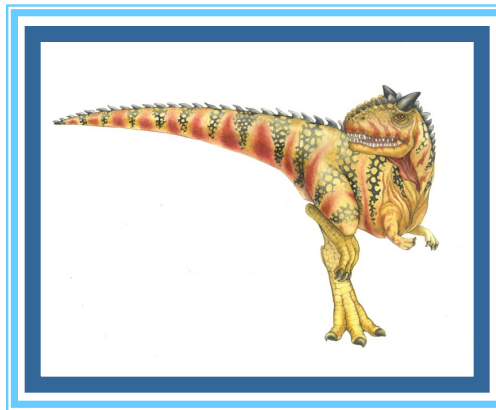


# Chapter 3: Processes Part 2

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# Process Scheduling

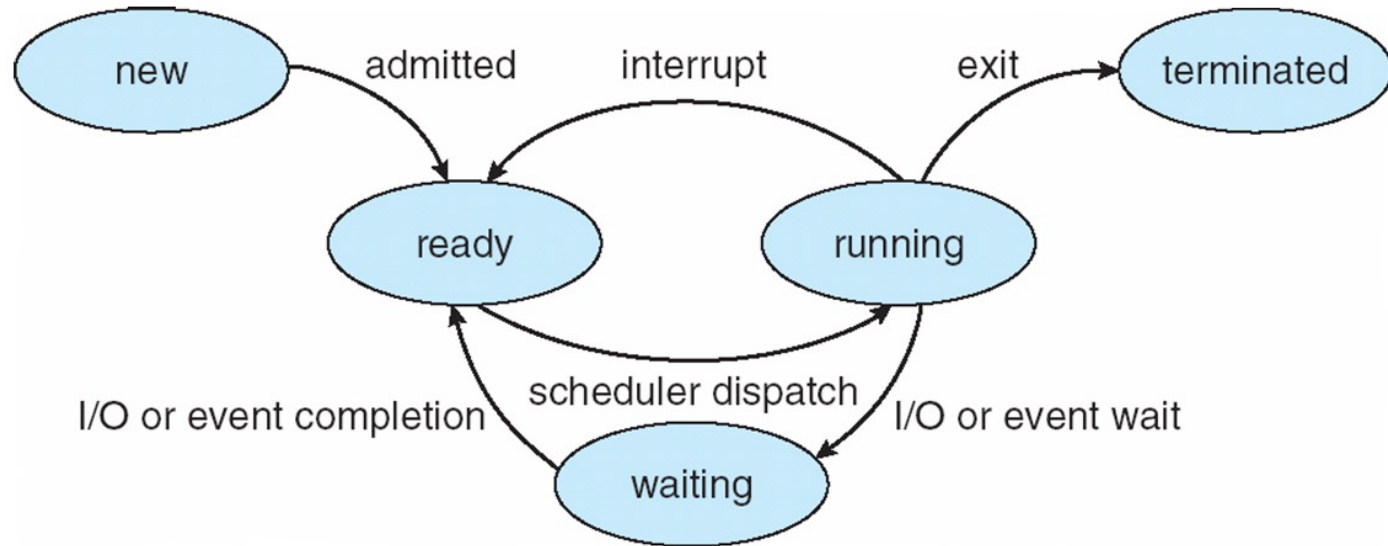
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- Maximize CPU use, quickly switch processes onto CPU for time sharing
- **Process scheduler** selects among available processes for next execution on CPU
- Maintains **scheduling queues** of processes
  - **Job queue** – set of all processes in the system
  - **Ready queue** – set of all processes residing in main memory, ready and waiting to execute
  - **Device queues** – set of processes waiting for an I/O device
  - Processes migrate among the various queues



