

Technical Report: A Practical Guide to Kaldi ASR Optimization

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Abstract

This technical report introduces innovative optimizations for Kaldi-based Automatic Speech Recognition (ASR) systems, focusing on acoustic model enhancement, hyperparameter tuning, and language model efficiency. We developed a custom Conformer block integrated with a multistream TDNN-F structure, enabling superior feature extraction and temporal modeling. Our approach includes advanced data augmentation techniques and dynamic hyperparameter optimization to boost performance and reduce overfitting. Additionally, we propose robust strategies for language model management, employing Bayesian optimization and *n*-gram pruning to ensure relevance and computational efficiency. These systematic improvements significantly elevate ASR accuracy and robustness, outperforming existing methods and offering a scalable solution for diverse speech recognition scenarios. This report underscores the importance of strategic optimizations in maintaining Kaldi's adaptability and competitiveness in rapidly evolving technological landscapes.

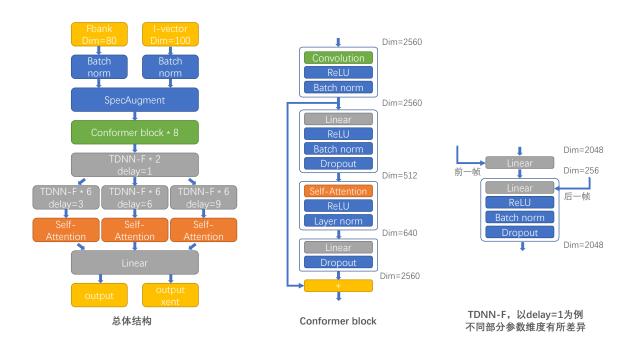


Figure 1 | Acoustic Model Architecture: structure overview (left), custom Conformer block (middle), and TDNN-F component (right)

1. Introduction

Automatic Speech Recognition (ASR), commonly known as speech-to-text technology, is designed to transcribe spoken language into written text by converting audio signals into sequences of words. Formally, let $\mathbf{X} = \{x_1, x_2, \dots, x_T\}$ represent the input sequence of acoustic features, where each $x_t \in \mathbb{R}^d$ is a feature vector at time t, and T denotes the length of the sequence. The objective of ASR is to determine the most probable sequence of words $\mathbf{W} = \{w_1, w_2, \dots, w_N\}$ that corresponds to the acoustic feature sequence \mathbf{X} . This objective can be expressed as an optimization problem:

$$\mathbf{W}^* = \arg\max_{\mathbf{W}} P(\mathbf{W}|\mathbf{X}),$$

where $P(\mathbf{W}|\mathbf{X})$ is the posterior probability of the word sequence given the acoustic input. Using Bayes' theorem, this probability is decomposed as:

$$P(\mathbf{W}|\mathbf{X}) = \frac{P(\mathbf{X}|\mathbf{W})P(\mathbf{W})}{P(\mathbf{X})},$$

where $P(\mathbf{X}|\mathbf{W})$ is the acoustic model probability, $P(\mathbf{W})$ is the language model probability, and $P(\mathbf{X})$ is the evidence, typically treated as a normalization constant during decoding. Modern ASR systems, particularly those leveraging deep learning, model $P(\mathbf{X}|\mathbf{W})$ using neural networks such as Convolutional Neural Networks (CNNs), Recurrent Neural Networks (RNNs), or Transformers, and approximate $P(\mathbf{W})$ using n-gram or neural language models.

The significance of ASR lies in its versatility across diverse applications, such as audio transcription for meetings and broadcasts (Adedeji et al., 2024; Najafian et al., 2017; Song et al., 2021) and code-switching detection in bilingual speech (Diwan et al., 2021b). Additionally, ASR is essential for speaker diarization and verification (Chen et al., 2021, 2023b; Mao et al., 2020), keyword spotting (Michaely et al., 2017), and dialogue moderation (Chen et al., 2024), serving as critical components in enhancing safety and user experience for call centers. Among these, Kaldi (Povey et al., 2011) stands out as a pivotal open-source toolkit for ASR research and deployment, facilitating large-vocabulary continuous speech recognition (Povey et al., 2011). Its modular architecture, support for diverse languages, and flexibility in handling complex speech tasks have made it a cornerstone in both academic and industrial settings.

