

# Introduction to Natural Language Processing & Neural Network

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## 課程資料

- 投影片連結
  - https://github.com/chiayisu/Natural-Language-Processing
- 程式連結
  - https://github.com/oreilly-japan/deep-learning-from-scratch-2 (Book)
  - https://github.com/chiayisu/NLP\_and\_ML\_Algorithm (My Implementation)
- 參考書籍
  - 斎藤康毅, Deep Learning 2: 用Python進行自然語言處理的基礎理論實作
- 參考課程
  - http://web.stanford.edu/class/cs224n/ (主要補充書中講比較少的內容)

#### Agenda

- 自然語言處理
- The Design and Implementation of XiaoIce, an Empathetic Social Chatbot
- Measuring Depression Symptom Severity from Spoken Language and 3D Facial Expressions
- Google Assistant
- 2017 Google I/O
- GPT-3 模型應用
- Visual 20 Question Games
- Neural Network
- 微分複習
- Useful Identities for Neural Network
- References



## 自然語言處理

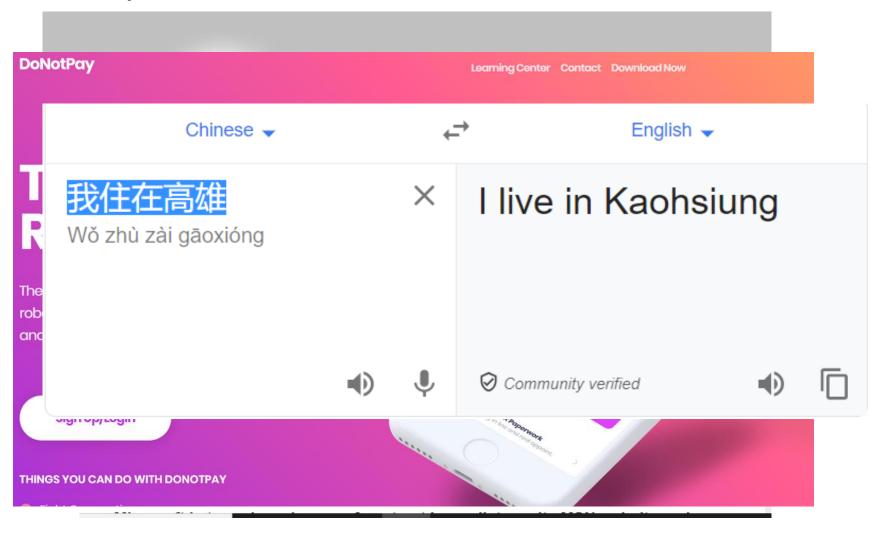
## 甚麼是自然語言處理?



## 自然語言處理的應用



### NLP is Everywhere



## 自然語言處理的演進

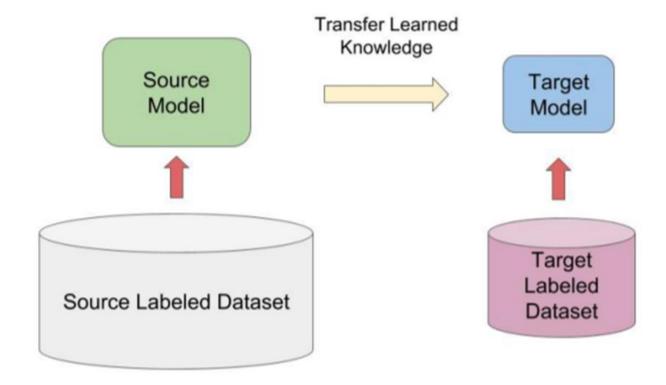


## 自然語言處理的演進



Contextual Representation (Transformer)

## The State-of-the-Art Learning Method - 遷移 學習





## The Design and Implementation of Xiaolce, an Empathetic Social Chatbot

Li Zhou et al.

## DEMO-唱歌



## DEMO-對話

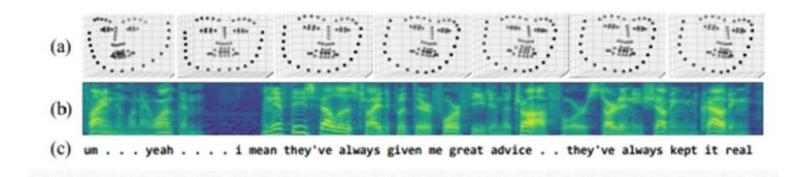




# Measuring Depression Symptom Severity from Spoken Language and 3D Facial Expressions

Haque et al.

