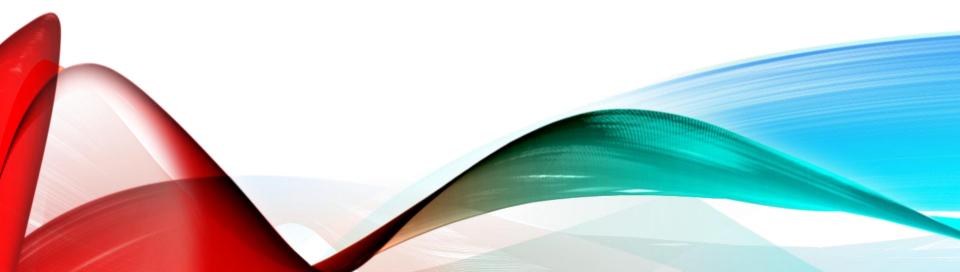


DATA STRUCTURE AND ALGORITHMS

Lecture 03: Arrays, Sparse Matrix, String



ARRAYS

- Array: a set of index and value
- Data structure
 - For each index, there is a value associated with that index.
- Representation (possible)
 - Implemented by using consecutive memory.
- int list[5]: list[0], ..., list[4], each contains an integer

list[5] 0 1 2 3 4

• Structure Array is

objects: A set of pairs <index, value> where for each value of index

there is a value from the set item. Index is a finite ordered set of one or

more dimensions, for example, $\{0, ..., n-1\}$ for one dimension, $\{(0,0),(0,1),(0,2),(1,0),(1,1),(1,2),(2,0),(2,1),(2,2)\}$ for two dimensions, etc.

Functions:

for all $A \in Array$, $i \in index$, $x \in item$, j, size $\in integer$

Array Create(j, list) ::= **return** an array of *j* dimensions where list is a

j-tuple whose ith element is the size of the

ith dimension. Items are undefined.

Item Retrieve(A, i) ::= if ($i \in index$) return the item associated with

index value i in array A

else return error

Array Store (A, i, x) ::= if (i in index)

rèturn an array that is identical to array A except the new pair <*i*, *x*> has been inserted **else return** error

end array

*Structure 2.1: Abstract Data Type Array (p.50)

ARRAY IN C

- int list[5], *plist[5]
- list[5]: five integers list[0], list[1], list[2], list[3], list[4]
- *plist[5]: five pointers to integer

list[5]	0	I	2	3	4	
						-
		1	2	3	1	1
	0	I		3	4	
plist	\					

ARRAY IN C (CONT'D)

Implementation of 1-D array

```
      list[0]
      base address = a

      list[1]
      a + 1*sizeof(int)

      list[2]
      a + 2*sizeof(int)

      list[3]
      a + 3*sizeof(int)

      list[4]
      a + 4*sizeof(int)
```

Compare int *list1 and int list2 in C

same: list1 and list2 are pointers difference: list2 reserve five locations

Notations:

```
list2 - a pointer to list2[0]
(list2 + i) - a pointer to list2[i] (&list2[i])
*list2 + I - list2[i]
```

EXAMPLE: 1-DIMENSION ARRAY ADDRESSINNG

```
int one[] = {0, 1, 2, 3, 4};
Goal: print out address and value
```

```
void print1(int *ptr, int rows)
/* print out a one-dimensional array using a
pointer*/
  int i;
  printf("Address Contents\n");
  for (I = 0; i < rows; i++)
     printf("%8u%5d\n", ptr+I, *(ptr+i));
  printf("\n")
```

EXAMPLE: 1-DIMENSION ARRAY ADDRESSINNG (CONT'D)

Call print1 (&one[0],5)

Address	Contents
1228	0
1230	1
1232	2
1234	3
1236	4

*Figure 2.1: One-dimensional array addressing (p.53)

STRUCTURES (RECORDS)

```
struct {
          char name[10];
          int age;
          float salary;
          } person;
strcpy(person.name, "James")
person.age = 10;
person.salary = 35000;
```

9

CREATE STRUCTURE DATA TYPE

```
typedef struct human_being {
          char name[10];
          int age;
          float salary;
          };
Or
typedef struct {
          char name[10];
          int age;
          float salary;
          } human_being;
Human_being person1, person2;
```

