Fundamentals

Why we need tree?

Linear data structures: arrays, linked lists, stacks, and queues.

- Data is arranged in a linear direction.
- Each element has exactly one predecessor and one successor.

Many systems have a *branching* or *layered* structure. For example:

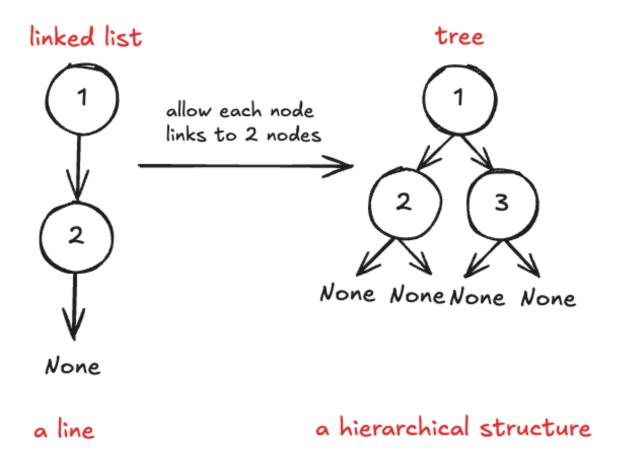
- A **file system**: root folder → subfolder → file
- A **website navigation**: homepage → category → subcategory → page

That kind of structure is called a **hierarchical structure**.

So, why do we need trees?

• Represent and manipulate this kind of hierarchical data.

Whenever relationships involve "containment," "dependency," or "inheritance," a tree is often the most natural way to model them.



Definition and Properties

A **tree** is a **non-linear data structure** that represents hierarchical relationships.

• a collection of **nodes** connected by **edges**, starting from a single **root node** and expanding downward into **children**.

Key properties:

- One unique root: There is exactly one root node, and all nodes are reachable from it.
- **Parent-child structure:** Every node has exactly one parent. Edges represent these parent-child connections.
- **No cycles:** Trees contain no loops. (Difference between a *tree* and a *graph*)

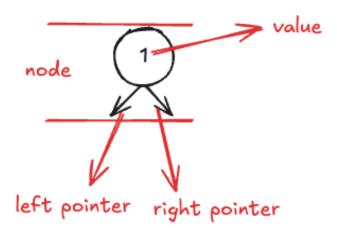
A recursive structure:

- A tree is either empty, or it consists of a root node and several subtrees.
- Each child itself is the root of another smaller tree.

Terminologies

Node

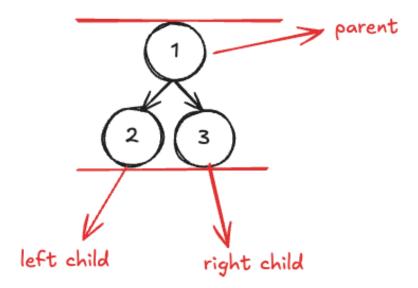
Each element in a tree is called a "node." Nodes represent entities and may contain data.



Parent / Child

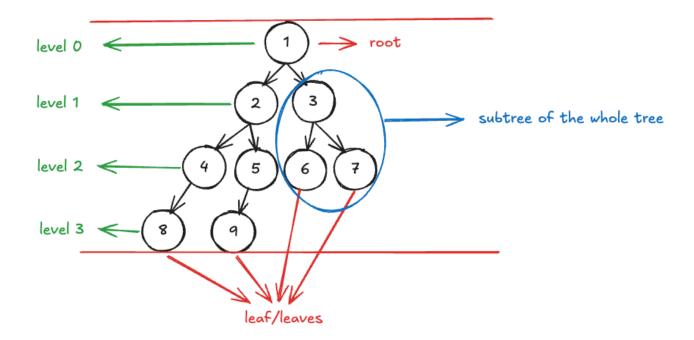
Nodes are connected by edges.

If a node connects to another node *below* it, we call the first one the **parent**, and the ones below it its **children**.



