

Improving CPS-Based Partial Evaluation: Writing Cogen by Hand

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Abstract

It is well-known that self-applicable partial evaluation can be used to generate compiler generators: *cogen* = *mix*(*mix*, *mix*), where *mix* is the *specializer* (partial evaluator). However, writing *cogen* by hand gives several advantages: (1) Contrasting to when writing a self-applicable *mix*, one is not restricted to write *cogen* in the same language as it treats [HL91]. (2) A handwritten *cogen* can be more efficient than a *cogen* generated by self-application; in particular, a handwritten *cogen* typically performs no (time consuming) environment manipulations whereas one generated by self-application does. (3) When working in statically typed languages with user defined data types, the self-application approach requires *encoding* data type values [Bon88, Lau91, DNBV91], resulting in relatively inefficient (*cogen*-generated) compilers that spend much of their time on coding and decoding. By writing *cogen* by hand, the coding problem is eliminated [HL91, BW93].

Specializers written in *continuation passing style* (abbreviated “cps”) perform better than specializers written in *direct style* (abbreviated “ds”) [Bon92]. For example, a *specializer* written in cps straightforwardly handles non-unfoldable let-expressions with static body.

The contribution of this paper is to combine the idea of hand-writing *cogen* with cps-based specialization. We develop a handwritten cps-*cogen* which is superior to a ds-*cogen* for the same reason that a cps-*specializer* is superior to a ds-*specializer*: the cps-*cogen* can for example handle non-unfoldable let-expressions with static body. Hand-writing a cps-*cogen* is done along the same lines as hand-writing a ds-*cogen*, but some additional non-standard two-level η -expansions turn out to be needed.

The handwritten cps-*cogen* presented here is efficient in that it performs continuation processing (β -reductions of continuation applications) already at compiler-generation time. Only some continuation processing can be done at

compiler generation time, however, so the resulting programs generated by *cogen* also contain continuations.

We prove our handwritten cps-*cogen* correct with respect to a cps-*specializer*. We also give a correctness proof of a handwritten ds-*cogen*; this proof is much simpler than the cps-proof, but to the best of our knowledge, no handwritten ds-*cogen* has been proved correct before.

1 Introduction

Cps-based specializers are more powerful than ds-based specializers. For example, a cps-*specializer* straightforwardly specializes $((\text{let } y = \dots \text{ in } \lambda x. x + x + y) \ 7)$ into $(\text{let } y = \dots \text{ in } 14 + y)$ when the let-expression is non-unfoldable. The cps-*specializer* is able to do so because it explicitly manipulates a context: a cps-*specializer* is able to move the context “apply to 7” across the let-binding into the let-body.

In this paper we show how to hand-write a cps-based *cogen*. We derive the handwritten cps-*cogen* from a (handwritten) cps-*specializer*. However, to make it easier to follow the derivation, we first show how to derive (and prove correctness of) a handwritten ds-*cogen* C_d from a (handwritten) ds-*specializer* S_d : the ds-based *cogen* is much simpler to derive than the cps-based *cogen*. Then we derive and prove correctness of the handwritten cps-*cogen* C_{cp} from a (handwritten) cps-*specializer* S_{cp} . See the horizontal arrows in Figure 1.

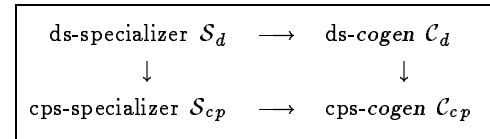


Figure 1: Overview

The cps-*specializer* S_{cp} can be derived from the ds-*specializer* S_d (the leftmost vertical arrow in Figure 1) [Bon92]. We shall derive the cps-*cogen* C_{cp} from the cps-*specializer* S_{cp} . In Section 4 we briefly discuss how to derive C_{cp} from C_d instead (rightmost vertical arrow); this derivation is relevant if one is to hand-write a cps-*cogen* for a language where a handwritten ds-*cogen* already exists.

We shall consider specialization similar to the one of *Lambda-mix* [GJ91]. In this paper we only consider a source language consisting of the *strict* (call-by-value) weak-head normal form pure lambda calculus (variables, λ -abstraction and application) extended with a let-construct, see Figure 2.

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We include the `let`-construct in the source language to cover a form that cps-based specialization treats better than ds-specialization does [Bon92].

$$\begin{array}{l} \text{Variable} = \text{String}; \quad e \in \text{Expression}; v \in \text{Variable} \\ e ::= \text{Var } v \mid \text{Lam } v \ e_1 \mid \text{App } e_1 \ e_2 \mid \text{Let } v \ e_1 \ e_2 \end{array}$$

Figure 2: Abstract syntax of source language

In an extended version of the paper, we will also cover the remaining constructs from Lambda-mix (constants, conditionals and `fix`), as well as primitive operations and operations on tuples. Conditionals are interesting as a cps-specializer, contrasting to a ds-specializer, is able to handle conditionals with dynamic test but static branches [Bon92]. Operations on tuples are interesting as they illustrate the coding problem that arises when writing a specializer `mix`, but not when hand-writing `cogen`. Tuples are as easy to handle in a handwritten cps-`cogen` as in a handwritten ds-`cogen`: no particular problems with tuples arise due to cps.

When hand-writing `cogen`, we shall need some abstract syntax constructors in addition to `Var`, `Lam`, `App` and `Let`. These additional constructors are `Var \diamond` , `Fresh`, `Lam \diamond` , `App \diamond` and `Let \diamond` . The semantics of the source language, extended with these additional forms, is given in Figure 3. The meta-language used in this paper is strict: λ - and `let`-forms are thus strict as well as environment updates $\rho[\dots \mapsto \dots]$. Notice that `fresh()` generates a fresh variable name (a string) and that the forms `Lam \diamond` , `App \diamond` and `Let \diamond` are used to generate expressions rather than values as `Lam`, `App` and `Let` do.

$$\begin{array}{l} \mathcal{E} : \text{Expression} \times (\text{Variable} \rightarrow \text{Value}) \rightarrow \text{Value} \\ \mathcal{E}[\text{Var } v]\rho = \rho v \\ \mathcal{E}[\text{Lam } v \ e_1]\rho = \lambda w. \mathcal{E}[\![e_1]\!]\rho[v \mapsto w] \\ \mathcal{E}[\text{App } e_1 \ e_2]\rho = (\mathcal{E}[\![e_1]\!]\rho)(\mathcal{E}[\![e_2]\!]\rho) \\ \mathcal{E}[\text{Let } v \ e_1 \ e_2]\rho = \mathcal{E}[\![e_2]\!]\rho[v \mapsto \mathcal{E}[\![e_1]\!]\rho] \\ \mathcal{E}[\text{Var}\diamond v]\rho = \text{Var}(\rho v) \\ \mathcal{E}[\text{Fresh}]\rho = \text{fresh}() \\ \mathcal{E}[\text{Lam}\diamond e_1 \ e_2]\rho = \text{Lam}(\mathcal{E}[\![e_1]\!]\rho)(\mathcal{E}[\![e_2]\!]\rho) \\ \mathcal{E}[\text{App}\diamond e_1 \ e_2]\rho = \text{App}(\mathcal{E}[\![e_1]\!]\rho)(\mathcal{E}[\![e_2]\!]\rho) \\ \mathcal{E}[\text{Let}\diamond e_1 \ e_2 \ e_3]\rho = \text{Let}(\mathcal{E}[\![e_1]\!]\rho)(\mathcal{E}[\![e_2]\!]\rho)(\mathcal{E}[\![e_3]\!]\rho) \end{array}$$

Figure 3: Semantics of extended source language

Programs to be partially evaluated will be annotated and written in a *two-level* language [NN88, GJ91]. The two-level language is specified in Figure 4. Each of the compound forms now exist in two versions, a static version (e.g. `Lam` $v \ t_1$) and a dynamic version (e.g. `Lam \diamond` $v \ t_1$). The static versions will be reduced at partial evaluation time, and code will be emitted for the dynamic versions.

It turns out to be helpful for cps-based specialization that all source expression variables have distinct names. In the rest of this paper, variable t therefore only ranges over two-level expressions where all variables names are different (variables names can always be made distinct by α -conversion).

Only programs that are *well-annotated* may be specialized. Type rules for checking well-annotatedness are given

$$\begin{array}{l} t \in 2\text{Expression}; v \in \text{Variable} \\ t ::= \text{Var } v \mid \text{Lam } v \ t_1 \mid \text{App } t_1 \ t_2 \mid \text{Let } v \ t_1 \ t_2 \mid \\ \quad \underline{\text{Lam}} \ v \ t_1 \mid \underline{\text{App}} \ t_1 \ t_2 \mid \underline{\text{Let}} \ v \ t_1 \ t_2 \end{array}$$

Figure 4: Syntax of two-level language

in [GJ91] (not for the `let`-form, though, but it is simple to add). Annotating programs can be done automatically by *binding-time analysis*, see e.g. [Gom90, Hen91].