ORDERED LIST

- Ordered (linear) list:
 - (item1, item2, item3,..., itemK)
- Examples
 - (MONDAY, TUESDAY, WEDNESDAY, THURSDAY, FRIDAY, SATURDAY, SUNDAY)
 - (2, 3, 4,5, 6, 7, 8, 9, 10, ,Jack, Queen, King, Ace)
 - (1941, 1942, 1943, 1944, 1945)
 - (a₁, a₂, a₃, ..., a_{n-1}, a_n)

OPERATIONS ON ORDERED LIST

- (1) Find the length, n, of the list.
- (2) Read the items from left to right (or right to left).
- (3) Retrieve the ith element.
- (4) Store a new value into the ith position.
- (5) Insert a new element at the position i, causing elements numbered i, i+1, ..., n to become numbered i+1, i+2, ..., n+1
- (6) Delete the element at position i, causing elements numbered i+1, ..., n to become numbered i, i+1, ..., n-1

IMPLEMENTATION ON ORDERED LIST

- Implementing ordered list by array
 - Sequential mapping
 - (1)~(4) O
 - (5)~(6) X
- Performing operations 5 and 6 requires data movement
 - Costly
- This overhead motivates us to consider non-sequential mapping of order lists in Chapter 4
 - Linked list

POLYNOMIAL

• Example:

$$A(X) = 3X^2+2X+4$$

 $B(X) = X^4+10X^3+3X^2+1$

- The largest exponent of a polynomial is called **degree**
- A polynomial is called **sparse** when it has many zero terms
- Implement polynomials by arrays

Polynomials $A(X)=3X^{20}+2X^5+4$, $B(X)=X^4+10X^3+3X^2+1$

• Structure Polynomial is objects: $p(x) = a_1 x^{e_1} + ... + a_n x^{e_n}$; a set of ordered pairs of $\langle e_i, a_i \rangle$ where a_i in Coefficients and e_i in Exponents, e_i are integers ≥ 0

functions:

for all poly, poly1, poly2 Polynomial, coef Coefficients, expon Exponents

Polynomial Zero() ::= return the polynomial,

p(x) = 0

Boolean IsZero(poly) ::= if (poly) return FALSE

coefficient Coef(poly, expon)

else return TRUE

::= if (expon poly) return its

coefficient else return Zero

Exponent Lead_Exp(poly) ::= return the largest exponent

in poly

Polynomial Attach(poly,coef, expon) ::= if (expon poly) return

error

else return the polynomial

poly

with the term <coef, expon>
inserted

Polynomial Remove(poly, expon)

::= if (expon poly) return the polynomial poly with the term whose exponent is expon deleted

else return error

Polynomial SingleMult(poly, coef, expon) ::= return the polynomial

poly • coef • x^{expon}

::= return the polynomial Polynomial Add(poly1, poly2)

poly1 +poly2

Polynomial Mult(poly1, poly2) ::= return the polynomial

poly1 • poly2

End Polynomial

*Structure 2.2:Abstract data type Polynomial (p.61)

Polynomial Addition

```
data structure 1:
                          #define MAX DEGREE 101
                          typedef struct {
                              int degree;
                              float coef[MAX_DEGREE];
                              } polynomial;

    /* d =a + b, where a, b, and d are polynomials */

 d = Zero()
 while (! IsZero(a) &&! IsZero(b)) do {
   switch COMPARE (Lead_Exp(a), Lead_Exp(b)) {
     case -1: d =
        Attach(d, Coef (b, Lead_Exp(b)), Lead_Exp(b));
        b = Remove(b, Lead_Exp(b));
        break:
     case 0: sum = Coef (a, Lead_Exp (a)) + Coef (b, Lead_Exp(b));
       if (sum) {
         Attach (d, sum, Lead_Exp(a));
         a = Remove(a, Lead_Exp(a));
         b = Remove(b , Lead_Exp(b));
        break;
```

```
case 1: d =
        Attach(d, Coef (a, Lead_Exp(a)), Lead_Exp(a));
        a = Remove(a, Lead_Exp(a));
    }
}
insert any remaining terms of a or b into d
```

