

# January 4, 2014 - AGS on Stacks - Introduction

## I. Motivation.

Problem: When a moduli problem's objects have automorphisms, typically won't be finely represented by a scheme.

E.g.  $M_{1,1} : \text{Sch}_{\mathbb{C}}^{\text{op}} \longrightarrow \text{Sets}.$

$$S \longmapsto \left\{ \begin{array}{c} \mathcal{E} \\ \downarrow \\ S \end{array} \right\} \begin{array}{l} \text{smooth, proper,} \\ \text{geometric} \\ \text{families elliptic curves} \end{array} / \cong.$$

- Obvious guess for a moduli space of elliptic curves:

$\mathbb{A}_j^1$  - the  $j$ -line.

$$\{ \text{elliptic curves} / \mathbb{C} \} / \cong \xrightarrow[\sim]{j} \mathbb{A}_j^1.$$

bijection

- But  $\mathbb{A}_j^1$  does not classify families:

①  $\mathcal{E} \hookrightarrow \mathbb{P}^2 \times (\mathbb{A}_t^1 \setminus \{0\})$ ,  $\varphi_t: Y^2 Z = X^3 - tZ^3$

$\downarrow$   
 $\text{Corollary} \left( \begin{array}{c} \mathbb{A}_t^1 \setminus \{0\} \end{array} \right)$

②  $E_0 \times (\mathbb{A}_t^1 \setminus \{0\})$ ,  $E_0: Y^2 Z = X^3 - Z^3$

$\downarrow$   
 $\mathbb{A}_t^1 \setminus \{0\}$

$$j(\varepsilon_t) = j(E_0) = 0 \quad \forall t.$$

$\leadsto$  induced morphisms by ① and ②

$$A'_t \setminus \{0\} \longrightarrow A'_j \quad \text{are constant} = 0.$$

But,

Claim:  $\varepsilon_t \not\cong E_0 \times A'_t \setminus \{0\}$  as families, in fact generic fibers

$$\varepsilon_{t, \phi(t)} \not\cong E_{0, \phi(t)}.$$

Pf. Fix  $\phi(t) \hookrightarrow K$  alg. closure,  $t^{1/6} \in K$ . Then

$$f: \varepsilon_{t, \phi(t^{1/6})} \cong E_{0, \phi(t^{1/6})}$$

$$f: (x, y) \longmapsto (t^{-1/3}x, t^{-1/2}y)$$

$$\begin{aligned} y^2 &= x^3 - t. \\ \left(\frac{y}{t^{1/2}}\right)^2 &= \left(\frac{x}{t^{1/3}}\right)^3 - 1. \end{aligned}$$

Set  $I = \{ \text{isomorphisms } \varepsilon_{t, K} \cong E_{0, K} \} \leftarrow \begin{matrix} \text{free transitive} \\ \text{Aut}(E_0) \\ (= \mathbb{Z}/6) \end{matrix}$

$$G = \text{Gal}(K/\phi(t)).$$

These actions commute.

$\Rightarrow$  Suffice to show  $f$  not fixed by  $G$  so that there are no ~~any~~ elements in  $I$  defined over  $\phi(t)$ .

Do this by explicit calculation of action of any  $g \in G$  on  $f$ :  $\underbrace{\quad}_{\text{6-th root unity,}}$

$$I \nmid g \cdot t^{1/6} = \chi(g)t^{1/6}, \quad g \cdot f: (x, y) \longmapsto (\chi(g)^2 t^{-1/3}x, \chi(g)^3 t^{-1/2}y).$$

Rem. Since  $\mathcal{A}_1^1$  is a "coarse moduli space" for elliptic curves, 1  
 this proves there is no ~~fine~~ representing scheme  
 for  $M_{1,1}$ .