The evolution of ASR, and Kaldi's role within it, can be traced from early systems like Audrey (Furui, 1996), through the era of hybrid Hidden Markov Model (HMM) and Gaussian Mixture Model (GMM) systems, and ultimately to the neural network era and beyond. Early ASR systems relied on HMM-GMM frameworks (Baker, 1975; Rabiner, 2002), which modeled the acoustic likelihood as:

$$P(\mathbf{X}|\mathbf{W}) = \sum_{\mathbf{S}} \prod_{t=1}^{T} P(x_t|s_t) P(s_t|s_{t-1}),$$

where $S = \{s_1, \dots, s_T\}$ is a state sequence, $P(x_t|s_t)$ is typically modeled by a GMM, and $P(s_t|s_{t-1})$ represents state transition probabilities. These frameworks effectively captured acoustic and temporal patterns but struggled with complex speech variations due to their reliance on statistical modeling. The neural network era, beginning in the 2010s, marked a significant shift with the introduction of Deep Neural Networks (DNNs) (Hinton et al., 2012). DNN-HMM hybrids (Dahl et al., 2012) improved recognition accuracy by replacing GMMs with DNNs to estimate state posteriors, approximating the log-likelihood as:

$$\log P(\mathbf{X}|\mathbf{W}) \approx \sum_{t=1}^{T} \log P(s_t|x_t; \theta_{\text{DNN}}) + \log P(s_t|s_{t-1}),$$

where $\theta_{\rm DNN}$ denotes the parameters of the DNN. This approach significantly enhanced performance by leveraging the discriminative power of neural networks. Seide et al. (2011) scaled DNNs for conversational speech, further advancing performance. Povey et al. (2018) introduced Time-Delay Neural Networks (TDNNs) for robust feature extraction. The integration of Connectionist Temporal Classification (CTC) (Graves et al., 2006) and Recurrent Neural Network Transducers (RNN-T) Graves (2012) further bridged hybrid and end-to-end approaches, with Toshniwal et al. (2018) showcasing Kaldi's adaptability to CTC-based systems.

As ASR progressed into the language model era, large-scale pre-trained models began to dominate. Vaswani et al. (2017) introduced the Transformer architecture, revolutionizing sequence modeling and enabling end-to-end ASR systems like wav2vec 2.0 (Baevski et al., 2020). Radford et al. (2023) further advanced this with Whisper, a multilingual end-to-end model that outperformed hybrid systems in low-resource settings. Concurrently, Kaldi-based research adapted to these trends. Park et al. (2019) introduced SpecAugment, enhancing Kaldi's robustness through data augmentation. Zhu et al. (2020) explored cross-lingual transfer learning, improving Kaldi's performance for under-resourced languages. Despite these advancements, optimizing Kaldi remains crucial. The computational demands of large model training necessitate efficient acceleration strategies. Addressing challenges in low-resource languages (Diwan et al., 2021a) calls for innovative data augmentation and transfer learning approaches (Huang et al., 2021). Furthermore, integrating Kaldi with contemporary architectures (Gulati et al., 2020) is vital to stay competitive with end-to-end systems, necessitating comprehensive optimization in the model architecture and training process.

In this paper, we present a series of strategic advancements and optimizations tailored to enhance Kaldi ASR systems. Our main contributions are as follows:

- Innovative Acoustic Model Components: We designed a custom Conformer block that
 integrates convolution and self-attention, along with a multistream TDNN-F structure.
 This enhances feature extraction and temporal modeling within the Kaldi framework
 without significantly increasing computational requirements.
- 2. **Acoustic Model Optimization:** We upgraded to 80-dimensional log Mel filterbank features and incorporated SpecAugment to improve performance and mitigate overfitting, especially in complex architectures.
- 3. **Dynamic Hyperparameter Tuning:** We eliminated ℓ_2 regularization and dynamically adjusted the cross-entropy weight during training to optimize performance and prevent model divergence.
- 4. **Comprehensive Language Model Data Handling:** We established robust strategies for data selection, processing, and augmentation to ensure the relevance of training data and improve model robustness. This includes optimizing *n*-gram model thresholds and employing Bayesian optimization for model merging.
- 5. **Efficient** *n***-gram Model Training:** We optimized *n*-gram model training using KenLM for memory efficiency and applied SRILM pruning to reduce model size. We also leveraged perplexity and strategic keyword management to enhance evaluation efficiency and recall, minimizing loss in accuracy.

