

# Activated ALK Collaborates with MYCN in Neuroblastoma Pathogenesis

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DOI 10.1016/j.ccr.2012.02.010

## SUMMARY

Amplification of the *MYCN* oncogene in childhood neuroblastoma is often accompanied by mutational activation of *ALK* (anaplastic lymphoma kinase), suggesting their pathogenic cooperation. We generated a transgenic zebrafish model of neuroblastoma in which *MYCN*-induced tumors arise from a subpopulation of neuroblasts that migrate into the adrenal medulla analog following organogenesis. Coexpression of activated *ALK* with *MYCN* in this model triples the disease penetrance and markedly accelerates tumor onset. *MYCN* overexpression induces adrenal sympathetic neuroblast hyperplasia, blocks chromaffin cell differentiation, and ultimately triggers a developmentally-timed apoptotic response in the hyperplastic sympathoadrenal cells. Coexpression of activated *ALK* with *MYCN* provides prosurvival signals that block this apoptotic response and allow continued expansion and oncogenic transformation of hyperplastic neuroblasts, thus promoting progression to neuroblastoma.

## INTRODUCTION

Neuroblastoma is a childhood solid tumor that arises in the peripheral sympathetic nervous system (PSNS), typically in the adrenal medulla or paraspinal ganglia, during embryogenesis (Brodeur, 2003; Maris, 2010). When disseminated at diagnosis in older children, the disease carries a very poor prognosis despite the use of intensive therapies. Amplification of the *MYCN* oncogene is found in tumor cells from ~20% of neuroblastoma patients and is the most reliable marker of a poor prog-

nosis (Brodeur, 2003; Maris et al., 2007). Overexpression of *MYCN* in the PSNS of transgenic mice, using the rat tyrosine hydroxylase (*TH*) promoter, results in tumors that closely resemble human neuroblastoma arising in the sympathetic ganglia (Chesler and Weiss, 2011; Weiss et al., 1997), indicating that aberrant expression of *MYCN* promotes the development of this tumor in vivo.

The anaplastic lymphoma kinase (*ALK*) gene encodes a receptor tyrosine kinase that is normally expressed at high levels in the nervous system and was originally identified as a fusion

## Significance

Neuroblastoma is an important developmental tumor arising in the peripheral sympathetic nervous system, which accounts for ~10% of cancer-related deaths in childhood. The *ALK* receptor tyrosine kinase is mutationally activated in a subset of primary neuroblastomas, but the mechanisms through which *ALK* signaling cooperates with *MYCN* overexpression in neuroblastoma pathogenesis remain unclear. By generating a transgenic zebrafish model that overexpresses human *MYCN* and activated *ALK* in the fish analog of the adrenal medulla, we now show that upregulated *MYCN* mediates sympathetic neuroblast hyperplasia, which is mitigated by a developmentally-timed apoptotic response. Activated *ALK* blocks neuroblast apoptosis at this critical time in development, establishing a mechanism for the synergistic relationship between these two oncoproteins in the pathogenesis of neuroblastoma.

protein with nucleophosmin in cases of anaplastic large cell lymphoma (Morris et al., 1994). Activation of ALK can regulate cellular proliferation, differentiation and apoptosis via a number of different signaling pathways, including PI3K/AKT, RAS/MAPK, and STAT3, but its precise physiologic role remains elusive (Chiarle et al., 2008; Palmer et al., 2009). Recently, we and others reported that amplification of the *ALK* gene occurs only in *MYCN*-amplified primary neuroblastomas and that within this group ~15% of cases have *ALK* amplification (George et al., 2008; Mossé et al., 2008). Activating *ALK* mutations were also identified in both familial and sporadic neuroblastoma cases, including but not limited to a subset with *MYCN* amplification, further implicating this kinase in neuroblastoma pathogenesis (Chen et al., 2008; George et al., 2008; Janoueix-Lerosey et al., 2008; Mossé et al., 2008). Mechanisms through which signaling by aberrantly activated ALK cooperates with *MYCN* overexpression to enhance neuroblastoma development remain undefined, posing a major obstacle to the development of effective targeted treatments for this devastating disease.

We have generated a transgenic zebrafish model in which overexpression of human *MYCN* in the PSNS induces tumors in the fish analog of the adrenal medulla that closely resemble human neuroblastoma. Using this model system, we undertook studies to explore mechanistically the interaction between mutationally activated ALK and *MYCN* overexpression during neuroblastoma pathogenesis in the PSNS.

## RESULTS

### Transgenic EGFP Expression in the PSNS

We first isolated a 5.2 kb promoter fragment upstream of the coding sequence of the zebrafish dopamine- $\beta$ -hydroxylase gene (*d $\beta$ h*), which encodes the rate-limiting enzyme for noradrenalin synthesis. This fragment was used to drive expression of enhanced green fluorescent protein (EGFP) in a stable zebrafish transgenic line, *Tg(d $\beta$ h:EGFP)*, designated D $\beta$ H in this article. In juvenile and adult transgenic zebrafish, EGFP was specifically expressed by sympathetic neurons of the superior cervical ganglia (Figures 1A–1C), the first sympathetic ganglion to develop in early embryogenesis, and by each sequential segmental ganglion of the sympathetic chain (Figures 1A, 1B, and 1D). EGFP was also expressed by sympathoadrenal cells of the interrenal gland (Figures 1A, 1B, and 1E), the zebrafish equivalent of the human adrenal gland (Hsu et al., 2003). In the interrenal gland, the EGFP-expressing cells can be visualized within a discrete region in the ventral aspect of the head kidney, intermixed with adrenal cortical cells that are TH- and EGFP-negative (Figure 1E). The specificity of EGFP expression for sympathoadrenal cells when driven by the *d $\beta$ h* promoter fragment is demonstrated by coexpression of endogenous TH (Figures 1C–1E), another enzyme expressed by sympathetic neurons and chromaffin cells (An et al., 2002; O'Brien et al., 2004).

### Zebrafish Expressing *MYCN* Develop Neuroblastoma

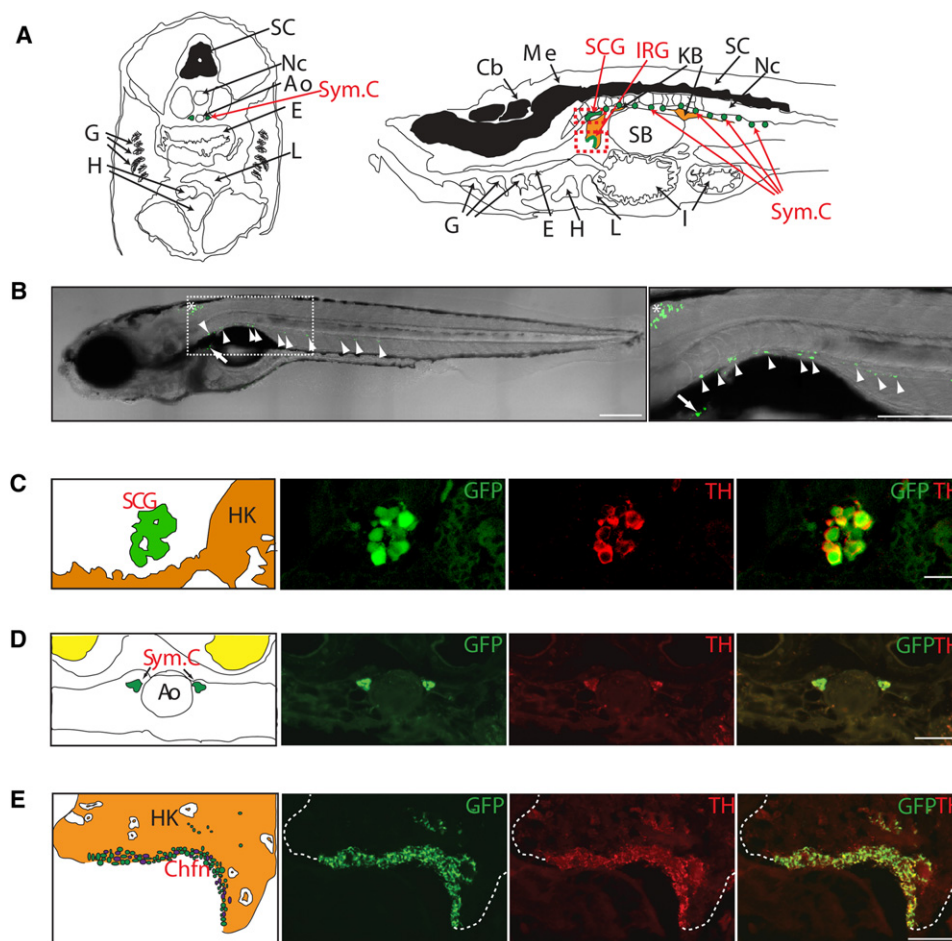
Using a coinjection strategy (Langenau et al., 2008), we generated a stable transgenic zebrafish line, *Tg(d $\beta$ h:EGFP-MYCN)*, designated MYCN in this article, that overexpresses the human *MYCN* gene fused to EGFP under control of the *d $\beta$ h* promoter. In MYCN transgenic fish the expansion of cells expressing

