TRANSACTIONS





Scalaris:

Users and Developers Guide

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Part I. Users Guide

1. Introduction

Scalaris is a scalable, transactional, distributed key-value store based on the peer-to-peer principle. It can be used to build scalable Web 2.0 services. The concept of Scalaris is quite simple: Its architecture consists of three layers.

It provides self-management and scalability by replicating services and data among peers. Without system interruption it scales from a few PCs to thousands of servers. Servers can be added or removed on the fly without any service downtime.



Many Standard Internet Nodes for Data Storage

Scalaris takes care of:

- Fail-over
- Data distribution
- Replication
- Strong consistency
- Transactions

The Scalaris project was initiated by Zuse Institute Berlin and onScale solutions and was partly funded by the EU projects Selfman and XtreemOS. Additional information (papers, videos) can be found at http://www.zib.de/CSR/Projects/scalaris and http://www.onscale.de/scalarix.html.

1.1. Brewer's CAP Theorem

In distributed computing there exists the so called CAP theorem. It basically says that there are three desirable properties for distributed systems but one can only have any two of them.

Strict Consistency. Any read operation has to return the result of the latest write operation on the same data item.

Availability. Items can be read and modified at any time.

Partition Tolerance. The network on which the service is running may split into several partitions which cannot communicate with each other. Later on the networks may re-join again.

For example, a service is hosted on one machine in Seattle and one machine in Berlin. This service is partition tolerant if it can tolerate that all Internet connections over the Atlantic (and Pacific) are interrupted for a few hours and then get repaired.

The goal of Scalaris is to provide strict consistency and partition tolerance. We are willing to sacrifice availability to make sure that the stored data is always consistent. I.e. when you are running Scalaris with a replication degree of 4 and the network splits into two partitions, one partition with three replicas and one partition with one replica, you will be able to continue to use the service only in the larger partition. All requests in the smaller partition will time out until the two networks merge again. Note, most other key-value stores tend to sacrifice consistency.

1.2. Scientific Background

Basics. The general structure of Scalaris is modelled after Chord. The Chord paper [4] describes the ring structure, the routing algorithms, and basic ring maintenance.

The main routines of our Chord node are in src/dht_node.erl and the join protocol is implemented in src/dht_node_join.erl (see also Chap. 10). Our implementation of the routing algorithms is described in more detail in Sect. 8.3 and the actual implementation is in src/rt_chord.erl.

Transactions. The most interesting part is probably the transaction algorithms. The most current description of the algorithms and background is in [6].

We have currently two generations of transaction algorithms. The older one is in src/transstore. The newer one is more modular. It provides an implementation of the paxos algorithm in src/paxos and the transaction algorithms itself in src/transactions (see also Chap. 9).

Ring Maintenance. We changed the ring maintenance algorithm in Scalaris. It is not the standard Chord one, but a variation of T-Man [5]. It is supposed to fix the ring structure faster. In some situations, the standard Chord algorithm is not able to fix the ring structure while T-Man can still fix it. For node sampling, our implementation relies on Cyclon [7].

The T-Man implementation can be found in src/rm_tman.erl and the Cyclon implementation in src/cyclon.

Vivaldi Coordinates. For some experiments, we implemented so called Vivaldi coordinates [2]. They can be used to estimate the network latency between arbitrary nodes.

The implementation can be found in src/vivaldi.erl.

Gossipping. For some algorithms, we use estimates of global information. These estimates are aggregated with the help of gossipping techniques [8].

The implementation can be found in src/gossip.erl.

2. Download and Installation

2.1. Requirements

For building and running Scalaris, some third-party modules are required which are not included in the Scalaris sources:

- Erlang R13B01 or newer
- GNU-like Make

To build the Java API (and the command-line client) the following modules are required additionally:

- Java Development Kit 6
- Apache Ant

Before building the Java API, make sure that JAVA_HOME and ANT_HOME are set. JAVA_HOME has to point to a JDK installation, and ANT_HOME has to point to an Ant installation.

2.2. Download

The sources can be obtained from http://code.google.com/p/scalaris. RPMs and DEBs are available from http://download.opensuse.org/repositories/home:/tschuett/.

2.2.1. Development Branch

You find the latest development version in the svn repository:

```
# Non-members may check out a read-only working copy anonymously over HTTP. svn checkout http://scalaris.googlecode.com/svn/trunk/ scalaris-read-only
```

2.2.2. Releases

Releases can be found under the 'Download' tab on the web-page.

2.3. Configuration

Scalaris reads two configuration files from the working directory: bin/scalaris.cfg (mandatory) and bin/scalaris.local.cfg (optional). The former defines default settings and is included in the release. The latter can be created by the user to alter settings. A sample file is provided as bin/scalaris.local.cfg.example. To run Scalaris distributed over several nodes, each node requires a bin/scalaris.local.cfg:

File scalaris.local.cfg:

Scalaris currently distinguishes two different kinds of nodes: (a) the boot-server and (b) regular nodes. For the moment, we limit the number of boot-servers to exactly one. The remaining nodes are regular nodes. The boot-server is contacted to join the system. On all servers, the boot_host option defines the server where the boot server is running. In the example, it is an IP address plus a TCP port.

2.4. Build

2.4.1. Linux

Scalaris uses autoconf for configuring the build environment and GNU Make for building the code.

```
%> ./configure
%> make
%> make docs
```

For more details read README in the main Scalaris checkout directory.

2.4.2. Windows

We are currently not supporting Scalaris on Windows. However, we have two small bat files for building and running scalaris nodes. It seems to work but we make no guarantees.

- Install Erlang http://www.erlang.org/download.html
- Install OpenSSL (for crypto module) http://www.slproweb.com/products/Win32OpenSSL.html
- Checkout scalaris code from SVN
- adapt the path to your Erlang installation in build.bat
- start a cmd.exe
- go to the scalaris directory
- run build.bat in the cmd window

- check that there were no errors during the compilation; warnings are fine
- go to the bin sub-directory
- adapt the path to your Erlang installation in boot.bat, cs_local.bat, cs_local2.bat and cs_local3.bat
- run boot.bat or one of the other start scripts in the cmd window

build.bat will generate a Emakefile if there is none yet. If you have Erlang < R13B04, you will need to adapt the Emakefile. There will be empty lines in the first three blocks ending with "]}.": add the following to these lines and try to compile again. It should work now.

```
, {d, type_forward_declarations_are_not_allowed}
, {d, forward_or_recursive_types_are_not_allowed}
```

For the most recent description please see the FAQ at http://code.google.com/p/scalaris/wiki/FAQ.

2.4.3. Java-API

The following commands will build the Java API for Scalaris:

```
%> make java
```

This will build scalaris.jar, which is the library for accessing the overlay network. Optionally, the documentation can be build:

```
%> cd java-api
%> ant doc
```

2.5. Running Scalaris

As mentioned above, in Scalaris there are two kinds of nodes:

- boot servers
- regular nodes

In every Scalaris, at least one boot server is required. It will maintain a list of nodes taking part in the system and allows other nodes to join the ring. For redundancy, it is also possible to have several boot servers. In the future, we want to eliminate this distinction, so any node is also a boot-server.

2.5.1. Running on a local machine

Open at least two shells. In the first, inside the Scalaris directory, start the boot script (boot.bat on Windows):

```
%> ./bin/boot.sh
```

This will start the boot server. On success http://localhost:8000 should point to the management interface page of the boot server. The main page will show you the number of nodes currently in

the system. After a couple of seconds a first Scalaris should have started in the boot server and the number should increase to one. The main page will also allow you to store and retrieve key-value pairs but should not be used by applications to access Scalaris. See Chapter 3 on page 13 for application APIs.

In a second shell, you can now start a second Scalaris node. This will be a 'regular server':

```
%> ./bin/cs_local.sh
```

The second node will read the configuration file and use this information to contact the boot server and join the ring. The number of nodes on the web page should have increased to two by now.

Optionally, a third and fourth node can be started on the same machine. In a third shell:

```
%> ./bin/cs_local2.sh
```

In a fourth shell:

```
%> ./bin/cs_local3.sh
```

This will add 3 nodes to the network. The web pages at http://localhost:8000 should show the additional nodes.

On linux you can also use the scalarisctl script to start boot and 'regular' nodes.

2.5.2. Running distributed

Scalaris can be installed on other machines in the same way as described in Section 2.6. In the default configuration, nodes will look for the boot server on localhost on port 14195. You should create a scalaris.local.cfg pointing to the node running the boot server.

```
% Insert the appropriate IP-addresses for your setup
% as comma separated integers:
% IP Address, Port, and label of the boot server
{boot_host, {{127,0,0,1},14195,boot}}.
```

If you are using the default configuration on the boot server it will listen on port 14195 and you only have to change the IP address in the configuration file. Otherwise the other nodes will not find the boot server. On the remote nodes, you only need to call ./cs_local.sh and they will automatically contact the configured boot server.

2.6. Installation

For simple tests, you do not need to install Scalaris. You can run it directly from the source directory. Note: make install will install scalaris into /usr/local and place scalarisctl into /usr/local/bin. But is more convenient to build an RPM and install it.

```
svn checkout http://scalaris.googlecode.com/svn/trunk/ scalaris-0.0.1
tar -cvjf scalaris-0.0.1.tar.bz2 scalaris-0.0.1 --exclude-vcs
cp scalaris-0.0.1.tar.bz2 /usr/src/packages/SOURCES/
rpmbuild -ba scalaris-0.0.1/contrib/scalaris.spec
```

Your source and binary RPM will be generated in /usr/src/packages/SRPMS and RPMS. We build RPMs and Debs using checkouts from svn and provide them using the openSUSE BuildService at http://download.opensuse.org/repositories/home:/tschuett/. Packages are available for

- Fedora 9, 10, 11, 12, 13,
- Mandriva 2008, 2009, 2009.1, 2010,
- openSUSE 11.0, 11.1, 11.2, 11.3, Factory,
- SLE 10, 11,
- CentOS 5.4,
- RHEL 5,
- Debian 5.0 and
- Ubuntu 9.04, 9.10, 10.04.

Inside those repositories you will also find an erlang package - you don't need this if you already have a recent enough erlang version!

2.7. Logging

Description is based on SVN revision r1083.

Scalaris uses the log4erl library (see contrib/log4erl) for logging status information and error messages. The log level can be configured in bin/scalaris.cfg for both the stdout and file logger. The default value is warn; only warnings, errors and severe problems are logged.

```
%% @doc Loglevel: debug < info < warn < error < fatal < none
{log_level, warn}.
{log_level_file, warn}.</pre>
```

In some cases, it might be necessary to get more complete logging information, e.g. for debugging. In Chapter 10 on page 44, we are explaining the startup process of Scalaris nodes in more detail, here the info level provides more detailed information.

```
%% @doc Loglevel: debug < info < warn < error < fatal < none
{log_level, info}.
{log_level_file, info}.</pre>
```

Using the system

3.1. JSON API

Scalaris supports a JSON API for transactions. To minimize the necessary round trips between a client and Scalaris, it uses request lists, which contain all requests that can be done in parallel. The request list is then send to a Scalaris node with a POST message. The result is an opaque TransLog and a list containing the results of the requests. To add further requests to the transaction, the TransLog and another list of requests may be send to Scalaris. This process may be repeated as often as necessary. To finish the transaction, the request list can contain a 'commit' request as the last element, which triggers the validation phase of the transaction processing.

The JSON-API can be accessed via the Scalaris-Web-Server running on port 8000 by default and the page <code>jsonrpc.yaws</code> (For example at: http://localhost:8000/jsonrpc.yaws). The following example illustrates the message flow:

Client Scalaris node

Make a transaction, that sets two keys:

← Scalaris sends results back

In a second transaction: Read the two keys \rightarrow

← Scalaris sends results back

Calculate something with the read values and make further requests, here a write and the commit for the whole transaction.

