Real Applications of Structural Combinatorics Three Case Studies

Cheyne Homberger



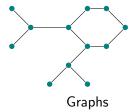
CCICADA March 13th, 2014 Patterns in Data

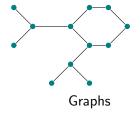
Genome Rearrangement

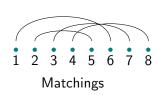
Combinatorial Testing

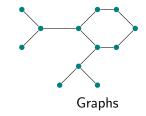


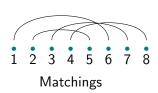


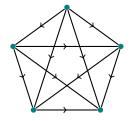




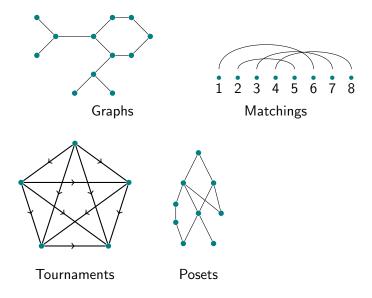


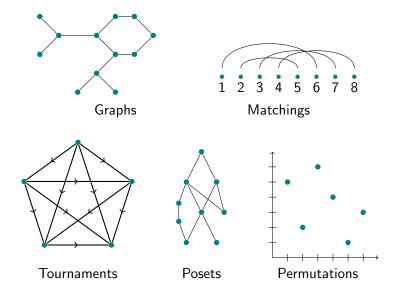






Tournaments





Relational Structures

Definition

A *relational structure* consists of a *ground set* together with a set of *relations*, describable using first-order logic.

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Example

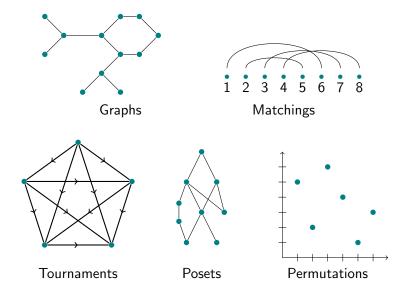
A graph is a ground set (vertices) together with a 2-relation (edges) which is symmetric and nonreflexive.

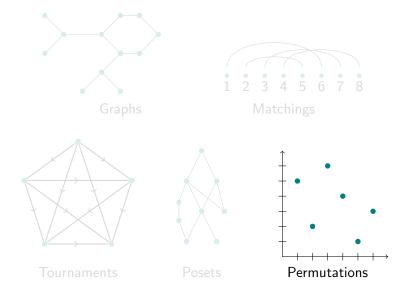
That is:

$$\mathcal{G} = (\mathcal{S}, \mathcal{R})$$
, where $\mathcal{R} \subset \mathcal{S} \times \mathcal{S}$,

with

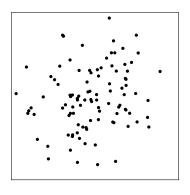
$$(x,y) \in \mathcal{R} \implies (y,x) \in \mathcal{R}$$
, and $(x,x) \notin \mathcal{R} \ \forall x \in \mathcal{S}$.





Patterns in Data (and Permutations)

Random Data



Definition

An permutation of length n is a bijection from the set $[n] = \{1, 2, \dots n\}$ to itself. The one-line notation for a permutation π is

$$\pi = \pi(1)\pi(2)\dots\pi(n).$$

The set of all permutations of length n is denoted \mathfrak{S}_n .

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Examples

▶ The sequence $\pi = 5172643$ is a permutation of length 7.

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- ▶ The sequence $\pi = 5172643$ is a permutation of length 7.
- The six permutations of length 3 are

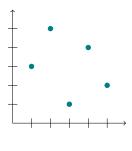
$$\mathfrak{S}_3 = \{123, 132, 213, 231, 312, 321\}.$$

Definition

$$\{(1, \pi(1)), (2, \pi(2)), \cdots (n, \pi(n))\} \subset \mathbb{R}^2$$

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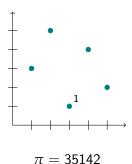
$$\{(1,\pi(1)),(2,\pi(2)),\cdots(n,\pi(n))\}\subset \mathbb{R}^2$$



$$\pi = 35142$$

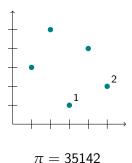
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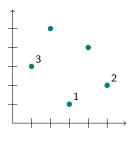
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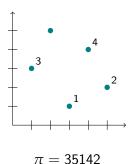
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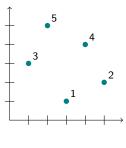
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Let A and B be two sets of n points in \mathbb{R}^2 , each with the property that no two points lie on the same horizontal or vertical line. Say that A is order isomorphic to B (denoted $A \sim B$) if A can be transformed into B by stretching, contracting, and translating the axes horizontally and vertically.

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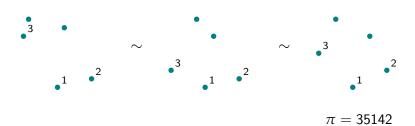
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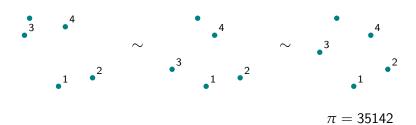
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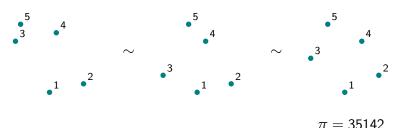
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Definition

For a permutation $\pi=\pi_1\pi_2\dots\pi_n$, the reverse, the complement, and the inverse of π are denoted π^r , π^c , and π^{-1} , and defined as follows:

$$(\pi^r)_i=\pi_{n+1-i}, \quad (\pi^c)_i=n+1-\pi_i, ext{ and}$$

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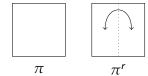