EGFP as tumors developed was readily detectable in living fish by immunofluorescence microscopy (Figure 2A). EGFP<sup>+</sup> tumor masses were found in the anterior abdomen, corresponding to the interrenal gland, and were composed of small, undifferentiated, round-tumor cells with hyperchromatic nuclei, often forming nests (Figure 2B). Tumor cells were strongly immunoreactive for TH and the pan-neuronal markers Hu and Synaptophysin (Figure 2C), indicating their PSNS-related neuronal origin (Gould et al., 1986; Marusich et al., 1994; Teitelman et al., 1979). Normal interrenal chromaffin cells also expressed TH, but not Hu or Synaptophysin (Figure 2C), indicating that the neuroblastomas arose from sympathetic neuroblast precursors and not chromaffin cells, as is the case in human neuroblastoma (Figure 2E).

Neuroblastoma is frequently considered in the differential diagnosis of malignant small round-cell tumors of childhood, and electron microscopy is a helpful tool for distinguishing among these malignancies. A diagnosis of neuroblastoma can be established ultrastructurally by demonstrating the presence of neurosecretory granules within the cytoplasm or cytoplasmic processes of tumor cells (Figure 2E) (Mierau et al., 1998). These neurosecretory granules were evident in the tumors we identified in the zebrafish (Figure 2D), strengthening their association with childhood neuroblastoma. The histopathological, immunohistochemical and ultrastructural features of neuroblastoma are shown in Figure 2E, to illustrate their similarities with those of neuroblastomas induced by *MYCN* overexpression in zebrafish (Figures 2B–2D) (Hoshi et al., 2008; Mierau et al., 1998; Molenaar et al., 1990; Taxy, 1980; Tornóczy et al., 2007). These findings support our use of this model to investigate activated ALK as a contributor to *MYCN*-driven tumorigenesis.

### ALK Accelerates *MYCN*-Induced Neuroblastoma

We and others have implicated activating mutations of *ALK* in the pathogenesis of neuroblastoma, including cases that also show *MYCN* amplification (De Brouwer et al., 2010; George et al., 2008; Mossé et al., 2008). To address whether *ALK* and *MYCN* genetically interact during neuroblastoma induction, we generated a second stable transgenic zebrafish line that expresses the human *ALK* gene harboring the F1174L mutation, one of the most prevalent somatic activating mutations found in neuroblastoma patients and human cell lines (Chen et al., 2008; George et al., 2008). The *d $\beta$ h:EGFP* and *d $\beta$ h:ALKF1174L* constructs were coinjected into zebrafish embryos at the one-cell stage to generate a transgenic line expressing both the EGFP and activated *ALK* transgenes, *Tg(d $\beta$ h:EGFP;d $\beta$ h:ALKF1174L)*, designated ALK in this article. EGFP was specifically expressed by sympathoadrenal cells in the interrenal gland of the ALK transgenic fish at 5 weeks postfertilization (wpf), and *ALK* was coexpressed with EGFP by the same cells (Figure S1A available online). This transgenic line was bred to the *MYCN* heterozygous transgenic line, and the offspring were monitored for evidence of tumors. All of the expected genotypes were represented in the offspring of this cross: (1) *MYCN*; (2) *ALK*; (3) *MYCN*; *ALK*; and (4) wild-type (WT) AB fish lacking either transgene. A tumor watch was performed on a total of 1,156 sorted offspring. The fish were isolated in individual tanks as soon as tumors appeared; and were sacrificed for molecular and pathologic analyses when there was evidence of tumor progression.



**Figure 1. Transgenic Gene Expression in the Sympathetic Neurons and the Interrenal Gland**

(A) Left: Schematic of a transverse section illustrating zebrafish anatomical structures, dorsal upward. Right: Schematic of a sagittal section illustrating zebrafish anatomical structures, anterior to left.

(B) EGFP expression (green) in the zebrafish chain of sympathetic ganglia (arrowheads), the IRG (arrow), and medulla oblongata (asterisk) at 3 wpf. Lateral view of confocal-brightfield image, anterior to left. The magnified view of the boxed region is shown on the right. Scale bar represents 500  $\mu$ m.

(C) EGFP is coexpressed with TH in the SCG at 6 wpf (sagittal section); TH coexpression is indicated in red. Scale bar represents 20  $\mu$ m.

(D) EGFP is coexpressed with TH in the chain of sympathetic ganglia at 6 wpf (transverse section). TH coexpression is indicated in red. Scale bar represents 20  $\mu$ m.

(E) EGFP is coexpressed with TH in the IRG at 8 months postfertilization (mpf) (sagittal section). TH coexpression is indicated in red. Scale bar represents 100  $\mu$ m.

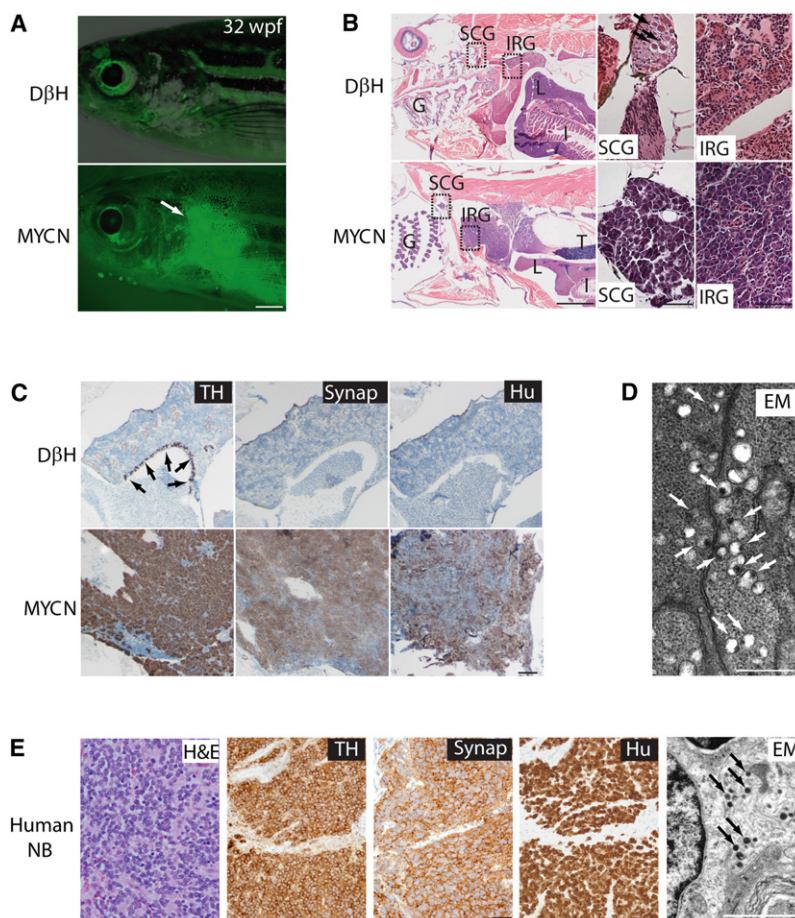
Ao, aorta; Cb, cerebellum; Chfn, chromaffin cells; E, esophagus; G, gill; H, heart; HK, head kidney; I, intestine; IRG, interrenal gland; KB, kidney body; L, liver; Me, medulla; Nc, notochord; SB, swim bladder; SC, spinal cord; SCG, superior cervical ganglion; Sym.C, sympathetic chain. See also Figure S1.