2. Acoustic Model Optimization

We first introduce the basic architecture of an acoustic model built for Kaldi ASR, based on a typical CNN-TDNN-Attention architecture but optimized specifically for this task. Then, we present the hyperparameter optimization process with a hybrid loss.

2.1. DNN Architecture

The model architecture, depicted in Figure 1, is a refined version of the CNN-TDNN-Attention structure (Miao et al., 2019). It incorporates 80-dimensional log Mel filterbank features and 100-dimensional i-vectors as input. Experimentation revealed that using 80-dimensional features yields better performance than the previously utilized 40-dimensional features. SpecAugment has been integrated into the model to effectively prevent overfitting, particularly when multi-stream structures are introduced, which tend to increase the risk of overfitting. Although the inclusion of SpecAugment slightly increases the training loss, it results in a lower test Character Error Rate (CER), achieving favorable outcomes even in the early training stages.

The Conformer block design draws inspiration from Google's Conformer architecture (Gulati et al., 2020). However, the original structure did not perform optimally within the Kaldi framework, possibly due to differences in the implementation of self-attention. Consequently, we adopted the concept of combining convolution and self-attention to design a custom Conformer block. In this structure, convolution is initially applied at the default dimension of 2560, followed by a linear layer that reduces the dimension to 512. This is then connected to multi-head selfattention, utilizing 8 heads, each with a value dimension of 80, resulting in an output dimension of 640. A final linear layer maps the dimension back to the original 2560, incorporating a skip-connection that adds the convolution output to the final result. The introduction of the initial linear layer serves to reduce dimensionality, lowering the computational demand of the self-attention component, while the latter linear layer ensures dimensional compatibility for skip-connection integration. By incorporating skip-connections, we address the challenge of training deep models, which significantly enhances performance. Unlike typical usage, this design does not bypass the convolution part for two reasons: firstly, convolution effectively extracts feature information (Hong et al., 2025b), which we aim to preserve; secondly, subsampling within some convolution operations may result in dimensional discrepancies between input and output.

The Factorized TDNN (TDNN-F) component retains a consistent design with the previous CNN-TDNN-Attention framework (Povey et al., 2018), but introduces a multistream structure. This involves expanding the TDNN-F into three parallel streams, each with distinct delays, and concatenating their outputs. As the computational demand of TDNN-F is relatively low compared to the CNN component, adding two additional streams does not significantly increase overall computational load. Furthermore, skip-connections are implemented between different layers of TDNN-F.

Summary. In the proposed model architecture, we introduced a custom Conformer block inspired by Google's architecture, combining convolution and self-attention for enhanced feature extraction, and expanded the TDNN-F component into three parallel streams with varying delays to improve temporal modeling. We upgraded input features from 40-dimensional to 80-dimensional log Mel filterbanks, which improved performance. SpecAugment was integrated to counteract overfitting, effectively reducing the test Character Error Rate (CER) in multistream structures despite an increase in training loss. We also implemented linear layers within the Conformer block to reduce dimensionality during self-attention operations, thus managing computational load, and incorporated skip-connections to enhance the training of deep models.

2.2. Hyperparameter Optimization

In the process of training, several hyperparameter optimizations were carried out to enhance the performance of the Kaldi ASR system:

The regularization coefficient (chain.12-regularize) was set to zero. In the previous model configurations, ℓ_2 regularization was applied to the parameters of the DNN. However, due to the strong regularization effect of SpecAugment, additional regularization did not yield better results. Instead, it led to model underfitting. Therefore, setting the regularization coefficient to zero proved to be more effective.