Also include the latest translog we got from Scalaris (named TLOG here).

← Scalaris sends results back

A sample usage of the JSON API using Ruby can be found in contrib/jsonrpc.rb.

A single request list must not contain a key more than once!

The allowed requests are:

```
{ "read":"any_key" }
{ "write":{"any_key":"any_value"} }
{ "commit":"commit" }
```

The possible results are:

```
{ "op":"read", "key":"any_key", "value":"any_value" }
{ "op":"read", "key":"any_value", "fail":"reason" } // 'not_found' or 'timeout'

{ "op":"write", "key":"any_key", "value":"any_value" }
{ "op":"read", "key":"any_key", "fail":"reason" }

{ "op":"commit", "value":"ok", "key":"ok" }
{ "op":"commit", "value":"fail", "fail":"reason" }
```

3.1.1. Deleting a key

Outside transactions keys can also be deleted, but it has to be done with care, as explained in the following thread on the mailing list: http://groups.google.com/group/scalaris/browse_thread/thread/ff1d9237e218799.

Two sample results

3.2. Java command line interface

The jar file contains a small command line interface client. For convenience, we provide a wrapper script called scalaris which sets up the Java environment:

```
%> ./java-api/scalaris -help
Script Options:
  --help, --h
                         print this message and scalaris help
  --noconfig
                        suppress sourcing of /etc/scalaris/scalaris-java.conf
                         and $HOME/.scalaris/scalaris-java.conf config files
  --execdebug
                         print scalaris exec line generated by this
                         launch script
usage: scalaris [Options]
                                  run mini benchmark
-b, --minibench
 -d,--delete <key> <[timeout]>
                                  delete an item (default timeout: 2000ms)
                                  WARNING: This function can lead to inconsistent data
                                  (e.g. deleted items can re-appear). Also when
                                  re-creating an item the version before the delete can
                                  re-appear.
 -g,--getsubscribers <topic>
                                  get subscribers of a topic
 -h,--help
                                  print this message
                                  gets the local host's name as known to
 -lh,--localhost
                                  Java (for debugging purposes)
 -p,--publish <topic> <message>
                                  publish a new message for the given
                                  topic
 -r,--read <key>
                                  read an item
 -s,--subscribe <topic> <url>
                                  subscribe to a topic
 -u,--unsubscribe <topic> <url>
                                  unsubscribe from a topic
 -v,--verbose
                                  print verbose information, e.g. the
                                  properties read
 -w,--write <key> <value>
                                  write an item
```

read, write and delete can be used to read, write and delete from/to the overlay, respectively. getsubscribers, publish, and subscribe are the PubSub functions. The others provide debugging and testing functionality.

```
%> ./java-api/scalaris -write foo bar
write(foo, bar)
%> ./java-api/scalaris -read foo
read(foo) == bar
```

Per default, the scalaris script tries to connect to a boot server at localhost. You can change the node it connects to (and further connection properties) by adapting the values defined in java-api/scalaris.properties.

3.3. Java API

The scalaris.jar provides the command line client as well as a library for Java programs to access Scalaris. The library provides two classes:

- Scalaris provides a high-level API similar to the command line client.
- Transaction provides a low-level API to the transaction mechanism.

For details we refer the reader to the Javadoc:

```
%> cd java-api
%> ant doc
%> firefox doc/index.html
```

4. Testing the system

4.1. Running the unit tests

There are some unit tests in the test directory. You can call them by running make test in the main directory. The results are stored in a local index.html file.

The tests are implemented with the common-test package from the Erlang system. For running the tests we rely on run_test, which is part of the common-test package, but (on erlang < R14) is not installed by default. configure will check whether run_test is available. If it is not installed, it will show a warning and a short description of how to install the missing file.

Note: for the unit tests, we are setting up and shutting down several overlay networks. During the shut down phase, the runtime environment will print extensive error messages. These error messages do not indicate that tests failed! Running the complete test suite takes about 3 minutes, depending on your machine. Only if the complete suite finishes, it will present statistics on failed and successful tests.

5. Troubleshooting

5.1. Network

Scalaris uses a couple of TCP ports for communication. It does not use UDP at the moment.

8000 HTTP Server on the boot node
8001 HTTP Server on the other nodes
14195 Port for inter-node communication (boot server)
14196 Port for inter-node communication (other nodes)

Please make sure that at least 14195 and 14196 are not blocked by firewalls.

Part II. Developers Guide

6. General Hints

6.1. Coding Guidelines

- Keep the code short
- Use gen_component to implement additional processes
- Don't use receive by yourself (Exception: to implement single threaded user API calls (cs_api, yaws_calls, etc)
- Don't use erlang:now/0, erlang:send_after/3, receive after etc. in performance critical code, consider using msg_delay instead.
- Don't use timer:tc/3 as it catches exceptions. Use util:tc/3 instead.

6.2. Testing Your Modifications and Extensions

- Run the testsuites using make test
- Run the java api test using make java-test (Scalaris output will be printed if a test fails; if you want to see it during the tests, start a bin/boot.sh and run the tests by cd java; ant test)
- Run the Ruby client by starting Scalaris and running cd contrib; ./jsonrpc.rb

6.3. Help with Digging into the System

- use ets:i/0,1 to get details on the local state of some processes
- consider changing pdb.erl to use ets instead of erlang:put/get
- Have a look at strace -f -p PID of beam process
- Get message statistics via the Web-interface
- enable/disable tracing for certain modules
- Use etop and look at the total memory size and atoms generated
- send processes sleep or kill messages to test certain behaviour (see gen component.erl
- use boot_server:number_of_nodes(). flush().
- use admin_checkring(). flush().

6.4. General Erlang server loop

Servers in Erlang often use the following structure to maintain a state while processing received messages:

```
loop(State) ->
  receive
  Message ->
    State1 = f(State),
    loop(State1)
end.
```

The server runs an endless loop, that waits for a message, processes it and calls itself using tail-recursion in each branch. The loop works on a State, which can be modified when a message is handled.

7. System Infrastructure

7.1. Groups of Processes

- What is it? How to distinguish from Erlangs internal named processes?
- Joining a process group
- Why do we do this... (managing several independent nodes inside a single Erlang VM for testing)

7.2. The Communication Layer comm

- in general
- format of messages (tuples)
- use messages with cookies (server and client side)
- What is a message tag?

7.3. The gen_component

Description is based on SVN revision r993.

The generic component model implemented by gen_component allows to add some common functionality to all the components that build up the Scalaris system. It supports:

event-handlers: message handling with a similar syntax as used in [3].

FIFO order of messages: components cannot be inadvertently locked as we do not use selective receive statements in the code.

sleep and halt: for testing components can sleep or be halted.

debugging, **breakpoints**, **stepwise execution**: to debug components execution can be steered via breakpoints, step-wise execution and continuation based on arriving events and user defined component state conditions.

basic profiling,

state dependent message handlers: depending on its state, different message handlers can be used and switched during runtime. Thereby a kind of state-machine based message handling is supported.

prepared for pid_groups: allows to send events to named processes inside the same group as the
 actual component itself (send_to_group_member) when just holding a reference to any group
 member, and

unit-testing of event-handlers: as message handling is separated from the main loop of the component, the handling of individual messages and thereby performed state manipulation can easily tested in unit-tests by directly calling message handlers.

In Scalaris all Erlang processes should be implemented as gen_component. The only exception are functions interfacing to the client, where a transition from asynchronous to synchronous request handling is necessary and that are executed in the context of a client's process or a process that behaves as a proxy for a client (cs_api).

7.3.1. A basic gen_component including a message handler

To implement a gen_component, the component has to provide the gen_component behaviour:

File gen_component.erl:

```
-spec behaviour_info(atom()) -> [{atom(), arity()}] | undefined.
49
   behaviour_info(callbacks) ->
50
51
                       % initialize component
         {init, 1}
52
        \% note: can use arbitrary on-handler, but by default on/2 is used:
   %%
53
            {on, 2}
                           \% handle a single message
                           % on(Msg, State) -> NewState | unknown_event | kill
54
   %%
55
       ];
```

This is illustrated by the following example:

File msg_delay.erl:

```
%% initialize: return initial state.
     -spec init([]) -> state().
73
    init([]) ->
74
         MyGroup = pid_groups:my_groupname(),
75
         ?TRACE("msg_delay:init for pid group ~p~n", [MyGroup]),
76
         TableName =
 77
             list_to_atom(lists:flatten(
                              io_lib:format("~p_msg_delay", [MyGroup]))),
78
79
         \%\% use random table name provided by ets to *not* generate an atom
80
         %% TableName = pdb:new(?MODULE, [set, private]);
81
         pdb:new(TableName, [set, protected, named_table]),
82
         comm:send_local(self(), {msg_delay_periodic}),
83
         _State = {TableName, _Round = 0}.
84
    -spec on(message(), state()) -> state().
    on({msg_delay_req, Seconds, Dest, Msg}, {TableName, Counter} = State) ->
    ?TRACE("msg_delay:on(msg_delay...)~n", []),
    Future = trunc(Counter + Seconds),
86
87
88
89
         case pdb:get(Future, TableName) of
90
             undefined ->
91
                  pdb:set({Future, [{Dest, Msg}]}, TableName);
92
             {_, Queue} -
93
                  pdb:set({Future, [{Dest, Msg}| Queue]}, TableName)
94
         end.
95
         State;
96
97
    %% periodic trigger
98
    on({msg_delay_periodic} = Trigger, {TableName, Counter} = _State) ->
99
         ?TRACE("tx\_tm\_rtm:on(msg\_delay\_periodic)^n", []),\\
100
         case pdb:get(Counter, TableName) of
101
             undefined -> ok;
             {_, Queue} ->
102
103
                   = [ comm:send_local(Dest, Msg) || {Dest, Msg} <- Queue ],
104
                  pdb:delete(Counter, TableName)
105
106
         comm:send_local_after(1000, self(), Trigger),
107
         {TableName, Counter + 1};
108
     on({web_debug_info, Requestor}, {TableName, Counter} = State) ->
109
110
         KeyValueList
111
             [{"queued messages (in 0-10s, messages):", ""} |
112
               [begin
```

```
113
                    Future = trunc(Counter + Seconds),
114
                    Queue = case pdb:get(Future, TableName) of
115
                                undefined -> none;
116
                                {_, Q}
                                           -> Q
117
                            end.
                    {lists:flatten(io_lib:format("~p", [Seconds])),
118
                    lists:flatten(io_lib:format("~p", [Queue]))}
119
120
               end || Seconds <- lists:seq(0, 10)]],</pre>
121
         comm:send_local(Requestor, {web_debug_info_reply, KeyValueList}),
122
         State.
```

your_gen_component:init/1 is called during start-up of a gen_component and should return the initial state to be used for this gen_component. Later, the current state of the component can be retrieved using gen_component:get_state/1.

To react on messages / events, a message handler is used. The default message handler is called your_gen_component:on/2. This can be changed by calling gen_component:change_handler/2 (see Section 7.3.6). When an event / message for the component arrives, this handler is called with the event itself and the current state of the component. In the handler, the state of the component may be adjusted depending upon the event. The handler itself may trigger new events / messages for itself or other components and has finally to return the updated state of the component or the atoms unknown_event or kill. It must neither call receive nor timer:sleep/1 nor erlang:exit/1.

7.3.2. How to start a gen_component?

A gen_component can be started using one of:

```
gen_component:start(Module, Args, GenCOptions = [])
gen_component:start_link(Module, Args, GenCOptions = [])
Module: the name of the module your component is implemented in
Args: List of parameters passed to Module:init/1 for initialization
GenCOptions: optional parameter. List of options for gen_component
```

{pid_groups_join_as, ProcessGroup, ProcessName}: registers the new process with
 the given process group (also called instanceid) and name using pid_groups.
{erlang_register, ProcessName}: registers the process as a named Erlang process.
wait_for_init: wait for Module:init/1 to return before returning to the caller.