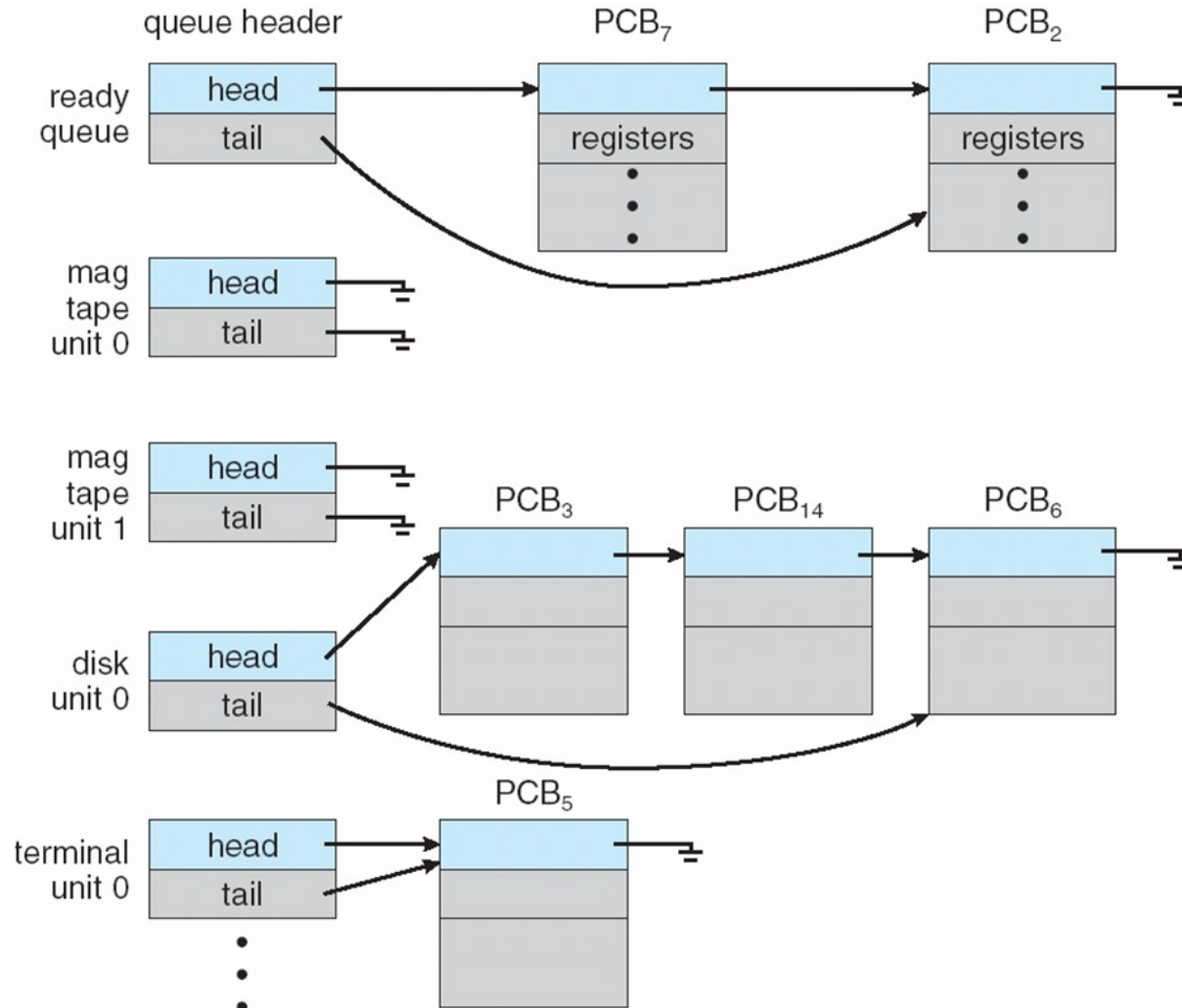


# Recall: Diagram of Process State





