

# Chong Shu

Spencer Fox Eccles Business Building, Office 8235  
1655 East Campus Center Drive  
Salt Lake City, Utah 84112-8939

Email: [chong.shu@eccles.utah.edu](mailto:chong.shu@eccles.utah.edu)  
Homepage: <http://chong-shu.com>

## Academic Appointment

**David Eccles School of Business, University of Utah**

Assistant Professor of Finance

2021-present

## Education

**University of Southern California**

Ph.D. Finance

2017-2021

M.A. & Ph.D. Economics (transferred)

2014-2017

B.S. Mathematics/Economics – Magna Cum Laude

2010-2014

## Working Papers

1. [“Does Proxy Advice Allow Funds to Cast Informed Votes?”](#) with John Matsusaka, June 2021
2. [“The Proxy Advisory Industry: Influencing and Being Influenced,”](#) March 2021
  - Media Coverage: Forbes, National Review, Morning Consult
  - Blog Post: Columbia Law School Blue Sky Blog
3. [“Endogenous Risk-Exposure and Systemic Instability,”](#) July 2020
  - 2<sup>nd</sup> Round Revise & Resubmit at the *Review of Financial Studies*
  - Best Paper Prize at *2020 European Finance Association Doctoral Tutorial*
4. [“A Theory of Proxy Advice when Investors Have Social Goals,”](#) with John Matsusaka, 2021
  - Media Coverage: National Review
  - Blog Post: Columbia Law School Blue Sky Blog
5. [“Rational Reticence: the Curse of Shareholder Voting Power in Uncontested Elections,”](#) January 2020

## Presentations

### Seminars

2020-2021: University of Utah (Eccles), University of Iowa (Tippie), Cambridge University (Judge)\*, Texas A&M University (Mays), University of Georgia (Terry), Vanderbilt University (Owen), University of Kansas, CUHK Business School, CUHK (Shenzhen), City University of Hong Kong, Tsinghua University (PBCSF), Peking University (Guanghua), Peking University (HSBC), Stockholm School of Economics, Western University (Ivey)

## Conferences

2022	American Finance Association Annual Meeting	January 2022
2021	American Law and Economics Association Annual Meeting (U Chicago)	October 2021
	Northern Finance Association Annual Meeting	September 2021
	Summer Institute of Finance (Shanghai Advanced Institute of Finance)	July 2021
	Midwest Finance Association Annual Meeting	March 2021
2020	Financial Stability Conference (Cleveland Fed and Office of Financial Research)	November 2020
	Warwick Economics Ph.D. Conference (University of Warwick)	November 2020
	Finance, Organizations and Markets conference (Dartmouth College)	October 2020
	Northern Finance Association Annual Conference	September 2020
	CIRF & CFRI Joint Conference	August 2020
	Young Economists Symposium (University of Pennsylvania)	August 2020
	European Finance Association Doctoral Tutorial	August 2020
	Dauphine Finance Ph.D. Workshop (Paris Dauphine University)	June 2020
2019	Office of Financial Research Ph.D. Symposium (U.S. Treasury Department)	October 2019
	CIRANO-Sam M. Walton College of Business Workshop on Networks	October 2019
	FDIC Annual Bank Research Conference	September 2019
2018	China International Risk Forum (Zhejiang University)	December 2018
	Financial Management Association Annual Meeting	October 2018
	North American Summer Meeting of the Econometric Society (UC Davis)	June 2018
	Trans-Atlantic Doctoral Conference (London Business School)	May 2018
	AFA Annual Meeting Ph.D. Poster Session	January, 2018

(\* indicates presentations by co-authors)

## Media Coverage

### News Mention

[Forbes](#)

[National Review](#)

[Morning Consult](#)

### Substantive Blog Posts

3. ["The Competitive Landscape of the Proxy Advice Market,"](#) CLS Blue Sky Blog: Columbia Law School's Blog on Corporations and the Capital Markets. June 25, 2020
2. ["Why Proxy Advice Might Be Slanted,"](#) with John G. Matsusaka, CLS Blue Sky Blog: Columbia Law School's Blog on Corporations and the Capital Markets. April 30, 2020
1. ["Endogenous Risk-Exposure and Systemic Instability,"](#) Nanyang Business School Forum on Risk Management and Insurance. December 25, 2018

## Industry Experience

AQR Capital Management, LLC (Greenwich, Connecticut)

Ph.D summer internship

June 2018 - August 2018

## Academic Honors

47th EFA Doctoral Tutorial Best Paper Prize	2020
USC Graduate School Summer Research and Writing Grant	2020
USC Graduate School Travel Grant	2017
USC Marshall School Fellowship	2017-2021
USC Economic Department Best Second Year Papers	2016
USC Dornsife Institute for New Economic Thinking Fellowship	2016-2017
USC Dornsife College Graduate Merit Award	2014-2019

## Teaching Experience

### *Instructor*

BUAD 306 (undergraduate): Business Finance

Summer 2019

### *Teaching Assistant*

FBE 630 (Finance Ph.D. 1st-year core): Fundamentals of Corporate Finance	Spring 2018
FBE 631a (Finance Ph.D. 2nd-year core): Advanced Corporate Finance	Fall 2017
ECON 580 (Graduate): Antitrust Economics and Competition Policy	Spring 2016
ECON 366 (Undergraduate): Urban Economics	Spring 2016
ECON 521 (Graduate): Open Economy Macroeconomics	Fall 2015
ECON 487 (Undergraduate): Resource and Environmental Economics	Fall 2015
ITP 301 (Undergraduate): Interactive Web Development	Spring 2013
ITP 300 (Undergraduate): Database Development	Fall 2012

## Skills

### Programming

Python, MATLAB, STATA, SQL, AWS, WRDS Cloud, PHP, HTML, CSS, JavaScript,  $\LaTeX$

### Languages

Chinese (native), English (fluent)

## Professional Activities

### *Conference Organizing & Committee*

Program Committee for 7th Annual USC Marshall Ph.D. Conference in Finance	Los Angeles, 2019
Co-Organizer for 6th Annual USC Marshall Ph.D. Conference in Finance	Los Angeles, 2018
Program Committee for 2018 FMA Annual Meeting	San Diego, 2018
Program Committee for 2018 FMA Applied Finance Conference	New York, 2018

### *Discussion*

2018 LBS TADC, 2018 FMA, 2018, CIRF, 2020 YES, 2020 CIRF-CFRI

Last updated: March 2021