

COMPSCI/SFWRENG 2FA3  
Discrete Mathematics with Applications II  
Winter 2020

## Week 03 Exercises with Solutions

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### Exercises

1. Let  $\text{FinSeq}_{\mathbb{N}}$  be the set of finite sequences whose members are in  $\mathbb{N}$ .
  - a. Define  $\text{FinSeq}_{\mathbb{N}}$  as an inductive set.

**Solution:**

$\text{FinSeq}_{\mathbb{N}}$  is the inductive set defined by the following constructors:

- $\text{Nil} : \text{FinSeq}_{\mathbb{N}}$ .
- $\text{Cons} : \mathbb{N} \times \text{FinSeq}_{\mathbb{N}} \rightarrow \text{FinSeq}_{\mathbb{N}}$ .

- b. Define by pattern matching the function

$$\text{reverse} : \text{FinSeq}_{\mathbb{N}} \rightarrow \text{FinSeq}_{\mathbb{N}}$$

such that  $\text{reverse}(s)$  is the reverse of  $s$  for all  $s \in \text{FinSeq}_{\mathbb{N}}$ .

**Solution:**

We first need to define an auxiliary function:

$$\text{revAux} : \text{FinSeq}_{\mathbb{N}} \times \text{FinSeq}_{\mathbb{N}} \rightarrow \text{FinSeq}_{\mathbb{N}}$$

$$\text{revAux}(\text{Nil}, y) = y$$

$$\text{revAux}(\text{Cons}(a, x), y) = \text{revAux}(x, \text{Cons}(a, y))$$

$$\text{reverse}(x) = \text{revAux}(x, \text{Nil})$$

- c. Write the structural induction principle for  $\text{FinSeq}_{\mathbb{N}}$ .

**Solution:**

$$\begin{aligned} & (P(\text{Nil}) \wedge (\forall s : \text{FinSeq}_{\mathbb{N}} . P(s) \Rightarrow \forall x : \mathbb{N} . P(\text{Cons}(x, s)))) \\ & \Rightarrow \forall s : \text{FinSeq}_{\mathbb{N}} . P(s). \end{aligned}$$

2. Let  $\text{Nat}$  be the natural numbers defined as an inductive set in the lecture notes,  $\mathbb{B}$  be the set of boolean values `true` and `false`,  $\text{odd} : \text{Nat} \rightarrow \mathbb{B}$  be the function that maps the odd natural numbers to `true` and the even natural numbers to `false`, and  $\text{even} : \text{Nat} \rightarrow \mathbb{B}$  be the function that maps the even natural numbers to `true` and the odd natural numbers to `false`. Define `odd` and `even` simultaneously by pattern matching using “mutual recursion”.

**Solution:**

$$\begin{aligned}\text{even}(0) &= \text{true} \\ \text{even}(S(x)) &= \text{odd}(x)\end{aligned}$$

$$\begin{aligned}\text{odd}(0) &= \text{false} \\ \text{odd}(S(x)) &= \text{even}(x)\end{aligned}$$

3. Let  $\text{BinTree}$  be the inductive set and `nodes` and `ht` be the functions defined in the lecture notes. Let  $\text{leaves} : \text{BinTree} \rightarrow \mathbb{N}$  be the function that maps a binary to the number of leaf nodes in it.

- a. Define `leaves` by pattern matching and recursion.

**Solution:**

$$\begin{aligned}\text{leaves}(\text{Leaf}(n)) &= 1. \\ \text{leaves}(\text{Branch}(t_1, n, t_2)) &= \text{leaves}(t_1) + \text{leaves}(t_2).\end{aligned}$$

Assuming function `max` is defined and returns the maximum of two numbers.

$$\begin{aligned}\text{ht}(\text{Leaf}(n)) &= 0. \\ \text{ht}(\text{Branch}(t_1, n, t_2)) &= 1 + \max(\text{ht}(t_1), \text{ht}(t_2)).\end{aligned}$$

- b. Prove that, for all  $t \in \text{BinTree}$ ,

$$\text{leaves}(t) \leq 2^{\text{ht}(t)}$$

by structural induction.

