Rival constructions in word formation

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- Grammatical constructions
 - e.g. Russian decade constructions *v* dvadcatye gody vs. *v* dvadcatyx godax 'in the Twenties' (i.e. accusative vs. locative construction)
- ► Word-formation constructions
 - e.g. agent noun suffixes in Russian: -tel', -nik, -čik, etc.

Word synonymy

- ▶ In language "there are no actual synonyms"; within a set of words like "quick, fast, swift, rapid, speedy", each one of these words "differs from all the others in some constant and conventional feature of meaning" (Bloomfield 1933: 145)
- ▶ "One thing becomes clear once we begin a serious quest for absolute synonyms, and that is that if they exist at all, they are extremely uncommon. (...) There is no obvious motivation for the existence of absolute synonyms in a language, and one would expect that either one of the items would fall into obsolescence, or that a difference in semantic function would develop." (Cruse 1986: 270)

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- ► So, what about the difference between *v dvadcatye gody* and *v dvadcatyx godax* 'in the Twenties'?
- No evidence of semantic or sociolinguistic differentiation (Nesset & Makarova 2018)
- ► A leveling process: one form is ousting the other over time (Nesset & Makarova 2018)

Word formation

This talk:

- ► The question of rivalry in word formation
- Different constructions used to form the same type of nouns -> a case study of Russian synthetic compounds with agentive/instrumental meanings
- ► Is there absolute synonymy in word formation? Why do we need different constructions if their functions are the same?
- ► Look for possible differences:
 - semantic
 - diachronic
 - stylistic
 - related to productivity

Synthetic compounds

- Co-occurrence of two word-formation processes
 - Compounding + suffixation
 - ► Compounding + conversion
- Constructions with agentive/instrumental meanings in Russian:
 - ► zakon-o-da-tel' 'legislator'
 - prav-o-zaščit-nik 'human rights activist'
 - ► *gruz-o-perevoz-čik* 'cargo company'
 - ▶ *vs-e-znaj-ka* 'know-all'
 - ► gazon-o-kosi-lka 'lawnmower'
 - ▶ jazyk-o-ved 'linguist'
 - etc.

To sort things out

- ► RNC word-formation database: over 16,000 compounds retrieved from dictionaries of modern Russian
- Selection of all synthetic compounds with agentive/instrumental meanings
- ▶ 831 compounds
- ightharpoonup 9 constructions (-ec, -lec, -tel', -nik, -(\check{s}) $\check{c}ik$, -l' $\check{s}\check{c}ik$, -ka, -lka, suffixless)
- Analyzed according to different parameters concerning:
 - ▶ the type of bases allowed by each construction
 - ▶ the semantic features of each construction
 - their frequency in texts belonging to different time periods/genres/registers

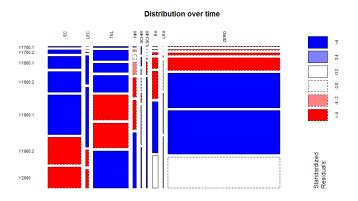
Differences in the type of bases allowed

- Non-verbal base (mjas-o-rub-ka):
 - mostly nouns, but also adjectives, pronouns and numerals (e.g. jasn-o-vid-ec)
 - mostly functioning as the theme/patient argument of the base verbs, but other possibilities are allowed (e.g. *kanat-o-xod-ec*)
- ► Verbal base (*mjas-o-rub-ka*):
 - ▶ mostly transitive, but intransitive also occur (e.g. *kanat-o-xod-ec*)
 - mostly imperfective, but occasionally perfective occur too (e.g. vozduch-o-očisti-tel')
- No remarkable differences among different constructions

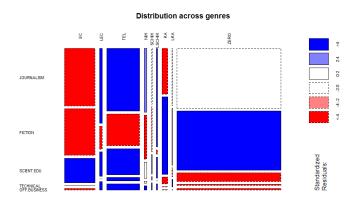
Semantic differences

- ► Remarkable differences among different constructions
- Certain constructions show a preference for agentive meanings: zakon-o-da-tel', kanat-o-xod-ec, granat-o-met-čik, jazyk-o-ved
- Certain constructions show a preference for instrumental meanings: mjas-o-rub-ka, ruk-o-moj-nik
- Certain constructions have only agentive meanings: zeml-e-vlade-lec, stal-e-plavi-l'ščik
- Certain constructions have only instrumental meanings: gazon-o-kosi-lka