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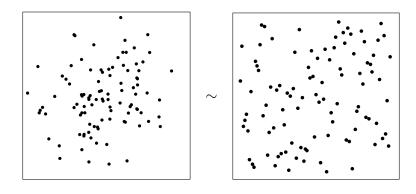




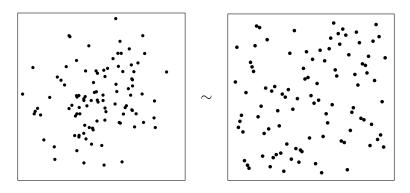
Random Data



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 $\pi=61\ 84\ 31\ 35\ 39\ 28\ 9\ 54\ 6\ 4\ 74\ 71\ 68\ 85\ 98\ 38\ 97\ 45\ 12\ 27\ 57\ 89\ 30\ 5\ 55\ 11\ 58$ 13\ 42\ 32\ 14\ 53\ 2\ 51\ 20\ 56\ 80\ 10\ 43\ 95\ 17\ 50\ 8\ 16\ 15\ 70\ 63\ 81\ 64\ 24\ 52\ 76\ 47 7\ 60\ 49\ 82\ 1\ 25\ 75\ 40\ 34\ 83\ 90\ 46\ 100\ 69\ 65\ 93\ 86\ 22\ 96\ 21\ 92\ 3\ 79\ 29\ 41 44\ 66\ 94\ 59\ 87\ 37\ 73\ 36\ 72\ 67\ 78\ 19\ 33\ 88\ 62\ 99\ 23\ 91\ 26\ 48\ 18\ 77



Permutation Patterns

Definition

Let $\pi=\pi(1)\pi(2)\cdots\pi(n)$ and $\sigma=\sigma(1)\sigma(2)\cdots\sigma(k)$ be two permutations. π contains σ as a pattern (written $\sigma\prec\pi$) if there is some subsequence $\pi(i_1)\pi(i_2)\dots\pi(i_k)$ which is order isomorphic to the entries of σ (i.e., $\pi(i_j)<\pi(i_k)$ if and only if $\sigma(j)<\sigma(k)$).

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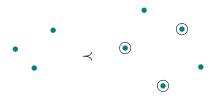
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Permutation Patters

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The pattern 12 is contained in all permutations *except* for the decreasing ones:

 $12 \not\prec n \dots 321$.

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Definition

If a permutation π does not contain a pattern σ , we say that π avoids σ . The set of all permutations which avoid a given pattern (or set of patterns) σ is denoted

$$Av(\sigma)$$
.



Permutation Classes

Definition

A permutation class is a set $\mathcal C$ of permutations for which, if $\pi \in \mathcal C$ and $\sigma \prec \pi$, then $\sigma \in \mathcal C$. Let $\mathcal C_n$ denote the set of permutations of length n in $\mathcal C$.

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 $\mathsf{Av}(\sigma)$ is a permutation class for any pattern (or set of patterns) $\sigma.$

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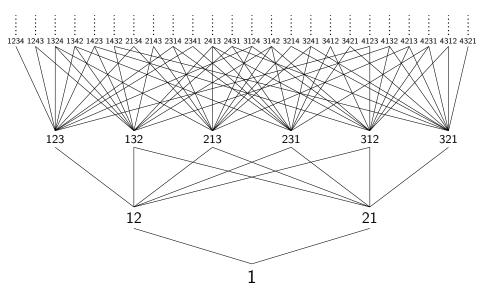
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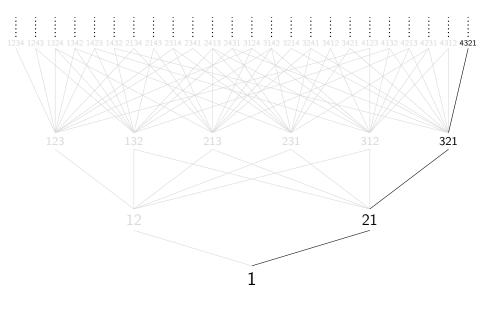
Theorem (Marcus and Tardos, 2004)

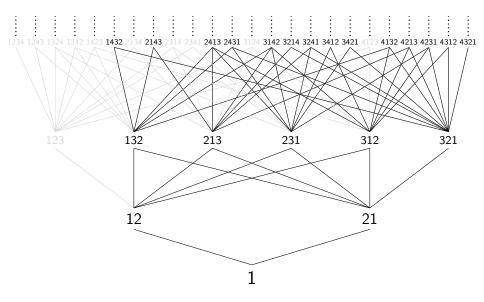
Every proper permutation class has a finite exponential growth rate. That is, for any proper class \mathcal{C} , there exists a real number s such that

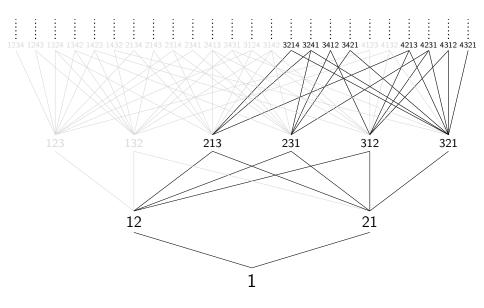
$$\limsup_{n\to\infty}\sqrt[n]{|\mathcal{C}_n|}=s.$$

This number s is the growth rate of the class.









Definition

Let c_n be the number of permutations of length n which avoid the pattern 132, and $C(x) = \sum_{n \geq 0} c_n x^n$.