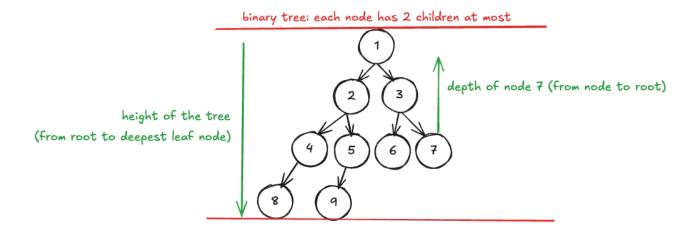
Root / Leaf / Subtree / Level

- The **root** is the topmost node it's where the tree begins.
- A **leaf** (or terminal node) is a node that has no children.
- A **subtree** consists of a node and all its descendants.
- The **level** of a node is how far it is from the root the root is level 0, its children are level 1, and so on.



Depth / Height / Binary Tree

- Depth "How far am I from the root?"
 - The <u>depth of a node</u> is the number of edges from the root to that node. The root's depth is 0.
 - The <u>depth of a tree</u> is the maximum depth among all nodes.
- Height "How far am I from the leaves?"
 - The <u>height of a node</u> is the number of edges on the longest path from that node down to a leaf. A leaf's height is 0.
 - The <u>height of a tree</u> is the height of its root.
- A **binary tree** is a tree in which each node has at most two children a left child and a right child.



Binary Search Tree

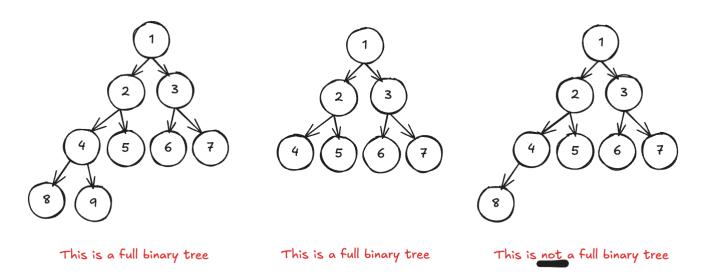
A **binary search tree** is a special kind of binary tree that satisfies the **ordering property**:

- Values in the left subtree are *less than* the node's value.
- Values in the right subtree are *greater than* the node's value.

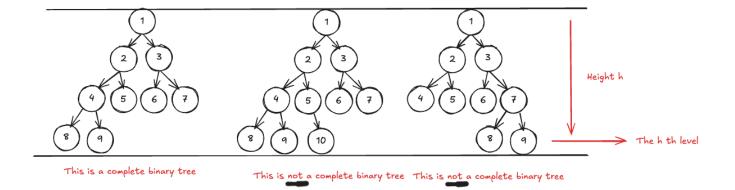
This property allows efficient searching, insertion, and deletion.

Full, Complete, and Balanced Binary Trees

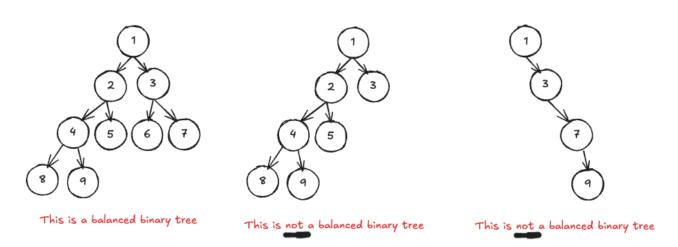
• Full Binary Tree: Every node has either two children or none. In other words, no node has only one child.



• **Complete Binary Tree**: A tree is *complete* if all levels are completely filled except possibly the last one, and the last level's nodes are filled from left to right without gaps.



Balanced Binary Tree: For every node, the height difference between its left and right subtrees is at most
 1. Common examples include AVL Trees (strictly balanced), Red-Black Trees (loosely balanced), and B /
 B+ Trees used in database indexing.



Ways to Represent a Tree

Parent Array Representation

We can use an array parent[] to record each node's parent index.

