

New Algorithms for Social Recommendation

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Except where otherwise indicated, this thesis is my own original work.

Joseph Christian G. Noel
19 October 2011

To Aurora Co

Acknowledgements

Thanks to my adviser, Scott Sanner.

Abstract

This should be the abstract to your thesis...

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Introduction

1.1 Description

Finding relevant information from the glut of data is one of the biggest challenges faced by users today. This is important not just for the users themselves, but also for companies that may wish to sell or provide a service to them. One way to help users find relevant information is through automatic recommender systems. Recommender systems seek to automatically discover what the user's preferences are.

1.1.1 Individual Recommendation

Individual recommendation models a user's preferences through information about the user alone. This information can be the user's profile details like age, sex, and occupation, as well as the user's history like previously bought or rated items.

1.1.2 Collaborative Recommendation

Collaborative recommendation models a user preferences not just through information about the user alone, but also through information about the other users. Collaborative recommendation algorithms examples are k-nearest neighbors and probabilistic matrix factorization.

1.1.3 Social Recommendation

In contrast to collaborative recommendation, which treats all users as equal for recommendation, social recommendation makes use of certain links to help calculate similarity between users. Additional information help with recommendation. It has been shown that users are more likely to have the same preference with their friends than with other random users.

These links could be connections between users in social networks like Facebook and MySpace, or some other measure of user interaction and similarity.

1.2 Questions Studied

For collaboration recommendation we conduct a performance comparison between k-nearest neighbors, probabilistic matrix factorization, and feature matrix factorization used in matchbox. Experiments are conducted on the MovieLens dataset.

For social recommendation we conduct a performance comparison between k-nearest neighbors, support vector machines, feature matrix factorization, and social matrix factorization. Experiments are conducted on Facebook data that was collected as part of this project.

In this paper we also ask how best to model social relationships between users in Facebook to help with recommendation, and whether that social aspect actually improves on recommendation. We experiment with different models of social interaction between users in Facebook. These are the friend relationship links between users, a normalized sum of all interactions between 2 users, and a normalized sum of all similar likes between 2 users. We experimented with different ways of normalizing the sums, sigmoidal and non-sigmoidal functions, and different methods of optimization.

1.3 Resources

Facebook is a social networking service that is currently the largest in the world. As of July 2011 it has more than 750 million active users. Users in Facebook create a profile and establish "friend" connections between users to establish their social network. Each user has a "wall" where they and their friends can make posts to. Users can make posts to their wall or to their friend's wall. Posts can be links, photos, or plain status messages.

LinkR is a Facebook application that was developed at ANU to gather data about users on Facebook. Once installed at their account, LinkR collects information on the user and their friends. For the comparison experiments for social recommendation, this paper uses Facebook data that was collected during the LinkR research project at the Australian National University. This data contains all the profile information of users, as well as their interaction history on Facebook like their friend links, their wall postings like statuses and links, which posts they have liked, which photos and posts they've been tagged with, and various other information that they have shared on Facebook.

1.4 Chapter Outline

In the following chapters we will show that social information can be an effective tool that can be used to improve recommender systems. In Chapter 2 I discuss the background of the project, the notations, evaluation metrics, description of algorithms, as well as other resources that were used for this paper.

In Chapter 3 I discuss and compare various collaboration recommendation algorithms and run them on the MovieLens dataset. Collaboration algorithms discussed are K-Nearest Neighbors, Probabilistic Matrix Factorization, and Probabilistic Matrix

Factorization with features. I also discuss various methods for optimizations such as gradient descent, line search, and the quasi-Newton Limited-memory Broyden-Fletcher-Goldfarb-Shanno method.

In Chapter 4 I discuss and compare various social and non-social recommendation algorithms and run them on a Facebook dataset that was compiled as part of this project. I also discuss preliminary results of the bigger Facebook project that this paper is a part of.

In Chapter 5 I give my conclusions from my work and future directions that this research could go to.

Background

2.1 Notation

This paper uses boldface uppercase letters, like \mathbf{U} , to denote matrices. Boldface lowercase letters, like \mathbf{v} , are used to denote vectors. \mathbf{U}_{ij} denotes the i th row and j th column of the matrix \mathbf{U} , and \mathbf{v}_i denotes the i th element in the vector \mathbf{v} . λ and β are the regularization parameters. Additionally, our model for social recommendations needs the following:

- N users, each having an I -element feature vector $\mathbf{x} \in \mathbb{R}^I$ (alternately if a second user is needed, $\mathbf{z} \in \mathbb{R}^I$).
- M items, each having a J -element feature vector $\mathbf{y} \in \mathbb{R}^J$. The feature vectors for users and items can consist of any real-valued features as well as $\{0, 1\}$ features like user and item IDs.
- A (non-exhaustive) data set D of user preferences of the form $D = \{(\mathbf{x}, \mathbf{y}) \rightarrow R_{\mathbf{x}, \mathbf{y}}\}$ where class $R_{\mathbf{x}, \mathbf{y}} \in \{0 \text{ (dislike)}, 1 \text{ (like)}\}$.
- A (non-exhaustive) data set C of co-preferences derived from D of the form $C = \{(\mathbf{x}, \mathbf{z}, \mathbf{y}) \rightarrow P_{\mathbf{x}, \mathbf{z}, \mathbf{y}}\}$ where class $P_{\mathbf{x}, \mathbf{z}, \mathbf{y}} \in \{-1 \text{ (disagree)}, 1 \text{ (agree)}\}$.
- A similarity rating $S_{\mathbf{x}, \mathbf{z}}$ between any users \mathbf{x} and \mathbf{z} . This is used to summarize all social interaction between user \mathbf{x} and user \mathbf{z} in the term $S_{\mathbf{x}, \mathbf{z}} \in \mathbb{R}$. A definition of $S_{\mathbf{x}, \mathbf{z}} \in \mathbb{R}$ that has been useful is the following:

$$Int_{\mathbf{x}, \mathbf{z}} = \frac{\# \text{ interactions between } \mathbf{x} \text{ and } \mathbf{z}}{\text{average } \# \text{ interactions between all user pairs}} \quad (2.1)$$

$$S_{\mathbf{x}, \mathbf{z}} = \ln(Int_{\mathbf{x}, \mathbf{z}}) \quad (2.2)$$

For purposes of this definition, an *interaction* is any single event showing evidence that users \mathbf{x} and \mathbf{z} have interacted, e.g., a message exchange or being tagged in a photo together.

