

# Data Driven World

## Week 3

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# Week 3 – Divide and Conquer

*“In order to understand recursion, one must first understand recursion.”*

# Divide and Conquer

Take a problem too large to solve, break it down into  
**smaller problems**

# Example 1: Sum of Elts in Array (Human Version)

l1 = [1, 3, 53, 65, 75, 68, 99, 45, 39, 23, 53,  
29, 72, 94, 32]

Can anyone sum that ***quickly***?

**A: No.**

# Example 1: Sum of Elts in Array (Human Version)

l2 = [1, 3, 53, 65]

Can anyone sum that ***quickly***?

**A: Not really**

# Example 1: Sum of Elts in Array (Human Version)

13 = [1, 3]

Can anyone sum that ***quickly***?

**A: Yes but still need to think**

# Example 1: Sum of Elts in Array (Human Version)

l4 = [1]

Can anyone sum that ***quickly***?

**A: Yes**

Also consider:

l5 = []

# Recursion Philosophy

- Consider the **easiest** cases of the problem one can solve
  - ...and only the easiest cases
- Consider the general case of the problem
  - ...and find a way to make it simpler, **one step at a time**

**If you can do both, the problem will eventually get simple enough and be solved**



# Finding an Easiest Case [Base Case]

1. An input for which the expected value is known
  - List with one element
  - For mathematical functions, a remarkable number
2. An empty input
  - Empty list

# Making the Problem Simpler [General Case]

- Reducing the size of the data:
  - Removing one element
  - Dividing the data into smaller chunks (2, 3, 4 or more)

# Summing Elements of an Array

- Easiest case:

1. The sum of an array with one element is the value of the element
2. The sum of an empty array is 0

Specific cases (we know the expected results)

- Making the problem simpler:

1. The **sum of an array** equals to the value of its first element, and the **sum of the subsequent array** starting at index 1

General case, the data size will reduce by 1 every call

# Sum of Array Algorithm

Input: Array or list of numbers

Output: the Sum of the array

Steps:

1. if the number of element is one only

- 1.1 Return that element as the sum of the array

2. Otherwise,

- 2.1 Return the addition of the first element with the sum of the rest of the array

# How to code runs

```
array = [4, 3, 2, 1, 7]
```

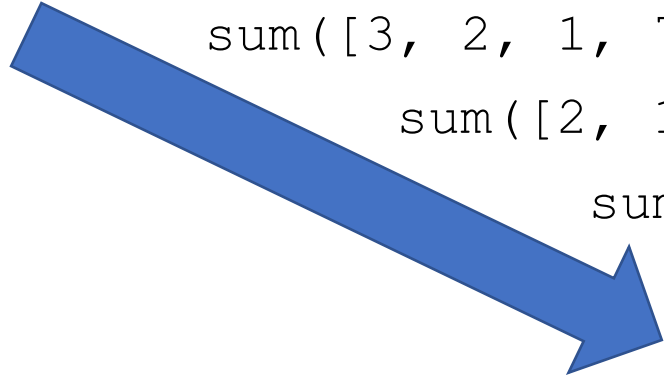
`sum(array) = 4 + sum([3, 2, 1, 7])` ← unknown

