

# K-means clustering analysis of Chinese traditional folk music based on midi music textualization

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**Abstract**—The current mainstream feature extraction of music information retrieval (MIR) is based on acoustics, such as frequency, loudness, zero-crossing rate, while it is rare to perform feature extraction and music analysis directly on symbolic music. This article seeks to introduce the idea of text clustering in natural language processing into the field of symbolic music style analysis. From this, this work got inspiration to turn midi music into text data and transform it into weighted structured data through tf-idf, and then use the K-Means clustering algorithm to perform cluster analysis and comparison on the traditional Chinese folk music dataset we crawled, and finally use The T-SNE algorithm performs dimensionality reduction and visualization of high-dimensional data. After a series of objective indicators evaluation, it is proved that the clustering algorithm has achieved a good clustering effect on the midi note dataset we extracted; through the clustering results, comprehensive professional music theory knowledge and the historical development characteristics of traditional Chinese folk music, Reverse verification of the 1300 midi music data sets has distinct modal characteristics of traditional Chinese folk music.

**Keywords**—music information retrieval, feature extraction, traditional Chinese music, text clustering, midi dataset.

## I. INTRODUCTION

Music information retrieval is an interdisciplinary science that combines music, psychology, signal processing, informatics, and computing science [18]. After more than 20 years of development, the industry is divided into many sub-fields of research, such as: Music recommendation (Aaron van den Oord [17]); music sentiment analysis based on music content (Antonio Roda, Sergio Canazza, and Giovanni De Poli [16]) explored cluster analysis of emotional factors

in classical music beyond the constraints of valence and arousal; music style classification, this article focuses on this field. At present, the main research objects of music style recognition are audio signals and waveform data. Many technologies have been developed to extract interesting information from music residing in digital audio signals, such as melody content (Akeroyd and Moore[1]; Durey and Clements[5]), the instruments involved (Herrera and X. Amatriain, E. Batlle, and X. Serra [7]; Eronen [6]), genre (Tzanetakis and Cook [17]; Xu [20]) and artist or singer (Kim and Whitman [10]; Liu and Huang [12]).

(Sergio Oramas[13]) emphasized a method of clustering and scoring relationships in the relationship extraction pipeline to build a music knowledge base system. (Yu Qi [14]) proposed a hierarchical clustering algorithm based on one-sided continuous matching to be applied to music retrieval, which uses wave files to cut and extract features. (Changsheng Xu, Namunu C. Maddage, and Xi Shao [20]) After distinguishing pure music from vocal music using support vector machines, clustering algorithm is used to construct music content, which is also based on acoustic files for feature extraction. Feature selection is for pure music, Uses power-related features, such as Mel frequency perception coefficient, amplitude envelope and power spectrum; for vocal music, uses voice-related functions, such as cepstrum coefficient derived from LPC, zero-crossing rate, spectral flux and Cepstrum flux. (Dong-Moon Kim [10]) Extract the properties of music from the sound waves of music, and use STFT (shortest time Fourier form) to analyze the properties of music. Then, in order to analyze user preferences, a dynamic K-means clustering algorithm is proposed. (Wei-Ho Tsai, Dwight Rodgers, and Hsin-Min Wang [16]) studied the feasibility of unsupervised clustering

of music data based on related singers. From music, the voice of the singer can be extracted by sound segment detection and sound signal modeling. Feature, but because its data set is not large enough, the robustness of its model cannot be guaranteed. The tree-based compression method is used in the clustering of midi music works (Rudi Cilibrasi, Pual Vitanyi and Ronald de Wolf [4]), which uses each song as a unit to encode strings, and finally achieves the goal of distinguishing by various music styles. Unfortunately, its performance on a large data set of thousands of songs is not satisfactory.