In addition, we can define $S_{\mathbf{x}, \mathbf{z}}^+$, a *non-negative* variant of $S_{\mathbf{x}, \mathbf{z}}$:

$$S_{\mathbf{x}, \mathbf{z}}^+ = \ln(1 + Int_{\mathbf{x}, \mathbf{z}}) \quad (2.3)$$

The matrix \mathbf{R} is a sparse $N \times M$ matrix of user ratings on items. The problem of recommendation is filling out the empty elements of this matrix, and this can be looked at as a linear regression problem. There are two general ways that this has been done previously, Content-based Filtering (CBF) and Collaborative Filtering (CF). Content-based filtering makes recommendations based on correlations between the item features and the user's preferences on other items. In collaborative filtering, the system makes recommendations based on the correlation between other user's with similar preferences. Most traditional CBF methods learn in an explicit feature space, while most traditional CF methods learn in a latent feature space.

2.2 Collaborative Filtering Algorithms

2.2.1 K-Nearest Neighbor

The k -nearest neighbor algorithm is a method of pattern recognition that is based on the k closest training data in the feature space. There are two main variants of nearest neighbors for collaborative recommendation, user-based and item-based. Given a user u and an item i , let $N(u : i)$ be the set of nearest neighbors of u that have also given a rating for i , $N(i : u)$ be the set of nearest neighbors of i that have also been rated by u , $s_{uu'}$ the similarity rating between users u and u' , and $s_{ii'}$ be the similarity rating for items i and i' . The predicted rating the user u gives item i in the user-based approach is then calculated as

$$r_{ui} = \frac{\sum_{v \in N(u:i)} s_{uv} r_{uv}}{\sum_{v \in N(u:i)} s_{uv}}$$

The item-based approach is calculated as

$$r_{ui} = \frac{\sum_{j \in N(i:u)} s_{ij} r_{uj}}{\sum_{j \in N(i:u)} s_{ij}}$$

The question of which approach to use depends on the dataset. When the number of items is far fewer than the number of users, it has been found that the item-based approach usually provides better predictions as well as being more efficient in computations.

2.2.2 Support Vector Machines

Support Vector Machines are a class of supervised learning classification algorithms that uses a hyperplane separating approach. During training, SVM builds a model by constructing a set of hyperplanes that separates one class of data from another class with the maximum margin possible. Data are classified by finding out on which side of a hyperplane they fall under.

For the experiments, SVM uses a fixed-length feature vector $\mathbf{f} \in \mathbb{R}^F$ derived from any $(\mathbf{x}, \mathbf{y}) \in D$, denoted as $\mathbf{f}_{\mathbf{x}, \mathbf{y}}$. $\mathbf{f}_{\mathbf{x}, \mathbf{y}}$ may include features that are non-zero only for

specific items and/or users, e.g., a $\{0, 1\}$ indicator feature that user \mathbf{x} and user \mathbf{z} have both liked item \mathbf{y} .

2.3 Matrix Factorization Models

2.3.1 Objective components

We take a composable approach to collaborative filtering (CF) systems where a (social) CF minimization objective Obj is composed of sums of one or more objective components:

$$Obj = \sum_i \lambda_i Obj_i \quad (2.4)$$

Because each objective may be weighted differently, a weighting term $\lambda_i \in \mathbb{R}$ for each component that should be optimized via cross-validation.

Most target predictions are binary classification-based ($\{0, 1\}$), therefore in the objectives a sigmoidal transform

$$\sigma(o) = \frac{1}{1 + e^{-o}} \quad (2.5)$$

of regressor outputs $o \in \mathbb{R}$ is used to squash it to the range $[0, 1]$. In places where the σ transform may be optionally included, this is written as $[\sigma]$.

2.3.2 Matchbox Matrix Factorization

As done in standard CF methods, we assume that a matrix U allows us to project users \mathbf{x} (and \mathbf{z}) into a latent space of dimensionality K ; likewise we assume that a matrix V allows us to project items \mathbf{y} into a latent space also of dimensionality K . Formally we define U and V as follows:

$$U = \begin{bmatrix} U_{1,1} & \dots & U_{1,I} \\ \vdots & U_{k,i} & \vdots \\ U_{K,1} & \dots & U_{K,I} \end{bmatrix} \quad V = \begin{bmatrix} V_{1,1} & \dots & V_{1,J} \\ \vdots & V_{k,j} & \vdots \\ V_{K,1} & \dots & V_{K,J} \end{bmatrix}$$

Now we can respectively represent the latent projections of user and item as $(U\mathbf{x})_{1\dots K}$ and $(V\mathbf{y})_{1\dots K}$ and hence use $\langle U\mathbf{x}, V\mathbf{y} \rangle = \mathbf{x}^T U^T V \mathbf{y}$ as a latent bilinear regressor. The objective component for this model that we seek to minimize is:

$$\sum_{(\mathbf{x}, \mathbf{y}) \in D} \frac{1}{2} (R_{\mathbf{x}, \mathbf{y}} - [\sigma] \mathbf{x}^T U^T V \mathbf{y})^2 \quad (2.6)$$

2.3.3 L2 Regularization

To help in generalization, it is important to regularize the free parameters U and V to prevent overfitting in the presence of sparse data. This can be done with the L_2 regularizer that models a prior of 0 on the parameters. The objective components for the L2 regularizers are

$$\frac{1}{2}\|U\|_{\text{Fro}}^2 = \frac{1}{2}\text{tr}(U^T U)$$

$$\frac{1}{2}\|V\|_{\text{Fro}}^2 = \frac{1}{2}\text{tr}(V^T V)$$

2.3.4 Social Regularization

The social aspect of social recommendation is implemented as a regularizer on the user matrix. What this objective component does is constrain users with a high similarity rating to have the same values in the latent feature space. This models the assumption that users who are similar socially should have the same preferences for items.

$$\begin{aligned} \sum_{\mathbf{x}} \sum_{\mathbf{z} \in \text{friends}(\mathbf{x})} \frac{1}{2}(S_{\mathbf{x},\mathbf{z}} - \langle U\mathbf{x}, U\mathbf{z} \rangle)^2 \\ = \sum_{\mathbf{x}} \sum_{\mathbf{z} \in \text{friends}(\mathbf{x})} \frac{1}{2}(S_{\mathbf{x},\mathbf{z}} - \mathbf{x}^T U^T U \mathbf{z})^2 \end{aligned}$$

2.3.5 Derivatives

We seek to optimize sums of the above objectives and will use gradient descent for this purpose.