The weight of cross entropy (chain.xent-regularize) was dynamically adjusted during training. Kaldi's chain model employs a co-training strategy using both lattice-free maximal mutual information (LF-MMI) and cross-entropy (CE) losses. LF-MMI takes into account contextual sequence information and typically performs better than CE, although relying solely on LF-MMI can cause the model to exploit shortcuts and diverge from desired behaviors. Therefore, a combination of both losses is used, with the default CE weight set at 0.1. We observed that reducing the CE weight to 0.05 as training neared convergence further improved performance. However, lowering the CE weight too early in training could cause the model to diverge. For networks with Long Short-Term Memory (LSTM) structures, it is recommended to use a larger CE weight, such as 0.2, because LSTM networks optimize sequence-based losses like LF-MMI particularly quickly. This rapid optimization increases the risk of divergence, necessitating a stronger CE constraint to maintain stability.

In multilingual scenarios, such as those involving mixed Chinese and English speech, the number of context-dependent phone clusters was increased appropriately. The current training scripts limit the maximum number of CD phone clusters to 5000. In the case of purely Chinese phone clustering, over 4000 clusters were generated, indicating that the 5000 cluster limit is insufficient for mixed language scenarios. Increasing this limit prevents unrelated phones from being clustered together, thereby improving model performance in these contexts.

Summary. In our hyperparameter optimization, we removed ℓ_2 regularization from DNN parameters and instead leveraged SpecAugment's regularization to prevent underfitting. We dynamically adjusted the cross-entropy weight from 0.1 to 0.05 and recommended a higher weight (e.g., 0.2) for models with LSTM structures to offset rapid LF-MMI optimization. Additionally, we expanded the limit for context-dependent phone clusters beyond 5000 in multilingual scenarios to avoid clustering unrelated phones, thereby improving model accuracy in mixed-language contexts. These optimizations were critical in addressing specific challenges posed by the training data and the model architecture, improving the model accuracy and robustness.

3. Language Model Optimization

3.1. Data Selection and Augmentation

Strategic data selection is essential, focusing on relevance to the target scenario. For instance, when training models for live-stream ASR, it is important to limit the inclusion of telephone data to prevent it from dominating the training set. However, maintaining some scenario diversity is beneficial, as demonstrated by the observation that including a small amount of telephone data can enhance model performance. It is also advisable to exclude data that is excessively difficult to recognize, as it may cause alignment errors and lead to model deviation.

Data augmentation techniques, such as varying playback speed and random volume adjustments, are particularly effective in enhancing model training. While pitch shifting can also be beneficial, it does not yield additional improvements when paired with speed variations during acoustic model training, except for models sensitive to breathing sounds. Adding background noise is another powerful augmentation method, but it necessitates retraining i-vectors to achieve optimal results.

During training data processing, sentences containing rare characters or traditional Chinese characters not present in the Lexicon should be removed. For segmentation, forward maximum matching based on the current Lexicon is recommended (Wong and Chan, 1996; Zhao et al., 2018). Although general tokenization tools may offer more logical segmentation, their effectiveness diminishes if they are incompatible with the Lexicon. Efforts to develop an improved tokenizer based on the Lexicon have not shown significant improvements.

Summary. Our approach focuses on strategic data selection and effective augmentation to improve model robustness and performance. We prioritize scenario-relevant data and employ techniques like playback speed and volume adjustments. Data processing involves filtering out incompatible characters and using forward maximum matching for segmentation to ensure Lexicon compatibility.