The first 23 tumors arose between 5–7 weeks of age, and all had the compound transgenic genotype, MYCN;ALK (Figure 3A). The expression of MYCN and ALK proteins and ALK RNA was confirmed in the tumors of these compound transgenic fish by immunohistochemical and RT-PCR analyses, respectively (Figures S1B and S1C). Tumors continued to arise after 9 weeks of age in both the MYCN-only and the MYCN;ALK compound transgenic lines, but their rate of induction was much higher in the latter group (Figure 3A). Tumor penetrance in the MYCN;ALK compound transgenic fish was also much higher: 55.6% versus 17.3% for the MYCN transgenic fish ( $p < 0.0001$ ; Figure 3A). Although germline mutations of ALK cause hereditary neuroblastoma (Mossé et al., 2008), tumors did not develop in fish expressing this transgene alone over the 6-month monitoring

period (Figure 3A). Tumors in the compound transgenic fish arose in the interrenal gland, as did those in the MYCN fish, and these tumors were comparable histologically, immunohistochemically, and ultrastructurally (Figure S2) to human neuroblastoma (Figure 2E).

To control for possible founder effects in our transgenic lines, and to examine whether overexpression of wild-type ALK (ALKWT) as well as mutationally activated ALK could collaborate with MYCN in neuroblastoma pathogenesis, we overexpressed either activated human ALK or human ALKWT in MYCN fish. For this experiment, we coinjected the following constructs into the one-cell stage of MYCN transgenic and control embryos: (1)  $d\beta h$ -ALKF1174L with  $d\beta h$ -mCherry; (2)  $d\beta h$ -ALKWT with  $d\beta h$ -mCherry; or (3)  $d\beta h$ -mCherry alone. We have shown that this





**Figure 2. Neuroblastomas Arise in MYCN-Expressing Transgenic Zebrafish**

(A) Top: DβH fish. Bottom: MYCN fish with EGFP-expressing tumor (arrow) at 32 weeks postfertilization (wpf). Scale bar represents 1 mm.

(B) Top: H&E-stained sagittal sections of DβH fish. Boxes indicate the SCG and the IRG, and are magnified in the right panels. Bottom: H&E-stained sagittal sections of MYCN fish with neuroblastic tumors. Boxes indicate the SCG and the IRG and are magnified in the right panels. Arrows indicate SCG neurons. The majority of tumors arise in the IRG of MYCN fish, although as seen in this example, tumor cells in the SCG were occasionally observed in individual fish that also had tumors in the IRG. G, gill; L, liver; I, intestine; IRG, interregal gland; SCG, superior cervical ganglion; T, testis. Scale bars represent 50  $\mu$ m.

(C) Top: Sagittal sections through the interregal gland of DβH fish. Chromaffin cells of the interregal gland express TH (arrows). Bottom: Sagittal sections through the interregal gland of a MYCN fish with EGFP-expressing tumor. Cells throughout the tumor in the interregal gland express TH, Synaptophysin (Synap), and Hu. Scale bar represents 100  $\mu$ m.

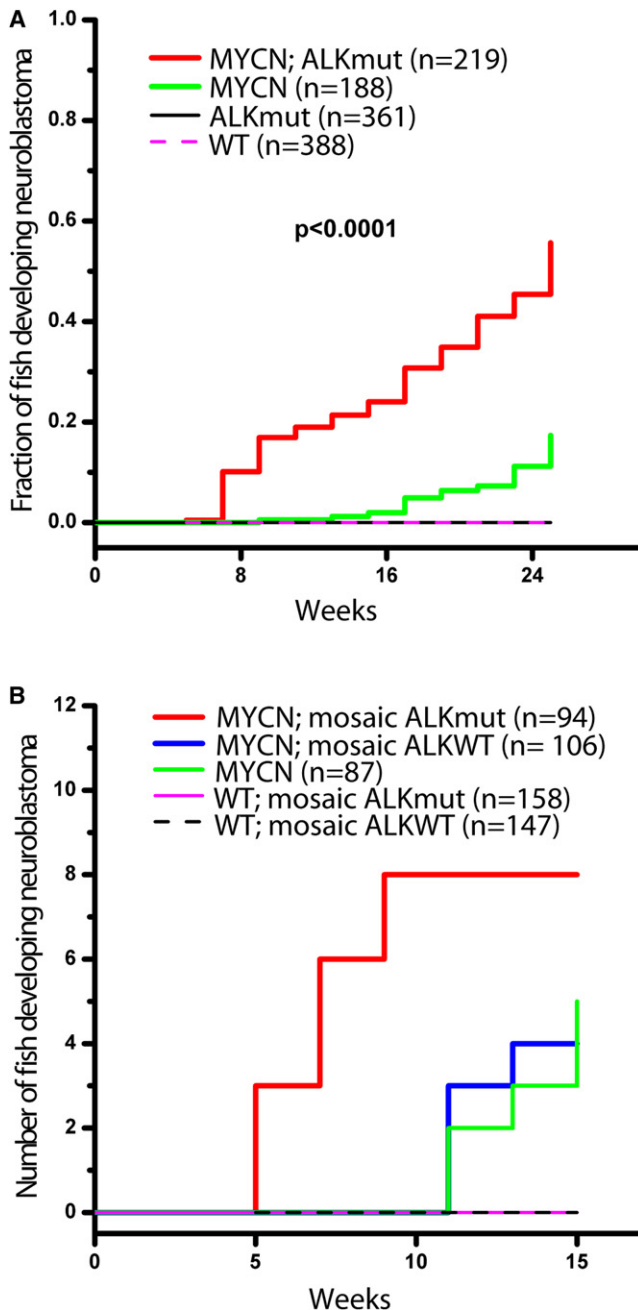
(D) Electron microscopy (EM) reveals neurosecretory granules in the MYCN-expressing tumors (arrows). Scale bar represents 500 nm.

(E) Pathological, immunohistochemical, and ultrastructural analyses of a human neuroblastoma. Arrows point to neurosecretory granules. Scale bars represent 500  $\mu$ m (left panel), 100  $\mu$ m (middle panels), and 2  $\mu$ m (right panel), respectively. See also Figure S2.

coinjection strategy results in cointegration into DNA and coexpression of the two coinjected transgenes as mosaics in a subset of cells in ~50% of the injected embryos (Langenau et al., 2008). Thus, the expression of mCherry served as a marker for the coexpression of ALK in tissues of the mosaic primary injected animals. When these animals were monitored for the tumor onset, neuroblastomas were not observed in any of the siblings that did not inherit the MYCN transgene and were injected with either the ALKWT or ALKF1174L transgenes, emphasizing that overexpression of MYCN is required for tumorigenesis in this model. Eight tumors arose by 9 wpf in the MYCN fish coinjected with *dβh*-ALKF1174L and *dβh*-mCherry (Figures 3B and S3A), whereas none were observed by 9 wpf in the MYCN line coinjected with *dβh*-ALKWT and *dβh*-mCherry ( $p = 0.002$ ; Figures 3B and S3B) or with *dβh*-mCherry alone ( $p = 0.007$ ; Figures 3B and S3C). In addition, four tumors in the MYCN line coinjected with *dβh*-ALKWT and *dβh*-mCherry and five tumors in the MYCN line injected with *dβh*-mCherry alone were identified after 11 wpf (Figure 3B), similar to the time of tumor onset in the uninjected MYCN line (Figure 3A). These findings show that activated ALK cooperates with MYCN overexpression to accelerate the onset of neuroblastoma, regardless of the integration site in individual mosaic animals, and that overexpression of ALKWT at the levels driven by the *dβh* promoter does not appear to collaborate with MYCN to induce neuroblastoma in this model system.