For the overall objective, the partial derivative w.r.t. parameters \mathbf{a} are as follows:

$$\begin{aligned} \frac{\partial}{\partial \mathbf{a}} \text{Obj} &= \frac{\partial}{\partial \mathbf{a}} \sum_i \lambda_i \text{Obj}_i \\ &= \sum_i \lambda_i \frac{\partial}{\partial \mathbf{a}} \text{Obj}_i \end{aligned}$$

Previously we noted that that we may want to transform some of the regressor outputs $o[\cdot]$ using $\sigma(o[\cdot])$. This is convenient for our partial derivatives as

$$\frac{\partial}{\partial \mathbf{a}} \sigma(o[\cdot]) = \sigma(o[\cdot])(1 - \sigma(o[\cdot])) \frac{\partial}{\partial \mathbf{a}} o[\cdot]. \quad (2.7)$$

Hence anytime a $[\sigma(o[\cdot])]$ is optionally introduced in place of $o[\cdot]$, we simply insert $[\sigma(o[\cdot])(1 - \sigma(o[\cdot]))]$ in the corresponding derivatives below.¹

¹We note that our experiments using the sigmoidal transform in objectives with $[0, 1]$ predictions do not generally demonstrate a clear advantage vs. the omission of this transform as originally written

Before we proceed to our objective gradients, we define abbreviations for two useful vectors:

$$\begin{aligned}\mathbf{s} &= U\mathbf{x} & \mathbf{s}_k &= (U\mathbf{x})_k; \ k = 1 \dots K \\ \mathbf{t} &= V\mathbf{y} & \mathbf{t}_k &= (V\mathbf{y})_k; \ k = 1 \dots K\end{aligned}$$

Now we proceed to derivatives for the previously defined primary objective components:

- **Matchbox Matrix Factorization:** Here we define alternating partial derivatives between U and V , holding one constant and taking the derivative w.r.t. the other:²

$$\begin{aligned}\frac{\partial}{\partial U} Obj_{pmcf} &= \frac{\partial}{\partial U} \sum_{(\mathbf{x}, \mathbf{y}) \in D} \frac{1}{2} \left(\underbrace{(R_{\mathbf{x}, \mathbf{y}} - [\sigma] \overbrace{x^T U^T V \mathbf{y}}^{o_{\mathbf{x}, \mathbf{y}}})}_{\delta_{\mathbf{x}, \mathbf{y}}} \right)^2 \\ &= \sum_{(\mathbf{x}, \mathbf{y}) \in D} \delta_{\mathbf{x}, \mathbf{y}} \frac{\partial}{\partial U} - [\sigma] \mathbf{x}^T U^T \mathbf{t} \\ &= - \sum_{(\mathbf{x}, \mathbf{y}) \in D} \delta_{\mathbf{x}, \mathbf{y}} [\sigma(o_{\mathbf{x}, \mathbf{y}})(1 - \sigma(o_{\mathbf{x}, \mathbf{y}}))] \mathbf{t} \mathbf{x}^T \\ \frac{\partial}{\partial V} Obj_{pmcf} &= \frac{\partial}{\partial V} \sum_{(\mathbf{x}, \mathbf{y}) \in D} \frac{1}{2} \left(\underbrace{(R_{\mathbf{x}, \mathbf{y}} - [\sigma] \overbrace{x^T U^T V \mathbf{y}}^{o_{\mathbf{x}, \mathbf{y}}})}_{\delta_{\mathbf{x}, \mathbf{y}}} \right)^2 \\ &= \sum_{(\mathbf{x}, \mathbf{y}) \in D} \delta_{\mathbf{x}, \mathbf{y}} \frac{\partial}{\partial V} - [\sigma] \mathbf{s}^T V \mathbf{y} \\ &= - \sum_{(\mathbf{x}, \mathbf{y}) \in D} \delta_{\mathbf{x}, \mathbf{y}} [\sigma(o_{\mathbf{x}, \mathbf{y}})(1 - \sigma(o_{\mathbf{x}, \mathbf{y}}))] \mathbf{s} \mathbf{y}^T\end{aligned}$$

For the regularization objective components, the derivatives are:

- L_2 U regularization:

$$\begin{aligned}\frac{\partial}{\partial U} Obj_{ru} &= \frac{\partial}{\partial U} \frac{1}{2} \text{tr}(U^T U) \\ &= U\end{aligned}$$

- L_2 V regularization:

$$\begin{aligned}\frac{\partial}{\partial V} Obj_{rv} &= \frac{\partial}{\partial V} \frac{1}{2} \text{tr}(V^T V) \\ &= V\end{aligned}$$

(although they do not demonstrate a clear disadvantage either).

²We will use this method of alternation for all objective components that involve bilinear terms.

- **Social regularization:**

$$\begin{aligned}
\frac{\partial}{\partial U} Obj_{rs} &= \frac{\partial}{\partial U} \sum_{\mathbf{x}} \sum_{\mathbf{z} \in \text{friends}(\mathbf{x})} \frac{1}{2} \left(\underbrace{S_{\mathbf{x},\mathbf{z}} - \mathbf{x}^T U^T U \mathbf{z}}_{\delta_{\mathbf{x},\mathbf{y}}} \right)^2 \\
&= \sum_{\mathbf{x}} \sum_{\mathbf{z} \in \text{friends}(\mathbf{x})} \delta_{\mathbf{x},\mathbf{y}} \frac{\partial}{\partial U} - \mathbf{x}^T U^T U \mathbf{z} \\
&= - \sum_{\mathbf{x}} \sum_{\mathbf{z} \in \text{friends}(\mathbf{x})} \delta_{\mathbf{x},\mathbf{y}} U (\mathbf{x} \mathbf{z}^T + \mathbf{z} \mathbf{x}^T)
\end{aligned}$$

Hence, for any choice of primary objective and one or more regularizers, we simply add the derivatives for U and/or V according to (2.7).