Definition

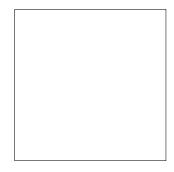
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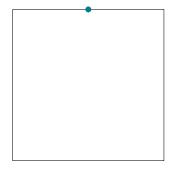
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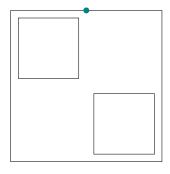
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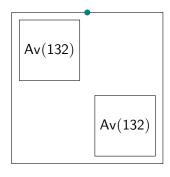
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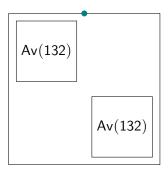
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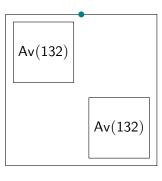
$$C(x) = xC(x)^2 + 1$$

Definition

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What does a 132-avoiding permutation look like?



$$0 = xC(x)^2 - C(x) + 1$$

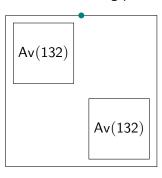
 $C(x) = xC(x)^2 + 1$

$$0 \equiv xC(x)^{\perp} - C(x) + C(x)$$

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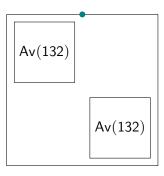
$$C(x) = xC(x)^{2} + 1$$

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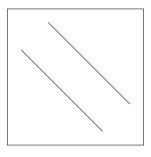
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$$c_{n} = \frac{1}{n + 1} {2n \choose n}$$

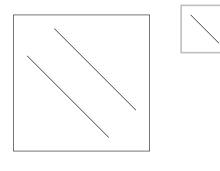


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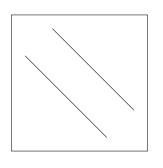
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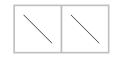


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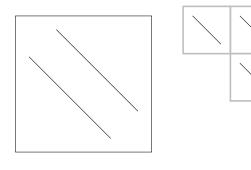


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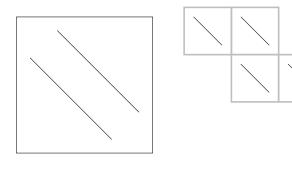




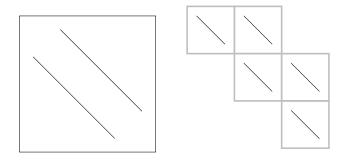
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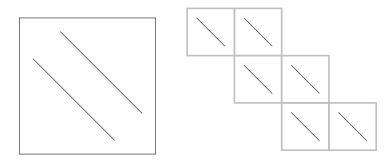
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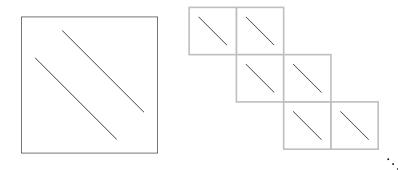
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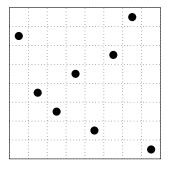


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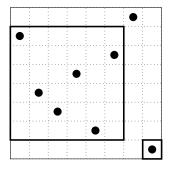


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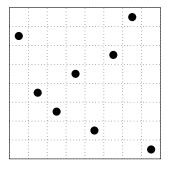




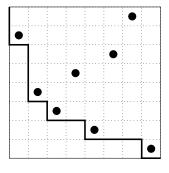
Av(132)



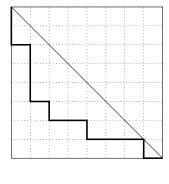
Av(132)



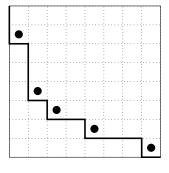
Av(132)



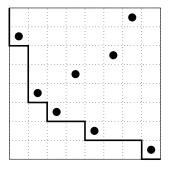
Av(132)



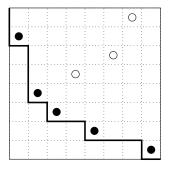
Av(132)



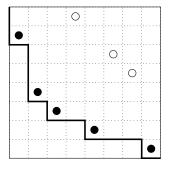
Av(132)



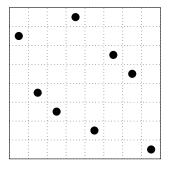
Av(132)



Av(132)



 $\mathsf{Av}(132) \mapsto \mathsf{Av}(123)$



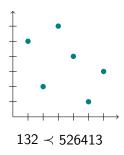
Av(123)

$$|Av_n(123)| = |Av_n(132)|$$

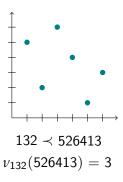
 $|\operatorname{Av}_n(123)| = |\operatorname{Av}_n(132)| = \frac{1}{n+1} {2n \choose n}.$

Patterns

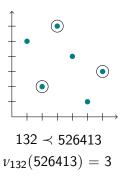
Patterns



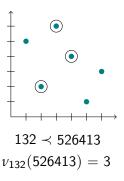
Patterns



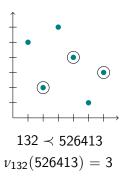
Patterns



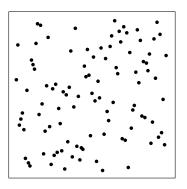
Patterns



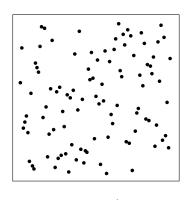
Patterns



Random Data

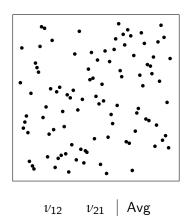


Random Data



 $\begin{array}{c|cc}
\nu_{12} & \nu_{21} & \mathsf{Avg} \\
2803 & 2147 & 2475
\end{array}$

Random Data



			'			
ν_{123}	ν_{132}	ν_{213}	ν_{231}	ν_{312}	ν_{321}	Avg
35357	30063	31414	22321	23348	19197	26950

Patterns as Random Variables

Theorem (Bóna 2007)

For a (uniformly) randomly selected permutation of length n, the random variables ν_σ are asymptotically normal as n approaches infinity.