advantage: easy implementation disadvantage: waste space when sparse

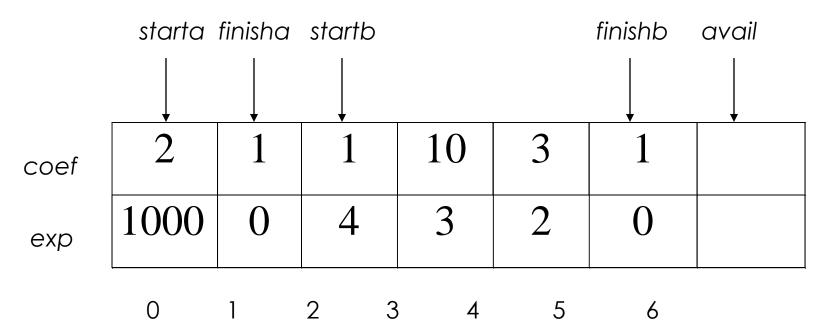
*Program 2.4 :Initial version of padd function(p.62)

DATA STRUCTURE 2: USE ONE GLOBAL ARRAY TO STORE ALL POLYNOMIALS

$$A(X)=2X^{1000}+1$$

 $B(X)=X^4+10X^3+3X^2+1$

*Figure 2.2: Array representation of two polynomials (p.63)



specification poly A B representation <start, finish> <0,1> <2,5>

- storage requirements: start, finish, 2*(finish-start+1)
- nonparse: twice as much as (1)
- when all the items are nonzero

```
MAX_TERMS 100 /* size of terms array */
typedef struct {
      float coef;
      int expon;
      } polynomial;
polynomial terms[MAX_TERMS];
int avail = 0;
```

Add two polynomials: D = A + B

```
void padd (int starta, int finisha, int startb, int finishb, int * startd,
             int *finishd)
/* add A(x) and B(x) to obtain D(x) */
  float coefficient:
 *startd = avail:
 while (starta <= finisha && startb <= finishb)
   switch (COMPARE(terms[starta].expon,
                        terms[startb].expon)) {
   case -1: /* a expon < b expon */
         attach(terms[startb].coef, terms[startb].expon);
         startb++
         break;
```

```
/* add in remaining terms of A(x) */
for(; starta <= finisha; starta++)
    attach(terms[starta].coef, terms[starta].expon);
/* add in remaining terms of B(x) */
for(; startb <= finishb; startb++)
    attach(terms[startb].coef, terms[startb].expon);
*finishd =avail -1;
}</pre>
```

Analysis: O(n+m) where n, m are the number of nonzeros in A, B, respectively.

*Program 2.5: Function to add two polynomial (p.64)

```
• void attach(float coefficient, int exponent)
{
  /* add a new term to the polynomial */
  if (avail >= MAX_TERMS) {
    fprintf(stderr, "Too many terms in the polynomial\n");
    exit(1);
  }
  terms[avail].coef = coefficient;
  terms[avail++].expon = exponent;
}
```

*Program 2.6:Function to add anew term (p.65)

Problem: Compaction is required

when polynomials that are no longer needed.

(data movement takes time.)

DISADVANTAGES OF REPRESENTING POLYNOMIALS BY ARRAYS

- The value of free is continually incremented until it tries to exceed MaxTerms
- What should we do when free is going to exceed MaxTerms?
 - Either quit or reuse the space of unused polynomials by compacting the global array
 - It is costly!
- A more elegant solution is proposed in Chapter 4 by employing linked list

SPARSE MATRIX

sparse

SPARSE MATRIX (CONT'D)

- A general matrix consists of m rows and n columns of numbers
 - An m×n matrix
 - It is natural to store a matrix in a two dimensional array, say A[m][n]
- A matrix is called sparse if it consists of many zero entries
 - Implementing a spare matrix by a two dimensional
- array waste a lot of memory
 - Space complexity is O(m×n)

ADT OF SPARSE MATRIX

Structure Sparse_Matrix is

objects: a set of triples, <row, column, value>, where row and column are integers and form a unique combination, and value comes from the set item.

functions:

for all a, b ∈ Sparse_Matrix, x item, i, j, max_col, max_row index

Sparse_Marix Create(max_row, max_col) ::=

return a Sparse_matrix that can hold up to

max_items = max_row max_col and

whose maximum row size is max_row and

whose maximum column size is max_col.

ADT OF SPARSE MATRIX (CONT'D)

Sparse_Matrix Transpose(a) ::=

return the matrix produced by interchanging the row and column value of every triple.