Chinese traditional folk music refers to traditional Chinese music played in solo or ensemble with traditional Chinese instruments. Chinese traditional folk music has various artistic genres, but the modes have common points. As one of the birthplaces of the five-degree phase-generation law (similar to the three-point profit and loss law) (Zhang Xun [23]), the earliest records of this law appear in "Lü Shi Chunqiu. Musical Chapter" and "Guanzi. Diyuan Chapter" in ancient books (Zhu Yijun [24]), it described the pentatonic scale: Gong Shang Jiao Zhengyu's generation algorithm, which is equivalent to today's stave: do, re, mi, sol, la. This law avoids the minor second, etc. The generation of harmonious intervals reflects the wisdom of the ancients. Then, on the basis of the five-tone mode, the six-tone and seven-tone national mode scales were developed, so in ancient times there were: "Nine songs, eight winds, seven tones, and six rhythms, with five tones." (Cao Xiaofeng [19])

We performed K-Means clustering after textualization of note data based on symbolic music (ie MIDI data). Objective evaluation indicators show that clustering produces good results. According to the clustering results, we can analyze the 1300 captured by the network. The first midi music data set conforms to the composition law of traditional Chinese folk music in the original scale composition. As far as we know, this is the first paper that starts with the textualization of symbolic music data, uses clustering methods in machine learning and analyzes music styles based on the historical development and grammar of regional ethnic music.

In this paper, we perform text processing on the note data based on symbolic music (ie MIDI data), and then use the tf-idf algorithm to weight the text data to make data for the use of K-Means clustering algorithm Pre-processing work. The reason why we choose the clustering algorithm is because we want to adopt automatic cluster division method for note clusters when we don't know which cluster the note data belongs to. The purpose is to observe that these data are relative to the traditional pentatonic scale. The degree of fit. Then we used a clustering algorithm to perform cluster analysis on 1300 midi data that we crawled. According to objective clustering index evaluation, the clustering showed a good clustering effect in comparison. On the other hand, for the visualization part, we adopted the T-SNE algorithm to visualize the clustering results and verify our analysis based on our professional music theory knowledge and the mode characteristics of traditional Chinese folk music. That is, the 1300 midi music data sets are in the original The composition of the scale conforms to the rules of traditional Chinese folk music. As far as we know, this is the first paper

that starts with the textualization of symbolic music data, uses clustering methods in machine learning and analyzes music styles based on the historical development and grammar of regional ethnic music.

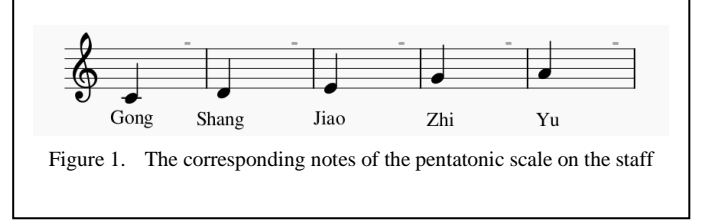


Figure 1. The corresponding notes of the pentatonic scale on the staff

## II. CLUSTERING MODEL

### A. Basic Principles

This section mainly introduces the background of the clustering model and the principle of the algorithm.

Assuming that a given data sample  $X$  contains objects  $X = \{X_1, X_2, X_3, \dots, X_n\}$ , each of which has  $m$  properties of dimensions. The goal of K-means algorithm is to gather  $k$  objects into specified clusters based on the similarity between  $n$  objects. Each object belongs to and belongs to only one cluster with the smallest distance from the center of the cluster [9]. For K-means, first need to initialize cluster  $k$  centers  $\{C_1, C_2, \dots, C_n\}, 1 < k \leq n$ , and then calculate the Euclidean distance from each object to each cluster center, as shown in the following formula:

$$dis(X_i, C_j) = \sqrt{\sum_{t=1}^m (X_{it} - C_{jt})^2} \quad (1)$$

In the above formula,  $X_i$  represents the object  $i$ ,  $1 < i \leq n$ ,  $C_j$  represents the attribute of the cluster center  $j$ ,  $1 < j \leq k$ ,  $X_{it}$  represents the attribute  $t$  of the object  $i$ ,  $1 < t \leq m$ ,  $C_{jt}$  represents the attribute  $t$  of the cluster center  $j$ . Compare the distance of each object to each cluster center in turn, assign the objects to the clusters of the nearest cluster center, and obtain  $k$  clusters  $\{S_1, S_2, S_3, \dots, S_k\}$ .

The algorithm uses the center to define the prototype of the cluster. The center of the cluster is the average value of all objects in the cluster in each dimension. The calculation formula is as follows:

$$c_t = \frac{\sum_{X_i \in S_l} X_i}{|S_l|} \quad (2)$$

In the formula,  $C_l$  represents the center  $l$  of the cluster,  $1 \leq l \leq k$ ,  $|S_l|$  represents the number of objects in clusters  $l$ ,  $X_i$  represents the object  $i$  in clusters  $l$ ,  $1 \leq i \leq |S_l|$ .