`sum([3, 2, 1, 7]) = 3 + sum([2, 1, 7])` ← unknown

`sum([2, 1, 7]) = 2 + sum([1, 7])` ← unknown

`sum([1, 7]) = 1 + sum([7])` ← unknown

**`sum([7]) = 7`**



# How to code runs (step 2)

```
array = [4, 3, 2, 1, 7]
```

***sum(array) = 17***

sum(array) = 4 +

**13**

sum([3, 2, 1, 7])) = 3 +

**10**

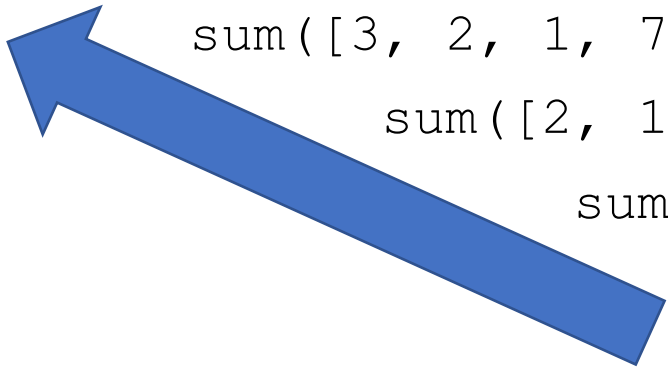
sum([2, 1, 7]) = 2 +

**8**

sum([1, 7]) = 1 +

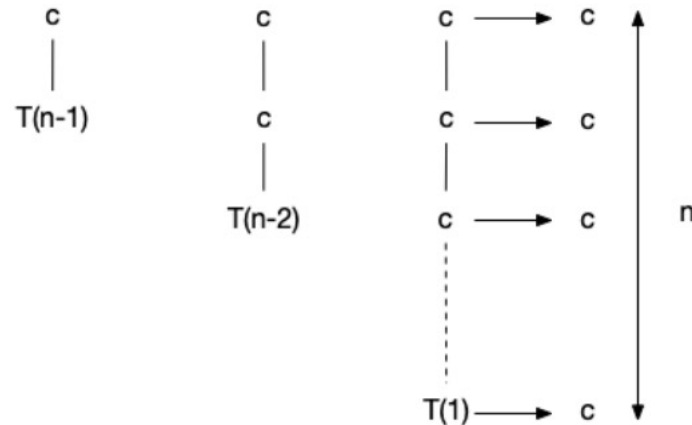
**7**

***sum([7]) = 7***



# Computation Time

- Each step is usually straightforward ( $O(1)$ , constant time)
- **So if you follow the above pattern, complexity is  $O(n)$**



# Factorial

$$n! = n \times \underbrace{(n-1) \times (n-2) \times \dots \times 2 \times 1}_{(n-1)!}$$

Therefore,  $n! = n \times (n-1)!$

Easiest cases: By definition,  $1! = 1$  and  $0! = 1$



# Factorial

Input:  $n$ , an integer

Output: factorial of  $n$ , an integer

Steps:

1. if  $n$  is equal to 0 or to 1

- 1.1 return 1

2. otherwise,

- 2.1 return  $n * \text{factorial of } n-1$

# Palindrome (Recursive)

- Is a given word a palindrome?

Example: `"datadrivenworld"`

- Base cases:
  - An empty string/word is a palindrome
  - A string with one element is a palindrome
- General Case: Check first and last letter in the word
  - If they are the same, this might be a palindrome
  - If they are different, the word is not a palindrome

# Palindrome General Case

“If they are the same, this might be a palindrome”

Meaning:

If both first and last character are the same, this word is a palindrome if the subword (i.e. the remaining characters) are palindrome

**By removing two characters each time, we will gradually reach an base case.**

# Palindrome in Cohort Problem

```
def palindrome(s):  
    pass
```

This function works if we make sure to call it recursively and “trim” the string ourselves

# Palindrome (Elegant)

- Instead of trimming, we can also keep track of the indices/indexes in a helper function:

```
def is_palindrome(s, left, right):  
    pass
```