Sparse_Matrix Add(a, b) ::=

if the dimensions of a and b are the same **return** the matrix produced by adding corresponding items, namely those with identical row and column values.

else return error

Sparse_Matrix Multiply(a, b) ::=

if number of columns in a equals number of rows in b

return the matrix *d* produced by multiplying a by *b* according to the formula: *d* [*i*] [*j*] = (a[i][k]•b[k][j]) where *d* (*i*, *j*) is the (*i*,*j*)th element

else return error.

SPARSE MATRIX REPRESENTATION

- Represented by a two-dimensional array.
 - Sparse matrix wastes space.
- Use triple <row, column, value>
 - Store triples row by row
 - For all triples within a row, their column indices are in ascending order.
 - Must know the numbers of rows and columns and the number of nonzero elements

_	row col value			row col value			
		- # ↓	ot rows	(columns) # of nonzero	terms		
a[0]	6	6	8	b[0]	6	6	8
[1]	0	0	15	[1]	0	0	15
[2]	0	3	22	[2]	0	4	91
[3]	0	5	-15	transpass [3]	1	1	11
[4]	1	1	11 -	$ \begin{array}{c} \text{transpose} \\ \hline $	2	1	3
[5]	1	2	3	[5]	2	5	28
[6]	2	3	-6	[6]	3	0	22
[7]	4	0	91	[7]	3	2	-6
[8]	5	2	28	[8]	5	0	-15
row,	column i	(a) 1 asc	cending	order	(b)		

^{*}Figure 2.4:Sparse matrix and its transpose stored as triples (p.69)

```
Sparse_matrix Create(max_row, max_col) ::=

#define MAX_TERMS 101 /* maximum number of terms +1*/
    typedef struct {
        int col;
        int row;
        int value;
        } term;
    term a[MAX_TERMS]
# of rows (columns)
# of nonzero terms
```

TRANSPOSE A MATRIX

- (1) For each row I
 - take element <i, j, value> and
 - store it in element <j, i, value> of the transpose.

difficulty: where to put <j, i, value>

$$\begin{array}{cccc} (0,0,15) & & \rightarrow & (0,0,15) \\ (0,3,22) & & \rightarrow & (3,0,22) \\ (0,5,-15) & & \rightarrow & (5,0,-15) \\ (1,1,11) & & \rightarrow & (1,1,11) \end{array}$$

Move elements down very often.

- (2) For all elements in column j,
 - place element <i, j, value> in element <j, i, value>

```
void transpose (term a[], term b[])
/* b is set to the transpose of a */
  int n, i, j, currentb;
  n = a[0].value; /* total number of elements */
  b[0].row = a[0].col; /* rows in b = columns in a */
  b[0].col = a[0].row; /*columns in b = rows in a */
  b[0].value = n;
  if (n > 0) {
             /*non zero matrix */
    currentb = 1;
    for (i = 0; i < a[0].col; i++)
    /* transpose by columns in a */
        for( j = 1; j <= n; j++)
        /* find elements from the current column */
        if (a[i].col == i) {
        /* element is in current column, add it to b */
```

```
columns
elements

b[currentb].row = a[j].col;
b[currentb].col = a[j].row;
b[currentb].value = a[j].value;
currentb++
}
```

* Program 2.7: Transpose of a sparse matrix (p.71)

Scan the array "columns" times.
The array has "elements" elements. ==> O(columns*elements)

COMPARE WITH 2-DIMENSIONAL ARRAY REPRESENTATION

- Discussion: compared with 2-D array representation
 - O(columns×elements) versus O(columns×rows)
 - elements → columns×rows when non-sparse
 - → O(columns²×rows) when non-sparse
- Problem: Scan the array "columns" times.
- Solution:
 - Determine the number of elements in each column of the original matrix.
 - Determine the starting positions of each row in the transpose matrix.

