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A Unified Memory Model for Heterogenous Systems

1 MODEL

1.1 Preliminaries

The syntax is built from

- a set of values V, ranged over by v, w, ℓ, k ,
- a set of registers \mathcal{R} , ranged over by r, s,
- a set of expressions \mathcal{M} , ranged over by M, N, L,
- a set of thread ids \mathcal{T} , ranged over by α , γ .

Memory references are tagged values, written $[\ell]$. Let \mathcal{X} be the set of memory references, ranged over by x, y, z. We require that:

- values and registers are disjoint,
- values include at least the constants 0 and 1,
- · expressions include at least registers and values,
- references do not appear in expressions: M[N/x] = M,
- thread ids include the *top-level* id **0**.

We model the following language.

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\mu, \nu := \mathsf{wk} \mid \mathsf{rlx} \mid \mathsf{rel} \mid \mathsf{acq} \mid \mathsf{ra} \mid \mathsf{sc} \sigma, \rho := \mathsf{cta} \mid \mathsf{gpu} \mid \mathsf{sys} S := \mathsf{skip} \mid r := M \mid r := [L]^{\mu}_{\sigma} \mid [L]^{\mu}_{\sigma} := M \mid \mathsf{F}^{\mu}_{\sigma} \mid \mathsf{if}(M)\{S_1\} \mathsf{else}\{S_2\} \mid S_1; S_2 \mid S_1 \rceil_{V} S_2 \mid r := \mathsf{CAS}^{\mu,\nu}_{\sigma}([L], M, N) \mid r := \mathsf{FADD}^{\mu,\nu}_{\sigma}([L], M) \mid r := \mathsf{EXCHG}^{\mu,\nu}_{\sigma}([L], M)
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Access modes, μ , are weak (wk), relaxed (rlx), release (rel), acquire (acq), release-acquire (ra), and sequentially consistent (sc). Let expressions (r:=M) only affect thread-local state and thus do not have a mode. Reads $(r:=[L]^{\mu}_{\sigma})$ support wk, rlx, acq, sc. Writes $([L]^{\mu}_{\sigma}:=r)$ support wk, rlx, rel, sc. Fences (F^{μ}_{σ}) support rel, acq, ra, sc. In the atomic update operations, μ is a read and ν is a write; we require that r does not occur in L.

Scopes, σ , are thread group (cta), processor (gpu) and system (sys).

Commands, aka *statements*, S, include memory accesses at a given mode, as well as the usual structural constructs. Following [Ferreira et al. 1996], $\|$ denotes parallel composition. If $(S_1 \|_{\gamma} S_2)$ is executed with thread ID α , then S_2 runs with ID γ and S_1 continues under ID α . Top level programs run with thread ID 0. In examples, we usually drop thread IDs. We use the symmetric $\|$ operator when there is no continuation after the parallel composition.

We use common syntax sugar, such as *extended expressions*, \mathbb{M} , which include memory locations. For example, if \mathbb{M} includes a single occurrence of x, then $y := \mathbb{M}$; S is shorthand for r := x;

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y := M[r/x]; S. Each occurrence of x in an extended expression corresponds to an separate read. We also write if (M){S} as shorthand for if (M){S} else {skip}.

The semantics is built from the following.

- a set of *events* \mathcal{E} , ranged over by e, d, c, b,
- a set of actions \mathcal{A} , ranged over by a,
- a set of *logical formulae* Φ , ranged over by ϕ , ψ , θ .

Subsets of \mathcal{E} are ranged over by E, D, C, B.

- registers include $S_{\mathcal{E}} = \{s_e \mid e \in \mathcal{E}\}$ which do not appear in commands: $S[N/s_e] = S$,
- formulae include equalities (M=N) and (x=M),
- formulae are closed under negation, conjunction, disjunction, and substitutions [M/r], [M/x],
- there is a relation ⊨ between formulae, capturing entailment,
- \models has the expected semantics for =, \neg , \land , \lor , \Rightarrow and substitution.

We relax the first assumption in examples, assuming that each register is assigned at most once.

Logical formulae include equations over registers, such as (r=s+1). For LIR, we also include equations over memory references, such as (x=1). Formulae are subject to substitutions; actions are not. We use expressions as formulae, coercing M to $M\neq 0$. Equations have precedence over logical operators; thus $r=v \Rightarrow s>w$ is read $(r=v) \Rightarrow (s>w)$. As usual, implication associates to the right; thus $\phi \Rightarrow \psi \Rightarrow \theta$ is read $\phi \Rightarrow (\psi \Rightarrow \theta)$.

We say ϕ is a tautology if tt $\models \phi$. We say ϕ is unsatisfiable if $\phi \models \mathsf{ff}$.

We also require that there are subsets of actions, distinguishing *read* and *release* actions. We require several binary relations between actions, detailed in the next subsection: *overlaps*, *strongly-overlaps*, *matches*, *strongly-matches*, *strongly-fences*, *blocks*, *sync-delays* and *co-delays*. We require that strongly-overlaps implies overlaps and that strongly-matches implies matches implies blocks implies overlaps.

1.2 Actions

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97 98 We combine access and fence modes into a single order: $\mathsf{wk} \to \mathsf{rlx} \ensuremath{\Rightarrow} \ensuremath{\mathsf{rel}} \ensuremath{\Rightarrow} \mathsf{ra} \to \mathsf{sc}$. We write $\mu \sqsubseteq v$ for this order. Let $\mu \sqcup v$ denote the least upper bound of μ and v.

Let actions be reads, writes and fences:

$$a, b := \alpha W^{\mu}_{\sigma} x v \mid \alpha R^{\mu}_{\sigma} x v \mid \alpha F^{\mu}_{\sigma}$$

In examples, we systematically drop the default mode rlx and the default scope sys. In definitions, we drop elements of actions that are existentially quantified. We write $(\alpha A_{\sigma}^{\mu} x)$ to stand for an *access*: either $(\alpha W_{\sigma}^{\mu} x)$ or $(\alpha R_{\sigma}^{\mu} x)$. We write $(W^{\square rel})$ to stand for either (W^{rel}) or (W^{sc}) , and similarly for other actions and modes.