#### MYCN-Induced Loss of Sympathoadrenal Cells

To investigate the cellular basis for MYCN-induced neuroblastoma and its modification by constitutively activated ALK, we examined the development of sympathoadrenal cells in (1) DβH; (2) MYCN; (3) ALK; and (4) MYCN;ALK transgenic fish during the embryonic and larval stages. During normal development, PSNS cells arise from the neural crest and migrate ventrally to locations adjacent to the dorsal aorta (Huber, 2006). After forming the superior cervical ganglia, a subset of sympathoadrenal cells migrate further to invade the mesonephros and differentiate to form chromaffin cells in the interrenal gland (An et al., 2002; Huber, 2006; Stewart et al., 2004). We identified cells of the developing superior cervical ganglia at 80 hr postfertilization (hpf) in living DβH transgenic fish and in whole-mount in situ hybridization preparations with *dbh*- and *th*- riboprobes (Figure 4A), indicating that EGFP expression in the developing embryonic PSNS of this transgenic line recapitulates the normal endogenous expression patterns of *dβh* and *th* (Figure 4A). By 80 hpf, EGFP was apparent in the superior cervical ganglia, as well as in non-PSNS dopaminergic neurons, such as the medulla oblongata and cranial ganglia (Figure 4A). By contrast, most MYCN transgenic embryos (~80%) failed to express a detectable level of EGFP fused to human MYCN in the superior cervical ganglia at 80 hpf, even though the fusion protein was clearly expressed in non-PSNS tissues (Figure 4B),



**Figure 3. Activated ALK Accelerates Disease Onset and Increases the Penetrance of MYCN-Induced Neuroblastoma**

(A) Cumulative frequency of neuroblastoma in stable transgenic zebrafish by Kaplan-Meier analysis. ALKmut represents stable transgenic fish expressing the *ALK* (F1174L) transgene. WT, wild-type.

(B) Onset of neuroblastoma in MYCN transgenic fish or wild-type (WT) fish as mosaics coinjected with the following DNA constructs: (1) *dβh-ALKF1174L* and *dβh-mCherry* (mosaic ALKmut); (2) *dβh-ALKWT* and *dβh-mCherry* (mosaic ALKWT); or (3) *dβh-mCherry* alone. The difference between tumor onset by 9 wpf in the MYCN fish coinjected with *dβh-ALKF1174L* and *dβh-mCherry* (MYCN; mosaic ALKmut) and that in the MYCN line coinjected with *dβh-ALKWT* and *dβh-mCherry* (MYCN; mosaic ALKWT) or *dβh-mCherry* alone (MYCN) is significant at  $p = 0.002$  and  $p = 0.007$ , respectively, with two-tailed Fisher exact test. See also Figure S3.

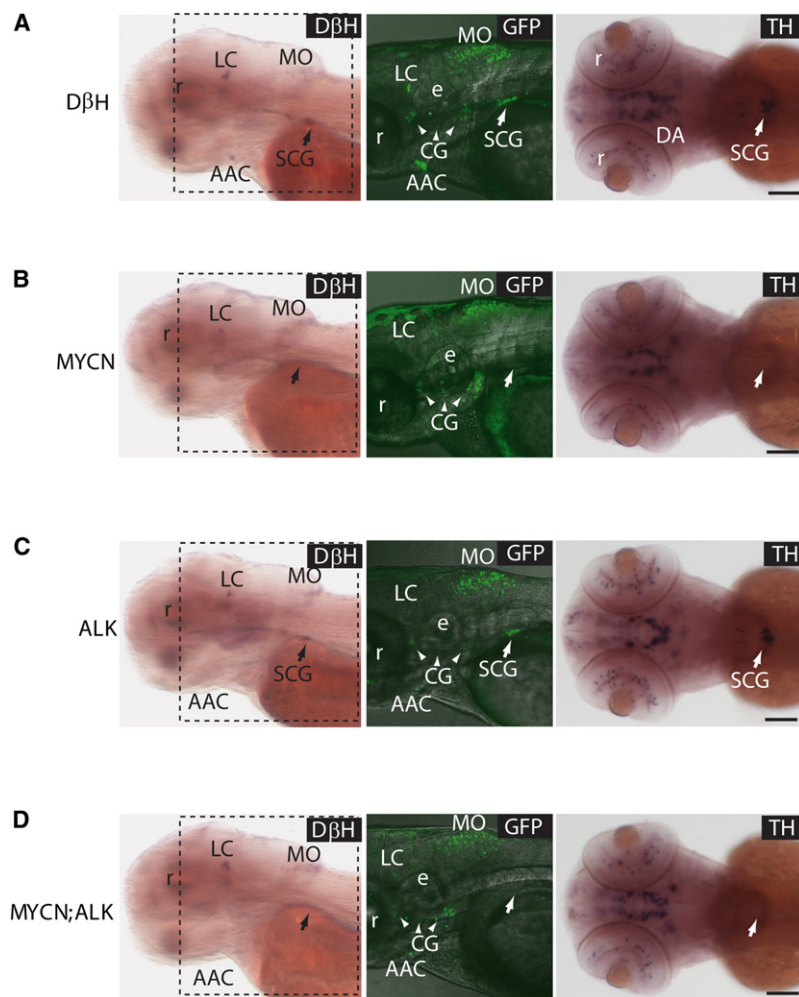
and in most animals, the absence of detectable sympathoadrenal cells persisted through 10 dpf (Figure S4C). The lack of EGFP expression is consistent with the markedly reduced numbers of sympathoadrenal cells in MYCN embryos indicated by the loss of cells with endogenous *th* and *dβh* RNA expression by whole-mount in situ hybridization (Figures 4B versus 4A). Because *th* and *dβh* are markers for differentiated sympathoadrenal cells, the absence of cells expressing EGFP-MYCN under control of the *dβh* promoter could reflect either MYCN-induced apoptosis or an arrest in sympathoadrenal progenitor cell differentiation.

To distinguish between these possibilities, we first performed TUNEL and anti-activated Caspase-3 staining on sections of 36, 51, and 72 hpf MYCN versus DβH transgenic fish. We found no evidence of TUNEL- or anti-activated Caspase-3-positive cells in the superior cervical ganglia or regions where sympathoadrenal cells would be expected to form (Figure S5; data not shown), suggesting that the absence of detectable sympathoadrenal cells is not due to cell death, but rather to a failure to initiate the PSNS developmental program at this early time in development. To test this possibility, we performed whole-mount in situ hybridization at 54 hpf and 80 hpf for expression of the *phox2b*, *zash1a*, and *AP-2 alpha* (*tfap2a*) genes, which encode transcription factors required for sympathoadrenal cell specification and maintenance (Figure 5) (Guillemot et al., 1993; Lucas et al., 2006; Pattyn et al., 1999). Each of these sympathoadrenal cell progenitor markers was readily detectable in the superior cervical ganglia region of control embryos, but undetectable in MYCN transgenic embryos at these stages, indicating that specification of the earliest identifiable sympathoadrenal cell progenitors was blocked by expression of the EGFP-MYCN fusion gene. The suppression of sympathoadrenal cell development by EGFP-MYCN appears to be tissue-specific, because expression of the EGFP-MYCN by non-PSNS dopaminergic neuronal cells in these embryos was largely unaffected, including expression by cells of the locus coeruleus, medulla oblongata, and cranial ganglia (Figures 4B versus 4A and S4C).

