

Decision 20/CP.5

Programme budget for the biennium 2000-2001

The Conference of the Parties,

Recalling paragraph 4 of the financial procedures for the Conference of the Parties to the United Nations Framework Convention on Climate Change,¹

Having considered the proposed budget for the biennium 2000-2001 submitted by the Executive Secretary,²

Noting the annual contribution of the host Government, DM 1.5 million, which offsets planned expenditures,

1. *Approves* the programme budget for the biennium 2000-2001, amounting to US\$ 25,286,000, for the purposes specified in table 1 below;
2. *Adopts* the indicative scale of contributions for 2000 and 2001 contained in the annex to this decision;
3. *Approves* a drawing of \$2 million from the unspent balances or contributions (carry-over) from previous financial periods to cover part of the 2000-2001 budget;
4. *Approves* the staffing table for the programme budget, as contained in table 2 below;
5. *Approves* a contingency budget for conference servicing, amounting to \$5,661,800, to be added to the programme budget for the coming biennium in the event that the General Assembly of the United Nations decides not to provide resources for these activities in the United Nations regular budget for the biennium 2000-2001 (see tables 3 and 4 below);
6. *Approves* additional resources for secretariat support for the work on matters related to the consideration of national communications from Parties not included in Annex I to the Convention, amounting to \$1,263,200, to be added to the programme budget for the biennium 2000-2001, of which \$363,200 will be drawn on available resources, including unspent balances or contributions from various financial periods (see tables 5 and 6 below), on the understanding that additional voluntary contributions to the Trust Fund for Supplementary

¹ See decision 15/CP.1, annex I.

² See FCCC/CP/1999/INF.1; FCCC/SBI/1999/4 and Add.1, and FCCC/SBI/1999/8.

Activities will be needed in order to cover activities in relation to the work of the consultative group of experts established by decision 8/CP.5;

7. *Requests* the Executive Secretary to report to the Subsidiary Body for Implementation at subsequent sessions on the implementation of paragraph 5 above, as necessary;

8. *Authorizes* the Executive Secretary to make transfers between each of the main appropriation lines set out in table 1 below, up to an aggregate limit of 15 per cent of total estimated expenditure for those appropriation lines, provided that a further limitation of up to minus 25 per cent of each such appropriation line shall apply;

9. *Decides* to maintain the level of the working capital reserve at 8.3 per cent of the estimated expenditure;

10. *Invites* all Parties to the Convention to note that contributions to the core budget are due on 1 January of each year in accordance with paragraph 8 (b) of the financial procedures and to pay promptly and in full, for each of the years 2000 and 2001, the contributions required to finance expenditures approved under paragraphs 1 and 6 above, as offset by the contributions noted in the third paragraph of the preamble to this decision and a drawing approved in paragraph 3 above, and any contributions required to finance the expenditures arising from the decisions referred to in paragraph 5 above;

11. *Takes note* of the funding estimates for the Trust Fund for Participation in the UNFCCC Process specified by the Executive Secretary (\$3,691,800 for the biennium 2000-2001), and invites Parties to make contributions to this fund (see table 7 below);

12. *Takes note* of the funding estimates for the Trust Fund for Supplementary Activities under the United Nations Framework Convention on Climate Change specified by the Executive Secretary (\$6,178,900 for the biennium 2000-2001), and invites Parties to make contributions to this fund (see table 8 below);

13. *Requests* the Executive Secretary to report to the Conference of the Parties at its sixth session on income and budget performance, and to propose any adjustments that might be needed in the Convention budget for the biennium 2000-2001;

14. *Authorizes* the Executive Secretary to make a complementary contribution, from available resources, to the Intergovernmental Panel on Climate Change of \$300,000 during the biennium 2000-2001;

15. *Authorizes* the Executive Secretary to incur additional expenditures of up to \$1 million to offset part of the costs of activities arising from the preparatory process leading to the sixth session of the Conference of the Parties, drawing on available resources, including unspent balances or contributions from previous financial periods, on the understanding that additional voluntary contributions to the Trust Fund for Supplementary Activities and the Trust Fund for Participation in the UNFCCC Process of up to \$2 million will be needed in order to cover all activities envisaged in the preparatory process for the sixth session of the Conference of the Parties.

