

# PHY 301

## Quantum Mechanics

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2021-2022

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# 1 Introduction

Quantum: quantities can vary by discrete amounts.

Mechanics: study of motion.

## 1.1 Franklin's Oil-Drop Experiment

Spilled a spoonful (2 ml) of oil on the surface of a lake and extended to about 2000 m<sup>2</sup>, but not more. This experiment shows the **existent** and **size** of atoms.

$$V = Sh$$
$$h = \frac{V}{S} \sim \frac{2 \times 10^{-6}}{2 \times 10^3} \text{ m} \sim 10^{-9} \text{ m}$$

Atomic and molecular scales are **nanometric**

## 1.2 From Classical Mechanics to Quantum Mechanics

In classical mechanics, position is a function of time. **Deterministic**.

In quantum mechanics, the position of a particle is a random variable. **Probabilistic**.

# 2 Wave Function

## 2.1 Definition in 1-D space

**Definition 2.1** (Wave Function). *For a small particle living in a **one-dimensional space**, the wave function  $\Psi$  is a complex-valued function of space and time:*

$$\Psi : \mathbf{R} \times \mathbf{R} \rightarrow \mathbb{C}$$

$$(x, t) \mapsto \Psi(x, t) \in \mathbb{C}$$

**Remark 2.1.**  $|\Psi(x, t)|^2$  is the *p.d.f.* of finding the particle in position  $x$  at time  $t$ .

**Remark 2.2.**  $\int_a^b |\Psi(x, t)|^2 dx$  is the *c.d.f* of finding the particle between position  $[a, b]$  at time  $t$ .

**Remark 2.3.** *Integration is over **space**,  $t$  is a **parameter**.*

## 2.2 Mean and variance of the position

These two statistics are expressed as integrals over the entire space. They are **deterministic functions of time**. Given wave function  $\Psi$ , then we have:

$$\begin{aligned}\langle x \rangle(t) &= \int_{-\infty}^{+\infty} x |\Psi(x, t)|^2 dx \\ \langle Var(x) \rangle(t) &= \int_{-\infty}^{+\infty} (x - \langle x \rangle(t))^2 |\Psi(x, t)|^2 dx \\ [\Psi] &= \frac{1}{\sqrt{L}}\end{aligned}$$

## 2.3 Example: probability density of position for classical object

...

### 3 The Schrödinger equation

One dimension's Schrödinger equation for wave function  $\Psi$  ( $x \in \mathbf{R}$  is a space coordinate,  $t$  is time,  $V$  is a **real-valued potential**) is

$$\boxed{i\hbar \frac{\partial \Psi(x, t)}{\partial t} = -\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} \Psi(x, t) + V(x) \Psi(x, t)} \quad (1)$$

Complex conjugate form is

$$\boxed{-i\hbar \frac{\partial \Psi^*(x, t)}{\partial t} = -\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} \Psi^*(x, t) + V(x) \Psi^*(x, t)} \quad (2)$$

Planck constant  $\hbar$  is

$$\hbar = \frac{h}{2\pi} \simeq 1.05 \times 10^{-34} \text{ kg} \cdot \text{m}^2 \cdot \text{s}^{-1}$$

**Assumption 3.1.**  $\Psi$  and all its derivatives are smooth and go to zero when  $|x|$  goes to infinity, faster than any negative power of  $x$ .

#### 3.1 Normalization

Due to its definition, the wave function has to be normalized at all time. That is, for all  $t$ , we have

$$\boxed{\int_{-\infty}^{\infty} \Psi^*(x, t) \Psi(x, t) dx = 1} \quad (3)$$

**Remark 3.1.** *Not all solutions of the Schrödinger equation are wave function.*

**Theorem 3.1.** *A normalized wave function stays normalized.*

*Proof.* For a normalized wave function at time  $t = 0$ :

$$\int_{-\infty}^{\infty} \Psi^*(x, 0) \Psi(x, 0) dx = 1$$

consider  $t > 0$ :