Theorem (Janson, Nakamura, Zeilberger 2013)

For a randomly selected permutation of length n and two patterns σ and ρ , the random variables ν_{σ} and ν_{ρ} are asymptotically jointly normally distributed as $n \to \infty$.

Fact

In \mathfrak{S}_n , the number of occurrences of a specific pattern depends only on the length of the pattern. That is, for a pattern $\sigma \in \mathfrak{S}_k$, we have

$$\nu_{\sigma}(\mathfrak{S}_n) = \frac{n!}{k!} \binom{n}{k}.$$

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Question

How does this change when we replace \mathfrak{S}_n with a proper permutation class?

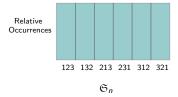
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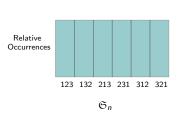
Fact

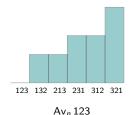
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Question

How does this change when we replace \mathfrak{S}_n with a proper permutation class?





Previous Results

Theorem (Bóna)

In Av_n 132, the pattern 123 is the least common, 321 is the most common, and $\nu_{213}=\nu_{231}=\nu_{312}.$



Data

Av 132								
length	123	132	213	231	312	321		
3	1	0	1	1	1	1		
4	10	0	11	11	11	13		
5	68	0	81	81	81	109		
6	392	0	500	500	500	748		
7	2063	0	2794	2794	2794	4570		

Data

Av 132							
	length	123	132	213	231	312	321
	3	1	0	1	1	1	1
	4	10	0	11	11	11	13
	5	68	0	81	81	81	109
	6	392	0	500	500	500	748
	7	2063	0	2794	2794	2794	4570

O	392	U	500	500	500	140
7	2063	0	2794	2794	2794	4570
			Av 123			
length	123	132	213	231	312	321
3	0	1	1	1	1	1

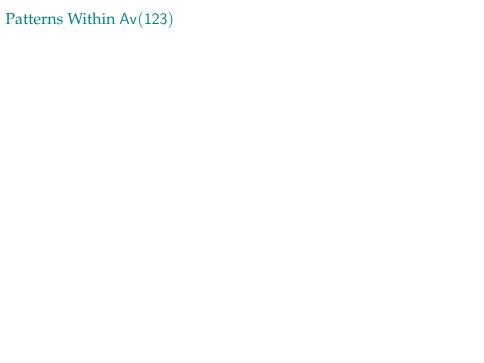
Data

Av 132								
	length	123	132	213	231	312	321	
	3	1	0	1	1	1	1	
	4	10	0	11	11	11	13	
	5	68	0	81	81	81	109	
	6	392	0	500	500	500	748	
	7	2063	0	2794	2794	2794	4570	
Av 123								
		400	400	040	004	~ 4 ~		

AV 123						
length	123	132	213	231	312	321
3	0	1	1	1	1	1
4	0	9	9	11	11	16

Data

Av 132							
length	123	132	213	231	312	321	
3	1	0	1	1	1	1	
4	10	0	11	11	11	13	
5	68	0	81	81	81	109	
6	392	0	500	500	500	748	
7	2063	0	2794	2794	2794	4570	
Av 123							
length	123	132	213	231	312	321	
3	0	1	1	1	1	1	
4	0	9	9	11	11	16	
5	0	57	57	81	81	144	



Patterns Within Av(123)

Theorem

The total nuber of 231 (and 312) patterns is identical within the sets ${\rm Av}_n(123)$ and ${\rm Av}_n(132)$.

Patterns Within Av(123)

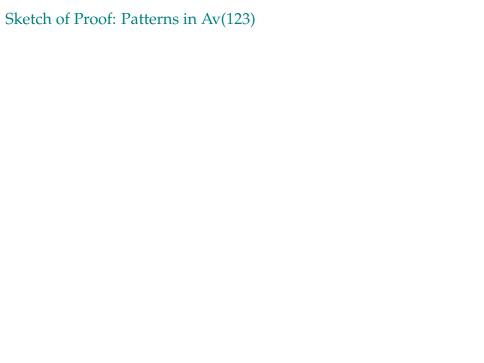
Theorem

The total nuber of 231 (and 312) patterns is identical within the sets ${\rm Av}_n(123)$ and ${\rm Av}_n(132)$.