Table 1. Programme budget for the biennium 2000-2001*(thousands of United States dollars)*

	2000	2001	Biennium total
Expenditures			
I. Programmes*			
Executive Direction and Management	749.5	773.7	1 523.2
Planning, Coordination and Emerging Issues	1 232.6	1 214.8	2 447.4
Science and Technology	2 170.6	2 173.6	4 344.2
Implementation	2 591.3	2 747.1	5 338.4
Information, Outreach and Administrative Services ^a	1 546.5	1 643.7	3 190.2
Intergovernmental and Conference Affairs ^b	2 752.6	2 704.0	5 456.6
Subtotal (I)	11 043.1	11 256.9	22 300.0
II. Payments to the United Nations			
Overhead charge ^c	1 435.6	1 463.4	2 899.0
Subtotal (II)	1 435.6	1 463.4	2 899.0
III. Working capital reserve^d	69.2	17.7	86.9
Subtotal (III)	69.2	17.7	86.9
TOTAL BUDGET (I+II+III)	12 548.0	12 738.0	25 286.0
Income			
Contribution from the host Government	810.8	810.8	1 621.6
Unspent balances or contributions from previous financial periods (carry-over)	1 000.0	1 000.0	2 000.0
TOTAL INCOME	1 810.8	1 810.8	3 621.6
INDICATIVE CONTRIBUTIONS	10 737.2	10 927.2	21 664.4

*As a result of a transfer of responsibility for administrative services in the secretariat following the fifth session of the Conference of the Parties, the name of the Information and Outreach programme has been changed to Information, Outreach and Administrative Services and the name of the Conference Affairs and Resource Management programme has been changed to Intergovernmental and Conference Affairs.

^a Includes resources required to cover the computer needs of all programmes.

^b Includes resources required to cover a number of secretariat-wide expenditures for non-staff-related items.

^c Standard 13 per cent applied by the United Nations for administrative support of which part is returned to the secretariat for administrative costs.

^d In accordance with paragraph 14 of the financial procedures (see decision 15/CP.1, annex I). This will bring the level of the working capital reserve to \$916,600 in 2000 and \$934,300 in 2001 (see paragraphs 17-19 of the financial procedures).

Table 2. Programme budget staffing table 2000-2001

	2000	2001
A. Professional category and above		
Executive Secretary	1	1
D-2	3	3
D-1	4	4
P-5	9.75	10
P-4	10	10
P-3	15	16
P-2	8.25	9
Subtotal (A)	51	53
B. General Service category	27.75	28
TOTAL (A+B)	78.75	81

Table 3. Resource requirements for the conference services contingency
(thousands of United States dollars)

Item of expenditure	2000	2001	Biennium total
A. Meeting servicing ^a	987.1	1 015.1	2 002.2
B. Documentation ^b	1 326.8	1 340.1	2 666.9
Subtotal	2 313.9	2 355.2	4 669.1
C. Overhead charge ^c	300.8	306.2	607
D. Contingencies and exchange rate fluctuations ^d	78.4	79.8	158.2
E. Working capital reserve ^e	223.5	4	227.5
TOTAL	2 916.6	2 745.2	5 661.8

^a Includes interpretation and conference assistance.

^b Includes revision, translation, typing, reproduction and distribution of pre-, in- and post-session documentation (regular and temporary staff, travel, and contractual services).

^c Standard 13 per cent applied by the United Nations for administrative support.

^d Calculated at 3 per cent.

^e In accordance with paragraph 14 of the financial procedures. The 2000 amount has been calculated as 8.3 per cent of the subtotal and the overhead charge; the 2001 amount has been calculated as the amount required to bring the carried-over 2000 reserve to 8.3 per cent of the subtotal and overhead charge for 2001.