3.2. *n*-gram Model Training

An n-gram model estimates the probability of a word sequence $\mathbf{W} = \{w_1, w_2, \dots, w_N\}$ by modeling the conditional probability of each word given its preceding n-1 words, playing a pivotal role in ASR by providing the language model probability $P(\mathbf{W})$ in the decoding process (Brown et al., 1992; Povey et al., 2011). Formally, the probability of the sequence is approximated as:

$$P(\mathbf{W}) = \prod_{i=1}^{N} P(w_i | w_{i-n+1}^{i-1}),$$

where $w_{i-n+1}^{i-1} = \{w_{i-n+1}, \dots, w_{i-1}\}$ represents the history of n-1 words, and the conditional probability is estimated from the corpus as:

$$P(w_i|w_{i-n+1}^{i-1}) = \frac{C(w_{i-n+1},\ldots,w_i)}{C(w_{i-n+1},\ldots,w_{i-1})},$$

where $C(\cdot)$ denotes the count of the specified n-gram or (n-1)-gram in the training corpus. In ASR, this probability model enhances the accuracy of transcribing acoustic features X into word sequences by weighting possible transcriptions during decoding, complementing the acoustic model P(X|W) to maximize the posterior probability P(W|X) in Kaldi-based systems.

The training of *n*-gram models requires carefully choosing threshold values, as experiments revealed that retaining all unigrams, along with bigrams and trigrams with a frequency greater than 3, yielded the best results on a 30GB corpus. In practice, it is advisable to set thresholds for bigrams and trigrams based on the corpus size, even for smaller datasets, to prevent the model from memorizing erroneous word pairings. An exception to this rule is with fixed expressions such as poetry, lyrics, or scripted dialogues. Since *n*-gram training is memory-intensive, especially with large corpora, KenLM is recommended for its ability to specify a maximum memory usage rate; if memory is insufficient, disk space can be used for temporary storage, albeit at a slower speed Heafield (2011).

Given the large size of *n*-gram models, SRI Language Modeling (SRILM) can be used to prune them (command: ngram ¬prune <threshold>), with higher pruning thresholds resulting in smaller models Stolcke et al. (2002). Experiments demonstrated that pruning notably reduces model size while minimally impacting CER. Training on large corpora and then pruning the model is more effective than training on smaller corpora, provided that the corpora are similar. Since pruning is relatively quick compared to training, it is advisable to initially train with less stringent thresholds to produce larger models, then prune using different thresholds to achieve a suitably sized model.

Regarding keyword management, adjusting their occurrence frequency can be done by duplicating or removing sentences containing keywords. However, caution is advised: excessive duplication can cause the *n*-gram to memorize fixed combinations, potentially triggering the next word incorrectly after preceding words. By incorporating an appropriate number of keywords into the training data, specifically one keyword per sentence, with duplicates based on word frequency, we can effectively improve keyword recall without negatively impacting accuracy. In practice, this method proved beneficial in the Audio Moderation System project from Tencent Tianyu, enhancing keyword recall without affecting accuracy, provided the number of keywords added is moderate.

Currently, experiments show that 4-gram models do not outperform 3-gram models. Due to their size, 5-gram models have only been tested on small-scale corpora, showing superior performance in some scenarios and inferior in others compared to 3-grams. Thus, 3-grams remain the preferred choice.

Summary. In training *n*-gram models, threshold values for unigrams, bigrams, and trigrams are carefully chosen based on corpus size to prevent erroneous memorization, with KenLM recommended for efficient memory management. SRILM pruning significantly reduces model size while maintaining Character Error Rate (CER), allowing for the initial creation of larger models and subsequent threshold adjustments for optimal sizing. Keyword management is refined through strategic sentence duplication or removal, enhancing recall without diminishing accuracy. Experimentation shows 3-gram models as the preferred choice for their superior performance and manageable size compared to larger *n*-grams.

3.3. Model Merging and Optimization

Language model training should prioritize data relevance, especially for n-gram models, where the relevance of the training data significantly impacts performance Wei et al. (2024). However, due to the limited availability of such data, it is often necessary to combine it with other datasets to ensure comprehensive coverage. Currently, the best practice is to train separate n-gram models on each relevant dataset and then merge them using a Bayesian optimization-based method. Let's denote the n-gram model trained on dataset D_i as $M_i(n)$. If there are k relevant datasets, we train k separate n-gram models:

$$M_1(n), M_2(n), \ldots, M_k(n).$$

Each model $M_i(n)$ is trained independently on its corresponding dataset D_i . The goal is to merge these models into a single model $M^*(n)$ that optimizes overall performance for the target scenario. This can be expressed as:

$$M^*(n) = \arg \max_{M(n)} \mathcal{L}(M(n) \mid D_{\text{target}}),$$

where $\mathcal{L}(\cdot)$ represents the likelihood or performance metric on the target dataset D_{target} .

