

# Moduli of Fontaine-Laffaille modules and mod $p$ local-global compatibility

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$X = \mathrm{GL}_2(\mathbb{Q}) \backslash \mathrm{GL}_2(\mathbb{A}) / K_\infty(\prod_\ell U_\ell)$  modular curve.

## Cohomology

$$\mathcal{G}_{\mathbb{Q}} \times \overline{\mathbb{T}} \hookrightarrow H^1(X_{U, \overline{\mathbb{Q}}}, \overline{\mathbb{Q}}_p)[\lambda] = \rho \otimes \bigotimes_{\ell} \pi_\ell(\rho|_{G_{\mathbb{Q}_\ell}})^{U_\ell}$$

- $\lambda : \mathbb{T} \rightarrow \overline{\mathbb{Q}}_p$  (cuspidal) system of (good) Hecke eigenvalues.
- $\rho : G_{\mathbb{Q}} \rightarrow \mathrm{GL}_2(\overline{\mathbb{Q}}_p)$  Galois representation associated to  $\lambda$ .
- $\pi_\ell(\rho|_{G_{\mathbb{Q}_\ell}})$  smooth  $\mathrm{GL}_2(\mathbb{Q}_\ell)$  representation, associated by (classical) local Langlands correspondence.

$$\mathcal{G}_{\mathbb{Q}} \times \mathrm{GL}_2(\mathbb{Q}_p) \quad G_{\mathbb{Q}} \quad \mathrm{GL}_2(\overline{\mathbb{Q}}_p)$$

$$\lim_{U_p} H^1(X_{U_p U^p}, \overline{\mathbb{Q}}_p)[\lambda] = \rho \otimes \underline{\pi_p(\rho|_{G_{\mathbb{Q}_p}})} \otimes \cdots$$

Mod  $p$  cohomology (Emerton):

$$H^1(X_{U_{\overline{\mathbb{Q}}}}, \overline{\mathbb{F}}_p)[\bar{\lambda}] = \bar{\rho} \otimes \bigotimes_{\ell} \pi_{\ell}(\bar{\rho}|_{G_{\mathbb{Q}_{\ell}}})^{U_{\ell}}$$

$G_{\mathbb{Q}}$   
T

$\bar{\rho}$  ordunk.

- $\bar{\lambda} : \mathbb{T} \rightarrow \overline{\mathbb{F}}_p$  system of mod  $p$  Hecke eigenvalues.
- $\bar{\rho} : G_{\mathbb{Q}} \rightarrow \mathrm{GL}_2(\overline{\mathbb{F}}_p)$  Galois representation associated to  $\bar{\lambda}$ .
- $\pi_p(\bar{\rho}|_{G_{\mathbb{Q}_p}})$  much more subtle than the rest: mod  $p$  Langlands correspondence for  $\mathrm{GL}_2(\mathbb{Q}_p)$  (Breuil).

Mod  $p$  completed cohomology

$$\widetilde{H}^1(X_{U^p})[\bar{\lambda}] \stackrel{\text{def}}{=} \varprojlim_{U_p} H^1(X_{U_p U^p}, \overline{\mathbb{F}}_p)[\bar{\lambda}] = \bar{\rho} \otimes \pi_p(\bar{\rho}|_{G_{\mathbb{Q}_p}}) \otimes \cdots$$

$G_{\mathbb{Q}} \times \mathrm{GL}_2(\mathbb{Q}_p)$

## Setup for higher rank groups

$G/F^+$  rank  $n$  definite unitary group for CM extension  $F/F^+$ :

- $G(F_w^+) \cong \mathrm{GL}_n(F_w^+)$  and  $F_w^+$  unramified for all  $w \mid p$ .  $\hookrightarrow \mathrm{Gal}(\mathbb{Q}_{\text{pf}})$
- Fix  $v \mid p$  and  $U_v \subset G(\mathbb{A}_{F^+}^{\infty, v})$  open compact.