Table 4. Staffing requirements for the conference services contingency

	2000	2001
A. Professional category and above		
P-4	1	1
Total Professional category and above	1	1
B. Total General Service category	5	5
TOTAL (A+B)	6	6

Table 5. Additional resources for matters related to consideration of communications from non-Annex I Parties
(thousands of United States dollars)

Item of expenditure	2000	2001	Biennium total
A. Staff costs	457.6	466.4	924
B. Consultants	44	44	88
C. Travel on official business	33	33	66
Subtotal	534.6	543.4	1 078.0
D. Overhead charge ^a	69.5	70.6	140.1
E. Working capital reserve ^b	44.4	0.7	45.1
TOTAL	648.5	614.7	1 263.2
Income			
Unspent balances or contributions from previous financial periods (carry-over)	198.5	164.7	363.2
TOTAL INCOME	198.5	164.7	363.2
INDICATIVE CONTRIBUTIONS	450	450	900

^a Standard 13 per cent applied by the United Nations for administrative support.

^b In accordance with paragraph 14 of the financial procedures. The 2000 amount has been calculated as 8.3 per cent of the subtotal and the overhead charge; the 2001 amount has been calculated as the amount required to bring the carried-over 2000 reserve to 8.3 per cent of the subtotal and overhead charge for 2001.

Table 6. Staffing requirements for matters related to consideration of communications from non-Annex I Parties

	2000	2001
A. Professional category and above		
P-4	1	1
P-3	2	2
Total Professional category and above	3	3
B. Total General Service category	1	1
TOTAL (A+B)	4	4

**Table 7. Trust Fund for Participation in the UNFCCC Process:
Estimated resource requirements**
(thousands of United States dollars)

Item of expenditure	2000	2001
A. Support for eligible Parties to participate in one two-week session of the subsidiary bodies	630	630
B. Support for eligible Parties to participate in one two-week session of the Conference of the Parties and its subsidiary bodies ^a	855	855
Subtotal	1 485.0	1 485.0
Overhead charge ^b	193.1	193.1
Operating cash reserve ^c	167.8	167.8
TOTAL	1 845.9	1 845.9

^a Includes funding for a second delegate from least developed countries and small island developing States, in accordance with the practice followed at all meetings of the Conference of the Parties to date.

^b Standard 13 per cent applied by the United Nations for administrative support.

^c Calculated at 10 per cent.

Table 8. Trust Fund for Supplementary Activities: Estimated resource requirements^a
(thousands of United States dollars)

Item of expenditure	2000	2001
Estimated resource requirements	2 500.0	2 620.2
Overhead charge ^b	325	340.6
Operating cash reserve ^c	375	18
TOTAL	3 200.0	2 978.9

^a Additional information to be included in the revised version of document FCCC/SBI/1999/4/Add.1.

^b Standard 13 per cent applied by the United Nations for administrative support.

^c Calculated at 15 per cent.

*9th plenary meeting
4 November 1999*

Annex

INDICATIVE SCALE OF CONTRIBUTIONS TO THE CORE BUDGET OF THE UNFCCC FOR THE BIENNIUM 2000-2001 (United States dollars)

Party name	Indicative scale	Indicative contributions	Adjustments	Actual contributions	Indicative contributions	Adjustments	Actual contributions
	2000	2000			2001		
Albania	0.003	336	-10	325	341	-10	331
Algeria	0.086	9621	-292	9329	9784	-297	9487
Antigua and Barbuda	0.002	224	-7	217	228	-7	221
Argentina	1.103	123395	-3751	119643	125491	-3815	121675
Armenia	0.006	671	-20	651	683	-21	662
Australia	1.483	165906	-5044	160862	168724	-5129	163594
Austria	0.942	105383	-3204	102180	107173	-3258	103915
Azerbaijan	0.011	1231	-37	1193	1251	-38	1213
Bahamas	0.015	1678	-51	1627	1707	-52	1655
Bahrain	0.017	1902	-58	1844	1934	-59	1875
Bangladesh	0.010	1119	-34	1085	1138	-35	1103
Barbados	0.008	895	-27	868	910	-28	883
Belgium	1.104	123507	-3755	119752	125604	-3819	121786
Belize	0.001	112	-4	108	114	-4	110
Benin	0.002	224	-7	217	228	-7	221
Bhutan	0.001	112	-4	108	114	-4	110
Bolivia	0.007	783	-24	759	796	-24	772
Botswana	0.010	1119	-34	1085	1138	-35	1103
Brazil	1.471	164564	-5003	159561	167359	-5088	162271
Bulgaria	0.011	1231	-37	1193	1251	-38	1213
Burkina Faso	0.002	224	-7	217	228	-7	221
Burundi	0.001	112	-4	108	114	-4	110
Cambodia	0.001	112	-4	108	114	-4	110
Cameroon	0.013	1454	-44	1410	1479	-45	1434
Canada	2.732	305634	-9292	296343	310825	-9449	301376
Cape Verde	0.002	224	-7	217	228	-7	221
Central African Republic	0.001	112	-4	108	114	-4	110