We say a matches b if a = (Wxv) and b = (Rxv).

We say a blocks b if a = (Wx) and b = (Rx), regardless of value.

We say a overlaps b if a = (Ax) and b = (Ax), regardless of access type or value.

We say a co-delays b if $(a, b) \in \{(Wx, Wx), (Rx, Wx), (Wx, Rx)\} \cup \{(A^{sc}, A^{sc})\}.$

We say a sync-delays b if $(a,b) \in \{(a, W^{\exists rel}), (a, F^{\exists rel}), (R, F^{\exists acq}), (R^{\exists acq}, b), (F^{\exists acq}, b), (F^{\exists rel}, W), (W^{\exists rel}x, Wx)\}.$

Let $(W^{\supseteq rel})$ and $(F^{\supseteq rel})$ be *release* actions. Actions (R) are *read* actions.

Definition 1.1. We assume two equivalences: $(=_{gpu}) \subseteq (\mathcal{T} \times \mathcal{T})$ partitions threads by *processor*, and $(=_{cta}) \subseteq (=_{gpu})$ refines the processor partitioning into *thread groups*.

¹For PTX, one could additionally include $(Rx, R^{\supseteq acq}x)$, but this is not sound for Arm or IMM.

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We say (\alpha_1 A_{\sigma_1}^{\mu_1} x) strongly-overlaps (\alpha_2 A_{\sigma_2}^{\mu_2} x) when either
  (1) \alpha_1 = \alpha_2, or
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(2b) if $\sigma_1 = \text{cta} \text{ or } \sigma_2 = \text{cta then } \alpha_1 =_{\text{cta}} \alpha_2$,

(2a) $\mu_1, \mu_2 \neq wk$,

(2c) if $\sigma_1 = \text{gpu or } \sigma_2 = \text{gpu then } \alpha_1 =_{\text{gpu}} \alpha_2$.

We say $(\alpha_1 \mathsf{F}_{\sigma_1}^{\mu_1})$ strongly-fences $(\alpha_2 \mathsf{F}_{\sigma_2}^{\mu_2})$ when $\mu_1 = \mu_2 = \mathsf{sc}$ and either (1) or (2) apply, from the definition of strongly-overlaps.

We say a strongly-matches b when a is a release, b is an acquire, and either a strongly-overlaps b or a strongly-fences b.

Note that for a CPUs, all action have scope sys and mode rlx or greater. For this subset of actions, strongly-overlaps is the same as overlaps and strongly-fences applies to any pair of sc fences.

1.3 Pomsets with Predicate Transformers

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Definition 1.2. A predicate transformer is a function \tau:\Phi\to\Phi such that
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(x1) τ (ff) is ff,

(x3) $\tau(\psi_1 \vee \psi_2)$ is $\tau(\psi_1) \vee \tau(\psi_2)$,

(x2)
$$\tau(\psi_1 \wedge \psi_2)$$
 is $\tau(\psi_1) \wedge \tau(\psi_2)$, (x4) if $\phi \models \psi$, then $\tau(\phi) \models \tau(\psi)$.

Definition 1.3. A family of predicate transformers for E consists of a predicate transformer τ^D for each $D \subseteq \mathcal{E}$, such that if $C \cap E \subseteq D$ then $\tau^{C}(\psi) \models \tau^{D}(\psi)$.

We write τ as an abbreviation of τ^E .

Definition 1.4. A point with predicate transformers is a tuple $(E, \lambda, \kappa, \tau, \checkmark, \preceq, \leq, \sqsubseteq, rmw)$ where

(M1) $E \subseteq \mathcal{E}$ is a set of events,

(M2) $\lambda : E \to \mathcal{A}$ defines a *label* for each event,

(M3) $\kappa: E \to \Phi$ defines a precondition for each event, such that

(M3a) $\kappa(e)$ is satisfiable.

(M4) $\tau: 2^{\mathcal{E}} \to \Phi \to \Phi$ is a family of predicate transformers over E,

(M5) \checkmark : Φ is a termination condition, such that

(M5a) $\checkmark \models \tau(tt)$,

(M6) \leq : ($E \times E$) is a partial order capturing *dependency*,

(M7) \leq : ($E \times E$) is a partial order capturing synchronization,

(M8) \sqsubseteq : ($E \times E$) is a partial order capturing *per-location order*, such that

(M8a) if $\lambda(d)$ overlaps $\lambda(e)$ then $d \leq e$ implies $d \sqsubseteq e$,

(M9) $rmw : E \rightarrow E$ is a partial function capturing read-modify-write *atomicity*, such that

(M9a) if $d \xrightarrow{\mathsf{rmw}} e$ then $\lambda(e)$ blocks $\lambda(d)$,

(M9b) if $d \xrightarrow{\mathsf{rmw}} e$ then $d \leq e$ and $d \sqsubseteq e$,

(M9c) if $\lambda(c)$ overlaps $\lambda(d)$ then

(i) if $d \xrightarrow{\mathsf{rmvy}} e$ then $c \leq e$ implies $c \leq d$, $c \leq e$ implies $c \leq d$, $c \subseteq e$ implies $c \subseteq d$,

(ii) if $d \xrightarrow{\mathsf{rmv}} e$ then $d \leq c$ implies $e \leq c$, $d \leq c$ implies $e \leq c$, $d \subseteq c$ implies $e \subseteq c$.

A pomset is a *candidate* if there is an injective relation $rf : E \times E$, capturing *reads-from*, such that

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(c2a) if d \xrightarrow{rf} e then \lambda(d) matches \lambda(e),
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(c6) if $d \xrightarrow{rf} e$ then $d \le e$,

(c7a) if $d' \leq d \xrightarrow{\text{rf}} e \leq e'$ and $\lambda(d')$ strongly-matches $\lambda(e')$ then $d' \leq e'$,

(c7b) if $\lambda(d)$ strongly-fences $\lambda(e)$ then either $d \le e$ or $e \le d$,

(c8a) if $d \xrightarrow{rf} e$ then $d \sqsubseteq e$,

(c8b) if $d \xrightarrow{rf} e$ and $\lambda(c)$ blocks $\lambda(e)$ then either $c \sqsubseteq d$ or $e \sqsubseteq c$, where $d' \sqsubseteq e'$ when $e' \sqsubseteq d'$ implies d' = e' and $\lambda(d')$ strongly-overlaps $\lambda(e')$ implies $d' \sqsubseteq e'$.