To investigate the possibility that neuroblastoma might arise from residual EGFP-MYCN+ sympathoadrenal cells that can be identified at 3 dpf in ~20% of the transgenic embryos, we analyzed these embryos in more detail at 5 dpf. At this time, neurons of the superior cervical ganglia in control DβH transgenic fish express EGFP and are both TH+ and Hu+ (arrows in Figure S4A), whereas chromaffin cells lose Hu expression as they differentiate into chromaffin cells, reflecting a loss of their neuronal phenotype (arrowheads in Figure S4A). Interestingly, the small populations of EGFP+ cells observed in the superior cervical ganglia of MYCN animals were heterogeneous in their immunoreactivity patterns, including cells that were TH+/Hu- (arrowheads in Figure S4B), TH-/Hu- (double arrowheads in Figure S4B), or TH+/Hu+ (data not shown). However, these residual cells did not appear to contribute to neuroblastoma development, as there was no difference in the time of disease onset in the 20% of fish that had small numbers of residual cells at 5 dpf compared to the majority of MYCN transgenic fish, which lacked detectable cells in the superior cervical ganglia (Table S1).

Expression of mutant *ALK* F1174L in ALK transgenic fish did not affect the development of sympathoadrenal cells, as shown





**Figure 4. MYCN Expression Causes Sympathoadrenal Cell Loss**

(A) DβH transgenic line. Oblique views of *dβh* RNA expression (left panels); lateral views of EGFP expression in merged confocal-brightfield images (middle panels); dorsal views of *th* RNA expression (right panels). Arrows point to the SCG, and arrowheads point to the CG. Scale bar represents 100 μm.

(B) MYCN transgenic line. MYCN expression causes loss of cells in the SCG (arrows). Scale bar represents 100 μm.

(C) ALK transgenic line. ALK expression does not interfere with the SCG development (arrows). Scale bar represents 100 μm.

(D) MYCN;ALK transgenic line. Loss of cells in the SCG is not rescued by activated ALK expression (arrows). Scale bar represents 100 μm. AAC, arch-associated catecholaminergic neurons; CG (arrowheads), cranial ganglia; DA, diencephalic dopaminergic neurons; e, ear; LC, locus coeruleus; MO, medulla oblongata; r, retina; SCG, superior cervical ganglion. See also Figure S4 and Table S1.

by EGFP fluorescence and expression of the *th* and *dβh* RNAs (Figures 4C and S4C). Furthermore, the expression of activated ALK in the presence of MYCN in MYCN;ALK transgenic embryos did not rescue the loss of sympathoadrenal cells observed in the MYCN transgenic embryos (Figures 4D and S4C). Thus, although activated ALK clearly cooperates with MYCN in tumorigenesis, this interplay does not depend on any ability of ALK to reverse the pronounced MYCN-induced suppression of sympathoadrenal cell development during early embryonic and larval stages.

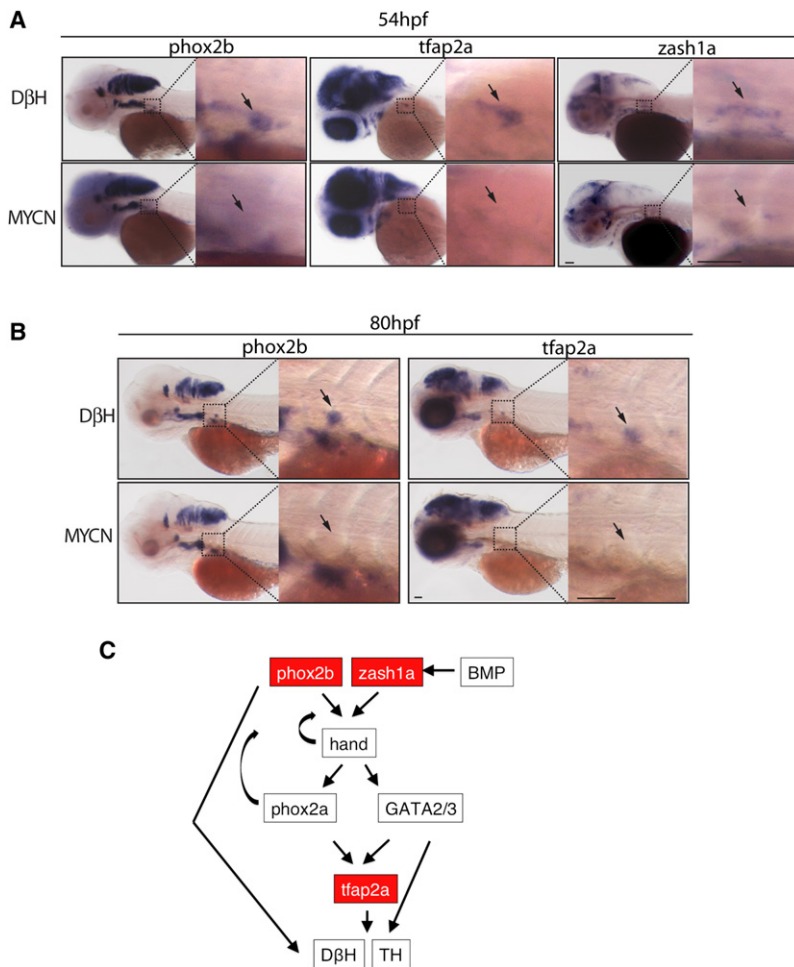
#### Hyperplastic Hu+ Cells in the Interrenal Gland

Analysis of the PSNS during the first 10 days of life in MYCN transgenic zebrafish revealed the profound capacity of high level of MYCN to suppress the development of sympathoadrenal cells, but did not provide any insight into why these transgenic fish developed neuroblastoma. Because the first tumors arose in MYCN;ALK transgenic fish between 5–7 wpf, we examined the interrenal gland of MYCN transgenic zebrafish beginning at 3 wpf to identify the cells that give rise to neuroblastoma. In DβH control animals, we observed GFP+/Hu+/TH+ neuroblast cells in both the mediolateral (Figure 6A) and lateral regions of the developing interrenal gland (Figure S6). The number of Hu+

neuroblasts quantified from sections through both interrenal gland regions remained low between 3–7 wpf (Figure 6B); Hu+ cell numbers in ALK transgenic fish were comparable to those in controls (Figure 6B). By contrast, the numbers of Hu+ neuroblasts were significantly increased in MYCN transgenic fish, as compared to those in controls at 3 wpf (Figure 6B,  $p = 0.03$ ). In 9 of 16 MYCN transgenic fish examined, the numbers of Hu+ neuroblasts were markedly increased at 5 wpf (Figures 6A and 6B,  $p = 0.004$ ). However, at 7 wpf, 11 of 16 MYCN fish lacked detectable Hu+ neuroblasts in the interrenal gland (Figure 6B), indicating that during this 2-week period these cells

were either eliminated or had differentiated, thus losing their expression of the neuronal marker Hu. In MYCN;ALK compound transgenic fish the numbers of Hu+ cells also increased during the 3- to 5-week period, but in contrast to transgenic fish expressing MYCN alone, the Hu+ cell numbers continued to increase in 6 of 12 fish at 7 wpf (Figure 6B,  $p = 0.03$ ). Thus, Hu+ cells continue to expand in only a small fraction of transgenic animals expressing MYCN alone after 5 wpf, whereas a much higher fraction of the double transgenic MYCN;ALK animals showed progressive expansion of Hu+ cells, mirroring the much higher fraction of these animals that develop fully transformed neuroblastoma (Figure 3A).