$$\begin{aligned}
& \frac{d}{dt} \left( \int_{-\infty}^{\infty} \Psi^*(x, t) \Psi(x, t) dx \right) \\
&= \int_{-\infty}^{+\infty} \frac{\partial \Psi^*(x, t)}{\partial t} \Psi(x, t) dx + \int_{-\infty}^{+\infty} \Psi^*(x, t) \frac{\partial \Psi(x, t)}{\partial t} dx \\
&= \frac{1}{i\hbar} \int_{-\infty}^{+\infty} \left( +\frac{\hbar^2}{2m} \frac{\partial^2 \Psi^*(x, t)}{\partial x^2} - V(x) \Psi^*(x, t) \right) \Psi(x, t) dx + \\
&\quad \frac{1}{i\hbar} \int_{-\infty}^{+\infty} \Psi^*(x, t) \left( -\frac{\hbar^2}{2m} \frac{\partial^2 \Psi(x, t)}{\partial x^2} + V(x) \Psi(x, t) \right) dx \\
&= \frac{\hbar}{2mi} \left( \left[ \Psi \frac{\partial}{\partial x} \Psi^* \right]_{-\infty}^{+\infty} - \int \frac{\partial}{\partial x} \Psi^* \frac{\partial}{\partial x} \Psi dx \right. \\
&\quad \left. - \left[ \Psi^* \frac{\partial}{\partial x} \Psi \right]_{-\infty}^{+\infty} + \int \frac{\partial}{\partial x} \Psi \frac{\partial}{\partial x} \Psi^* dx \right) \\
&= 0
\end{aligned}$$

by Assumption 3.1. Hence,  $\Psi$  is normalized at all time. □

### 3.2 Linear momentum

We can not know actual position of a particle, hence we use the expectation of position  $\langle x \rangle(t)$  instead.

$$\boxed{\langle x \rangle(t) = \int_{-\infty}^{+\infty} \Psi^*(x, t) x \Psi(x, t) dx} \tag{4}$$

the velocity of a particle is

$$\boxed{\langle V \rangle = \frac{d\langle x \rangle}{dt}} \tag{5}$$

the average linear momentum is

$$\boxed{\langle p \rangle = \int_{-\infty}^{+\infty} \Psi^*(x, t) \left( -i\hbar \frac{\partial}{\partial x} \right) \Psi(x, t) dx} \tag{6}$$

*Proof.*

$$\begin{aligned}
\langle p \rangle &= m \frac{d\langle x \rangle}{dt} \\
&= m \int_{-\infty}^{\infty} \frac{d\Psi^*(x, t)}{dt} x \Psi + \Psi^*(x, t) x \frac{d\Psi(x, t)}{dt} dx \\
&= \frac{m}{i\hbar} \int_{-\infty}^{\infty} \left( \frac{\hbar^2}{2m} \frac{\partial^2 \Psi^*(x, t)}{\partial x^2} - V(x) \Psi^*(x, t) \right) x \Psi(x, t) + \left( -\frac{\hbar^2}{2m} \frac{\partial^2 \Psi(x, t)}{\partial x^2} + V(x) \Psi(x, t) \right) x \Psi^*(x, t) dx \\
&= \frac{\hbar}{2i} \int_{-\infty}^{\infty} \frac{\partial^2 \Psi^*(x, t)}{\partial x^2} x \Psi(x, t) - \frac{\partial^2 \Psi(x, t)}{\partial x^2} x \Psi^*(x, t) dx \\
&= \frac{\hbar}{2i} \int_{-\infty}^{\infty} x \frac{\partial}{\partial x} \left( \frac{\partial \Psi^*(x, t)}{\partial x} \Psi(x, t) - \frac{\partial \Psi(x, t)}{\partial x} \Psi^*(x, t) \right) dx \\
&= \frac{\hbar}{2i} \left( \left[ x \left( \frac{\partial \Psi^*(x, t)}{\partial x} \Psi(x, t) - \frac{\partial \Psi(x, t)}{\partial x} \Psi^*(x, t) \right) \right]_{-\infty}^{+\infty} - \int_{-\infty}^{\infty} \frac{\partial \Psi^*(x, t)}{\partial x} \Psi(x, t) - \frac{\partial \Psi(x, t)}{\partial x} \Psi^*(x, t) dx \right) \\
&= \frac{\hbar}{i} \int_{-\infty}^{\infty} \frac{\partial \Psi(x, t)}{\partial x} \Psi^*(x, t) dx \\
&= \int_{-\infty}^{\infty} \Psi^*(x, t) \left( -i\hbar \frac{\partial}{\partial x} \right) \Psi(x, t) dx
\end{aligned}$$