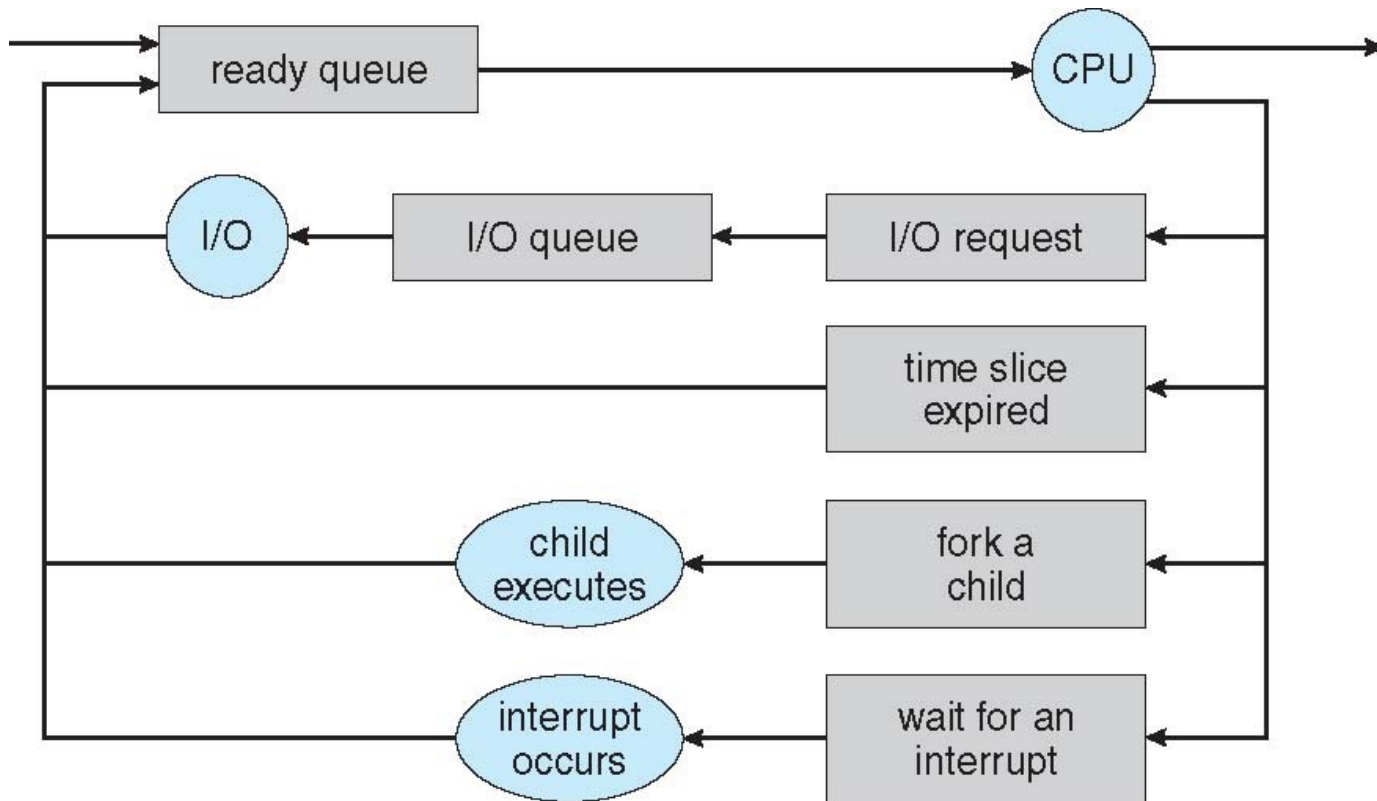
# Ready Queue And Various I/O Device Queues