Further, within $Av_n(123)$,

$$u_{132} = \nu_{213} \sim \sqrt{\frac{n}{\pi}} 4^n,$$

$$\nu_{231} = \nu_{312} \sim \frac{n}{2} 4^n,$$
and $\nu_{321} \sim \frac{8}{3} \sqrt{\frac{n^3}{\pi}} 4^n.$



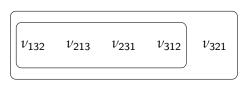
$$v_{132}$$
 v_{213} v_{231} v_{312} v_{321}

$$v_{132} + v_{213} + v_{231} + v_{312} + v_{321} = \binom{n}{3} c_n$$

(Both sides count the number of length three patterns)

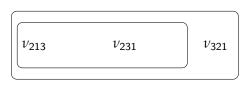
$$2\nu_{132} + 2\nu_{213} + \nu_{231} + \nu_{312} = (n-2)\nu_{12}$$

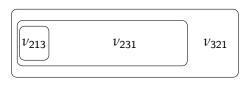
(Count triples containing a 12 pattern \dots)

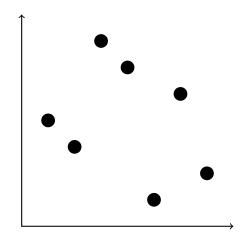


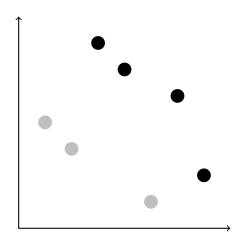
$$\boxed{\nu_{132} = \nu_{213} \quad \nu_{231} = \nu_{312} \quad \nu_{321}}$$

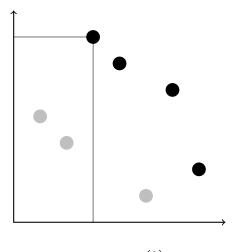
(Since Av(123) is closed under inversion)



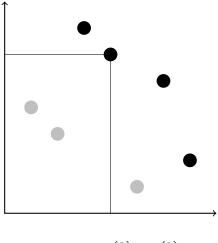




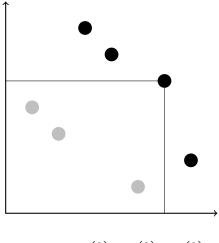




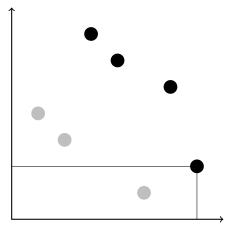
$$\nu_{213}(p) = \binom{2}{2}$$



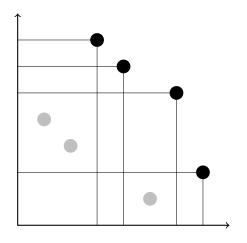
$$\nu_{213}(p) = \binom{2}{2} + \binom{2}{2}$$



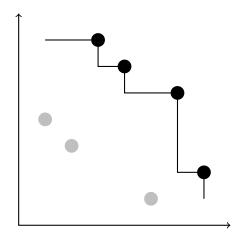
$$v_{213}(p) = \binom{2}{2} + \binom{2}{2} + \binom{3}{2}$$



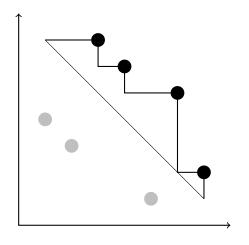
$$u_{213}(p) = \binom{2}{2} + \binom{2}{2} + \binom{3}{2} + \binom{1}{2}$$



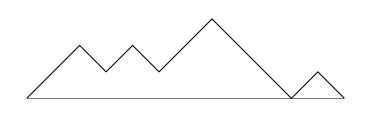
$$v_{213}(p) = {2 \choose 2} + {2 \choose 2} + {3 \choose 2} + {1 \choose 2} = 5$$



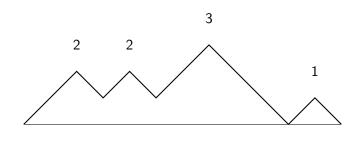
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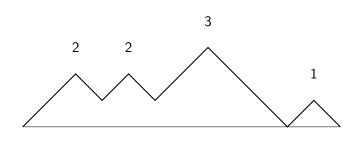


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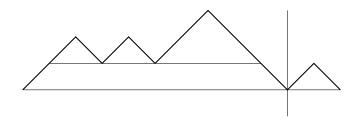
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Let $h_{n,k}$ denote the total number of peaks at height k in all Dyck paths of semilength n. Let $H(x,u) = \sum_{n,k>0} h_{n,k} x^n u^k$.



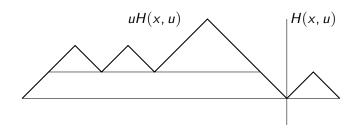
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$$H(x, u) = ux(H(x, u) + 1)C(x) + xC(x)H(x, u)$$

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$$H(x, u) = \frac{uxC(x)}{1 - uxC(x) - xC(x)}.$$

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$$\sum_{n\geq 0} \nu_{213}(\mathsf{Av}_n^*(123)) x^n = \sum_{n\geq 0} \binom{k}{2} h_{n-1,k} x^n$$

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$$\sum_{n \ge 0} \nu_{213}(\mathsf{Av}_n^*(123))x^n = \sum_{n \ge 0} \binom{k}{2} h_{n-1,k} x^n$$

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$$= \frac{x^3 C(x)}{(1 - 4x)^{3/2}}$$

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Results

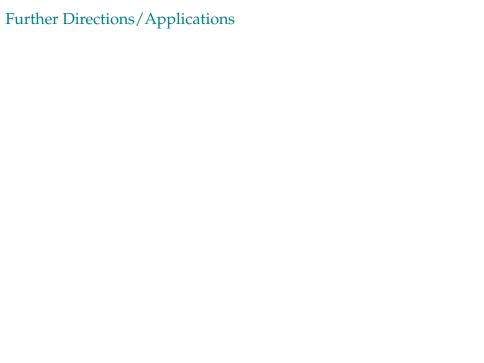
$$\nu_{231}(\mathsf{Av}_n\,123) = \nu_{231}(\mathsf{Av}_n\,132)$$

Results

$$\nu_{213} = \frac{n+2}{4} \binom{2n}{n} - 3 \cdot 2^{2n-3}$$

$$u_{231} = (2n-1) \binom{2n-3}{n-2} - (2n+1) \binom{2n-1}{n-1} + (n+4) \cdot 2^{2n-3}$$

$$\nu_{321} = \frac{1}{6} \binom{2n+5}{n+1} \binom{n+4}{2} - \frac{5}{3} \binom{2n+3}{n} \binom{n+3}{2} + \frac{17}{3} \binom{2n+1}{n-1} \binom{n+2}{2} - 6 \binom{2n-1}{n-2} \binom{n+1}{2} - (n+1) \cdot 4^{n-1}.$$



Question

Are there any other 'surprising' symmetries across or within permutation classes?