30

```
6 6 8
a[0]
        0 0 15
a[1]
        0 3 22
a[2]
        0 5 -15
a[3]
        1 1 11
a[4]
        1 2 3
a[5]
        2 3 -6
a[6]
        4 0 91
a[7]
        5 2 28
a[8]
```

```
INDEX [0] [1] [2] [3] [4] [5] ROW_TERMS = 2 1 2 2 0 1 STARTING_POS = 1 3 4 6 8 8
```

FAST MATRIX TRANSPOSING

- Store some information to avoid scanning all terms back and forth
- FastTranspose requires more space than Transpose
 - RowSize
 - RowStart

FAST MATRIX TRANSPOSING (CONT'D)

```
void fast_transpose(term a[], term b[])
         /* the transpose of a is placed in b */
           int row_terms[MAX_COL], starting_pos[MAX_COL];
           int i, j, num_cols = a[0].col, num_terms = a[0].value;
           b[0].row = num\_cols; b[0].col = a[0].row;
           b[0].value = num_terms;
           if (num_terms > 0){ /*nonzero matrix*/
            for (i = 0; i < num\_cols; i++)
columns
                row_terms[i] = 0;
            for (i = 1; i <= num_terms; i++)
elements
                row_term [a[i].col]++
            starting_pos[0] = 1;
            for (i = 1; i < num\_cols; i++)
columns
                starting_pos[i]=starting_pos[i-1] +row_terms [i-1];
```

```
elements

for (i=1; i <= num_terms, i++) {
    j = starting_pos[a[i].col]++;
    b[j].row = a[i].col;
    b[j].col = a[i].row;
    b[j].value = a[i].value;
    }
}

*Program 2.8:Fast transpose of a sparse matrix
```

```
Compared with 2-D array representation
O(columns+elements) vs. O(columns*rows)
elements --> columns * rows
O(columns+elements) --> O(columns*rows)

Cost: Additional row_terms and starting_pos arrays are required.
Let the two arrays row_terms and starting_pos be shared.
```

MATRIX MULTIPLICATION

• Definition: Given A and B, where A is $m \times n$ and B is $n \times p$, the product matrix Result has dimension $m \times p$. Its [i] [j] element is

$$result_{ij} = \sum_{k=0} a_{ik} b_{kj}$$

for $0 \le i < m$ and $0 \le j < p$

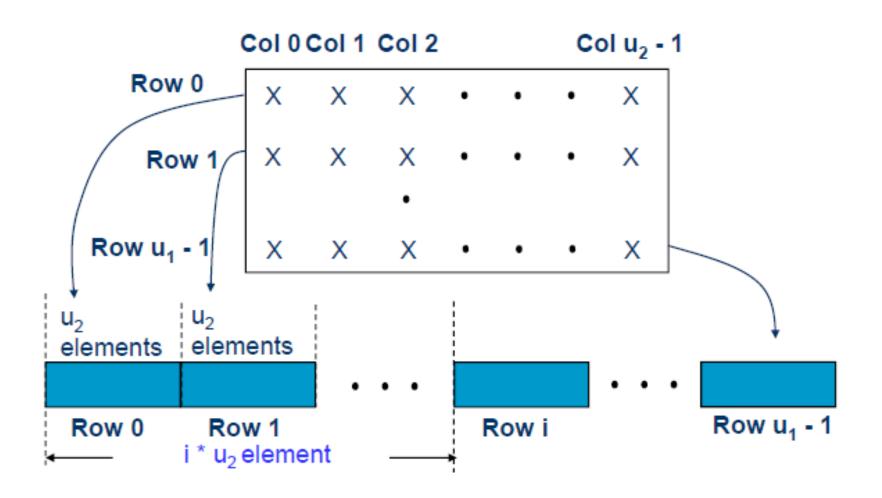
REPRESENTATION OF ARRAYS

• Multidimensional arrays are usually implemented by one dimensional array via either row major order or column major order.

• Example: One dimensional array

а	a+1	a+2	a+3	a+4
A[0]	A[1]	A[2]	A[3]	A[4]

TWO DIMENSIONAL ARRAY - ROW MAJOR ORDER



GENERALIZING ARRAY REPRESENTATION

• The address indexing of Array A[i₁],[i₂],...,[i_n] is

$$=\alpha+\sum_{j=1}^{n}i_{j}a_{j}$$
 , where
$$\begin{cases} a_{j}=\prod_{k=j+1}^{n}u_{k}$$
 , $1\leq j\leq n$
$$a_{n}=1$$

STRING

- Usually string is represented as a character array.
- General string operations include comparison, string concatenation, copy, insertion, string matching, printing, etc.

Note: '\0' is a null character, which is used to represent the end of a string.