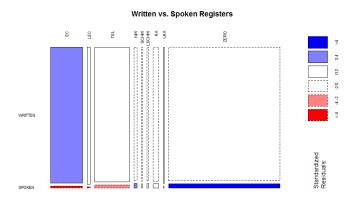
Diachronic differences



Stylistic differences



Stylistic differences



A case study

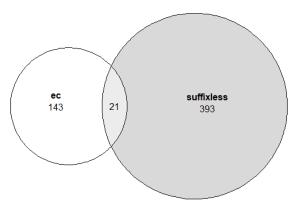
- ► Strongest rivalry: -ec vs. suffixless
- Semantically more similar to one another compared to other constructions
- ▶ Both agents and instruments (but a general preference for agents)
- Apparently, a certain degree of diachronic and stylistic differentiation
- Less specialized (i.e. technical) meanings compared to other constructions

A side note

- ► The suffixless construction shows some morphonological constraints which do not affect the construction in -ec
 - Only one-syllable unprefixed imperfective verb stems (Tagabileva 2013: 198)
 - No verb stems ending with a consonant cluster (Sichinava 1999)
- ► However, such stems are not so frequent for the construction in -ec (e.g. -deržec, -torgovec) too, and many verb stems are common (or at least possible in principle) for the two constructions

The data

21 common compounds, e.g. pravd-o-ljub-ec vs. pravd-o-ljub



which also suggests that the two constructions show a high degree of synonymy (this is not common for other constructions!)

Pairs

Table 1: Common compounds

bogomol	bogomolec	odnodum	odnodumec
verxovod	verxovodec	pravdoljub	pravdoljubec
vodonos	vodonosec	samoljub	samoljubec
voľnodum	voľnodumec	svobodoljub	svobodoljubec
duxobor	duxoborec	sebjaljub	sebjaljubec
dušegub	dušegubec	serdceved	serdcevedec
ženoljub	ženoljubec	slastoljub	slastoljubec
žizneljub	žizneljubec	trudoljub	trudoljubec
kitoboj	kitoboec	čelovekoljub	čelovekoljubec
krjučkotvor	krjučkotvorec	čestoljub	čestoljubec
morexod	morexodec		

Semantic differentiation

- ▶ Приток богомольцев постепенно увеличивался, и прежний храм становился тесен. [Христорождественский женский монастырь в Твери // «Журнал Московской патриархии», 2004.02.23]
- ► Ненавижу насекомых. Только *богомолы* нравятся. Не знаю почему. Странные они какие-то... [Татьяна Соломатина. Отойти в сторону и посмотреть (2011)]

But not always...

- Как же! Может, и есть на свете правдолюбцы, только не моя маменька. Да и твои тоже... не слишком склонны рыться в архивах. Или я не права? [Дарья Симонова. Сорванная слива (2002)]
- ► Ну и что? Лжецы лгут да лгут и так залгутся, что иногда такую правду выговорят, что никакому *правдолюбу* не снилась. Так моя мама безумная говорила. А я ей всегда верила. [Юрий Буйда. У кошки девять смертей (2000) // «Новый Мир», 2005]

So...

- Semantically very similar
- ► Some diachronic and stylistic differences
 - -ec mostly occurs in written texts and is perceived as archaic, suffixless is more neutral, does not show such stylistic nuances (Tagabileva 2013)
- ► Is this enough to explain their coexistence?
- What about their productivity?
- "Wherever there are alternate processes for expressing the same categories in a language, there are differences in the degree of productivity of the processes" (Bybee 1985: 132)

What is *productive*?

- ► According to (Švedova 1980):
 - -ec exhibits limited productivity and new compounds are only formed by analogy with existing compounds (e.g. vertoletonosec > bronenosec)
 - ► the suffixless construction is highly productive both in technical terminology and in everyday language

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- But what are the criteria for determining productivity?
- Is it simply native speakers' intuition?