These functions are compatible to the Erlang/OTP supervisors. They spawn a new process for the component which itself calls Module:init/1 with the given Args to initialize the component. Module:init/1 should return the initial state for your component. For each message sent to this component, the default message handler Module:on(Message, State) will be called, which should react on the message and return the updated state of your component.

gen_component:start() and gen_component:start_link() return the pid of the spawned process
as {ok, Pid}.

7.3.3. When does a gen_component terminate?

A gen_component can be stopped using:

gen_component:kill(Pid) or by returning kill from the current message handler.

7.3.4. What happens when unexpected events / messages arrive?

Your message handler (default is your_gen_component:on/2) should return unknown_event in the final clause (your_gen_component:on(_,_)). gen_component then will nicely report on the unhandled message, the component's name, its state and currently active message handler, as shown in the following example:

```
# bin/boot.sh
[...]
(boot@localhost)10> pid_groups ! {no_message}.
{no_message}
[error] unknown message: {no_message} in Module: pid_groups and handler on in State null
(boot@localhost)11>
```

The pid_groups (see Section 7.1) is a gen_component which registers itself as named Erlang process with the gen_component option erlang_register and therefore can be addressed by its name in the Erlang shell. We send it a {no_message} and gen_component reports on the unhandled message. The pid_groups module itself continues to run and waits for further messages.

7.3.5. What if my message handler generates an exception or crashes the process?

gen_component catches exceptions generated by message handlers and reports them with a stack trace, the message, that generated the exception, and the current state of the component.

If a message handler terminates the process via erlang:exit/1, this is out of the responsibility scope of gen_component. As usual in Erlang, all linked processes will be informed. If for example gen_component:start_link/2 or /3 was used for starting the gen_component, the spawning process will be informed, which may be an Erlang supervisor process taking further actions.

7.3.6. Changing message handlers and implementing state dependent message responsiveness as a state-machine

Sometimes it is beneficial to handle messages depending on the state of a component. One possibility to express this is implementing different clauses depending on the state variable, another is introducing case clauses inside message handlers to distinguish between current states. Both approaches may become tedious, error prone, and may result in confusing source code.

Sometimes the use of several different message handlers for different states of the component leads to clearer arranged code, especially if the set of handled messages changes from state to state. For example, if we have a component with an initialization phase and a production phase afterwards, we can handle in the first message handler messages relevant during the initialization phase and simply queue all other requests for later processing using a common default clause.

When initialization is done, we handle the queued user requests and switch to the message handler for the production phase. The message handler for the initialization phase does not need to know about messages occurring during production phase and the message handler for the production phase does not need to care about messages used during initialization. Both handlers can be made independent and may be extended later on without any adjustments to the other.

One can also use this scheme to implement complex state-machines by changing the message handler from state to state.

To switch the message handler gen_component:change_handler(State, new_handler) is called as

the last operation after a message in the active message handler was handled, so that the return value of gen_component:change_handler/2 is propagated to gen_component. The new handler is given as an atom, which is the name of the 2-ary function in your component module to be called.

Starting with non-default message handler.

It is also possible to change the message handler right from the start in your your_gen_component:init/1 to avoid the default message handler your_gen_component:on/2. Just create your initial state as usual and call gen_component:change_handler(State, my_handler) as the final call in your your_gen_component:init/1. We prepared gen_component:change_handler/2 to return State itself, so this will work properly.

7.3.7. Halting and pausing a gen_component

Using gen_component:kill(Pid) and gen_component:sleep(Pid, Time) components can be terminated or paused.

7.3.8. Integration with pid_groups: Redirecting events / messages to other gen_components

Each gen_component by itself is prepared to support comm:send_to_group_member/3 which forwards messages inside a group of processes registered via pid_groups (see Section 7.1) by their name. So, if you hold a Pid of one member of a process group, you can send messages to other members of this group, if you know their registered Erlang name. You do not necessarily have to know their individual Pid.

In consequence, no gen_component can individually handle messages of the form {send_to_group_member, _, _} as such messages are consumed by gen_component itself.

7.3.9. Replying to ping messages

Each gen_component replies automatically to {ping, Pid} requests with a {pong} send to the given Pid. Such messages are generated, for example, by vivaldi_latency which is used by our vivaldi module.

In consequence, no gen_component can individually handle messages of the form: {ping, _} as such messages are consumed by gen_component itself.

7.3.10. The debugging interface of gen_component: Breakpoints and step-wise execution

We equipped gen_component with a debugging interface, which especially is beneficial, when testing the interplay between several gen_components. It supports breakpoints (bp) which can pause the gen_component depending on the arriving messages or depending on user defined conditions. If a breakpoint is reached, the execution can be continued step-wise (message by message) or until the next breakpoint is reached.

We use it in our unit tests to steer protocol interleavings and to perform tests using random protocol interleavings between several processes (see paxos_SUITE). It allows also to reproduce given protocol interleavings for better testing.

Managing breakpoints.

Breakpoints are managed by the following functions:

- gen_component:bp_set(Pid, MsgTag, BPName): For the component running under Pid a breakpoint BPName is set. It is reached, when a message with a message tag MsgTag is next to be handled by the component (See comm:get_msg_tag/1 and Section 7.2 for more information on message tags). The BPName is used as a reference for this breakpoint, for example to delete it later.
- gen_component:bp_set_cond(Pid, Cond, BPName): The same as gen_component:bp_set/3 but a
 user defined condition implemented in {Module, Function, Params = 2}= Cond is checked by
 calling Module:Function(Message, State) to decide whether a breakpoint is reached or not.
 Message is the next message to be handled by the component and State is the current state of
 the component. Module:Function/2 should return a boolean.
- gen_component:bp_del(Pid, BPName): The breakpoint BPName is deleted. If the component is
 in this breakpoint, it will not be released by this call. This has to be done separately by
 gen_component:bp_cont/1. But the deleted breakpoint will no longer be considered for newly
 entering a breakpoint.
- gen_component:bp_barrier(Pid): Delay all further handling of breakpoint requests until a breakpoint is actually entered.

Note, that the following call sequence may not catch the breakpoint at all, as during the sleep the component not necessarily consumes a ping message and the set breakpoint 'sample_bp' may already be deleted before a ping message arrives.

```
gen_component:bp_set(Pid, ping, sample_bp),
timer:sleep(10),
gen_component:bp_del(Pid, sample_bp),
gen_component:bp_cont(Pid).
```

To overcome this, gen_component:bp_barrier/1 can be used:

```
gen_component:bp_set(Pid, ping, sample_bp),
gen_component:bp_barrier(Pid),
%% After the bp_barrier request, following breakpoint requests
%% will not be handled before a breakpoint is actually entered.
%% The gen_component itself is still active and handles messages as usual
%% until it enters a breakpoint.
gen_component:bp_del(Pid, sample_bp),
% Delete the breakpoint after it was entered once (ensured by bp_barrier).
% Release the gen_component from the breakpoint and continue.
gen_component:bp_cont(Pid).
```

None of the calls in the sample listing above is blocking. It just schedules all the operations, including the bp_barrier, for the gen_component and immediately finishes. The actual events of entering and continuing the breakpoint in the gen_component happens independently later on, when the next ping message arrives.

Managing execution.

The execution of a gen_component can be managed by the following functions:

gen_component:bp_step(Pid): This is the only blocking breakpoint function. It waits until the gen_component is in a breakpoint and has handled a single message. It returns the module, the active message handler, and the handled message as a tuple {Module, On, Message}. This function does not actually finish the breakpoint, but just lets a single message pass through. For further messages, no breakpoint condition has to be valid, the original breakpoint is still active. To leave a breakpoint, use gen_component:bp_cont/1.

gen_component:bp_cont(Pid): Leaves a breakpoint. gen_component runs as usual until the next breakpoint is reached.

If no further breakpoints should be entered after continuation, you should delete the registered breakpoint using gen_component:bp_del/2 before continuing the execution with gen_component:bp_cont/1. To ensure, that the breakpoint is entered at least once, gen_component:bp_barrier/1 should be used before deleting the breakpoint (see the example above). Otherwise it could happen, that the delete request arrives at your gen_component before it was actually triggered. The following continuation request would then unintentional apply to an unrelated breakpoint that may be entered later on.

gen_component:runnable(Pid): Returns whether a gen_component has messages to handle and is runnable. If you know, that a gen_component is in a breakpoint, you can use this to check, whether a gen_component:bp_step/1 or gen_component:bp_cont/1 is applicable to the component.

Tracing handled messages – getting a message interleaving protocol.

We use the debugging interface of gen_component to test protocols with random interleaving. First we start all the components involved, set breakpoints on the initialization messages for a new Paxos consensus and then start a single Paxos instance on all of them. The outcome of the Paxos consensus is a learner_decide message. So, in paxos_SUITE:step_until_decide/3 we look for runnable processes and select randomly one of them to perform a single step until the protocol finishes with a decision.

File paxos_SUITE.erl:

```
2.2.2
    -spec(prop_rnd_interleave/3 :: (1..4, 4..16, {pos_integer(), pos_integer()})
223
      >> boolean()).
224
    prop_rnd_interleave(NumProposers, NumAcceptors, Seed) ->
225
        ct:pal("Called with: paxos_SUITE:prop_rnd_interleave("p, "p, "p)."n",
226
                [NumProposers, NumAcceptors, Seed]),
227
        Majority = NumAcceptors div 2 + 1,
228
        {Proposers, Acceptors, Learners} =
229
            make(NumProposers, NumAcceptors, 1, rnd_interleave),
230
        \%\% set bp on all processes
231
        [ gen_component:bp_set(element(3, X), proposer_initialize, bp)
          || X <- Proposers],
232
        [ gen_component:bp_set(element(3, X), acceptor_initialize, bp)
233
234
          || X <- Acceptors ],
235
        [ gen_component:bp_set(element(3, X), learner_initialize, bp)
236
           || X <- Learners],</pre>
237
        %% start paxos instances
238
        [ proposer:start_paxosid(X, paxidrndinterl, Acceptors,
239
                                  proposal, Majority, NumProposers, Y)
240
          || {X,Y} <- lists:zip(Proposers, lists:seq(1, NumProposers)) ],
241
        [ acceptor:start_paxosid(X, paxidrndinterl, Learners)
242
          || X <- Acceptors ],
```

```
243
         [ learner:start_paxosid(X, paxidrndinterl, Majority,
244
                                  comm:this(), cpaxidrndinterl)
245
           || X <- Learners],</pre>
         \%\% randomly step through protocol
246
247
         OldSeed = random:seed(Seed),
        Steps = step_until_decide(Proposers ++ Acceptors ++ Learners, cpaxidrndinterl, 0),
248
         ct:pal("Needed ~p steps~n", [Steps]),
249
250
         case OldSeed of
251
             undefined -> ok;
252
             _ -> random:seed(OldSeed)
253
254
         true.
255
256
     step_until_decide(Processes, PaxId, SumSteps) ->
         %% io:format("Step ~p~n", [SumSteps]),
257
         Runnable = [ X || X <- Processes, gen_component:runnable(element(3,X)) ],</pre>
258
259
         case Runnable of
260
             [] ->
261
                 ct:pal("No runnable processes of ~p~n", [length(Processes)]),
                 timer:sleep(5), step_until_decide(Processes, PaxId, SumSteps);
262
263
264
265
         Num = random:uniform(length(Runnable)),
266
         gen_component:bp_step(element(3,lists:nth(Num, Runnable))),
267
         receive
             {learner_decide, cpaxidrndinterl, _, _Res} = _Any ->
268
269
                 %% io:format("Received ~p~n", [_Any]),
270
                 SumSteps
271
         after 0 -> step_until_decide(Processes, PaxId, SumSteps + 1)
272
```

To get a message interleaving protocol, we either can output the results of each gen_component:-bp_step/1 call together with the Pid we selected for stepping, or alter the definition of the macro TRACE_BP_STEPS in gen_component, when we execute all gen_components locally in the same Erlang virtual machine.

```
File gen_component.erl:
```

```
31 %-define(TRACE_BP_STEPS(X,Y), io:format(X,Y)). %% output on console
32 %-define(TRACE_BP_STEPS(X,Y), ct:pal(X,Y)). %% output even if called by unittest
33 -define(TRACE_BP_STEPS(X,Y), ok).
```

7.3.11. Future use and planned extensions for gen_component

gen_component could be further extended. For example it could support hot-code upgrade or could be used to implement algorithms that have to be run across several components of Scalaris like snapshot algorithms or similar extensions.