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Note

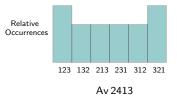
The increasing and decreasing patterns are not always the opposite extremes of the class: $\nu_{123}(\text{Av}\,2413) = \nu_{321}(\text{Av}\,2413)$

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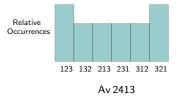


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Question

What about multiset permutations?







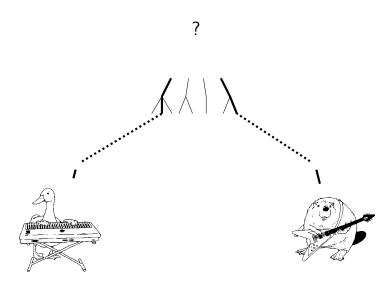


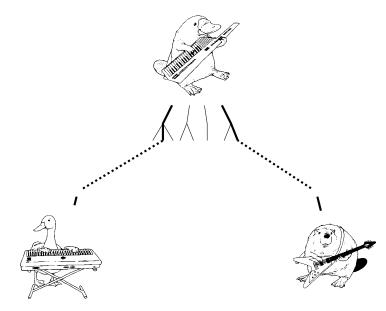
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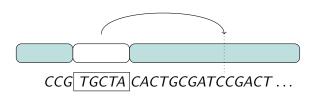


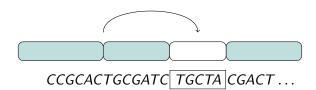


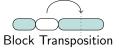


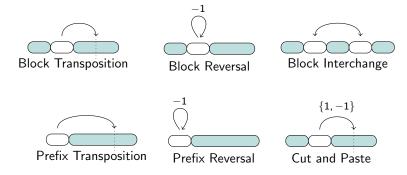
CCGTGCTACACTGCGATCCGACT...











Question

For a given block transformation, how many mutations does it take to turn one genome into another?

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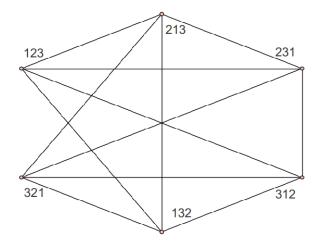
Question

How many block transformations does it take to turn one permutation into another?

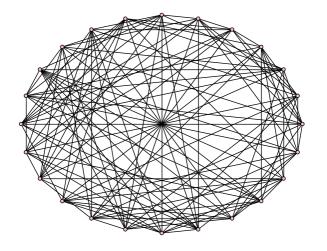
Question

Given the increasing permutation, how many block transformations does it take to sort it into a given permutation?

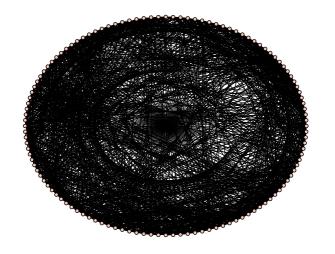
Example: Block Transpositions

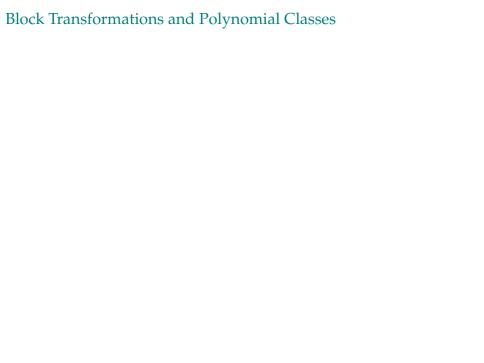


Example: Block Transpositions



Example: Block Transpositions





Block Transformations and Polynomial Classes

Definition

A polynomial class is a class C for which $f(n) = |C_n|$ is given by a polynomial for large enough n.

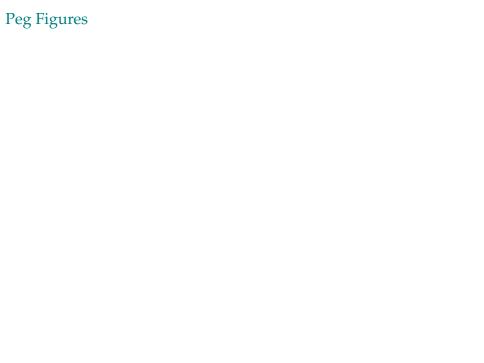
Block Transformations and Polynomial Classes

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Theorem

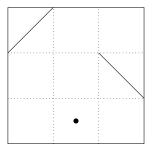
For a given block transformation and a positive integer k, the set of permutations which are at most k transformations from the permutation 123...n forms a polynomial class.



Peg Figures

Definition

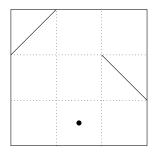
A *peg figure* consists of a grid of increasing and decreasing lines and dots, with one non-empty cell per row and column:



Peg Figures

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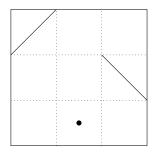
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A peg permutation is a permutation $\overset{\sim}{\pi}$ with each entry decorated by either a +, -, or \bullet .