A candidate pomset with rf is complete if

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(c2b) if \lambda(e) is a read then there is some d \stackrel{\mathsf{rf}}{\longrightarrow} e,
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             (c3) \kappa(e) is a tautology (for every e \in E),
149
             (c5) \checkmark is a tautology.
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Note that for the IMM model, C8b is equivalent to:²

if $d \xrightarrow{\mathsf{rf}} e$ and $\lambda(c)$ blocks $\lambda(e)$ then either $c \sqsubseteq d$ or $e \sqsubseteq c$.

Let P range over pomsets, and \mathcal{P} over sets of pomsets.

We drop quantifiers when clear from context, such as $(\forall e \in E)(\forall x \in X)$. We write d < e when $d \le e$ and $d \ne e$, and similarly for \triangleleft and \sqsubseteq . We sometimes use projection functions—for example, if $\lambda(e) = \alpha W_{\sigma}^{\mu} x v$ then $\lambda_{\text{thrd}}(e) = \alpha$, $\lambda_{\text{mode}}(e) = \mu$, $\lambda_{\text{scope}}(e) = \sigma$, $\lambda_{\text{loc}}(e) = x$, $\lambda_{\text{val}}(e) = v$.

1.4 Semantics

See Figure 2.

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In diagrams, we use different shapes and colors for arrows and events. These are included only to help the reader understand why order is included. We adopt the following conventions:

- $d \rightarrow e$ arises from control/data/address dependency (s3, definition of $\kappa'_2(d)$),
- $d \rightarrow e$ arises from sync-delays (s7a),
- d ► e arises from co-delays (s8a),
- $d \rightarrow e$ arises from matching (c6), (c7a) and (c8a),
- $d \rightarrow e$ arises from strong fencing (c7b),
- $d \rightarrow e$ arises from blocking (c8b).

1.5 Address Calculation

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                Definition 1.5. If P \in WRITE(L, M, \mu, \sigma)_{\alpha} then (\exists \ell \in \mathcal{V}) (\exists v \in \mathcal{V})
              (w1) if d, e \in E then d = e,
                                                                                                       (w4b) if E = \emptyset then
172
              (w2) \lambda(e) = \alpha W_{\sigma}^{\mu}[\ell]v,
                                                                                                                    (\forall k) \ \tau^D(\psi) \models (L=k) \Rightarrow \psi[M/[k]]
173
              (w3) \kappa(e) \models L = \ell \land M = v,
                                                                                                       (w5a) if E \neq \emptyset then \checkmark \models L = \ell \land M = v,
174
            (w4a) if E \neq \emptyset then \tau^D(\psi) \models (L=\ell) \Rightarrow \psi[M/[\ell]], (w5b) if E = \emptyset then \checkmark \models ff.
175
           If P \in READ(r, L, \mu, \sigma)_{\alpha} then (\exists \ell \in \mathcal{V}) (\exists v \in \mathcal{V})
177
                (R1) if d, e \in E then d = e,
178
                (R2) \lambda(e) = \alpha R_{\sigma}^{\mu}[\ell]v
179
                (R3) \kappa(e) \wedge L = \ell,
              (R4a) (\forall e \in E \cap D) \tau^D(\psi) \models (L=\ell \Rightarrow v=s_e) \Rightarrow \psi[s_e/r],
181
              (R4b) \ (\forall e \in E \setminus D) \ \tau^D(\psi) \models ((L=\ell \Rightarrow v=s_e) \lor (L=\ell \Rightarrow [\ell]=s_e)) \Rightarrow \psi[s_e/r],
182
              (R4c) (\forall s) if E = \emptyset then \tau^D(\psi) \models \psi[s/r],
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                (R5) if E = \emptyset and \mu \neq \text{rlx then } \checkmark \models \text{ff.}
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1.6 If-closure

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Definition 1.6. If $P \in WRITE(x, M, \mu, \sigma)_{\alpha}$ then $(\exists v : E \to V)$ $(\exists \theta : E \to \Phi)$

$$\forall \lambda(c) = (\mathsf{W} x) \text{ either } c \sqsubseteq d \text{ or } e \sqsubseteq c$$

If no accesses are morally strong with each other, weak fulfillment degenerates to

$$\not\exists \lambda(c) = (\mathsf{W} x) \text{ both } d \sqsubseteq c \text{ and } c \sqsubseteq e$$

Note that the difference between strong and weak fulfillment is limited to \sqsubseteq . We sometimes write \sqsubseteq for strong fulfillment and **g** for weak fulfillment.

²If all accesses are morally strong with each other, weak fulfillment degenerates to

