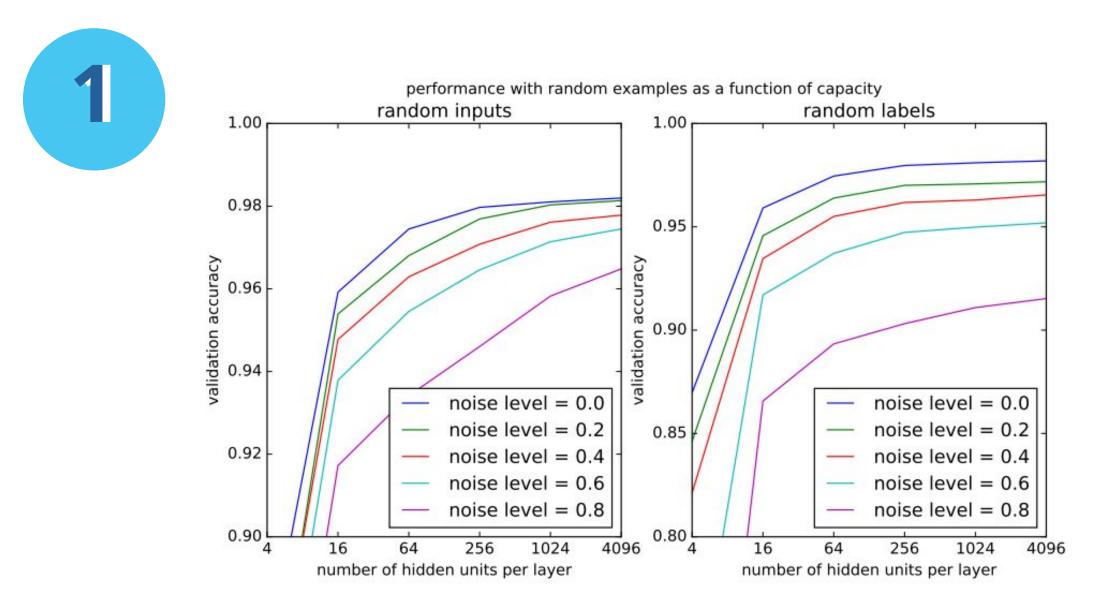
# Deep Nets Don't Learn via Memorization

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noise\_level=0.0

noise level=0.2

noise\_level=0.4

noise level=0.6

noise level=0.8

Fig. 1 Performance as a function of capacity for different levels of noise in 2-layer MLPs (real data = **blue**). Random inputs (**left**) is percentage of examples replaced with noise, (**right**) is random labels). For real data, performance is already very close to maximal with 4096 hidden units, but as noise is increased, higher capacity is needed to achieve maximal performance.

Fig. 2 Change in normalized time to convergence as a

units. Because there are patterns underlying real data,

increasing dataset size doesn't increase training time for

function of dataset size, with capacity fixed at 4096

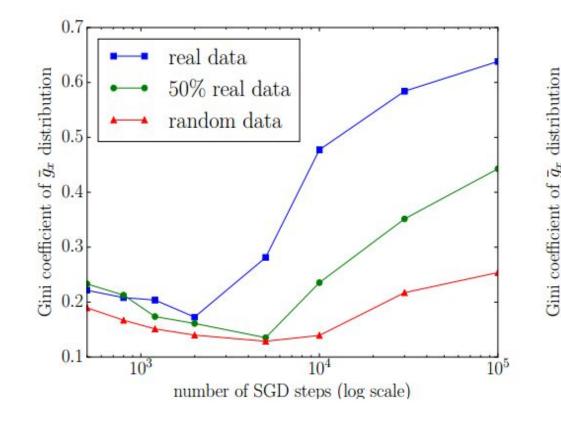
real data as much as it does for noise.

— cifar10 — randX

Fig. 3.1 Average (100 experiments) misclassification rate for each of 1000 examples after one epoch of training, for real data (cifar10, **blue**), random noise 'images' (randX, green) and random labels (randY, red). Easiness of examples (i.e. probability of being correctly classified after 1 epoch of training) varies much more for real data.



We compute loss-sensitivity as the partial derivative of the loss L wrt example x, averaged over training iterations t.