Evaluation of Social Recommendation Systems

3.1 Evaluation Metrics

We define True Positives (TP) to be the count of relevant items that were returned by the algorithm, False Positives (FP) to be the count of non-relevant items that were returned by the algorithm, True Negatives (TN) to be the count of non-relevant items that weren't returned by the algorithm, and False Negatives (FN) to be the non-relevant items that were returned by the algorithm.

Precision is a measure of what fraction of items returned by the algorithm were actually relevant.

$$Precision = \frac{TP}{TP + FP}$$

For some queries, results are returned as a ranked list. Therefore the position of an item in the list must also be evaluated, not just whether the item is in the returned list or not. A metric that does this is Average Precision, which computes the precision at every position in a ranked sequence of documents. If k is the rank in a sequence of retrieved documents, n is the number of retrieved documents, and $P(k)$ is the precision at cut-off k in the list. $rel(k)$ is an indicator function equalling 1 if the item at position k is a relevant document, and 0 otherwise. The average precision can be calculated as

$$AveP = \frac{\sum_{k=1}^n (P(k) \times rel(k))}{\text{number of relevant documents}}$$

The main metric we use in this paper is the mean average precision (MAP) Since we make a recommendation for each user, these recommendations can be viewed as a separate query per user, and evaluate the average precision for each one. Getting the mean of all the average precisions gives us an effective metric for the entire recommendation system.

$$MAP = \frac{\sum_{q=1}^Q AveP(q)}{Q}$$

3.2 Design Choices

3.2.1 Facebook and LinkR data

Using the LinkR Facebook application developed for this project, we were able to gather data on 34,245 users and 407,887 links.¹ Data available on the users are:

- Basic user features: *gender*, *birthday*, *location*, and *hometown*.
- Mapping whether users \mathbf{x} and \mathbf{z} are friends.
- Interactions on Facebook between users \mathbf{x} and \mathbf{z} .

Data available on the links are:

- User who posted the link
- The user on whose wall the link was posted
- User's description of the link
- Link summary from the webpage
- Number of times the link has been liked
- Number of times the link has been shared
- Number of comments posted on the link
- List of users that have 'liked' the link.

Additionally, links that have been recommended by the LinkR application have the following extra features:

- List of users who have clicked on the url.
- Optional "Like" or "Dislike" rating of the LinkR user on the link.

We also consider the users who posted the link to have implicitly liked it already. Outside of the "Dislike" ratings that we are able to get from the LinkR data, there is no other functionality within Facebook itself that allows users to explicitly define which link they do not like. Therefore, we need some way to infer disliked links during training. During training we consider links that were posted by the user's friends and which they have not likes as an evidence that they dislike a link. This is actually a big assumption as in a lot of cases given the nature of the Facebook news feed they may simply have not seen the link yet, and may actually like the link if they see it. Nevertheless, we find in our passive experiment and in live trial that this assumption is still useful.

¹As of October 18, 2011, 12:15am

3.2.2 Training Data

Because of the sheer size of the Facebook data, it was impractical to run training and recommendations over the entire dataset. To keep the runtime of our experiments within reason, we used only the most recent 4 weeks of data for training the recommenders. This also helps alleviate some temporal aspects of the user's changing preferences, i.e., what the user liked last year may not be the same as what he or she likes this year. We also distinguish between the three types of link like/dislike data we can get from the dataset:

- **ACTIVE:** The explicit "Like" and "Dislike" rating that an application user gives on a recommended link. In addition to this, a click by a user on a recommended link also counts as a like by that user on that particular link. Only LinkR users have this data.
- **PASSIVE:** The like data given to us by Facebook through the Graph API, plus the inferred dislikes as detailed above.
- **UNION:** Combination of the ACTIVE and PASSIVE data.

3.2.3 Live Online Recommendations

For the recommendation made to the LinkR application users, we select only links posted in the most recent two weeks that the user has not liked. We use only the links from the last two weeks because we consider recency to be a big issue. Older links have a greater chance of being about things that are outdated already, or worse, the URL for the link may be broken and not working anymore. We have settled on recommending three links per day to the LinkR users and according to the survey done at the end of the first trial, three links per day seems to be just the right number.

For the live trials, Facebook users who installed the LinkR application were randomly assigned one of the four algorithms in each of the two trials. Users were not informed which algorithm was assigned to them to remove any bias. We distinguish our recommended links into two major classes, links that were posted by the LinkR user's friends and links that were posted by users other than the LinkR user's friends. The reason for this is we wished to see whether the users would prefer recommended links from friends or from strangers. The LinkR users were encouraged to rate the links that were recommended to them, and even provide feedback comments on the specific links. In turn these ratings became part of the training data for the recommendation algorithms, and thus was used to improve the performance of the algorithms over time. Based on the user feedback, we filtered out non-English links and links without any descriptions from the recommendations to prevent user annoyance.

At the end of the first trial, we conducted a user survey with the LinkR users to find out how satisfied they were with the recommendations they were getting.



Figure 3.1: Screenshot a LinkR recommendation with the rating and feedback options.

3.2.4 Test Data

Our offline testing was used to tune the λ parameter values for the various regularizers and for deciding which recommendation algorithms to use in the live trials. Similar to our selection for training data, the test data used for our passive experiment also uses only the most recent 4 weeks of data. We distinguish the test data into the following classes:

- **FB-USER-PASSIVE:** The PASSIVE like/dislike data from all Facebook users in the dataset.
- **APP-USER-PASSIVE:** The PASSIVE like/dislike data from only the LinkR application users.
- **APP-USER-ACTIVE-FRIENDS:** The ACTIVE like/dislike data for the LinkR users, but only for friend recommended links.
- **APP-USER-ACTIVE-NON-FRIENDS:** The ACTIVE like/dislike data for the LinkR users, but only for non-friend recommended links.
- **APP-USER-ACTIVE-ALL:** The entire active like/dislike data for the LinkR users.