Rem. Many other examples of interesting moduli problems w/ auts,  
 $M_g^U, g \geq 2$ , Vect bundles / variety, etc. ---

Ultimate solution: Consider

$$M_{1,1}: \text{Sch}^{\text{op}} / \mathbb{Q} \longrightarrow \text{Gpds.}$$

$$S \longmapsto \left\{ \begin{array}{c} \mathcal{E} \\ \uparrow \downarrow \\ e|_S \end{array} \right\} \text{ family ell. curves}$$

don't mod  
out by  
auts!

To do this in a useful way, need to:

④ Understand in what sense  $M_{1,1}$  is a functor (fibered categories)

① Understand the gluing or sheaf-like properties (descent, stacks) of  $M_{1,1}$ .

② Understand in what sense  $M_{1,1}$  is algebraic (algebra stacks)

## II. Schemes as functors

- Scheme  $X/S$  determined by  $h_X = \text{Hom}(-, X): \text{Sch}_S^{\text{op}} \rightarrow \text{Sets}$ .

$$\left( \begin{array}{l} \text{Yoneda lemma \# says for cat. } \mathcal{C}, \\ \mathcal{C} \longrightarrow \text{Fun}(\mathcal{C}^{\text{op}}, \text{Sets}) \text{ fully faithful} \\ X \longmapsto h_X \\ \text{Hom}(h_X, F) \xrightarrow{\sim} F(X) \end{array} \right)$$

- As a warm up for alg. spaces + stacks, let's build category of schemes up in terms of affine schemes.  
- Silly but instructive.

- Set-up:  $S$  affine scheme.  $\text{Aff}_S = \text{affine schemes}/S$ .

Q: Given  $F: \text{Aff}_S^{\text{op}} \rightarrow \text{Sets}$ , when is it representable by a scheme?

- Preliminary defs.

Def.  $f: F \rightarrow G$  morphism of functors  $\mathcal{C}^{\text{op}} \rightarrow \text{Sets}$ , called relatively representable if  $\forall g: h_T \rightarrow G, T \in \mathcal{C}$

$$\begin{array}{ccc} F \times_G h_T & \longrightarrow & F \\ \downarrow \cdot \square & & \downarrow f \\ h_T & \longrightarrow & G \end{array}$$

fiber product  $F \times_G h_T$  is representable

Def.  $F, G: \text{Aff}_S^{\text{op}} \rightarrow \text{Sets}$ ,  $f: F \rightarrow G$  is an affine open (resp. closed) embedding if:

- (1)  $f$  relatively representable.
- (2)  $\forall T \in \text{Aff}_S$ ,  $g: h_T \rightarrow G$ . the morphism is an open (resp. closed) embedding of affine schemes.

$$\begin{array}{ccc}
 F \times h_T & \longrightarrow & F \\
 \downarrow G & & \downarrow f \\
 h_T & \xrightarrow{g} & G
 \end{array}$$

Def.  $F: \text{Aff}_S^{\text{op}} \rightarrow \text{Sets}$  is a big Zariski sheaf if  $\forall U \in \text{Aff}_S$ ,  $U = \bigcup U_i$  open cover by affines,

$$F(U) \longrightarrow \prod F(U_i) \rightrightarrows \prod_{(i,j) \in I \times I} F(U_i \cap U_j)$$

is exact.

Proposition:  $F: \text{Aff}_S \rightarrow \text{Set}$  representable by a separated  $S$ -scheme iff:

- (1)  $F$  is a big Zariski sheaf.
- (2) ~~∃~~  $\exists X_i \in \text{Aff}_S$ ,  $\pi_i: h_{X_i} \rightarrow F$  affine open embeddings, s.t.  $\bigsqcup_i h_{X_i} \rightarrow F$  surjective morphism of Zariski sheaves.
- (3)  $\Delta: F \rightarrow F \times F$  is an affine closed embedding.

The functor

$$h_{(-)}: (\text{separated } S\text{-schemes}) \longrightarrow (\text{functors w/ (1)-(3)})$$

is an equivalence.

Example: Fun to check  $\mathbb{P}_{\mathbb{Z}}^n$  is a <sup>separated</sup> scheme by using this criteria.

Rem. Can get a similar criteria for arbitrary schemes.