Н	е	I	I	0	W	0	r	I	d	\0
1			l							

STRING MATCHING: STRAIGHTFORWARD SOLUTION

- Algorithm: Simple string matching
- **Input**: P and T, the pattern and text strings; m, the length of P. The pattern is assumed to be nonempty.
- Output: The return value is the index in T where a copy of P begins, or 1 if no match for P is found.

• VP: ABABC ABABC ABABC

↓↓↓↓↓↓

T: ABABABCCA ABABABCCA ABABABCCA

↑

Successful match

KMP ALGORITHM

- KMP Algorithm
 - Proposed by Knuth, Morris and Pratt
- Concept
 - Use the characteristic of the pattern string
- Phase 1:
 - Generate an array to indicate the moving direction
- Phase 2:
 - Use the array to move and match string

THE FIRST CASE FOR THE KMP ALGORITHM

0 1 2 3 4 5 6 7 8 9 ...

T: A G C C T A T C A C A T T A G T A A A A

P: A G C G C

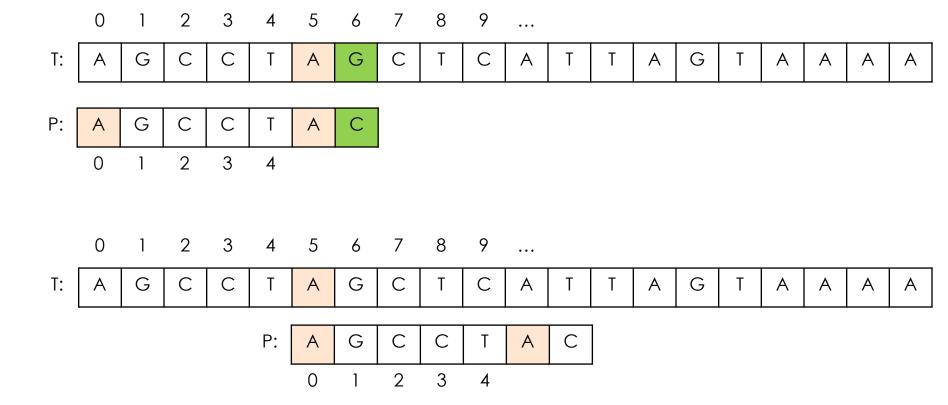
0 1 2 3 4

0 1 2 3 4 5 6 7 8 9 ...

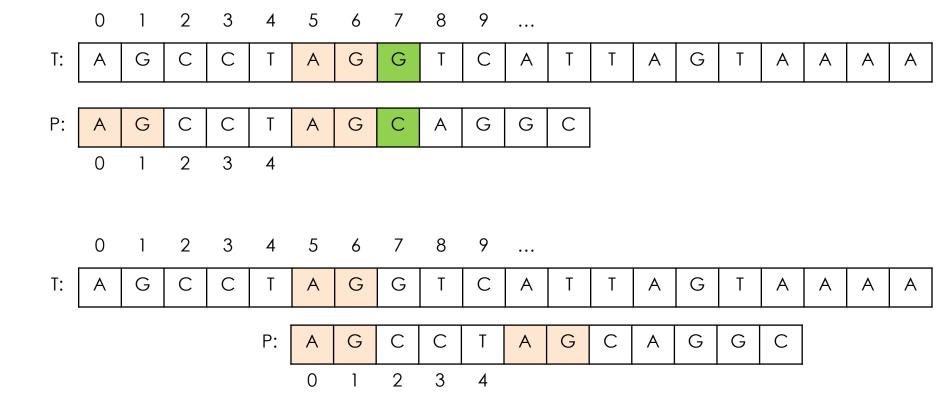
T: A G C C T A T C A C A T T A G T A A A A

P: A G C G C

THE SECOND CASE FOR THE KMP ALGORITHM

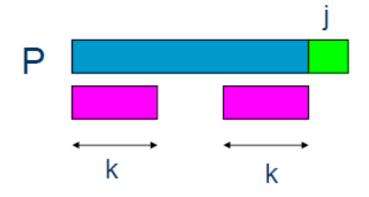


THE THIRD CASE FOR THE KMP ALGORITHM

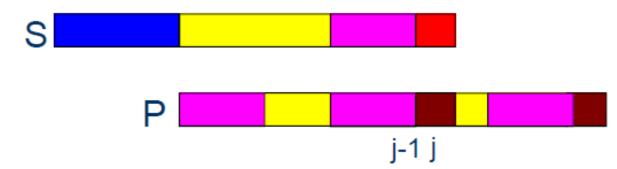


KMP ALGORITHM (CONT'D)