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If P \in SKIP then E = \emptyset and \tau^D(\psi) \models \psi.
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           If P \in PAR(\mathcal{P}_1, \mathcal{P}_2) then (\exists P_1 \in \mathcal{P}_1) (\exists P_2 \in \mathcal{P}_2)
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                                                                                                            (P5) \checkmark \models \checkmark_1 \land \checkmark_2,
                (P1) E = (E_1 \uplus E_2),
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                                                                                                            (P6) \trianglelefteq \supseteq (\trianglelefteq_1 \cup \trianglelefteq_2),
                (P2) \lambda = (\lambda_1 \cup \lambda_2),
201
              (P3a) if e \in E_1 then \kappa(e) \models \kappa_1(e),
                                                                                                            (P7) \leq \supseteq (\leq_1 \cup \leq_2),
202
              (P3b) if e \in E_2 then \kappa(e) \models \kappa_2(e),
                                                                                                            (P8) \sqsubseteq \supseteq (\sqsubseteq_1 \cup \sqsubseteq_2),
203
                (P4) \boldsymbol{\tau}^D(\psi) \models \boldsymbol{\tau}_1^D(\psi),
                                                                                                            (P9) rmw = (rmw_1 \cup rmw_2).
204
            If P \in SEO(\mathcal{P}_1, \mathcal{P}_2) then (\exists P_1 \in \mathcal{P}_1) \ (\exists P_2 \in \mathcal{P}_2)
205
                (s1) E = (E_1 \cup E_2),
                                                                                                          (s3d) if \lambda_2(e) is a release then \kappa(e) \models \sqrt{1},
206
                                                                                                            (s4) \boldsymbol{\tau}^D(\psi) \models \boldsymbol{\tau}_1^D(\boldsymbol{\tau}_2^D(\psi)),
                (s2) (s6) (s7) (s8) (s9) as for PAR,
207
              (s3a) if e \in E_1 \setminus E_2 then \kappa(e) \models \kappa_1(e),
                                                                                                            (s5) \checkmark \models \checkmark_1 \land \tau_1(\checkmark_2),
208
              (s3b) if e \in E_2 \setminus E_1 then \kappa(e) \models \kappa'_2(e),
                                                                                                           (s7a) if \lambda_1(d) sync-delays \lambda_2(e) then d \leq e,
209
              (s3c) if e \in E_1 \cap E_2 then \kappa(e) \models \kappa_1(e) \vee \kappa_2'(e),
                                                                                                           (s8a) if \lambda_1(d) co-delays \lambda_2(e) then d \sqsubseteq e,
210
           where \kappa_2'(e) = \tau_1(\kappa_2(e)) if \lambda(e) is a read; otherwise \kappa_2'(e) = \tau_1^{\downarrow e}(\kappa_2(e)), where \downarrow e = \{c \mid c \triangleleft e\}.
211
           If P \in IF(\phi, \mathcal{P}_1, \mathcal{P}_2) then (\exists P_1 \in \mathcal{P}_1) \ (\exists P_2 \in \mathcal{P}_2)
                                                                                                           (13c) if e \in E_1 \cap E_2
                 (11) E = (E_1 \cup E_2),
213
                                                                                                                      then \kappa(e) \models (\phi \land \kappa_1(e)) \lor (\neg \phi \land \kappa_2(e)),
                 (12) (16) (17) (18) (19) as for PAR,
214
                                                                                                             (14) \tau^D(\psi) \models (\phi \wedge \tau_1^D(\psi)) \vee (\neg \phi \wedge \tau_2^D(\psi)),
               (13a) if e \in E_1 \setminus E_2 then \kappa(e) \models \phi \wedge \kappa_1(e),
              (13b) if e \in E_2 \setminus E_1 then \kappa(e) \models \neg \phi \land \kappa_2(e),
                                                                                                             (15) \checkmark \models (\phi \land \checkmark_1) \lor (\neg \phi \land \checkmark_2).
217
           If P \in LET(r, M) then E = \emptyset and \tau^D(\psi) \models \psi[M/r].
218
           If P \in READ(r, x, \mu, \sigma)_{\alpha} then (\exists v \in \mathcal{V})
219
                (R1) if d, e \in E then d = e,
                                                                                                          (R4b) if E \neq \emptyset and (E \cap D) = \emptyset then
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                                                                                                                      \tau^D(\psi) \models (v=s_e \lor x=s_e) \Rightarrow \psi[s_e/r],
                (R2) \lambda(e) = \alpha R_{\sigma}^{\mu} x v,
221
              (R4a) if E \neq \emptyset and (E \cap D) \neq \emptyset then
                                                                                                          (R4c) if E = \emptyset then (\forall s) \tau^D(\psi) \models \psi[s/r],
222
                          \tau^D(\psi) \models v = s_e \Rightarrow \psi[s_e/r],
                                                                                                            (R5) if E = \emptyset and \mu \supseteq acg then \checkmark \models ff.
223
           If P \in WRITE(x, M, \mu, \sigma)_{\alpha} then (\exists v \in \mathcal{V})
224
              (w1) if d, e \in E then d = e,
                                                                                                           (w4) \tau^D(\psi) \models \psi[M/x],
225
              (w2) \lambda(e) = \alpha W_{\sigma}^{\mu} x v,
                                                                                                         (w5a) if E = \emptyset then \checkmark \models ff,
226
              (w3) \kappa(e) \models M=v,
                                                                                                         (w5b) if E \neq \emptyset then \checkmark \models M=v.
227
228
           If P \in FENCE(\mu, \sigma)_{\alpha} then
229
                                                                                                            (F4) \tau^D(\psi) \models \psi,
                (F1) if d, e \in E then d = e,
230
                (F2) \lambda(e) = \alpha F_{\sigma}^{\mu},
                                                                                                            (F5) if E = \emptyset then \checkmark \models ff.
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232
                      [r := M]_{\alpha} = LET(r, M)
                                                                                                                            [skip]_{\alpha} = SKIP
233
                      [r := x^{\mu}]_{\alpha} = READ(r, x, \mu, \sigma)_{\alpha}
                                                                                                                      [S_1]_V S_2]_{\alpha} = PAR([S_1]_{\alpha}, [S_2]_V)
234
                    [x^{\mu} := M]_{\alpha} = WRITE(x, M, \mu, \sigma)_{\alpha}
                                                                                                                          [S_1; S_2]_{\alpha} = SEQ([S_1]_{\alpha}, [S_2]_{\alpha})
235
236
                             [\![ \mathsf{F}^{\mu}_{\sigma} ]\!]_{\alpha} = FENCE(\mu, \sigma)_{\alpha}
                                                                                            [\inf(M)\{S_1\} \text{ else } \{S_2\}]_{\alpha} = IF(M \neq 0, [S_1]_{\alpha}, [S_2]_{\alpha})
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Fig. 1. Semantics of programs