7.4. The Process' Database (pdb)

• How to use it and how to switch from erlang:put/set to ets and implied limitations.

7.5. Writing Unittests

- 7.5.1. Plain unittests
- 7.5.2. Randomized Testing using tester.erl

8. Basic Structured Overlay

8.1. Ring Maintenance

8.2. T-Man

8.3. Routing Tables

Description is based on SVN revision r1236.

Each node of the ring can perform searches in the overlay.

A search is done by a lookup in the overlay, but there are several other demands for communication between peers. Scalaris provides a general interface to route a message to the (other) peer, which is currently responsible for a given key.

File lookup.erl:

```
-spec unreliable_lookup(Key::?RT:key(), Msg::comm:message()) -> ok.
31
   unreliable_lookup(Key, Msg) ->
32
        comm:send_local(pid_groups:find_a(dht_node),
                        {lookup_aux, Key, 0, Msg}).
33
34
35
    -spec unreliable_get_key(Key::?RT:key()) -> ok.
   unreliable_get_key(Key) ->
36
37
        unreliable_lookup(Key, {get_key, comm:this(), Key}).
38
39
   -spec unreliable_get_key(CollectorPid::comm:mypid(),
                              ReqId::{rdht_req_id, pos_integer()},
40
41
                             Key::?RT:key()) \rightarrow ok.
   unreliable_get_key(CollectorPid, ReqId, Key) ->
42
        unreliable_lookup(Key, {get_key, CollectorPid, ReqId, Key}).
```

The message Msg could be a get_key which retrieves content from the responsible node or a get_node message, which returns a pointer to the node.

All currently supported messages are listed in the file dht_node.erl.

The message routing is implemented in dht_node_lookup.erl

File dht_node_lookup.erl:

```
\ensuremath{\text{\%\%}} 
 Odoc Find the node responsible for Key and send him the message Msg.
   -spec lookup_aux(State::dht_node_state:state(), Key::intervals:key(),
29
                     Hops::non_neg_integer(), Msg::comm:message()) -> ok.
30
   lookup_aux(State, Key, Hops, Msg)
        case intervals:in(Key, dht_node_state:get(State, succ_range)) of
            true -> % found node -> terminate
32
33
                P = dht_node_state:get(State, succ_pid),
34
                comm:send(P, {lookup_fin, Key, Hops + 1, Msg});
35
              ->
36
                P = ?RT:next_hop(State, Key),
                comm:send(P, {lookup_aux, Key, Hops + 1, Msg})
37
38
```

Each node is responsible for a certain key interval. The function intervals:in/2 is used to decide, whether the key is between the current node and its successor. If that is the case, the final step is delivers a lookup_fin message to the local node. Otherwise, the message is forwarded to the next nearest known peer (listed in the routing table) determined by ?RT:next_hop/2.

rt_beh.erl is a generic interface for routing tables. It can be compared to interfaces in Java. In Erlang interfaces can be defined using a so called 'behaviour'. The files rt_simple and rt_chord implement the behaviour 'rt beh'.

The macro ?RT is used to select the current implementation of routing tables. It is defined in include/scalaris.hrl.

File scalaris.hrl:

```
%%The RT macro determines which kind of routingtable is used. Uncomment the
26
   %%one that is desired.
27
28
  %%Standard Chord routingtable
   -define(RT, rt_chord).
29
  % first valid key:
  -define(MINUS_INFINITY, 0).
31
32
  % first invalid key:
   34
35
  %%Simple routingtable
  %-define(RT, rt_simple).
```

The functions, that have to be implemented for a routing mechanism are defined in the following file:

File rt_beh.erl:

```
-spec behaviour_info(atom()) -> [{atom(), arity()}] | undefined.
33
    behaviour_info(callbacks) ->
34
35
         % create a default routing table
36
         {empty, 1}, {empty_ext, 1},
37
         % mapping: key space -> identifier space
38
         {hash_key, 1}, {get_random_node_id, 0},
39
         % routing
40
         {next_hop, 2},
         \% trigger for new stabilization round
41
42
         {init_stabilize, 2},
43
         % adapt RT to changed neighborhood
44
         {update, 3},
         % dead nodes filtering
45
46
         {filter_dead_node, 2},
47
         % statistics
48
         {to_pid_list, 1}, {get_size, 1},
49
         % gets all (replicated) keys for a given (hashed) key
50
         % (for symmetric replication)
51
         {get_replica_keys, 1},
         \mbox{\ensuremath{\mbox{\%}}} address space size, range and split key
52
53
         % (may all throw 'throw:not_supported' if unsupported by the RT)
54
         {n, 0}, {get_range, 2}, {get_split_key, 3},
55
         % for debugging and web interface
56
         {dump, 1},
57
         % for bulkowner
58
         {to_list, 1},
59
         % convert from internal representation to version for dht_node
60
         {export_rt_to_dht_node, 2},
61
         % handle messages specific to a certain routing-table implementation
         {handle_custom_message, 2},
62
63
         % common methods
64
         {check, 4}, {check, 5},
65
         {check_config, 0}
66
        ];
```

- empty/1 gets a successor and generates an empty routing table for use inside the routing table implementation. The data structure of the routing table is undefined. It can be a list, a tree, a matrix . . .
- empty_ext/1 similarly creates an empty external routing table for use by the dht_node. This process might not need all the information a routing table implementation requires and can thus work with less data.
- hash_key/1 gets a key and maps it into the overlay's identifier space.
- get_random_node_id/0 returns a random node id from the overlay's identifier space. This is used for example when a new node joins the system.
- next_hop/2 gets a dht_node's state (including the external routing table representation) and a key and returns the node, that should be contacted next when searching for the key, i.e. the known node nearest to the id.
- init_stabilize/2 is called periodically to rebuild the routing table. The parameters are the identifier of the node, its successor and the old (internal) routing table state. This method may send
 messages to the routing_table process which need to be handled by the handle_custom_message/2
 handler since they are implementation-specific.
- update/7 is called when the node's ID, predecessor and/or successor changes. It updates the (internal) routing table with the (new) information.
- filter_dead_node/2 is called by the failure detector and tells the routing table about dead nodes. This function gets the (internal) routing table and a node to remove from it. A new routing table state is returned.
- to_pid_list/1 get the PIDs of all (internal) routing table entries.
- get_size/1 get the (internal or external) routing table's size.
- get_replica_keys/1 Returns for a given (hashed) Key the (hashed) keys of its replicas. This used for implementing symmetric replication.
- n/0 gets the number of available keys. An implementation may throw throw:not_supported if the operation is unsupported by the routing table.
- dump/1 dump the (internal) routing table state for debugging, e.g. by using the web interface.

 Returns a list of {Index, Node_as_String} tuples which may just as well be empty.
- to_list/1 convert the (external) representation of the routing table inside a given dht_node_state to a sorted list of known nodes from the routing table, i.e. first=succ, second=next known node on the ring, ... This is used by bulk-operations to create a broadcast tree.
- export_rt_to_dht_node/2 convert the internal routing table state to an external state. Gets the internal state and the node's neighborhood for doing so.
- handle_custom_message/2 handle messages specific to the routing table implementation. rt_loop will forward unknown messages to this function.
- check/5, check/6 check for routing table changes and send an updated (external) routing table to
 the dht_node process.
- check_config/0 check that all required configuration parameters exist and satisfy certain restrictions.

8.3.1. The routing table process (rt_loop)

The rt_loop module implements the process for all routing tables. It processes messages and calls the appropriate methods in the specific routing table implementations.

File rt_loop.erl:

```
40 -opaque(state_active() :: {NeighbTable :: tid(),
41 RTState :: ?RT:rt(),
42 TriggerState :: trigger:state()}).
```

If initialized, the node's id, its predecessor, successor and the routing table state of the selected implementation (the macro RT refers to).

File rt_loop.erl:

```
154
    on_active({trigger_rt}, {NeighbTable, OldRT, TriggerState}) ->
155
        % start periodic stabilization
        % log:log(debug, "[ RT ] stabilize")
156
157
        Neighbors = rm_loop:get_neighbors(NeighbTable),
158
        NewRT = ?RT:init_stabilize(Neighbors, OldRT),
159
        ?RT:check(OldRT, NewRT, Neighbors, true),
160
        \% trigger next stabilization
        NewTriggerState = trigger:next(TriggerState),
161
        new_state(NeighbTable, NewRT, NewTriggerState);
162
```

Periodically (see routingtable_trigger and pointer_base_stabilization_interval config parameters) a trigger message is sent to the rt_loop process that starts the periodic stabilization implemented by each routing table.

File rt_loop.erl:

```
% update routing table with changed ID, pred and/or succ
138
139
    on_active({update_rt, OldNeighbors}, {NeighbTable, OldRT, TriggerState}) ->
140
        NewNeighbors = rm_loop:get_neighbors(NeighbTable),
        case ?RT:update(OldRT, OldNeighbors, NewNeighbors) of
141
142
             {trigger_rebuild, NewRT} ->
143
                 % trigger immediate rebuild
                 NewTriggerState = trigger:now(TriggerState),
144
                 ?RT:check(OldRT, NewRT, OldNeighbors, NewNeighbors, true),
145
146
                 new_state(NeighbTable, NewRT, NewTriggerState);
147
             {ok, NewRT} ->
148
                 ?RT:check(OldRT, NewRT, OldNeighbors, NewNeighbors, true),
149
                new_state(NeighbTable, NewRT, TriggerState)
150
```

Every time a node's neighborhood changes, the dht_node sends an update_rt message to the routing table which will call ?RT:update/7 that decides whether the routing table should be re-build. If so, it will stop any waiting trigger and schedule an immideate (periodic) stabilization.

8.3.2. Simple routing table (rt_simple)

One implementation of a routing table is the rt_simple, which routes via the successor. Note that this is inefficient as it needs a linear number of hops to reach its goal. A more robust implementation, would use a successor list. This implementation is also not very efficient in the presence of churn.

Data types

First, the data structure of the routing table is defined:

File rt_simple.erl:

```
28 -type external_rt_t() :: Succ::node:node_type().
29 -type custom_message() :: none().
```

The routing table only consists of a node (the successor). Keys in the overlay are identified by integers ≥ 0 .

A simple rm_beh behaviour

File rt_simple.erl:

```
41  %% @doc Creates an "empty" routing table containing the successor.
42 empty(Neighbors) -> nodelist:succ(Neighbors).

File rt_simple.erl:
207 empty_ext(Neighbors) -> empty(Neighbors).
```

The empty routing table (internal or external) consists of the successor.

```
File rt_simple.erl:
```

Keys are hashed using MD5 and have a length of 128 bits.

File rt_simple.erl:

Random node id generation uses the helpers provided by the randoms module.

File rt_simple.erl:

```
211 %% @doc Returns the next hop to contact for a lookup.
212 next_hop(State, _Key) -> node:pidX(dht_node_state:get(State, rt)).
```

Next hop is always the successor.

File rt_simple.erl:

```
76 %% @doc Triggered by a new stabilization round, renews the routing table.
77 init_stabilize(Neighbors, _RT) -> empty(Neighbors).
```

init_stabilize/2 resets its routing table to the current successor.

File rt_simple.erl:

update/7 updates the routing table with the new successor.