Peg Figures

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$$\overset{\sim}{\pi}=\overset{+}{\overset{\bullet}{3}}\overset{\bullet}{\overset{-}{1}}\overset{-}{\overset{-}{2}}$$

Peg Classes

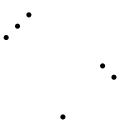
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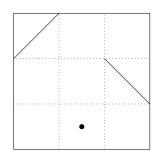
Let $\mathcal{C}(\overset{\sim}{\pi})$ denote the class of permutations which can be drawn on the figure corresponding to $\overset{\sim}{\pi}$.

Peg Classes

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$$456132 \in \mathcal{C}(\overset{+}{3}\overset{-}{12})$$

Polynomial Classes

Theorem (Vatter et. al.)

A permutation class is a polynomial class if and only if it can be expressed as the union of classes of the form $\mathcal{C}(\overset{\sim}{\pi})$.

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Theorem (Vatter et. al.)

A permutation class is a polynomial class if and only if it can be expressed as the union of classes of the form $\mathcal{C}(\overset{\sim}{\pi})$.

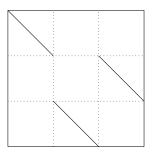
Algorithm

Takes peg permutations as input, and returns the polynomial enumerating the class.

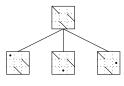
Example: Av(123, 231)

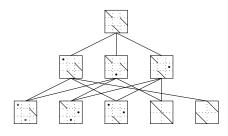
Fact

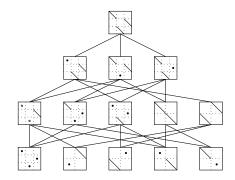
The class Av(123, 231) is equal to the peg class $\mathcal{C}(\overline{312})$.

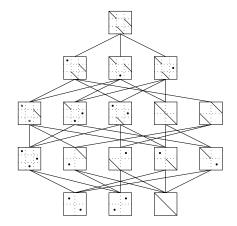


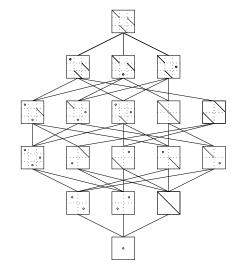


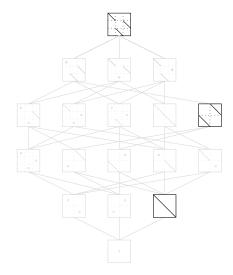


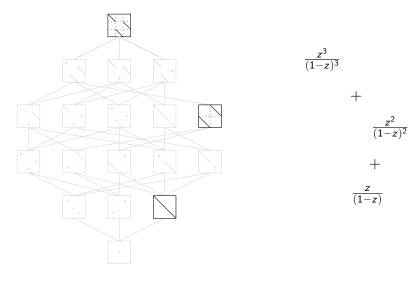


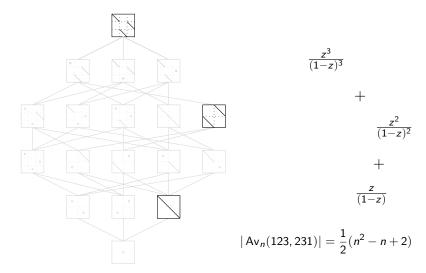


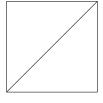


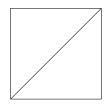


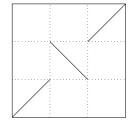


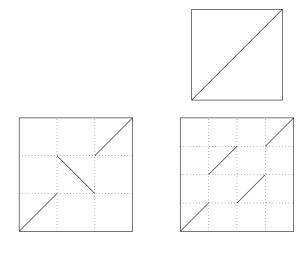


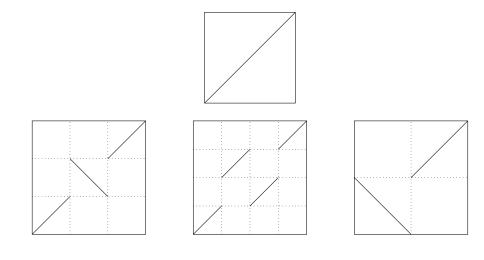




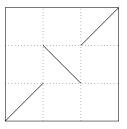




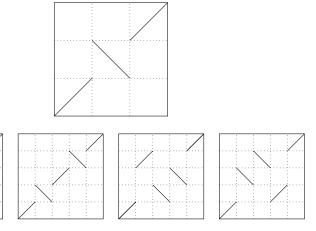




Two Block Reversals



Two Block Reversals



Computation

Example

```
>>> C = PolyClass.block_reversal(2)
>>>
>>> C.top_level
{+1-3-4+2+5, +1+4-2-3+5, +1-2+3-4+5, +1-4+3-2+5}
>>>
>>> C.genfcn()
-(x^7 - x^6 - 3*x^5 + 7*x^4 - 4*x^3 + 7*x^2 - 4*x + 1)/(x - 1)^5
>>>
>>> C.polynomial()
8 + -19/6n^1 + 1/3n^2 + -1/3n^3 + 1/6n^4
>>>
>>> C.sequence(10)
1, 1, 2, 6, 22, 63, 145, 288, 516, 857
```



Problem

How can you be sure that your new website/satellite/algorithm does what it's supposed to?

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(Bad) Solution

Try every input, and make sure nothing goes wrong.