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(w1) if \theta_d \wedge \theta_e is satisfiable then d = e, (w4) \tau^D(\psi) \models \theta_e \Rightarrow \psi[M/x], (w2) \lambda(e) = \alpha W_\sigma^\mu x v_e, (w5) \checkmark \models \theta_e \Rightarrow M = v_e, (w3) \kappa(e) \models \theta_e \wedge M = v_e,
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If P \in SKIP then E = \emptyset and \tau^D(\psi) \equiv \psi.
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           If P \in PAR(\mathcal{P}_1, \mathcal{P}_2) then (\exists P_1 \in \mathcal{P}_1) \ (\exists P_2 \in \mathcal{P}_2)
248
                (P1) E = (E_1 \uplus E_2),
                                                                                                           (P5) \checkmark \equiv \checkmark_1 \land \checkmark_2,
249
                (P2) \lambda = (\lambda_1 \cup \lambda_2),
                                                                                                           (P6) \trianglelefteq \supseteq (\trianglelefteq_1 \cup \trianglelefteq_2),
250
              (P3a) if e \in E_1 then \kappa(e) \equiv \kappa_1(e),
                                                                                                           (P7) \leq \supseteq (\leq_1 \cup \leq_2),
251
              (P3b) if e \in E_2 then \kappa(e) \equiv \kappa_2(e),
                                                                                                           (P8) \sqsubseteq \supseteq (\sqsubseteq_1 \cup \sqsubseteq_2),
252
                (P4) \boldsymbol{\tau}^D(\psi) \equiv \boldsymbol{\tau}_1^D(\psi),
                                                                                                           (P9) rmw = (rmw_1 \cup rmw_2).
253
           If P \in SEO(\mathcal{P}_1, \mathcal{P}_2) then (\exists P_1 \in \mathcal{P}_1) (\exists P_2 \in \mathcal{P}_2)
254
                                                                                                           (s4) \boldsymbol{\tau}^D(\psi) \equiv \boldsymbol{\tau}_1^D(\boldsymbol{\tau}_2^D(\psi)),
                (s1) E = (E_1 \cup E_2),
255
                (s2) (s6) (s7) (s8) (s9) as for PAR,
                                                                                                            (s5) \checkmark \equiv \checkmark_1 \land \tau_1(\checkmark_2),
256
              (s3a) if e \in E_1 \setminus E_2 then \kappa(e) \equiv \kappa_1(e),
                                                                                                          (s7a) if \lambda_1(d) sync-delays \lambda_2(e) and
257
              (s3b) if e \in E_2 \setminus E_1 then \kappa(e) \equiv \kappa_2'(e) \wedge \sqrt{1}(e),
                                                                                                                     \kappa_1(d) \wedge \kappa_2(e) is satisfiable then d \leq e,
258
              (s3c) if e \in E_1 \cap E_2 then
                                                                                                          (s8a) if \lambda_1(d) co-delays \lambda_2(e) and
259
                         \kappa(e) \equiv (\kappa_1(e) \vee \kappa_2'(e)) \wedge \sqrt{1}(e),
                                                                                                                     \kappa_1(d) \wedge \kappa_2(e) is satisfiable then d \sqsubseteq e,
260
           where \kappa_2'(e) = \tau_1(\kappa_2(e)) if \lambda(e) is a read—otherwise \kappa_2'(e) = \tau_1^{\downarrow e}(\kappa_2(e)), where \downarrow e = \{c \mid c \triangleleft e\};
261
           where \sqrt{1}(e) = \sqrt{1} if \lambda(e) is a release—otherwise \sqrt{1}(e) = \text{tt.}
262
           If P \in IF(\phi, \mathcal{P}_1, \mathcal{P}_2) then (\exists P_1 \in \mathcal{P}_1) (\exists P_2 \in \mathcal{P}_2)
263
                 (11) E = (E_1 \cup E_2),
                                                                                                          (13c) if e \in E_1 \cap E_2
                 (12) (16) (17) (18) (19) as for PAR,
                                                                                                                     then \kappa(e) \equiv (\phi \wedge \kappa_1(e)) \vee (\neg \phi \wedge \kappa_2(e)),
265
                                                                                                            (14) \tau^D(\psi) \equiv (\phi \wedge \tau_1^D(\psi)) \vee (\neg \phi \wedge \tau_2^D(\psi)),
               (13a) if e \in E_1 \setminus E_2 then \kappa(e) \equiv \phi \wedge \kappa_1(e),
266
              (13b) if e \in E_2 \setminus E_1 then \kappa(e) \equiv \neg \phi \wedge \kappa_2(e),
                                                                                                            (15) \checkmark \equiv (\phi \land \checkmark_1) \lor (\neg \phi \land \checkmark_2).
267
268
           If P \in LET(r, M) then E = \emptyset and \tau^D(\psi) \equiv \psi[M/r].
269
           If P \in READ(r, x, \mu, \sigma)_{\alpha} then (\exists v \in \mathcal{V})
270
                (R1) if d, e \in E then d = e,
                                                                                                         (R4b) if E \neq \emptyset and (E \cap D) = \emptyset then
271
                (R2) \lambda(e) = \alpha R_{\sigma}^{\mu} x v,
                                                                                                                     \tau^D(\psi) \equiv (v = s_e \lor x = s_e) \Rightarrow \psi[s_e/r],
272
                                                                                                         (R4c) if E = \emptyset then (\forall s) \tau^D(\psi) \equiv \psi[s/r],
                (R3) \kappa(e) \equiv tt,
273
              (R4a) if E \neq \emptyset and (E \cap D) \neq \emptyset then
                                                                                                         (R5a) if E \neq \emptyset or \mu \sqsubseteq \mathsf{rlx} then \checkmark \equiv \mathsf{tt}.
274
                         \tau^D(\psi) \equiv v = s_e \Rightarrow \psi[s_e/r],
                                                                                                         (R5b) if E = \emptyset and \mu \supseteq acg then \checkmark \equiv ff.
275
           If P \in WRITE(x, M, \mu, \sigma)_{\alpha} then (\exists v \in \mathcal{V})
276
                                                                                                          (w4) \tau^D(\psi) \equiv \psi[M/x],
              (w1) if d, e \in E then d = e,
277
              (w2) \lambda(e) = \alpha W_{\sigma}^{\mu} x v,
                                                                                                        (w5a) if E \neq \emptyset then \sqrt{\ } \equiv M = v.
278
              (w3) \kappa(e) \equiv M = v,
                                                                                                        (w5b) if E = \emptyset then \checkmark \equiv ff,
279
280
           If P \in FENCE(\mu, \sigma)_{\alpha} then
281
                (F1) if d, e \in E then d = e,
                                                                                                           (F4) \tau^D(\psi) \equiv \psi,
282
                (F2) \lambda(e) = \alpha F_{\sigma}^{\mu},
                                                                                                         (F5a) if E \neq \emptyset then \sqrt{\ } \equiv tt,
283
                                                                                                         (F5b) if E = \emptyset then \checkmark \equiv ff.
                (F3) \kappa(e) \equiv tt,
284
285
                      [r := M]_{\alpha} = LET(r, M)
                                                                                                                           [skip]_{\alpha} = SKIP
286
                      [r := x^{\mu}]_{\alpha} = READ(r, x, \mu, \sigma)_{\alpha}
                                                                                                                     [S_1]_V S_2]_{\alpha} = PAR([S_1]_{\alpha}, [S_2]_V)
287
                    [x^{\mu} := M]_{\alpha} = WRITE(x, M, \mu, \sigma)_{\alpha}
                                                                                                                         [S_1; S_2]_{\alpha} = SEQ([S_1]_{\alpha}, [S_2]_{\alpha})
288
289
                                                                                           [\![if(M)\{S_1\}else\{S_2\}]\!]_{\alpha} = I\!F(M \neq 0, [\![S_1]\!]_{\alpha}, [\![S_2]\!]_{\alpha})
                             [\![ \mathsf{F}^{\mu}_{\sigma} ]\!]_{\alpha} = FENCE(\mu, \sigma)_{\alpha}
```

Fig. 2. Semantics of programs

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342 343 If $P \in READ(r, x, \mu, \sigma)_{\alpha}$ then $(\exists v : E \to V) (\exists \theta : E \to \Phi)$