□

### 3.3 From the Schrödinger equation to the Newton law

Ehrenfest's theorem

$$\frac{d\langle p \rangle}{dt} = -\langle V'(x) \rangle \quad (7)$$

*obey classical laws?*

*Proof. ...*

□

### 3.4 Correspondence principle

$\hbar$  to 0?

Energy = kinetic energy + potential energy

Position operator ...

Linear-momentum operator ...

Hamiltonian operator ...

### 3.5 Separable solutions: time-independent solutions

Consider one kind of solutions of Schrödinger equation in the form:

$$\Psi(x, t) := \psi(x)\phi(t)$$

the Schrödinger equation becomes:

$$i\hbar\psi(x)\phi'(t) = -\frac{\hbar^2}{2m}\psi''(x)\phi(t) + V(x)\psi(x)\phi(t) \quad (8)$$

$$i\hbar\frac{\phi'(t)}{\phi(t)} = -\frac{\hbar^2}{2m}\frac{\psi''(x)}{\psi(x)} + V(x) \quad (9)$$

Eq.9 is satisfied for all values of the independent variables  $x$  and  $t$ , hence there exists a **constant number**  $E$  that Eq.9 =  $E$  for both sides. We have

$$i\hbar\frac{\phi'(t)}{\phi(t)} = E \quad (10)$$

$$-\frac{\hbar^2}{2m}\frac{\psi''(x)}{\psi(x)} + V(x) = E \quad (11)$$

**Time-dependent factor Eq.10**

$$\begin{aligned} \phi'(t) &= \frac{E\phi(t)}{i\hbar} \\ \phi(t) &= \phi(0)e^{\frac{E}{i\hbar}t} \end{aligned} \quad (12)$$

**Space-dependent factor Eq.11**

$$\begin{aligned} -\frac{\hbar^2}{2m}\psi''(x) + V(x)\psi(x) &= E\psi(x) \\ \hat{H}\psi(x) &= E\psi(x) \end{aligned} \quad (13)$$

Eq.13 is called the ***time-independent Schrödinger equation***.

There are reasons about why introduce time-independent Schrödinger equation: 1. 2.

**Remark 3.2.**  $E$  is a constant number.

**Remark 3.3.**  $E$  is a real number.

**Remark 3.4.**  $\exp(-\frac{iEt}{\hbar})^* = \exp(\frac{iEt}{\hbar})$  when  $E \in R$

*Proof.* ... □

**Remark 3.5.**  $E \geq \min(V(x))$

*Proof.* ... □



### 3.6 Example: the infinite square well

Suppose

$$V(x) = \begin{cases} 0, & \text{if } 0 \leq x \leq a \\ \infty, & \text{otherwise} \end{cases}$$

then  $\psi(x) = 0$  when  $x \notin [0, a]$ . When  $x \in [0, a]$ , we have time-independent Schrödinger  $\psi(x)$  satisfied

$$\begin{aligned} -\frac{\hbar^2}{2m} \frac{d^2\psi}{dx^2} &= E\psi \\ \frac{d^2\psi}{dx^2} &= -k^2\psi \end{aligned} \tag{14}$$

where

$$k \equiv \frac{\sqrt{2mE}}{\hbar} \tag{15}$$

Eq.14 is the classical simple harmonic oscillator equation with general solution

$$\psi(x) = A \sin kx + B \cos kx \tag{16}$$

where  $A$  and  $B$  are constant.

