

# Chong Shu

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## Academic Appointment

**David Eccles School of Business, University of Utah**

Assistant Professor of Finance

2021-present

## Education

**University of Southern California**

Ph.D. Finance

2017-2021

M.A. & Ph.D. Economics (transferred)

2014-2017

B.S. Mathematics/Economics – Magna Cum Laude

2010-2014

## Working Papers

1. [“Does Proxy Advice Allow Funds to Cast Informed Votes?”](#) with John Matsusaka, 2021
2. [“The Proxy Advisory Industry: Influencing and Being Influenced,”](#) 2022
  - Media Coverage: Forbes, National Review, Morning Consult
  - Best Paper in Corporate Finance at *2021 Northern Finance Association Annual Meeting*
3. [“Endogenous Risk-Exposure and Systemic Instability,”](#) 2021
  - Best Paper Prize at *2020 European Finance Association Doctoral Tutorial*
4. [“A Theory of Proxy Advice when Investors Have Social Goals,”](#) with John Matsusaka, 2021
  - Media Coverage: National Review

## Presentations

### *Seminars*

2021-2022: University of Southern California (Law School)\*, University of Utah (Eccles)

2020-2021: University of Utah (Eccles), University of Iowa (Tippie), Cambridge University (Judge)\*, Texas A&M University (Mays), University of Georgia (Terry), Vanderbilt University (Owen), University of Kansas, CUHK Business School, CUHK (Shenzhen), City University of Hong Kong, Tsinghua University (PBCSF), Peking University (Guanghua), Peking University (HSBC), Stockholm School of Economics, Western University (Ivey)

## Conferences

2022	Finance Theory Group Summer Meeting (Central European University, Budapest)	June 2022
	Financial Intermediation Research Society (FIRS) Conference	June 2022
	Wasatch Finance Conference	May 2022
	American Finance Association Annual Meeting	January 2022
2021	Second Conference on the Interconnectedness of Financial Systems (FRB)	December 2021
	ESG and Climate Finance Conference: a COP26 Event (University of Glasgow)	October 2021
	American Law and Economics Association Annual Meeting (U Chicago)	October 2021
	Northern Finance Association Annual Meeting	September 2021
	Summer Institute of Finance (Shanghai Advanced Institute of Finance)	July 2021
	Midwest Finance Association Annual Meeting	March 2021
2020	Financial Stability Conference (Cleveland Fed and Office of Financial Research)	November 2020
	Warwick Economics Ph.D. Conference (University of Warwick)	November 2020
	Finance, Organizations and Markets conference (Dartmouth College)	October 2020
	Northern Finance Association Annual Conference	September 2020
	CIRF & CFRI Joint Conference	August 2020
	Young Economists Symposium (University of Pennsylvania)	August 2020
	European Finance Association Doctoral Tutorial	August 2020
	Dauphine Finance Ph.D. Workshop (Paris Dauphine University)	June 2020
2019	Office of Financial Research Ph.D. Symposium (U.S. Treasury Department)	October 2019
	CIRANO-Sam M. Walton College of Business Workshop on Networks	October 2019
	FDIC Annual Bank Research Conference	September 2019
2018	China International Risk Forum (Zhejiang University)	December 2018
	Financial Management Association Annual Meeting	October 2018
	North American Summer Meeting of the Econometric Society (UC Davis)	June 2018
	Trans-Atlantic Doctoral Conference (London Business School)	May 2018
	AFA Annual Meeting Ph.D. Poster Session	January, 2018

(\* indicates presentations by co-authors)

## Media Coverage

### News Mention

[Forbes](#), [National Review](#), [Morning Consult](#)

### Substantive Blog Posts

1. ["Does Proxy Advice Allow Funds to Cast Informed Votes?"](#) CLS Blue Sky Blog: Columbia Law School's Blog on Corporations and the Capital Markets. July 22, 2021
2. ["The Competitive Landscape of the Proxy Advice Market,"](#) CLS Blue Sky Blog: Columbia Law School's Blog on Corporations and the Capital Markets. June 25, 2020
3. ["Why Proxy Advice Might Be Slanted,"](#) with John G. Matsusaka, CLS Blue Sky Blog: Columbia Law School's Blog on Corporations and the Capital Markets. April 30, 2020
4. ["Endogenous Risk-Exposure and Systemic Instability,"](#) Nanyang Business School Forum on Risk Management and Insurance. December 25, 2018

## Industry Experience

AQR Capital Management, LLC (Greenwich, Connecticut)

Ph.D summer internship

June 2018 - August 2018

## Academic Honors

Best Paper in Corporate Finance and Financial Intermediation, NFA	2021
Best Paper Prize, EFA Doctoral Tutorial	2020
USC Graduate School Summer Research and Writing Grant	2020
USC Graduate School Travel Grant	2017
USC Marshall School Fellowship	2017-2021
USC Economic Department Best Second Year Papers	2016
USC Dornsife Institute for New Economic Thinking Fellowship	2016-2017
USC Dornsife College Graduate Merit Award	2014-2019

## Teaching Experience

### *Instructor*

FINAN 4030 (Utah): Corporate Finance	Fall 2021
FINAN 4040 (Utah): Intermediate Corporate Finance	Fall 2021
BUAD 306 (USC): Business Finance	Summer 2019

### *Teaching Assistant*

FBE 630 (Finance Ph.D. 1st-year core): Fundamentals of Corporate Finance	Spring 2018
FBE 631a (Finance Ph.D. 2nd-year core): Advanced Corporate Finance	Fall 2017

## Skills

### Programming

Python, MATLAB, STATA, SQL, AWS, WRDS Cloud, PHP, HTML, CSS, JavaScript, L<sup>A</sup>T<sub>E</sub>X

### Languages

Chinese (native), English (fluent)

## Professional Activities

### *Conference Organizing & Committee*

Program Committee, Utah Winter Finance Conference	2021
Organizer & Program Committee, USC Marshall Ph.D. Conference in Finance	2018, 2019
Program Committee, FMA Annual Meeting	2018
Program Committee, FMA Applied Finance Conference	2018

### *Refereeing*

Journal of Finance, Review of Financial Studies

### *Discussion*

2018 LBS TADC, 2018 FMA, 2018, CIRF, 2020 YES, 2020 CIRF, 2021 CIRF, 2021 FMA

### *External Reviewer*

Hong Kong Research Grants Council

Last updated: March 2022