

CSE 12 Program 4
File: PR4.txt
Name: Chih-Hsuan Kao
PID: A92092372 / Login: cs12sgh
Date: May 17, 2018

/** PART 2: Running Time of Various Sort Algorithms **/

/** I. UNSORTED CASE **/

I. Bubble

A. Testing parameter:

```
private static final int NUMWORDS = 700;  
numwords *= 2; (such that *2 after each interval)
```

I set the initial value to be 700 (which is relatively small compared to others) such that the runtime isn't too long for the expected output. I set numwords *= 2 such that I can see the output when $N = 2$.

B. The Output of the actual test

Document: random-strings.txt

sortAlg: 0

```
=====
1:    700 words in    19 milliseconds
2:   1400 words in    28 milliseconds
3:   2800 words in    93 milliseconds
4:   5600 words in   402 milliseconds
5:  11200 words in  1853 milliseconds
```

C. From the output above, we can approximately see that BubbleSort on this

unsorted input is $O(n^2)$. From interval 2 to 3, as words double ($N = 2$), $28 * 2^2 = 112$ which is close to 93 (actual observation). From interval 3

to 4, as words double ($N = 2$), $93 * 2^2 = 372$ which is close to 402 (actual observation).

II. Insertion

A. Testing parameter:

```
private static final int NUMWORDS = 10000;  
numwords *= 2; (such that *2 after each interval)
```

I set the initial value to be 10000 such that the runtime isn't too long (or too short) for me to wait for the output. I set numwords *= 2 such that I can easily see the output when $N = 2$.

B. The Output of the actual test

Document: random-strings.txt
sortAlg: 1

```
=====
1:  10000 words in      14 milliseconds
2:  20000 words in      26 milliseconds
3:  40000 words in      84 milliseconds
4:  80000 words in     324 milliseconds
5: 160000 words in    1400 milliseconds
```

C.

From the output above, we can approximately see that InsertionSort on this unsorted input is $O(n^2)$. From interval 3 to 4, as words double ($N = 2$), $84 * 2^2 = 336$ which is close to 324 (actual observation). From interval 4 to 5, as words double ($N = 2$), $324 * 2^2 = 1296$ which is close to 1400 (actual observation).

III. Merge

A. Testing parameter:

```
private static final int NUMWORDS = 10000;
numwords *= 2; (such that *2 after each interval)
```

I set the initial value to be 10000 such that the runtime isn't too long (or too short) for me to wait for the output. I set numwords *= 2 such that I can easily see the output when $N = 2$.

B. The Output of the actual test

```
Document: random-strings.txt
sortAlg: 2
=====
1:  10000 words in      18 milliseconds
2:  20000 words in      12 milliseconds
3:  40000 words in      27 milliseconds
4:  80000 words in      64 milliseconds
5: 160000 words in     153 milliseconds
```

C.

Note that the log I use here has base of 2. From the output above, we can approximately see that the MergeSort algorithm that I implemented has $O(n * \log(n))$ complexity on this unsorted input as I expect. From interval 3 to 4, as words double ($N = 2$), $27 * 2 * \log(2) = 54$ which is close to 64 (actual observation). From interval 4 to 5, as words double ($N = 2$), $64 * 2 * \log(2) = 128$ which is close to 153 (actual

observation).

IV. Quick

A. Testing parameter:

```
private static final int NUMWORDS = 5000;
numwords *= 2; (such that *2 after each interval)
```

I set the initial value to be 5000 such that the runtime isn't too long (or too short) for me to wait for the output, and that initial value of 5000 gives me a clear enough pattern to observe. I set numwords *= 2 such that I can easily see the output when $N = 2$.

B. The Output of the actual test

Document: random-strings.txt
sortAlg: 3

```
=====
1:   5000 words in      10 milliseconds
2:  10000 words in       5 milliseconds
3:  20000 words in     10 milliseconds
4:  40000 words in     25 milliseconds
5:  80000 words in     50 milliseconds
```

C.