To assess the effects of MYCN and activated ALK expression on the differentiation of Hu+, TH+ neuroblast into Hu–, TH+ adrenal chromaffin cells, we quantified the numbers of Hu–, GFP+ cells within the interrenal gland of each of the zebrafish lines over time. We found increasing numbers of these cells between 3–7 wpf in both control DβH and ALK transgenic zebrafish, indicating the differentiation of the Hu+ neuroblast precursors into chromaffin cells (Figures 7A–7C). By contrast, the Hu–, GFP+ chromaffin cells did not increase normally and remained at very low levels between 3–7 wpf in MYCN-overexpressing fish relative to control animals, regardless of whether



**Figure 5. Expression of Early Sympathoadrenal Markers Is Absent in MYCN Transgenic Embryos during Early Development**

(A and B) Top panels: DβH; lower panels: MYCN transgenic fish. Expression of sympathoadrenal cell markers at 54 hpf (A) and 80 hpf (B). The magnified view of the boxed region is shown on the right. Arrows point to the superior cervical ganglion. Scale bars represent 50  $\mu$ m (left panels) and 100  $\mu$ m (right panels, magnified view).

(C) Diagram of the genetic interactions of sympathoadrenal genes during early development. Arrows indicate the activation of target genes. Curved arrows indicate positive feedback regulation. See also Figure S5.

the animals also express the activated *ALK* transgene. Thus, the expanding neuroblast cell populations that we identified at 7 wpf in MYCN transgenic animals appear to give rise to fully transformed tumors a few weeks later, and a fraction of the fish with these hyperplastic precursors was markedly increased by coexpression of activated *ALK*, accounting for the increased penetrance of neuroblastoma in the compound transgenic line (Figure 3A). Taken together, these findings indicate that overexpression of *MYCN* prevents the differentiation of neuroblast precursors into adrenal chromaffin cells, and induces a developmentally-timed apoptotic response at 5.5 wpf in most MYCN transgenic fish. However, concomitant expression of activated *ALK* in these cells promotes cell survival without altering the MYCN-induced block in differentiation, resulting in the continued accumulation of Hu+ neuro-

blasts that culminates in the development of highly penetrant, fully transformed neuroblastoma.

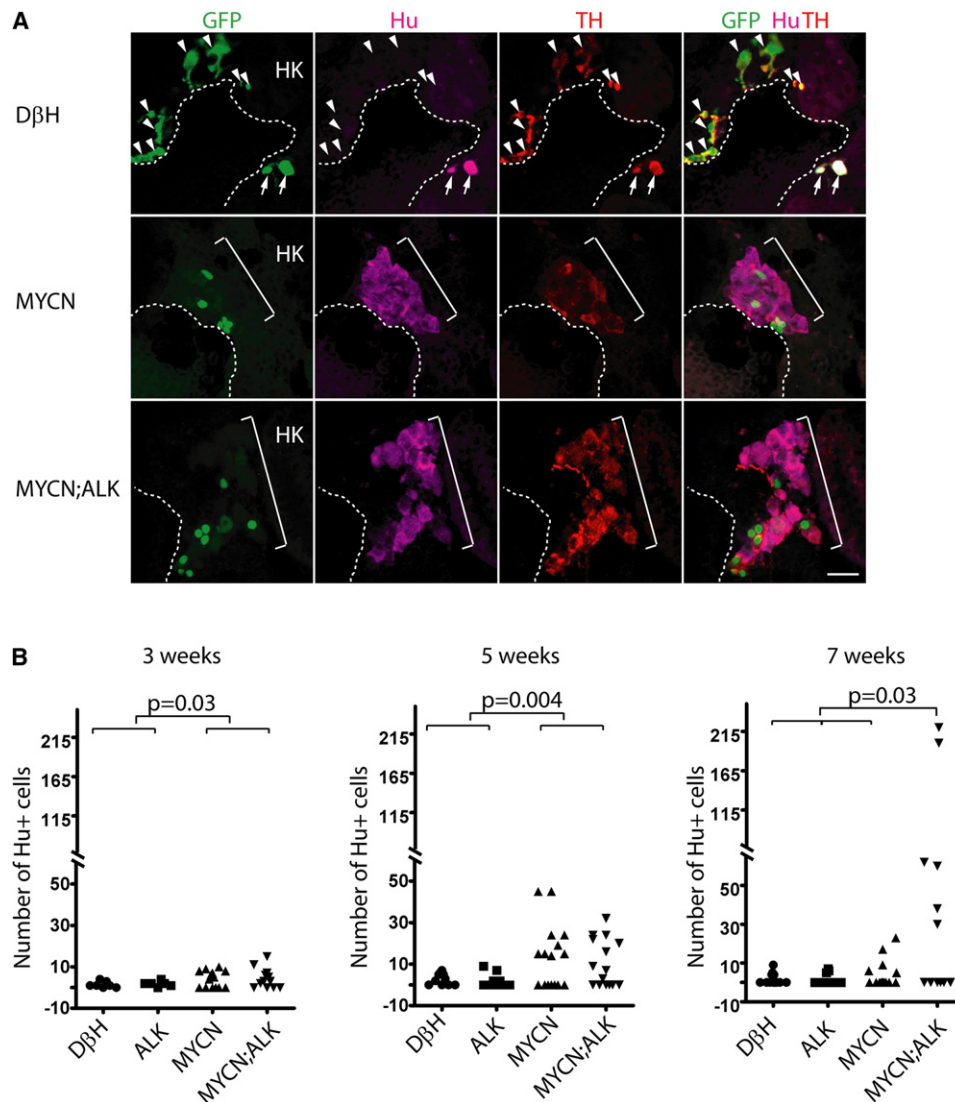
roblasts that culminates in the development of highly penetrant, fully transformed neuroblastoma.

## DISCUSSION

Early in the embryogenesis of our transgenic zebrafish, *MYCN* overexpression results in a profound loss of neural crest-derived cells within the sympathoadrenal cell lineage. Nevertheless, these animals can develop neuroblastoma, and both the onset and penetrance of the disease are markedly enhanced by coexpression of a transgene encoding the activated *ALK* receptor tyrosine kinase. Thus, our zebrafish model clearly demonstrates a synergistic relationship between these two genes in neuroblastoma pathogenesis. Using multiparameter confocal microscopy and immunohistochemistry to examine embryos throughout early development, we show that MYCN-induced neuroblastoma does not arise from the earliest cells populating the superior cervical ganglia (3–6 dpf), but rather from neuroblasts that migrate into the interrenal gland later in development (~21 dpf), after the kidney has developed. The interrenal gland is the zebrafish equivalent of the human adrenal gland, and sympathoadrenal precursors in the interrenal gland coexpress neuronal-specific Hu proteins and the catecholaminergic enzymes TH and DβH. The interrenal gland origin

the fish also expressed the activated *ALK* transgene (Figures 7A–7C). At 7 wpf, we identified two MYCN transgenic fish and two MYCN;*ALK* fish with some expansion of Hu–/TH+ chromaffin cells (Figure 7C). Thus, in a small subset of MYCN-overexpressing fish, the sympathoadrenal cells manage to differentiate, lose the Hu neuronal marker and expand at 7 weeks of age despite activated *ALK* overexpression. The chromaffin cell expansion seems to be self-limited, because all of the tumors that arise in these fish express the Hu pan-neuronal marker (Figures 2C and S2C).

To determine whether the loss of Hu+ cells in the transgenic fish expressing *MYCN* alone between 5–7 wpf was due to apoptotic cell death, we assessed the expression of activated Caspase-3 as an indicator of apoptotic cell death. An important difference was observed at 5.5 wpf: transgenic fish expressing *MYCN* alone showed significant numbers of apoptotic cells coexpressing Hu and activated Caspase-3 (Figures 8B, 8C and S7C), providing the basis for the profound loss of these cells by 7 wpf. By contrast, in MYCN;*ALK* transgenic fish, we rarely observed apoptotic cells expressing both Hu and activated Caspase-3 (Figures 8B, 8C and S7D), consistent with the continued increase in Hu+ cell numbers at 7 wpf in this group (Figure 6B). Neuroblastomas that develop in MYCN transgenic animals coexpress GFP, TH, and Hu, regardless of whether



**Figure 6. MYCN Causes Hu+ Cell Hyperplasia in the Interrenal Gland**

(A) Sagittal sections through the interrenal gland in DβH (top panels), MYCN (middle panels), and MYCN;ALK (lower panels) transgenic fish at 5wpf (dorsal up, anterior left). EGFP, green; Hu, magenta; TH, red. Representative sections through the interrenal gland in DβH fish contain three to five GFP+/Hu+/TH+ sympathetic neuroblasts (arrows) and many GFP+/Hu+/TH+ chromaffin cells (arrowheads). Hu+ cell numbers increase in MYCN and MYCN;ALK fish (brackets), and can be GFP+ and TH+. Dotted lines indicate the head kidney (HK) boundary. Scale bar represents 20 μm.