The merging process using a Bayesian optimization-based approach effectively transforms the task of constructing the optimal n-gram model into a model selection problem. The goal is to identify the optimal combination of model weights $\mathbf{w} = (w_1, w_2, \dots, w_k)$, enabling the creation of a composite model that maximizes performance:

$$M^*(n) = \sum_{i=1}^k w_i \cdot M_i(n)$$
, subject to $\sum_{i=1}^k w_i = 1$.

Through Bayesian optimization, various weight configurations are evaluated iteratively, allowing for the exploration and exploitation of combinations that yield the highest performance on the target dataset. A text dataset from the target scenario, D_{target} , serves as a validation set during this merging process. Although a large dataset is not required, it should be representative enough to ensure that $M^*(n)$ generalizes well to the target scenario. This approach helps to create a more robust language model by leveraging the strengths of individual models trained on different datasets while optimizing for the specific needs of the target application.

The optimization target can also be enhanced to improve the training efficiency. Perplexity values on test sets are an effective metric for assessing language model performance. Our experiments indicate a positive correlation between transcript perplexity and final CER on the same test set, even though studies suggest perplexity and CER are not always correlated. As compiling the graph HCLG is time-consuming, optimizing the language model based on perplexity before compilation is advisable.

In contrast to the straightforward statistics of *n*-gram models, recurrent neural network language models (RNNLMs) offer feature abstraction capabilities Lu et al. (2024); Tam et al. (2014), making them more versatile and less demanding in terms of training data size. The most effective RNNLM is trained on a combination of live-stream transcripts and a 2GB sample of other corpora, which balances colloquial specificity with general applicability. This model excels across various scenarios, typically eliminating the need for retraining unless there is a Lexicon mismatch.

Summary. Prioritizing data relevance and combining datasets using Bayesian optimization ensures comprehensive coverage and enhanced *n*-gram model performance. Perplexity values are utilized as an optimization metric before the costly HCLG compilation, leveraging their correlation with CER to improve training efficiency. Recurrent neural network language models (RNNLMs) provide versatility through feature abstraction, trained on a mix of live-stream transcripts and diverse corpora to balance specificity with general applicability, excelling across various scenarios with minimal retraining needs.

4. Conclusion

In this technical report, we have detailed a comprehensive approach to optimizing Kaldi-based Automatic Speech Recognition systems through innovations in acoustic model design, precise hyperparameter tuning, and strategic language model management. By integrating a custom Conformer block and multistream TDNN-F structure, our model achieves enhanced feature extraction and temporal modeling. We deployed advanced data augmentation techniques and dynamic hyperparameter adjustments to improve performance and mitigate overfitting. Our language model strategies, which include Bayesian optimization for merging *n*-gram models

and the use of KenLM and SRILM for efficient training and pruning, ensure relevance and computational efficiency. These contributions collectively demonstrate significant enhancements in ASR accuracy and robustness, paving the way for future innovations in speech recognition technology. Our work underscores the importance of systematic optimizations in bolstering Kaldi's adaptability and competitiveness in diverse and evolving applications.

Future research and development should prioritize addressing data privacy constraints, which are increasingly important in today's technological landscape (Song et al., 2022). This will necessitate the adoption of advanced approaches such as transfer learning and federated learning to the conventioanl centralized ASR training (Jiang et al., 2021). Moreover, the integration of Large Language Models (LLMs) has shown substantial promise in enhancing traditional ASR system. Notably, LLMs can be employed for post-correction, refining transcription accuracy by dynamically adjusting outputs based on contextual understanding (Chen et al., 2023a). Researchers have identified and categorized various methods within the LLM-in-the-loop framework (Hong et al., 2025a), which not only offer significant improvements in model performance but also present exciting new research opportunities in the rapidly evolving landscape of LLM applications, paving the way for future advancements in ASR technology.

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