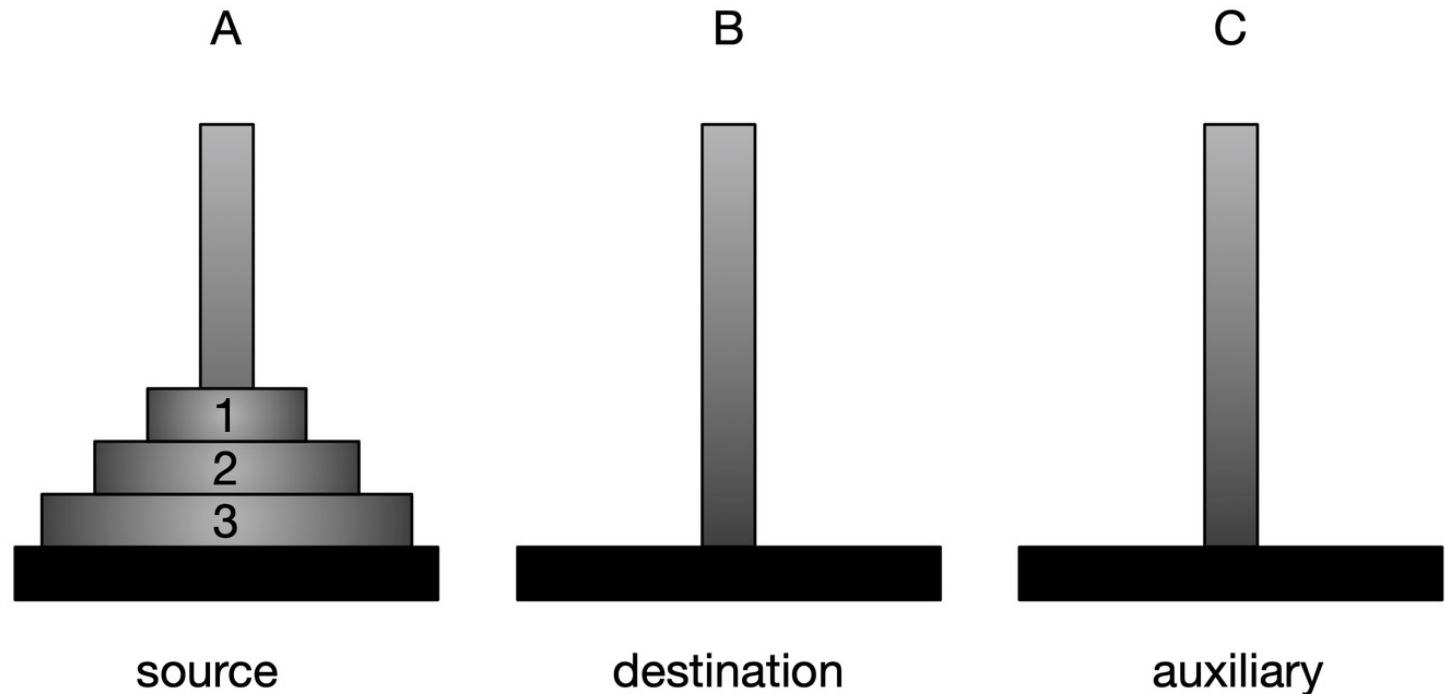
- Here, "left" and "right" are used to store the index of resp. the first and last character for this call
- **Note: depending on the number of characters (odd or even), we will reach one of the two base cases.**

# Using Input to Store Information

- We use this technique a lot when doing recursion
- In some cases, the recursion approach may not work without it

# Tower of Hanoi

- Three towers,  $n$  disks
- Move all  $n$  disk from the source tower to the destination tower, using an auxiliary tower



# Tower of Hanoi - rules

- One move at a time
- A bigger disk cannot be place on top of a smaller disk
- Simulator: <https://www.mathsisfun.com/games/towerofhanoi.html>



# Easiest Case [Base Case]

- 1 disk:

Solution: move disk 1 from source to destination

- 2 disks:
- Move disk 1 from A (source) to C (auxiliary)
- Move disk 2 from A (source) to B (destination)
- Move disk 1 from C (auxiliary) to B (destination)

Use solution for  $n = 1$  disk

# Going to General Case

- If we can solve for  $n = 2$  disks, then for  $n = 3$  disks
  - we can use this solution to move the first two disks from source (A) to the auxiliary (C)
  - Then move the 3<sup>rd</sup> (largest) disk from source (A) to destination (B)
  - Then use the solution for  $n = 2$  disks from (C) to (B)
- To now solve for  $n = 4$  disks, apply solution for  $n = 3$  disks
  - ...

# Dividing the Problem into two parts

- Solve for  $n - 1$  to move all  $n - 1$  disks to auxiliary
- Move the last disk to destination
- Move the  $n - 1$  disks from auxiliary to destination

# Tower of Hanoi Algorithm

Input:

- $n$ , number of disks
- source tower, destination tower, auxiliary tower

Output: sequence of steps to move  $n$  disks from source to destination tower using auxiliary tower

Steps:

1. if  $n$  is 1 disk:

1.1 Move the one disk from source to destination tower

Base Case

2. otherwise, if  $n$  is greater than 1:

2.1 Move the first  $n - 1$  disks from source to auxiliary tower

2.2 Move the last disk  $n$  from source to destination tower

General Case

2.3 Move the first  $n - 1$  disks from the auxiliary tower to the destination tower

# Complexity

- How many steps (moves) are needed to solve the problem?
- $n = 1$  :
  - 1 step
- $n = 2$  :
  - 3 steps
- $n = 3$ 
  - 7 steps
- $n = 4$ 
  - 15 steps

$$f(n) = 2^n - 1$$

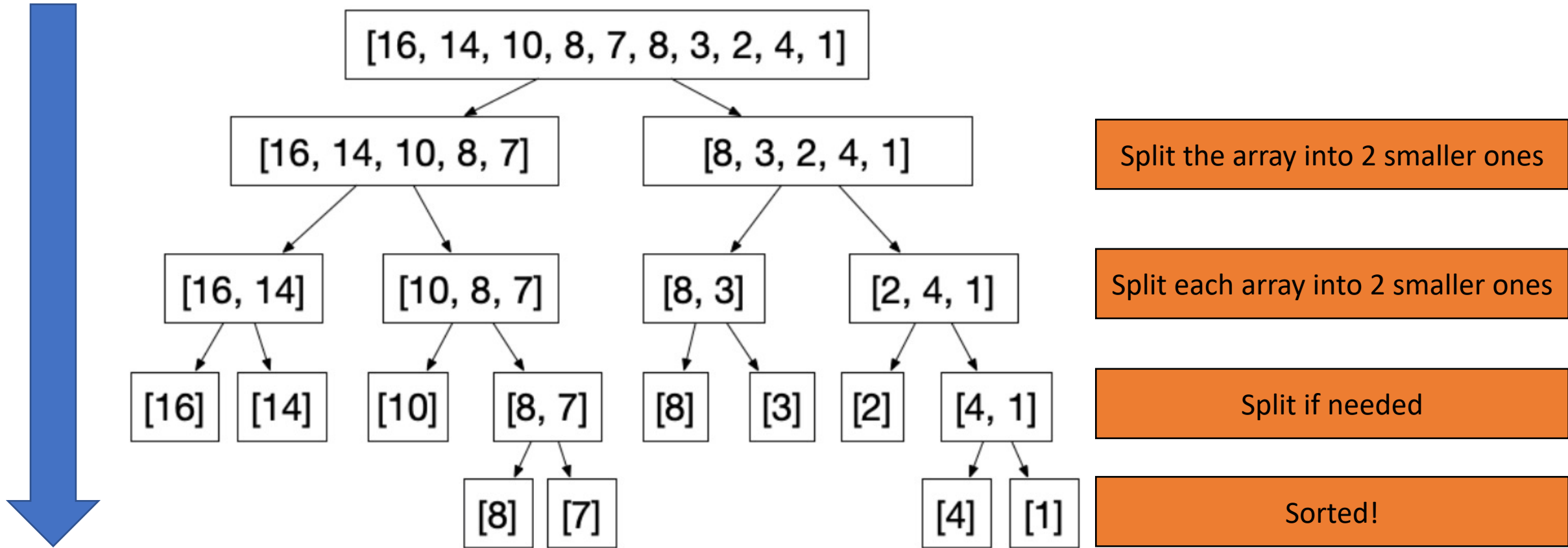
# Week 3 - Merge Sort

*“Aucune idée pour une bonne blague sur ce sujet”* – French proverb

# General Idea

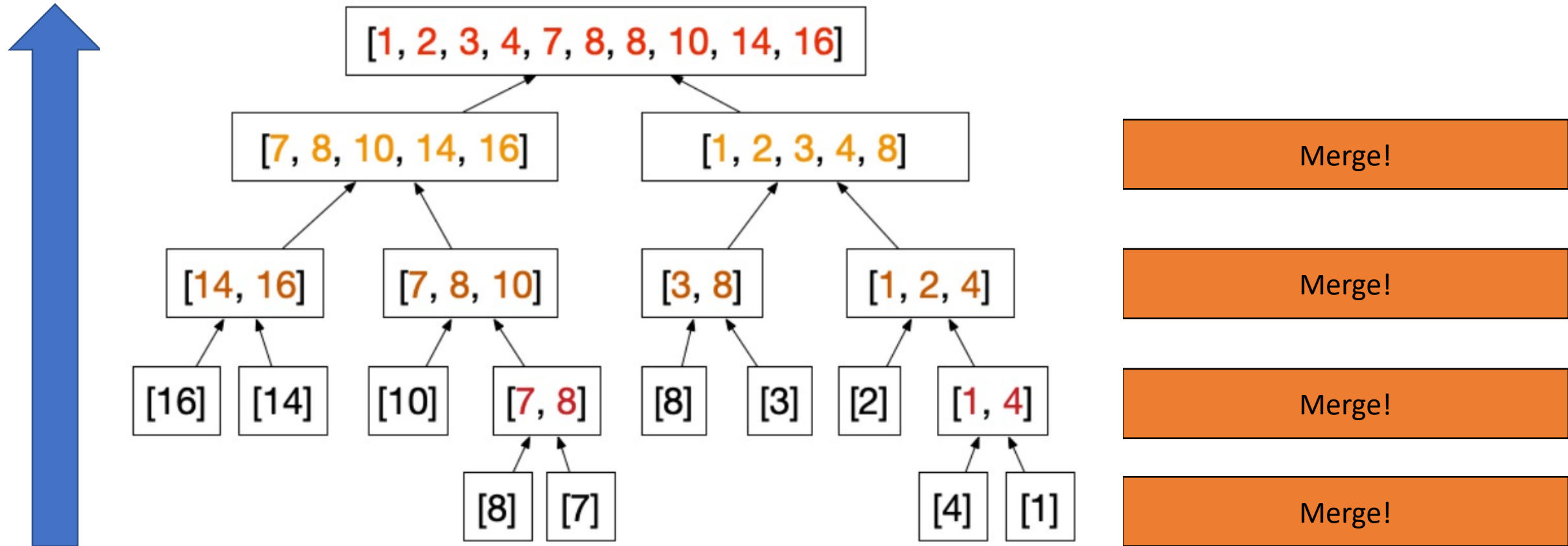