Note that the log I use here has base of 2. From the output above, we can approximately see that the QuickSort algorithm that has $O(n \cdot \log(n))$ complexity on this unsorted input as I expect. From interval 3 to 4, as words double ($N = 2$), $10 * 2 \cdot \log(2) = 20$ which is close to 25 (actual observation). From interval 4 to 5, as words double ($N = 2$), $25 * 2 \cdot \log(2) = 50$ which is exactly 50 (actual observation).

/** II. SORTED CASE */

I. Bubble

A. Testing parameter:

```
private static final int NUMWORDS = 25000;
numwords *= 2; (such that *2 after each interval)
```

I set the initial value to be 25000 (which seems very large) such that the pattern is observable. If too small, the whole things will finish in 0 millisecond. I set numwords *= 2 such that I can see the output when $N = 2$.

B. The Output of the actual test

Document: random-strings-sorted.txt

sortAlg: 0

```
=====
1:  25000 words in      2 milliseconds
2:  50000 words in      3 milliseconds
3: 100000 words in      5 milliseconds
4: 199999 words in     10 milliseconds
```

C. From the output above, we can approximately see that BubbleSort on this completely sorted input is $O(n)$, less than and differs from the unsorted case ($O(n^2)$). We have Best Case here. This is because the only thing the algorithm does is traverse through each element of the list. From interval 2 to 3, as words double ($N = 2$), $3 * 2 = 6$ which is close to 5 (actual observation). From interval 3 to 4, as words double ($N = 2$), $5 * 2 = 10$ which is exactly the actual observation.

II. Insertion

A. Testing parameter:

```
private static final int NUMWORDS = 20000;
numwords *= 2; (such that *2 after each interval)
```

I set the initial value to be 20000 such that the runtime isn't too long (or too short) for me to wait for the output. If bigger, would exceed my word limit; if smaller, no pattern could possibly be observed. I set `numwords *= 2` such that I can easily see the output when $N = 2$.

B. The Output of the actual test

Document: random-strings-sorted.txt

sortAlg: 1

```
=====
1:  20000 words in      6 milliseconds
2:  40000 words in      2 milliseconds
3:  80000 words in      5 milliseconds
4: 160000 words in     11 milliseconds
5: 199999 words in     14 milliseconds
```

C.

From the output above, we can approximately see that InsertionSort on this sorted input is $O(n)$, which differs from the unsorted case, which has $O(n^2)$ time complexity. We have Best Case here. From interval 2 to 3, as words double ($N = 2$), $2 * 2 = 4$ which is close to 5 (actual observation). From interval 3

to 4, as words double ($N = 2$), $5 * 2 = 10$ which is close to 11 (actual observation).

III. Merge

A. Testing parameter:

```
private static final int NUMWORDS = 10000;  
numwords *= 2; (such that *2 after each interval)
```

I set the initial value to be 10000 such that the runtime isn't too long (or too short) for me to wait for the output, while the pattern is clear enough for me to observe. I set numwords *= 2 such that I can easily see the output when $N = 2$.

B. The Output of the actual test

Document: random-strings-sorted.txt
sortAlg: 2

```
=====
1:   10000 words in      16 milliseconds
2:   20000 words in       9 milliseconds
3:   40000 words in      16 milliseconds
4:   80000 words in      37 milliseconds
5:  160000 words in      82 milliseconds
```

C.

Note that the log I use here has base of 2.

From the output above, we can approximately see that the MergeSort algorithm

that I implemented has $O(n * \log(n))$ complexity on this sorted input as I expect.

This is the same as the unsorted case. From interval 3 to 4, as words double

($N = 2$), $16 * 2 * \log(2) = 32$ which is close to 37 (actual observation). From

interval 4 to 5, as words double ($N = 2$), $37 * 2 * \log(2) = 74$ which is close to

82 (actual observation).

