

**Decision 12/CP.5**

**Implementation of Article 4, paragraphs 8 and 9, of the Convention and matters relating to Article 3, paragraph 14, of the Kyoto Protocol**

*The Conference of the Parties,*

*Recalling* its decision 5/CP.4 entitled Implementation of Article 4.8 and 4.9 of the Convention (decision 3/CP.3 and Articles 2.3 and 3.14 of the Kyoto Protocol),

*Recalling also* its decision 8/CP.4 on preparations for the first session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol,

*Recognizing* the specific needs and concerns of developing country Parties referred to in Article 4.8 of the Convention, and the specific needs and special situations of the least developed countries referred to in Article 4.9,

*Recognizing also* the concern for sustainable development of the countries referred to in Article 4.8 and 4.9 of the Convention,

*Taking note* of the report of the expert workshop referred to in the programme of work contained in the annex to decision 5/CP.4, which was held in Bonn from 21 to 24 September 1999,<sup>1</sup>

*Recognizing* that the identification of initial actions necessary to address the adverse effects of climate change and/or the impact of the implementation of response measures needs to be based on sufficient information and analysis within a clearly-defined process,

*Acknowledging* the efforts already made by Parties towards meeting the specific needs and concerns of developing country Parties, in particular the least developed countries, with regard to adaptation,

*Having considered* the report of the above-mentioned workshop regarding the specific needs and concerns of developing country Parties, and the specific needs and special situations of the least developed countries where widespread poverty limits adaptive capacity, particularly in relation to the impacts of the adverse effects of climate change on socio-economic conditions, including, *inter alia*, water resources, agriculture and food security, economic activities, coastal

---

<sup>1</sup> See FCCC/SB/1999/9.

zones and health, and the impact of the implementation of response measures on, *inter alia*, terms of trade, international capital flows and developmental efforts,

1. *Decides* to continue the process of implementation of Article 4.8 and 4.9 of the Convention, as established by decisions 3/CP.3 and 5/CP.4, and to assess the process at its sixth session and, as appropriate, at subsequent sessions;

2. *Decides* that the process referred to in paragraph 1 above shall address information-gathering on initial actions needed to address the specific needs and concerns of developing country Parties referred to in Article 4.8 of the Convention, and the specific needs and special situations of the least developed countries referred to in Article 4.9 of the Convention, arising from the adverse effects of climate change and/or the impact of the implementation of response measures;

3. *Decides* that the process shall also identify what actions are necessary under the Convention, including actions relating to funding, insurance and the transfer of technology, to meet the specific needs and concerns of developing country Parties referred to in Article 4.8 of the Convention and the specific needs and special situations of the least developed countries;

4. *Requests* the subsidiary bodies, at their twelfth and thirteenth sessions, giving special attention to the situations of the least developed countries in accordance with Article 4.9 of the Convention, and reaffirming, in particular, the need for support for capacity-building and technical assistance, to continue their consideration of the implementation of Article 4.8 and 4.9 of the Convention, and in particular of the examples of initial actions listed in subparagraphs (a) to (e) below, and encourages Parties to respond positively where adaptation measures are identified by developing country Parties as priorities:

(a) Information on the adverse effects of climate change, based on country-specific data, drawn from the national communications of Parties not included in Annex I to the Convention and other sources;

(b) Information on the impact of the implementation of response measures, drawn from the national communications of Parties not included in Annex I to the Convention and other sources;

(c) Information on policies and measures undertaken to respond to climate change, drawn from the national communications of Parties included in Annex I to the Convention;

(d) Consideration of the importance and extent of efforts to diversify the national economies of developing countries referred to in Article 4.8 and 4.9 of the Convention, and of how the international community could best support such efforts;

(e) Consideration of how adaptation measures can be integrated into national strategies for sustainable development and could help to form a basis for action in multilateral and bilateral development programmes;

5. *Decides* that a workshop be organized, under the guidance of the Chairmen of the subsidiary bodies, on the consideration of initial actions, including actions related to funding, insurance and the transfer of technology, needed to meet the specific needs and concerns of developing country Parties, and the specific needs and special situations of the least developed countries, arising from the adverse effects of climate change on, *inter alia*, water resources, agriculture and food security, economic activities, coastal zones and health. The workshop shall consider, among other issues, the following:

(a) Enhancing capacity for monitoring, systematic observation and vulnerability assessment in developing countries;

(b) Capacity-building in environmental management and integrated assessment;

(c) Identifying adaptation options and facilitating adaptation where near-term climate change impacts are understood and adaptation measures are feasible;

6. *Decides* that a workshop be organized, under the guidance of the Chairmen of the subsidiary bodies, on methodological approaches and what actions are necessary under the Convention relating to the impact of the implementation of response measures on, *inter alia*, terms of trade, international capital flows and developmental efforts, in accordance with Article 4.8 and 4.9 of the Convention and in the light of matters related to Article 3.14 of the Kyoto Protocol. The workshop shall consider, among other issues, the following:

(a) The nature and content of information needed;

(b) The sources of information;

(c) Procedures and modalities for the provision of information;

(d) What actions are necessary, including those relating to funding, insurance and the transfer of technology;

7. *Decides* that the workshops referred to in paragraphs 5 and 6 above shall be organized in two consecutive, equal time periods before the end of March 2000, and requests the Chairmen of the subsidiary bodies to present a report in two parts thereon to the subsidiary bodies at their twelfth sessions;

8. *Invites* the subsidiary bodies, at their twelfth and thirteenth sessions, to consider the report in two parts referred to in paragraph 7 above, and to make recommendations to the Conference of the Parties at its sixth session;

9. *Decides* to consider further, at its sixth session, matters related to Article 3.14 of the Kyoto Protocol, as an input to the first session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol, taking into account its ongoing discussions on the implementation of Article 4.8 and 4.9 of the Convention.

*9th plenary meeting  
4 November 1999*