \mathbf{x} , the λ -term corresponding to the natural number x , when applied to a function f and a value v , should apply f x times to v . These are called the **Church numerals**.

■ *Zero*: $\mathbf{0} \equiv \lambda f v. v$

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- *Zero*: $\mathbf{0} \equiv \lambda f v. v$
- *Natural numbers*: $\mathbf{1} \equiv \lambda f v. f(v)$, $\mathbf{2} \equiv \lambda f v. f(f(v))$, $\mathbf{3} \equiv \lambda f v. f(f(f(v)))$, \dots , $\mathbf{x} \equiv \lambda f v. f^x(v)$

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- *Zero*: $\mathbf{0} \equiv \lambda fv.v$
- *Natural numbers*: $\mathbf{1} \equiv \lambda fv.f(v)$, $\mathbf{2} \equiv \lambda fv.f(f(v))$, $\mathbf{3} \equiv \lambda fv.f(f(f(v)))$, \dots , $\mathbf{x} \equiv \lambda fv.f^x(v)$
- *Successor*: $\mathbf{S} \equiv \lambda wfv.f(wfv)$

An example!

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For example,

$$\begin{aligned}\mathbf{S1} &\equiv (\lambda wfv.y(wfv))(\lambda fv.f(v)) \\ &= \lambda fv.f((\lambda fv.f(v))fv) \\ &= \lambda fv.f(f(v)) \\ &\equiv \mathbf{2}\end{aligned}$$

Arithmetic operations

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■ *Addition:* $+ \equiv \lambda xy.x\mathbf{S}y$

Arithmetic operations

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- *Addition*: $+ \equiv \lambda xy.x\mathbf{S}y$
- *Multiplication*: $* \equiv \lambda xyfv.x(yf)v$

Arithmetic operations

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- *Addition*: $+$ $\equiv \lambda xy.x\mathbf{S}y$
- *Multiplication*: $*$ $\equiv \lambda xyfv.x(yf)v$
- *Zero?*: $\mathbf{Z} \equiv \lambda x.x(\lambda y.\mathbf{F})\mathbf{T}$

Recursion

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We can't write recursion directly. However, we can still do things recursively by passing functions themselves as arguments: $(\lambda x.xx)y$ calls y on itself.

We define the **Y-combinator** (a **fixed-point combinator**) as follows:

$$\mathbf{Y} \equiv \lambda y.(\lambda x.y(xx))(\lambda x.y(xx))$$

This has the important property that for any R ,

$$\begin{aligned}\mathbf{Y}R &\equiv (\lambda x.R(xx))(\lambda x.R(xx)) \\ &= R((\lambda x.R(xx))(\lambda x.R(xx))) \\ &= R(\mathbf{Y}R)\end{aligned}$$

Thus, we have recursion!

Recursion example

We can recursively evaluate functions like the factorial by defining terms like $! = \lambda rn.(\mathbf{Z}n)\mathbf{1}(*n(r(\mathbf{P}n)))$ and then “calling them on themselves”.

```
def fact(f, n):  
    if n == 0: return 1  
    else: return n * f(n-1)
```

$$\begin{aligned}(\mathbf{Y}!)3 &\equiv !(\mathbf{Y}!)3 \\&= (\lambda rn.(\mathbf{Z}n)\mathbf{1}(*n(r(\mathbf{P}n))))(\mathbf{Y}!)3 \\&= (\lambda n.(\mathbf{Z}n)\mathbf{1}(*n((\mathbf{Y}!)(\mathbf{P}n))))3 \\&= (\mathbf{Z}3)\mathbf{1}(*3((\mathbf{Y}!)(\mathbf{P}3))) \\&= *3((\mathbf{Y}!)2) \\&= *3((\lambda rn.(\mathbf{Z}n)\mathbf{1}(*n(r(\mathbf{P}n))))(\mathbf{Y}!)2) \\&= *3((\lambda n.(\mathbf{Z}n)\mathbf{1}(*n((\mathbf{Y}!)(\mathbf{P}n))))2)\end{aligned}$$

Example, continued

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$$\begin{aligned} &= *3((Z2)1(*2((Y!)(P2)))) \\ &= *3(*2((Y!)1)) \\ &= *3(*2((Y!)1)) \\ &= *3(*2(\lambda rn.(Zn)1(*n(r(Pn))))(Y!1)) \\ &= *3(*2((\lambda n.(Zn)1(*n((Y!)(Pn))))1)) \\ &= *3(*2((Z1)1(*3((Y!)(P1)))) \\ &= *3(*2(*1((Y!)0))) \\ &= *3(*2(*1((\lambda rn.(Zn)1(*n(r(Pn))))(Y!1)))) \\ &= *3(*2(*1((\lambda n.(Zn)1(*n((Y!)(Pn))))1))) \\ &= *3(*2(*1(Z0)1(*0((Y!)(P1)))) \\ &= *3(*2(*1 1)) \\ &\equiv 6 \end{aligned}$$

λ in imperative languages

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Let's say we had a list of integers, and we want to get a new list that contains each integer in the old list plus 1:

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Let's say we had a list of integers, and we want to get a new list that contains each integer in the old list plus 1:

Java:

```
int[] newarray = new int[array.length];  
for (int i = 0; i < array.length; i++) {  
    newarray[i] = array[i] + 1;  
}
```

λ in imperative languages

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Let's say we had a list of integers, and we want to get a new list that contains each integer in the old list plus 1:

Java:

```
int[] newarray = new int[array.length];  
for (int i = 0; i < array.length; i++) {  
    newarray[i] = array[i] + 1;  
}
```

Python:

```
newarray = list(map(array, lambda x: x+1))
```

Functional programming (Haskell)

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```
reverse' :: [a] -> [a]
reverse' [] = []
reverse' (x:xs) = reverse' xs ++ [x]
```

```
elem' :: (Eq a) => a -> [a] -> Bool
elem' _ [] = False
elem' y (x:xs)
    | y == x = True
    | otherwise = elem' y xs
```

```
qsort :: (Ord a) => [a] -> [a]
qsort [] = []
qsort (x:xs) = qsort [y | y <- xs, y <= x] ++
               [x] ++ qsort [y | y <- xs, y > x]
```