### B. Algorithm

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#### Algorithm: K-Means

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**Input:** Sample set  $D = \{x_1, x_2, x_3, \dots, x_m\}$ : Clusters  $k$

**Output:** Clustering  $C = \{C_1, C_2, \dots, C_k\}$

- 1 Randomly select  $k$  samples from  $D$  as the initial mean vector  $\{\mu_1, \mu_2, \mu_3, \dots, \mu_k\}$
- 2 *repeat*
- 3     $C_i = \emptyset (1 \leq i \leq k)$
- 4 *for*  $j = 1, 2, \dots, m$  *do*
- 5    Calculate the distance between sample  $x_j$  and each mean vector  $\mu_i (1 \leq i \leq k): d_{ji} = \|x_j - \mu_i\|_2$ ;
- 6    Determine the cluster label of  $x_j$  according to the nearest mean vector:  $\lambda_j = \arg \min_{i \in \{1, 2, 3, \dots, k\}} d_{ji}$
- 7    Divide sample  $x_j$  into corresponding cluster  $C_{\lambda_j} = C_{\lambda_j} \cup \{x_j\}$ ;
- 8 *end*
- 9 *for*  $i = 1, 2, \dots, k$  *do*
- 10    Calculate the new mean vector:  $\mu_i' = \frac{1}{|C_i|} \sum_{x \in C_i} x$ ;
- 11    *if*  $\mu_i' \neq \mu_i$  *then*
- 12     Update the current mean variable  $\mu_i$  to  $\mu_i'$ ;
- 13    *else*
- 14     Keep the current mean unchanged
- 15    *end*
- 16 *end*

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## III. IMPLEMENTATION

### A. Dataset

The musical note text dataset used in this article is obtained by processing the midi file data set we grabbed. This midi data set is created by ourselves through 1300 Chinese traditional folk music grabbed on the Internet. The average length is about 12 seconds. We use the python package music21 to parse and process midi files. First,

convert the midi file into a score object, and then extract the notes in the piano part of the instrument, because the note data has different scales, and the scales are in the range of one group of small letters to three groups of small letters, so the scale mark is discarded and the pitch is extracted Part, and then textualize it to get the original text data set based on symbol music.

### B. Data Preprocessing

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Because this article clusters symbolic music textually, the current mainstream clustering algorithms mainly operate on structured data, so this article first needs to structure irregular text data. Here we use The classic weighting method tf-idf, used for text mining and information retrieval, represents the product of term frequency (TF) and inverse document frequency (IDF). The specific calculation formula is as follows:

$$tf-idf = tf * idf \quad (3)$$

$$f_{ij} = \frac{n_{i,j}}{\sum_k n_{k,j}} \quad (4)$$

In the formula,  $n_{i,j}$  is the number of times the word appears in the document  $d_j$ , and the denominator is the sum of the times of all words in the document  $d_j$ .

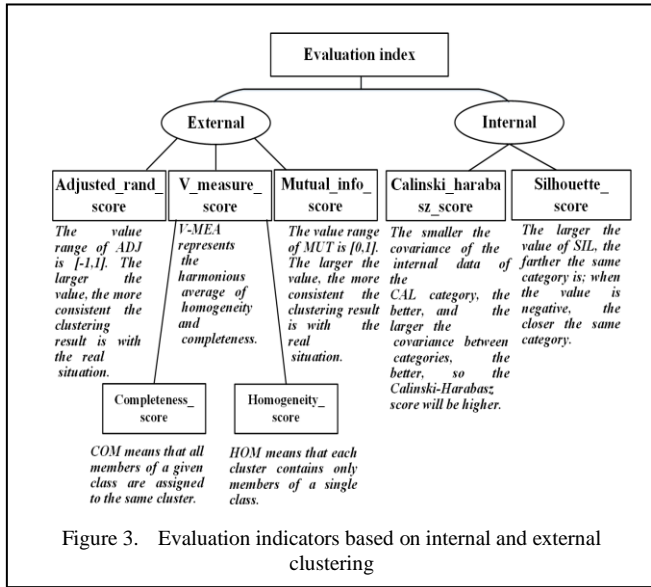
$$idf_i = \log \frac{|D|}{|\{j: t_i \in d_j\}|} \quad (5)$$

In the formula,  $|D|$  is the total number of files in the corpus, and  $|\{j: t_i \in d_j\}|$  represents the number of files containing words  $t_i$  (the number of files with  $n_{i,j} \neq 0$ ).

