

Chong Shu

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Academic Appointment

David Eccles School of Business, University of Utah

Assistant Professor of Finance

2021-present

Education

University of Southern California

Ph.D. Finance

2017-2021

M.A. & Ph.D. Economics (transferred)

2014-2017

B.S. Mathematics/Economics – Magna Cum Laude

2010-2014

Working Papers

1. [“Does Proxy Advice Allow Funds to Cast Informed Votes?”](#) with John Matsusaka, June 2021
2. [“The Proxy Advisory Industry: Influencing and Being Influenced,”](#) March 2021
 - Media Coverage: Forbes, National Review, Morning Consult
 - Blog Post: Columbia Law School Blue Sky Blog
 - Best Paper in Corporate Finance at *2021 Northern Finance Association Annual Meeting*
3. [“Endogenous Risk-Exposure and Systemic Instability,”](#) July 2020
 - 2nd Round Revise & Resubmit at the *Review of Financial Studies*
 - Best Paper Prize at *2020 European Finance Association Doctoral Tutorial*
4. [“A Theory of Proxy Advice when Investors Have Social Goals,”](#) with John Matsusaka, 2021
 - Media Coverage: National Review
 - Blog Post: Columbia Law School Blue Sky Blog
5. [“Rational Reticence: the Curse of Shareholder Voting Power in Uncontested Elections,”](#) January 2020

Presentations

Seminars

2020-2021: University of Utah (Eccles), University of Iowa (Tippie), Cambridge University (Judge)*, Texas A&M University (Mays), University of Georgia (Terry), Vanderbilt University (Owen), University of Kansas, CUHK Business School, CUHK (Shenzhen), City University of Hong Kong, Tsinghua University (PBCSF), Peking University (Guanghua), Peking University (HSBC), Stockholm School of Economics, Western University (Ivey)

Conferences

2022	American Finance Association Annual Meeting	January 2022
2021	American Law and Economics Association Annual Meeting (U Chicago)	October 2021
	Northern Finance Association Annual Meeting	September 2021
	Summer Institute of Finance (Shanghai Advanced Institute of Finance)	July 2021
	Midwest Finance Association Annual Meeting	March 2021
2020	Financial Stability Conference (Cleveland Fed and Office of Financial Research)	November 2020
	Warwick Economics Ph.D. Conference (University of Warwick)	November 2020
	Finance, Organizations and Markets conference (Dartmouth College)	October 2020
	Northern Finance Association Annual Conference	September 2020
	CIRF & CFRI Joint Conference	August 2020
	Young Economists Symposium (University of Pennsylvania)	August 2020
	European Finance Association Doctoral Tutorial	August 2020
	Dauphine Finance Ph.D. Workshop (Paris Dauphine University)	June 2020
2019	Office of Financial Research Ph.D. Symposium (U.S. Treasury Department)	October 2019
	CIRANO-Sam M. Walton College of Business Workshop on Networks	October 2019
	FDIC Annual Bank Research Conference	September 2019
2018	China International Risk Forum (Zhejiang University)	December 2018
	Financial Management Association Annual Meeting	October 2018
	North American Summer Meeting of the Econometric Society (UC Davis)	June 2018
	Trans-Atlantic Doctoral Conference (London Business School)	May 2018
	AFA Annual Meeting Ph.D. Poster Session	January, 2018

(* indicates presentations by co-authors)

Media Coverage

News Mention

[Forbes](#)

[National Review](#)

[Morning Consult](#)

Substantive Blog Posts

1. ["Does Proxy Advice Allow Funds to Cast Informed Votes?"](#) CLS Blue Sky Blog: Columbia Law School's Blog on Corporations and the Capital Markets. July 22, 2021
2. ["The Competitive Landscape of the Proxy Advice Market,"](#) CLS Blue Sky Blog: Columbia Law School's Blog on Corporations and the Capital Markets. June 25, 2020
3. ["Why Proxy Advice Might Be Slanted,"](#) with John G. Matsusaka, CLS Blue Sky Blog: Columbia Law School's Blog on Corporations and the Capital Markets. April 30, 2020
4. ["Endogenous Risk-Exposure and Systemic Instability,"](#) Nanyang Business School Forum on Risk Management and Insurance. December 25, 2018

Industry Experience

AQR Capital Management, LLC (Greenwich, Connecticut)

Ph.D summer internship

June 2018 - August 2018

Academic Honors

Best Paper in Corporate Finance and Financial Intermediation, NFA	2021
Best Paper Prize, EFA Doctoral Tutorial	2020
USC Graduate School Summer Research and Writing Grant	2020
USC Graduate School Travel Grant	2017
USC Marshall School Fellowship	2017-2021
USC Economic Department Best Second Year Papers	2016
USC Dornsife Institute for New Economic Thinking Fellowship	2016-2017
USC Dornsife College Graduate Merit Award	2014-2019

Teaching Experience

Instructor

BUAD 306 (undergraduate): Business Finance	Summer 2019
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Teaching Assistant

FBE 630 (Finance Ph.D. 1st-year core): Fundamentals of Corporate Finance	Spring 2018
FBE 631a (Finance Ph.D. 2nd-year core): Advanced Corporate Finance	Fall 2017
ECON 580 (Graduate): Antitrust Economics and Competition Policy	Spring 2016
ECON 366 (Undergraduate): Urban Economics	Spring 2016
ECON 521 (Graduate): Open Economy Macroeconomics	Fall 2015
ECON 487 (Undergraduate): Resource and Environmental Economics	Fall 2015
ITP 301 (Undergraduate): Interactive Web Development	Spring 2013
ITP 300 (Undergraduate): Database Development	Fall 2012

Skills

Programming

Python, MATLAB, STATA, SQL, AWS, WRDS Cloud, PHP, HTML, CSS, JavaScript, L^AT_EX

Languages

Chinese (native), English (fluent)

Professional Activities

Conference Organizing & Committee

Program Committee for 7th Annual USC Marshall Ph.D. Conference in Finance	Los Angeles, 2019
Co-Organizer for 6th Annual USC Marshall Ph.D. Conference in Finance	Los Angeles, 2018
Program Committee for 2018 FMA Annual Meeting	San Diego, 2018
Program Committee for 2018 FMA Applied Finance Conference	New York, 2018

Discussion

2018 LBS TADC, 2018 FMA, 2018, CIRF, 2020 YES, 2020 CIRF, 2021 CIRF, 2021 FMA

External Reviewer

Hong Kong Research Grants Council

Last updated: July 2021