Problem

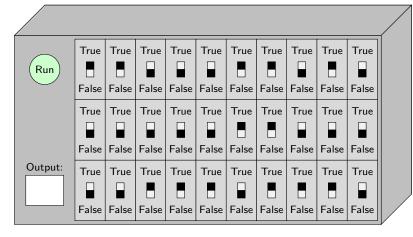
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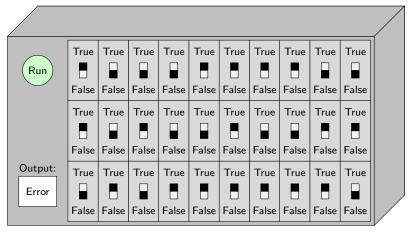
(Bad) Solution

Try every input, and make sure nothing goes wrong.

(Better) Solution

Try some inputs, and make sure nothing goes wrong.





 $2^{30} > 10^9$ total input combinations

Parameter Interactions

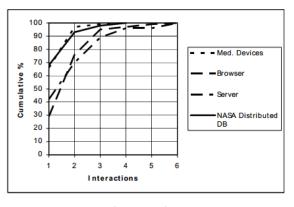
Idea

Most errors are caused by interactions between relatively few parameters.

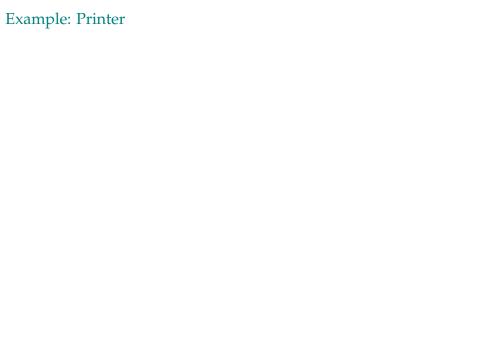
Parameter Interactions

Idea

Most errors are caused by interactions between relatively few parameters.



Source: NIST



Example: Printer

Paper	Duplex	Color	Content	Orientation
A4	Yes	Yes	Text	Portrait
Letter Legal	No	No	Pictures Both	Landscape

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Paper	Duplex	Color	Content	Orientation
A4	Yes	Yes	Text	Portrait
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 $3\times2\times2\times3\times2=56$ combinations

Example: Printer

Paper	Duplex	Color	Content	Orientation
A4	Yes	Yes	Text	Portrait
Letter	No	No	Pictures	Landscape
Legal			Both	

$$3 \times 2 \times 2 \times 3 \times 2 = 56$$
 combinations

Example

Suppose that printing two-sided color landscape pictures on legal paper breaks the printer. . .

Example: Printer Tests

	paper	duplex	color	content	orientation	
1	a4	false	false	text	landscape	
2	a4	true	true	pictures	portrait	
3	a4	false	true	both	landscape	
4	letter	true	false	text	portrait	
5	letter	false	true	pictures	landscape	
6	letter	true	false	both	portrait	
7	legal	false	true	text	portrait	
8	legal	true	false	pictures	landscape	
9	legal	false	false	both	portrait	

Example: Printer Tests

	P1	P2	P3	P4	P5
1	1	0	0	0	1
2	1	1	1	1	0
3	1	0	1	2	1
4	2	1	0	0	0
5	2	0	1	1	1
6	2	1	0	2	0
7	3	0	1	0	0
8	3	1	0	1	1
9	3	0	0	2	0



Covering Arrays

Problem

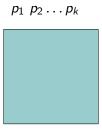
Represent a system with k parameters by a sequence $(p_1, p_2, \dots p_k) \in \mathbb{N}^k$ where p_i denotes the number of variables for the ith parameter. (the printer is represented by (3, 2, 2, 3, 2))

Want to construct a sequence of tests which covers every 2-way interaction between variables. That is, want an $l \times k$ array \mathcal{A} such that, for every $1 \leq i < j \leq k$ and every pair $(v,w) \in \{1,\ldots p_i\} \times \{1,\ldots p_j\}$, there exists some $1 \leq r \leq l$ with

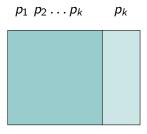
$$A_{r,i} = v$$
 and $A_{r,j} = w$.



IPO Algorithm

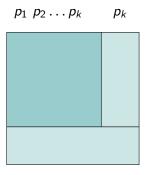


IPO Algorithm

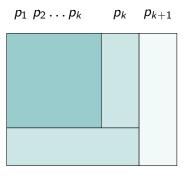


Horizontal Growth

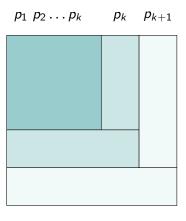
IPO Algorithm



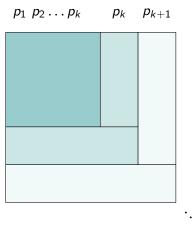
Vertical Growth



Horizontal Growth



Vertical Growth



etc.

P_{\perp}	Ρ2
0	0
0	1
1	1
1	0

p_1	p_2	p_3
0	0	0
0	1	1
1	1	0
1	0	1

p_1	p_2	p_3	p_4
0	0	0	0
0	1	1	1
1	1	0	1
1	0	1	1

p_1	p_2	p_3	p_4
0	0	0	0
0	1	1	1
1	1	0	1
1	0	1	1
1	1	1	0

p_1	p_2	p_3	p_4	p_5	
0	0	0	0	0	
0	1	1	1	1	
1	1	0	1	0	
1	0	1	1	0	
1	1	1	0	1	
1	0	0	0	1	

Definition

A $strength\ t$ covering array is one in which every t-way combination of variables appears in at least one row.

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A *strength* t covering array is one in which every t-way combination of variables appears in at least one row.

Approximate Size

The number of tests is a minimal covering array of strength t for a system with n parameters, each of which having v variables, is

 $v^t \log(n)$.

Questions? Thanks for listening!