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- But what are the criteria for determining productivity?
- ► Is it simply native speakers' intuition?
- ► This is a bit impressionistic, we want to be more precise and provide some numerical approximations

Defining productivity

- ► The ability of an affix or of a morphological process to be actively used to produce new words, cf. (Bauer 1983: 18), (Plag 2003: 44), (Booij 2005: 68), (Haspelmath & Sims 2010: 114)
- ► (Corbin 1987): qualitative vs. quantitative approaches
 - disponibilité 'availability': productivity as a yes/no question; a word-formation process is either available or not
 - rentabilité 'profitability': the extent, the degree to which a certain morphological process can be employed to create new words
- ▶ Profitability: more focused on the actual use of a certain morphological process, aims at determining the exact value corresponding to the productivity of a morphological process during a certain time span

Quantitative approaches to productivity

- ► Strongly rely on the notions of type (V) and token frequencies (N)
- ► And on the notion of *hapax legomenon* (V1), i.e. a word occurring only once in a certain text or corpus
- ► The most widespread corpus-based quantitative approach to morphological productivity is represented by the works of Baayen and associates (Baayen & Lieber 1991), (Baayen 1992), (Baayen 1993), (Baayen 2001)
- ▶ Different measures of productivity proposed in the literature

Type frequency

- ► Type frequency (V): the number of types formed with a given affix in a corpus of N tokens
- Can only indicate that a given morphological process was productive in the past
- Does not tell us anything about the synchronic availability/profitability of that process in the language

Potential productivity

- ► Calculated by dividing the number of *hapax legomena* (V1) with a given affix by the number of tokens (N) with that affix
- "Estimates the probability of coming across new, unobserved types, given that the size of the sample of relevant observed types equals N" (Baayen & Lieber 1991: 809–810)
- Subject to several criticisms because entails some problematic aspects that must be dealt with

Potential productivity

- ► Inadequate to compare differently-sized corpora: the value of P is highly dependent on the sample size (P is a function of N)
- ► However, this problem can be addressed in two ways:
 - dividing corpora into comparable pieces
 - resorting to parametric statistical models of frequency distribution known as LNRE (Large Number of Rare Events) models, cf. (Štichauer 2009), (Štichauer 2015), (Efthymiou, Fragaki & Markos 2012), (Naccarato 2016)
- ► A more crucial problem: not suitable to all types of data, i.e. what do we do if our data do not contain *hapax legomena*? (Back to this question later)

Global productivity

- A function of P and V, represented through a two-dimensional graph with P on the horizontal axis and V on the vertical axis
- ► Productive affixes will show large values for P and V, while unproductive affixes will show low values for P and V
- ► As admitted by Baayen himself (Baayen 1992: 124), it is difficult to rank different affixes in terms of global productivity due to their disparate positions on the graph

Hapax-conditioned degree of productivity

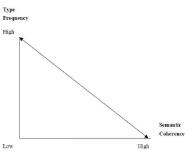
- ► Calculated by dividing the number of *hapax legomena* with a given affix by the total number of *hapax legomena* in the corpus
- ► "This measure asks 'What proportion of new coinages use affix A?' rather than asking 'What proportion of words using affix A are new coinages?' It is this latter which seems a more relevant question to ask" (Bauer 2001: 155)

A side-note on hapax legomena

- ▶ Objections: *hapax legomena* are not necessarily new formations
- ▶ But for corpora of many tenths of millions tokens, most hapaxes indeed turn out to be unestablished words (Gaeta & Ricca 2015: 847)
- ► If the corpus data are manually checked, *hapax legomena* can be considered as a reliable indicator of productivity (Plag, Dalton-Puffer & Baayen 1999), (Haspelmath & Sims 2010: 130)

Barðdal (2008)

- Within a constructionist approach to argument structure
- ► The function of type frequency and semantic coherence and the inverse correlation between the two (Barðdal 2008: 34)

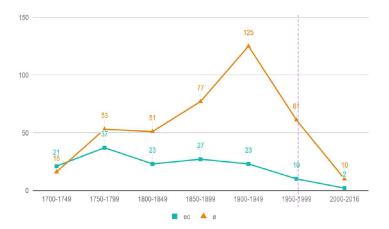


- ▶ But how to determine what is semantically coherent?
- ▶ Plus, the same problems as for global productivity

Diachronic productivity

► The diachronic productivity of word-formation processes can be determined by looking at the number of neologisms (or first occurrences in a corpus) attested in a good historical dictionary or in large corpora that allow for diachronic investigations (Haspelmath & Sims 2010: 130)

- ► Russian National Corpus (ruscorpora.ru/new/): an adequate source for such investigations, as it includes Russian texts from the 18th century to the present day and allows searching for a word and order the results by the creation date of the texts in which this word occurs
- ► For each compound I looked for the date of first occurrence in the RNC
- ► Then I counted the number of first occurrences for each construction in different time spans (of fifty years each)



- Opposite trends in terms of diachronic productivity for the two constructions:
 - ▶ almost constant drop for -ec
 - ▶ almost constant rise for the suffixless construction
- Compounds with -ec: frequently associated with religious concepts, e.g. bogomolec 'pilgrim, devotee', ikonoborec 'iconoclast', psalmopevec 'psalmist', etc.); religious texts make up a large portion of the earlier texts included in the RNC
- ▶ Peak of new occurrences belonging to the suffixless construction in the first half of the 20th century: maybe new naming needs during the post-revolutionary epoch?
 - e.g. *gruppovod* 'the leader of a group in any work or activity (in the first years of the Soviet authority)' (Mokienko & Nikitina 1998: 140)

What about synchrony?