2 Direct style

Figure 5 specifies the ds-specializer S_d . Specializer S_d is a part of the Lambda-mix specializer T from Appendix A of the paper [GJ91], extended with (straightforward) rules for the static and dynamic `let`-forms. Notice that domain 2Value is equal to domain Value since Value already includes the forms generated when evaluating the forms `Lam \diamond` , `App \diamond` and `Let \diamond` (Figure 3).

$$\begin{array}{l} S_d : 2\text{Expression} \times (\text{Variable} \rightarrow 2\text{Value}) \rightarrow 2\text{Value} \\ S_d[\text{Var } v]\rho = \rho v \\ S_d[\text{Lam } v \ t_1]\rho = \lambda w. S_d[\![t_1]\!]\rho[v \mapsto w] \\ S_d[\text{App } t_1 \ t_2]\rho = (S_d[\![t_1]\!]\rho)(S_d[\![t_2]\!]\rho) \\ S_d[\text{Let } v \ t_1 \ t_2]\rho = S_d[\![t_2]\!]\rho[v \mapsto S_d[\![t_1]\!]\rho] \\ S_d[\underline{\text{Lam}} \ v \ t_1]\rho = \text{let } n = \text{fresh}() \\ \quad \text{in } \text{Lam } n (S_d[\![t_1]\!]\rho[v \mapsto \text{Var } n]) \\ S_d[\underline{\text{App}} \ t_1 \ t_2]\rho = \text{App}(S_d[\![t_1]\!]\rho)(S_d[\![t_2]\!]\rho) \\ S_d[\underline{\text{Let}} \ v \ t_1 \ t_2]\rho = \text{let } n = \text{fresh}() \\ \quad \text{in } \text{Let } n (S_d[\![t_1]\!]\rho)(S_d[\![t_2]\!]\rho[v \mapsto \text{Var } n]) \end{array}$$

Figure 5: Ds-specializer

Notice that ds-specializer S_d cannot specialize forms such as $t = \text{App}(\underline{\text{Let}} \ v_1 \dots (\text{Lam } v_2 \dots))(\text{Var } v_3)$ as S_d requires the body of a `Let`-form to specialize to an expression: the result of S_d 's call $S_d[\![t_2]\!]\rho[v \mapsto \text{Var } n]$ must be an expression as it is an argument to the abstract syntax constructor `Let`. But S_d specializes `Lam` $v_2 \dots$ to a function $\lambda w. \dots$, not to an expression, so expression t is not well-annotated with respect to S_d . To specialize the expression, the annotations should be $\underline{\text{App}}(\underline{\text{Let}} \ v_1 \dots (\underline{\text{Lam}} \ v_2 \dots))(\text{Var } v_3)$ (as it also follows from the well-annotatedness rules of [GJ91]); being underlined, the application would consequently not be β -reduced by S_d during specialization.

We now present a ds-`cogen` C_d derived from the ds-specializer S_d ; see Figure 6. Essentially, instead of *performing* what S_d does, compiler generator C_d *generates code* that will perform the same operations *when evaluated* (by \mathcal{E}). For example, specializer S_d performs an application when treating `App`-forms, but C_d generates an `App`-expression which, when evaluated, performs an application. And, where S_d generates an `App`-expression when treating `App`-forms, compiler generator C_d generates an `App \diamond` -expression which, when evaluated, generates an `App`-expression.

Notice that C_d takes no environment (ρ) argument. Avoiding environment manipulation is possible by reusing source variable names in the treatments of `Lam`, `Let`, `Lam \diamond`

$C_d : 2Expression \rightarrow Expression$
$C_d \llbracket Var\ v \rrbracket = Var\ v$
$C_d \llbracket Lam\ v\ t_1 \rrbracket = Lam\ v\ (C_d \llbracket t_1 \rrbracket)$
$C_d \llbracket App\ t_1\ t_2 \rrbracket = App\ (C_d \llbracket t_1 \rrbracket)\ (C_d \llbracket t_2 \rrbracket)$
$C_d \llbracket Let\ v\ t_1\ t_2 \rrbracket = Let\ v\ (C_d \llbracket t_1 \rrbracket)\ (C_d \llbracket t_2 \rrbracket)$
$C_d \llbracket \underline{Lam}\ v\ t_1 \rrbracket = Let\ m\ Fresh\ (Let\ v\ (Var\Diamond m)\ (Lam\Diamond (Var\ m)\ (C_d \llbracket t_1 \rrbracket)))$
$C_d \llbracket \underline{App}\ t_1\ t_2 \rrbracket = App\Diamond\ (C_d \llbracket t_1 \rrbracket)\ (C_d \llbracket t_2 \rrbracket)$
$C_d \llbracket \underline{Let}\ v\ t_1\ t_2 \rrbracket = Let\ m\ Fresh\ (Let\ v\ (Var\Diamond m)\ (Let\Diamond (Var\ m)\ (C_d \llbracket t_1 \rrbracket)\ (C_d \llbracket t_2 \rrbracket)))$

Figure 6: *Ds-cogen*

and Let (notice e.g. how S_d 's *Lam*-rule $\lambda w. S_d \llbracket t_1 \rrbracket \rho [v \mapsto w]$ turns into $Lam\ v\ (C_d \llbracket t_1 \rrbracket)$ in C_d : source name v is used instead of w whereby the binding $[v \mapsto w]$ can be ignored), but it is non-trivial to see that this does not lead to unexpected name clashes. The reason is briefly that C_d performs no symbolic unfolding and thus preserves the scoping structure of the source program. The handwritten compiler generators [HL91, BW93] did not manipulate environments either (but no correctness proofs were given there). Compiler generators generated by self-application do manipulate environments (see e.g. [GJ91]) and thus they are less efficient than the handwritten ones.

The following theorem states that the handwritten *cogen* C_d is indeed correct with respect to the specialized S_d (and in particular this also proves that the environment-free treatment of variables in C_d is correct). The theorem states that evaluating the code generated by C_d in environment ρ yields the same result as specializing by S_d (in environment ρ):

THEOREM 1 (Correctness of *ds-cogen*)

$$\forall t, \rho : \mathcal{E} \llbracket C_d \llbracket t \rrbracket \rrbracket \rho = S_d \llbracket t \rrbracket \rho$$

PROOF: By structural induction over two-level expressions. See Appendix A.1 for details. \square

3 Continuation passing style

Figure 7 contains a cps-specializer S_{cp} , derived from S_d by (non-standard) cps-transformation as described in [Bon92]; continuation ι is the identity continuation $\lambda x. x$. The cps-specializer S_{cp} is more powerful than the ds-specializer S_d : it does not constrain the annotations of the body of Let-forms (the type rule for checking well-annotatedness for Let-forms is consequently more liberal for cps-based specialization than for ds-specialization). For example, specializer S_{cp} is able to specialize the form $App\ (\underline{Let}\ v_1 \dots (Lam\ v_2 \dots))\ (Var\ v_3)$, hence β -reducing the application during specialization (contrasting to S_d , cf. Section 2).

Notice that the identity continuation ι is used not only to initialize, but also when treating Lam-forms. This non-standard “impure” form of cps turns out to be necessary to allow the desired liberal treatment of Let-forms, propagating κ “over the let-binding”. The more pure cps-code $let\ n=fresh()\ in\ S_{cp} \llbracket t_1 \rrbracket \rho [v \mapsto n](\lambda x. \kappa (Lam\ n\ x))$ that one might have expected in the Lam-rule thus gives an *incorrect* result if the lambda-body t_1 is a Let-form. Indeed, the let- and λ -bindings are reversed. In short, the problem is

that continuations that dump their argument in the body-position of a generated lambda-expression are not allowed to be propagated over the binding when specializing Let-forms; the continuation $\lambda x. \kappa (Lam\ n\ x)$ is such a disallowed form. The code in Figure 7 does not contain any such “ill-behaved” continuations. We refer to [Bon92] for further details.

We are now ready to present the handwritten *cps-cogen* C_{cp} , see Figure 8. Compiler generator C_{cp} is derived in the same way from S_{cp} as C_d was derived from S_d : instead of *performing* what S_{cp} does, C_{cp} generates code that will perform the same operations when evaluated. Deriving the C_{cp} -rules for Lam and App involves some additional steps that have no analogue in the C_d -derivation; these steps will be described below. Notice that similarly to C_d , compiler generator C_{cp} performs no operations on environments, contrasting to what a compiler generator generated by self-application would do. Also notice that C_{cp} has a continuation argument: we want C_{cp} to perform continuation reductions already at *cogen*-time rather than suspending all continuation processing to appear in the programs generated by *cogen* (such a simpler *cps-cogen* can be written, but it is certainly less interesting).