Through tf-idf calculation, the note elements are weighted. The first step is to extract the complete notes for textualization, which means that they have different scales. After processing, the number of features extracted is 19, which are distributed in the piano keyboard. In the interval from one group of small characters to three groups of small characters; then in order to simplify the data and facilitate clustering statistics, the pitch of its notes is extracted, and the scale is discarded. After vectorization, the number of features is extracted to nine, namely C, D, E, F, F#, G, A, B, Bb, and then converted to weight matrix calculation, get 87000\*9-dimensional tensor.

#### IV. OBJECTIVE METRICS FOR EVALUATION

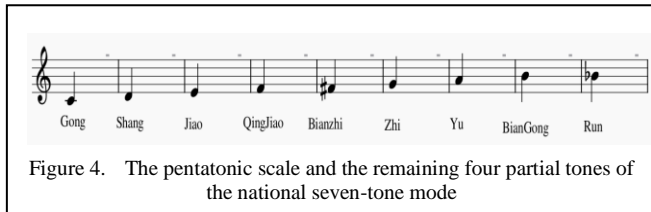
In order to evaluate the effect of the clustering algorithm on the note text data set, we selected seven objective indicators to evaluate from the outside and inside of the clustering model. The so-called internal refers to measuring the tightness between the sample points of a cluster. Compared with measuring the distance between the sample and other clusters, external measurement refers to comparison with a reference model. The index classification is shown in Figure 3:



#### V. EXPERIMENT AND RESULTS

The experiments in this article are all carried out on computers equipped with Intel Core i7-9700 (3.00GHz) CPU, 16GB RAM and Microsoft Windows 10 operating system, and the development environment is Python 3.7.

TABLE I shows the evaluation index results of K-



Means.

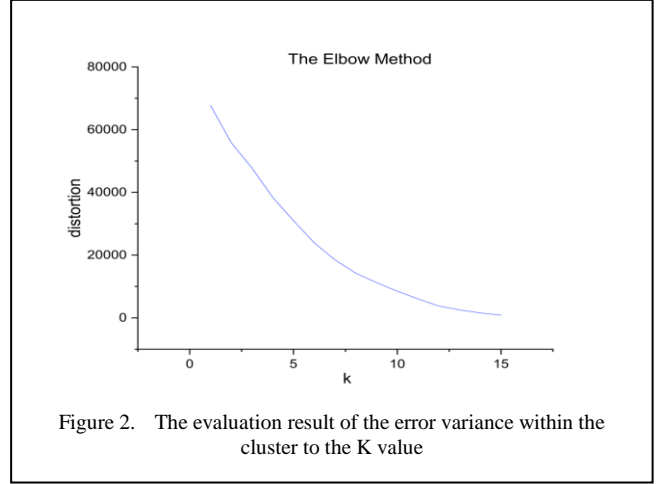


TABLE I. COMPARISON OF OBJECTIVE INDICATORS OF CLUSTERING ALGORITHM CLUSTERING EFFECT

K-Means	Table of Clustering indicators		
	<i>sil</i>	<i>cal</i>	<i>hom</i>
	0.930	293355.316	0.934
<i>com</i>	<i>v_me</i>	<i>adj</i>	<i>mut</i>
1.000	0.966	0.949	0.966

We use T distribution and Stochastic Neighbour Embedding (T-SNE) to visualize the dimensionality reduction of high-dimensional note text data. K is evaluated and selected by the error variance within the cluster, which is commonly known as the elbow method. When the K value is 9, the number of clusters is 9, as shown in Figure 2.

After clustering, the standardized data in the clusters were converted into original data for observation. The experiment found that the largest proportions of notes in the 9 clusters were C, D, E, F, F#, G, A, B, Bb. This is the pentatonic scale in traditional Chinese folk music: Gong, Shang, Jiao, Zhi, Yu, and the other four partial tones that evolved in the seven-tonic scale during its historical development: Qingjiao, Bianzhi, Biangong, and Run, as shown in Figure 4.