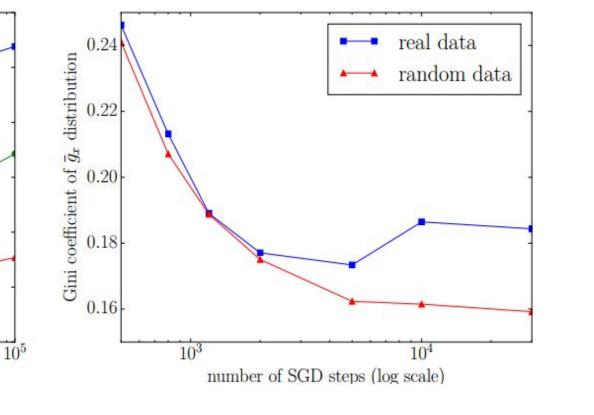


Fig. 3.2 Gini coefficient (a measure of roughness/disparity over categories) of the average loss-sensitivity over the course of training, on a 1000-example real dataset (14x14 MNIST) (blue) versus noise data (red) and 50% noise (green). On the left, the target is the normal class label; on the **right**, there are as many classes as examples. Disparity (of loss-sensitivity, between different examples, over the course of training) is higher for real data in both cases.

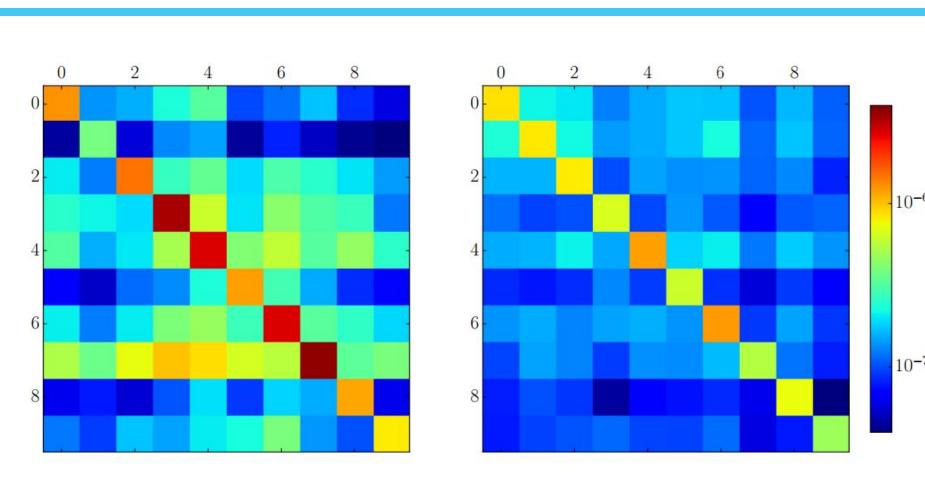


Fig. 3.3 Per-class loss-sensitivity;, a cell i,j represents the average loss-sensitivity of examples of class i w.r.t. training examples of class j. Left is real data, right is random data. Loss-sensitivity is more highly class-correlated for real data.

We define a critical sample as an example which has a nearby adversarial (differently classified) example. The ratio of critical samples is the proportion of examples for which a critical sample is found in radius *r*. This gives an idea of the number of decision boundaries in the function a network computes; i.e. how complicated that function is.

 $\operatorname{arg\,max}_i f_i(\mathbf{x}) \neq \operatorname{arg\,max}_j f_j(\hat{\mathbf{x}})$  $\|\mathbf{x} - \hat{\mathbf{x}}\|_{\infty} \le r$ 

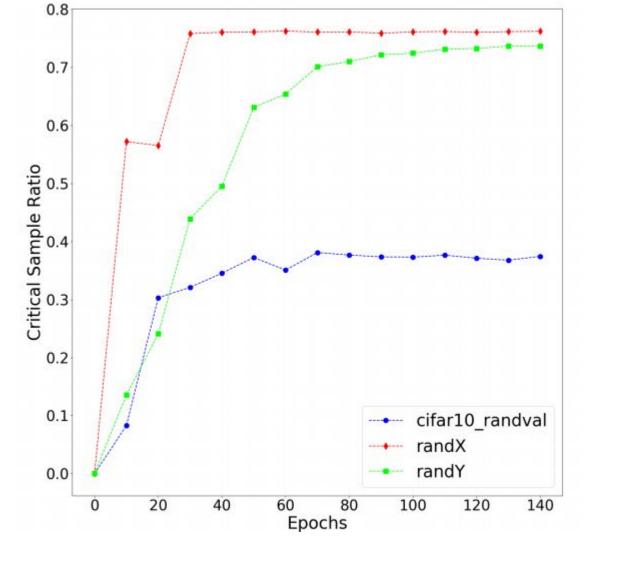


Fig. 4.1 Critical sample ratio for randomly chosen examples over the course of training on CIFAR-10, for noise input (randX, red) and noise labels (randY, green), and real data (blue). As measured by critical sample ratio, function complexity increases very rapidly for noise data (red), increases eventually, to almost the same level, for noise labels (green).