IV. Quick

A. Testing parameter:

```
private static final int NUMWORDS = 2000;  
numwords *= 2; (such that *2 after each interval)
```

I set the initial value to be 2000 (which seems small) such that the runtime

isn't too long for me to wait for the output. I set numwords *= 2 such that I

can easily see the output when $N = 2$.

B. The Output of the actual test

Document: random-strings-sorted.txt

sortAlg: 3

```
=====
1:    2000 words in      57 milliseconds
2:    4000 words in      99 milliseconds
3:    8000 words in     282 milliseconds
4:   16000 words in     855 milliseconds
5:   32000 words in    3342 milliseconds
```

C. Note that the log I use here has base of 2.

From the output above, we can approximately see that the QuickSort algorithm

has $O(n^2)$ complexity on this sorted input. This is the worst case.

If the

pivot is the first element (as implemented in the code) then already sorted or

inverse sorted data is the worst case.

From interval 3 to 4, as words double ($N = 2$), $282 * 2^2 = 1128$

which is

close to 855 (actual observation). From interval 4 to 5, as words double ($N =$

2), $855 * 2^2 = 3420$ which is exactly 3342 (actual observation).

/** III. What do you notice about the behaviour

of the various algorithms in the pre-sorted case? */

//Analysis on Bubble Sort

As I just analyzed, the bubble sort algorithm has $O(n^2)$ time complexity on

unsorted case, but has $O(n)$ time complexity on presorted case. As another

support of my assertion, the following two sets have exactly same conditions,

on two different files--

Document: random-strings.txt

sortAlg: 0

```
=====
1:    700 words in      19 milliseconds
2:   1400 words in      28 milliseconds
3:   2800 words in      93 milliseconds
4:   5600 words in     402 milliseconds
5:  11200 words in    1853 milliseconds
```

Document: random-strings-sorted.txt

sortAlg: 0

```
=====
1:    700 words in       0 milliseconds
2:   1400 words in       0 milliseconds
3:   2800 words in       0 milliseconds
4:   5600 words in       1 milliseconds
5:  11200 words in       1 milliseconds
```

BubbleSort works much more efficiently on presorted case. This is because in Best case, what the algorithm does is to traverse through each element once without actually doing anything.

//Analysis on Insertion Sort

As I just analyzed, the insertion sort algorithm has $O(n^2)$ time complexity on unsorted case, but has $O(n)$ time complexity on presorted case. As another support of my assertion, the following two sets have exactly same conditions, on two different files--
Document: random-strings.txt
sortAlg: 1

```
=====
1:  10000 words in      15 milliseconds
2:  20000 words in      28 milliseconds
3:  40000 words in      92 milliseconds
4:  80000 words in     354 milliseconds
5: 160000 words in    1534 milliseconds
```

Document: random-strings-sorted.txt
sortAlg: 1

```
=====
1:  10000 words in       5 milliseconds
2:  20000 words in       1 milliseconds
3:  40000 words in       3 milliseconds
4:  80000 words in       5 milliseconds
5: 160000 words in      14 milliseconds
```

InsertionSort works much more efficiently on presorted case. This is because in Best case, For each outer loop execution, if the element is already in sorted position, only a single comparison is made. Each element not in sorted position requires at most N comparisons.

//Analysis on Merge Sort

As I just analyzed, the merge sort algorithm has $O(n \log(n))$ time complexity on BOTH sorted and presorted case. As another support of my assertion, the following two sets have exactly same conditions, on two different files--
Document: random-strings.txt
sortAlg: 2

```
=====
1:  10000 words in      18 milliseconds
2:  20000 words in      12 milliseconds
3:  40000 words in      27 milliseconds
```

4:	80000 words in	64 milliseconds
5:	160000 words in	153 milliseconds

Document: random-strings-sorted.txt
sortAlg: 2

```
=====
```

1:	10000 words in	16 milliseconds
2:	20000 words in	9 milliseconds
3:	40000 words in	23 milliseconds
4:	80000 words in	48 milliseconds
5:	160000 words in	106 milliseconds

InsertionSort works similarly in both cases. This is because in both cases,
Merge sort divides the input in half until a list of 1 element is reached,
which requires $\log N$ partitioning levels. At each level, the algorithm does
about N comparisons selecting and copying elements from the left and right
partitions, yielding $N * \log N$ comparisons.