We shall now explain why the Lam- and App-rules look the way they do. At a first try, we might optimistically have written the Lam- and App-rules in the following more “natural” way:

$$C_{cp} \llbracket Lam\ v\ t_1 \rrbracket \kappa = \kappa (Lam\ v\ (C_{cp} \llbracket t_1 \rrbracket))$$

$$C_{cp} \llbracket App\ t_1\ t_2 \rrbracket \kappa = C_{cp} \llbracket t_1 \rrbracket (\lambda x. C_{cp} \llbracket t_2 \rrbracket (\lambda y. App\ (App\ x\ y)\ \kappa))$$

Let us first consider the incorrect Lam-rule. Notice that $C_{cp} \llbracket t_1 \rrbracket$ is a *function* (from continuations to expressions) whereas the second argument to constructor Lam must be an *expression* of type *Expression*. We can fix this problem by a special two-level η -expansion that converts a function to an expression (a λ -form into a Lam-form): $f \mapsto Lam\ n\ (f\ (Var\ n))$ where n is fresh to avoid name shadowing. Instead of $C_{cp} \llbracket t_1 \rrbracket$, we would thus write $Lam\ n\ (C_{cp} \llbracket t_1 \rrbracket\ (Var\ n))$. But now there is a problem with the expression $C_{cp} \llbracket t_1 \rrbracket\ (Var\ n)$ as C_{cp} 's second argument must be a function (a continuation), not an expression such as $Var\ n$. We therefore perform another kind of two-level η -expansion, this time converting an expression into a function: $e \mapsto \lambda x. App\ e\ x$. We then obtain $C_{cp} \llbracket t_1 \rrbracket (\lambda x. App\ (Var\ n)\ x)$. The Lam-rule of Figure 8 has now emerged.

In a similar way, the App-rule of Figure 8 is obtained from the incorrect one by η -expanding κ in the incorrect expression $App\ (App\ x\ y)\ \kappa$ into $Lam\ n\ (\kappa\ (Var\ n))$; App's second argument must be an expression, not a function.

$$\begin{aligned}
S_{cp} &: 2Expression \times (Variable \rightarrow 2Value) \times (2Value \rightarrow 2Value) \rightarrow 2Value \\
S_{cp} \llbracket Var \ v \rrbracket \rho \kappa &= \kappa(\rho \ v) \\
S_{cp} \llbracket Lam \ v \ t_1 \rrbracket \rho \kappa &= \kappa(\lambda w. S_{cp} \llbracket t_1 \rrbracket \rho[v \mapsto w]) \\
S_{cp} \llbracket App \ t_1 \ t_2 \rrbracket \rho \kappa &= S_{cp} \llbracket t_1 \rrbracket \rho(\lambda x. S_{cp} \llbracket t_2 \rrbracket \rho(\lambda y. (x \ y) \ \kappa)) \\
S_{cp} \llbracket Let \ v \ t_1 \ t_2 \rrbracket \rho \kappa &= S_{cp} \llbracket t_1 \rrbracket \rho(\lambda x. S_{cp} \llbracket t_2 \rrbracket \rho[v \mapsto x] \kappa) \\
S_{cp} \llbracket Lam \ v \ t_1 \rrbracket \rho \kappa &= \kappa(\text{let } n = \text{fresh}() \text{ in } Lam \ n (S_{cp} \llbracket t_1 \rrbracket \rho[v \mapsto Var \ n] \iota)) \\
S_{cp} \llbracket App \ t_1 \ t_2 \rrbracket \rho \kappa &= S_{cp} \llbracket t_1 \rrbracket \rho(\lambda x. S_{cp} \llbracket t_2 \rrbracket \rho(\lambda y. \kappa(App \ x \ y))) \\
S_{cp} \llbracket Let \ v \ t_1 \ t_2 \rrbracket \rho \kappa &= S_{cp} \llbracket t_1 \rrbracket \rho(\lambda x. \text{let } n = \text{fresh}() \text{ in } Let \ n \ x (S_{cp} \llbracket t_2 \rrbracket \rho[v \mapsto Var \ n] \kappa))
\end{aligned}$$

Figure 7: Cps-specializer

$$\begin{aligned}
C_{cp} &: 2Expression \times (Expression \rightarrow Expression) \rightarrow Expression \\
C_{cp} \llbracket Var \ v \rrbracket \kappa &= \kappa(Var \ v) \\
C_{cp} \llbracket Lam \ v \ t_1 \rrbracket \kappa &= \kappa(Lam \ v (\text{let } n = \text{fresh}() \text{ in } Lam \ n (C_{cp} \llbracket t_1 \rrbracket (\lambda x. App \ (Var \ n) \ x)))) \\
C_{cp} \llbracket App \ t_1 \ t_2 \rrbracket \kappa &= C_{cp} \llbracket t_1 \rrbracket (\lambda x. C_{cp} \llbracket t_2 \rrbracket (\lambda y. App \ (App \ x \ y) (\text{let } n = \text{fresh}() \text{ in } Lam \ n (\kappa(Var \ n))))) \\
C_{cp} \llbracket Let \ v \ t_1 \ t_2 \rrbracket \kappa &= C_{cp} \llbracket t_1 \rrbracket (\lambda x. Let \ v \ x (C_{cp} \llbracket t_2 \rrbracket \kappa)) \\
C_{cp} \llbracket Lam \ v \ t_1 \rrbracket \kappa &= \kappa(Let \ Fresh \ (Let \ v \ (Var \diamond m) (Lam \diamond (Var \ m) (C_{cp} \llbracket t_1 \rrbracket \iota))) \\
C_{cp} \llbracket App \ t_1 \ t_2 \rrbracket \kappa &= C_{cp} \llbracket t_1 \rrbracket (\lambda x. C_{cp} \llbracket t_2 \rrbracket (\lambda y. \kappa(App \diamond x \ y))) \\
C_{cp} \llbracket Let \ v \ t_1 \ t_2 \rrbracket \kappa &= C_{cp} \llbracket t_1 \rrbracket (\lambda x. Let \ m \ Fresh \ (Let \ v \ (Var \diamond m) (Let \diamond (Var \ m) x (C_{cp} \llbracket t_2 \rrbracket \kappa)))
\end{aligned}$$

Figure 8: Cps-cogen

The η -expansions used here resemble the η -conversions used in [DF92] to separate “administrative” from “non-administrative” continuations in cps-transformation. Also, similar η -conversions were used for *binding-time improvements* in [Bon91].

We note that expression $Lam \ n (\kappa(Var \ n))$ in the App -rule generates continuations that are present in the programs generated by C_{cp} . Thus, even though C_{cp} performs continuation processing (β -reductions), it also generates code that still contains (some) continuation processing. This is again analogue to the distinction between “administrative” and “non-administrative” continuations in cps-transformations: only administrative continuations can be β -reduced during cps-transformation.

To prove correctness of C_{cp} with respect to S_{cp} , we must prove the following: for all t and ρ , it holds that $\mathcal{E} \llbracket C_{cp} \llbracket t \rrbracket \iota \rrbracket \rho = S_{cp} \llbracket t \rrbracket \rho \iota$. That is, evaluating the expression generated by C_{cp} in some environment ρ gives the same result as specializing t in the same environment. Both C_{cp} and S_{cp} are initially called with the identity continuation ι . To prove this equality inductively, we need a more general theorem that holds not only when the continuations are ι . Can we hope to simply replace ι by κ and then expect that the equality holds for all κ ? The answer is unfortunately “no”. The reason is simple: the type of S_{cp} ’s continuation parameter is $2Value \rightarrow 2Value$ whereas the type of C_{cp} ’s continuation parameter is $Expression \rightarrow Expression$. However, given a C_{cp} -type continuation κ , we can construct a S_{cp} -type continuation: $\lambda a. \text{let } m = \text{fresh}() \text{ in } \mathcal{E} \llbracket \kappa(Var \ m) \rrbracket \rho[m \mapsto a]$. The idea here is to evaluate the expression generated by applying κ to an argument, taking care not to evaluate a which already is a $2Value$ (this is the reason why the continuation is *not* simply $\lambda a. \mathcal{E} \llbracket \kappa \ a \rrbracket \rho$). This leads to the following correctness theorem.

THEOREM 2 (Correctness of cps-cogen)

$$\forall t, \rho, \kappa : \mathcal{E} \llbracket C_{cp} \llbracket t \rrbracket \kappa \rrbracket \rho = S_{cp} \llbracket t \rrbracket \rho(\lambda a. \text{let } m = \text{fresh}() \text{ in } \mathcal{E} \llbracket \kappa(Var \ m) \rrbracket \rho[m \mapsto a])$$

PROOF: By structural induction over two-level expressions. See Appendix A.2 for details. \square

In this theorem, as well as in Appendix A.2, we implicitly assume some restrictions on κ when quantifying by $\forall t, \dots, \kappa \dots$: continuation κ must be related to two-level expression t in the sense that κ only ranges over those continuations that are generated when computing $C_{cp} \llbracket t_1 \rrbracket \iota$ where t_1 is a subexpression of t_1 . That is, we only consider the *relevant* continuations, not all continuations. Notice that the identity continuation ι is a relevant continuation (possible value for κ).