- ► The results for diachronic productivity could give us an idea of the current productivity trends
- ► But we cannot draw any reliable conclusion on the synchronic productivity of the two constructions based on this type of analysis
- ► We might go back to the productivity measures proposed by Baayen and associates
- ▶ But this also entails some problems

Inadequate data

- Let's say we want to use the measure of **potential productivity** P=V1/N to compare *-ec* and the suffixless construction
- We would have some troubles due to the nature of the data at our disposal
- Compounds in the RNC word-formation database were not extracted following a bottom-up approach (RNC is not yet provided with a thorough annotation of compounds)
- ► They actually are **dictionary data** when a word is included in the dictionary, this is sign of its institutionalization
- ► Highly unlikely that they would be hapaxes in the corpus

Inadequate data

- Some hapaxes were actually found for both constructions
- ► If we wanted to measure P, we would get something like this:

Table 2: -ec vs. suffixless

	Tokens	Hapaxes	Productivity
-ec	31,278	5	0.00016
suffixless	110,288	21	0.00019

- ▶ But a closer look at the data suggests that it might actually be the case that hapaxes are NOT (or not always) new formations, but archaic words which are not used anymore
- ► We cannot rely on these results, dictionary data can only prove the past productivity of a word-formation process

Bottom-up

- ► The solution would be to retrieve the data by using a bottom-up approach
- ▶ But how can we retrieve compounds from a corpus if they are not tagged?

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- ▶ But how to find compounds belonging to the suffixless construction?
- ► Apparently, there is no easy way to do this

A case study

- ► Partially specified constructions (-*ljubec* vs. -*ljub*)
- ► To test our hypothesis:
 - diachronic investigations demonstrate that the productivity of the construction in -ec is decreasing over time, which is not the case for the suffixless construction
 - this suggests that the two constructions might be different in terms of their synchronic productivity
 - ▶ in contexts in which both constructions are possible (e.g. where morphonological constraints do not impose the choice of one construction instead of the other), it might be the case one construction is ousting the other in contemporary Russian

Dictionary vs. bottom-up

Table 3: -ljubec vs. -ljub

		Types	Tokens	Hapaxes	Productivity
RNC	-ljubec	18	1,194	0	0
database	-ljub	18	565	3	0.005
RNC	-ljubec	83	1,518	40	0.026
bottom-up	-ljub	82	1,280	44	0.034

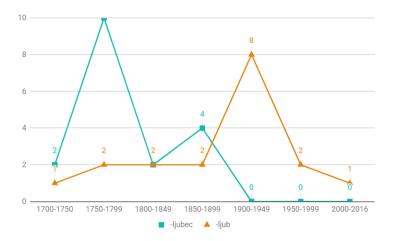
Comparing corpora

ruTenTen: ~15 billion words; cf. RNC ~600 million words

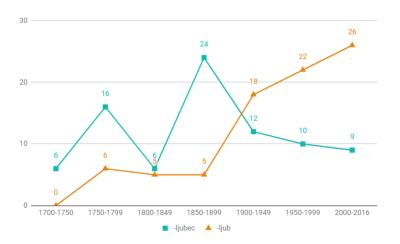
Table 4: RNC vs. ruTenTen

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ruTenTen	-ljubec	116	12,663	44	0.003
rurenren	-ljub	428	15,785	236	0.015

Diachrony (RNC, database)



Diachrony (RNC, bottom-up)



Corpus-based approaches to productivity

- Calculations of productivity strongly rely on the process of data retrieval and on the size of the corpus employed
- ▶ Dictionary data can give us an idea of how productivity evolves over time, but they cannot be used to determine the synchronic productivity of word-formation constructions
- Measures based on token frequency and hapax legomena can be helpful if they are applied to data from big corpora retrieved following a bottom-up approach, otherwise they will give us misleading results