#### Method



- Models
  - Word-Embedding
  - C-CNN



## Google Assistant





## 2017 Google I/O





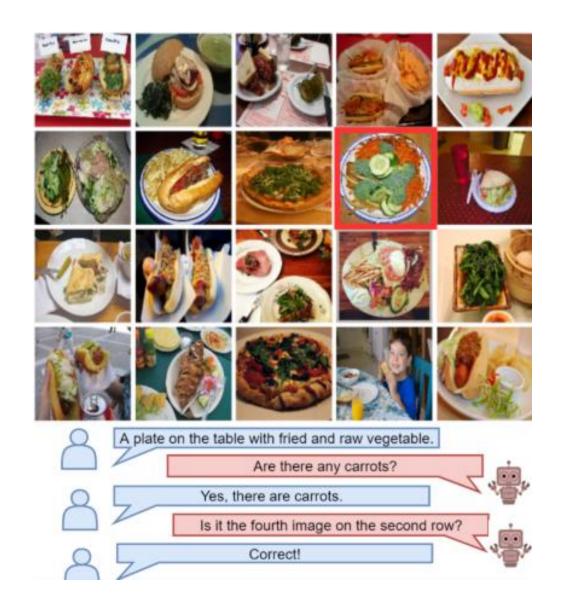
## GPT-3 模型應用

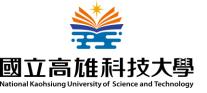
- HTML Layout 產生
  - https://twitter.com/i/status/1282676454690451457
- 網頁產生
  - https://twitter.com/jsngr/status/1287026808429383680?s=20
  - https://stripe.com/
- 產生以及更新圖形
  - https://twitter.com/plotlygraphs/status/1286688715167936512
- 其他
  - https://github.com/elyase/awesome-gpt3



## Visual 20 Question Games

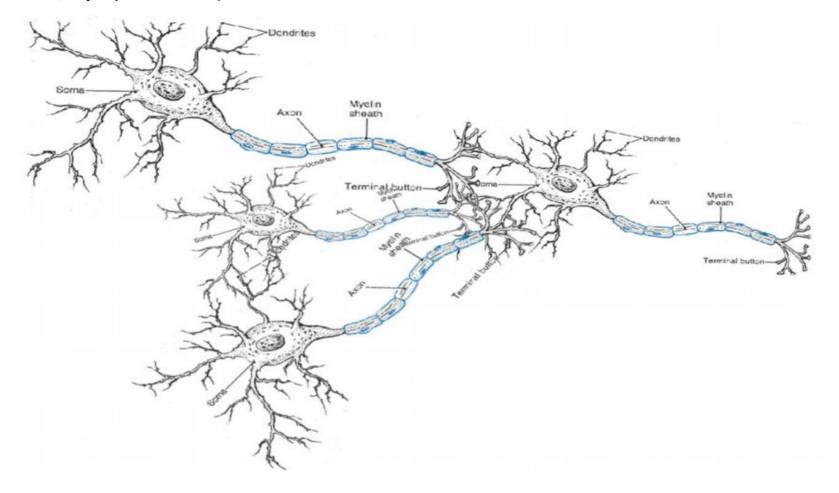
Zhang, Zhao & Yu SIGDIAL 2018.



