

Privacy

Philosophy, Law, and Technology

ML for Cybersecurity

November 19, 2020



THE UNIVERSITY OF
CHICAGO



Privacy is Hard to Define

- *“Privacy is a value so complex, so entangled in competing and contradictory dimensions, so engorged with various and distinct meanings, that I sometimes despair whether it can be usefully addressed at all.”* Robert C. Post, Three Concepts of Privacy, 89 Geo. L.J. 2087 (2001)

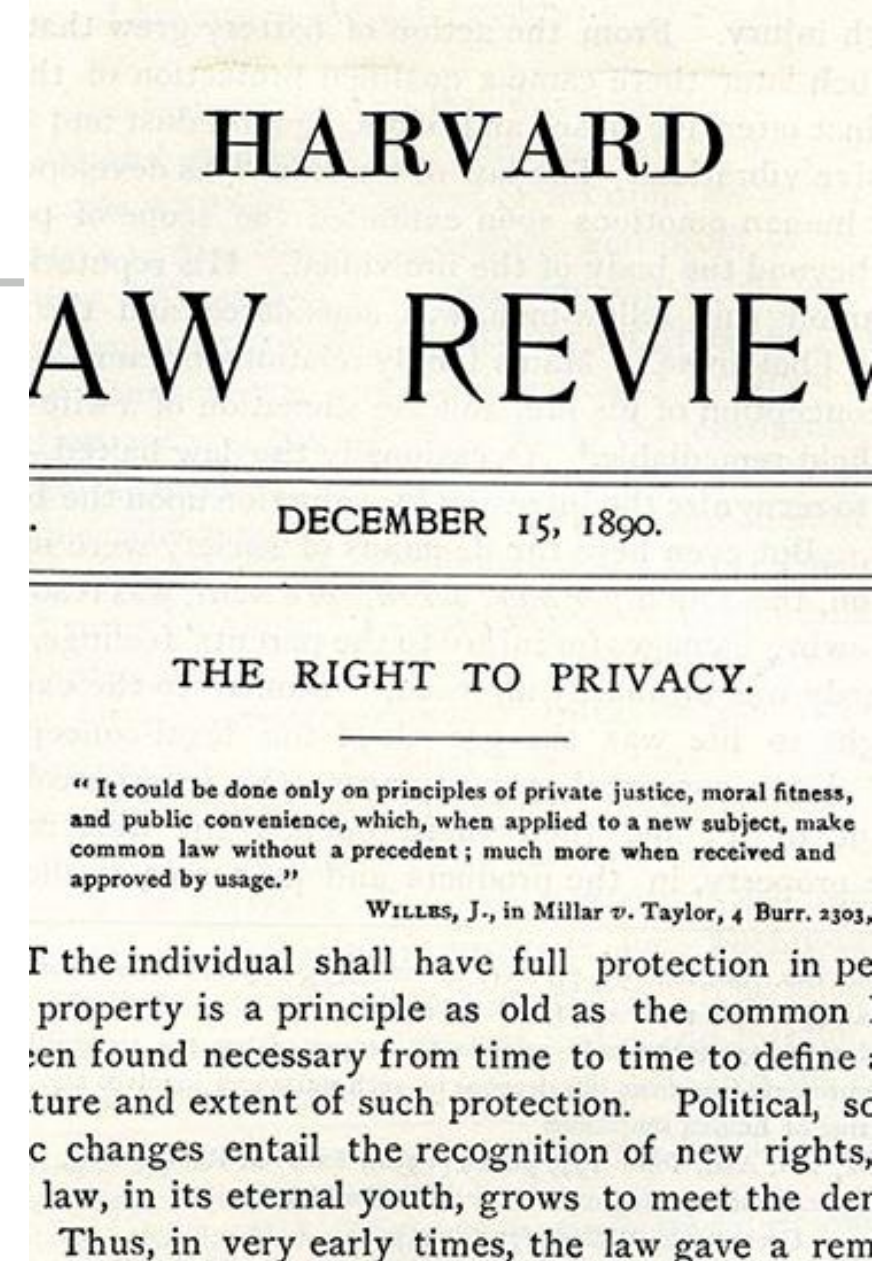


DEFINING PRIVACY



The Right to Be Let Alone

- Warren and Brandeis, Harvard Law Review, 1890
- Spurred by photography in gossip pages about high society
- Libel and slander are insufficient in considering only damage to reputation
 - The right to prevent, rather than profit from, publication
- Excludes topics of general interest



Privacy as Control

- Alan Westin, *Privacy and Freedom*, 1967
- “Privacy is the claim of individuals, groups or institutions to determine for themselves when, how, and to what extent information about them is communicated to others.”
- “...each individual is continually engaged in a personal adjustment process in which he balances the desire for privacy with the desire for disclosure and communication....”



Boundary Regulation

- Irwin Altman, 1975
- Privacy is a dialectic and dynamic process of boundary regulation
- Continuous movement on a continuum
- Goal: optimize balance of privacy and social interaction



Balance Costs and Benefits

- Sandra Petronio, 1991
- Communication Privacy Management (CPM) Theory
- Regulate boundaries based on perceived costs and benefits
- Rule-based management is expected
- Boundary turbulence related to clashing expectations



Contextual Integrity

- Helen Nissenbaum, **2004**
- “Contextual integrity ties adequate protection for privacy to norms of specific contexts, demanding that information gathering and dissemination be appropriate to that context.”
- Parameters: data subject, sender, recipient, information type, and transmission principle





PRIVACY REGULATION & LAW

Fair Information Practice Principles (FIPPs)

- US Federal Trade Commission (FTC), building on earlier frameworks
 1. Notice / Awareness
 2. Choice / Consent
 3. Access / Participation
 4. Integrity / Security
 5. Enforcement / Redress

FTC's Regulatory Tools

- **Unfair practices**
 - Injure consumer
 - Violate established policy
 - Unethical
- **Deceptive practices**
 - Mislead consumer
 - Differ from reasonable consumer expectations

General Data Protection Regulation (GDPR)

- Came into effect May 25, 2018 and applies to the EU
- Distinguishes between data subjects, controllers (people who direct analysis), and processors (those who do the analysis)
- Controller informs the “data subject in a concise, transparent, intelligible and easily accessible form”
- Right of access for data subjects
- Right of erasure (with some exceptions)
- Right to object to processing for some purposes
- Privacy by design (Article 25)

General Data Protection Regulation (GDPR)

- Pseudonymization required for stored personal data
- Data breach notification to authorities within 72 hours
- Possible fines of up to 4% of worldwide turnover
- Can only process data based on six lawful bases:
 1. Consent
 2. Contract
 3. Public task
 4. Vital interest
 5. Legitimate interest
 6. Legal requirement

California Consumer Privacy Act (CCPA)

- Came into effect January 1, 2020 and applies to California residents
- Residents of California have rights to:
 - Know what personal data is collected
 - Know whether that data is sold
 - Refuse the sale of personal data
 - Access their data
 - Request erasure of their personal data
 - Not be discriminated against for exercising these privacy rights
- Fine of \$7,500 for intentional and \$2,500 for unintentional violations