During passive experiments, we simply select which combination of training data and testing data to use. This helped us see which training-test data combination best reflected the results of the live trials. Eventually, it was found that using UNION data for training and testing on APP-USER-ACTIVE-ALL best reflected the results of the live trials.

In cases where training and testing data overlap, i.e., training on PASSIVE and testing on APP-USER-PASSIVE, we get a random 20% subset of the training data per user for testing. These links are then removed from the training data to ensure that the set of links in the training data and set of links in the test data are disjoint.

Comparison of Existing Recommender Systems

The first live user trial run from August 1 to October 13. The recommendations used for this trial were

- k -Nearest Neighbor
- Support Vector Machines
- Matchbox MF + L2 Regularization
- Matchbox MF + Social Regularization + L2 Regularization

4.1 Passive Results

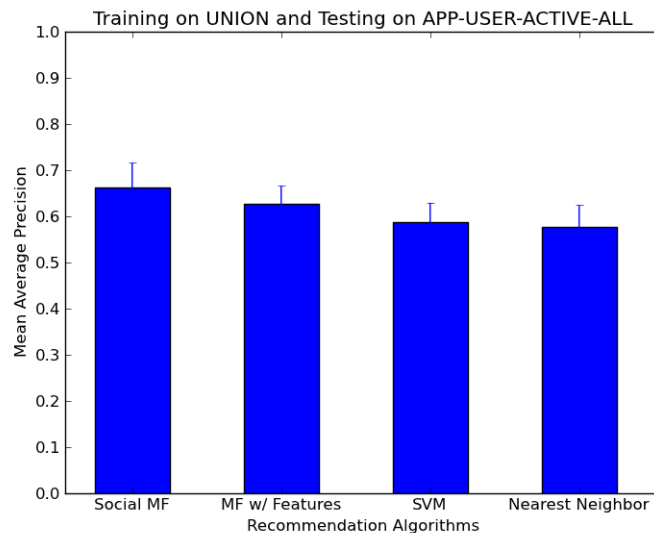


Figure 4.1: Passive Results of First Trial

4.2 Online Results

Algorithm	Users
Matchbox MF	26
Nearest Neighbor	25
SVM	25
Social MF	25

Table 4.1: Number of Users Assigned per Algorithm.

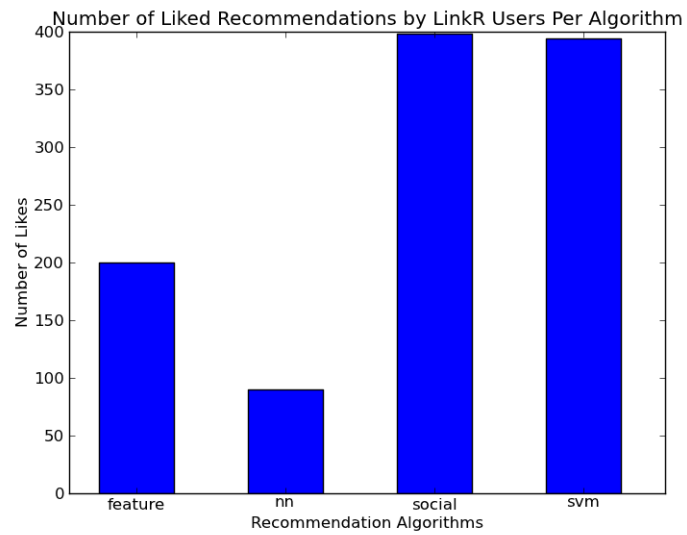


Figure 4.2: Number of Liked Recommendations per Algorithm

4.3 Analysis

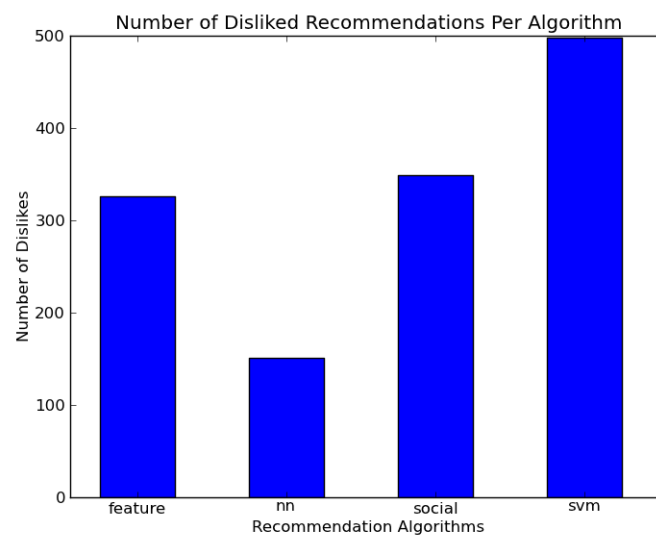


Figure 4.3: Number of Disliked Recommendations per Algorithm

New Algorithms for Social Recommendation

After the first trial, we made use of the results and what we learned to come up with new algorithms for social recommendation. Again, these new algorithms each form a component of a minimization objective Obj which is composed of sums of one or more objective components:

$$Obj = \sum_i \lambda_i Obj_i \quad (5.1)$$

Again, a sigmoidal transform

$$\sigma(o) = \frac{1}{1 + e^{-o}} \quad (5.2)$$

of regressor outputs $o \in \mathbb{R}$ is used to squash the outputs to the range $[0, 1]$. In places where the σ transform may be optionally included, this is written as $[\sigma]$.