Continuity of  $\psi(x)$  requires boundary condition

$$\psi(0) = \psi(a) = 0$$

by  $\psi(0) = 0$ , we have  $B = 0$ ,  $\psi(x) = A \sin kx$

by  $\psi(a) = 0$ , we have trivial (non-normalizable) solution  $A = 0$  or  $\sin kx = 0$ ,

$ka = 0, \pm\pi, \pm2\pi, \pm3\pi, \dots$ ,  $k \leq 0$  is useless. Hence the distinct solutions are

$$k_n = \frac{n\pi}{a}, \quad \text{with } n = 1, 2, 3, \dots$$

According to Eq.15, the corresponding values of  $E$  is

$$E_n = \frac{\hbar^2 k_n^2}{2m} = \frac{n^2 \pi^2 \hbar^2}{2ma^2}$$

To find  $A$ , we normalize  $\Psi(x, t)$

$$\begin{aligned}\int_{-\infty}^{\infty} |\Psi(x, t)|^2 dx &= 1 \\ \int_{-\infty}^{\infty} \psi(x)^2 dx &= 1 \\ \int_{-\infty}^{\infty} |A|^2 \sin^2(kx) dx &= 1 \\ |A|^2 &= \frac{2}{a} \\ A &= \sqrt{\frac{2}{a}}\end{aligned}$$

Finally, we have solutions of time-independent Schrödinger Eq.13

$$\psi_n(x) = \sqrt{\frac{2}{a}} \sin\left(\frac{n\pi}{a}x\right)$$

with corresponding energy

$$E_n = \frac{n^2 \pi^2 \hbar^2}{2ma^2}$$

**Consequence I** If the wave function at  $t = 0$  is a normalized eigenfunction  $\psi(x)$  (ie.  $n = 1$ ), we integrate the Schrödinger equation by introducing a phase factor, we have

$$\Psi(x, t) = \exp\left(-\frac{iEt}{\hbar}\right) \psi(x), \forall x, \forall t.$$

$|\Psi(x, t)|^2$  and then  $\langle x \rangle$  are independent on time  $t$ . The wave function is stationary.

**Consequence II** If the wave function at  $t = 0$  is a sum of eigenfunction  $\psi(x)$  with  $c_n \in \mathbb{C}$ , we integrate the Schrödinger equation by introducing phase factors, we have

$$\Psi(x, t) = A \exp\left(-\frac{iE_1 t}{\hbar}\right) \psi_1(x) + B \exp\left(-\frac{iE_2 t}{\hbar}\right) \psi_2(x), A \in \mathbb{C}, \forall x, \forall t$$

if  $E_1 \neq E_2$ , then  $|\Psi(x, t)|^2$  and then  $\langle x \rangle$  are dependent on time  $t$ . The wave function is a periodic function of time.

### 3.7 The free particle: time-dependent solutions

When  $V(x) = 0$ , we have

$$\begin{aligned}i\hbar \frac{\phi'(t)}{\phi(t)} &= E \\ -\frac{\hbar^2}{2m} \frac{\psi''(x)}{\psi(x)} &= E\end{aligned}$$

by Eq.10, Eq.11. let  $k \equiv \frac{\sqrt{2mE}}{\hbar} > 0$ , we get general solution

$$\Psi(x, t) = Ae^{ik(x - \frac{\hbar k}{2m}t)} + Be^{-ik(x + \frac{\hbar k}{2m}t)}$$

let  $k \equiv \pm \frac{\sqrt{2mE}}{\hbar}$  the solution becomes

$$\Psi_k(x, t) = Ae^{i(kx - \frac{\hbar k^2}{2m}t)} \quad (17)$$

Eq.17 has a space period  $\lambda$  and a time period  $T$ .

Eq.17 is not normalizable.

## 4 de Broglie Waves

$E < V_0$  and  $\hat{H}\psi = E\psi$ .  $V$  is constant on three pieces of  $R$  with function

$$V(x) = \begin{cases} -V_0 & \text{for } -a \leq x \leq a \\ 0 & \text{for } |x| > a \end{cases}$$

The solutions of the time-independent Schrödinger equation is

$$\psi(x) = \begin{cases} e^{ikx} + Ae^{-ikx} & \text{for } x < -a \\ Be^{iqx} + Ce^{-iqx} & \text{for } -a < x \leq a \\ De^{ikx} & \text{for } x > a \end{cases}$$