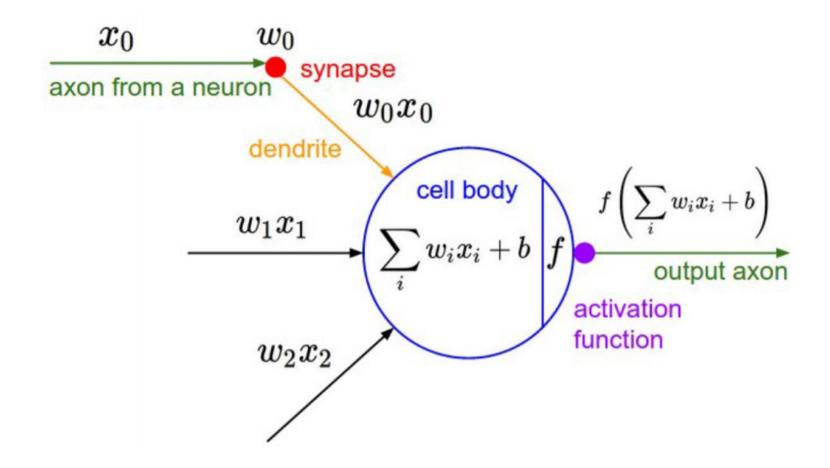


## Neural Network

## 人類的神經網路



## 人工神經網路 - 單一神經元

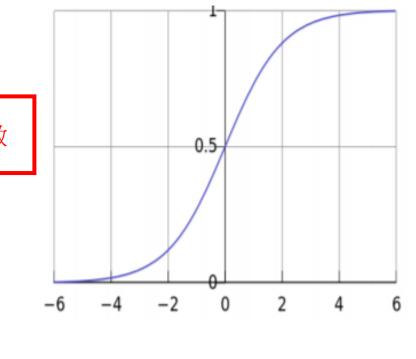


## 單一神經元的運算

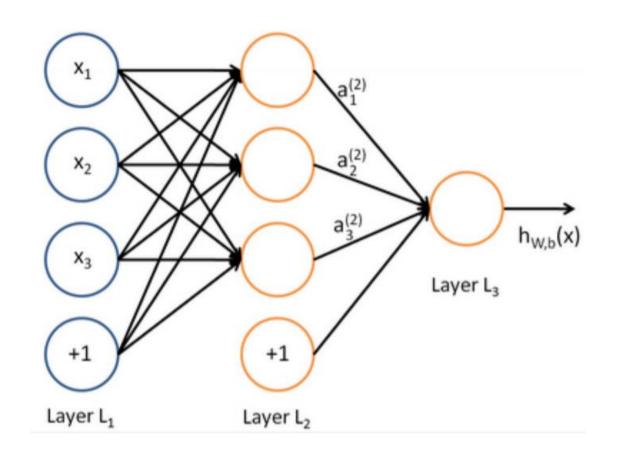
- 假設我們使用Sigmoid當成激活函數
- $z = w^T x + b$

$$f(z) = \frac{1}{1 - \exp(-z)}$$

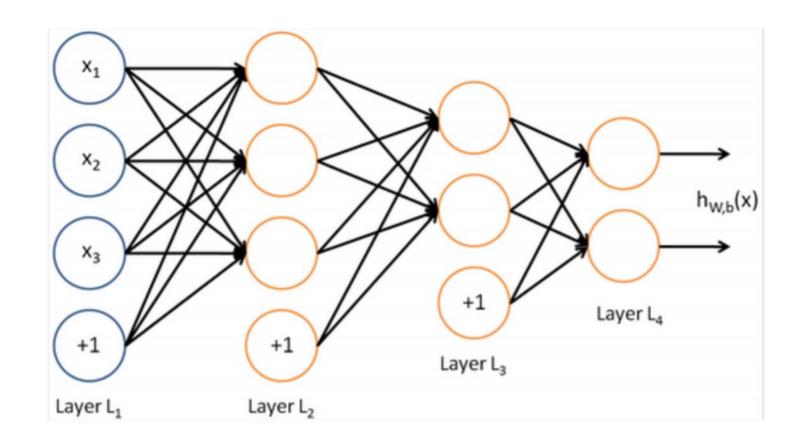
 $x_0$   $w_0$   $w_0$   $w_0x_0$   $w_0x_0$ 



## 單層人工神經網路



## 多層人工神經網路



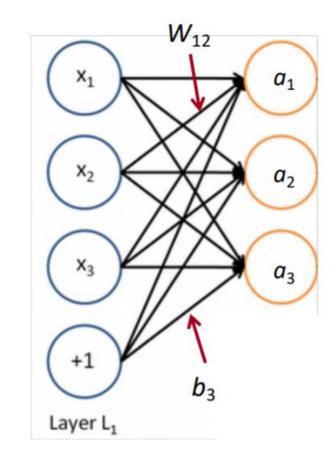
## 矩陣表示法

• 
$$a_1 = f(W_{11}x_1 + W_{12}x_2 + W_{13}x_3 + b_1)$$

Matrix Notation

$$z = Wx + b$$
  
a = f(z)