The desired correctness property now follows as a corollary:

COROLLARY 3 (Correctness of cps-cogen)

$$\forall t, \rho : \mathcal{E} \llbracket C_{cp} \llbracket t \rrbracket \iota \rrbracket \rho = S_{cp} \llbracket t \rrbracket \rho \iota$$

PROOF: Follows from Theorem 2 since

$$\begin{aligned}
&\lambda a. \text{let } m = \text{fresh}() \text{ in } \mathcal{E} \llbracket \iota(Var \ m) \rrbracket \rho[m \mapsto a] \stackrel{\beta}{=} \\
&\lambda a. \text{let } m = \text{fresh}() \text{ in } \mathcal{E} \llbracket Var \ m \rrbracket \rho[m \mapsto a] \stackrel{\mathcal{E}}{=} \\
&\lambda a. \text{let } m = \text{fresh}() \text{ in } a \stackrel{\text{Lemma 8}}{=} \lambda a. a = \iota \quad \square
\end{aligned}$$

(Lemma 8 can be found in Appendix A.2.) In the proof of Theorem 2, a number of lemmas are used; these are found in Appendix A.2. It is worth noticing that the lemmas only hold when t and κ are restricted as described earlier: all variable names in t must be distinct (α -conversion, cf. Section 1), and κ must be relevant.

In retrospect, when comparing C_d and C_{cp} , we notice that C_{cp} could have been derived from C_d rather than from S_{cp} : by cps-transforming the C_d , taking into account to use the non-standard cps Lam-rule, and performing appropriate η -expansions for the Lam- and App-rules. This way of deriving S_{cp} might be useful in a context where a handwritten ds-cogen already exists, for example if one were to write a cps-cogen for the ML-cogen described in [BW93]. We believe that this can be done without great difficulty.

5 Related work

Already in the REDFUN-project was a cogen for a subset of Lisp written by hand [BHOS76]. The motivation was that the specializer could not be self-applied.

In [Hol89], a handwritten cogen was based on macro expansion. In the paper [HL91], a ds-cogen for a statically typed language is described. The ideas from [HL91] were used for hand-writing a ds-cogen for a subset of Standard ML [BW93].

Quite recently the work by Lawall and Danvy in [LD94] came to our attention. Lawall and Danvy show how the cps-specializer from [Bon92] can be almost automatically derived from a ds-specializer by inserting the control operators shift and reset (see [DF90]) at selected places and cps converting the resulting specialiser. They also devote some attention to how their ideas could be used in the context of a handwritten cogen.

6 Conclusion

We have demonstrated how an efficient cps-based cogen can be written by hand. The handwritten cogen performs no environment manipulations, contrasting to cogens generated by self-applying specializers. The cps-cogen is derived naturally from a cps-specializer, except that some non-standard η -expansions are needed in the treatment of Lam- and App-forms to shift between functions and expressions. We have given correctness proofs for the cps-cogen as well as for a ds-cogen.

We believe that our handwritten cogen is a good starting point for hand-writing cps-based cogens for larger strict functional languages. Our work does not immediately carry over to lazy languages as the cps-transformation we have used is the strict cps-transformation. However, it is plausible that a similar development could be made for a lazy language using call-by-name cps-transformation (with loss of sharing as a consequence).

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A Proofs of the theorems 1 and 2

Both proofs are by induction over t ; the case analysis is over the syntactic forms specified in Figure 4. All equalities are annotated to explain why equality holds. Notice that β - and η -equalities are used: β/η do not in general hold for the

typed (C_d and S_d are both simply typed) strict weak-head normal form lambda-calculus. β/η thus only hold when termination properties do not change; we only use β/η when this is the case. We use β -abstraction to prevent duplicating expressions of form *fresh*(). Also notice that in both proofs we rely on the fact that the variable m , introduced in the Lam- and Let-rule in both ds- and cps-cogen, is unique: m does not occur in input programs and can not be generated by application of *fresh*(). By construction it is assured that $\forall t$: neither $C_{cp} \llbracket t \rrbracket \kappa$ (where κ is relevant) nor $C_d \llbracket t \rrbracket$ contains m as a free variable, nor that any definition of m shadows another definition of m (see Figure 6 and Figure 8).

A.1 Proof of Theorem 1

See Figure 9.

A.2 Proof of Theorem 2

We first give the lemmas needed for the inductive proof of Theorem 2. Notice that Lemma 8 was also used in the proof of Corollary 3. We use M and E to range over meta-expressions (as opposed to e that ranges over object expressions). Recall (Section 3) that only two-level expressions t with all variable names distinct and only well-behaved continuations κ are considered when quantifying over t and κ .

LEMMA 4 (Environment simplification)

$\forall t, \kappa$: if v is bound in t then

$$\begin{aligned} \forall \rho : \text{let } m = \text{fresh}() \text{ in } \mathcal{E} \llbracket \kappa (Var\ m) \rrbracket \rho [v \mapsto \dots] = \\ \text{let } m = \text{fresh}() \text{ in } \mathcal{E} \llbracket \kappa (Var\ m) \rrbracket \rho \end{aligned}$$

that is, term $\kappa (Var\ m)$ will not contain any free occurrences of v .

PROOF: Continuation κ is generated independently of t , so when applied to $(Var\ m)$ it cannot (since all source variable names are distinct) generate expressions with any (and hence no free) v -occurrences. \square

LEMMA 5 (Extracting out κ 's argument)

$\forall t, \kappa$: if t is one of the forms $Var\ v$, $Lam\ v\ t_1$, Lam $v\ t_1$ or App $t_1\ t_2$ then, when computing $C_{cp} \llbracket t \rrbracket \kappa$, the following equality holds for (all relevant instances of) the expressions $\kappa\ E$ in the right-hand sides of the sides of the rules for Var, Lam, Lam and App:

$$\begin{aligned} \forall \rho : \mathcal{E} \llbracket \kappa\ E \rrbracket \rho = \\ (\lambda a. \text{let } m = \text{fresh}() \text{ in } \mathcal{E} \llbracket \kappa (Var\ m) \rrbracket \rho [m \mapsto a]) (\mathcal{E} \llbracket E \rrbracket \rho) \end{aligned}$$

PROOF: First notice that since $\rho [m \mapsto a]$ is strict in a , we may β -reduce $(\lambda a. \dots) (\mathcal{E} \llbracket E \rrbracket \rho)$. We thus have to prove $\mathcal{E} \llbracket \kappa\ E \rrbracket \rho = \text{let } m = \text{fresh}() \text{ in } \mathcal{E} \llbracket \kappa (Var\ m) \rrbracket \rho [m \mapsto \mathcal{E} \llbracket E \rrbracket \rho]$. We shall refer to the left- and right-hand sides of this equality as lhs and rhs below.

Let e be the value of (meta-)expression E , let e_1 be the value of (meta-)expression $\kappa\ E$, and let e_2 be the value of (meta-)expression $\kappa (Var\ m)$; notice from the type of κ (Figure 8) that the values e , e_1 and e_2 are all expressions. It then holds that e_1 always contains at least one leaf which is a copy of e , and this leaf is always placed in a strict position, i.e. when evaluating e_1 , e is guaranteed also to be evaluated ("evaluation" is done by \mathcal{E}); apart from the e -leaves, the rest of e_1 is independent of e . These properties of e_1 are easily inductively proved by considering all possible relevant continuations κ .