# Representation of Process Scheduling

- **Queueing diagram** represents queues, resources, flows





# Schedulers

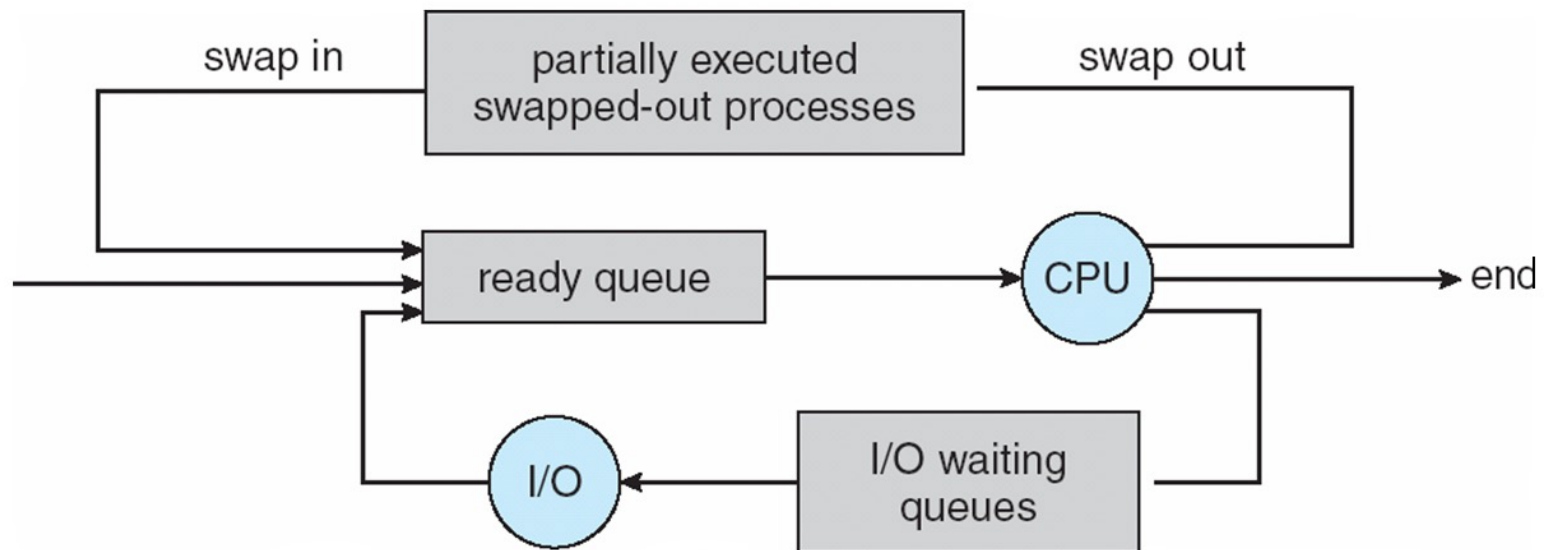
- **Short-term scheduler** (or **CPU scheduler**) – selects which process should be executed next and allocates CPU
  - Sometimes the only scheduler in a system
  - Short-term scheduler is invoked frequently (milliseconds)  $\Rightarrow$  (must be fast)
- **Long-term scheduler** (or **job scheduler**) – selects which processes should be brought into the ready queue
  - Long-term scheduler is invoked infrequently (seconds, minutes)  $\Rightarrow$  (may be slow)
  - The long-term scheduler controls the **degree of multiprogramming**
- Processes can be described as either:
  - **I/O-bound process** – spends more time doing I/O than computations, many short CPU bursts
  - **CPU-bound process** – spends more time doing computations; few very long CPU bursts
- Long-term scheduler strives for good ***process mix***





# Addition of Medium Term Scheduling

- **Medium-term scheduler** can be added if degree of multiple programming needs to decrease
  - Remove process from memory, store on disk, bring back in from disk to continue execution: **swapping**





# Multitasking in Mobile Systems

- Some mobile systems (e.g., early version of iOS) allow only one process to run, others suspended
- Due to screen real estate, user interface limits iOS provides for a
  - Single **foreground** process- controlled via user interface
  - Multiple **background** processes– in memory, running, but not on the display, and with limits
  - Limits include single, short task, receiving notification of events, specific long-running tasks like audio playback
- Android runs foreground and background, with fewer limits
  - Background process uses a **service** to perform tasks
  - Service can keep running even if background process is suspended
  - Service has no user interface, small memory use







