

Chong Shu

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Fields of Interest

Corporate Finance, Corporate Governance & Financial Intermediation (theoretical and empirical)

Education

University of Southern California

Ph.D. Finance	2017-2021
M.A. & Ph.D. studies (transferred) Economics	2014-2017
B.S. Mathematics/Economics	2010-2014
Magna Cum Laude, Honors in Economics	

Working Papers

1. [“The Proxy Advisory Industry: Influencing and Being Influenced,”](#) March 2021
 - Media Coverage: Forbes, Morning Consult
 - Blog Post: Columbia Law School Blue Sky Blog
2. [“Endogenous Risk-Exposure and Systemic Instability,”](#) July 2020
 - 2nd Round Revise & Resubmit at the *Review of Financial Studies*
 - Best Paper Prize at *2020 European Finance Association Doctoral Tutorial*
3. [“A Theory of Proxy Advice when Investors Have Social Goals,”](#) with John G. Matsusaka, 2020
 - Blog Post: Columbia Law School Blue Sky Blog
4. [“Rational Reticence: the Curse of Shareholder Voting Power in Uncontested Elections,”](#) January 2020

Work In Progress

- Proxy Advice and Informed Voting, with John G. Matsusaka

Teaching Experience

Instructor

BUAD 306 (undergraduate): Business Finance Summer 2019

Teaching Assistant

FBE 630 (Finance Ph.D. 1st-year core): Fundamentals of Corporate Finance Spring 2018

FBE 631a (Finance Ph.D. 2nd-year core): Advanced Corporate Finance	Fall 2017
ECON 580 (Graduate): Antitrust Economics and Competition Policy	Spring 2016
ECON 366 (Undergraduate): Urban Economics	Spring 2016
ECON 521 (Graduate): Open Economy Macroeconomics	Fall 2015
ECON 487 (Undergraduate): Resource and Environmental Economics	Fall 2015
ITP 301 (Undergraduate): Interactive Web Development	Spring 2013
ITP 300 (Undergraduate): Database Development	Fall 2012

Presentations

Seminars

2020-2021: Utah (Eccles), Iowa (Tippie), Cambridge (Judge)*, TAMU (Mays), Georgia (Terry), Vanderbilt (Owen), Kansas, CUHK (Hong Kong), CUHK (Shenzhen), CityU (Hong Kong), Tsinghua (PBCSF), Peking (Guanghua), Peking (HSBC), Stockholm School of Economics, Western (Ivey)

Conferences

2021 Midwest Finance Association Annual Meeting	March 2021
2020 Financial Stability Conference (Cleveland Fed and Office of Financial Research)	November 2020
Warwick Economics Ph.D. Conference (University of Warwick)	November 2020
Finance, Organizations and Markets conference (Dartmouth College)	October 2020
Northern Finance Association Annual Conference	September 2020
CIRF & CFRI Joint Conference	August 2020
Young Economists Symposium (University of Pennsylvania)	August 2020
European Finance Association Doctoral Tutorial	August 2020
Dauphine Finance Ph.D. Workshop (Paris Dauphine University)	June 2020
Annual Conference on Network Science and Economics (Chicago Booth)	(Postponed)
2019 Office of Financial Research Ph.D. Symposium (U.S. Treasury Department)	October 2019
CIRANO-Sam M. Walton College of Business Workshop on Networks	October 2019
FDIC Annual Bank Research Conference	September 2019
2018 China International Risk Forum (Zhejiang University)	December 2018
Financial Management Association Annual Meeting	October 2018
North American Summer Meeting of the Econometric Society (UC Davis)	June 2018
Trans-Atlantic Doctoral Conference (London Business School)	May 2018
AFA Annual Meeting Ph.D. Poster Session	January, 2018

(* indicates presentations by co-authors)

Media Coverage

News Mention

[Forbes](#)

[Morning Consult](#)

Substantive Blog Posts

3. ["The Competitive Landscape of the Proxy Advice Market,"](#) CLS Blue Sky Blog: Columbia Law School's Blog on Corporations and the Capital Markets. June 25, 2020
2. ["Why Proxy Advice Might Be Slanted,"](#) with John G. Matsusaka, CLS Blue Sky Blog: Columbia Law School's Blog on Corporations and the Capital Markets. April 30, 2020
1. ["Endogenous Risk-Exposure and Systemic Instability,"](#) Nanyang Business School Forum on Risk Management and Insurance. December 25, 2018

Industry Experience

AQR Capital Management, LLC (Greenwich, Connecticut)

Ph.D summer internship

June 2018 - August 2018

Academic Honors

47th EFA Doctoral Tutorial Best Paper Prize	2020
USC Graduate School Summer Research and Writing Grant	2020
USC Graduate School Travel Grant	2017
USC Marshall School Fellowship	2017-2021
USC Economic Department Best Second Year Papers	2016
USC Dornsife Institute for New Economic Thinking Fellowship	2016-2017
USC Dornsife College Graduate Merit Award	2014-2019

Skills

Programming

Python, MATLAB, STATA, SQL, AWS, WRDS Cloud, PHP, HTML, CSS, JavaScript, L^AT_EX

Languages

Chinese (native), English (fluent)

Professional Activities

Conference Organizing & Committee

Program Committee for 7th Annual USC Marshall Ph.D. Conference in Finance	Los Angeles, 2019
Co-Organizer for 6th Annual USC Marshall Ph.D. Conference in Finance	Los Angeles, 2018
Program Committee for 2018 FMA Annual Meeting	San Diego, 2018
Program Committee for 2018 FMA Applied Finance Conference	New York, 2018

Discussion

2018 LBS TADC, 2018 FMA, 2018, CIRF, 2020 YES, 2020 CIRF-CFRI

Last updated: March 2021