File rt_simple.erl:

```
89 %% @doc Removes dead nodes from the routing table (rely on periodic 90 %% stabilization here).
91 filter_dead_node(RT, _DeadPid) -> RT.
```

filter_dead_node/2 does nothing, as only the successor is listed in the routing table and that is reset periodically in init_stabilize/2.

File rt_simple.erl:

```
95  %% @doc Returns the pids of the routing table entries.
96  to_pid_list(Succ) -> [node:pidX(Succ)].
```

to_pid_list/1 returns the pid of the successor.

File rt_simple.erl:

```
100 %% @doc Returns the size of the routing table.
101 get_size(_RT) -> 1.
```

The size of the routing table is always 1.

File rt_simple.erl:

This get_replica_keys/1 implements symmetric replication.

File rt_simple.erl:

There are 2^{128} available keys.

File rt_simple.erl:

dump/1 lists the successor.

File rt_simple.erl:

```
222 %% @doc Converts the (external) representation of the routing table to a list
223 %% in the order of the fingers, i.e. first=succ, second=shortest finger,
224 %% third=next longer finger,...
225 to_list(State) -> [dht_node_state:get(State, rt)].
```

to_list/1 lists the successor from the external routing table state.

File rt_simple.erl:

```
216  %% @doc Converts the internal RT to the external RT used by the dht_node. Both
217  %% are the same here.
218 export_rt_to_dht_node(RT, _Neighbors) -> RT.
```

export_rt_to_dht_node/2 states that the external routing table is the same as the internal table.

File rt_simple.erl:

```
168

%% @doc There are no custom messages here.
-spec handle_custom_message

(custom_message() | any(), rt_loop:state_active()) -> unknown_event.

handle_custom_message(_Message, _State) -> unknown_event.
```

Custom messages could be send from a routing table process on one node to the routing table process on another node and are independent from any other implementation.

File rt_simple.hrl:

```
175
    %% @doc Notifies the dht_node and failure detector if the routing table changed.
176
             Provided for convenience (see check/5).
177
    check(OldRT, NewRT, Neighbors, ReportToFD) ->
178
         check(OldRT, NewRT, Neighbors, Neighbors, ReportToFD).
179
180
    %% @doc Notifies the dht_node if the (external) routing table changed.
             Also updates the failure detector if ReportToFD is set.
181
    %%
182
             Note: the external routing table only changes the internal RT has
183
             changed.
184
    check(OldRT, NewRT, _OldNeighbors, NewNeighbors, ReportToFD) ->
185
        case OldRT =:= NewRT of
             true -> ok;
186
187
188
                 Pid = pid_groups:get_my(dht_node),
189
                 RT_ext = export_rt_to_dht_node(NewRT, NewNeighbors),
190
                 comm:send_local(Pid, {rt_update, RT_ext}),
191
                 % update failure detector:
192
                 case ReportToFD of
193
                     true -
194
                         NewPids = to_pid_list(NewRT),
195
                         OldPids = to_pid_list(OldRT),
196
                         fd:update_subscriptions(OldPids, NewPids);
197
198
                 end
199
         end.
```

Checks whether the routing table changed and in this case sends the dht_node an updated (external) routing table state. Optionally the failure detector is updated. This may not be necessary, e.g. if check is called after a crashed node has been reported by the failure detector (the failure detector already unsubscribes the node in this case).

8.3.3. Chord routing table (rt_chord)

The file rt_chord.erl implements Chord's routing.

Data types

File rt_chord.erl:

The routing table is a gb_tree. Identifiers in the ring are integers. Note that in Erlang integer can be of arbitrary precision. For Chord, the identifiers are in $[0, 2^{128})$, i.e. 128-bit strings.

The rm_beh behaviour for Chord (excerpt)

empty/1 returns an empty gb_tree, same for empty_ext/1.

rt_chord:hash_key/1, rt_chord:get_random_node_id/0, rt_chord:get_replica_keys/1 and rt_chord:n/0 are implemented like their counterparts in rt_simple.erl.

File rt_chord.erl:

```
278
    %% Odoc Returns the next hop to contact for a lookup.
279
             If the routing table has less entries than the rt_size_use_neighbors
280
             config parameter, the neighborhood is also searched in order to find a
    %%
281
    %%
             proper next hop.
282
    %%
             Note, that this code will be called from the dht_node process and
283
             it will thus have an external_rt!
    %%
284
    next_hop(State, Id) ->
285
        case intervals:in(Id, dht_node_state:get(State, succ_range)) of
286
             true -> dht_node_state:get(State, succ_pid);
287
288
                 % check routing table:
         RT = dht_node_state:get(State, rt),
289
290
                 RTSize = get_size(RT),
                 NodeRT = case util:gb_trees_largest_smaller_than(Id, RT) of
291
292
                               {value, _Key, N} ->
293
                                   N:
294
                               nil when RTSize =:= 0 ->
295
                                   dht_node_state:get(State, succ);
296
                               nil -> % forward to largest finger
297
                                   {_Key, N} = gb_trees:largest(RT),
298
299
                           end.
300
                 FinalNode =
301
                      case RTSize < config:read(rt_size_use_neighbors) of</pre>
302
                         false -> NodeRT;
303
304
                              % check neighborhood:
305
                              {\tt nodelist:largest\_smaller\_than} \, (
306
                                dht_node_state:get(State, neighbors), Id, NodeRT)
307
                     end.
                 node:pidX(FinalNode)
308
309
         end.
```

If the (external) routing table contains at least one item, the next hop is retrieved from the gb_tree. It will be the node with the largest id that is smaller than the id we are looking for. If the routing

table is empty, the successor is chosen. However, if we haven't found the key in our routing table, the next hop will be our largest finger, i.e. entry.

File rt_chord.erl:

The routing table stabilization is triggered for the first index and then runs asynchronously, as we do not want to block the rt_loop to perform other request while recalculating the routing table.

We have to find the node responsible for the calculated finger and therefore perform a lookup for the node with a rt_get_node message, including a reference to ourselves as the reply-to address and the index to be set.

The lookup performs an overlay routing by passing the message until the responsible node is found. There, the message is delivered to the routing_table process The remote node sends the requested information back directly. It includes a reference to itself in a rt_get_node_response message. Both messages are handled by rt_chord:handle_custom_message/2:

File rt_chord.erl:

```
219 %% @doc Chord reacts on 'rt_get_node_response' messages in response to its
220
            'rt_get_node' messages.
221
    -spec handle_custom_message
            (custom_message(), rt_loop:state_active()) -> rt_loop:state_active();
223
            (any(), rt_loop:state_active()) -> unknown_event.
224
    handle_custom_message({rt_get_node, Source_PID, Index}, State) ->
        MyNode = nodelist:node(rt_loop:get_neighb(State)),
226
        comm:send(Source_PID, {rt_get_node_response, Index, MyNode}),
227
        State;
228
   handle_custom_message({rt_get_node_response, Index, Node}, State) ->
229
        OldRT = rt_loop:get_rt(State),
230
        Id = rt_loop:get_id(State),
231
        Succ = rt_loop:get_succ(State),
232
        NewRT = stabilize(Id, Succ, OldRT, Index, Node),
233
        check(OldRT, NewRT, rt_loop:get_neighb(State), true),
234
        rt_loop:set_rt(State, NewRT);
235 handle_custom_message(_Message, _State) ->
236
        unknown event.
```

File rt_chord.erl:

```
151
    %% @doc Updates one entry in the routing table and triggers the next update.
152
    -spec stabilize(MyId::key() | key_t(), Succ::node:node_type(), OldRT::rt(),
153
                    Index::index(), Node::node:node_type()) -> NewRT::rt().
154
    stabilize(Id, Succ, RT, Index, Node) ->
155
        case (node:id(Succ) =/= node:id(Node))
                                                   % reached succ?
156
            andalso (not intervals:in(
                                                  % there should be nothing shorter
                                                  %
157
                        node:id(Node),
                                                       than succ
158
                        node:mk_interval_between_ids(Id, node:id(Succ)))) of
159
                NewRT = gb_trees:enter(Index, Node, RT);
160
161
                Key = calculateKey(Id, next_index(Index)),
                Msg = {rt_get_node, comm:this(), next_index(Index)},
162
163
                lookup:unreliable_lookup(Key,
164
                                          {send_to_group_member, routing_table, Msg}),
165
                NewRT:
166
            _ -> RT
```

stabilize/5 assigns the received routing table entry and triggers the routing table stabilization for the the next shorter entry using the same mechanisms as described above.

If the shortest finger is the successor, then filling the routing table is stopped, as no further new entries would occur. It is not necessary, that Index reaches 1 to make that happen. If less than 2^{128} nodes participate in the system, it may happen earlier.

File rt_chord.erl:

Tells the rt_loop process to rebuild the routing table starting with an empty (internal) routing table state.

File rt_chord.erl:

filter_dead_node removes dead entries from the gb_tree.

File rt_chord.erl:

```
313
     export_rt_to_dht_node(RT, Neighbors) ->
314
         Id = nodelist:nodeid(Neighbors),
315
         Pred = nodelist:pred(Neighbors),
316
         Succ = nodelist:succ(Neighbors),
317
         Tree = gb_trees:enter(node:id(Succ), Succ,
                                 gb_trees:enter(node:id(Pred), Pred, gb_trees:empty())),
318
319
         util:gb_trees_foldl(fun (_K, V, Acc) ->
320
                                         \mbox{\ensuremath{\mbox{\%}}} only store the ring id and the according node structure
321
                                         case node:id(V) =:= Id of
322
                                             true -> Acc;
323
                                             false -> gb_trees:enter(node:id(V), V, Acc)
324
325
                               end, Tree, RT).
```

export_rt_to_dht_node converts the internal gb_tree structure based on indices into the external representation optimised for look-ups, i.e. a gb_tree with node ids and the nodes themselves.

File rt_chord.hrl:

```
240
    %% @doc Notifies the dht_node and failure detector if the routing table changed.
241
            Provided for convenience (see check/5).
242
    check(OldRT, NewRT, Neighbors, ReportToFD) ->
243
        check(OldRT, NewRT, Neighbors, Neighbors, ReportToFD).
244
245
    %% @doc Notifies the dht_node if the (external) routing table changed.
            Also updates the failure detector if ReportToFD is set.
246
    %%
247
    %%
            Note: the external routing table also changes if the Pred or Succ
248
249
    check(OldRT, NewRT, OldNeighbors, NewNeighbors, ReportToFD) ->
250
        case OldRT =:= NewRT andalso
```

```
nodelist:pred(OldNeighbors) =:= nodelist:pred(NewNeighbors) andalso
nodelist:succ(OldNeighbors) =:= nodelist:succ(NewNeighbors) of
251
252
253
254
                   Pid = pid_groups:get_my(dht_node),
255
256
                    RT_ext = export_rt_to_dht_node(NewRT, NewNeighbors),
257
                    comm:send_local(Pid, {rt_update, RT_ext}),
258
                    % update failure detector:
                    case ReportToFD of
259
260
                         true ->
261
                             NewPids = to_pid_list(NewRT),
                             OldPids = to_pid_list(OldRT),
262
                             fd:update_subscriptions(OldPids, NewPids);
263
264
265
                    end
266
```

Checks whether the routing table changed and in this case sends the dht_node an updated (external) routing table state. Optionally the failure detector is updated. This may not be necessary, e.g. if check is called after a crashed node has been reported by the failure detector (the failure detector already unsubscribes the node in this case).

- 8.4. Local Datastore
- 8.5. Cyclon
- 8.6. Vivaldi Coordinates
- 8.7. Estimated Global Information (Gossiping)
- 8.8. Load Balancing
- 8.9. Broadcast Trees

9. Transactions in Scalaris

- 9.1. The Paxos Module
- 9.2. Transactions using Paxos Commit
- 9.3. Applying the Tx-Modules to replicated DHTs

Introduces transaction processing on top of a Overlay

10. How a node joins the system

Description is based on SVN revision r1329.