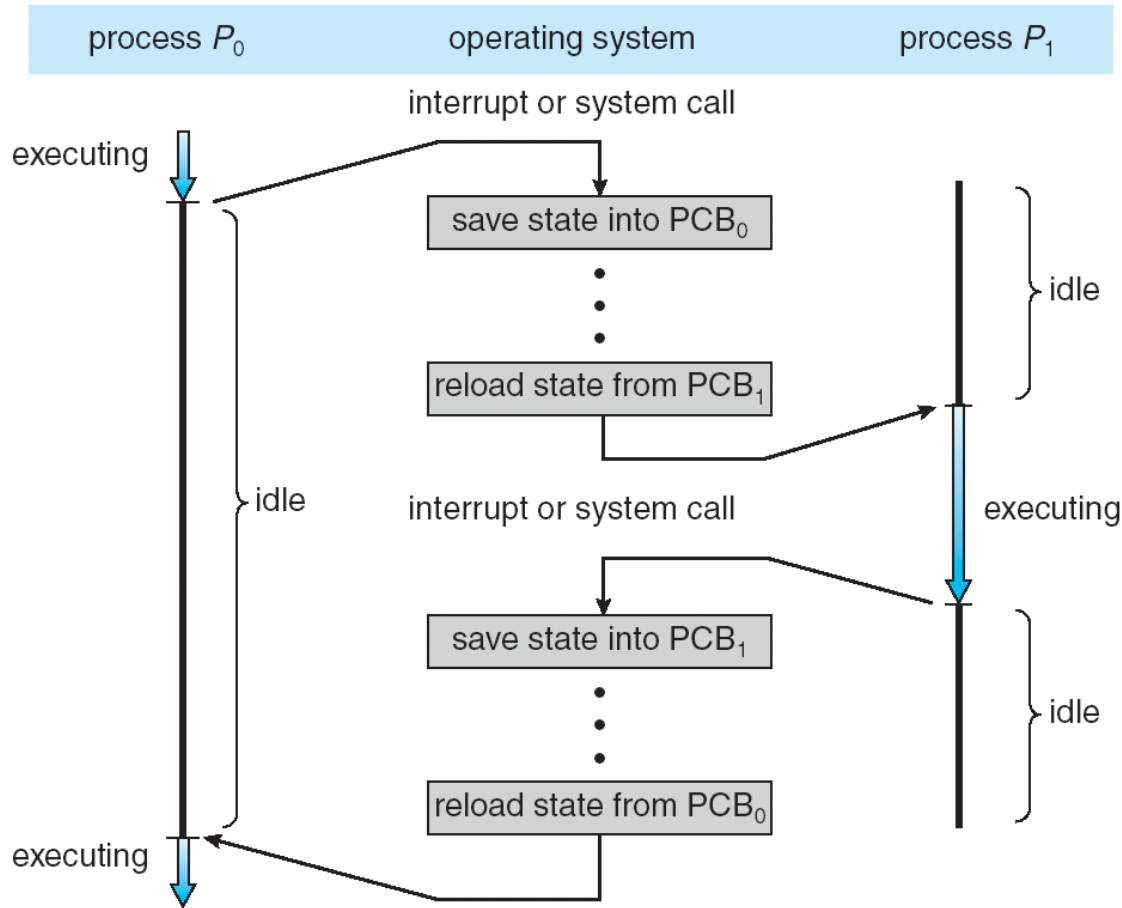
# Context Switch

- When CPU switches to another process, the system must **save the state** of the old process and load the **saved state** for the new process via a **context switch**
- **Context** of a process represented in the PCB
- Context-switch time is overhead; the system does no useful work while switching
  - The more complex the OS and the PCB → the longer the context switch
- Time dependent on hardware support
  - Some hardware provides multiple sets of registers per CPU → multiple contexts loaded at once





# Recall: CPU Switch From Process to Process





# Operations on Processes

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- System must provide mechanisms for:
  - process creation,
  - process termination,
  - and so on as detailed next





