

# Genetic and Environmental risk factors of Schizophrenia and Autism

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A thesis submitted in partial fulfillment of the requirements for  
the Degree of Doctor of Philosophy



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August 29, 2015



# Declaration



# Acknowledgements



# Abbreviations

ASD    Autism Spectrum Disorder

CEU    Utah residents with Northern and Western European ancestry from the CEPH collection

SCZ    Schizophrenia





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# Introduction



# Chapter 1

## Literature Review I: Schizophrenia and Autism

### 1.1 Schizophrenia

Schizophrenia (SCZ) is a Affecting roughly 1% of the human population. Detrimental to the quality of life. Limited treatment. No cure. **Detail description of the disease here**

### 1.2 Autism Spectrum Disorder

On the other hand, Autism Spectrum Disorder Affecting XXX of the population. Associated with mental retardation. Most are unable to take care of themselves. No cure. **Detail description of the disease here**

### 1.3 The Environmental Risk Factors of SCZ and ASD

Despite the difference in their phenotype, epidemiological studies suggest that they share a lot of common environment factors.

#### 1.3.1 Prenatal Infection

Arguably one of the most important environmental risk factor for SCZ and AD. Affect  $\frac{1}{3}$  of all SCZ patient. Epidemiological study of Brown. The Involvement of IL-6. No protein found in the fetus. Talk about the finding of Oskvig and Smith. Imbalance caused by trying to counter the infection

### **1.3.2 Parental Age**

### **1.3.3 Prenatal Stress**

### **1.3.4 Maternal Vitamin D Deficiency During Pregnancy**

## **1.4 The Genetic Etiology of SCZ and ASD**

Talk about the PGC studies. Previous line of evidence? What they have found with the genetic studies? (SCZ) Involvement of the PSD95. (Shaun) Most enriched area is the MHC. Other associated SNPs are also highly enriched by immune genes. (ASD) Need to read more paper on this

## **1.5 Summary**

## Chapter 2

# Literature Review II: Approaches to Reveal Genetic Causes

### 2.1 Twin Studies - Delineating Genetic and Environmental Contribution

Should briefly talk about how Twin modeling was used for finding the GE contribution. Should also mention the ACE model. At the end, we can talk about the heritability estimates of SCZ and AD

### 2.2 Searching for Genetic Variants

#### 2.2.1 Role of Common Variants

##### Genome Wide Association Study

Should talk about what is GWAS and how it is used. Should also talk about the current GWAS studies in SCZ and AD

#### 2.2.2 Role of Rare Variants

##### Exome Sequencing

Similar to the GWAS. Talk about the Pros and Cons. Need to briefly mention the Denovo paper and Shaun's paper.

## **Whole Genome Sequencing**

Very very brief description of WGS and the current status.

## **2.3 Searching for Gene-Environmental interaction**

### **2.3.1 Gene Expression**

Micro-array

RNA Sequencing

### **2.3.2 Epigenetics**

Methylation Chip

Bisulfite Sequencing

## **2.4 Summary**



## Chapter 3

# Environmental Risk Factor: Maternal immune activation

### 3.1 Study Design

This should serve as the place where we place the mini introduction. What have people not done? early MIA. What is the importance? Earlier the worst. What are we going to do? What is the aim and goal? Brief description of what to be done.

### 3.2 Materials and Method

### 3.3 Results

### 3.4 Discussion

### 3.5 Conclusion

Short conclusion on the Environmental Risk. Also link the result to the next chapter.



## Chapter 4

# Genetic Risk Factor: Heritability Estimation

### 4.1 Estimation of Heritability

#### 4.1.1 Estimating the Mean

#### 4.1.2 Estimating the Variance

To calculate the variance of the estimation, we will need to obtain the variance covariance matrix of  $h$ . Because  $\mathbf{h} = (\boldsymbol{\rho}^2)^{-1}\mathbf{f}$ , we can obtain the variance covariance matrix of  $h$  as

$$\mathbf{Cov}(\mathbf{h}) = (\boldsymbol{\rho}^2)^{-1}\mathbf{Cov}(\mathbf{f})(\boldsymbol{\rho}^2)^{-1}$$

As  $f$  is a function of  $\chi^2$ , we can obtain the variance covariance matrix of  $f$  by first calculating the variance covariance matrix of the  $\chi^2$  variables.