5.1 Hybrid Objective

Because of the good performance of SVM, we decided to make use of its features $\mathbf{f}_{\mathbf{x},\mathbf{y}}$ to make another linear regressor. Using $\langle \cdot, \cdot \rangle$ to denote an inner product, we define a weight vector $\mathbf{w} \in \mathbb{R}^F$ such that $\langle \mathbf{w}, \mathbf{f}_{\mathbf{x},\mathbf{y}} \rangle = \mathbf{w}^T \mathbf{f}_{\mathbf{x},\mathbf{y}}$ is the prediction of the system. The objective component of linear CBF is therefore

$$\sum_{(\mathbf{x},\mathbf{y}) \in D} \frac{1}{2} (R_{\mathbf{x},\mathbf{y}} - [\sigma] \mathbf{w}^T \mathbf{f}_{\mathbf{x},\mathbf{y}})^2 \quad (5.3)$$

However instead of using this linear CBF model by itself, we combine its predictions with the Matchbox matrix factorization prediction model $[\sigma] \mathbf{x}^T U^T V y$, to get a hybrid objective component. The full objective component for this hybrid model is

$$\sum_{(\mathbf{x}, \mathbf{y}) \in D} \frac{1}{2} (R_{\mathbf{x}, \mathbf{y}} - [\sigma] \mathbf{w}^T \mathbf{f}_{\mathbf{x}, \mathbf{y}} - [\sigma] \mathbf{x}^T U^T V \mathbf{y})^2 \quad (5.4)$$

5.2 New Social Regularizers

5.2.1 Social Spectral Regularization

$$\begin{aligned} & \sum_{\mathbf{x}} \sum_{\mathbf{z} \in \text{friends}(\mathbf{x})} \frac{1}{2} S_{\mathbf{x}, \mathbf{z}}^+ \|U\mathbf{x} - U\mathbf{z}\|_2^2 \\ &= \sum_{\mathbf{x}} \sum_{\mathbf{z} \in \text{friends}(\mathbf{x})} \frac{1}{2} S_{\mathbf{x}, \mathbf{z}}^+ \|U(\mathbf{x} - \mathbf{z})\|_2^2 \\ &= \sum_{\mathbf{x}} \sum_{\mathbf{z} \in \text{friends}(\mathbf{x})} \frac{1}{2} S_{\mathbf{x}, \mathbf{z}}^+ (\mathbf{x} - \mathbf{z})^T U^T U (\mathbf{x} - \mathbf{z}) \end{aligned} \quad (5.5)$$

Note: standard spectral regularization assumes $S_{\mathbf{x}, \mathbf{z}}^+ \in [0, 1]$; however we may also want to try $S_{\mathbf{x}, \mathbf{z}}$ since a negative value actively encourages the latent spaces to oppose each other, which may be desired.

5.2.2 Social Co-preference Regularization

A crucial aspect missing from other SCF methods is that while two users may not be globally similar or opposite in their preferences, there may be sub-areas of their interests which can be correlated to each other. For example, two friends may have similar interests concerning music, but different interests concerning politics. The social co-preference regularizers aim to learn such selective co-preferences. The motivation is to constrain users \mathbf{x} and \mathbf{z} who have similar or opposing preferences to be similar or opposite in the same latent space relevant to item \mathbf{y} .

We use $\langle \cdot, \cdot \rangle_\bullet$ to denote a reweighted inner product. The objective component for social co-preference regularization along with its expanded form is

$$\begin{aligned} & \sum_{(\mathbf{x}, \mathbf{z}, \mathbf{y}) \in C} \frac{1}{2} (P_{\mathbf{x}, \mathbf{z}, \mathbf{y}} - \langle U\mathbf{x}, U\mathbf{z} \rangle_{V\mathbf{y}})^2 \\ &= \sum_{(\mathbf{x}, \mathbf{z}, \mathbf{y}) \in C} \frac{1}{2} (P_{\mathbf{x}, \mathbf{z}, \mathbf{y}} - \mathbf{x}^T U^T \text{diag}(V\mathbf{y}) U \mathbf{z})^2 \end{aligned} \quad (5.6)$$

5.2.3 Social Co-preference Spectral Regularization

This is the same as the social co-preference regularization above, except that it uses the spectral regularizer format for learning the co-preferences.

We use $\|\cdot\|_{2,\bullet}$ to denote a re-weighted L_2 norm. The objective component for social co-preference spectral regularization along with its expanded form is

$$\begin{aligned}
& \sum_{(\mathbf{x}, \mathbf{z}, \mathbf{y}) \in C} \frac{1}{2} P_{\mathbf{x}, \mathbf{z}, \mathbf{y}} \|U\mathbf{x} - U\mathbf{z}\|_{2, V\mathbf{y}}^2 \\
&= \sum_{(\mathbf{x}, \mathbf{z}, \mathbf{y}) \in C} \frac{1}{2} P_{\mathbf{x}, \mathbf{z}, \mathbf{y}} \|U(\mathbf{x} - \mathbf{z})\|_{2, V\mathbf{y}}^2 \\
&= \sum_{(\mathbf{x}, \mathbf{z}, \mathbf{y}) \in C} \frac{1}{2} P_{\mathbf{x}, \mathbf{z}, \mathbf{y}} (\mathbf{x} - \mathbf{z})^T U^T \text{diag}(V\mathbf{y}) U (\mathbf{x} - \mathbf{z}) \tag{5.7}
\end{aligned}$$

5.2.4 Derivatives

As before, we seek to optimize sums of the above objectives and will use gradient descent for this purpose. We again use the following useful abbreviations:

$$\begin{aligned}
\mathbf{s} &= U\mathbf{x} & \mathbf{s}_k &= (U\mathbf{x})_k; \ k = 1 \dots K \\
\mathbf{t} &= V\mathbf{y} & \mathbf{t}_k &= (V\mathbf{y})_k; \ k = 1 \dots K
\end{aligned}$$

The derivatives for the linear CBF and hybrid objective functions, as well as the new social regularizers are