**Solution:**

**Proof** Let  $P(t) \equiv \text{leaves}(t) \leq 2^{\text{ht}(t)}$  for  $t \in \text{BinTree}$ . We will prove  $P(t)$  for all  $t \in \text{BinTree}$  by structural induction.

*Base case:* Prove  $P(\text{Leaf}(n))$  where  $n \in \mathbb{N}$ .

$$\begin{aligned}
P(\text{Leaf}(n)) &\equiv \text{leaves}(\text{Leaf}(n)) \leq 2^{\text{ht}(\text{Leaf}(n))} && \langle \text{definition of } P \rangle \\
&\equiv \text{leaves}(\text{Leaf}(n)) \leq 2^0 && \langle \text{definition of ht} \rangle \\
&\equiv 1 \leq 2^0 && \langle \text{definition of leaves} \rangle \\
&\equiv 1 \leq 1 && \langle \text{arithmetic} \rangle
\end{aligned}$$

*Induction step:* Assume  $P(t_1)$  and  $P(t_2)$  hold for  $t_1, t_2 \in \text{BinTree}$ .  
We will prove  $P(\text{Branch}(t_1, n, t_2))$  where  $n \in \mathbb{N}$ . Let  $t = \text{Branch}(t_1, n, t_2)$ .

$$\begin{aligned}
P(t) &\equiv \text{leaves}(t) \leq 2^{\text{ht}(t)} && \langle \text{definition of } P \rangle \\
&\equiv \text{leaves}(t_1) + \text{leaves}(t_2) \leq 2^{\text{ht}(t)} && \langle \text{definition of leaves} \rangle \\
&\equiv 2^{\text{ht}(t_1)} + 2^{\text{ht}(t_2)} \leq 2^{\text{ht}(t)} && \langle \text{induction hypothesis} \rangle \\
&\equiv 2^{\text{ht}(t_1)} + 2^{\text{ht}(t_2)} \leq 2^{1+\max(\text{ht}(t_1), \text{ht}(t_2))} && \langle \text{definition of ht} \rangle \\
&\equiv 2^{\text{ht}(t_1)} + 2^{\text{ht}(t_2)} \leq 2 * 2^{\max(\text{ht}(t_1), \text{ht}(t_2))} && \langle \text{arithmetic} \rangle
\end{aligned}$$

□

4. Let  $\text{BinTree}$  be the inductive set defined in the lecture notes. Let  $\text{mirror} : \text{BinTree} \rightarrow \text{BinTree}$  be the function that maps a binary tree to its “mirror image”.

- a. Define  $\text{mirror}$  by pattern matching.

**Solution:**

$$\begin{aligned}
\text{mirror}(\text{Leaf}(n)) &= \text{Leaf}(n) \\
\text{mirror}(\text{Branch}(t_1, n, t_2)) &= \text{Branch}(\text{mirror}(t_2), n, \text{mirror}(t_1))
\end{aligned}$$

- b. Prove that, for all  $t \in \text{BinTree}$ ,

$$\text{mirror}(\text{mirror}(t)) = t$$

by structural induction.

**Solution:**

**Proof** Let  $P(t) \equiv \text{mirror}(\text{mirror}(t)) = t$ . We will prove that  $P(t)$  for all  $t \in \text{BinTree}$  by structural induction.