```
(R1) if \theta_d \wedge \theta_e is satisfiable then d = e,
296
               (R2) \lambda(e) = \alpha R_{\sigma}^{\mu} x v_{e}
297
               (R3) \kappa(e) \models \theta_e,
298
             (R4a) (\forall e \in E \cap D) \tau^D(\psi) \models \theta_e \Rightarrow v_e = s_e \Rightarrow \psi[s_e/r],
299
             (R4b) (\forall e \in E \setminus D) \tau^D(\psi) \models \theta_e \Rightarrow (v_e = s_e \lor x = s_e) \Rightarrow \psi[s_e/r],
300
             (R4c) (\forall s) \tau^D(\psi) \models (\bigwedge_{e \in E} \neg \theta_e) \Rightarrow \psi[s/r],
301
               (R5) if E = \emptyset and \mu \neq \text{rlx then } \checkmark \models \text{ff.}
302
303
           1.7 Address Calculation and If-closure
304
305
               Definition 1.7. If P \in WRITE(L, M, \mu, \sigma)_{\alpha} then (\exists \ell : E \to V) (\exists v : E \to V) (\exists \theta : E \to \Phi)
306
              (w1) if \theta_d \wedge \theta_e is satisfiable then d = e,
                                                                                                  (w4b) (\forall k)
307
              (w2) \lambda(e) = \alpha W_{\sigma}^{\mu}[\ell] v_{e}
                                                                                                               \tau^{D}(\psi) \models (\bigwedge_{e \in E} \neg \theta_{e}) \Rightarrow (L=k) \Rightarrow \psi[M/[k]]
308
              (w3) \kappa(e) \models \theta_e \land L = \ell_e \land M = v_e,
                                                                                                  (w5a) \checkmark \models \theta_e \Rightarrow L = \ell_e \land M = v_e,
309
            (w4a) \tau^D(\psi) \models \theta_e \Rightarrow (L=\ell) \Rightarrow \psi[M/[\ell]],
                                                                                                  (w5b) \checkmark \models \bigvee_{e \in E} \theta_e.
310
           If P \in READ(r, L, \mu, \sigma)_{\alpha} then (\exists \ell : E \to V) (\exists v : E \to V) (\exists \theta : E \to \Phi)
311
312
               (R1) if \theta_d \wedge \theta_e is satisfiable then d = e,
               (R2) \lambda(e) = \alpha R_{\sigma}^{\mu}[\ell] v_e
               (R3) \kappa(e) \models \theta_e \land L = \ell_e,
             (R4a) (\forall e \in E \cap D) \tau^D(\psi) \models \theta_e \Rightarrow (L = \ell_e \Rightarrow v_e = s_e) \Rightarrow \psi[s_e/r],
             (R4b) (\forall e \in E \setminus D) \tau^D(\psi) \models \theta_e \Rightarrow ((L=\ell_e \Rightarrow v_e=s_e) \lor (L=\ell_e \Rightarrow [\ell]=s_e)) \Rightarrow \psi[s_e/r],
             (R4c) (\forall s) \tau^D(\psi) \models (\bigwedge_{e \in E} \neg \theta_e) \Rightarrow \psi[s/r],
               (R5) if E = \emptyset and \mu \neq \text{rlx then } \checkmark \models \text{ff.}
               Definition 1.8. Let READ' be defined as for READ, adding the constraint:
320
            (R4d) if (E \cap D) = \emptyset then \tau^D(\psi) \models \psi.
321
322
           If P \in FADD(r, L, M, \mu, \nu) then (\exists P_1 \in SEQ(READ'(r, L, \mu), WRITE(L, r+M, \nu)))
323
               (U1) if \lambda_1(e) is a write then there is a read \lambda_1(d) such that \kappa(e) \models \kappa(d) and k \mapsto e.
324
           If P \in EXCHG(r, L, M, \mu, \nu) then (\exists P_1 \in SEQ(READ'(r, L, \mu), WRITE(L, M, \nu)))
325
               (U1) if \lambda_1(e) is a write then there is a read \lambda_1(d) such that \kappa(e) \models \kappa(d) and k \mapsto e.
326
           If P \in CAS(r, L, M, N, \mu, \nu) then (\exists P_1 \in SEQ(READ'(r, L, \mu), IF(r=M, WRITE(L, N, \nu), SKIP)))
               (U1) if \lambda_1(e) is a write then there is a read \lambda_1(d) such that \kappa(e) \models \kappa(d) and k \mapsto e.
328
329
           2 PROPERTIES
330
               LEMMA 2.1. (a) \mathcal{P} = (\mathcal{P} \parallel SKIP) = (\mathcal{P}; SKIP) = (SKIP; \mathcal{P}).
331
            (b) (\mathcal{P}_1 \parallel \mathcal{P}_2) \parallel \mathcal{P}_3 = \mathcal{P}_1 \parallel (\mathcal{P}_2 \parallel \mathcal{P}_3).
332
            (c) (\mathcal{P}_1; \mathcal{P}_2); \mathcal{P}_3 = \mathcal{P}_1; (\mathcal{P}_2; \mathcal{P}_3).
333
            (d) if (\phi)\{P_1\} else \{P_2\} = if (\phi)\{P_1\}; if (\neg\phi)\{P_2\} = if (\neg\phi)\{P_2\}; if (\phi)\{P_1\}.
334
            (e) if (\phi)\{\mathcal{P}_1\} else \{\mathcal{P}_2\} = \mathcal{P}_1 if \phi is a tautology.
335
            (f) if(\phi)\{if(\psi)\{\mathcal{P}\}\}=if(\phi \wedge \psi)\{\mathcal{P}\}.
336
            (g) if (\phi)\{\mathcal{P}_1; \mathcal{P}_3\} else \{\mathcal{P}_2; \mathcal{P}_3\} \supseteq if(\phi)\{\mathcal{P}_1\} else \{\mathcal{P}_2\}; \mathcal{P}_3.
337
            (h) if (\phi) {\mathcal{P}_1; \mathcal{P}_2} else {\mathcal{P}_1; \mathcal{P}_3} \supseteq \mathcal{P}_1; if (\phi) {\mathcal{P}_2} else {\mathcal{P}_3}.
338
            (i) if (\phi)\{\mathcal{P}\} else \{\mathcal{P}\}\supseteq \mathcal{P}.
339
               PROOF. Straightforward calculation. (a) requires M5a for the termination condition in (\mathcal{P}; SKIP).
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```