Party name	Indicative scale	Indicative contributions	Adjustments	Actual contributions	Indicative contributions	Adjustments	Actual contributions
	2000	2000			2001		
Chad	0.001	112	-4		114	-4	
Chile	0.136	15215	-463	14752	15473	-470	15003
China	0.995	111313	-3384	107929	113203	-3442	109,762
Colombia	0.109	12194	-371	11823	12401	-377	12024
Comoros	0.001	112	-4	108	114	-4	110
Congo	0.003	336	-10	325	341	-10	331
Cook Islands	0.001	112	-4	108	114	-4	110
Costa Rica	0.016	1790	-54	1736	1820	-55	1765
Côte d'Ivoire	0.009	1007	-31	976	1024	-31	993
Croatia	0.030	3356	-102	3254	3413	-104	3309
Cuba	0.024	2685	-82	2603	2731	-83	2648
Cyprus	0.034	3804	-116	3688	3868	-118	3751
Czech Republic	0.107	11970	-364	11606	12174	-370	11804
Democratic People's Republic of Korea	0.015	1678	-51	1627	1707	-52	1655
Democratic Republic of the Congo	0.007	783	-24	759	796	-24	772
Denmark	0.692	77415	-2354	75062	78730	-2393	76337
Djibouti	0.001	112	-4	108	114	-4	110
Dominica	0.001	112	-4	108	114	-4	110
Dominican Republic	0.015	1678	-51	1627	1707	-52	1655
Ecuador	0.020	2237	-68	2169	2275	-69	2206
Egypt	0.065	7272	-221	7051	7395	-225	7170
El Salvador	0.012	1342	-41	1302	1365	-42	1324
Eritrea	0.001	112	-4	108	114	-4	110
Estonia	0.012	1342	-41	1302	1365	-42	1324
Ethiopia	0.006	671	-20	651	683	-21	662
European Community	2.500	279680	-8776	270904	284430	-8925	275505
Fiji	0.004	447	-14	434	455	-14	441
Finland	0.543	60746	-1847	58900	61778	-1878	59900
France	6.545	732202	-22260	709942	744638	-22638	722000
Gabon	0.015	1678	-51	1627	1707	-52	1655
Gambia	0.001	112	-4	108	114	-4	110
Georgia	0.007	783	-24	759	796	24)	772
Germany	9.857	1102722	-33524	1069198	1121451	-34093	1087357
Ghana	0.007	783	-24	759	796	-24	772
Greece	0.351	39267	-1194	38073	39934	-1214	38720