- The **index** represents the node itself.
- The **value** represents its parent.

```
0
/ | \
1 2 3
/ \
4 5
```

The parent array looks like this:

```
index: 0 1 2 3 4 5
parent: -1 0 0 0 2 2
```

- Node 0's parent is -1 meaning it's the root.
- Nodes 1, 2, 3 have parent 0.
- Nodes 4, 5 have parent 2.

Find a node's parent (o(1))

Find children, you have to scan the entire array (o(n)).

Linked Structure (TreeNode Class)

A **linked structure**: Each node stores its own references to its children.

Each node typically contains:

- a value val
- pointers to child nodes (e.g., left, right, or a list of children)

```
# Binary Tree Node
class TreeNode:
    def __init__(self, value=0):
        self.val = value
        self.left = None
        self.right = None

# N-ary Tree Node
class TreeNode:
    def __init__(self, value=0):
        self.val = value
        self.children = []
```

• Flexible for insertions and deletions.

 $\mathbf{n} = (1, \dots, n) \times (1, \dots, n)$

• Require extra space for pointers, and it doesn't support random access.

Traversal

Unlike arrays or linked lists, trees are *not linear*. There's no single "next" element. So, we must define the order we visit nodes.

In general, there are two main types of traversal:

- **Depth-First Search (DFS)** we go as deep as possible before backtracking.
- **Breadth-First Search (BFS)** we visit level by level, from top to bottom.

Depth-First Search (DFS)

The core idea is: go all the way down one path before turning back.

In a binary tree, we have three common ways to order the visit:

| Туре | Visiting Order | Code |
|-----------|---------------------------|---|
| Preorder | $Root \to left \to right$ | <pre>visit(root); dfs(left); dfs(right)</pre> |
| Inorder | $left \to Root \to right$ | <pre>dfs(left); visit(root); dfs(right)</pre> |
| Postorder | $left \to right \to Root$ | <pre>dfs(left); dfs(right); visit(root)</pre> |

- Preorder \rightarrow [1, 2, 4, 5, 3]
- Inorder \rightarrow [4, 2, 5, 1, 3]
- Postorder \rightarrow [4, 5, 2, 3, 1]

Every recursive call is processing a **subtree**. So the logic is always "do something with the current node, then do the same thing with its children."

```
# Preorder

dof proorder(root):
```

```
der breorder (TOOC):
   if not root:
     return
    print(root.val)
    preorder(root.left)
    preorder(root.right)
# Inorder
def preorder(root):
   if not root:
     return
    preorder(root.left)
    print(root.val)
    preorder(root.right)
# Postorder
def preorder(root):
    if not root:
     return
    preorder(root.left)
    preorder(root.right)
    print(root.val)
```

We can also implement DFS **iteratively** using a **stack**:

```
def preorder_iterative(root):
    if not root:
        return

stack = [root]
while stack:
    node = stack.pop()
    print(node.val, end=' ')
    # Push right first so left is processed first
    if node.right:
        stack.append(node.right)
    if node.left:
        stack.append(node.left)
```

And for an **N-ary tree** (where each node can have multiple children):

```
def dfs(root):
    if not root:
        return
    print(root.val, end=' ')
    for child in root.children:
        dfs(child)
```

Breadth-First Search (BFS)

BFS, or **level-order traversal**, visits nodes level by level, from top to bottom, left to right or right to left.

Output: [1, 2, 3, 4, 5]

We typically use a **queue** for BFS:

```
from collections import deque

def level_order(root):
    if not root:
        return

    queue = deque([root])
    while queue:
        node = queue.popleft()
        print(node.val, end=' ')
        if node.left:
            queue.append(node.left)
        if node.right:
            queue.append(node.right)
```

Trie

A Trie is still a tree, but it's built specifically for **storing and searching strings efficiently**, especially when we care about **prefixes**.

Clinnoca wa store these words.

suppose we store these words.

```
["cat", "car", "dog"]
```

If we use a normal list, checking whether any word starts with "ca" would take **O(n·m)** time — n words, each of length m.