Have 0 dim. Shimura variety:

$$X_{U_v U^v} = G(F^+) \backslash G(\mathbb{A}_{F^+}^{\infty}) / U_v U^v. \quad (\text{finite set pb})$$

## Spaces of algebraic automorphic forms

- $H^0(X_{U_v U^v}, \overline{\mathbb{F}}_p)$  has  $\mathbb{T}$ -action.
- $\bar{\lambda} : \mathbb{T} \rightarrow \overline{\mathbb{F}}_p$  has associated  $\bar{\rho} : G_F \rightarrow \mathrm{GL}_n(\overline{\mathbb{F}}_p)$ .

## Mod $p$ completed cohomology

$$\widetilde{H}^0 \stackrel{\text{def}}{=} \lim_{U_v} H^0(X_{U_v U^v}, \overline{\mathbb{F}}_p)[\bar{\lambda}] \quad \hookrightarrow \quad G(F_w^+) \cong \mathrm{Gal}(\mathbb{Q}_{\text{pf}})$$

Dream:  $\tilde{H}^0 = \pi_p(\bar{\rho}|_{G_{F_v^+}}) \otimes \dots$ , mod  $p$  local Langlands for  $\mathrm{GL}_n(F_v^+)$ .

## Questions

①  $\tilde{H}^0$  depend only on  $\bar{\rho}_v \stackrel{\text{def}}{=} \bar{\rho}|_{G_{F_v^+}}$ ?

②  $\tilde{H}^0$  determines  $\bar{\rho}_v$ ?

$\mathrm{Cor}_v(\mathbb{Z}_{p\ell})$

- Have some control of  $\mathrm{GL}_n(\mathcal{O}_{F_v^+})$ -socle of  $\tilde{H}^0$  (“weights of mod  $p$  automorphic forms”, discrete information) (joint work with D. Le, B. Levin, S. Morra).
- $\bar{\rho}_v$  has non-trivial moduli. Need to extract “continuous information”.

$$\text{Ex} \quad T_v = \begin{pmatrix} x_1 & * \\ 0 & x_2 \end{pmatrix} \quad \rightsquigarrow \text{classified by } H^1(G_{\mathbb{Z}_{p\ell}}, x_1 x_2)$$

$x_i: G_{\mathbb{Z}_{p\ell}} \rightarrow \mathbb{F}_p$       usually  $\dim f =$

$f \geq 1: f^\vee$  on class

## Known cases of question 2

$\bar{\rho}_v$  is niveau 1, Fontaine-Laffaille of weight  $\lambda$  ( $\in$  lowest alcove), sufficiently generic. Then  $\tilde{H}^0$  determines  $\bar{\rho}_v$  for:

- $\mathrm{GL}_2(\mathbb{Q}_{p^f})$ : Breuil-Diamond.
- $\mathrm{GL}_3(\mathbb{Q}_{p^f})$ : Herzig-Le-Morra ( $f = 1$ ), Enns ( $f > 1$ ), assuming  $F(\lambda) \in \mathrm{soc} \tilde{H}^0$ . ( $\Rightarrow \bar{\rho}_v$  is FL)
- $\mathrm{GSp}_4(\mathbb{Q}_p)$  (Enns).
- $\mathrm{GL}_n(\mathbb{Q}_p)$  (Park-Qian), conditional. On behavior of  $\mathrm{soc} \tilde{H}^0$ .

Niveau 1 means extensions of characters, and FL restricts ordering on inertial weights.

$$\bar{\rho} = \begin{pmatrix} \alpha_1 & & \\ & \ddots & \\ & & \alpha_m \end{pmatrix}, \quad \rho = \begin{pmatrix} w^{\alpha_1} & & & \\ & \ddots & \ddots & \\ & & \ddots & \\ & & & w^{\alpha_m} \end{pmatrix} \quad 0 \leq \alpha_1 \leq \alpha_2 \leq \dots \leq \alpha_m < p-1.$$

- $\mathrm{GL}_2(K)$ , any  $K$  and  $\bar{\rho}_v$  (Scholze).
- $\mathrm{GL}_n(K)$ , restrictive  $\bar{\rho}_v$  (Liu).

# Main Theorem (Le-L.-Morra-Park-Qian)

Recall  $G(F_v^+) = \mathrm{GL}_n(\mathbb{Q}_{p^f})$ . Assume

- $F(\lambda)$  is in the socle of  $\tilde{H}^0$ ,  $\lambda$  is  $6n$ -deep in the lowest alcove.
- $\bar{\rho}$  satisfies Taylor-Wiles hypothesis.

