

# Grothendieck Sites, Fibered Categories

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AGLS, Wednesday 12 February 2004

This talk has two parts, both aimed at generalizing the notion of sheaves on a topological space.

## From “topological spaces” to “sites”

Define a **Grothendieck (pre-)topology** on a category: isomorphisms, locality, base change. Define a **site**. [Mention Grothendieck topologies with sieves, in contradistinction to the Grothendieck pretopologies we’re discussing.]

Presheaves (which do *not* depend on topology!), separated presheaves, and sheaves. Left-adjoints to forgetful functors. Sheafification.

**Indiscrete topology** (only isomorphisms): all presheaves are sheaves. **Canonical topology** (sheaf iff representable). A sheaf over one topology is automatically a sheaf over a coarser topology. We will study only subcanonical topologies (sheaf iff representable).

Any topological space has a site of open subsets. Sheaves with usual meaning.

More examples, over a scheme  $S$ : Small/big Zariski, étale, fppf (each  $U_i \rightarrow U$  is flat and locally of finite presentation), fpqc ( $\coprod U_i \rightarrow U$  is faithfully flat, and every quasi-compact open subset of  $U$  is the image of some quasi-compact open subset of  $\coprod U_i$ ) sites. [Recall a morphism of schemes is **faithfully flat** iff it’s flat and surjective. It’s **étale** iff it’s flat of relative dimension one and with regular geometric fibers. It is **quasi-compact** iff preimages of quasi-compact sets are quasi-compact. It is **quasi-separated** iff the diagonal morphism is quasi-compact. It is **locally of finite presentation** iff its restriction to any open affine subscheme upstairs mapping to an open affine subscheme downstairs corresponds to a finitely presented ring homomorphism. It is of **finite presentation** iff it’s locally of finite presentation, quasi-compact, and quasi-separated.]

**Algebraic space**: a certain kind of sheaf  $F$  on big étale site. Two equivalent definitions. Definition 1: (i) there exists an “atlas,” i.e., an étale surjective morphism  $U \rightarrow F$ , and (ii) the diagonal  $\Delta_F$  morphism is representable, quasi-compact, and separated. (Notation for Yoneda embedding is suppressed.) Definition 2: sheafification on big étale site of  $T \mapsto X(T)/R(T)$  for some *étale equivalence relation*  $R \subset X \times X$  (closed immersion so that each projection  $R \rightarrow X$  is étale, and for each  $T$ ,  $R(T) \subset X(T) \times X(T)$  is an equivalence relation in the set-theoretic sense). Example:  $X/G$  where  $G$  is a discrete group acting freely on  $X$ .

# From “presheaves” to “fibered categories”

Categories over other categories. **Cartesian morphisms** (a.k.a. “pullbacks”) upstairs.

The 2-category of **fibered categories** over a base category  $\mathcal{C}$ . Objects: fibered categories (pullbacks always exist). Morphisms: Functors over  $\mathcal{C}$  preserving Cartesian morphisms. 2-morphisms: base-preserving natural transformations (i.e., mapping to identity morphisms in  $\mathcal{C}$ ). Notation: If  $\mathcal{F} \xrightarrow{p_{\mathcal{F}}} \mathcal{C}$  and  $\mathcal{G} \xrightarrow{p_{\mathcal{G}}} \mathcal{C}$  are fibered categories, then the **fiber**  $\mathcal{F}(X)$  is the 1-subcategory of  $\mathcal{F}$  consisting of objects over  $X \in \mathcal{C}$  and *morphisms over*  $id_X$ , and  $\text{HOM}_{\mathcal{C}}(\mathcal{F}, \mathcal{G})$  is the 1-category of morphisms of fibered categories  $\mathcal{F} \rightarrow \mathcal{G}$  over  $\mathcal{C}$ .

Morally, properties of a morphism of fibered categories (being fully faithful, or equivalence of categories) can be checked locally, i.e., at the level of  $\mathcal{F}(X) \rightarrow \mathcal{G}(X)$  for each object  $X \in \mathcal{C}$ .

Category fibered in P’s = fibered category  $\mathcal{F} \xrightarrow{p_{\mathcal{F}}} \mathcal{C}$  such that, for each object  $X \in \mathcal{C}$ , the 1-category  $\mathcal{F}(X)$  is P. (Morally, we identify sets with *discrete* categories, i.e., only identity morphisms.)

**Representable category fibered in sets:** comma category  $\mathcal{C}/Y \rightarrow \mathcal{C}$ , for any object  $Y \in \mathcal{C}$ . For any object  $X \in \mathcal{C}$ , the 1-category  $(\mathcal{C}/Y)(X)$  is the *discrete* category on the set  $\text{Hom}_{\mathcal{C}}(X, Y)$ . **Two-Yoneda lemma:** there’s an *equivalence of categories* (nobody said isomorphism!)

$$\text{HOM}_{\mathcal{C}}(\mathcal{C}/X, \mathcal{F}) \xrightarrow{\text{eval}_{id_X}} \mathcal{F}(X)$$

given by evaluation at the object  $id_X : X \rightarrow X$  in  $\mathcal{C}/X$ . For objects  $X$  and  $Y$  in  $\mathcal{C}$ , there’s in fact an *isomorphism* of discrete categories

$$\text{HOM}_{\mathcal{C}}(\mathcal{C}/X, \mathcal{C}/Y) \rightarrow \text{Hom}_{\mathcal{C}}(X, Y).$$

Presheaves as categories fibered in sets. In fact, an *equivalence of categories*:

$$(\text{presheaves on } \mathcal{C}) \xrightarrow{\Gamma} (\text{categories fibered in sets over } \mathcal{C}).$$

So we can think of fibered categories as generalizations of *presheaves*. Over a site, what special kinds of fibered categories correspond to *separated sheaves* and *sheaves*? Answer: *prestacks* and *stacks*, with *descent* replacing the equalizer condition for sheaves (to be discussed next time).

A fibered category is **fibered in groupoids** iff all its morphisms are Cartesian.

**Split fibered category:** Fibered category  $F$  with subcategory  $K$  (“splitting” or “cleavage”), with same objects and identity morphisms as  $F$ , but only Cartesian morphisms, such that pullbacks are unique. Every fibered category is equivalent (*qua* fibered category) to one that admits such a splitting.

In a category  $\mathcal{C}$  with finite fiber products, a **groupoid object**

$$(X_{\text{ob}}, X_{\text{iso}}, \text{src}, \text{tar}, \text{iden}, \text{inv}, \text{mult})$$

gives rise to a category fibered in groupoids,  $\{X_{\text{ob}}/X_{\text{iso}}\} \rightarrow \mathcal{C}$ .

**Fiber product** of categories fibered in groupoids: diagrams of “2-commutative” triangles and squares, which commute only up to 2-isomorphisms.