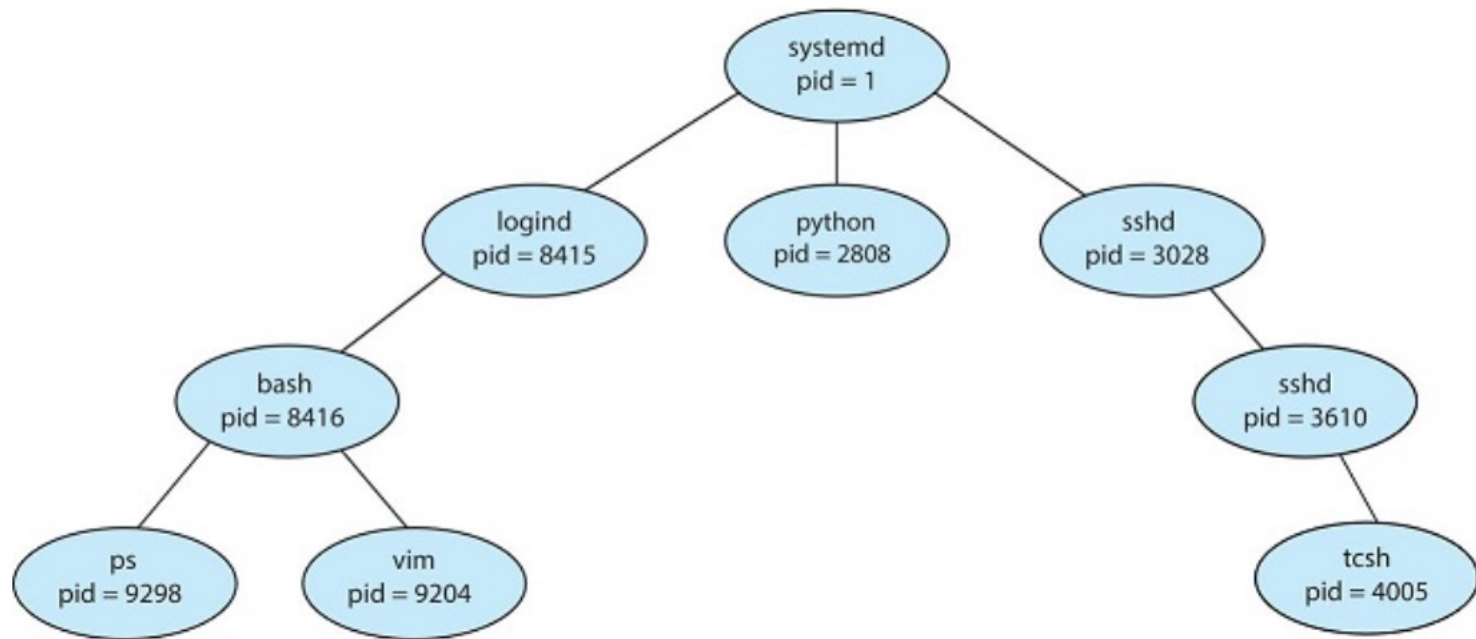
# Process Creation

- **Parent** process create **children** processes, which, in turn create other processes, forming a **tree** of processes
- Generally, process identified and managed via a **process identifier (pid)**
- Resource sharing options
  - Parent and children share all resources
  - Children share subset of parent's resources
  - Parent and child share no resources
- Execution options
  - Parent and children execute concurrently
  - Parent waits until children terminate





# A Tree of Processes in Linux





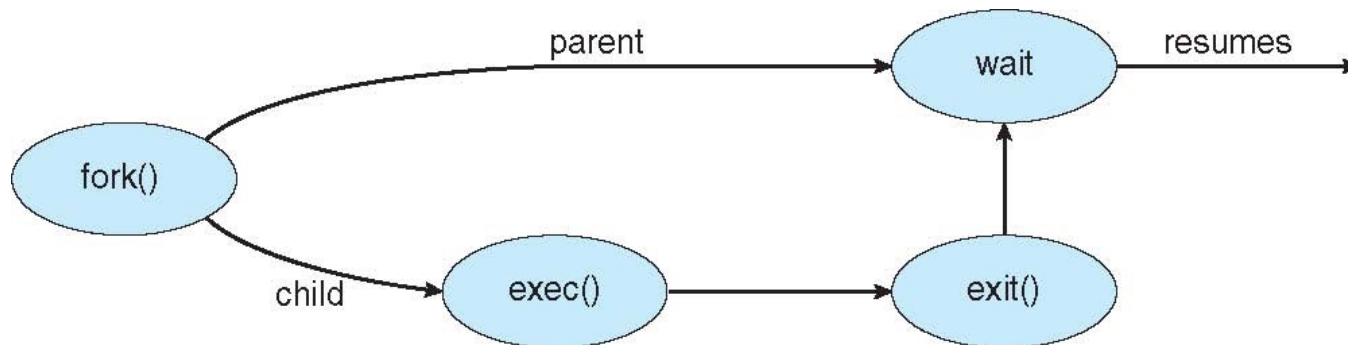
# Process Creation (Cont.)

## ■ Address space

- Child duplicate of parent
- Child has a program loaded into it

## ■ UNIX examples

- **fork()** system call creates new process
- **exec()** system call used after a **fork()** to replace the process' memory space with a new program





# C Program Forking Separate Process

```
#include <sys/types.h>
#include <stdio.h>
#include <unistd.h>

int main()
{
    pid_t pid;

    /* fork a child process */
    pid = fork();

    if (pid < 0) { /* error occurred */
        fprintf(stderr, "Fork Failed");
        return 1;
    }
    else if (pid == 0) { /* child process */
        execlp("/bin/ls", "ls", NULL);
    }
    else { /* parent process */
        /* parent will wait for the child to complete */
        wait(NULL);
        printf("Child Complete");
    }

    return 0;
}
```