- ► The results of different corpus-based investigations of their productivity seem to go in the same direction
- ► The productivity of construction in -ec is decreasing over time, whereas the suffixless construction is more stable in such respects
- ► Synchronically the suffixless construction seems more productive than the construction in *-ec*
- ► It might be the case that the suffixless construction is ousting the construction in -ec in contexts in which both constructions are in principle possible
- But we could prove this only based on a case study comparing partially specified constructions

An alternative method

- ► An approach to synchronic productivity which relies on the creativity of native speakers in the production of nonce formations (Fedorova & Naccarato Forth.)
- ► This will not give us exact numerical approximations for productivity rates, but will allow to compare different constructions among each other
- We can control the input, but, obviously, not the output, so more constructions are involved here
- We will still focus on the results obtained for -ec and the suffixless construction to compare corpus-based and experimental approaches

The survey

- ► Spring 2018
- ► 60 students (RSUH and NRU HSE)
- ► 5 participants of Italian language courses (a control group to check whether knowledge of Italian would affect the easiness of carrying out the task)
- ► Task: translate Italian compounds into Russian in the form of (nonce) compounds

The survey: An example

- ► Consider the Italian word *girasole* 'sunflower', which is a compound with the structure V+N: *girare* 'turn' and *sole* 'sun'
- ► The Russian translation for this compound would be the non-compound *podsolnux*
- ▶ But what if we wanted to create a compound with the same meaning based on the Italian model?
- Take the corresponding verb and noun bases in Russian (vertet', povaračivat' 'turn' and solnce 'sun') or other suitable bases and build one!

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- e.g. solncevert, vertisolnce, poverni-solnce, solncekrut, solncevertel'nik, solncekrutik, etc.

Input

10 Italian compounds: 5 agents + 5 instruments

Table 5: Agents

Compound	Verb		Noun		Translation
lustrascarpe	lustrare	čistit'	scarpe	obuv'	čistiľščik obuvi
rompicollo	rompere	slomat'	collo	šeja	smeľčak
guardaboschi	guardare	smotret'	boschi	lesa	lesnik
mangiafuoco	mangiare	est'	fuoco	ogon'	baxval
piantagrane	piantare	sažať	grane	bedy	pridira

Input

Table 6: Instruments

Compound	Verb		Noun		Translation
portachiavi	portare	nosit'	chiavi	ključi	brelok dlja ključej
asciugacapelli asciugamani	asciugare asciugare	sušiť sušiť	capelli mani	volosy ruki	fen polotence
tritatutto	tritare	izmeľčať	tutto	vse	, kuxonnyj
scolapasta	scolare	slivat'	pasta	pasta	kombajn duršlag

Reactions

- ► Knowledge of Italian did not seem to improve the ability to carry out the task
- Some respondents proposed more than one translation for a single compound
- Some respondents could not think of a translation for certain Italian compounds
- ▶ We got a total of 695 answers, from which we excluded existing compounds (e.g. *sorvigolova*), non-compounds (e.g. *izmel'čitel'*), and non-verbal constructions (e.g. *ključebrelok*)
- ▶ 661 selected answers: 332 agents and 329 instruments

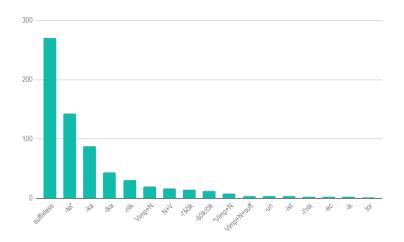
Proposed translations: agents

- ▶ lustrascarpe: tuflečist, bašmačist, tufleter, čistibot, ...
- rompicollo: šeelom, lomošej, slomišej, ...
- ▶ guardaboschi: lesoxranitel', lesosmotritel', leso(o)xrannik, ...
- mangiafuoco: ogneed, plameed, ognežor, ...
- ▶ piantagrane: bedosad, rastobed, bedorastitel', ...

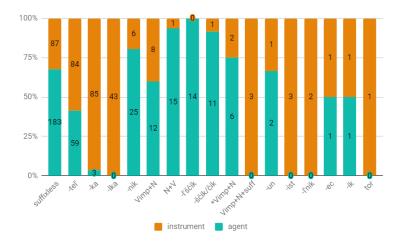
Proposed translations: instruments

- portachiavi: ključenoska, ključenos, ključenositel', ...
- asciugacapelli: volososuška, volososušitel', sušivolos, ...
- asciugamani: rukosuška, rukosušiteľ, rukoter, ...
- tritatutto: vseizmel'čitel', vsekrošitel', krošivse, ...
- scolapasta: pastosliv, makaronoslivatel', slivovod, ...

