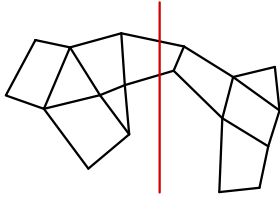




## Lecture 35: Finding Clusters in Graphs



2 clusters

Problem: Find  $x, y$   
to minimize

$$\sum \|a_i - x\|^2 + \sum \|b_i - y\|^2$$

- ①  $a$ 's  $\cup$   $b$ 's = all nodes
- ②  $a$ 's  $\cap$   $b$ 's = empty set

Given  $a$ 's =  $a_1, \dots, a_k$

what is best  $x$ ?

$$x = \text{centroid of } a\text{'s} = \frac{\text{sum of } a\text{'s}}{\text{number of } a\text{'s}}$$

### ① K-means (here 2-means)

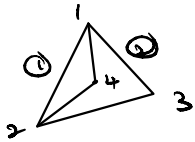
- ① Given  $a$ 's  $b$ 's find centroids
- ② Given  $x, y$  form best clusters  
Each node goes with the closer of  $x, y$ .

### ② "Spectral clustering"

Start with graph Laplacian matrix

$$L = A^T A = D - B \rightarrow \begin{array}{l} \text{adjacency matrix} \\ \text{degree matrix (diagonal)} \end{array}$$

$\nwarrow$  positive semidefinite       $\downarrow$  Incidence matrix



Incidence matrix

$$A = \begin{bmatrix} 1 & 1 & 0 & 0 \\ 2 & -1 & 0 & 1 \\ 3 & 1 & 1 & 0 \\ 4 & -1 & -1 & 2 \\ 5 & 1 & 0 & 0 \end{bmatrix}$$

$$D = \begin{bmatrix} 3 & & & \\ & 3 & & \\ & & 2 & \\ & & & 2 \end{bmatrix}$$

$$B = \begin{bmatrix} 0 & 1 & 1 & 1 \\ 1 & 0 & 1 & 1 \\ 1 & 0 & 0 & 0 \\ 1 & 1 & 0 & 0 \end{bmatrix}$$

$$L = D - B = \begin{bmatrix} 3 & -1 & -1 & -1 \\ -1 & 3 & -1 & -1 \\ -1 & -1 & 2 & 0 \\ -1 & -1 & 0 & 2 \end{bmatrix}$$

$$Lx = \begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \end{bmatrix} \text{ for } x = \begin{bmatrix} c \\ c \\ c \\ c \end{bmatrix}$$

$$\dim N(L) = 1$$

$L$  has  $\lambda_1 = 0$

$$x_1 = \begin{bmatrix} c \\ c \\ c \\ c \end{bmatrix}$$

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$x_2 =$  eigenvector for  $\lambda_{\min}$  of  $L$

positive and negative components  
of its eigenvector indicate the  
2 clusters of nodes.