First, let that  $\chi_i$  be the standardized genotype with standard normal mean  $z_i$  and non-centrality parameter  $\mu_i$ , we have

$$\begin{aligned} \mathbf{E}[\chi_i] &= \mathbf{E}[z_i + \mu_i] \\ &= \mu_i \\ \mathbf{Var}(\chi_i) &= \mathbf{E}[(z_i + \mu_i)^2] + \mathbf{E}[(z_i + \mu_i)]^2 \\ &= \mathbf{E}[z_i^2 + \mu_i^2 + 2z_i\mu_i] + \mu_i^2 \\ &= 1 \end{aligned}$$

Given the LD between two genotype  $\chi_i$  and  $\chi_j$  are  $\rho_{ij}$ , then

$$\begin{aligned}\text{Cov}(\chi_i, \chi_j) &= \text{E}[(z_i + \mu_i)(z_j + \mu_j)] - \text{E}[z_i + \mu_i]\text{E}[z_j + \mu_j] \\ &= \text{E}[z_i z_j + z_i \mu_j + \mu_i z_j + \mu_i \mu_j] - \mu_i \mu_j \\ &= \text{E}[z_i z_j] + \text{E}[z_i \mu_j] + \text{E}[z_j \mu_i] + \text{E}[\mu_i \mu_j] - \mu_i \mu_j \\ &= \text{E}[z_i z_j]\end{aligned}$$

As the genotypes are standardized, therefore  $\text{Cov}(\chi_i, \chi_j) = \text{Cor}(\chi_i, \chi_j)$  and we can obtain

$$\text{Cov}(\chi_i, \chi_j) = \text{E}[z_i z_j] = \rho_{ij}$$

Given these information, we can then calculate  $\text{Cov}(\chi_i^2, \chi_j^2)$  as:

$$\begin{aligned}\text{Cov}(\chi_i^2, \chi_j^2) &= \text{E}[(z_i + \mu_i)^2(z_j + \mu_j)^2] - \text{E}[z_i + \mu_i]\text{E}[z_j + \mu_j] \\ &= \text{E}[(z_i^2 + \mu_i^2 + 2z_i \mu_i)(z_j^2 + \mu_j^2 + 2z_j \mu_j)] - \text{E}[z_i^2 + \mu_i^2 + 2z_i \mu_i]\text{E}[z_j^2 + \mu_j^2 + 2z_j \mu_j] \\ &= \text{E}[(z_i^2 + \mu_i^2 + 2z_i \mu_i)(z_j^2 + \mu_j^2 + 2z_j \mu_j)] - (\text{E}[z_i^2] + \text{E}[\mu_i^2] + 2\text{E}[z_i \mu_i])(\text{E}[z_j^2] + \text{E}[\mu_j^2] + 2\text{E}[z_j \mu_j]) \\ &= \text{E}[z_i^2(z_j^2 + \mu_j^2 + 2z_j \mu_j) + \mu_i^2(z_j^2 + \mu_j^2 + 2z_j \mu_j) + 2z_i \mu_i(z_j^2 + \mu_j^2 + 2z_j \mu_j)] - (1 + \mu_i^2)(1 + \mu_j^2) \\ &= \text{E}[z_i^2(z_j^2 + \mu_j^2 + 2z_j \mu_j)] + \mu_i^2 \text{E}[z_j^2 + \mu_j^2 + 2z_j \mu_j] + 2\mu_i \text{E}[z_i(z_j^2 + \mu_j^2 + 2z_j \mu_j)] - (1 + \mu_i^2)(1 + \mu_j^2) \\ &= \text{E}[z_i^2 z_j^2 + z_i^2 \mu_j^2 + 2z_i^2 z_j \mu_j] + \mu_i^2 + \mu_i^2 \mu_j^2 + 2\mu_i \text{E}[z_i z_j^2 + z_i \mu_j^2 + 2z_i z_j \mu_j] - (1 + \mu_i^2)(1 + \mu_j^2) \\ &= \text{E}[z_i^2 z_j^2] + \mu_j^2 + \mu_i^2 + \mu_i^2 \mu_j^2 + 4\mu_i \mu_j \text{E}[z_i z_j] - (1 + \mu_i^2 + \mu_j^2 + \mu_i \mu_j) \\ &= \text{E}[z_i^2 z_j^2] + 4\mu_i \mu_j \text{E}[z_i z_j] - 1\end{aligned}$$