Then

- ①  $\mathrm{GL}_n(\mathbb{Z}_{p^f})$ -socle of  $\tilde{H}^0$  determines the stratum  $\mathcal{S}$  of  $\bar{\rho}_v$  in moduli of Fontaine-Laffaille representations.
- ② If  $\mathcal{S}$  is “non-degenerate”,  $\tilde{H}^0$  determines  $\bar{\rho}_v$ .

- $\lambda = (\lambda_{\sigma,i})_{\sigma} \in (\mathbb{Z}_+^n)^{\mathrm{Hom}(\mathbb{F}_{p^f}, \overline{\mathbb{F}}_p)}$  give rise to irrep  $F(\lambda)$  of  $\mathrm{GL}_n(\mathbb{F}_{p^f})$  (or  $\mathrm{GL}_n(\mathbb{Z}_{p^f})$ ) (Serre weight).  $\hookrightarrow$   $\lambda_{0,i} - \lambda_{0,i+1} < p$ .
- Second part applies to a Zariski open in space of FL-extensions with given semisimplification  $\bar{\rho}_v^{ss}$ .
- Conjecture: “non-degenerate” is superfluous (linear algebra problem)!

## Main ingredients

- ① Stratification on moduli of FL modules.
  - ② Interpretation of stratum in terms of  $\mathrm{GL}_n(\mathbb{Z}_{p^f})$ -socle ( $\Leftarrow$  Le-L.-Levin-Morra).
  - ③ Extract normalized Hecke operators mod  $p$ : “Hecke functions” on FL moduli evaluated at  $\bar{\rho}_v$ .
- 
- Second and third ingredient ultimately come from probing (char 0 version of)  $\widetilde{H}^0$  with various tame inertial type  $\tau$ .
  - Values of Hecke functions supply the needed “continuous data” to pin down  $\bar{\rho}|_{G_{F_v}}$ .

Trivial: often reducing char 0 operators  $\mathcal{O}_p$  mod  $p$  gives 0!

Tame  $\tau$ :  $I_{\mathcal{O}_{p\ell}} \rightarrow \mathrm{GL}_n(\mathbb{Z}_p)$  finite ring, can be extended to  $W_{\mathcal{O}_{p\ell}}$ .  
 $\exists$  finitely many tame  $\tau$ .

Mod  $p$  Fontaine-Laffaille modules for  $\mathbb{Q}_{p^f}$ Fontaine-Laffaille module  $M$ : over  $\mathbb{F}$  $\mathbb{R}$   $\mathbb{F}$ -algebra $\mathbb{F} \otimes_{\mathbb{F}} \mathbb{R} \in$ 

- Projective rank  $n$  over  $\mathbb{F}_{p^f} \otimes_{\mathbb{F}} \mathbb{R}$ .
- Decreasing filtration  $\text{Fil}_\bullet$  of amplitude  $< p - 1$ .
- Frobenius  $\varphi : \text{gr}_\bullet M \xrightarrow{\sim} M$ .  $R$ -linear, similar for  $\mathbb{F} \otimes_{\mathbb{F}} \mathbb{R}$ .

Filtration jumps recorded by tuple of integers  $\lambda$  (automatically a lowest alcove weight).  $\lambda = (\lambda_{0,1}, \dots, \lambda_{0,n})$   $0 < \lambda_{0,1} - \lambda_{0,n} < p$ .

$FL$  functor:  $M \rightsquigarrow$  representations  $G_{\mathbb{Q}_{p^f}} \rightarrow \text{GL}_n(\mathbb{F})$ .  $\lambda_{0,i} \mapsto \lambda_{0,i+q}$

Fix  $\lambda$  regular. Moduli of  $FL$  modules of weight  $\lambda$ ,  $\mathcal{FL}_\lambda$ , have simple description.