(c) requires both conjunction closure (x2, for the termination condition) and disjunction closure

(x3, for the predicate transformers themselves).

0:8 Anon.

(d) requires s7a and s8a not impose order when $\kappa_1(d) \wedge \kappa_2(e)$ is unsatisfiable, which in turn requires that κ calculates *weakest* preconditions, rather than simple preconditions (see [Jeffrey and Riely 2021]).

(e) requires M3a.

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391 392 In $\S1.6$, we refine the semantics to validate the reverse inclusions for (g), (h), and (i).

Definition 2.2. P_2 is an augment of P_1 if

```
(1) E_2 = E_1, (3) \kappa_2(e) \equiv \kappa_1(e), (5) \checkmark_2 \equiv \checkmark_1, (7) \le_2 \supseteq \le_1. (2) \lambda_2(e) = \lambda_1(e), (4) \tau_2^D(\psi) \equiv \tau_1^D(\psi), (6) \text{rf}_2 \supseteq \text{rf}_1,
```

Lemma 2.3. If $P_1 \in [S]$ and P_2 augments P_1 then $P_2 \in [S]$.

PROOF. Induction on the definition of $[\cdot]$.

3 ACCESS ELIMINATION AND "MONOTONICITY"

As noted in §??, the semantics of Figure 2 validates elimination of irrelevant relaxed reads. In §??, we discussed redundant read elimination. Figure 2 also validates elimination of writes of the same value. However, Figure 2 does not validate general write elimination, where, for example, (x := 1; x := 2) is refined to x := 2. Nor does it validate store forwarding, where, for example, (x := 1; r := x) is refined to (x := 1; r := 1).

Elimination can be justified in pomset by *merging* actions with different labels. A list of safe merges can be found in [Chakraborty and Vafeiadis 2017, §E] and [Kang 2019, §7.1]. For examples of unsafe merges and reorderings, see [Chakraborty and Vafeiadis 2017, §D]. See also [Chakraborty and Vafeiadis 2019, §6.2]

Read-read and fence-fence merges can be handled by "monotonicity": allowing actions to put down stronger modes in the model. Then they can merge on the nose.

Sad: read elimination can't be done the nice way using $\tau^D(\psi) \equiv x = r \Rightarrow \psi$ for R4c because there may be a release-acquire pair between the read and the matching write.

Let merge : $\mathcal{A} \times \mathcal{A} \to \mathcal{A}$ be a partial function defined as follows.

$$\mathsf{merge}(a,\ b) = \begin{cases} a & \text{if } a = (\alpha \mathsf{W}_\sigma^\mu x v) \text{ and } b = (\alpha \mathsf{R}_\sigma^\nu x v) \text{ and } v \sqsubseteq \mu \\ b & \text{if } a = (\alpha \mathsf{W}_\sigma^\mu x v) \text{ and } b = (\alpha \mathsf{W}_\sigma^\nu x w) \text{ and } \mu \sqsubseteq v \\ \mathsf{undefined} & \mathsf{otherwise} \end{cases}$$

(If we have "monotonicity" then we can require $\mu = \nu$.)

If $a_0 = \mathsf{merge}(a_1, a_2)$, then a_1 and a_2 can coalesce, resulting in a_0 . This allows optimizations such as (x := 1; x := 2) to (x := 2) and (x := 1; r := x) to (x := 1; r := 1). For associativity of sequential composition, it is important that merge always take an upper bound on the modes of the two actions. For example, it would invalidate associativity to allow $(\mathsf{W} xv) = \mathsf{merge}(\mathsf{W} xv, \mathsf{R}^{\mathsf{acq}} xv)$, although this is considered safe.