- Activation function is element-wise
- $f([z_1, z_2, z_3]) = [f(z_1), f(z_2), f(z_3)]$



## 為甚麼要使用非線性的激活函數?

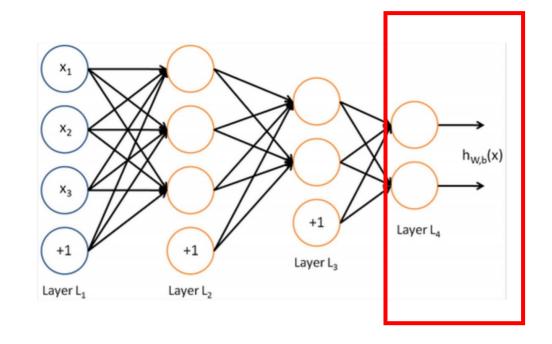
```
layer_defs = [];
layer defs.push({type:'input', out_sx:1, out_sy:1, out_depth:2});
layer defs.push({type:'fc', num_neurons:6, activation: 'sigmoid'});
layer defs.push({type:'fc', num_neurons:2, activation: 'relu'});
layer defs.push({type:'softmax', num_classes:2});
net = new convnetjs.Net();
net.makeLayers(layer defs);
trainer = new convnetis.SGDTrainer(net, {learning_rate:0.01, momentum:0.1, batch size:10, l2 decay:0.001});
```

https://cs.stanford.edu/people/karpathy/convnetjs/demo/classify2d.html

## Softmax 函數

- 通常放在輸出
- 介於 0~1 之間

• 
$$p(y|x) = \frac{\exp(W_y x)}{\sum_{c=1}^{C} \exp(W_c x)}$$



#### Cross-Entropy Loss

- 從資訊理論推倒而來
- 假設有一個One-Hot Vector [0,0,...,1]
- 假設P = True Probability, q = Predicted Probability
- Cross-Entropy 如下:
- $H(p,q) = -\sum_{c=1}^{C} p(c) \log q(c)$
- 由於我們採用One-Hot Encoding, 所以可以將Cross-Entropy重新整理成下列公式:
- $-\log(p(y|x)) = -\log\left(\frac{\exp(fy)}{\sum_{c=1}^{C}\exp(fc)}\right)$

### Softmax with Cross-Entropy Loss

- 目標: 最大化正確類別y的機率
- · 然而: 最大化Log 機率等於最小化負的Log機率
- 因此: 公式如下

• 
$$-\log(p(y|x)) = -\log\left(\frac{\exp(W_y x)}{\sum_{c=1}^{C} \exp(W_c x)}\right)$$

#### Full Dataset Classification

• 計算整個資料集損失,我們會將Cross-Entropy Loss的值取平均,所以公式如下:

• 
$$J(\theta) = \frac{1}{N} \sum_{i=1}^{N} -\log \left( \frac{\exp(f_{yi})}{\sum_{c=1}^{C} \exp(f_c)} \right)$$

- $f_y = W_y x = \sum_{i=1}^d W_{yi} x_i$
- 通常會用小Batch而不是把整個資料集丟進去訓練

#### Stochastic Gradient Decent

• Update Equation: 
$$\theta^{new} = \theta^{old} - \alpha \nabla_{\theta} J(\theta), \text{ where } \alpha \text{ is learning rate.}$$

• 手動計算

• 反向傳播法

如何計算?



## 微分複習

## 基本微分

• Given  $R \to R$  function  $f(x) = 3x^2$ , what is its slope / gradient?

$$\Rightarrow \frac{df}{dx} = 6x$$

- Given  $R^n \to R$  function,  $f(x) = f(x_1, x_2, x_3) = x_1 + x_2^2 + x_3^3$  what is its gradient?
- $\geqslant \frac{\partial f}{\partial x} = [1, 2x_2, 3x_3^2]$
- $R^n \to R$  函數的微分公式:

$$\geqslant \frac{\partial f}{\partial x} = \left[ \frac{\partial f}{\partial x_1}, \frac{\partial f}{\partial x_2}, \dots, \frac{\partial f}{\partial x_n} \right]$$