*Base case:* Prove  $P(\text{Leaf}(n))$ .

$$\begin{aligned}
P(\text{Leaf}(n)) &\equiv \text{mirror}(\text{mirror}(\text{Leaf}(n))) = \text{Leaf}(n) && \langle \text{definition of } P \rangle \\
&\equiv \text{mirror}(\text{Leaf}(n)) = \text{Leaf}(n) && \langle \text{definition of mirror} \rangle \\
&\equiv \text{Leaf}(n) = \text{Leaf}(n) && \langle \text{definition of mirror} \rangle
\end{aligned}$$

*Induction step:* Assume  $P(t_1)$  and  $P(t_2)$ . Prove  $P(\text{Branch}(t_1, n, t_2))$

To save space let  $\text{mir} \equiv \text{mirror}$ .

$$\begin{aligned}
 P(\text{Branch}(t_1, n, t_2)) &\equiv \text{mir}(\text{mir}(\text{Branch}(t_1, n, t_2))) = \text{Branch}(t_1, n, t_2) && \langle \text{definition of } P \rangle \\
 &\equiv \text{mir}(\text{Branch}(\text{mir}(t_2), n, \text{mir}(t_1))) = \text{Branch}(t_1, n, t_2) && \langle \text{definition of mirror} \rangle \\
 &\equiv \text{Branch}(\text{mir}(\text{mir}(t_1)), n, \text{mir}(\text{mir}(t_2))) = \text{Branch}(t_1, n, t_2) && \langle \text{definition of mirror} \rangle \\
 &\equiv \text{Branch}(t_1, n, t_2) = \text{Branch}(t_1, n, t_2) && \langle \text{inductive hypothesis} \rangle
 \end{aligned}$$

□

5. Let **BinTree** be the inductive set defined in the lectures. A *subtree* of  $t \in \text{BinTree}$  is  $t$  itself or a subcomponent of  $t$  that is a member of **BinTree**.

- a. Define a function **subtrees** : **BinTree**  $\rightarrow$  **set**(**BinTree**) that maps each  $t \in \text{BinTree}$  to the set of subtrees of  $t$ .

**Solution:**

$$\begin{aligned}
 \text{subtrees}(\text{Leaf}(a)) &= \{\text{Leaf}(a)\} \\
 \text{subtrees}(\text{Branch}(t_1, n, t_2)) &= \{(\text{Branch}(t_1, n, t_2))\} \cup \text{subtrees}(t_1) \cup \text{subtrees}(t_2)
 \end{aligned}$$

- b. Prove by structural induction that, if  $t \in \text{BinTree}$  contains  $n$  **Branch** nodes, then  $t$  has at most  $2n + 1$  subtrees.

**Solution:**

**Proof**

*Base case:* Prove  $P(\text{Leaf}(a))$  i.e.,  $n = 0$

$$\begin{aligned}
 P(\text{Leaf}(a)) &\equiv |\text{subtrees}(\text{Leaf}(a))| \leq 2n + 1 && \langle \text{definition of } P \rangle \\
 &\equiv |\{\text{Leaf}(a)\}| \leq 2n + 1 && \langle \text{definition of subtrees} \rangle \\
 &\equiv 1 \leq 2n + 1 && \langle \text{cardinality of set} \rangle \\
 &\equiv 1 \leq 2 * (0) + 1 && \langle n = 0 \rangle \\
 &\equiv 1 \leq 1 && \langle \text{arithmetic} \rangle
 \end{aligned}$$

*Induction step:* Assume  $Pt$  holds for all trees with  $k$  branch nodes. Prove  $Pt$  for trees with  $(k + 1)$  branch nodes. There are two scenarios:

- The tree consists of a root node and a subtree  $t_1$  that has  $k$  branch nodes.

$$\begin{aligned}
P(t) &\equiv |\text{subtrees}(t)| \leq 2(k+1) + 1 && \langle \text{definition of } P \rangle \\
&\equiv 1 + |\text{subtrees}(t_1)| \leq 2(k+1) + 1 && \langle \text{definition of subtrees and cardinality} \rangle \\
&\equiv 1 + (2k+1) \leq 2(k+1) + 1 && \langle \text{induction hypothesis} \rangle \\
&\equiv 2k+2 \leq 2k+3 && \langle \text{arithmetic} \rangle
\end{aligned}$$