After booting a new Scalaris-System as described in Section 2.5.1 on page 10, ten additional local nodes can be started by typing admin:add_nodes(10) in the Erlang-Shell that the boot process opened ¹.

```
scalaris> ./bin/boot.sh
[...]
(boot@csr-pc9)1> admin:add_nodes(10)
```

In the following we will trace what this function does in order to add additional nodes to the system. The function admin:add_nodes(int) is defined as follows.

File admin.erl:

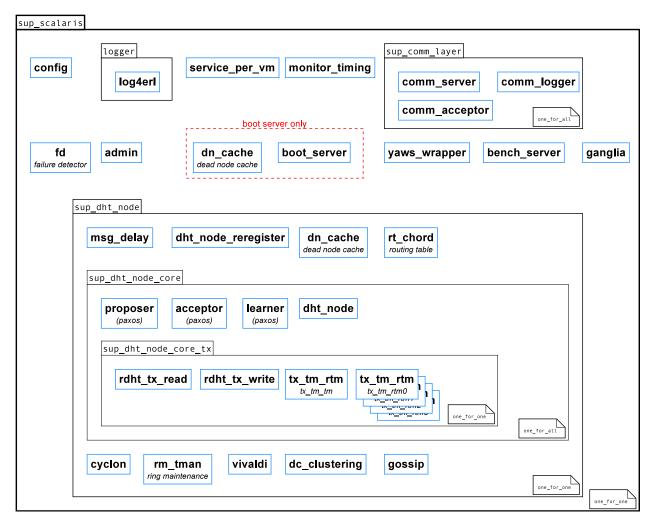
```
% @doc add new Scalaris nodes on the local node
   -spec add_node_at_id(?RT:key()) ->
39
           ok | {error, already_present | {already_started, pid() | undefined} | term()}.
40
    add node at id(Id) ->
41
        add_node([{{dht_node, id}, Id}, {skip_psv_lb}]).
42
43
   -spec add_node([tuple()]) ->
44
           ok | {error, already_present | {already_started, pid() | undefined} | term()}.
45
   add_node(Options) ->
46
        DhtNodeId = randoms:getRandomId(),
47
        Desc = util:sup_supervisor_desc(
48
                 DhtNodeId, config:read(dht_node_sup), start_link,
49
                  [[{my_sup_dht_node_id, DhtNodeId} | Options]]),
50
        case supervisor:start_child(main_sup, Desc) of
            {ok, _Child} -> ok;
{ok, _Child, _Info} -> ok;
51
52
            \{error, \_Error\} = X \rightarrow X
53
54
55
56
   -spec add_nodes(non_neg_integer()) ->
57
            nothing_to_do | [ok | {error, already_present |
58
                              {already_started, pid() | undefined} | term()},...].
   add_nodes(0) -> nothing_to_do;
59
60
   add_nodes(Count) ->
        [add_node([]) || _X <- lists:seq(1, Count)].
```

It calls admin:add_node([]) Count times. This function starts a new child with the given options for the main supervisor main_sup. In particular, it sets a random ID that is passed to the new node as its suggested ID to join at. To actually perform the start, the function sup_dht_node:start_link/1 is called by the Erlang supervisor mechanism. For more details on the OTP supervisor mechanism see Chapter 18 of the Erlang book [1] or the online documentation at http://www.erlang.org/doc/man/supervisor.html.

¹Increase the log level to info to get more detailed startup logs. See Section 2.7 on page 12

10.1. Supervisor-tree of a Scalaris node

When a new Erlang VM with a Scalaris node is started, a sup_scalaris supervisor is started that creates further workers and supervisors according to the following scheme (processes starting order: left to right, top to bottom):



When new nodes are started using admin:add_node/1, only new sup_dht_node supervisors are started.

10.2. Starting the sup_dht_node supervisor and general processes of a node

Starting supervisors is a two step process: a call to supervisor:start_link/2,3, e.g. from a custom supervisor's own start_link method, will start the supervisor process. It will then call Module:init/1 to find out about the restart strategy, maximum restart frequency and child processes. Note that supervisor:start_link/2,3 will not return until Module:init/1 has returned and all child processes have been started.

Let's have a look at sup_dht_node:init/1, the 'DHT node supervisor'.

File sup_dht_node.erl:

```
-spec init([tuple()]) -> {ok, {{one_for_one, MaxRetries::pos_integer(),
45
                                     PeriodInSeconds::pos_integer()},
46
                                   [ProcessDescr::any()]}}.
47
   init(Options) ->
48
       DHTNodeGroup = pid_groups:new("dht node "),
49
       pid_groups:join_as(DHTNodeGroup, ?MODULE),
50
       boot_server:connect(),
51
52
       Cyclon = util:sup_worker_desc(cyclon, cyclon, start_link, [DHTNodeGroup]),
53
       DC_Clustering =
54
           util:sup_worker_desc(dc_clustering, dc_clustering, start_link,
55
                                  [DHTNodeGroup]),
       DeadNodeCache =
57
           util:sup_worker_desc(deadnodecache, dn_cache, start_link,
58
                                  [DHTNodeGroup]),
59
60
           util:sup_worker_desc(msg_delay, msg_delay, start_link,
                                  [DHTNodeGroup]),
61
62
       Gossip =
           util:sup_worker_desc(gossip, gossip, start_link, [DHTNodeGroup]),
63
64
       Reregister
65
           util:sup_worker_desc(dht_node_reregister, dht_node_reregister,
66
                                 start_link, [DHTNodeGroup]),
67
       RingMaintenance =
68
           util:sup_worker_desc(ring_maintenance, rm_loop, start_link, [DHTNodeGroup]),
69
        RoutingTable =
70
           util:sup_worker_desc(routing_table, rt_loop, start_link,
71
                                 [DHTNodeGroup]),
       SupDHTNodeCore_AND =
72
73
           util:sup_supervisor_desc(sup_dht_node_core, sup_dht_node_core,
74
                                      start_link, [DHTNodeGroup, Options]),
75
76
           util:sup_worker_desc(vivaldi, vivaldi, start_link, [DHTNodeGroup]),
77
78
       %% order in the following list is the start order
79
        {ok, {{one_for_one, 10, 1},
80
81
              Delayer,
82
              Reregister,
83
               DeadNodeCache,
84
               RingMaintenance,
85
              RoutingTable,
86
               Cyclon,
87
              Vivaldi
              DC_Clustering,
              Gossip,
89
90
               SupDHTNodeCore_AND
91
              ]}}.
```

The return value of the init/1 function specifies the child processes of the supervisor and how to start them. Here, we define a list of processes to be observed by a one_for_one supervisor. The processes are: Delayer, Reregister, DeadNodeCache, RoutingTable, SupDHTNodeCore_AND, Cyclon, RingMaintenance, Vivaldi, DC_Clustering and a Gossip process in this order.

The term {one_for_one, 10, 1} specifies that the supervisor should try 10 times to restart each process before giving up. one_for_one supervision means, that if a single process stops, only that process is restarted. The other processes run independently.

When the sup_dht_node:init/1 is finished the supervisor module starts all the defined processes by calling the functions that were defined in the returned list.

For a join of a new node, we are only interested in the starting of the SupDHTNodeCore_AND process here. At that point in time, all other defined processes are already started and running.

10.3. Starting the sup_dht_node_core supervisor with a peer and some paxos processes

Like any other supervisor the sup_dht_node_core supervisor calls its sup_dht_node_core:init/1 function:

File sup_dht_node_core.erl:

```
-spec init({pid_groups:groupname(), Options::[tuple()]}) ->
41
                      {ok, {{one_for_all, MaxRetries::pos_integer(),
42
                             PeriodInSeconds::pos_integer()},
43
                             [ProcessDescr::any()]}}.
44
   init({DHTNodeGroup, Options}) ->
45
       pid_groups:join_as(DHTNodeGroup, ?MODULE),
46
        Proposer =
47
            util:sup_worker_desc(proposer, proposer, start_link, [DHTNodeGroup]),
48
        Acceptor =
49
           util:sup_worker_desc(acceptor, acceptor, start_link, [DHTNodeGroup]),
50
        Learner =
           util:sup_worker_desc(learner, learner, start_link, [DHTNodeGroup]),
51
        DHTNode =
53
            util:sup_worker_desc(dht_node, dht_node, start_link,
54
                                  [DHTNodeGroup, Options]),
55
56
            util:sup_supervisor_desc(sup_dht_node_core_tx, sup_dht_node_core_tx, start_link,
57
                                      [DHTNodeGroup]),
58
        {ok, {{one_for_all, 10, 1},
59
              Ε
60
               Proposer, Acceptor, Learner,
              DHTNode,
61
62
               ΤX
              ]}}.
```

It defines five processes, that have to be observed using a one_for_all-supervisor, which means, that if one fails, all have to be restarted. The dht_node module implements the main component of a full Scalaris node which glues together all the other processes. Its dht_node:start_link/2 function will get the following parameters: (a) the processes' group that is used with the pid_groups module and (b) a list of options for the dht_node. The process group name was calculated a bit earlier in the code. Exercise: Try to find where.

```
File dht_node.erl:
```

Like many other modules, the dht_node module implements the gen_component behaviour. This behaviour was developed by us to enable us to write code which is similar in syntax and semantics to the examples in [3]. Similar to the supervisor behaviour, a module implementing this behaviour has to provide an init/1 function, but here it is used to initialize the state of the component. This function is described in the next section.

Note: ?MODULE is a predefined Erlang macro, which expands to the module name, the code belongs to (here: dht_node).

10.4. Initializing a dht_node-process

File dht_node.erl:

```
381
    %% @doc joins this node in the ring and calls the main loop
382
    -spec init(Options::[tuple()]) -> dht_node_state:state().
383
    init(Options)
384
        {my_sup_dht_node_id, MySupDhtNode} = lists:keyfind(my_sup_dht_node_id, 1, Options),
385
        erlang:put(my_sup_dht_node_id, MySupDhtNode),
386
        % get my ID (if set, otherwise chose a random ID):
387
        Id = case lists:keyfind({dht_node, id}, 1, Options) of
388
                 {{dht_node, id}, IdX} -> IdX;
                  _ -> ?RT:get_random_node_id()
389
390
             end,
        case is_first(Options) of
391
392
            true -> dht_node_join:join_as_first(Id, 0, Options);
393
                 -> dht_node_join:join_as_other(Id, 0, Options)
394
```

The gen_component behaviour registers the dht_node in the process dictionary. Formerly, the process had to do this itself, but we moved this code into the behaviour. If an ID was given to dht_node:init/1 function as a {{dht_node, id}, KEY} tuple, the given Id will be used. Otherwise a random key is generated. Depending on whether the node is the first inside a VM marked as first or not, the according function in dht_node_join is called. Also the pid of the node's supervisor is kept for future reference.

10.5. Actually joining the ring

After retrieving its identifier, the node starts the join protocol which processes the appropriate messages calling dht_node_join:process_join_state(Message, State). On the existing node, join messages will be processed by dht_node_join:process_join_msg(Message, State).

10.5.1. A single node joining an empty ring

```
File dht_node_join.erl:
```

```
-spec join_as_first(Id::?RT:key(), IdVersion::non_neg_integer(), Options::[tuple()])
67
68
            -> dht_node_state:state().
69
   join_as_first(Id, IdVersion, _Options) ->
70
       % ugly hack to get a valid ip-address into the comm-layer
71
       dht_node:trigger_known_nodes(),
72
       log:log(info, "[Node w] joining as first: (~.0p, ~.0p)",
73
               [self(), Id, IdVersion]),
74
        Me = node:new(comm:this(), Id, IdVersion),
75
        \% join complete, State is the first "State"
        finish_join(Me, Me, Me, ?DB:new(), msg_queue:new()).
```

If the ring is empty, the joining node will be the only node in the ring and will thus be responsible for the whole key space. It will trigger all known nodes to initialize the comm layer and then finish the join. dht_node_join:finish_join/5 just creates a new state for a Scalaris node consisting of the given parameters (the node as itself, its predecessor and successor, an empty database and the queued messages that arrived during the join). It then activates all dependent processes and creates a routing table from this information.