Failure Function



Action



KMP ALGORITHM (CONT'D)

- Definition:
 - If $p = p_0 p_1 \dots p_{n-1}$ is a pattern, then its failure function, f, is defined as

$$f(j) = \begin{cases} largest \ k < j \ such \ that \ p_0p_1 \dots p_k = p_{j-k}p_{j-k+1} \dots p_j, if \ such \ a \ k \geq 0 \ exists \\ -1, \qquad otherwise \end{cases}$$

• If a partial match is found such that $s_{i-j} \dots s_{i-1} = p_0 p_1 \dots p_{j-1}$ and $s_i \neq p_j$ then matching may be resumed by comparing s_i and $p_{f(j-1)+1}$ if $j \neq 0$. if j = 0, then we may continue by comparing s_{i+1} and p_0 .

FAST MATCHING EXAMPLE: FAILURE FUNCTION CALCULATION

- The largest k such that
 - 1. k < j
 - 2. $K \ge 0$
 - 3. $p_0 p_1 \dots p_k = p_{j-k} p_{j-k+1} \dots p_j$
- j = 0
 - Since k < 0 and $k \ge 0$ \rightarrow no such k exists.
 - f(0) = -1
- j = 1
 - Since k < 1 and $k \ge 0$, k may be 0.
 - When k=0, $p_0=a$, and $p_1=b \Rightarrow x$
 - f(1) = -1

j										
р	а	b	С	а	b	С	а	С	а	В
f	-1	-1								

FAST MATCHING EXAMPLE: FAILURE FUNCTION CALCULATION (CONT'D)

•
$$j = 2$$

- Since k < 2 and $k \ge 0$, k may be 0, 1.
- When k=1, $p_0p_1=ab$, and $p_1p_2=bc$
- When k=0, $p_0=a$, and $p_2=c$

•
$$f(2) = -1$$

•
$$j = 3$$

•

•

•

•

•
$$f(3) = 0$$

j	0	1	2	3	4	5	6	7	8	9
р	а	b	С	а	b	С	а	С	а	В
f	-1	-1	-1	0						

FAST MATCHING EXAMPLE: FAILURE FUNCTION CALCULATION (CONT'D)

- j = 4
 - Since k < 4 and $k \ge 0$, k may be 0, 1, 2, 3.
 - When k=3, $p_0p_1p_2p_3=abca$, and $p_1p_2p_3p_4=bcab$
 - When k=2, $p_0p_1p_2=abc$, and $p_2p_3p_4=cab$
 - When k=1, $p_0p_1=ab$, and $p_3p_4=ab$ \rightarrow ok!
 - When k=0, $p_0=a$, and $p_4=b \rightarrow x$
 - f(4) = 1

j										
			С							
f	-1	-1	-1	0	1	2	3	-1	0	1

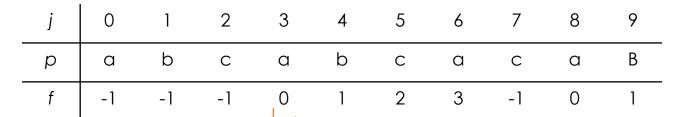
FAST MATCHING EXAMPLE: FAILURE FUNCTION CALCULATION (CONT'D)

A restatement of failure function

$$f(j) =$$

- -1, *if* j = 0
- $f^m(j-1)+1$ where m is the least integer k for which $P_{f^k(j-1)+1}=P_j$
- -1, if there is no k satisfying the above
- $f^1(j) = f(j)$ and $f^m(j) = f^m(f^{m-1}(j))$

FAST MATCHING EXAMPLE: STRING MATCHING



...

6

Ś

P =

а

а

b

С

а

a

b

Ś

С

а

С

2: check failure function f (posP-1)

a b

3: move pattern accordingly

1: fail at posP = 4

а

b

а

b

 \sim

Υ .

С

b

posP = pat.f[posP-1]+1

THE ANALYSIS OF THE KMP ALGORITHM

- O(m+n)
 - O(m) for computing function f
 - O(n) for searching P