- The tree consists of a root node and two subtrees  $t_1$  and  $t_2$ , with each having  $k_1$  and  $k_2$  nodes, respectively. Moreover,  $k_1+k_2 = k$  branch nodes.

$$\begin{aligned}
P(t) &\equiv |\text{subtrees}(t)| \leq 2(k+1) + 1 && \langle \text{definition of } P \rangle \\
&\equiv 1 + |\text{subtrees}(t_1)| + |\text{subtrees}(t_2)| \leq 2(k+1) + 1 && \langle \text{definition of subtrees} \rangle \\
&&& \langle \text{and set cardinality} \rangle \\
&\equiv 1 + (2k_1+1) + (2k_2+1) \leq 2(k+1) + 1 && \langle \text{induction hypothesis} \rangle \\
&\equiv 2(k_1+k_2) + 3 \leq 2(k+1) + 1 && \langle \text{arithmetic} \rangle \\
&\equiv 2k+3 \leq 2k+3 && \langle \text{addition of nodes} \rangle
\end{aligned}$$

□

6. Let  $S$  be the set of bit strings defined inductively by:

- "0"  $\in S$ .
- If  $s \in S$ , then "0" +  $s \in S$  and  $s$  + "0"  $\in S$ .
- If  $s \in S$ , then , "0" +  $s$  + "1"  $\in S$  and "1" +  $s$  + "0"  $\in S$ .

$s + t$  denotes the concatenation of  $s$  and  $t$ . Prove by structural induction that, for all strings  $s \in S$ , the number of 1s in  $s$  is less than or equal to the number of 0s in  $s$ .

**Solution:**

Let us consider the set  $S$  as the inductive type defined by the following constructors:

- 0 :  $S$
- 0-left :  $S \rightarrow S$ .
- 0-right :  $S \rightarrow S$ .
- 0-1 :  $S \rightarrow S$ .

e.  $1-0 : S \rightarrow S$ .

Define  $\text{zeros} : S \rightarrow \mathbb{N}$  and  $\text{ones} : S \rightarrow \mathbb{N}$  by pattern matching as follows:

$\text{zeros } 0 = 1.$   
 $\text{zeros } (0\text{-left } s) = 1 + \text{zeros } s.$   
 $\text{zeros } (0\text{-right } s) = 1 + \text{zeros } s.$   
 $\text{zeros } (0\text{-1 } s) = 1 + \text{zeros } s.$   
 $\text{zeros } (1\text{-0 } s) = 1 + \text{zeros } s.$   
 $\text{ones } 0 = 1.$   
 $\text{ones } (0\text{-left } s) = \text{ones } s.$   
 $\text{ones } (0\text{-right } s) = \text{ones } s.$   
 $\text{ones } (0\text{-1 } s) = 1 + \text{ones } s.$   
 $\text{ones } (1\text{-0 } s) = 1 + \text{ones } s.$

**Theorem.**  $\text{ones}(s) \leq \text{zeros}(s)$  for  $s \in S$ .

**Proof** Let  $P(s) \equiv \text{ones}(s) \leq \text{zeros}(s)$ . We will prove  $P(s)$  for all  $s \in S$  by structure induction for  $S$ .

*Base case:* Prove  $P(0)$ .

$\text{ones}(0) = 0$   $\langle \text{definition of ones} \rangle$   
 $< 1$   $\langle \text{arithmetic} \rangle$   
 $= \text{zeros}(0)$   $\langle \text{definition of zeros} \rangle$

This shows that  $P(0)$  holds.

*Induction step 1:* Assume  $P(s)$ . Prove  $P(0\text{-left}(s))$ .

$\text{ones}(0\text{-left}(s)) = \text{ones}(s)$   $\langle \text{definition of ones} \rangle$   
 $\leq \text{zeros}(s)$   $\langle \text{induction hypothesis} \rangle$   
 $< \text{zeros}(0\text{-left}(s))$   $\langle \text{definition of zeros} \rangle$

This shows that  $P(0\text{-left}(s))$  holds.