Let

$$B = TU, B_- = TU_-$$

be opposite Borels and  $W$  Weyl group for  $\text{GL}_n$ .

$$\begin{array}{c}
 \text{FM} \leftrightarrow \text{FL} \\
 + \text{basis adapted} \\
 \text{to FL}
 \end{array}
 \left\{
 \begin{array}{l}
 (\text{GL}_n)^f \\
 \downarrow \\
 \mathcal{F}_{\text{FL}} = \{ M, q: q_*(M) \rightarrow M \} = \boxed{\mathbb{B}((\text{GL}_n)^f)} \\
 \text{FL}
 \end{array}
 \right.
 \begin{array}{l}
 \downarrow \\
 \text{T-tors} \\
 \boxed{(\lambda \text{GL}_n^1)^f} \\
 \downarrow \\
 \text{T-tors} \\
 \boxed{(\text{B(GL}_n)^f}
 \end{array}
 \begin{array}{l}
 \downarrow \\
 \text{shifted} \\
 \text{conjugation}
 \end{array}
 \begin{array}{l}
 \boxed{[(\lambda \text{GL}_n^1)^f / T]} \\
 \text{FL}
 \end{array}$$

$(b_1, \dots, b_f)$     $(g_1, \dots, g_f)$   
 $= (-\mu_i g_i \eta [d_{lin}]^T, \dots)$   
 $\eta: \mathbb{B} \rightarrow T$ .

- Get stratification by pulling back translates (by  $W$ ) of Schubert stratification on  $B \backslash GL_n$ . *stratum = common refinement of all translates*
- Locus of  $\bar{\rho}_v$  with given semisimplification is union of strata. *Schubert strata*
- Examples:

$$\ell=1 \quad \bigcup_{G \in \mathcal{G}} G$$

$\swarrow$

$$T_x = \left[ \left( \bigcup_{G \in \mathcal{G}} G \right) / T \right]$$

$$\left[ B_0 / T \right] \subset \left( \begin{smallmatrix} * & * & 0 \\ \vdots & \ddots & \vdots \\ 0 & \cdots & 0 \end{smallmatrix} \right) \xrightarrow{\text{FL}} \left( \begin{smallmatrix} w^{x_1} & \cdots & \cdots \\ \vdots & \ddots & \vdots \\ 0 & \cdots & w^{x_n} \end{smallmatrix} \right)$$

## Potentially crystalline Emerton-Gee stack

- $\tau$  tame inertial type.
- $\mathcal{X}^\tau$  moduli stack of potentially crystalline representations with (parallel) Hodge-Tate weights  $(0, \dots, n-1)$  and inertial type  $\tau$ .
- Inertial local Langlands:  $\tau \rightsquigarrow \sigma(\tau)$ , (usually) irreducible Deligne-Lusztig representation of  $\mathrm{GL}_n(\mathbb{F}_{p^f})$ .
- There are  $|W|^f$  types  $\tau$  such that  $F(\lambda)$  interacts nicely with  $\sigma(\tau)$ : “obvious” type  $\tau$ .
- Le-L-Levin-Morra: analyze  $\mathcal{X}^\tau$  in terms of subvarieties of affine flag variety and hence control corresponding pot.crystalline deformation rings.

$\mathbb{Z} \rightarrow$  natural stratification, related to  $\text{soc } \tilde{F}^0$ .

( $\mathbb{Z}$ )  $\hookrightarrow X_{IF_p}^{\mathbb{Z}} \Rightarrow X^{\mathbb{Z}} = \{ p\text{-crystalline rep of } G_{\mathbb{Q}_p} \}$   
with  $\text{typ } \mathbb{Z}$ .

$\downarrow$

$\text{Spf } F_p \rightarrow \text{Spf } \mathbb{Z}_p$

$\mathbb{Z} \hookrightarrow X^{\mathbb{Z}} \hookrightarrow (X^{\mathbb{Z}})^{\text{rig}}$

$\hookrightarrow$  for every choice of  $\mathbb{Z}$   
( $\cong W(F_p)$ ).

$X^{\mathbb{Z}, \text{rig}}(\mathbb{Q}_p) = \text{Radic rep?}$   
 $\downarrow$   
 $p\text{-crystalline}$

$WD = \text{moduli of Weil-Deligne reps}$

## Proposition

Suppose  $\tau$  is an obvious type. There is a natural stratification of  $\mathcal{X}_{\overline{\mathbb{F}_p}}^\tau$  such that

- Strata controls the number of weights in the mod  $p$  reduction of  $\overline{\sigma(\tau)}$  which are modular in  $\underline{\widetilde{H}^0}$ .
- Induces translated Schubert stratification on  $\mathcal{FL}_\lambda$ .
- Taylor-Wiles patching thickens  $\widetilde{H}^0$  to sheaves in  $\mathcal{X}^\tau$ , whose support determine modularity.
- By varying  $\tau$ , this gives the first part of Main Theorem!