- It's troublesome to sort a list
- ...Except if it only has 1 element
- Let's split the large array into smaller ones until they only have 1 element

# Merge Sort Illustration

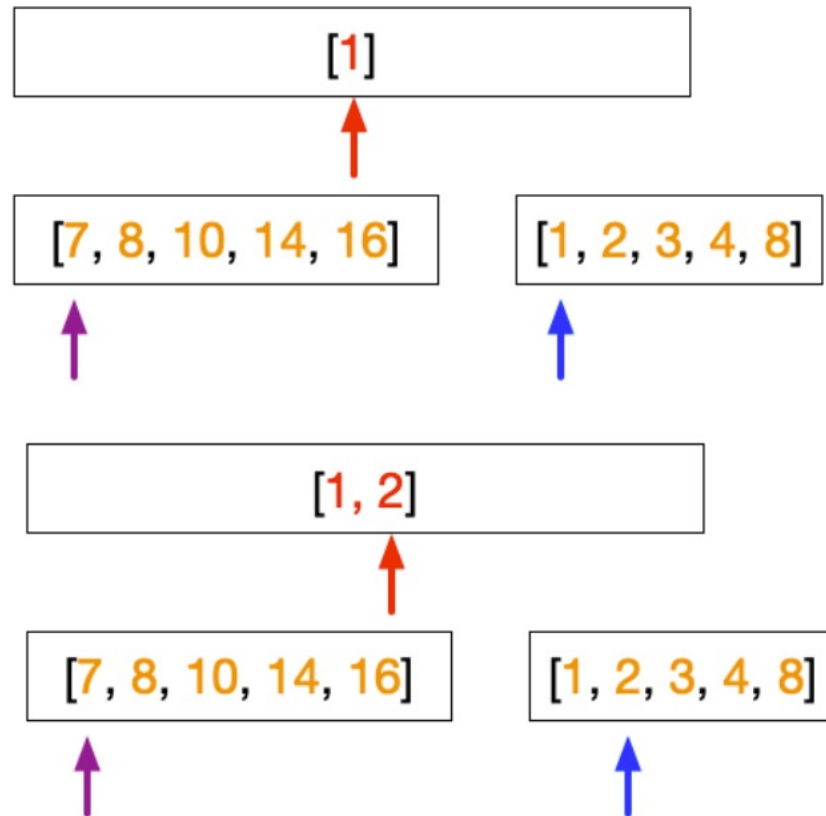




# Merge Sort Illustration (cont'd)



# How to merge two sorted arrays?



- Start from the first element of both lists
  - Find the smallest one (1)
  - Insert it at the end of the new array
  - Move the “cursor” of the second list to the next element
- New iteration:
  - Find the smallest element (2)
  - Insert it
  - Move the “cursor” to the next