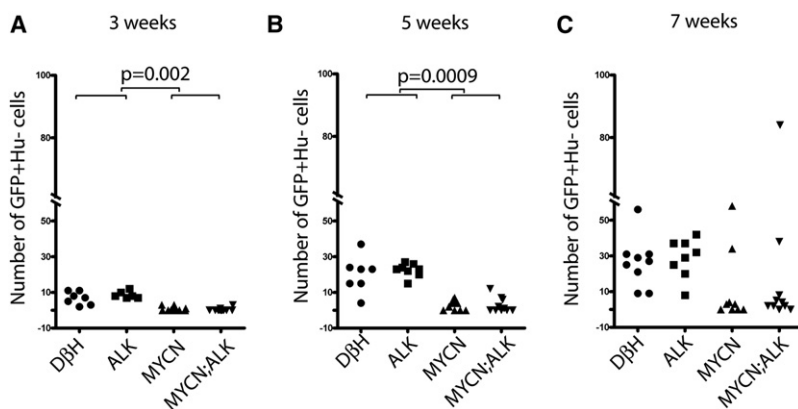
(B) Numbers of Hu+ interrenal gland cells in DβH, ALK, MYCN, and MYCN;ALK transgenic fish at 3, 5, and 7 weeks. Means of Hu+ cell numbers were compared by the two-tailed Wilcoxon signed-rank test. See also Figure S6.

of neuroblastoma in zebrafish recapitulates the adrenal medullary site of origin observed in ~50% of the children with this tumor (Janoueix-Lerosey et al., 2010), in contrast to the murine MYCN transgenic model, where tumors arise from hyperplastic neuroblasts predominately in the sympathetic cervical ganglia complex and the superior cervical ganglia (Alam et al., 2009; Hansford et al., 2004). In the study by Hansford et al. (2004), these hyperplastic neuroblasts regressed due to apoptotic cell death in normal and hemizygous transgenic animals, but frequently progressed to fully transformed neuroblastoma in homozygous transgenic animals. The similarities and differences between the murine and zebrafish transgenic models afford

complementary opportunities to investigate mechanisms underlying sympathoadrenal cell transformation within the distinct anatomical locations that comprise the PSNS.

Using the zebrafish model, we now show that expression of aberrantly activated ALK potentiates the oncogenic effects of MYCN by blocking the apoptotic death of MYCN-overexpressing sympathoadrenal neuroblasts. The death of these cells occurs within a well-defined developmental window, 5.5 wpf, indicating that although overexpression of MYCN causes aberrant expansion of these cells from 3 to 5 wpf, it also triggers an apoptotic response at 5.5 wpf. By monitoring the appearance of more differentiated adrenal chromaffin cell numbers in animals



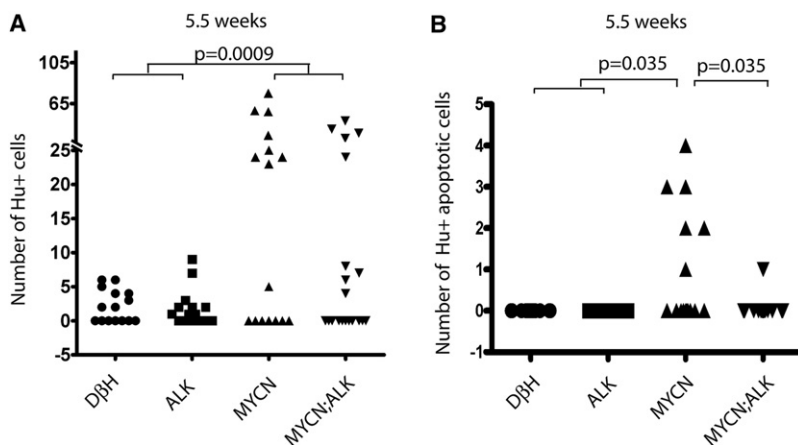


**Figure 7. MYCN Expression Blocks Chromaffin Cell Differentiation in the Interrenal Gland**

Numbers of GFP+/Hu- chromaffin cells in the interrenal gland in DβH, ALK, MYCN, and MYCN;ALK transgenic fish at 3 weeks (A), 5 weeks (B), and 7 weeks (C). Means of GFP+/Hu- cell numbers were compared by the two-tailed Wilcoxon signed-rank test.

of each genotype, we show that these MYCN-overexpressing neuroblasts fail to differentiate, resulting in reduced numbers of Hu-, TH+, DβH+ chromaffin cells. The MYCN-induced apoptotic response in these cells does not seem to result from the types of constitutive MYC- or MYCN-induced apoptotic signaling that has been described by others (Fanidi et al., 1992; Finch et al., 2006; Nilsson and Cleveland, 2003), because the MYCN-overexpressing immature neuroblasts in our trans-

genic fish do not undergo apoptosis during their expansion to 5 wpf. Rather, the apoptotic death of these cells appears to result from a conflict between aberrant proliferative signals emanating from overexpressed MYCN and other developmentally timed signals that specify chromaffin cell fate. Thus, activated ALK provides a cell survival signal that blunts the apoptotic response of MYCN-overexpressing neuroblasts at this juncture in development, but does not restore the ability of these cells to differentiate. For the 17% of MYCN-only transgenic fish that develop tumors, it is likely that additional genetic alterations cooperate with this oncogene to contribute to neuroblastoma transformation. Nevertheless, we did not detect somatic missense mutations within the tyrosine kinase domain of the zebrafish *alk*

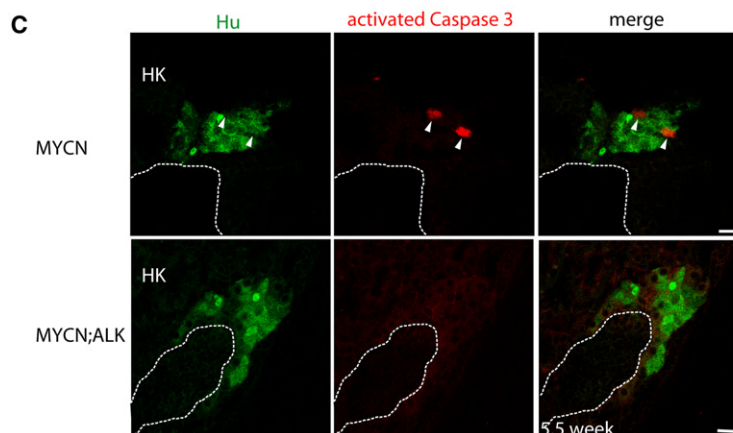


**Figure 8. ALK Inhibits a Developmentally-Timed Apoptotic Response Triggered by MYCN Overexpression in the Interrenal Gland**

(A) Numbers of Hu+ interrenal gland cells in the DβH, ALK, MYCN, and MYCN;ALK fish at 5.5 wpf. Means of Hu+ cell numbers were compared by the two-tailed Wilcoxon signed-rank test.

(B) Numbers of apoptotic Hu+ interrenal gland cells in the DβH, ALK, MYCN, and MYCN;ALK fish at 5.5 wpf. The numbers of transgenic fish at 5.5 wpf with apoptotic Hu+ cells in the interrenal gland were compared by two-tailed Fisher exact test.