Then we can replace s2-s3 in Figure 2 by:

```
(s2a) if e \in E_1 \setminus E_2 then \lambda(e) = \lambda_1(e),
```

- (s2b) if $e \in E_2 \setminus E_1$ then $\lambda(e) = \lambda_2(e)$,
- (s2c) if $e \in E_1 \cap E_2$ then $\lambda(e) = \text{merge}(\lambda_1(e), \lambda_2(e))$,
- (s3a) if $e \in E_1 \setminus E_2$ then $\kappa(e) \models \kappa_1(e)$,
- (s3b) if $e \in E_2 \setminus E_1$ then $\kappa(e) \models \kappa'_2(e)$,
- (s3c) if $e \in E_1 \cap E_2$ then either
 - $\lambda_1(e) = \lambda(e) = \lambda_2(e)$ and $\kappa(e) \equiv \kappa_1(e) \vee \kappa_2'(e)$,
 - $\lambda_1(e) = \lambda(e) \neq \lambda_2(e)$ and $\kappa_2'(e) \models \kappa(e) \equiv \kappa_1(e)$ (write-read),
 - $\lambda_1(e) \neq \lambda(e) = \lambda_2(e)$ and $\kappa_1(e) \models \kappa(e) \equiv \kappa_2'(e)$ (write-write).

Full merge: if (M) {x := 1}; x := 2 can become x := 2.

Partial merge: x := 1; if $(M)\{x := 2\}$ can become if $(M)\{x := 2\}$ else $\{x := 1\}$.

To get associativity, you need the ability to merge with multiple events.

$$x := 1; \text{ if } (M)\{x := 2\}$$

$$(\neg M \mid \forall x \mid x) (M \mid \forall x \mid x)$$

$$(\neg M \mid \forall x \mid x) (M \mid \forall x \mid x)$$

This is asymmetric. We don't expect to merge all three events in the following:

$$\begin{array}{ll} \text{if}(!M)\{x:=2\} & x:=1; \text{ if}(M)\{x:=2\} \\ \hline (\neg M \mid \forall xz) & \hline (\neg M \mid \forall xz) & M \mid \forall xz) \end{array}$$

We could have a lot merging:

Full merge: x := 1; if $(M)\{r := x\}$ can become x := 1; if $(M)\{r := 1\}$.

Partial merge: if $(M)\{x := 1\}$; r := x can become if $(M)\{x := 1\}$; $r := 1\}$ else $\{r := x\}$.

I don't think we need multi-merge for write-read. Reads only affect the world via the predicate transformer. Any conditional surrounding a read is baked into the predicate transformer, and so does not to persist in the preconditions of the actions themselves after the merge. Consider r := 1; x := 2; if $(M)\{r := x\}$. This can safely transform to r := 1; x := 2; if $(M)\{r := 2\}$.

In the example below, the reads should *not* merge. Although the second read can merge with the write.

$$if(!M)\{x := 1\}; if(M)\{r := x\}$$

$$if(!M)\{s := x\}$$

$$(\neg M \mid Wx1) (M \mid Rx1)$$

$$(\neg M \mid Rx1)$$

Another example:

$$x := 1; if(M)\{r := x\}$$
 $if(!M)\{s := x\}$ $(\forall x \in T)$

Another example:

$$x := 1$$
 if $(M)\{r := x\}$; if $(!M)\{s := x\}$ ($\mathbb{R}x1$)

Idea for multi-merge. Use $E_1' \subseteq E_1$, with a surjective function $\pi : E_1 \to E_1'$ that shows how writes merge.

- Require that if $\pi(c) = d$ then $\pi(d) = d$.
- Thus $E_1 \setminus E_1' = \{c \in E_1 \mid \pi(c) \neq c\}$. (I think)
- Require that if $c \in (E_1 \setminus E_1')$ then $\pi(c) \in (E_1' \cap E_2)$.
- Take $E = E'_1 \cup E_2$.

Require that the writes that coalesce have disjoint preconditions.

• if $\pi(c) = \pi(c')$ then $\kappa(c) \wedge \kappa(c')$ is unsatisfiable

Then each of them has to merge into the same write $e \in E_2$ using the merge function and combining the predicates as specified above.

s3c below is not right. Needs to apply to all of the merged actions on the left at the same time...

- (s2c) if $e \in (E_1' \cap E_2)$ and $\pi(c) = e$ then $\lambda(e) = \mathsf{merge}(\lambda_1(c), \ \lambda_2(e))$,
- (s3c) if $e \in (E_1' \cap E_2)$ then

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```
• \kappa(e) \equiv \kappa_2'(e) \vee \bigvee_{c \in C} \kappa_1(c), where C = \{c \mid \pi(c) = e \text{ and } \lambda_1(c) = \lambda_2(e)\},
                                  • if \pi(c) = e and \lambda_1(c) = \lambda(e) \neq \lambda_2(e) then \kappa_2'(c) \models \kappa(e) (write-read),
443
                                  • if \pi(c) = e and \lambda_1(c) \neq \lambda(e) = \lambda_2(e) then \kappa_1(c) \models \kappa(e) (write-write).
                 Maybe this works for dealing with fence-fence and read-read???
445
                         \mathsf{merge}(\alpha \mathsf{W}_{\sigma}^{\nu} x w, \ \alpha \mathsf{W}_{\sigma}^{\mu} x v) = \{\alpha \mathsf{W}_{\sigma}^{\mu} x v\}
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                                                                                                                        merge(\alpha R^{\mu}_{\sigma} x v, \ \alpha R^{\nu}_{\sigma} x v) = \{\alpha R^{\mu}_{\sigma} x v\}
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                           merge(\alpha W_{\sigma}^{\mu} x v, \alpha R_{\sigma}^{\nu} x v) = \{\alpha W_{\sigma}^{\mu} x v\}
                                                                                                                                    merge(\alpha F_{\sigma}^{\mu}, \alpha F_{\sigma}^{\nu}) = {\alpha F_{\sigma}^{\mu}}
                                                                                                                                    merge(\alpha F_{\sigma}^{\nu}, \alpha F_{\sigma}^{\mu}) = {\alpha F_{\sigma}^{\mu}}
                                                    merge(a, b) = \emptyset, otherwise
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