#### Jacobian Matrix: Vectorized Gradients

- 目前所學的知識: 對單一參數微分
- 然而: 對單一參數微分較無效率
- 假設我們有一個函數:  $R^n \to R^m$ ,  $f(x) = [f_1(x_1 ... x_n), f_2(x_1 ... x_n), ..., f_m(x_1 ... x_n)]$
- Jacobian Matrix 如下:

$$\bullet \ \frac{\partial f}{\partial x} = \begin{bmatrix} \frac{\partial f_1}{\partial x_1} & \dots & \frac{\partial f_1}{\partial x_n} \\ \vdots & \ddots & \vdots \\ \frac{\partial f_m}{\partial x_1} & \dots & \frac{\partial f_m}{\partial n} \end{bmatrix}, R^{m*n}$$

## 連鎖律

- Jacobian Matrix 的點積
- 假設有一個函數 h(x) = f(g(x)), 其微分如下:
- $\triangleright J_{h(x)} = J_{f(g(x))} \cdot J_{g(x)}$

## 傳統連鎖律的準算

• Suppose we have  $f\begin{pmatrix} x_1 \\ x_2 \end{pmatrix} \to 3x_1 + x_2^2$  and  $g\begin{pmatrix} y_1 \\ y_2 \\ y_2 \end{pmatrix} = (\begin{pmatrix} y_1 + 2y_2 + 3y_3 \\ y_1y_2y_3 \end{pmatrix})$ , what is the gradients of  $h = f \circ g$ ?

$$h\left(\begin{pmatrix} y_1 \\ y_2 \\ y_3 \end{pmatrix}\right) = f\left(\begin{pmatrix} y_1 + 2y_2 + 3y_3 \\ y_1 y_2 y_3 \end{pmatrix}\right) = 3(y_1 + 2y_2 + 3y_3) + (y_1 y_2 y_3)^2$$

$$h\left(\begin{pmatrix} y_1 \\ y_2 \\ y_3 \end{pmatrix}\right) = 3 + 2y_1 y_2^2 y_3^2, \frac{\partial h}{\partial y_2}(y) = 6 + 2y_2 y_1^2 y_3^2, \frac{\partial h}{\partial y_3}(y) = 9 + 2y_3 y_2^2 y_1^2$$

$$\frac{\partial h}{\partial y_1}(y) = 3 + 2y_1 y_2^2 y_3^2, \frac{\partial h}{\partial y_2}(y) = 6 + 2y_2 y_1^2 y_3^2, \frac{\partial h}{\partial y_3}(y) = 9 + 2y_3 y_2^2 y_1^2$$

so the gradients are

### Multiplication of Jacobian Matrix Chain Rule

• The same example as above let's calculate chain rule with multiplication of Jacobian Matrix.



# Useful Identities for Neural Network

## Matrix Times Column Vector w.r.t Column Vector (Jacobian Matrix)

• 
$$z = Wx$$
, what is  $\frac{\partial z}{\partial x}$ ?  $W \in R^{n*m}$ ,  $x \in R^m$   
•  $z = \begin{bmatrix} W_{11}x_1 + W_{12}x_2 + \cdots + W_{1m}x_m \\ \vdots \\ W_{n1}x_1 + W_{n2}x_2 + \cdots + W_{nm}x_m \end{bmatrix}$   
•  $\frac{\partial z}{\partial x} = \begin{bmatrix} W_{11} & \cdots & W_{1m} \\ \vdots & \ddots & \vdots \\ W_{n1} & \cdots & W_{nm} \end{bmatrix} = W - (1)$ 

#### Row Vector Times Matrix w.r.t Row Vector

• z = xW, what is 
$$\frac{\partial z}{\partial x}$$
? W  $\in R^{n*m}$ , x  $\in R^{1*n}$ 

> z =  $[W_{11}x_1 + W_{12}x_2 + \dots + W_{1m}x_m, \dots, W_{n1}x_1 + W_{n2}x_2 + \dots + W_{nm}x_m]$ 

•  $\frac{\partial z}{\partial x} = \begin{bmatrix} W_{11} & \dots & W_{n1} \\ \vdots & \ddots & \vdots \\ W_{1m} & \dots & W_{nm} \end{bmatrix} = W^T - (2)$ 

#### A Vector w.r.t Itself

• z = x, what is  $\frac{\partial z}{\partial x}$ ?  $x \in R^m$ 

• Thus,  $\frac{\partial z}{\partial x}$  = I, which is an identity matrix. – (3)

#### Elementwise Function

• 
$$z = f(x)$$
, what is  $\frac{\partial z}{\partial x}$ ?

$$z = \begin{bmatrix} f(x_1) \\ f(x_2) \\ \vdots \\ f(x_m) \end{bmatrix}$$

• Thus, 
$$\frac{\partial z}{\partial x} = \text{diag}(f'(x)) - (4)$$

#### Matrix Times Column Vector w.r.t Matrix

• ....