(C) Sagittal sections through the interrenal gland in MYCN (top panels) and MYCN;ALK (bottom panels) transgenic fish at 5.5 wpf (dorsal up, anterior left). Hu, green; activated Caspase-3, red. Hu+, activated Caspase-3+ apoptotic cells were detected in the MYCN transgenic fish (arrowheads). Dotted lines indicate the head kidney (HK) boundary. Scale bars represent 10 μm. See also Figure S7.



gene in ten tumors from MYCN-only transgenic fish, or a loss of *capsase-8* expression, which has been implicated in the pathogenesis of human neuroblastoma with *MYCN* amplification. Thus, mutations or epigenetic events that activate prosurvival pathways other than those mediated by *alk* activation or *capsase-8* loss of function appear to interact with *MYCN* overexpression in these tumors.

The mutant *ALK* (F1174L) gene that we expressed in our zebrafish model has not been observed in the germline of human patients with familial neuroblastoma. This suggests that it may generate signals that are incompatible with normal human embryogenesis, making it more potent than the R1275Q mutation, the most common heritable mutation in familial neuroblastoma. In our transgenic zebrafish model, the *ALK* (F1174L) mutation is tolerated in the germline, presumably because it is driven in a tissue-specific manner in sympathoadrenal cells by the *dβh* promoter. In our model system, overexpression of *MYCN* is required for the development of neuroblastoma and activated *ALK* expression is not sufficient, even though germline mutations of *ALK* can function as an initiating event in human neuroblastoma, and these tumors may or may not have *MYCN* amplification (Mossé et al., 2008). Further study in the zebrafish model will be required to determine whether mutational events other than *MYCN* overexpression can cooperate with activated *ALK* to induce neuroblastoma.

The potent anti-apoptotic effect of activated *ALK* expression combined with *MYCN* overexpression might be expected to mediate greater resistance to drug-induced apoptosis and a poorer outcome for patients whose tumors have both amplified *MYCN* and an activating *ALK* mutation. This prediction gains support from a recent meta-analysis of *ALK* mutations in childhood neuroblastoma with *MYCN* amplification, which showed that the mutant *ALK* (F1174L) gene is expressed in a high proportion of childhood tumors with *MYCN* amplification, and that these children have an especially poor outcome (De Brouwer et al., 2010). A new *ALK* small molecule inhibitor, crizotinib (PF-02341066), has produced encouraging results in a recently completed phase II trial for patients with non-small-cell lung cancer that harbors activating *ALK* rearrangements, including *EML4-ALK* or *RANBP2-ALK* (Butrynski et al., 2010; Kwak et al., 2010; Sasaki et al., 2010), and has been approved by the FDA for use in patients with such tumors. A phase I trial of the same inhibitor was recently initiated in children with solid tumors, including those with neuroblastoma harboring either mutated or amplified *ALK*. Despite these advances, a recent report indicates that the *ALK* (F1174L) mutation confers resistance to crizotinib (Sasaki et al., 2010), which will likely interfere with the activity of this drug against neuroblastomas harboring this mutation. We suggest that the zebrafish model described in this article will provide a useful platform for testing alternative small molecule inhibitors of F1174L-activated *ALK*, or key targets within its downstream pathways, to improve the treatment of this aggressive form of childhood neuroblastoma.

## EXPERIMENTAL PROCEDURES

### Zebrafish

Zebrafish were the AB background strain. Embryos were staged according to Kimmel et al. (1995). All zebrafish studies and maintenance of the animals were

in accord with Dana-Farber Cancer Institute IACUC-approved protocol #02-107.

### DNA Constructs for Transgenesis

The 5.2-kb promoter region of the *dβh* gene was amplified by PCR from a zebrafish BAC clone and subcloned into vectors to drive the expression of several genes, including *Tg(dβh:EGFP)*, *Tg(dβh:EGFP-MYCN)*, and *Tg(dβh:EGFP;dβh:ALKF1174L)* in tissues normally expressing the *dβh* gene. Embryos were injected with these DNA constructs at the one-cell stage and grown to adulthood. Fin clips from the offspring were genotyped for the stable integration and germline transmission of the transgenes. The *Tg(dβh:EGFP)*, *Tg(dβh:EGFP-MYCN)*, and *Tg(dβh:EGFP;dβh:ALKF1174L)* zebrafish lines are designated the “DβH,” “MYCN,” and “ALK” transgenic line in this article, respectively.

### Tumor Watch of Transgenic Fish

MYCN and ALK heterozygous transgenic fish were crossed, and offspring were screened every 2 weeks starting from 5 wpf for fluorescent EGFP-expressing cell masses indicative of tumors. In addition, for Figure 3B, either activated human *ALK* or wild-type human *ALK* (*ALKWT*) were overexpressed in MYCN fish as mosaics by coinjecting the following constructs into the one-cell stage of MYCN transgenic and control embryos: (1) *dβh-ALKF1174L* with *dβh-mCherry*; (2) *dβh-ALKWT* with *dβh-mCherry*; or (3) *dβh-mCherry* alone. The primary injected embryos were raised and monitored for the onset of tumorigenesis as described above. Fish with tumors were separated and analyzed further by H&E staining and immunohistochemical assays.

### RNA In Situ Hybridization, Cryosectioning, Paraffin Sectioning, and Immunostaining

RNA in situ hybridization assays were performed according to Thisse and Thisse (Thisse and Thisse, 2008). Constructs for making RNA probes to detect *dβh*, *th*, *phox2b*, and *tfap2a* expression have been described (Stewart et al., 2006). Fish were fixed with 4% paraformaldehyde and embedded in agar/sucrose or paraffin blocks for cryosectioning or paraffin sectioning, respectively. Sections were immunostained by conventional protocols (Macdonald, 1999) using antibodies against GFP, TH, Hu, Synaptophysin, and ALK.

### Electron Microscopy and Imaging

Transmission electron microscopy (TEM) of tumor cells was carried out at the Harvard Medical School EM Facility with a Tecnai G<sup>2</sup> Spirit BioTWIN scope equipped with an AMT 2k CCD camera. A Zeiss LSM 510 META confocal microscope and Leica SP5X Laser Scanning Confocal Microscope were used to capture fluorescent images at high magnification, and a Leica M420 stereoscopic microscope captured bright field and low-magnification fluorescent images. Images were processed with Leica LAS AF Lite, Improvision Openlab v5 and Adobe Photoshop software.

Additional methods are presented in Supplemental Experimental Procedures.

### ACCESSION NUMBERS

The GenBank accession number for the *dβh* promoter sequence reported in this paper is JQ727685.

### SUPPLEMENTAL INFORMATION

Supplemental Information includes seven figures, one table, and Supplemental Experimental Procedures and can be found with this article online at doi:10.1016/j.ccr.2012.02.010.

### ACKNOWLEDGMENTS

This work was supported by a grant CA104605 from the National Cancer Institute, NIH (A.T.L.), a Young Investigator Award from Children's Tumor Foundation and Neuroblastoma Foundation (J.S.L.), a fellowship from the Friends for Life (S.Z., R.E.G.), a fellowship from the Hope Street Kids Foundation (J.S.L.), a fellowship from Durand Family Fund for Pediatric Neuroblastoma Research (J.S.L.), a fellowship from the David A. Abraham Fund and Pediatric Low Grade

Astrocytoma Foundation (J.S.), an award K99CA134743 from the National Cancer Institute, NIH (H.F.), an award R00 NS058608 from NIH/NINDS (R.A.S.), and a grant from the National Institutes of Health and the Children's Oncology Group (R.E.G.). We thank John Gilbert for editing the manuscript and critical comments, and Greg Molind, Lu Zhang, Derek Walsh, and John P. Lyons for excellent care of our zebrafish facility.

Received: May 26, 2011

Revised: November 23, 2011

Accepted: February 7, 2012

Published: March 19, 2012

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