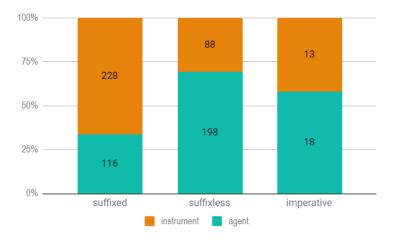
Constructions



Semantic distribution



Semantic distribution



Results: Summary

- ► The suffixless construction was chosen in almost 30% of the cases, which is quite remarkable, considering that as many as 17 constructions were used
- ▶ In 40% of the cases it was chosen to build agent nouns, whereas the most frequent suffixed constructions, i.e. -tel', -ka, and -lka, were chosen more frequently to build instrument nouns
- ► The **construction** in *-ec* was chosen only twice (*ključenosec* and *bašmačistec*), which is sign of its extremely low degree of productivity in contemporary Russian

A side note: The imperative construction

- Surprisingly, in 6.5% of the cases constructions with verb stems in first position were proposed
- ► In some cases, they show the typical structure of the 'imperative' construction of the type *sorvigolova* 'daredevil', e.g. *slomajšeja*
- ► In other cases, the first base in the compound is not in the imperative form, but a linking vowel is added to the verb stem (e.g. *slomošeja*); sometimes a suffix is also added (e.g. *suševoloska*)
- ► Attempts to use this construction are attested, which might suggest that this construction is not so unproductive as pointed out in the literature (?)

Analogy

- Apparently, analogy plays a key role in the choice of a specific construction
- ► Nonce words are often formed based on existing models:
 - ► tuflečist > trubočist
 - ▶ ogneed > ljudoed, mjasoed, miroed, bukvoed, ...
 - ▶ ogneglot > živoglot
 - ► ključenos > vodonos, medonos
 - ► bedovod > sadovod, ovcevod, ...
 - šeelom > kostolom, dubolom
 - rukoter > poloter

Analogy

- Nonce words including suffixes are often based on existing deverbals:
 - ► leso(o)xrannik > oxrannik
 - ► lesoxranitel' > xranitel'
 - ► lesosmotritel' > smotritel'
 - ► volo(so)sušilka > sušilka
 - ► obuvečistiľščik > čistiľščik
 - ► tufleočistiteľ > očistiteľ
 - vseizmel'čitel' > izmel'čitel'
 - ► ključederžateľ > deržateľ
 - ključeperenosčik > perenosčik
 - ▶ bedonositel' > nositel'

Analogy or tendency to analyticity?

- ► Frequent use of -*a* instead of -*o* (e.g. *pastasliv*)
- ► Spelling mistake?
- Tendency to analyticity?
- Analogy with compounds formed with non-Slavic components (e.g. akvapark)?

 Corpus-based and experimental investigations give converging results

Table 7: Productivity: -ec vs. suffixless

	- <i>ec</i>	suffixless
Diachronic productivity	decreasing	increasing/stable
Synchronic productivity (Corpus methods)	lower	higher
Synchronic productivity (Nonce formations)	almost null	very high

General observations

- ► Absolute synonymy is very rare
- This also holds for word formation
- ▶ Rival constructions always show some type of differentiation
 - semantic
 - diachronic
 - stylistic
- ► If they are very similar both semantically and stylistically, then they will probably be different in terms of their productivity, and one of them will slowly oust the other over time
- ▶ In the case of -ec vs. suffixless, several factors are at play: -ec being perceived as archaic and mostly belonging to the written register, becomes increasingly unproductive, which might favor the productivity of the functionally similar suffixless construction

Methodological remarks

- ► The choice of a specific method for measuring productivity strongly depends on the nature of the data at our disposal
- ▶ Dictionary data: inappropriate for measurement of synchronic productivity; can be used to determine the diachronic productivity of rival constructions, provided that diachronic information is available
- ► Corpus data: in principle the most appropriate material to be used for measurements of synchronic productivity, but often useless, due to the lack of a thorough annotation for compound words (and, in general, of any type of information regarding word formation)
- ► Nonce formations: experiments relying on word-formation creativity as a valid alternative for the study of synchronic productivity of word-formation constructions

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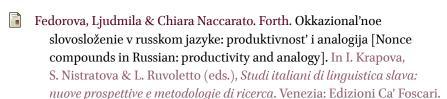
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