$$\begin{aligned}
& \mathcal{E}[\llbracket C_d \llbracket \text{Var } v \rrbracket \rrbracket \rho] \stackrel{C_d}{=} \mathcal{E}[\llbracket \text{Var } v \rrbracket \rho] \stackrel{\varepsilon}{=} \rho \stackrel{S_d}{=} S_d[\llbracket \text{Var } v \rrbracket \rho]. \\
& \mathcal{E}[\llbracket C_d \llbracket \text{Lam } v \ t_1 \rrbracket \rrbracket \rho] \stackrel{C_d}{=} \mathcal{E}[\llbracket \text{Lam } v \ (C_d \llbracket t_1 \rrbracket) \rrbracket \rho] \stackrel{\varepsilon}{=} \lambda w. \mathcal{E}[\llbracket (C_d \llbracket t_1 \rrbracket) \rrbracket \rho[v \mapsto w]] \stackrel{\text{induction}}{=} \lambda w. S_d[\llbracket t_1 \rrbracket \rho[v \mapsto w]] \stackrel{S_d}{=} \\
& \quad S_d[\llbracket \text{Lam } v \ t_1 \rrbracket \rho]. \\
& \mathcal{E}[\llbracket C_d \llbracket \text{App } t_1 \ t_2 \rrbracket \rrbracket \rho] \stackrel{C_d}{=} \mathcal{E}[\llbracket \text{App } (C_d \llbracket t_1 \rrbracket) \ (C_d \llbracket t_2 \rrbracket) \rrbracket \rho] \stackrel{\varepsilon}{=} (\mathcal{E}[\llbracket C_d \llbracket t_1 \rrbracket \rrbracket \rho]) (\mathcal{E}[\llbracket C_d \llbracket t_2 \rrbracket \rrbracket \rho]) \stackrel{2 \text{ inductions}}{=} \\
& \quad (S_d[\llbracket t_1 \rrbracket \rho]) (S_d[\llbracket t_2 \rrbracket \rho]) \stackrel{S_d}{=} S_d[\llbracket \text{App } t_1 \ t_2 \rrbracket \rho]. \\
& \mathcal{E}[\llbracket C_d \llbracket \text{Let } v \ t_1 \ t_2 \rrbracket \rrbracket \rho] \stackrel{C_d}{=} \mathcal{E}[\llbracket \text{Let } v \ (C_d \llbracket t_1 \rrbracket) \ (C_d \llbracket t_2 \rrbracket) \rrbracket \rho] \stackrel{\varepsilon}{=} \mathcal{E}[\llbracket C_d \llbracket t_2 \rrbracket \rrbracket \rho[v \mapsto \mathcal{E}[\llbracket C_d \llbracket t_1 \rrbracket \rrbracket \rho]]] \stackrel{2 \text{ inductions}}{=} \\
& \quad S_d[\llbracket t_2 \rrbracket \rho[v \mapsto S_d[\llbracket t_1 \rrbracket \rho]]] \stackrel{S_d}{=} S_d[\llbracket \text{Let } v \ t_1 \ t_2 \rrbracket \rho]. \\
& \mathcal{E}[\llbracket C_d \llbracket \text{Lam } v \ t_1 \rrbracket \rrbracket \rho] \stackrel{C_d}{=} \mathcal{E}[\llbracket \text{Let } m \text{ Fresh } (\text{Let } v \ (\text{Var } \diamond m) \ (\text{Lam } \diamond (\text{Var } m) \ (C_d \llbracket t_1 \rrbracket))) \rrbracket \rho] \stackrel{\varepsilon}{=} \\
& \quad \mathcal{E}[\llbracket \text{Let } v \ (\text{Var } \diamond m) \ (\text{Lam } \diamond (\text{Var } m) \ (C_d \llbracket t_1 \rrbracket)) \rrbracket \rho[m \mapsto \text{fresh}()]] \stackrel{\beta}{=} \\
& \quad \text{let } n = \text{fresh}() \text{ in } \mathcal{E}[\llbracket \text{Let } v \ (\text{Var } \diamond m) \ (\text{Lam } \diamond (\text{Var } m) \ (C_d \llbracket t_1 \rrbracket)) \rrbracket \rho[m \mapsto n]] \stackrel{\varepsilon}{=} \\
& \quad \text{let } n = \text{fresh}() \text{ in } \mathcal{E}[\llbracket \text{Lam } \diamond (\text{Var } m) \ C_d \llbracket t_1 \rrbracket \rrbracket \rho[m \mapsto n, v \mapsto \mathcal{E}[\llbracket \text{Var } \diamond m \rrbracket \rho[m \mapsto n]]]] \stackrel{\varepsilon; \varepsilon; \varepsilon}{=} \\
& \quad \text{let } n = \text{fresh}() \text{ in } \text{Lam } n \ (\mathcal{E}[\llbracket C_d \llbracket t_1 \rrbracket \rrbracket \rho[m \mapsto n, v \mapsto \text{Var } n]]) \stackrel{m \text{ not free in } C_d \llbracket t_1 \rrbracket}{=} \\
& \quad \text{let } n = \text{fresh}() \text{ in } \text{Lam } n \ (\mathcal{E}[\llbracket C_d \llbracket t_1 \rrbracket \rrbracket \rho[v \mapsto \text{Var } n]]) \stackrel{\text{induction}}{=} \\
& \quad \text{let } n = \text{fresh}() \text{ in } \text{Lam } n \ (S_d[\llbracket t_1 \rrbracket \rho[v \mapsto \text{Var } n]]) \stackrel{S_d}{=} S_d[\llbracket \text{Lam } v \ t_1 \rrbracket \rho]. \\
& \mathcal{E}[\llbracket C_d \llbracket \text{App } t_1 \ t_2 \rrbracket \rrbracket \rho] \stackrel{C_d}{=} \mathcal{E}[\llbracket \text{App } \diamond (C_d \llbracket t_1 \rrbracket) \ (C_d \llbracket t_2 \rrbracket) \rrbracket \rho] \stackrel{\varepsilon}{=} \text{App} (\mathcal{E}[\llbracket C_d \llbracket t_1 \rrbracket \rrbracket \rho]) (\mathcal{E}[\llbracket C_d \llbracket t_2 \rrbracket \rrbracket \rho]) \stackrel{2 \text{ inductions}}{=} \\
& \quad \text{App} (S_d[\llbracket t_1 \rrbracket \rho]) (S_d[\llbracket t_2 \rrbracket \rho]) \stackrel{S_d}{=} S_d[\llbracket \text{App } t_1 \ t_2 \rrbracket \rho]. \\
& \mathcal{E}[\llbracket C_d \llbracket \text{Let } v \ t_1 \ t_2 \rrbracket \rrbracket \rho] \stackrel{C_d}{=} \mathcal{E}[\llbracket \text{Let } m \text{ Fresh } (\text{Let } v \ (\text{Var } \diamond m) \ (\text{Let } \diamond (\text{Var } m) \ (C_d \llbracket t_1 \rrbracket) \ (C_d \llbracket t_2 \rrbracket))) \rrbracket \rho] \stackrel{\varepsilon}{=} \\
& \quad \mathcal{E}[\llbracket \text{Let } v \ (\text{Var } \diamond m) \ (\text{Let } \diamond (\text{Var } m) \ (C_d \llbracket t_1 \rrbracket) \ (C_d \llbracket t_2 \rrbracket)) \rrbracket \rho[m \mapsto \text{fresh}()]] \stackrel{\beta}{=} \\
& \quad \text{let } n = \text{fresh}() \text{ in } \mathcal{E}[\llbracket \text{Let } v \ (\text{Var } \diamond m) \ (\text{Let } \diamond (\text{Var } m) \ (C_d \llbracket t_1 \rrbracket) \ (C_d \llbracket t_2 \rrbracket)) \rrbracket \rho[m \mapsto n]] \stackrel{\varepsilon}{=} \\
& \quad \text{let } n = \text{fresh}() \text{ in } \mathcal{E}[\llbracket \text{Let } \diamond (\text{Var } m) \ (C_d \llbracket t_1 \rrbracket) \ (C_d \llbracket t_2 \rrbracket) \rrbracket \rho[m \mapsto n, v \mapsto \mathcal{E}[\llbracket \text{Var } \diamond m \rrbracket \rho[m \mapsto n]]]] \stackrel{\varepsilon; \varepsilon; \varepsilon}{=} \\
& \quad \text{let } n = \text{fresh}() \text{ in } \text{Let } n \ (\mathcal{E}[\llbracket C_d \llbracket t_1 \rrbracket \rrbracket \rho[m \mapsto n, v \mapsto \text{Var } n]]) \ (\mathcal{E}[\llbracket C_d \llbracket t_2 \rrbracket \rrbracket \rho[m \mapsto n, v \mapsto \text{Var } n]]) \stackrel{m \text{ not free in } C_d \llbracket t_1 \rrbracket, C_d \llbracket t_2 \rrbracket}{=} \\
& \quad \text{let } n = \text{fresh}() \text{ in } \text{Let } n \ (\mathcal{E}[\llbracket C_d \llbracket t_1 \rrbracket \rrbracket \rho[v \mapsto \text{Var } n]]) \ (\mathcal{E}[\llbracket C_d \llbracket t_2 \rrbracket \rrbracket \rho[v \mapsto \text{Var } n]]) \stackrel{2 \text{ inductions}}{=} \\
& \quad \text{let } n = \text{fresh}() \text{ in } \text{Let } n \ (S_d[\llbracket t_1 \rrbracket \rho[v \mapsto \text{Var } n]]) \ (S_d[\llbracket t_2 \rrbracket \rho[v \mapsto \text{Var } n]]) \stackrel{v \text{ not free in } t_1 \text{ (}\alpha\text{-conv.)}}{=} \\
& \quad \text{let } n = \text{fresh}() \text{ in } \text{Let } n \ (S_d[\llbracket t_1 \rrbracket \rho]) \ (S_d[\llbracket t_2 \rrbracket \rho[v \mapsto \text{Var } n]]) \stackrel{S_d}{=} S_d[\llbracket \text{Let } v \ t_1 \ t_2 \rrbracket \rho].
\end{aligned}$$