# Creating a Separate Process via Windows API

```
#include <stdio.h>
#include <windows.h>

int main(VOID)
{
    STARTUPINFO si;
    PROCESS_INFORMATION pi;

    /* allocate memory */
    ZeroMemory(&si, sizeof(si));
    si.cb = sizeof(si);
    ZeroMemory(&pi, sizeof(pi));

    /* create child process */
    if (!CreateProcess(NULL, /* use command line */
        "C:\\\\WINDOWS\\system32\\mspaint.exe", /* command */
        NULL, /* don't inherit process handle */
        NULL, /* don't inherit thread handle */
        FALSE, /* disable handle inheritance */
        0, /* no creation flags */
        NULL, /* use parent's environment block */
        NULL, /* use parent's existing directory */
        &si,
        &pi))
    {
        fprintf(stderr, "Create Process Failed");
        return -1;
    }
    /* parent will wait for the child to complete */
    WaitForSingleObject(pi.hProcess, INFINITE);
    printf("Child Complete");

    /* close handles */
    CloseHandle(pi.hProcess);
    CloseHandle(pi.hThread);
}
```







# Process Termination

- Process executes last statement and then asks the operating system to delete it using the `exit()` system call.
  - Returns status data from child to parent (via `wait()`)
  - Process' resources are deallocated by operating system
- Parent may terminate the execution of children processes using the `abort()` system call. Some reasons for doing so:
  - Child has exceeded allocated resources
  - Task assigned to child is no longer required
  - The parent is exiting and the operating systems does not allow a child to continue if its parent terminates





# Process Termination

- Some operating systems do not allow child to exist if its parent has terminated. If a process terminates, then all its children must also be terminated.
  - **cascading termination.** All children, grandchildren, etc. are terminated.
  - The termination is initiated by the operating system.
- The parent process may wait for termination of a child process by using the `wait()` system call. The call returns status information and the pid of the terminated process

```
pid = wait(&status);
```
- If no parent waiting (did not invoke `wait()`) process is a **zombie**
- If parent terminated without invoking `wait`, process is an **orphan**





# A Tree of Processes (Example 1)

```
process2.c
1  #include<unistd.h>
2  #include<sys/types.h>
3  #include<stdio.h>
4
5  int main()
6  {
7      pid_t p, q, r;
8      printf("before fork 1\n");
9      // create a child process
10     p=fork();
11     printf("before fork 2\n");
12     // create a child process
13     q=fork();
14     printf("before fork 3\n");
15     // create a child process
16     r=fork();
17     printf("after fork 1, 2, 3\n");
18 }
```





## More on parent child processes - Example 2

```
1  int value = 5;
2  int main() {
3      pid_t pid; pid = fork();
4      if (pid == 0) { /* child process */
5          value += 15;
6          return 0;
7      }
8      else if (pid > 0) { /* parent process */
9          wait(NULL);
10         printf("PARENT: value = %d", value); /* LINE A */
11         return 0;
12     }
13 }
```

What is the output of line 10 (LINE A)?





## More on parent child processes - Example 3

```
1  int main(){
2      pid_t p;
3      p=fork();
4      if(p==0){
5          sleep(2);
6          printf("Child having id %d\n",getpid());
7      }
8      else
9      {
10         sleep(10); // Parent sleeps.
11         printf("Parent having id %d\n",getpid());
12     }
```

Does the child process in below program become orphan or zombie process? How can prevent it from becoming orphan or zombie?





## More on parent child processes - Example 4

```
1  int main() {
2      pid_t pid, pid1, pid2;
3      /* fork a child process */
4      pid = fork();
5      if (pid == 0) { /* child process */
6          pid1 = getpid();
7          pid2 = getppid();
8          printf(pid); /* A */
9          printf(pid1); /* B */
10         printf(pid2); /* C */
11     }
12     else if (pid > 0) { /* parent process */
13         wait(NULL);
14         pid1 = getpid();
15         printf(pid); /* D */
16         printf(pid1); /* E */
17     }
18     return 0;
19 }
```

Assuming that the actual pids of the **parent** and **child** are **2600** and **2603**, respectively when the instance of this program run.

Identify the output of line 8 (A), line 9 (B), line 10 (C), line 15 (D), and line 16 (E)