Remember that  $\text{E}[z_i z_j] = \rho_{ij}$ , we then have

$$\text{Cov}(\chi_i^2, \chi_j^2) = \text{E}[z_i^2 z_j^2] + 4\mu_i \mu_j \rho_{ij} - 1$$

By definition,

$$z_i | z_j \sim N(\mu_i + \rho_{ij}(z_j - \mu_j), 1 - \rho_{ij}^2)$$

We can then calculate  $\text{E}[z_i^2 z_j^2]$  as

$$\begin{aligned}\text{E}[z_i^2 z_j^2] &= \text{Var}[z_i z_j] + \text{E}[z_i z_j]^2 \\ &= \text{E}[\text{Var}(z_i z_j | z_i)] + \text{Var}[\text{E}[z_i z_j | z_i]] + \rho_{ij}^2 \\ &= \text{E}[z_j^2 \text{Var}(z_i | z_j)] + \text{Var}[z_j \text{E}[z_i | z_j]] + \rho_{ij}^2 \\ &= (1 - \rho_{ij}^2) \text{E}[z_j^2] + \text{Var}(z_j(\mu_i + \rho_{ij}(z_j - \mu_j))) + \rho_{ij}^2 \\ &= (1 - \rho_{ij}^2) + \text{Var}(z_j \mu_i + \rho_{ij} z_j^2 - \mu_j z_j \rho_{ij}) + \rho_{ij}^2 \\ &= 1 + \mu_i^2 \text{Var}(z_j) + \rho_{ij}^2 \text{Var}(z_j^2) - \mu_j^2 \rho_{ij}^2 \text{Var}(z_j) \\ &= 1 + 2\rho_{ij}^2\end{aligned}$$

As a result, the variance covariance matrix of the  $\chi^2$  variances can be calculated as

$$\text{Cov}(\chi_i^2, \chi_j^2) = 2\rho_{ij}^2 + 4\rho_{ij}\mu_i\mu_j$$

Now that we have calculated the variance covariance matrix of  $\chi^2$ , we can get the variance covariance matrix of  $f$  as

$$\begin{aligned} \text{Cov}(f_i, f_j) &= \frac{d}{d(\chi_i^2)} \frac{\chi_i^2 - 1}{n - 2 + \chi_i^2} \frac{d}{d(\chi_j^2)} \frac{\chi_j^2 - 1}{n - 2 + \chi_j^2} \text{Cov}(\chi_i^2, \chi_j^2) \\ &= \frac{(n-1)^2}{(n-2 + \chi_i^2)^2 (n-2 + \chi_j^2)^2} \text{Cov}(\chi_i^2, \chi_j^2) \end{aligned}$$

## 4.2 Simulation Study

### 4.2.1 Quantitative Trait

### 4.2.2 Case-Control Study design

## 4.3 Result

## 4.4 Discussion

## 4.5 Conclusion



## Chapter 5

# Genetic Risk Factor: Risk Prediction

### 5.1 Risk Estimation

### 5.2 Simulation Study

### 5.3 Result

### 5.4 Conclusion





## Chapter 6

# Summary and Conclusion



# Supplementary Materials

Table S1: Primer Sequences used in real time PCR

Gene Name	Primer Sequence
<i>Actb</i>	ACTGAGCTGCGTTTTACACCCTTTC
<i>Akt3</i>	CTTCTCAGTGGCAAAATGTCAGTTA
<i>Eomes</i>	AATAACATGCAGGGCAATAAGATGT
<i>Lama5</i>	ACACGAGCGAGACCAGTGAGAAGAT
<i>Robo3</i>	AAGGGAGTCAAGTCCTGCTTTTCCC

Table S2: Gene set enrichment results based on the RNA Seq data. All p-values were bonferroni corrected. Details of the gene sets can be found on <http://www.inside-r.org/packages/cran/WGCNA/docs/userListEnrichment>