- **Explicit Linear CBF:**

$$\begin{aligned}
\frac{\partial}{\partial \mathbf{w}} \text{Obj}_{pcbf} &= \frac{\partial}{\partial \mathbf{w}} \sum_{(\mathbf{x}, \mathbf{y}) \in D} \frac{1}{2} \left(\underbrace{(R_{\mathbf{x}, \mathbf{y}} - [\sigma] \overbrace{\mathbf{w}^T \mathbf{f}_{\mathbf{x}, \mathbf{y}}}^{o_{\mathbf{x}, \mathbf{y}}})}_{\delta_{\mathbf{x}, \mathbf{y}}} \right)^2 \\
&= \sum_{(\mathbf{x}, \mathbf{y}) \in D} \delta_{\mathbf{x}, \mathbf{y}} \frac{\partial}{\partial \mathbf{w}} - [\sigma] \mathbf{w}^T \mathbf{f}_{\mathbf{x}, \mathbf{y}} \\
&= - \sum_{(\mathbf{x}, \mathbf{y}) \in D} \delta_{\mathbf{x}, \mathbf{y}} [\sigma(o_{\mathbf{x}, \mathbf{y}})(1 - \sigma(o_{\mathbf{x}, \mathbf{y}}))] \mathbf{f}_{\mathbf{x}, \mathbf{y}}
\end{aligned}$$

- **Hybrid:**

$$\begin{aligned}
\frac{\partial}{\partial \mathbf{w}} \text{Obj}_{phy} &= \frac{\partial}{\partial \mathbf{w}} \sum_{(\mathbf{x}, \mathbf{y}) \in D} \frac{1}{2} \left(\underbrace{R_{\mathbf{x}, \mathbf{y}} - [\sigma] \overbrace{\mathbf{w}^T \mathbf{f}_{\mathbf{x}, \mathbf{y}}}^{o_{\mathbf{x}, \mathbf{y}}^1} - [\sigma] \mathbf{x}^T U^T V \mathbf{y}}_{\delta_{\mathbf{x}, \mathbf{y}}} \right)^2 \\
&= \sum_{(\mathbf{x}, \mathbf{y}) \in D} \delta_{\mathbf{x}, \mathbf{y}} \frac{\partial}{\partial \mathbf{w}} - [\sigma] \mathbf{w}^T \mathbf{f}_{\mathbf{x}, \mathbf{y}} \\
&= - \sum_{(\mathbf{x}, \mathbf{y}) \in D} \delta_{\mathbf{x}, \mathbf{y}} [\sigma(o_{\mathbf{x}, \mathbf{y}}^1)(1 - \sigma(o_{\mathbf{x}, \mathbf{y}}^1))] \mathbf{f}_{\mathbf{x}, \mathbf{y}}
\end{aligned}$$

$$\begin{aligned}
\frac{\partial}{\partial U} Obj_{phy} &= \frac{\partial}{\partial U} \sum_{(\mathbf{x}, \mathbf{y}) \in D} \frac{1}{2} \left(\underbrace{R_{\mathbf{x}, \mathbf{y}} - [\sigma] \mathbf{w}^T \mathbf{f}_{\mathbf{x}, \mathbf{y}} - [\sigma] \overbrace{\mathbf{x}^T U^T V \mathbf{y}}^{o_{\mathbf{x}, \mathbf{y}}^2}}_{\delta_{\mathbf{x}, \mathbf{y}}} \right)^2 \\
&= \sum_{(\mathbf{x}, \mathbf{y}) \in D} \delta_{\mathbf{x}, \mathbf{y}} \frac{\partial}{\partial U} - [\sigma] \mathbf{x}^T U^T V \mathbf{y} \\
&= - \sum_{(\mathbf{x}, \mathbf{y}) \in D} \delta_{\mathbf{x}, \mathbf{y}} [\sigma (o_{\mathbf{x}, \mathbf{y}}^2) (1 - \sigma(o_{\mathbf{x}, \mathbf{y}}^2))] \mathbf{t} \mathbf{x}^T \\
\frac{\partial}{\partial V} Obj_{phy} &= \frac{\partial}{\partial V} \sum_{(\mathbf{x}, \mathbf{y}) \in D} \frac{1}{2} \left(\underbrace{R_{\mathbf{x}, \mathbf{y}} - [\sigma] \mathbf{w}^T \mathbf{f}_{\mathbf{x}, \mathbf{y}} - [\sigma] \overbrace{\mathbf{x}^T U^T V \mathbf{y}}^{o_{\mathbf{x}, \mathbf{y}}^2}}_{\delta_{\mathbf{x}, \mathbf{y}}} \right)^2 \\
&= \sum_{(\mathbf{x}, \mathbf{y}) \in D} \delta_{\mathbf{x}, \mathbf{y}} \frac{\partial}{\partial V} - [\sigma] \mathbf{x}^T U^T V \mathbf{y} \\
&= - \sum_{(\mathbf{x}, \mathbf{y}) \in D} \delta_{\mathbf{x}, \mathbf{y}} [\sigma (o_{\mathbf{x}, \mathbf{y}}^2) (1 - \sigma(o_{\mathbf{x}, \mathbf{y}}^2))] \mathbf{s} \mathbf{y}^T
\end{aligned}$$

• **Social spectral regularization:**

$$\begin{aligned}
\frac{\partial}{\partial U} Obj_{rss} &= \frac{\partial}{\partial U} \sum_{\mathbf{x}} \sum_{\mathbf{z} \in \text{friends}(\mathbf{x})} \frac{1}{2} S_{\mathbf{x}, \mathbf{z}}^+ (\mathbf{x} - \mathbf{z})^T U^T U (\mathbf{x} - \mathbf{z}) \\
&= \sum_{\mathbf{x}} \sum_{\mathbf{z} \in \text{friends}(\mathbf{x})} \frac{1}{2} S_{\mathbf{x}, \mathbf{z}}^+ U ((\mathbf{x} - \mathbf{z})(\mathbf{x} - \mathbf{z})^T + (\mathbf{x} - \mathbf{z})(\mathbf{x} - \mathbf{z})^T) \\
&= \sum_{\mathbf{x}} \sum_{\mathbf{z} \in \text{friends}(\mathbf{x})} S_{\mathbf{x}, \mathbf{z}}^+ U (\mathbf{x} - \mathbf{z})(\mathbf{x} - \mathbf{z})^T
\end{aligned}$$

Before we proceed to the final derivatives, we define one additional vector abbreviation:

$$\mathbf{r} = U \mathbf{z} \quad \mathbf{r}_k = (U \mathbf{z})_k; \quad k = 1 \dots K.$$