Figure 9: Correctness of ds-cogen

It now follows that lhs and rhs have identical termination properties (since e is always evaluated in e_1) and that e_1 and e_2 are identical, except at those leaves where e_1 contains e and e_2 contains the value of m (we shall be sloppy and just write m below). To prove lhs = rhs, we then just have to consider the differing leaves, i.e. we have to prove $\mathcal{E}[\llbracket e \rrbracket \rho[\dots]] = \mathcal{E}[\llbracket (\text{Var } m) \rrbracket \rho[m \mapsto \mathcal{E}[\llbracket e \rrbracket \rho, \dots]]]$ where $\rho[\dots]$ and $\rho[m \mapsto \mathcal{E}[\llbracket e \rrbracket \rho, \dots]]$ are the environments that \mathcal{E} will use when evaluating the $e/(\text{Var } m)$ leaves. But we know that $\mathcal{E}[\llbracket (\text{Var } m) \rrbracket \rho[m \mapsto \mathcal{E}[\llbracket e \rrbracket \rho, \dots]]] = \mathcal{E}[\llbracket e \rrbracket \rho]$ since m was fresh and hence is not shadowed in $\kappa(\text{Var } m)$. We thus have to prove $\mathcal{E}[\llbracket e \rrbracket \rho[\dots]] = \mathcal{E}[\llbracket e \rrbracket \rho]$ which holds if no free variables of e are shadowed (and rebound) in κe .

But no κ ever shadows any variable: the only relevant continuations which potentially may shadow free variables are the continuations $\lambda x. \text{Let } v \text{ Fresh } \dots$ generated by C_{cp} 's Let-rule. However, since all source variable names are distinct and since κ is relevant and hence has been generated independently of t_1 , variable x cannot possibly become bound

to any expression containing any (and hence no free) occurrences of variable v when computing $C_{cp}[\llbracket t_1 \rrbracket](\lambda x. \dots)$. \square

LEMMA 6 (Reordering λ and *let*)

$$\forall \kappa : \lambda a. \text{let } m = \text{fresh}() \text{ in } \mathcal{E}[\llbracket \kappa(\text{Var } m) \rrbracket \rho[m \mapsto a]] = \text{let } m = \text{fresh}() \text{ in } \lambda a. \mathcal{E}[\llbracket \kappa(\text{Var } m) \rrbracket \rho[m \mapsto a]]$$

PROOF: Both sides of the equality terminate equally often. The difference between the two expressions is then only that the left-hand side generates a different m each time the function is applied whereas the right-hand side uses the same m . But as the value of $\mathcal{E}[\llbracket \kappa(\text{Var } m) \rrbracket \rho[m \mapsto a]]$ is independent of which particular fresh variable m denotes, the equality follows. \square

LEMMA 7 (Reordering \mathcal{E} and *let*)

$$\forall E_1, E_2 : n \text{ not free in } E_2 \Rightarrow \mathcal{E}[\llbracket \text{let } n = \text{fresh}() \text{ in } E_1 \rrbracket E_2] = \text{let } n = \text{fresh}() \text{ in } \mathcal{E}[\llbracket E_1 \rrbracket E_2]$$

PROOF: Follows from strictness of \mathcal{E} in its first argument and that the *let*-form is strict. The condition “ n not free in E_2 ” ensures that no undesired shadowing occurs. \square

LEMMA 8 (Removing superfluous fresh variable generation)

$\forall M : M \text{ not free in } E \Rightarrow \text{let } M = \text{fresh}() \text{ in } E = E$

PROOF: Trivial as expression *fresh()* always terminates normally. \square

Let us now give the inductive proof of Theorem 2. We use the textual abbreviation μ for the continuation $(\lambda a. \text{let } m = \text{fresh}() \text{ in } \mathcal{E}[\kappa(\text{Var } m)]\rho[m \mapsto a])$ that occurs in Theorem 2 and in Lemma 5. For each possible t , we thus have to prove $\mathcal{E}[\llbracket C_{cp} \llbracket t \rrbracket \kappa \rrbracket \rho] = S_{cp} \llbracket t \rrbracket \rho \mu$. Notice that, using the abbreviation, Lemma 5 states that $\mathcal{E}[\llbracket \kappa E \rrbracket \rho] = \mu(\mathcal{E}[\llbracket E \rrbracket \rho])$.

For proof of theorem 2 see Figure 10 and Figure 11.