Party name	Indicative scale	Indicative contributions	Adjustments	Actual contributions	Indicative contributions	Adjustments	Actual contributions
	2000	2000			2001		
Grenada	0.001	112	-4	108	114	-4	110
Guatemala	0.018	2014	-61	1952	2048	-62	1986
Guinea	0.003	336	-10	325	341	-10	331
Guinea-Bissau	0.001	112	-4	108	114	-4	110
Guyana	0.001	112	-4	108	114	-4	110
Haiti	0.002	224	-7	217	228	-7	221
Honduras	0.003	336	-10	325	341	-10	331
Hungary	0.120	13425	-408	13017	13653	-415	13238
Iceland	0.032	3580	-109	3471	3641	-111	3530
India	0.299	33450	-1017	32433	34018	-1034	32984
Indonesia	0.188	21032	-639	20393	21389	-650	20739
Iran (Islamic Republic of)	0.161	18011	-548	17464	18317	-557	17760
Ireland	0.224	25059	-762	24297	25485	-775	24710
Israel	0.350	39155	-1190	37965	39820	-1211	38610
Italy	5.437	608248	-18491	589757	618578	-18806	599773
Jamaica	0.006	671	-20	651	683	-21	662
Japan	20.573	2301543	-69970	2231573	2340631	-71158	2269473
Jordan	0.006	671	-20	651	683	-21	662
Kazakhstan	0.048	5370	-163	5207	5461	-166	5295
Kenya	0.007	783	-24	759	796	-24	772
Kiribati	0.001	112	-4	108	114	-4	110
Kuwait	0.128	14320	-435	13884	14563	-443	14120
Lao People's Democratic Republic	0.001	112	-4	108	114	-4	110
Latvia	0.017	1902	-58	1844	1934	-59	1875
Lebanon	0.016	1790	-54	1736	1820	-55	1765
Lesotho	0.002	224	-7	217	228	-7	221
Libyan Arab Jamahiriya	0.124	13872	-422	13450	14108	-429	13679
Liechtenstein	0.006	671	-20	651	683	-21	662
Lithuania	0.015	1678	-51	1627	1707	-52	1655
Luxembourg	0.068	7607	-231	7376	7736	-235	7501
Madagascar	0.003	336	-10	325	341	-10	331
Malawi	0.002	224	-7	217	228	-7	221
Malaysia	0.183	20473	-622	19850	20820	-633	20187
Maldives	0.001	112	-4	108	114	-4	110
Mali	0.002	224	-7	217	228	-7	221

Party name	Indicative scale	Indicative contributions	Adjustments	Actual contributions	Indicative contributions	Adjustments	Actual contributions
	2000	2000			2001		
Malta	0.014	1566	-48	1519	1593	-48	1544
Marshall Islands	0.001	112	-4	108	114	-4	110
Mauritania	0.001	112	-4	108	114	-4	110
Mauritius	0.009	1007	-31	976	1024	-31	993
Mexico	0.995	111313	-3384	107929	113203	-3442	109762
Micronesia (Federated States of)	0.001	112	-4	108	114	-4	110
Monaco	0.004	447	-14	434	455	-14	441
Mongolia	0.002	224	-7	217	228	-7	221
Morocco	0.041	4587	-139	4447	4665	-142	4523
Mozambique	0.001	112	-4	108	114	-4	110
Myanmar	0.008	895	-27	868	910	-28	883
Namibia	0.007	783	-24	759	796	-24	772
Nauru	0.001	112	-4	108	114	-4	110
Nepal	0.004	447	-14	434	455	-14	441
Netherlands	1.632	182575	-5550	177025	185676	-5645	180031
New Zealand	0.221	24724	-752	23972	25144	-764	24379
Nicaragua	0.001	112	-4	108	114	-4	110
Niger	0.002	224	-7	217	228	-7	221
Nigeria	0.032	3580	-109	3471	3641	-111	3530
Niue	0.001	112	-4	108	114	-4	110
Norway	0.610	68242	-2075	66167	69401	-2110	67291
Oman	0.051	5705	-173	5532	5802	-176	5626
Pakistan	0.059	6600	-201	6400	6713	-204	6508
Panama	0.013	1454	-44	1410	1479	-45	1434
Papua New Guinea	0.007	783	-24	759	796	-24	772
Paraguay	0.014	1566	-48	1519	1593	-48	1544
Peru	0.099	11075	-337	10739	11263	-342	10921
Philippines	0.081	9062	-275	8786	9216	-280	8935
Poland	0.196	21927	-667	21260	22299	-678	21621
Portugal	0.431	48217	-1466	46751	49036	-1491	47545
Qatar	0.033	3692	-112	3580	3754	-114	3640
Republic of Korea	1.006	112543	-3421	109122	114455	-3480	110975
Republic of Moldova	0.01	1119	-34	1085	1138	-35	1103
Romania	0.056	6265	-190	6074	6371	-194	6178
Russian Federation	1.077	120486	-3663	116823	122532	-3725	118807