*Induction step 2:* Assume  $P(s)$ . Prove  $P(0\text{-right}(s))$ . The proof is similar to the previous case.

*Induction step 3:* Assume  $P(s)$ . Prove  $P(0\text{-1}(s))$ .

$\text{ones}(0\text{-1}(s)) = 1 + \text{ones}(s)$   $\langle \text{definition of ones} \rangle$   
 $\leq 1 + \text{zeros}(s)$   $\langle \text{induction hypothesis} \rangle$   
 $= \text{zeros}(0\text{-left}(s))$   $\langle \text{definition of zeros} \rangle$

This shows that  $P(0\text{-1}(s))$  holds.

*Induction step 4:* Assume  $P(s)$ . Prove  $P(1\text{-0}(s))$ . The proof is similar to the previous case.

□

7. Suppose  $(S_1, \leq_1)$  and  $(S_2, \leq_2)$  are weak partial orders. Prove that  $(S_1 \times S_2, \leq)$  is a weak partial order where  $(s_1, s_2) \leq (s'_1, s'_2)$  iff  $s_1 \leq_1 s'_1$  and  $s_2 \leq_2 s'_2$ .

**Solution:**

**Proof** If  $(S_1 \times S_2, \leq)$  is reflexive, antisymmetric, and transitive, then it is a weak partial order. We already know that  $(S_1, \leq_1)$  and  $(S_2, \leq_2)$  are weak partial orders so they are reflexive, antisymmetric, and transitive. Definitions for a weak partial order,  $(S, \leq)$ , are given below:

$$\begin{aligned} \forall x \in S. x &\leq x && \langle \text{reflexive} \rangle \\ \forall x, y \in S. x &\leq y \wedge y \leq x \Rightarrow x = y && \langle \text{antisymmetric} \rangle \\ \forall x, y, z \in S. x &\leq y \wedge y \leq z \Rightarrow x \leq z && \langle \text{transitive} \rangle \end{aligned}$$

We also have the given property of  $(S_1 \times S_2, \leq)$ :

$$\forall s_1 \in S_1, \forall s_2 \in S_2. (s_1, s_2) \leq (s'_1, s'_2) \iff s_1 \leq_1 s'_1 \wedge s_2 \leq_2 s'_2$$

**Reflexivity of  $(S_1 \times S_2, \leq)$ :** For any  $x_1 \in S_1$  and  $x_2 \in S_2$  we see that:

$$\begin{aligned} x_1 &\leq_1 x_1 \wedge x_2 \leq_2 x_2 && \langle S_1 \text{ and } S_2 \text{ are reflexive} \rangle \\ \iff (x_1, x_2) &\leq (x_1, x_2) && \langle \text{given property} \rangle \end{aligned}$$

Therefore  $\forall (x_1, x_2) \in S_1 \times S_2. (x_1, x_2) \leq (x_1, x_2)$ . This shows that  $(S_1 \times S_2, \leq)$  is reflexive.

**Antisymmetry of  $(S_1 \times S_2, \leq)$ :** For any  $x_1, y_1 \in S_1$  and  $x_2, y_2 \in S_2$  we see that:

$$\begin{aligned} (x_1, x_2) &\leq (y_1, y_2) \wedge (y_1, y_2) \leq (x_1, x_2) \\ \Rightarrow x_1 &\leq_1 y_1 \wedge x_2 \leq_2 y_2 \wedge y_1 \leq_1 x_1 \wedge y_2 \leq_2 x_2 && \langle \text{given property} \rangle \\ \Rightarrow x_1 &= y_1 \wedge x_2 = y_2 && \langle S_1 \text{ and } S_2 \text{ are antisymmetric} \rangle \\ \Rightarrow (x_1, x_2) &= (y_1, y_2) \end{aligned}$$

By transitivity of  $\Rightarrow$ ,  $\forall (x_1, x_2), (y_1, y_2) \in S_1 \times S_2. (x_1, x_2) \leq (y_1, y_2) \wedge (y_1, y_2) \leq (x_1, x_2) \Rightarrow (x_1, x_2) = (y_1, y_2)$ . This shows that  $(S_1 \times S_2, \leq)$  is antisymmetric.