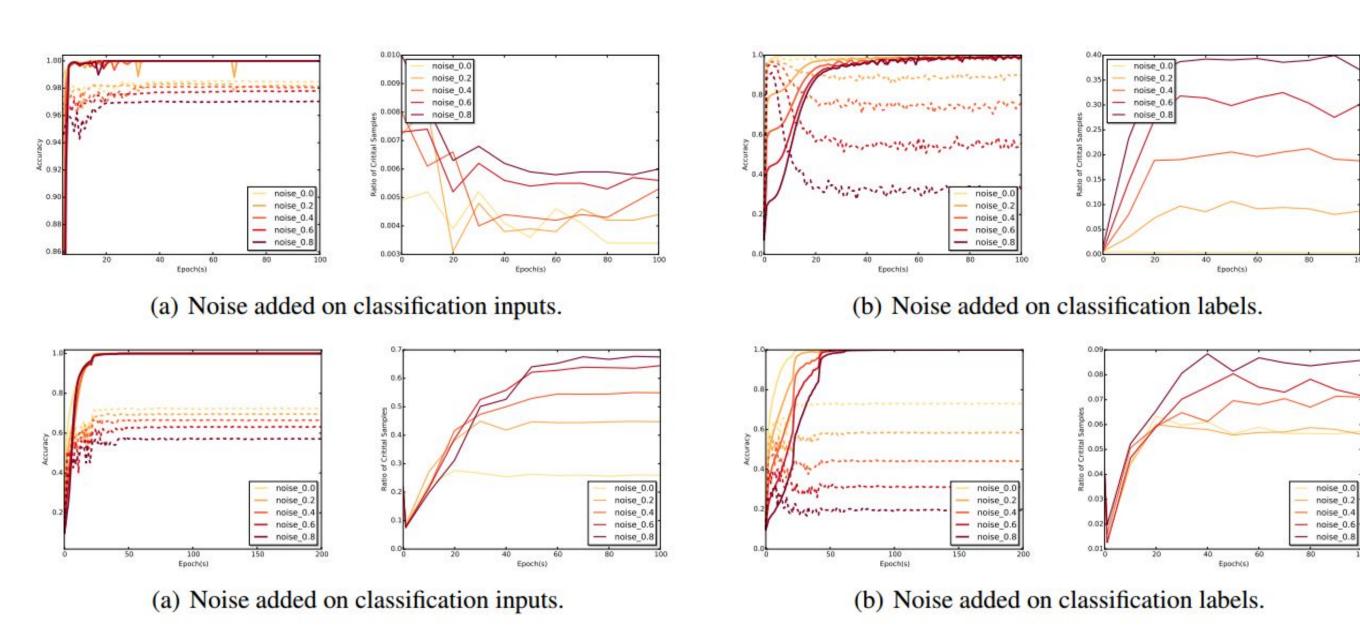


Fig. 4.2 Accuracy (left in each pair, solid is train, dotted is validation) and Critical sample ratios (right in each pair) for MNIST (top row) and CIFAR-10 (**bottom** row) for different types of noise (inputs: **left** columns, labels: right columns), for different amounts of noise (real data is **yellow**, increasing noise is **red**). Critical samples provide a good basis for assessing generalization across tasks and data types.

## What is memorization?

Behaviour on random noise is a useful operational definition of memorization.

Deep nets can achieve 0 training error on datasets of random noise; does this mean their learning strategy is to memorize everything?

We perform a thorough empirical investigation of behaviour on real vs. noise data, and show this is not the case.

# We show that for deep nets:

- Fitting noise requires more effective capacity
- Training on noise gets harder, faster, when the dataset grows
- On real data, some examples are always/never fit immediately, and some examples have more/less impact on training (not so for noise)
- Simple patterns are learned first., before memorizing
- Regularization can effectively reduce memorization

# learning behaviour of deep nets. Comparing our work with [1]:

Our work

Focuses on differences in learning noise/data

Related work & Conclusions

- Conclude DNNs don't just memorize real data
- Training time is more sensitive to capacity and
  Training time increases by a constant factor #examples on noise
- Regularization can target memorization

#### Zhang et al. [1]

- Focuses on similarities
- Suggests DNNs might use memorization
- on noise
- Regularization doesn't explain generalization

Goodfellow et al. [2] explain that a model's representational capacity (~#parameters) is limited by (1) learning algorithm and (2) regularization, to become the effective capacity, and suggest learning\_rate\*#iterations as a measure. They note understanding effective capacity is difficult without understanding non-convex optimization.