But with a Trie, we share common prefixes and reduce the time to **O(m)**, where m is the prefix length.

After inserting those three words:

Structure

Each node represents a prefix, and it stores:

- a map of children (character → next node)
- a flag isEnd that marks the end of a word

```
class TrieNode:
    def __init__(self):
        self.children = {} # e.g. {'a': TrieNode(), 'b': TrieNode(), ...}
        self.isEnd = False # marks the end of a valid word
```

The Trie itself just has a single root node:

```
class Trie:
    def __init__(self):
        self.root = TrieNode()
```

Operations

A THE Supports tilled basic operations.

• **Insert** a word by moving down the tree, creating nodes if necessary. When you reach the end, mark isEnd = True.

```
# Insert
def insert(self, word):
    node = self.root
    for ch in word:
        if ch not in node.children:
            node.children[ch] = TrieNode()
        node = node.children[ch]
        node.isEnd = True
```

• **Search** for a word by following the characters one by one. If any character path is missing, the word doesn't exist.

```
# Search
def search(self, word):
    node = self.root
    for ch in word:
        if ch not in node.children:
            return False
        node = node.children[ch]
    return node.isEnd
```

• **Check whether a given prefix exists** in the Trie — we don't need to reach the end of a word.

```
# Prefix Match
def startsWith(self, prefix):
   node = self.root
   for ch in prefix:
        if ch not in node.children:
            return False
        node = node.children[ch]
   return True
```

| Operation | Time Complexity | Description |
|--------------|-----------------|-------------------------------|
| Insert | O(L) | L = length of the word |
| Search | O(L) | character-by-character lookup |
| Prefix match | O(L) | no need to reach word end |

Key Techniques

Recursive Thinking

Return

"What does my recursive function return?"

This determines how you'll design your recursion.

| Return Type | Example | Purpose |
|---------------------|------------------------------|-----------------------|
| Value (int / sum) | maximum depth, path sum | aggregate information |
| Node (TreeNode) | lowest common ancestor (LCA) | return a target node |
| Boolean (bool) | isBalanced, isSameTree | decision problems |
| Tuple (multi-value) | height + balance flag | composite return |

Divide & Conquer Template

Think of it like "divide" = go down, and "conquer" = come back up and combine results.

```
def helper(root):
    # 1. base case
    if not root:
        return ...

# 2. divide
    left = helper(root.left)
    right = helper(root.right)

# 3. conquer / combine
    res = process(root, left, right)
```

Top-down vs Bottom-up

Both are recursive, but the **direction of information flow** is different.

| Approach | Key Idea | When to Use |
|---------------------------------------|---|--|
| Top-down (from root downward) | pass down current state (path, depth, etc.) | when each node depends on information from ancestors |
| Bottom-up (from leaves upward) | return subtree's information and combine it | when parent's result depends on children's data |

For example:

- Top-down: "Given the current depth, compute each node's depth."
- Bottom-up: "Return subtree depth to parent, and combine to find the overall max."

So, top-down propagates **context**, bottom-up aggregates **results**.

Supporting Data Structures

When to use which data structure?

Stack / Queue / Deque

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| Structure | коїе | ьхатріе |
|-----------|--------------------------------|--|
| Stack | iterative DFS | preorder, inorder, postorder traversal |
| Queue | BFS traversal | level order, tree width |
| Deque | flexible double-end operations | zigzag level order |

HashMap

We often use a hash map to keep **relationships** or **fast lookup** information.

- Map values to nodes → quick o(1) access
- Record parent pointers → useful for upward traversal (like LCA)
- Track visited nodes → avoid revisiting in cyclic or reconstructed graphs

Common use cases:

- Building a tree from preorder + inorder (use an inorder index map)
- Lowest Common Ancestor with a parent dictionary
- Path-sum problems (store prefix sums)

Global Variables

When: one recursive function can't return all the information we need.