//Analysis on Quick Sort

As I just analyzed, the quick sort algorithm has $O(n * \log(n))$ time complexity
on BEST case and $O(n^2)$ time complexity in WORST case. The best case here is
the unsorted one; the worst case here is the presorted one. As another support
of my assertion, the following two sets have exactly same conditions, on two
different files--

Document: random-strings.txt
sortAlg: 3

```
=====
```

1:	5000 words in	10 milliseconds
2:	10000 words in	5 milliseconds
3:	20000 words in	10 milliseconds
4:	40000 words in	25 milliseconds
5:	80000 words in	50 milliseconds

Document: random-strings-sorted.txt
sortAlg: 3

```
=====
```

1:	5000 words in	162 milliseconds
2:	10000 words in	594 milliseconds
3:	20000 words in	2285 milliseconds
4:	40000 words in	8125 milliseconds
5:	80000 words in	32362 milliseconds

InsertionSort works much more efficiently on unsorted case. This is because
basically, at each level, the algorithm does at most N comparisons moving the

l and h indices. If the pivot yields two equal-sized parts, then there will be $\log N$ levels, requiring the $N * \log N$ comparisons. However, partitioning may yield unequal sized part in some cases. If the pivot selected for partitioning is the smallest or largest element, one partition will have just 1 element, and the other partition will have all other elements. This causes the $O(n^2)$ time complexity in the worst case.

/ PART 3: Examining Modified Insertion Sort */**

Describe how modified insertion sort differs from classic insertion sort.

1. What does the method binsearch actually do?

The binSearch method is called by the sort method. The method takes in a sorted ArrayList and a target, that is used to look for where to insert.

Unlike typical Insertion Sort, it doesn't go from one element to another one-by-one; instead, it set a midpoint as a local variable, and use binary search algorithm to look for the element by going on both side (right and left). The method uses binary search. If the search key is smaller than anything in the list, the algorithm returns -1. If the search key is bigger than anything else, the algorithm the algorithm returns size-1 such that the inserted element will be on the rightmost position. Otherwise, search in the middle and repeat the search on the remaining left and right sublist until found the proper place to insert.

2. How is it used in the modified insertion sort?

In the sort method, the binSearch method is called by passing in the input arrayList and the target that detects where the inserted element should be inserted. The method uses binary search. If the search key is smaller than anything in the list, the algorithm returns -1. If the search key is bigger than anything else, the algorithm the algorithm returns size-1 such that the inserted element will be on the rightmost position. Otherwise, search in the

middle and repeat the search on the remaining left and right sublist until found until found the proper place to insert. Then, with the help of this method, we know where to insert. Back in the sort method, on line 21, the insertion key is successfully added with `inserted.add(loc+1,target)` because `loc` is what we just got from the `binSearch` method. The `binSearch` method helps find the proper place to insert more efficiently by performing binary search.

3. What is the space complexity of classic insertion sort?
The space complexity of classic insertion sort is $O(1)$. This is because we need an additional space to store the variable that indicates where is the right position for the key to be inserted after searching process. With the space holding the variable, we'll make sure nothing overwrites the value before performing actual insertion.

4. What is the space complexity of modified insertion sort?
In this code, the space complexity is $O(n)$. This is because in addition to the extra space that stores the variable that indicates where is the right position for the key to be inserted (as I mentioned in previous question), the code also creates an `ArrayList` to insert from the `List`, and the list has size of n . Thus, the space complexity in this case is $O(1) + O(n) = O(n)$.