The dht_node_state:state() type is defined in

File dht_node_state.erl:

```
-record(state, {rt = ?required(state, rt) :: ?RT:external_rt(),

neighbors = ?required(state, neighbors) :: tid(),
```

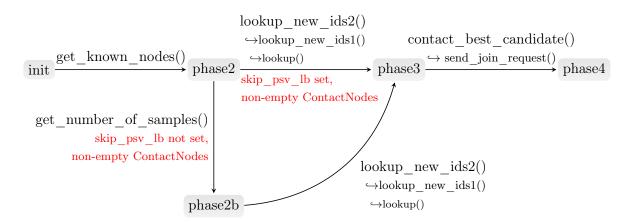
```
52
                    join_time = ?required(state, join_time) :: util:time(),
                    trans_log
53
                              = ?required(state, trans_log) :: #translog{},
54
                               = ?required(state, db)
                                                             :: ?DB:db(),
55
                               = ?required(state, tx_tp_db)
                    tx_tp_db
                                                             :: any(),
56
                              = ?required(state, proposer)
                                                             :: pid(),
                    proposer
57
                    % slide with pred (must not overlap with 'slide with succ'!):
58
                    slide_pred = null :: slide_op:slide_op() | null,
59
                    % slide with succ (must not overlap with 'slide with pred'!):
60
                    slide_succ = null :: slide_op:slide_op() | null,
                             = []
61
                    msg_fwd
                                    :: [{intervals:interval(), comm:mypid()}],
62
                    % additional range to respond to during a move:
                             = intervals:empty() :: intervals:interval()
63
                    db_range
64
                   }).
   -opaque state() :: #state{}.
```

10.5.2. A single node joining an existing (non-empty) ring

If a node joins an existing ring, its join protocol will step through the following four phases:

- phase2 finding nodes to contact with the help of the configured known_hosts
- phase2b getting the number of Ids to sample (may be skipped)
- phase3 lookup nodes responsible for all sampled Ids
- phase4 joining a selected node and setting up item movements

The following figure shows a (non-exhaustive) overview of the transitions between the phases in the normal case. We will go through these step by step and discuss what happens if errors occur.



At first all nodes set in the known_hosts configuration parameter are contacted. Their responses are then handled in phase 2.

```
-spec join_as_other(Id::?RT:key(), IdVersion::non_neg_integer(), Options::[tuple()])
-> {join, phase2(), msg_queue:msg_queue()}.

join_as_other(Id, IdVersion, Options) ->
log:log(info,"[Node ~w] joining, trying ID: (~.0p, ~.0p)",

[self(), Id, IdVersion]),

get_known_nodes(),

msg_delay:send_local(get_join_timeout() div 1000, self(), {join, timeout}),

{join, {phase2, Options, IdVersion, [], [Id], []}, msg_queue:new()}.
```

Phase 2 and 2b

Phase 2 collects all dht_node processes inside the contacted VMs. It therefor mainly processes get_dht_nodes_response messages and integrates all received nodes into the list of ContactNodes. The next step depends on whether the {skip_psv_lb} option for skipping any passive load balancing algorithm has been given to the dht_node or not. If it is present, the node will only use the ID that has been initially passed to dht_node_join:join_as_other/3, issue a lookup for the responsible node and move to phase 3. Otherwise, the passive load balancing's lb_psv_*:get_number_of_samples/1 method will be called asking for the number of IDs to sample. Its answer will be processed in phase 2b.

get_dht_nodes_response messages arriving in phase 2b or later will be processed anyway and received dht_node processes will be integrated into the ContactNodes. These phases' operations will not be interrupted and nothing else is changed.

File dht_node_join.erl:

```
106
    \% in phase 2 add the nodes and do lookups with them / get number of samples
107
    process_join_state({get_dht_nodes_response, Nodes},
108
                         {join, JoinState, QueuedMessages})
109
      when element(1, JoinState) =:= phase2 ->
        %io:format("p2: got dht_nodes_response ~p~n", [lists:delete(comm:this(), Nodes)]),
110
111
         ContactNodes = [Node || Node <- Nodes, Node =/= comm:this()],</pre>
112
        NewJoinState = phase2_next_step(JoinState, ContactNodes, true),
113
        {join, NewJoinState, QueuedMessages};
114
115
    % in all other phases, just add the provided nodes:
116
    process_join_state({get_dht_nodes_response, Nodes};
117
                        {join, JoinState, QueuedMessages})
      when element(1, JoinState) =:= phase2b orelse
118
119
                element(1, JoinState) =:= phase3 orelse
                element(1, JoinState) =:= phase4 ->
120
        FurtherNodes = [Node || Node <- Nodes, Node =/= comm:this()],</pre>
121
         {join, add_contact_nodes_back(FurtherNodes, JoinState), QueuedMessages};
122
```

Phase 2b will handle <code>get_number_of_samples</code> messages from the passive load balance algorithm. Once received, new (unique) IDs will be sampled randomly so that the total number of join candidates (selected IDs together with fully processed candidates from further phases) is at least as high as the given number of samples. Afterwards, lookups will be created for all previous IDs as well as the new ones and the node will move to phase 3.

File dht_node_join.erl:

```
137
    \% note: although this message was send in phase2, also accept message in
    % phase2, e.g. messages arriving from previous calls
138
139
    {\tt process\_join\_state(\{join, \ get\_number\_of\_samples, \ Samples, \ Source\},}
140
                         {join, JoinState, QueuedMessages})
141
       when element(1, JoinState) =:= phase2 orelse
                element(1, JoinState) =:= phase2b ->
142
         %io:format("p2b: got get_number_of_samples ~p~n", [Samples]),
143
         \mbox{\ensuremath{\mbox{\%}}} prefer node that send get_number_of_samples as first contact node
144
145
         JoinState1 = add_contact_nodes_front([Source], JoinState),
146
         % (re-)issue lookups for all existing IDs
         JoinState2 = lookup(JoinState1),
147
148
         \% then create additional samples, if required
149
         {join, lookup_new_ids2(Samples, JoinState2), QueuedMessages};
150
151
    % ignore message arriving in other phases:
152
    process_join_state({join, get_number_of_samples, _Samples, _Source}, State) ->
153
         State;
```

Lookups will make Scalaris find the node currently responsible for a given ID and send a request to simulate a join to this node, i.e. a get_candidate message. Note that during such an operation, the

joining node will become the existing node's predecessor. The simulation will be delegated to the passive load balance algorithm the joining node requested, as set by the <code>join_lb_psv</code> configuration parameter.

Phase 3

The result of the simulation will be send in a <code>get_candidate_response</code> message and will be processed in phase 3 of the joining node. It will be integrated into the list of processed candidates. If there are no more IDs left to process, the best among them will be contacted. Otherwise further <code>get_candidate_response</code> messages will be awaited. Such messages will also be processed in the other phases where the candidate will be simply added to the list.

File dht_node_join.erl:

```
169
    process_join_state({join, get_candidate_response, OrigJoinId, Candidate},
170
                        {join, JoinState, QueuedMessages})
       when element(1, JoinState) =:= phase3 ->
171
172
        %io:format("p3: got candidate ~. Op", [Candidate]),
173
         JoinState1 = remove_join_id(OrigJoinId, JoinState),
174
         JoinState2 = integrate_candidate(Candidate, JoinState1, front),
175
        NewJoinState =
176
             case get_join_ids(JoinState2) of
177
                 [] -> \% no more join ids to look up -> join with the best:
178
                     contact_best_candidate(JoinState2);
179
                 [_|_] -> % still some unprocessed join ids -> wait
180
                     JoinState2
181
             end.
182
        {join, NewJoinState, QueuedMessages};
183
184
    % In phase 2 or 2b, also add the candidate but do not continue.
185
    process_join_state({join, get_candidate_response, OrigJoinId, Candidate},
186
                        {join, JoinState, QueuedMessages})
187
       when element(1, JoinState) =:= phase2 orelse
               element(1, JoinState) =:= phase2b ->
188
189
        \%io:format("p2(b): got candidate ~.0p", [Candidate]),
190
         JoinState1 = remove_join_id(OrigJoinId, JoinState),
         JoinState2 = integrate_candidate(Candidate, JoinState1, front),
191
192
        {join, JoinState2, QueuedMessages};
193
194
    \% In phase 3b or 4, add the candidate to the end of the candidates as they are sorted
    % and the join with the first has already started (use this candidate as backup
195
196
    \% if the join fails). Do not start a new join.
197
    process_join_state({join, get_candidate_response, OrigJoinId, Candidate},
198
                        {join, JoinState, QueuedMessages})
199
       when element(1, JoinState) =:= phase4 ->
200
        %io:format("p3b/4: got candidate ~.0p", [Candidate]),
201
         JoinState1 = remove_join_id(OrigJoinId, JoinState),
202
         JoinState2 = integrate_candidate(Candidate, JoinState1, back),
203
         {join, JoinState2, QueuedMessages};
```

If dht_node_join:contact_best_candidate/1 is called and candidates are available (there should be at this stage!), it will sort the candidates by using the passive load balance algorithm and send a join_request message continuing in phase 4.

```
591
    %% @doc Contacts the best candidate among all stored candidates and sends a
592
            join_request (Timeouts = 0).
593
    -spec contact_best_candidate(JoinState::phase_2_4())
594
             -> phase2() | phase2b() | phase4().
595
    contact_best_candidate(JoinState) ->
596
        contact_best_candidate(JoinState, 0).
597
    \%\% @doc Contacts the best candidate among all stored candidates and sends a
598
    %%
            join_request. Timeouts is the number of join_request_timeout messages
599
    %%
            previously received.
    -spec contact_best_candidate(JoinState::phase_2_4(), Timeouts::non_neg_integer())
600
             -> phase2() | phase2b() | phase4().
601
602
    contact_best_candidate(JoinState, Timeouts) ->
603
        JoinState1 = sort_candidates(JoinState),
604
         send_join_request(JoinState1, Timeouts).
```

File dht_node_join.erl:

```
608
    	ilde{	ilde{	imes}} Odoc Sends a join request to the first candidate. Timeouts is the number of
609
    %%
             join_request_timeout messages previously received.
610
             PreCond: the id has been set to the ID to join at and has been updated
611
                      in JoinState.
612
    -spec send_join_request(JoinState::phase_2_4(), Timeouts::non_neg_integer())
613
             -> phase2() | phase2b() | phase4().
    send_join_request(JoinState, Timeouts) ->
         case get_candidates(JoinState) of
615
616
             [] -> % no candidates -> start over (should not happen):
617
                 start_over(JoinState);
             [BestCand | _] ->
618
619
                 Id = node_details:get(lb_op:get(BestCand, n1_new), new_key),
620
                 IdVersion = get_id_version(JoinState),
621
                 NewSucc = node_details:get(lb_op:get(BestCand, n1succ_new), node),
                 Me = node:new(comm:this(), Id, IdVersion),
622
                 comm:send(node:pidX(NewSucc), {join, join_request, Me}),
623
624
                 msg_delay:send_local(get_join_request_timeout() div 1000,
625
                                       self(), {join, join_request_timeout, Timeouts}),
626
                 set_phase(phase4, JoinState)
627
         end.
```

