

CHLOE YI CHEN

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EDUCATION

2021 – 2024: Macquarie University

Doctor of Philosophy in Applied Finance

Thesis title: Three Essays in Empirical Corporate Finance (submitted)

2019 – 2020: Macquarie University

Master of Research in Applied Finance

Thesis title: FASB disclosure and bank loan contracting: Evidence from derivative and hedging footnotes (Grade: High Distinction)

2016 – 2018: University of Sydney

Master of Professional Accounting (Grade: Distinction)

2014 – 2015: University of Rochester, United States

Master of Science in Finance (GPA: 3.5/4)

2010 – 2014: Jinan University, China

Bachelor of Science, Biotechnology (GPA: 3.5/4)

Bachelor of Economics, Investments (GPA: 3.6/4)

ACADEMIC EXPERIENCE

2022 – Present: Sessional Teaching Academic and Unit Convenor, Macquarie University

Unit convenor for AFIN 8090: Financial Modelling and Forecasting

Unit convenor for AFIN 8039: Portfolio Management

Unit convenor for AFIN 3053: Advanced Corporate Finance

AFIN 3028: Financial Risk Management; Teaching fellow for Dr Lei Shi and Dr Kai Li

AFIN 3029: Derivatives;

ACCG 3050: Financial Statement Analysis; Teaching fellow for Professor Mostafa Hasan and Associate Professor Jengfang Chen

2023 – Present: Sessional Teaching Academic, Australian Catholic University

Lecturer for BAFN 200: Principles of Finance

2020 – 2024: Research Assistant, Macquarie University

Research Assistant for Professor Clara Zhou and Dr Carl Shen

Research Assistant for Professor Martina Linnenluecke

Research Assistant for Dr Jianlei Han

2019 – 2019: Sessional Teaching Academic, University of Sydney

ACCT 6010: Corporate Governance and Accountability; Teaching fellow for Professor John Roberts

PROFESSIONAL EXPERIENCE

2015 – 2016: *Associate* at Phoenix Tree Capital, Shanghai, China

A leading China-based boutique investment bank, providing financial advisory services to entrepreneurs, including advice on financing and strategic consulting.

- Oversaw 10+ private placement deals worth \$100 million in logistics, consumer, and entertainment sectors.
- Facilitated marketing campaigns and conducted deal execution.
- Produced pitch books, business plans, and financial models for clients.
- Executed term-sheet negotiation and legal services.
- Researched companies in automobile, tourism, and E-commerce sectors for deal sourcing.

SELECTED RESEARCH

Working papers: Chen, Y., Richardson, G., and Zhou, Q. 2023 “Does Mandatory Disclosure Impact the Cost of Debt? New Evidence from SFAS 161 on Derivative and Hedging Disclosure”. Working Paper
Chen, Y., Bu, D., and Zhou, Q. 2021. “Fintech Development and Small Business Resilience to Climate Change Risks”. Working Paper
Chen, Y., Bu, D., and Zhou, Q. 2022. “Labor Supply and Firm Capital Structure”. Working Paper
Chen, Y., Bu, D., and Zhou, Q. 2023. “Climate Change Risks: A Study on the Resiliency of Rural Australian Households”. NSW Department of Planning and Environment Grant Paper
Chen, Y. 2023. “Outsourcing Risk and Capital Structure: Evidence from the Automotive Industry”. Working Paper
Chen, Y., and Hasan, M.M., 2023. “Mandatory Disclosure and the Choice of Debt”. Working Paper

CONFERENCE PRESENTATIONS

2024: The American Finance Association (AFA) Annual Meeting, San Antonio, USA
2023: Australasian Finance and Banking Conference, Sydney, Australia
European Financial Management Association (EFMA) Annual Meetings, Cardiff, UK
International Accounting & Finance Doctoral Symposium, Paris, France
Asian Finance Association (AsianFA), Ho Chi Minh City, Vietnam
AFAANZ Conference, Gold Coast, Australia
ESG and Management Innovation International Conference, Sydney, Australia
2021: Asian Finance Association (AsianFA) Conference, Virtual
Financial Research Network (FIRN) PhD Symposium, Virtual

COMMUNITY SERVICE

Committees: Financial Research Network (FIRN) PhD Brown Bag Committee (2022 – Present)
Macquarie Business School HDR Advisory Group Representatives (2022 – Present)
Macquarie Business School HDR Advisory Group Chair (2023)
Associations: University of Rochester Financial Management Association, Ascend National Association of Asian MBAs (2014 – 2015)
University of Rochester Career Fair Volunteer and Ambassador Program (2014)

AWARDS AND ACHIEVEMENTS

2022: Grant Recipient: Macquarie University Postgraduate Research Fund (PGRF)
2021: Grant Recipient: NSW Department of Planning and Environment University Grant
2021: Asian Finance Association Conference (AsianFA) Best Paper Award
2021: Executive Dean’s Commendation for Academic Excellence
2021: International Macquarie University Excellence Scholarship
2020: International Macquarie University Research Training Program (Master of Research)

QUALIFICATION AND SKILLS

Technical skills: R, LaTeX, Python

Language skills: Cantonese (Native), Mandarin (Native), English (Proficient)

REFEREES

Professor Tom Smith

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Associate Professor Clara Zhou

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Professor Grant Richardson

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