When  $x < 0$ ,

$$\frac{d^2\psi(x)}{dx^2} = -k^2\psi(x)$$

$$\psi(x) = 1$$

when  $0 < x \leq a$ ,

$$\frac{d^2\psi(x)}{dx^2} = \frac{2m}{\hbar^2}(V_0 - E)\psi(x) \quad (18)$$

$$\frac{d^2\psi(x)}{dx^2} = \mathcal{K}^2\psi(x) \quad (19)$$

$$\psi(x) = B \quad (20)$$

as

$$\boxed{\mathcal{K} = \frac{\sqrt{2m(V_0 - E)}}{\hbar}, \mathcal{K} > 0} \quad (21)$$

when  $x > a$ ,

$$\frac{d^2\psi(x)}{dx^2} = -k^2\psi(x)$$

$$\psi(x) = A \sin(kx) + iB \cos(kx)$$

WAVE PERIOD

### 4.1 The tunnel effect

ss

## 5 Uncertainty Principle

### 5.1 Hilbert space $L^2(\mathbf{R})$

**Definition 5.1** (Inner product). *For a pair of functions  $f$  and  $g$  of functions of one real variable with complex values, i.e.,  $f, g : \mathbf{R} \rightarrow \mathbf{C}$ . The inner product of  $f$  and  $g$  is*

$$\langle f | g \rangle = \int_{-\infty}^{+\infty} f^*(x)g(x)dx$$

**Property 5.1.**  $\langle f | g \rangle = \langle g | f \rangle^*$

*Proof.* ... □

**Property 5.2.** *The inner product is  $\mathbf{C}$ -linear on the right and anti- $\mathbf{C}$ -linear on the left. For functions  $f, g, h$  and complex numbers  $\lambda, \mu$ , we have*

$$\begin{aligned}\langle f | \lambda g + \mu h \rangle &= \lambda \langle f | g \rangle + \mu \langle f | h \rangle \\ \langle \lambda f + \mu g | h \rangle &= \lambda^* \langle f | h \rangle + \mu^* \langle g | h \rangle\end{aligned}$$

*Proof.* ... □

**Definition 5.2** (Hilbert space). *The space of square-integrable functions, denoted by  $L^2(\mathbf{R})$ , is the set of functions that have finite inner-product with themselves:*

$$L^2(\mathbf{R}) = \{f : \mathbf{R} \rightarrow \mathbf{C}, \langle f | f \rangle < \infty\}$$

*at any time  $t$ . The square root of the inner product of  $f \in L^2(\mathbf{R})$  with itself denoted by*

$$\|f\| = \sqrt{\langle f | f \rangle}$$

*is called the  $L^2(\mathbf{R})$ -norm of  $f$ .*

**Remark 5.1.** *The wave function of a particle  $\Psi(x, t) \in L^2(\mathbf{R})$ .*

### 5.2 Hermitian operators

**Definition 5.3** (Hermitian operator). *Consider an operator  $Q$  acts on a function of  $x$ , if  $\langle f | Qg \rangle = \langle Qf | g \rangle$  for any functions  $f, g$  in the Hilbert space and vanish at infinity, then  $Q$  is called Hermitian operator.*

**Remark 5.2.** *Hamiltonian operator  $\hat{H}$  is a Hermitian operator.*

*Proof.* ...

□

**Remark 5.3.** *Linear momentum  $p$  is a Hermitian operator.*

*Proof.* ...

□

**Remark 5.4.** *Position  $x$  is a Hermitian operator. ( $x \in \mathbf{R}$ )*

*Proof.* ...

□

### 5.3 The Heisenberg uncertainty principle

**Theorem 5.1** (The Schwarz inequality in  $L^2(\mathbf{R})$ ). *For any two functions  $f, g$  in  $L^2(\mathbf{R})$  the following inequality is satisfied:*

$$\|f\| \|g\| \geq |\langle f | g \rangle|$$

*Proof.* ...

□

**Definition 5.4** (Commutator). *For two operators  $x$  and  $p$ , their commutator is*

$$[x, p] := xp - px.$$

**Theorem 5.2** (The Heisenberg uncertainty principle).

$$\sigma_x \sigma_p \geq \frac{\hbar}{2}$$

*Proof.* ...

□