• **Social co-preference regularization:**

$$\begin{aligned}
\frac{\partial}{\partial U} Obj_{rsc} &= \frac{\partial}{\partial U} \sum_{(\mathbf{x}, \mathbf{z}, \mathbf{y}) \in C} \frac{1}{2} \left(\underbrace{P_{\mathbf{x}, \mathbf{z}, \mathbf{y}} - \mathbf{x}^T U^T \text{diag}(V \mathbf{y}) U \mathbf{z}}_{\delta_{\mathbf{x}, \mathbf{z}, \mathbf{y}}} \right)^2 \\
&= \sum_{(\mathbf{x}, \mathbf{z}, \mathbf{y}) \in C} \delta_{\mathbf{x}, \mathbf{z}, \mathbf{y}} \frac{\partial}{\partial U} - \mathbf{x}^T U^T \text{diag}(V \mathbf{y}) U \mathbf{z} \\
&= - \sum_{(\mathbf{x}, \mathbf{z}, \mathbf{y}) \in C} \delta_{\mathbf{x}, \mathbf{z}, \mathbf{y}} (\text{diag}(V \mathbf{y})^T U \mathbf{x} \mathbf{z}^T + \text{diag}(V \mathbf{y}) U \mathbf{z} \mathbf{x}^T) \\
&= - \sum_{(\mathbf{x}, \mathbf{z}, \mathbf{y}) \in C} \delta_{\mathbf{x}, \mathbf{z}, \mathbf{y}} \text{diag}(V \mathbf{y}) U (\mathbf{x} \mathbf{z}^T + \mathbf{z} \mathbf{x}^T)
\end{aligned}$$

In the following, \circ is the Hadamard elementwise product:

$$\begin{aligned}
\frac{\partial}{\partial V} Obj_{rsc} &= \frac{\partial}{\partial V} \sum_{(\mathbf{x}, \mathbf{z}, \mathbf{y}) \in C} \frac{1}{2} (P_{\mathbf{x}, \mathbf{z}, \mathbf{y}} - \mathbf{x}^T U^T \text{diag}(V \mathbf{y}) U \mathbf{z})^2 \\
&= \frac{\partial}{\partial V} \sum_{(\mathbf{x}, \mathbf{z}, \mathbf{y}) \in C} \frac{1}{2} \left(\underbrace{P_{\mathbf{x}, \mathbf{z}, \mathbf{y}} - (\overbrace{U \mathbf{x}}^{\mathbf{s}} \circ \overbrace{U \mathbf{z}}^{\mathbf{r}})^T V \mathbf{y}}_{\delta_{\mathbf{x}, \mathbf{z}, \mathbf{y}}} \right)^2 \\
&= \sum_{(\mathbf{x}, \mathbf{z}, \mathbf{y}) \in C} \delta_{\mathbf{x}, \mathbf{z}, \mathbf{y}} \frac{\partial}{\partial V} - (\mathbf{s} \circ \mathbf{r})^T V \mathbf{y} \\
&= - \sum_{(\mathbf{x}, \mathbf{z}, \mathbf{y}) \in C} \delta_{\mathbf{x}, \mathbf{z}, \mathbf{y}} (\mathbf{s} \circ \mathbf{r}) \mathbf{y}^T
\end{aligned}$$

- **Social co-preference spectral regularization:**

$$\begin{aligned}
\frac{\partial}{\partial U} Obj_{rscs} &= \frac{\partial}{\partial U} \sum_{(\mathbf{x}, \mathbf{z}, \mathbf{y}) \in C} \frac{1}{2} P_{\mathbf{x}, \mathbf{z}, \mathbf{y}} (\mathbf{x} - \mathbf{z})^T U^T \text{diag}(V \mathbf{y}) U (\mathbf{x} - \mathbf{z}) \\
&= \sum_{(\mathbf{x}, \mathbf{z}, \mathbf{y}) \in C} \frac{1}{2} P_{\mathbf{x}, \mathbf{z}, \mathbf{y}} \left(\text{diag}(V \mathbf{y})^T U (\mathbf{x} - \mathbf{z}) (\mathbf{x} - \mathbf{z})^T \right. \\
&\quad \left. + \text{diag}(V \mathbf{y}) U (\mathbf{x} - \mathbf{z}) (\mathbf{x} - \mathbf{z})^T \right) \\
&= \sum_{(\mathbf{x}, \mathbf{z}, \mathbf{y}) \in C} P_{\mathbf{x}, \mathbf{z}, \mathbf{y}} \text{diag}(V \mathbf{y}) U (\mathbf{x} - \mathbf{z}) (\mathbf{x} - \mathbf{z})^T \\
\frac{\partial}{\partial V} Obj_{rscs} &= \frac{\partial}{\partial V} \sum_{(\mathbf{x}, \mathbf{z}, \mathbf{y}) \in C} \frac{1}{2} P_{\mathbf{x}, \mathbf{z}, \mathbf{y}} (\mathbf{x} - \mathbf{z})^T U^T \text{diag}(V \mathbf{y}) U (\mathbf{x} - \mathbf{z}) \\
&= \frac{\partial}{\partial V} \sum_{(\mathbf{x}, \mathbf{z}, \mathbf{y}) \in C} \frac{1}{2} P_{\mathbf{x}, \mathbf{z}, \mathbf{y}} (U (\mathbf{x} - \mathbf{z}) \circ U (\mathbf{x} - \mathbf{z}))^T V \mathbf{y} \\
&= \frac{1}{2} \sum_{(\mathbf{x}, \mathbf{z}, \mathbf{y}) \in C} P_{\mathbf{x}, \mathbf{z}, \mathbf{y}} (U (\mathbf{x} - \mathbf{z}) \circ U (\mathbf{x} - \mathbf{z})) \mathbf{y}^T
\end{aligned}$$

Hence, for any choice of primary objective and one or more regularizers, we simply add the derivatives for each of \mathbf{w} , U , and V according to (2.7).

5.3 Results

5.3.1 Passive Results

5.3.2 LinkR Results

Conclusion

6.1 Why this is a Very Clever Thesis

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