$j=1$ :  $\exists n!$  families of Schubert stratifications on  $B \backslash G_m$ .

## Hecke algebra

- $\mathcal{H}(\sigma(\tau)) = \text{End}(c - \text{Ind}_{\text{GL}_n(\mathbb{Z}_{p^f})}^{\text{GL}_n(\mathbb{Q}_{p^f})}(\sigma(\tau)))$ : polynomial ring in  $\leq n$ -variables  $U_i$  (generalization of classical  $U_p$  operator for  $\Gamma_1(p)$ ).
- $\mathcal{H}(\sigma(\tau))$  gives functions on  $\mathcal{X}^{\tau, \text{rig}}$ , have reciprocity law for action on (char 0) completed cohomology (Carayani-Emerton-Geraghty-Gee-Paskunas-Shin).

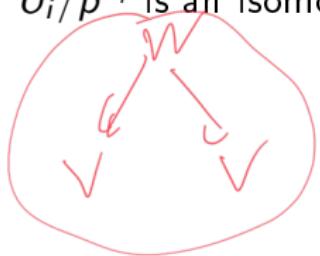
$$\begin{array}{ccc}
 \text{Hom}(c\text{-tor}, \begin{smallmatrix} \text{Gal}(\mathbb{Q}_p) \\ \text{Gal}(\mathbb{Q}_{p^f}) \end{smallmatrix} \xrightarrow{\sim} 0) & \xrightarrow{\quad \text{Gal}(\mathbb{Q}_p) \quad} & \bar{R}_v^0 \otimes \bar{R}_v^\mathbb{Z} = \text{local Galois dehs} \\
 \text{P} & & \text{ring for } \bar{P}_v \\
 \mathcal{H}(\sigma(z)) & & \text{neighbor} = 2 \text{tors} \\
 \sigma(z) = \text{End} \begin{pmatrix} \text{Gal}(\mathbb{Q}_p) \\ T_w \end{pmatrix} & \xrightarrow{\quad X_{\alpha}, S_{\beta} \quad} & \text{of } \mathcal{H}(\sigma(z)) \text{ coming}
 \end{array}$$

## Proposition

Suppose  $F(\lambda)$  is the unique Jordan-Holder factor of  $\overline{\sigma(\tau)}$  which is modular.  
 Then:  *$\tau$  is not generic relative  $\mathbb{F}_p$ .*

- $|U_i| = |p^{\kappa_i}|$  is constant.
- The value of  $U_i/p^{\kappa_i}$  can be extracted by applying  $\text{Hom}_{\text{GL}_n(\mathbb{Q}_{p^f})}(\bullet, \tilde{H}^0)$  to a diagram of smooth  $\text{GL}_n(\mathbb{Q}_{p^f})$ -representations over  $\overline{\mathbb{F}_p}$ .

Phenomena:  $\text{Hom}_{\text{GL}_n(\mathbb{Q}_{p^f})}(\bullet, \tilde{H}^0)$  annihilates  $c - \text{Ind}F(\mu)$  for non-modular  $F(\mu)$ , and  $U_i/p^{\kappa_i}$  is an isomorphism in a localized category.



$$\begin{array}{ccc} \text{Hom}(V, \tilde{H}^0) & \xrightarrow{\sim} & \text{Hom}(V, H) \\ \downarrow & & \downarrow \\ \text{Hom}(V, \tilde{H}^0) & \xrightarrow{\sim} & \text{Hom}(V, H) \end{array}$$

### Hecke functions for $\mathrm{GL}_n(\mathbb{Q}_p)$

- $w \in W$ ,  $S \subset \{1, \dots, n\}$   $w$ -stable.
- $f_{w,S}(A) = \prod_{i \in S} \frac{\det(Aw)_{[k,n],[k,n]}}{\det(Aw)_{[k+1,n],[k+1,n]}}$