Gene Set	RNA Seq	Denovo				GWAS	
		Fromer et al. [2]	Neale et al. [3]	Sanders et al. [4]	O’Roak et al. [5]	Anney et al. [6]	Ripke et al. [7]
		Scz	ASD	ASD	ASD	ASD	PGC Scz
Post-Synaptic Density proteins (Bayes)	$3.35 \times 10^{-20}$	$9.14 \times 10^{-9}$	1	0.0784	$9.99 \times 10^{-3}$	0.588	0.965
Neuron probable (Cahoy)	$6.46 \times 10^{-19}$	$2.13 \times 10^{-7}$	1	1	$7.47 \times 10^{-6}$	0.607	0.11
Up CD40 stimulation in MG (AitGhezala)	$4.43 \times 10^{-10}$	$5.73 \times 10^{-3}$	1	1	1	0.132	0.0208
Down With Alzheimers (Blalock)	$2.24 \times 10^{-9}$	0.212	1	0.0142	$9.89 \times 10^{-3}$	0.145	0.887
Neuron definite (Cahoy)	$6.05 \times 10^{-6}$	1	1	1	0.114	0.555	0.122
Ribosome (HumanMeta)	$3.01 \times 10^{-5}$	1	1	1	1	0.476	0.418
Autism associated module (Voineagu)	$3.86 \times 10^{-5}$	1	1	1	1	0.847	0.61
Cytoplasm (Foster)	$5.44 \times 10^{-5}$	1	1	1	1	0.396	0.34
Down With Alzheimers (Liang)	$1.18 \times 10^{-4}$	0.298	1	1	1	0.739	0.215
Up With ABeta MGactivation (GSE772)	$1.53 \times 10^{-4}$	0.381	1	1	1	0.274	0.0949

Mitochondria (HumanMeta)	$1.89 \times 10^{-4}$	1	1	1	1	$3.29 \times 10^{-3}$	$9.91 \times 10^{-3}$
GABAergic Neu- rons In Mouse Cortex (Sugino)	$1.89 \times 10^{-4}$	1	1	1	1	0.221	0.0673
Schizophrenia possible (Dis- easeGenes)	$3.11 \times 10^{-4}$	0.197	1	1	0.588	0.507	0.0215
Cortex (Hu- manChimp)	$4.10 \times 10^{-4}$	1	0.698	1	1	0.946	0.824
Down Aging mitochondria synapse (Lu)	$8.09 \times 10^{-4}$	1	1	1	1	0.652	0.601
Neuron (CTX)	$1.08 \times 10^{-3}$	$2.74 \times 10^{-3}$	1	0.481	1	0.0528	0.115
noChangeAD	$1.48 \times 10^{-3}$	1	1	1	1	0.0315	0.118
heat Shock Pro- tein Activity (Blalock)							
Autism differen- tial expression across at least one comparison (Voineagu)	$1.90 \times 10^{-3}$	$3.86 \times 10^{-4}$	1	1	1	0.813	0.929
Microglia(Type1) (HumanMeta)	$3.20 \times 10^{-3}$	1	1	1	1	0.906	0.0187
Astrocyte (CTX)	$3.58 \times 10^{-3}$	1	1	1	1	0.513	0.0308
Pr10-synaptic Compartment Proteins (Mor- ciano)	$8.13 \times 10^{-3}$	1	1	1	1	0.127	0.599
Oligodendrocyte (CTX)	0.0134	$5.71 \times 10^{-3}$	0.208	1	0.0822	0.383	0.0315
Mitochondria (MouseMeta)	0.0285	1	1	1	1	0.487	0.36

downAD synaptic Transmission (Blalock)	0.0302	1	1	1	1	0.437	0.275
Up In Frontal Cortex (EarlyAD)	0.0319	1	1	1	1	0.223	$7.52 \times 10^{-3}$
Glutamatergic Synaptic Function (CTX)	0.0422	1	1	1	0.187	0.7	0.0252
Glutatmatergic Synapse (MouseMeta)	0.0498	1	1	1	1	0.0312	0.969

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# Appendix