#### Matrix Times Column Vector w.r.t Matrix

• Therefore, 
$$\frac{\partial J}{\partial W} = \begin{bmatrix} \delta_1 * x_1, \delta_1 * x_2, \dots, \delta_1 * x_m \\ \vdots \\ \delta_n * x_1, \delta_n * x_2, \dots, \delta_n * x_m \end{bmatrix} = \begin{bmatrix} \delta_1 \\ \vdots \\ \delta_n \end{bmatrix} [x_1, \dots, x_m] = \delta x^T$$

#### Derivative of Cross-Entropy Loss w.r.t x

• J = 
$$-\log(p(y|x)) = -\log(\frac{\exp(W_y x)}{\sum_{c=1}^{C} \exp(W_c x)})$$
, what is  $\frac{\partial J}{\partial x}$ ?  
• J =  $-W_y x + \log(\sum_{c=1}^{C} \exp(W_c x))$   
•  $\frac{\partial J}{\partial x} = -W_y + \frac{\exp(W_y x)}{\sum_{c=1}^{C} \exp(W_c x)} W_y$ 

Identity 1

#### Derivative of Cross-Entropy Loss

• J = 
$$-\log\left(\frac{\exp(z_i)}{\sum_{c=1}^{C} \exp(z_c)}\right)$$
, what is  $\frac{\partial J}{\partial z_i}$ ?  
• J =  $-z_i + \log\left(\sum_{c=1}^{C} \exp(z_c)\right)$   
•  $\frac{\partial J}{\partial z_i} = -1 + \frac{\exp(z_i)}{\sum_{c=1}^{C} \exp(z_c)}$   
• =  $-1 + \operatorname{softmax}(z_i)$ 

#### Derivative of Sigmoid Function

• 
$$f(z) = \frac{1}{1 - \exp(-z)}$$
, what is  $\frac{\partial f}{\partial z}$ ?  
•  $\frac{\partial f}{\partial z} = \frac{-\exp(-z)}{[1 - \exp(-z)]^2}$   

$$= \frac{-\exp(-z) + 1 - 1}{[1 - \exp(-z)]^2}$$

$$= \frac{1}{1 - \exp(-z)} * \frac{-\exp(-z) + 1 - 1}{1 - \exp(-z)}$$

$$= \frac{1}{1 - \exp(-z)} * \left[1 + \left(\frac{-1}{1 - \exp(-z)}\right)\right]$$

$$= \sigma(z) * \left[1 + \sigma(z)\right]$$

#### Derivative of ReLU Function

• ReLU(x) = max(0,x), 求 ReLU 的微分

$$ReLU'(x) = \begin{cases} 1, if x > 0 \\ 0, otherwise \end{cases}$$

#### Derivative of Tanh Function

• Tanh(z) = 
$$\frac{\exp(z) - \exp(-z)}{\exp(z) + \exp(-z)}$$
, 求Tanh的微分  
➤ Tanh'(z) =  $\frac{[\exp(z) + \exp(-z)] * [\exp(z) + \exp(-z)] - [\exp(z) - \exp(-z)] * [\exp(z) - \exp(-z)]}{[\exp(z) + \exp(-z)]^2}$   
=  $\frac{[\exp(z) + \exp(-z)]^2 - [\exp(z) - \exp(-z)]^2}{[\exp(z) + \exp(-z)]^2}$   
= 1 - Tanh<sup>2</sup>(z)

#### References

- 斎藤康毅, Deep Learning 2: 用Python進行自然語言處理的基礎理論實作.
- Manning et al., CS224n Natural Language Processing with Deep Learning, Stanford University.
- Guillaume Genthial, Review of differential calculus theory.
- Kevin Clark, Computing Neural Network Gradients.