Zhang et al. [1] raise questions about memorization and generalization in

deep networks. We address these questions by providing insight on

We demonstrate that the data distribution is also an important consideration, which our proposed of critical sample ratio depends on. Understanding generalization requires thinking about how data, learning, and regularization affect capacity, and each other.

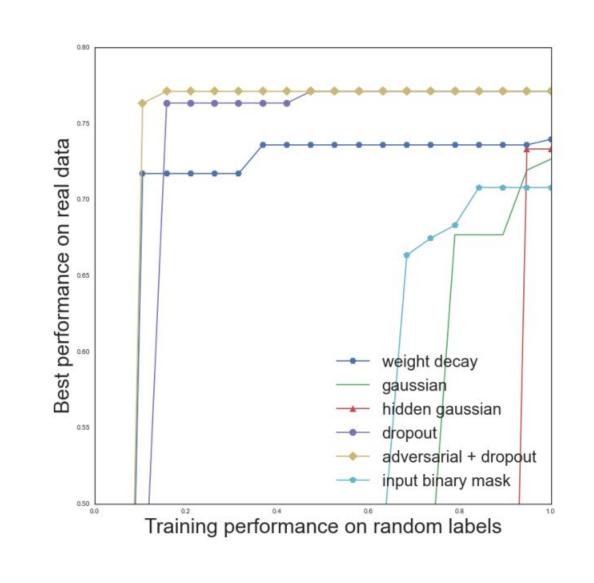


Fig. 5.1 Best validation performance on real data vs. training performance on noise labels for the same model, for different regularizers. Flatter curves indicate that memorization (as indicated by noise performance) can be capped without sacrificing generalization (on real

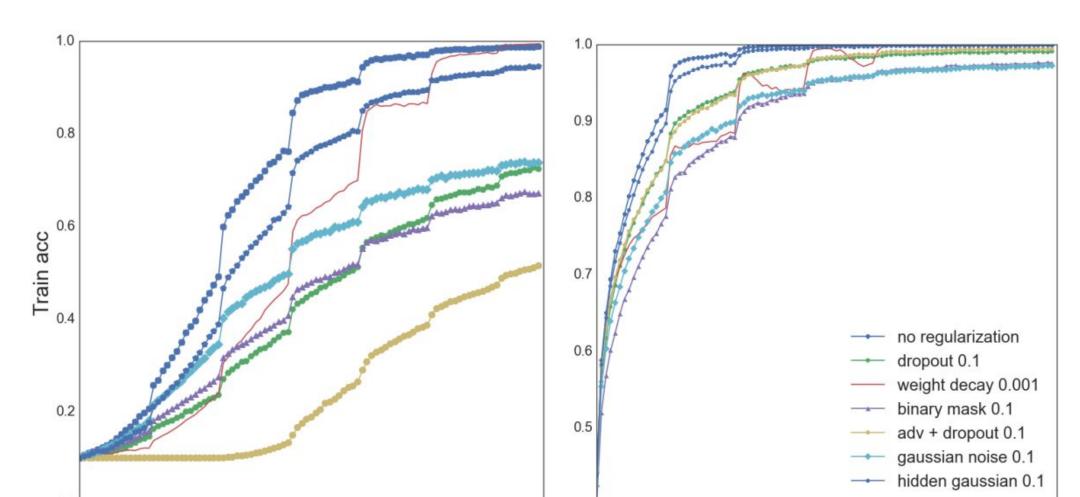


Fig.5.2 Training accuracy over time (epochs), on noise labels (**left**) and real labels (**right**) data. Regularization can slow down memorization behaviour.

### References

Chiyuan Zhang, Samy Bengio, Moritz Hardt, [1] Benjamin Recht, Oriol Vinyals. Understanding Deep Learning Requires Rethinking Generalization. ICLR 2017.

Ian Goodfellow, Yoshua Bengio, Aaron Courville. [2] Deep Learning. MIT Press 2016.