Party name	Indicative scale	Indicative contributions	Adjustments	Actual contributions	Indicative contributions	Adjustments	Actual contributions
	2000	2000			2001		
Rwanda	0.001	112	-4	108	114	-4	110
Saint Kitts and Nevis	0.001	112	-4	108	114	-4	110
Saint Lucia	0.001	112	-4	108	114	-4	110
Saint Vincent and the Grenadines	0.001	112	-4	108	114	-4	110
Samoa	0.001	112	-4	108	114	-4	110
San Marino	0.002	224	-7	217	228	-7	221
Saudi Arabia	0.562	62872	-1911	60961	63940	-1944	61996
Senegal	0.006	671	-20	651	683	-21	662
Seychelles	0.002	224	-7	217	228	-7	221
Sierra Leone	0.001	112	-4	108	114	-4	110
Singapore	0.179	20025	-609	19416	20365	-619	19746
Slovakia	0.035	3916	-119	3796	3982	-121	3861
Slovenia	0.061	6824	-207	6617	6940	-211	6729
Solomon Islands	0.001	112	-4	108	114	-4	110
South Africa	0.366	40945	-1245	39700	41641	-1266	40375
Spain	2.591	289860	-8812	281048	294783	-8962	285821
Sri Lanka	0.012	1342	-41	1302	1365	-42	1324
Sudan	0.007	783	-24	759	796	-24	772
Suriname	0.004	447	-14	434	455	-14	441
Swaziland	0.002	224	-7	217	228	-7	221
Sweden	1.079	120710	-3670	117040	122760	-3732	119028
Switzerland	1.215	135924	-4132	131792	138233	-4202	134031
Syrian Arab Republic	0.064	7160	-218	6942	7281	-221	7060
Tajikistan	0.004	447	-14	434	455	-14	441
Thailand	0.170	19018	-578	18440	19341	-588	18753
The former Yugoslav Republic of Macedonia	0.004	447	-14	434	455	-14	441
Togo	0.001	112	-4	108	114	-4	110
Tonga	0.001	112	-4	108	114	-4	110
Trinidad and Tobago	0.016	1790	-54	1736	1820	-55	1765
Tunisia	0.028	3132	-95	3037	3186	-97	3089
Turkmenistan	0.006	671	-20	651	683	-21	662
Tuvalu	0.001	112	-4	108	114	-4	110
Uganda	0.004	447	-14	434	455	-14	441
Ukraine	0.190	21256	-646	20609	21617	-657	20960
United Arab Emirates	0.178	19913	-605	19308	20251	-616	19636

Party name	Indicative scale	Indicative contributions	Adjustments	Actual contributions	Indicative contributions	Adjustments	Actual contributions
	2000		2000			2001	
United Kingdom	5.092	569652	-17318	552334	579327	-17612	561715
United Republic of Tanzania	0.003	336	-10	325	341	-10	331
United States of America	25.000	2796800	-85026	2711774	2844300	-86470	2757830
Uruguay	0.048	5370	-163	5207	5461	-166	5295
Uzbekistan	0.025	2797	-85	2712	2844	-86	2758
Vanuatu	0.001	112	-4	108	114	-4	110
Venezuela	0.16	17900	-544	17355	18204	-553	17650
Viet Nam	0.007	783	-24	759	796	-24	772
Yemen	0.010	1119	-34	1085	1138	-35	1103
Yugoslavia	0.026	2909	-88	2820	2958	-90	2868
Zambia	0.002	224	-7	217	228	-7	221
Zimbabwe	0.009	1007	-31	976	1024	-31	993
TOTAL	103.138	11538254	-351054	11187200	11734217	-357017	11377200

Note: The scale is based on the United Nations scale of assessments (see decision 15/CP.1, annex I, para. 7 (a) as amended in decision 17/CP.4). The same methodology of determining the scale will be applied to the conference services contingency in the event it is approved. The total is based on paragraphs 1 and 6 of this decision (see tables 1 and 5 above).