

Decision 10/CP.5

Capacity-building in developing countries (non-Annex I Parties)

The Conference of the Parties,

Recalling Article 4.1, 4.3, 4.5, and 4.7, in the context of Article 3, and also Articles 5 (c) and 6 (b) of the United Nations Framework Convention on Climate Change,

Recalling further the provisions relating to capacity-building for developing countries contained in its decisions 10/CP.2, 11/CP.2, 9/CP.3, 2/CP.4, 4/CP.4, 5/CP.4, 6/CP.4, 7/CP.4, 12/CP.4 and 14/CP.4,

Welcoming the submissions by Parties on the subject of capacity-building,¹

Affirming that capacity-building is critical to the effective participation of developing countries in the Convention and Kyoto Protocol processes,

Recognizing the importance of taking stock of existing activities in the field of capacity-building, including the enabling activities of the Global Environment Facility,

Acknowledging that work has begun on implementing provisions for capacity-building contained in decisions 4/CP.4, 7/CP.4 and 14/CP.4, but that substantial work still remains to be done,

Recognizing that the constraints to implementing the Convention in developing countries include the lack of financial resources and appropriate institutions; the lack of access to necessary technologies and know-how, including information technology; and the lack of regular opportunities to exchange information and views among developing countries,

Recognizing also that developing countries, in particular the least developed countries and small island developing States amongst them, because of their vulnerability to the adverse effects of climate change, require special capacity-building initiatives,

Underlining that capacity-building for developing countries must be country-driven, reflecting their national initiatives and priorities, and that it is primarily to be undertaken by developing countries and in developing countries in partnership with developed countries, in accordance with the provisions of the Convention,

¹ See FCCC/SB/1999/MISC.9 and FCCC/SB/1999/MISC.11.

Emphasizing that capacity-building is a continuous process aimed at strengthening or establishing, as appropriate, relevant organizations, institutions and human resources in order to provide expertise in all areas relating to the implementation of the Convention,

Emphasizing further that an integrated approach should recognize the responsibility of individual Parties to promote conditions that are conducive to the development of human, institutional and technical capacity, and that every effort should be made to improve the coordination and effectiveness of existing efforts and promote participation of a wide range of actors and constituencies, including governments at all levels, international organizations, civil society and the private sector,

Emphasizing also the importance of creating an enabling environment for investment, which promotes capacity-building activities in developing countries,

Noting that capacity-building activities are conducted by United Nations agencies, international organizations and bilateral and multilateral institutions, including the Global Environment Facility as an operating entity of the financial mechanism,

1. *Decides* that:

(a) Financial and technical support for capacity-building activities for implementing the Convention in developing countries, in particular the least developed countries and small island developing States, should be provided through the financial mechanism and through bilateral and multilateral agencies, as appropriate;

(b) Capacity-building activities related to the implementation of the Convention and its Kyoto Protocol should take fully into account the provisions of this decision;

(c) Existing capacity-building activities and programmes should be comprehensively assessed to determine their effectiveness and to identify gaps and weaknesses in ongoing efforts, and that the special needs of developing countries should be further elaborated in accordance with this decision, through a country-driven process, so as to take a comprehensive decision at its sixth session;

(d) UNFCCC national focal points or national authorities designated to handle climate change in developing countries should play a key role in the assessment referred to in paragraph 1(c) above and urges Parties included in Annex II to the Convention (Annex II Parties), the secretariat, the Global Environment Facility (GEF) and relevant international organizations to assist in strengthening them for this purpose;

(e) The assessment should consider, *inter alia*, the following ways and means for capacity-building:

- (i) Strengthening the UNFCCC national focal points or national authorities designated to handle climate change;
- (ii) Building expertise and strengthening institutions, including collaborating centres, in developing countries which can undertake capacity-building activities at the national, subregional and regional levels, so as to enable them to collect, analyse and provide information on climate change relevant to policy- and decision-making, using state-of-the-art information technology;
- (iii) Supporting the networking of these institutions among themselves, and between them and relevant institutions in developed country Parties;
- (iv) Using, as appropriate, national experts or consultants to undertake studies and to design, and implement projects at the national level;
- (v) Conducting training, seminars and exchange programmes for the personnel of developing country institutions and of relevant institutions in other developing countries and in developed countries;

2. *Invites* Parties not included in Annex I to the Convention (non-Annex I Parties) to elaborate their specific needs and priorities for capacity-building by 1 March 2000;

3. *Requests* Annex II Parties to supplement the information contained in their national communications on activities and programmes which facilitate capacity-building in developing countries in the area of climate change, by 1 March 2000;