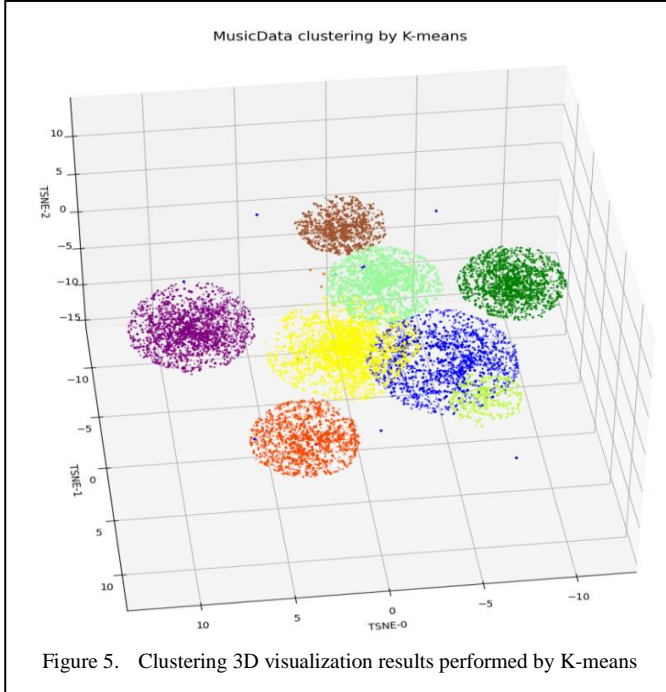
In addition to the notes with the highest proportions, there are a small number of other notes in each part of the clusters. The other few notes in each cluster are shown in TABLE II.

TABLE II. CLUSTER TONIC AND OTHER SCATTERED NOTES IN THE CLUSTER

	main note	1	2	3	4	5	6
Cluster1	Bb	B	E	G	F	D	C
Cluster2	A	G	F	E	D	C	B
Cluster3	D	G	F	E	C	B	A
Cluster4	E	G	F	D	C	B	A
Cluster5	G	F#	F	E	D	C	B
Cluster6	F#	C	G	F	E	D	B

Cluster7	F	F#	G	E	D	C	B
Cluster8	B	G	F	E	D	C	A
Cluster9	C	G	F	E	D	B	A

In the national seven-tone mode of our country, the scale composition is mainly composed of five-tone mode Gong, Shang, Jiao, Zhi, and Yu based on adding two of ICS. the other four partial tones [3]. Divided into three main modes: Qingyue mode, Yanyue mode, and Yayue mode. The composition of Qingyue modes is: Gong, Shang, Jiao, Qingjiao, Zhi, Yu, and Biangong [11]. The composition of Yanyue scale is: Gong, Shang, Jiao, Qingjiao, Zhi, Yu, Run, [2] Yayue scale. The composition is: Gong, Shang, Jiao, Bianzhi, Zhi, Yu, Biangong [22]. Among them, cluster 1 has the structural characteristics of Yanyue mode, clusters 2, 3, 4, 8, and 9 have the structural characteristics of Qingyue mode, and clusters 5, 6, and 7 have the structural characteristics of Yayue mode. Figure 5 show the clustering 3D visualization results under K-means. It can be seen that the graph corresponds to the objective evaluation indicators in TABLE I. K-Means show relative good aggregation.



## VI. CONCLUSION

In this work, we first crawled and established a MIDI data set of traditional Chinese folk music; then proposed the idea of textualizing symbolic music data for cluster analysis; and then combined professional knowledge of music theory with traditional Chinese folk music. Cultural history conducted a textual clustering analysis on the data set we built. According to the seven objective evaluation indicators of the clustering algorithm, K-Means produced a good clustering effect, combining professional music theory knowledge with the historical development of traditional Chinese folk music. The characteristics show that this data set has distinct modal characteristics of traditional Chinese

national music; and this experiment has produced a good combination of music grammar. We believe that the closer integration of music grammar and artificial intelligence is the future trend of computational music development; in the next step, we think we can extract more symbolic music feature texts, and combine with more professional music grammar for deeper exploration.

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