# Base Case of merging two lists

- **If one of the two array is empty, insert all the remaining elements of the non-empty array**

**Note: if we want to not use more space, we'll need to play with indexes a lot.**

# Merge\_two\_lists

- Let us implement merge\_two\_lists
- It takes two lists and returns a new list that contains all the elements of l1 and l2 sorted

# MergeSort Algorithm

Merge Sort (recursive)

Input:

- array = sequence of integers
- p = index of beginning of array
- r = index of end of array

Output: None, sort the array in place

Steps:

1. if `length(array) > 1`, do:
  - 1.1 calculate `q = (p + r) / 2` # element in the middle
  - 1.2 call `MergeSort(array, p, q)`
  - 1.3 call `MergeSort(array, q+1, r)`
  - 1.4 call `Merge(array, p, q, r)`

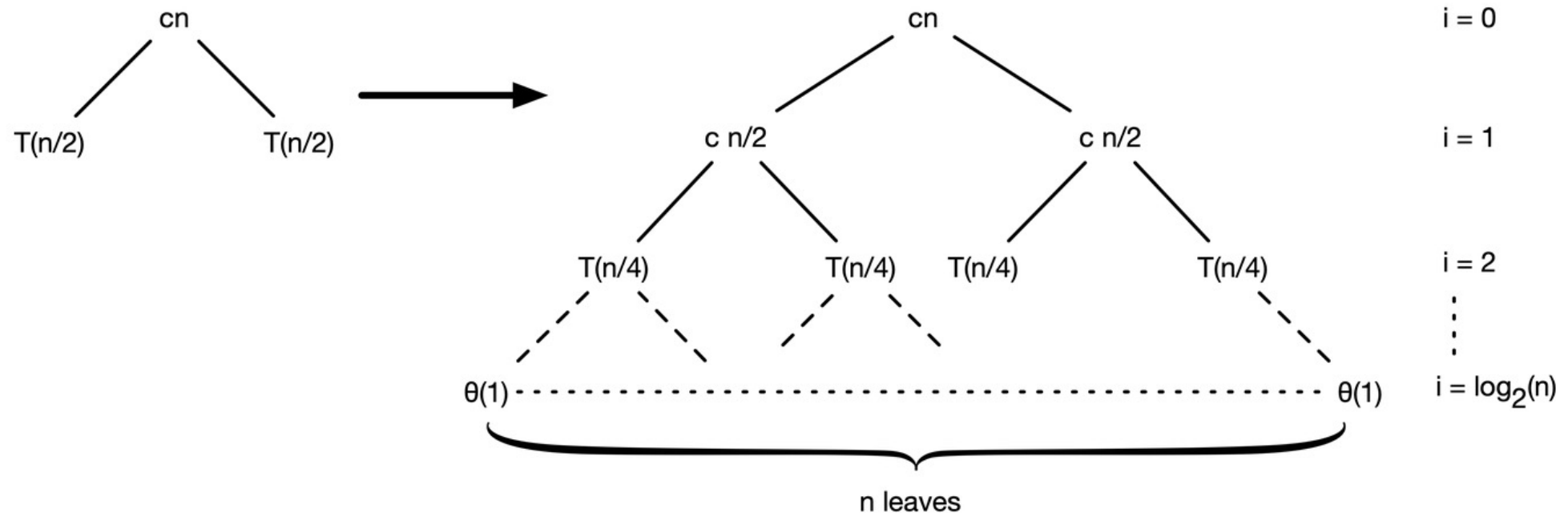
**This is the code for  
mergesort\_recursive**

# Merge Algorithm

**Note: the algorithm was too long to fit a slide, let's discuss it on the whiteboard.**

# Compilation Time

- Every step, we divide the array by 2, to end up with  $n$  arrays of 1 element



# Compilation Time

- Dividing by 2 means, if we have  $\leq 2$  elements, we need 1 step to break down the list into 2 sublists
- If we have  $\leq 4$  elements, we need 2 steps
- If we have  $\leq 8$ , we need 3 steps
- If we have  $n$  elements we need  $\log_2(n)$
- Every merge operation is of complexity  $n$  (need to go through  $n$  elements)

Overall complexity is there  $n \times \log(n)$  (see notes)