• Global variables let recursion *return one thing* but still *track another* globally.

```
global_max = float('-inf')

def dfs(root):
    global global_max
    if not root:
        return 0
    left = max(dfs(root.left), 0)
    right = max(dfs(root.right), 0)
    global_max = max(global_max, left + right + root.val)
    return max(left, right) + root.val
```

This pattern is common in problems like:

- Maximum path sum
- Longest diameter
- Deepest node in a tree

Problem Patterns

At the key point of all tree problems are three key guestions:

| Step | Ask Yourself |
|-----------------|---|
| Traversal | How do I visit every node? (DFS or BFS) |
| Return Value | What information do I need from children? |
| Processing Time | When should I handle the logic? (Pre / In / Post order) |

Traversal

Define an order (preorder, inorder, postorder, or level order) and apply a simple operation at each node.

- 144. Binary Tree Preorder Traversal
- 94. Binary Tree Inorder Traversal
- 145. Binary Tree Postorder Traversal
- 102. Binary Tree Level Order Traversal
- 103. Binary Tree Zigzag Level Order Traversal
- 107. Binary Tree Level Order Traversal II
- 199. Binary Tree Right Side View
- 545. Boundary of Binary Tree

Construction & Reconstruction

The goal is to **rebuild** the tree based on given traversal sequences. Divide traversal arrays into left and right subtrees and build recursively.

- 105. Construct Binary Tree from Preorder and Inorder Traversal
- 106. Construct Binary Tree from Inorder and Postorder Traversal
- 889. Construct Binary Tree from Preorder and Postorder Traversal

- 1028. Recover a Tree From Preorder Traversal
- 297. Serialize and Deserialize Binary Tree

Path Problems

Focus on **paths and cumulative values**. We often carry <u>current sums</u> or <u>track paths</u> during DFS, and use a global variable to track the <u>best result</u>.

Pattern summary: "Record the path \rightarrow update global result \rightarrow backtrack."

- <u>112. Path Sum</u>
- 113. Path Sum II
- 437. Path Sum III
- <u>666. Path Sum IV</u>
- 124. Binary Tree Maximum Path Sum
- 129. Sum Root to Leaf Numbers
- 1022. Sum of Root To Leaf Binary Numbers
- 3590. Kth Smallest Path XOR Sum

Tree Structure

Comparing or matching tree structures.

Double-recursion problems: Compare the current pair of nodes, then compare their children.

- 100. Same Tree
- 572. Subtree of Another Tree
- 101. Symmetric Tree

Depth / Height

Bottom-up recursion.

Each call returns its subtree's height, and you combine that information to compute things like tree height, balance, diameter, or width.

- 104. Maximum Depth of Binary Tree
- 111. Minimum Depth of Binary Tree

- 110. Balanced Binary Tree
- 543. Diameter of Binary Tree
- 1245. Tree Diameter
- 662. Maximum Width of Binary Tree

BST

In BST problems, leverage the property **left < root < right** for ordered operations. They often combine recursion with binary search–style logic.

- 450. Delete Node in a BST
- 285. Inorder Successor in BST
- 510. Inorder Successor in BST II
- 98. Validate Binary Search Tree
- 230. Kth Smallest Element in a BST
- <u>776. Split BST</u>
- 333. Largest BST Subtree
- 449. Serialize and Deserialize BST
- 449. Serialize and Deserialize BST

N-ary Tree

Each node can have multiple children.

The traversal logic remains the same; the only difference is iterating over a list instead of two branches.

- 589. N-ary Tree Preorder Traversal
- 590. N-ary Tree Postorder Traversal
- 429. N-ary Tree Level Order Traversal
- 559. Maximum Depth of N-ary Tree
- 1522. Diameter of N-Ary Tree
- 428. Serialize and Deserialize N-ary Tree

Trie

These problems revolve around prefix search, replacement, or word construction, often mixing DFS with Trie

operations.

- 212. Word Search II
- 648. Replace Words
- 208. Implement Trie (Prefix Tree)
- 211. Design Add and Search Words Data Structure