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$$\begin{aligned}
& \mathcal{E}[\llbracket \mathcal{C}_{cp} \llbracket \text{Var } v \rrbracket \kappa \rrbracket \rho] \stackrel{\mathcal{C}_{cp}}{=} \mathcal{E}[\llbracket \kappa (\text{Var } v) \rrbracket \rho] \stackrel{\text{Lemma 5}}{=} \mu (\mathcal{E} \llbracket \text{Var } v \rrbracket \rho) \stackrel{\mathcal{E}}{=} \mu (\rho \ v) \stackrel{\mathcal{S}_{cp}}{=} \mathcal{S}_{cp} \llbracket \text{Var } v \rrbracket \rho \mu. \\
& \mathcal{E}[\llbracket \mathcal{C}_{cp} \llbracket \text{Lam } v \ t_1 \rrbracket \kappa \rrbracket \rho] \stackrel{\mathcal{C}_{cp}}{=} \mathcal{E}[\llbracket \kappa (\text{Lam } v (\text{let } n = \text{fresh}() \text{ in } \text{Lam } n (\mathcal{C}_{cp} \llbracket t_1 \rrbracket (\lambda x . \text{App} (\text{Var } n) \ x)))) \rrbracket \rho] \stackrel{\text{Lemma 5}}{=} \\
& \quad \mu (\mathcal{E} \llbracket \text{Lam } v (\text{let } n = \text{fresh}() \text{ in } \text{Lam } n (\mathcal{C}_{cp} \llbracket t_1 \rrbracket (\lambda x . \text{App} (\text{Var } n) \ x))) \rrbracket \rho) \stackrel{\mathcal{E}; \text{Lemma 7}; \mathcal{E}}{=} \\
& \quad \mu (\lambda w . \text{let } n = \text{fresh}() \text{ in } \lambda u . \mathcal{E}[\llbracket \mathcal{C}_{cp} \llbracket t_1 \rrbracket (\lambda x . \text{App} (\text{Var } n) \ x) \rrbracket \rho [v \mapsto w, n \mapsto u]] \stackrel{\text{induction}}{=} \\
& \quad \mu (\lambda w . \text{let } n = \text{fresh}() \text{ in } \lambda u . \mathcal{S}_{cp} \llbracket t_1 \rrbracket \rho [v \mapsto w, n \mapsto u] (\lambda a . \text{let } m = \text{fresh}() \text{ in } \\
& \quad \quad \mathcal{E}[\llbracket (\lambda x . \text{App} (\text{Var } n) \ x) (\text{Var } m) \rrbracket \rho [v \mapsto w, n \mapsto u, m \mapsto a]]) \stackrel{n \text{ not free in } t_1; \beta; \mathcal{E}}{=} \\
& \quad \mu (\lambda w . \text{let } n = \text{fresh}() \text{ in } \lambda u . \mathcal{S}_{cp} \llbracket t_1 \rrbracket \rho [v \mapsto w] (\lambda a . \text{let } m = \text{fresh}() \text{ in } u \ a)) \stackrel{\text{Lemma 8 twice}}{=} \\
& \quad \mu (\lambda w . \lambda u . \mathcal{S}_{cp} \llbracket t_1 \rrbracket \rho [v \mapsto w] (\lambda a . u \ a)) \stackrel{\eta \text{ twice}}{=} \mu (\lambda w . \mathcal{S}_{cp} \llbracket t_1 \rrbracket \rho [v \mapsto w]) \stackrel{\mathcal{S}_{cp}}{=} \mathcal{S}_{cp} \llbracket \text{Lam } v \ t_1 \rrbracket \rho \mu. \\
& \mathcal{E}[\llbracket \mathcal{C}_{cp} \llbracket \text{App } t_1 \ t_2 \rrbracket \kappa \rrbracket \rho] \stackrel{\mathcal{C}_{cp}}{=} \\
& \quad \mathcal{E}[\llbracket \mathcal{C}_{cp} \llbracket t_1 \rrbracket (\lambda x . \mathcal{C}_{cp} \llbracket t_2 \rrbracket (\lambda y . \text{App} (\text{App } x \ y) (\text{let } n = \text{fresh}() \text{ in } \text{Lam } n (\kappa (\text{Var } n))))) \rrbracket \rho] \stackrel{\text{induction}}{=} \\
& \quad \mathcal{S}_{cp} \llbracket t_1 \rrbracket \rho (\lambda a . \text{let } m = \text{fresh}() \text{ in } \mathcal{E}[\llbracket (\lambda x . \mathcal{C}_{cp} \llbracket t_2 \rrbracket (\lambda y . \text{App} (\text{App } x \ y) (\text{let } n = \text{fresh}() \text{ in } \\
& \quad \quad \text{Lam } n (\kappa (\text{Var } n)))) (\text{Var } m) \rrbracket \rho [m \mapsto a]] \stackrel{\beta; \text{renaming } a \text{ to } x}{=} \\
& \quad \mathcal{S}_{cp} \llbracket t_1 \rrbracket \rho (\lambda x . \text{let } m = \text{fresh}() \text{ in } \mathcal{E}[\llbracket \mathcal{C}_{cp} \llbracket t_2 \rrbracket (\lambda y . \text{App} (\text{App} (\text{Var } m) \ y) (\text{let } n = \text{fresh}() \text{ in } \\
& \quad \quad \text{Lam } n (\kappa (\text{Var } n)))) \rrbracket \rho [m \mapsto x]] \stackrel{\text{induction}}{=} \\
& \quad \mathcal{S}_{cp} \llbracket t_1 \rrbracket \rho (\lambda x . \text{let } m = \text{fresh}() \text{ in } \mathcal{S}_{cp} \llbracket t_2 \rrbracket \rho [m \mapsto x] (\lambda a . \text{let } m' = \text{fresh}() \text{ in } \mathcal{E}[\llbracket (\lambda y . \text{App} (\text{App} (\text{Var } m) \ y) \\
& \quad \quad (\text{let } n = \text{fresh}() \text{ in } \text{Lam } n (\kappa (\text{Var } n))) (\text{Var } m') \rrbracket \rho [m \mapsto x, m' \mapsto a]]) \stackrel{m \text{ not free in } t_2; \beta; \mathcal{E}; \text{Lemma 7}; \mathcal{E}}{=} \\
& \quad \mathcal{S}_{cp} \llbracket t_1 \rrbracket \rho (\lambda x . \text{let } m = \text{fresh}() \text{ in } \mathcal{S}_{cp} \llbracket t_2 \rrbracket \rho (\lambda a . \text{let } m' = \text{fresh}() \text{ in } (x \ a) (\text{let } n = \text{fresh}() \text{ in } \lambda w . \mathcal{E}[\llbracket \kappa (\text{Var } n) \rrbracket \\
& \quad \quad \rho [m \mapsto x, m' \mapsto a, n \mapsto w]])) \stackrel{m, m' \text{ not free in } \kappa (\text{Var } n); \text{Lemma 8 twice}; \text{renaming } a \text{ to } y, w \text{ to } a, n \text{ to } m}{=} \\
& \quad \mathcal{S}_{cp} \llbracket t_1 \rrbracket \rho (\lambda x . \mathcal{S}_{cp} \llbracket t_2 \rrbracket \rho (\lambda y . (x \ y) (\text{let } m = \text{fresh}() \text{ in } \lambda a . \mathcal{E}[\llbracket \kappa (\text{Var } m) \rrbracket \rho [m \mapsto a]]))) \stackrel{\text{Lemma 6}}{=} \\
& \quad \mathcal{S}_{cp} \llbracket t_1 \rrbracket \rho (\lambda x . \mathcal{S}_{cp} \llbracket t_2 \rrbracket \rho (\lambda y . (x \ y) (\lambda a . \text{let } m = \text{fresh}() \text{ in } \mathcal{E}[\llbracket \kappa (\text{Var } m) \rrbracket \rho [m \mapsto a]]))) \stackrel{\mathcal{S}_{cp}}{=} \mathcal{S}_{cp} \llbracket \text{App } t_1 \ t_2 \rrbracket \rho \mu. \\
& \mathcal{E}[\llbracket \mathcal{C}_{cp} \llbracket \text{Let } v \ t_1 \ t_2 \rrbracket \kappa \rrbracket \rho] \stackrel{\mathcal{C}_{cp}}{=} \mathcal{E}[\llbracket \mathcal{C}_{cp} \llbracket t_1 \rrbracket (\lambda x . \text{Let } v \ x (\mathcal{C}_{cp} \llbracket t_2 \rrbracket \kappa)) \rrbracket \rho] \stackrel{\text{induction}}{=} \\
& \quad \mathcal{S}_{cp} \llbracket t_1 \rrbracket \rho (\lambda a . \text{let } m' = \text{fresh}() \text{ in } \mathcal{E}[\llbracket (\lambda x . \text{Let } v \ x (\mathcal{C}_{cp} \llbracket t_2 \rrbracket \kappa) (\text{Var } m') \rrbracket \rho [m' \mapsto a]] \stackrel{\beta; \mathcal{E}; \text{renaming } a \text{ to } x}{=} \\
& \quad \mathcal{S}_{cp} \llbracket t_1 \rrbracket \rho (\lambda x . \text{let } m' = \text{fresh}() \text{ in } \mathcal{E}[\llbracket \mathcal{C}_{cp} \llbracket t_2 \rrbracket \kappa \rrbracket \rho [m' \mapsto x, v \mapsto x]] \stackrel{\text{induction}}{=} \\
& \quad \mathcal{S}_{cp} \llbracket t_1 \rrbracket \rho (\lambda x . \text{let } m' = \text{fresh}() \text{ in } \mathcal{S}_{cp} \llbracket t_2 \rrbracket \rho [m' \mapsto x, v \mapsto x] (\lambda a . \text{let } m = \text{fresh}() \text{ in } \\
& \quad \quad \mathcal{E}[\llbracket \kappa (\text{Var } m) \rrbracket \rho [m' \mapsto x, v \mapsto x, m \mapsto a]])) \stackrel{m' \text{ not free in } t_2; m' \text{ not free in } \kappa (\text{Var } m); \text{Lemma 8}}{=} \\
& \quad \mathcal{S}_{cp} \llbracket t_1 \rrbracket \rho (\lambda x . \mathcal{S}_{cp} \llbracket t_2 \rrbracket \rho [v \mapsto x] (\lambda a . \text{let } m = \text{fresh}() \text{ in } \mathcal{E}[\llbracket \kappa (\text{Var } m) \rrbracket \rho [v \mapsto x, m \mapsto a]])) \stackrel{\text{Lemma 4}}{=} \\
& \quad \mathcal{S}_{cp} \llbracket t_1 \rrbracket \rho (\lambda x . \mathcal{S}_{cp} \llbracket t_2 \rrbracket \rho [v \mapsto x] (\lambda a . \text{let } m = \text{fresh}() \text{ in } \mathcal{E}[\llbracket \kappa (\text{Var } m) \rrbracket \rho [m \mapsto a]])) \stackrel{\mathcal{S}_{cp}}{=} \mathcal{S}_{cp} \llbracket \text{Let } v \ t_1 \ t_2 \rrbracket \rho \mu.
\end{aligned}$$

Figure 10: Correctness of cps-cogen (Part 1)