The join_request message will be received by the existing node which will set up a slide operation with the new node or deny the request if it is not responsible for the key (anymore) and reply with a {join, join_response, not_responsible, Node} message.

```
350
    process_join_msg({join, join_request, NewPred}, State) when (not is_atom(NewPred)) ->
351
         TargetId = node:id(NewPred),
352
         \% only reply to join request with keys in our range:
353
         KeyInRange = dht_node_state:is_responsible(node:id(NewPred), State),
354
         case KeyInRange andalso
355
                  dht_node_move:can_slide_pred(State, TargetId, {join, 'rcv'}) of
356
357
                 % TODO: implement step-wise join
                 MoveFullId = util:get_global_uid(),
358
359
                 SlideOp = slide_op:new_sending_slide_join(
                             MoveFullId, NewPred, join, State),
360
361
                 SlideOp1 = slide_op:set_phase(SlideOp, wait_for_pred_update_join),
362
                 RMSubscrTag = {move, slide_op:get_id(SlideOp1)},
                 rm_loop:subscribe(self(), RMSubscrTag,
363
                                   fun(_OldNeighbors, NewNeighbors) ->
364
                                            NewPred =:= nodelist:pred(NewNeighbors)
365
366
367
                                    fun dht_node_move:rm_notify_new_pred/4),
368
                 State1 = dht_node_state:add_db_range(
369
                            State, slide_op:get_interval(SlideOp1)),
370
                 send_join_response(State1, SlideOp1, NewPred);
371
             _ when not KeyInRange -
372
                 comm:send(node:pidX(NewPred), {join, join_response, not_responsible,
```

```
373
374
375
376
376
377
378
378
378
378
4ht_node_state:get(State, node)}),
378
4ht_node_state:get(State, node)}),
379
4ht_node_state:get(State, node)}),
379
4ht_node_state:get(State, node)}),
```

If it is responsible for the ID and is not participating in a slide with its current predecessor, it will set up a slide with the joining node:

File dht_node_join.erl:

```
647
    -spec send_join_response(State::dht_node_state:state();
648
                              NewSlideOp::slide_op:slide_op(),
649
                              NewPred::node:node_type())
650
             -> dht_node_state:state().
651
    send_join_response(State, SlideOp, NewPred)
652
        MoveFullId = slide_op:get_id(SlideOp),
        NewSlideOp = slide_op:set_timer(SlideOp, get_join_response_timeout(),
653
654
                                         {join, join_response_timeout, NewPred, MoveFullId}),
655
         MyOldPred = dht_node_state:get(State, pred),
656
         MyNode = dht_node_state:get(State, node),
657
         comm:send(node:pidX(NewPred), {join, join_response, MyNode, MyOldPred, MoveFullId}),
658
         % no need to tell the ring maintenance -> the other node will trigger an update
         \% also this is better in case the other node dies during the join
659
660
            rm_loop:notify_new_pred(comm:this(), NewPred),
661
         dht_node_state:set_slide(State, pred, NewSlideOp).
```

Phase 4

The joining node will receive the join_response message in phase 4 of the join protocol. If everything is ok, it will notify its ring maintenance process that it enters the ring, start all required processes and join the slide operation set up by the existing node in order to receive some of its data.

If the join candidate's node is not responsible for the candidate's ID anymore or the candidate's ID already exists, the next candidate is contacted until no further candidates are available and the join protocol starts over using dht_node_join:start_over/1.

Note that the join_response message will actually be processed in any phase. Therefore, if messages arrive late, the join can be processed immediately and the rest of the join protocol does not need to be executed again.

```
230
    process_join_state({join, join_response, not_responsible, Node},
231
                        {join, JoinState, QueuedMessages} = State)
       when element(1, JoinState) =:= phase4 ->
232
233
         % the node we contacted is not responsible for our key (anymore)
234
         % -> try the next candidate, if the message is related to the current candidate
235
         case get_candidates(JoinState) of
236
             [] -> % no candidates -> should not happen in phase4!
                 log:log(error, "[ Node ~w ] empty candidate list in join phase 4, "
237
                              "starting over", [self()]),
238
                 {join, start_over(JoinState), QueuedMessages};
239
240
             [Candidate | _Rest] ->
241
                 CandidateNode = node_details:get(lb_op:get(Candidate, n1succ_new), node),
                 case node:same_process(CandidateNode, Node) of
2.42
                     false -> State; % ignore old/unrelated message
243
244
245
                         log:log(info,
246
                                  "[ Node \widetilde{} w ] node contacted for join is not responsible for "
                                  "the selected ID (anymore), trying next candidate",
247
248
                                  [self()]),
249
                          JoinState1 = case skip_psv_lb(JoinState) of
```

```
250
                                          true -> remove_candidate_front_keep_id(JoinState);
251
                                               -> remove_candidate_front(JoinState)
252
                                      end,
253
                         {join, contact_best_candidate(JoinState1), QueuedMessages}
254
                 end
255
        end:
256
257
    % ignore (delayed) message arriving in other phases:
258
    process_join_state({join, join_response, not_responsible, _Node}, State) -> State;
259
260
    % note: accept (delayed) join_response messages in any phase
261
    process_join_state({join, join_response, Succ, Pred, MoveId},
2.62
                       {join, JoinState, QueuedMessages} = State) ->
        %io:format("p4: join_response~n"),
263
264
        % only act on related messages, i.e. messages from the current candidate
265
        Phase = get_phase(JoinState),
266
        case get_candidates(JoinState) of
             [] when Phase =:= phase4 -> % no candidates -> should not happen in phase4!
267
                log:log(error, "[ Node ~w ] empty candidate list in join phase 4, "
268
269
                            "starting over", [self()]),
2.70
                 {join, start_over(JoinState), QueuedMessages};
271
             [] -> State; % in all other phases, ignore the delayed join_response
272
                         % if no candidates exist
273
             [Candidate | _Rest] ->
274
    %%
                   io:format("Candidate: ~.Op~n", [Candidate]),
275
                CandidateNode = node_details:get(lb_op:get(Candidate, n1succ_new), node),
276
                 case node:same_process(CandidateNode, Succ) of
                    false -> State; % ignore old/unrelated message
277
2.78
279
                         MyId = node_details:get(lb_op:get(Candidate, n1_new), new_key),
                         MyIdVersion = get_id_version(JoinState),
280
281
                         case MyId =:= node:id(Succ) orelse MyId =:= node:id(Pred) of
282
                            true ->
                                283
284
285
                                 JoinState1 = remove_candidate_front(JoinState),
286
                                 {join, contact_best_candidate(JoinState1), QueuedMessages};
287
288
                                 Me = node:new(comm:this(), MyId, MyIdVersion),
                                 log:log(info, "[ Node ~w ] joined between ~w and ~w",
289
                                         [self(), Pred, Succ]),
290
291
                                 rm_loop:notify_new_succ(node:pidX(Pred), Me),
292
                                 rm_loop:notify_new_pred(node:pidX(Succ), Me),
293
294
                                 finish_join_and_slide(Me, Pred, Succ, ?DB:new(),
295
                                                       QueuedMessages, MoveId)
296
                         end
297
                end
298
        end:
```

```
665
    -spec finish_join(Me::node:node_type(), Pred::node:node_type(),
666
                       Succ::node:node_type(), DB::?DB:db(),
667
                       QueuedMessages::msg_queue:msg_queue())
668
             -> dht_node_state:state().
669
    finish_join(Me, Pred, Succ, DB, QueuedMessages) ->
        rm_loop:activate(Me, Pred, Succ),
670
671
         \% wait for the ring maintenance to initialize and tell us its table ID
672
         NeighbTable = rm_loop:get_neighbors_table(),
673
        rt_loop:activate(NeighbTable),
674
         cyclon:activate(),
675
         vivaldi:activate(),
676
         dc_clustering:activate(),
677
         gossip:activate(),
678
         dht_node_reregister:activate(),
679
         msg_queue:send(QueuedMessages),
680
         NewRT_ext = ?RT:empty_ext(rm_loop:get_neighbors(NeighbTable)),
681
         dht_node_state:new(NewRT_ext, NeighbTable, DB).
682
```

```
683
    -spec finish_join_and_slide(Me::node:node_type(), Pred::node:node_type(),
684
                       Succ::node:node_type(), DB::?DB:db(),
685
                       QueuedMessages::msg_queue:msg_queue(), MoveId::slide_op:id())
686
             -> dht_node_state:state().
687
    finish_join_and_slide(Me, Pred, Succ, DB, QueuedMessages, MoveId) ->
        State = finish_join(Me, Pred, Succ, DB, QueuedMessages),
688
689
         SlideOp = slide_op:new_receiving_slide_join(MoveId, Pred, Succ, node:id(Me), join),
690
         SlideOp1 = slide_op:set_phase(SlideOp, wait_for_node_update),
        State1 = dht_node_state:set_slide(State, succ, SlideOp1),
691
692
        State2 = dht_node_state:add_msg_fwd(
693
                    State1, slide_op:get_interval(SlideOp1),
694
                    node:pidX(slide_op:get_node(SlideOp1))),
695
         RMSubscrTag = {move, slide_op:get_id(SlideOp1)},
696
         NewMsgQueue = msg_queue:add(QueuedMessages,
697
                                      {move, node_update, RMSubscrTag}),
698
         msg_queue:send(NewMsgQueue),
699
         State2.
```

The macro ?RT maps to the configured routing algorithm. It is defined in include/scalaris.hrl. For further details on the routing see Chapter 8.3 on page 32.

Timeouts and other errors

The following table summarizes the timeout messages send during the join protocol on the joining node. It shows in which of the phases each of the messages is processed and describes (in short) what actions are taken. All of these messages are influenced by their respective config parameters, e.g. join_timeout parameter in the config files defines an overall timeout for the whole join operation. If it takes longer than join_timeout ms, a {join, timeout} will be send and processed as given in this table.

	known_hosts↓ _timeout	get_number_of↓ _samples↓ _timeout	lookup.↓ _timeout	join_request.↓ _timeout	timeout
phase2	get known nodes from configured VMs	ignore	ignore	ignore	re-start join → phase 2
phase2b	ignore	remove contact node, re-start join → phase 2 or 2b	ignore	ignore	
phase3	ignore	ignore	remove contact node, lookup remaining IDs → phase 2 or 3	ignore	
phase3b	ignore	ignore	ignore	ignore	→ phase 2 or 2b
phase4	ignore	ignore	ignore	timeouts < 3?² → contact candidate otherwise: remove candidate no candidates left? → phase 2 or 2b otherwise: → contact next one → phase 3b or 4	01 20

²set by the join_request_timeouts config parameter

On the existing node, there is only one timeout message which is part of the join protocol: the <code>join_response_timeout</code>. It will be send when a slide operation is set up and if the timeout hits before the next message exchange, it will increase the slide operation's number of timeouts. The slide will be aborted if at least <code>join_response_timeouts</code> timeouts have been received. This parameter is set in the config file.

Misc. (all phases)

Note that join-related messages arriving in other phases than those handling them will be ignored. Any other messages during a dht_node's join will be queued and re-send when the join is complete.

11. Directory Structure of the Source Code

The directory tree of Scalaris is structured as follows:

bin	contains shell scripts needed to work with Scalaris (e.g. start the boot	
	services, start a node,)	
contrib	necessary third party packages (yaws and log4erl)	
doc	generated Erlang documentation	
docroot	root directory of the node's webserver	
ebin	the compiled Erlang code (beam files)	
java-api	a Java API to Scalaris	
log	log files	
src	contains the Scalaris source code	
test	unit tests for Scalaris	
user-dev-guide	contains the sources for this document	

12. Java API

For the Java API documentation, we refer the reader to the documentation generated by javadoc or doxygen. The following commands create the documentation:

```
%> cd java-api
%> ant doc
%> doxygen
```

The documentation can then be found in java-api/doc/index.html (javadoc) and java-api/doc-doxygen/html/index.html (doxygen).

We provide two kinds of APIs:

- high-level access with de.zib.scalaris.Scalaris
- low-level access with de.zib.scalaris.Transaction

The former provides general functions for reading, writing and deleting single key-value pairs and an API for the built-in PubSub-service. The latter allows the user to write custom transactions which can modify an arbitrary number of key-value pairs within one transaction.

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