4. *Invites* relevant intergovernmental organizations to provide the secretariat with information on their ongoing capacity-building activities by 1 March 2000;

5. *Requests* the secretariat:

(a) To compile information contained in initial national communications from non-Annex I Parties relating to capacity-building activities, programmes and needs, and to make it available in both printed and electronic formats prior to the twelfth sessions of the subsidiary bodies;

(b) To compile information contained in national communications of Annex II Parties on activities and programmes implemented to facilitate capacity-building in developing countries relevant to the implementation of the Convention, as well as the information referred to in paragraphs 2, 3 and 4 above, and to make it available in both printed and electronic formats prior to the twelfth sessions of the subsidiary bodies;

(c) To further elaborate the specific capacity-building needs and priorities of non-Annex I Parties, taking fully into account the list provided by those Parties and contained in the annex to this decision, and the results of the inter-sessional workshops, including workshops on the consultative process for technology transfer, held prior to the twelfth sessions of the subsidiary bodies;

(d) To develop in accordance with this decision, in close consultation with Parties at the twelfth sessions of the subsidiary bodies, and based on the information compiled and synthesized, elements of a draft framework for capacity-building activities, including elements related to capacity-building emerging from discussions of other issues under the Convention and its Kyoto Protocol, for consideration by the subsidiary bodies at their thirteenth sessions;

(e) To coordinate closely with, and seek the assistance of, the GEF, as an operating entity of the financial mechanism, and the secretariats of relevant United Nations agencies and international organizations, and bilateral and multilateral institutions, in preparing the elements of the draft framework referred to in subparagraph (d) above; to continue to coordinate with these agencies, organizations and institutions regarding their climate change capacity-building activities in support of the implementation of the Convention and its Kyoto Protocol; and in reporting on this coordination, to include information on the financing of these activities, at regular intervals;

(f) To report to the subsidiary bodies, at their twelfth sessions, on progress in the review by the GEF of its enabling activities, its capacity-building activities in its normal work programme, its Country Dialogue Workshops and its Capacity Development Initiative.

*9th plenary meeting
4 November 1999*

Annex

**LIST OF CAPACITY-BUILDING NEEDS OF DEVELOPING
COUNTRY PARTIES¹**

1. Institutional capacity-building

- Strengthening of national UNFCCC focal points or national authorities designated to coordinate climate change activities
- Strengthening of relevant and key academic and research institutions and non-governmental organizations

2. Capacity-building under the clean development mechanism

- Establishment of institutional linkages required for implementation of the clean development mechanism
- Project identification, formulation and design
- Monitoring, verification, auditing and certification of project activities
- Development of criteria, including for sustainable development indicators, e.g. for adaptation
- Development of baselines
- Project negotiation skills
- Clean development mechanism demonstration projects to enhance capacity-building (learning by doing), including assessment of costs and risks (long- and short-term)
- Data acquisition and sharing

3. Human resource development

- Fellowships and scholarships for formal training at higher levels, specialized training and informal training
- Development of a “pool” of expertise and skills
- Studies such as climate change detection and climate variability, impact assessment, vulnerability and adaptation studies, and policy analysis
- Workshops (including workshops to discuss the implementation plan)
- Exchange programmes among Parties
- Integration of climate change into educational curricula
- Networking and coordination at local, national, regional and international levels

4. Technology transfer

- Identification and assessment of appropriate technologies
- Appropriate technology information needs, including support for office and other relevant equipment

¹ See FCCC/SB/1999/MISC.9, annex (proposed by Gambia on behalf of the Group of 77 and China).

- Analysis of constraints to the transfer of technology (non-Annex I and Annex I Parties).
- Exchange programmes

5. **National communications**

- Development of local emission factors
- Data collection, analysis and archiving
- Establishment of a technical assistance group, such as a non-Annex I Party expert group
- Vulnerability assessments, including scoping, modelling, analysis, method selection and reporting

6. **Adaptation**

- Development of adaptation project guidelines
- Case studies of extreme weather events, documentation and dissemination of study reports
- Capacity-building and capacity-enhancement in the marine sector, such as coastal zone management
- Identification and promotion of traditional knowledge, skills and practices which enhance adaptation

7. **Public awareness**

- Development of public awareness programmes
- Development and production of public awareness materials
- Workshops
- Involvement and consultation

8. **Coordination and cooperation**

- Coordination programmes at the individual, community, local, government, non-government, national and regional levels
- Involvement and consultation
- Linking and learning

9. **Improved decision-making**

- Awareness and knowledge
- Research, data and information
- Technical and policy
- Integrating climate change policies into national development strategies and plans