$$\begin{aligned}
& \mathcal{E}[\llbracket C_{cp}[\underline{\text{Lam}}\ v\ t_1]\kappa \rrbracket \rho] \stackrel{C_{cp}}{=} \mathcal{E}[\llbracket \kappa\ (Let\ m\ Fresh\ (Let\ v\ (Var\Diamond\ m)\ (Lam\Diamond\ (Var\ m)\ (C_{cp}[\llbracket t_1 \rrbracket \iota)])) \rrbracket \rho] \stackrel{\text{Lemma 5}}{=} \\
& \quad \mu\ (\mathcal{E}[\llbracket Let\ m\ Fresh\ (Let\ v\ (Var\Diamond\ m)\ (Lam\Diamond\ (Var\ m)\ (C_{cp}[\llbracket t_1 \rrbracket \iota)])) \rrbracket \rho] \stackrel{\mathcal{E}; \underline{\beta}; \mathcal{E}}{=} \mathcal{E} \\
& \quad \mu\ (let\ n=fresh()\ in\ \mathcal{E}[\llbracket Lam\Diamond\ (Var\ m)\ (C_{cp}[\llbracket t_1 \rrbracket \iota)] \rrbracket \rho[m \mapsto n, v \mapsto \mathcal{E}[\llbracket Var\Diamond\ m \rrbracket \rho[m \mapsto n]]] \stackrel{\mathcal{E}; \underline{\mathcal{E}}; \mathcal{E}}{=} \\
& \quad \mu\ (let\ n=fresh()\ in\ Lam\ n\ (\mathcal{E}[\llbracket C_{cp}[\llbracket t_1 \rrbracket \iota] \rrbracket \rho[m \mapsto n, v \mapsto Var\ n]])) \stackrel{m\ \text{not free in } C_{cp}[\llbracket t_1 \rrbracket \iota]}{=} \\
& \quad \mu\ (let\ n=fresh()\ in\ Lam\ n\ (\mathcal{E}[\llbracket C_{cp}[\llbracket t_1 \rrbracket \iota] \rrbracket \rho[v \mapsto Var\ n]])) \stackrel{\text{induction}}{=} \\
& \quad \mu\ (let\ n=fresh()\ in\ Lam\ n\ (S_{cp}[\llbracket t_1 \rrbracket \rho[v \mapsto Var\ n]](\lambda a. let\ m=fresh()\ in\ \mathcal{E}[\llbracket \iota\ (Var\ m) \rrbracket \rho[v \mapsto Var\ n, m \mapsto a]])) \\
& \quad \mathcal{E}; \text{Lemma 8}; \iota = \lambda a. a \stackrel{\mu\ (let\ n=fresh()\ in\ Lam\ n\ (S_{cp}[\llbracket t_1 \rrbracket \rho[v \mapsto Var\ n]])) \stackrel{S_{cp}}{=} S_{cp}[\llbracket \underline{\text{Lam}}\ v\ t_1 \rrbracket \rho\mu.}{=} \\
& \mathcal{E}[\llbracket C_{cp}[\underline{\text{App}}\ t_1\ t_2]\kappa \rrbracket \rho] \stackrel{C_{cp}}{=} \mathcal{E}[\llbracket C_{cp}[\llbracket t_1 \rrbracket (\lambda x. C_{cp}[\llbracket t_2 \rrbracket (\lambda y. \kappa\ (App\Diamond\ x\ y))]) \rrbracket \rho] \stackrel{\text{induction}}{=} \\
& \quad S_{cp}[\llbracket t_1 \rrbracket \rho(\lambda a. let\ m=fresh()\ in\ \mathcal{E}[\llbracket (\lambda x. C_{cp}[\llbracket t_2 \rrbracket (\lambda y. \kappa\ (App\Diamond\ x\ y))\ (Var\ m) \rrbracket \rho[m \mapsto a]) \rrbracket \rho] \stackrel{\beta; \text{renaming } a\ \text{to } x}{=} \\
& \quad S_{cp}[\llbracket t_1 \rrbracket \rho(\lambda x. let\ m=fresh()\ in\ \mathcal{E}[\llbracket C_{cp}[\llbracket t_2 \rrbracket (\lambda y. \kappa\ (App\Diamond\ (Var\ m)\ y)) \rrbracket \rho[m \mapsto x]) \rrbracket \rho] \stackrel{\text{induction}}{=} \\
& \quad S_{cp}[\llbracket t_1 \rrbracket \rho(\lambda x. let\ m=fresh()\ in\ S_{cp}[\llbracket t_2 \rrbracket \rho[m \mapsto x]](\lambda a. (let\ m'=fresh()\ in \\
& \quad \mathcal{E}[\llbracket (\lambda y. \kappa\ (App\Diamond\ (Var\ m)\ y))\ (Var\ m') \rrbracket \rho[m \mapsto x, m' \mapsto a]])) \stackrel{m\ \text{not free in } t_2; \underline{\beta}; \text{renaming } a\ \text{to } y}{=} \\
& \quad S_{cp}[\llbracket t_1 \rrbracket \rho(\lambda x. let\ m=fresh()\ in\ S_{cp}[\llbracket t_2 \rrbracket \rho(\lambda y. (let\ m'=fresh()\ in \\
& \quad \mathcal{E}[\llbracket \kappa\ (App\Diamond\ (Var\ m)\ (Var\ m')) \rrbracket \rho[m \mapsto x, m' \mapsto y]])) \stackrel{\text{Lemma 5}}{=} \\
& \quad S_{cp}[\llbracket t_1 \rrbracket \rho(\lambda x. let\ m=fresh()\ in\ S_{cp}[\llbracket t_2 \rrbracket \rho(\lambda y. (let\ m'=fresh()\ in \\
& \quad \mu\ (\mathcal{E}[\llbracket App\Diamond\ (Var\ m)\ (Var\ m') \rrbracket \rho[m \mapsto x, m' \mapsto y]])) \stackrel{\mathcal{E}}{=} \\
& \quad S_{cp}[\llbracket t_1 \rrbracket \rho(\lambda x. let\ m=fresh()\ in\ S_{cp}[\llbracket t_2 \rrbracket \rho(\lambda y. (let\ m'=fresh()\ in\ \mu\ (App\ x\ y))]) \stackrel{\text{Lemma 8 twice}}{=} \\
& \quad S_{cp}[\llbracket t_1 \rrbracket \rho(\lambda x. S_{cp}[\llbracket t_2 \rrbracket \rho(\lambda y. \mu\ (App\ x\ y))]) \stackrel{S_{cp}}{=} S_{cp}[\llbracket \underline{\text{App}}\ t_1\ t_2 \rrbracket \rho\mu. \\
& \mathcal{E}[\llbracket C_{cp}[\underline{\text{Let}}\ v\ t_1\ t_2]\kappa \rrbracket \rho] \stackrel{C_{cp}}{=} \mathcal{E}[\llbracket C_{cp}[\llbracket t_1 \rrbracket (\lambda x. Let\ m\ Fresh\ (Let\ v\ (Var\Diamond\ m)\ (Let\Diamond\ (Var\ m)\ x\ (C_{cp}[\llbracket t_2 \rrbracket \kappa)])) \rrbracket \rho] \stackrel{\text{induction}}{=} \\
& \quad S_{cp}[\llbracket t_1 \rrbracket \rho(\lambda a. let\ m'=fresh()\ in\ \mathcal{E}[\llbracket (\lambda x. Let\ m\ Fresh\ (Let\ v\ (Var\Diamond\ m)\ (Let\Diamond\ (Var\ m)\ x\ (C_{cp}[\llbracket t_2 \rrbracket \kappa)])) \rrbracket \rho[m' \mapsto a]) \rrbracket \rho] \stackrel{\beta; \mathcal{E}; \beta; \mathcal{E}; \mathcal{E}; \mathcal{E}; \mathcal{E}; \mathcal{E}; \text{renaming } a\ \text{to } x}{=} \\
& \quad S_{cp}[\llbracket t_1 \rrbracket \rho(\lambda x. let\ m'=fresh()\ in\ let\ n=fresh()\ in\ Let\ n\ x\ (\mathcal{E}[\llbracket C_{cp}[\llbracket t_2 \rrbracket \kappa \rrbracket \rho[m' \mapsto x, m \mapsto n, v \mapsto Var\ n]])) \\
& \quad \stackrel{m\ \text{not free in } C_{cp}[\llbracket t_2 \rrbracket \kappa]}{=} S_{cp}[\llbracket t_1 \rrbracket \rho(\lambda x. let\ m'=fresh()\ in\ let\ n=fresh()\ in\ Let\ n\ x\ (\mathcal{E}[\llbracket C_{cp}[\llbracket t_2 \rrbracket \kappa \rrbracket \rho[m' \mapsto x, v \mapsto Var\ n]])) \\
& \quad \stackrel{\text{induction}}{=} S_{cp}[\llbracket t_1 \rrbracket \rho(\lambda x. let\ m'=fresh()\ in\ let\ n=fresh()\ in\ Let\ n\ x\ (S_{cp}[\llbracket t_2 \rrbracket \rho[m' \mapsto x, v \mapsto Var\ n]](\lambda a. (let\ m''=fresh()\ in \\
& \quad \mathcal{E}[\llbracket \kappa\ (Var\ m'') \rrbracket \rho[m' \mapsto x, v \mapsto Var\ n, m'' \mapsto a]])) \stackrel{m'\ \text{not free in } t_2; m''\ \text{not free in } \kappa\ (Var\ m''); \text{Lemma 8}}{=} \\
& \quad S_{cp}[\llbracket t_1 \rrbracket \rho(\lambda x. let\ n=fresh()\ in\ Let\ n\ x\ (S_{cp}[\llbracket t_2 \rrbracket \rho[v \mapsto Var\ n]](\lambda a. (let\ m''=fresh()\ in \\
& \quad \mathcal{E}[\llbracket \kappa\ (Var\ m'') \rrbracket \rho[v \mapsto Var\ n, m'' \mapsto a]])) \stackrel{\text{Lemma 4}}{=} \\
& \quad S_{cp}[\llbracket t_1 \rrbracket \rho(\lambda x. let\ n=fresh()\ in\ Let\ n\ x\ (S_{cp}[\llbracket t_2 \rrbracket \rho[v \mapsto Var\ n]](\lambda a. (let\ m''=fresh()\ in \\
& \quad \mathcal{E}[\llbracket \kappa\ (Var\ m'') \rrbracket \rho[m \mapsto a]])) \stackrel{S_{cp}}{=} S_{cp}[\llbracket \underline{\text{Let}}\ v\ t_1\ t_2 \rrbracket \rho\mu.
\end{aligned}$$

Figure 11: Correctness of cps-cogen (Part 2)