**Transitivity of  $(S_1 \times S_2, \leq)$ :** For any  $x_1, y_1, z_1 \in S_1$  and  $x_2, y_2, z_2 \in S_2$  we see that:

$$\begin{aligned} (x_1, x_2) &\leq (y_1, y_2) \wedge (y_1, y_2) \leq (z_1, z_2) \\ \Rightarrow x_1 &\leq_1 y_1 \wedge x_2 \leq_2 y_2 \wedge y_1 \leq_1 z_1 \wedge y_2 \leq_2 z_2 && \langle \text{given property} \rangle \\ \Rightarrow x_1 &\leq_1 z_1 \wedge x_2 \leq_2 z_2 && \langle S_1 \text{ and } S_2 \text{ are transitive} \rangle \\ \Rightarrow (x_1, x_2) &\leq (z_1, z_2) && \langle \text{given property} \rangle \end{aligned}$$

By transitivity of  $\Rightarrow$ ,  $\forall (x_1, x_2), (y_1, y_2), (z_1, z_2) \in S_1 \times S_2 . (x_1, x_2) \leq (y_1, y_2) \wedge (y_1, y_2) \leq (z_1, z_2) \Rightarrow (x_1, x_2) \leq (z_1, z_2)$ . This shows that  $(S_1 \times S_2, \leq)$  is transitive.

Therefore  $(S_1 \times S_2, \leq)$  is a weak partial order.  $\square$

8. Let  $<_{\text{lex}} \subseteq (\mathbb{N} \times \mathbb{N}) \times (\mathbb{N} \times \mathbb{N})$  be lexicographical order, i.e.,

$$(x_1, y_1) <_{\text{lex}} (x_2, y_2)$$

iff  $x_1 < x_2$  or  $(x_1 = x_2 \text{ and } y_1 < y_2)$ .

a. Prove that  $(\mathbb{N} \times \mathbb{N}, <_{\text{lex}})$  is a well-order.

**Solution:**

**Proof** A well-order is a strict total order that contains no infinite descending sequences. Strict total orders are irreflexive, asymmetric, transitive, and trichotomous.

**Irreflexive:**  $\forall (x, y) \in (\mathbb{N} \times \mathbb{N}) . \neg((x, y) <_{\text{lex}} (x, y))$

Prove by contradiction: Assume  $(x, y) <_{\text{lex}} (x, y)$

$$\begin{aligned} & (x, y) <_{\text{lex}} (x, y) \\ & \quad \langle \text{definition of } <_{\text{lex}} \rangle \\ \iff & x < x \vee (x = x \wedge y < y) \\ & \quad \langle < \text{ is irreflexive: } \neg(x < x) \text{ and } \neg(y < y) \rangle \\ \iff & \text{False} \vee (x = x \wedge \text{False}) \\ & \quad \langle \text{basic logic} \rangle \\ \iff & \text{False} \end{aligned}$$

Our assumption implies false therefore the assumption was false;  
 $(\mathbb{N} \times \mathbb{N}, <_{\text{lex}})$  is irreflexive.

**Asymmetric:**  $\forall (x_1, y_1), (x_2, y_2) \in (\mathbb{N} \times \mathbb{N}) . (x_1, y_1) <_{\text{lex}} (x_2, y_2) \Rightarrow \neg((x_2, y_2) <_{\text{lex}} (x_1, y_1))$

Prove by contradiction: Assume  $\neg((x_1, y_1) <_{\text{lex}} (x_2, y_2) \Rightarrow \neg((x_2, y_2) <_{\text{lex}} (x_1, y_1)))$



$$\begin{aligned}
& \neg((x_1, y_1) <_{\text{lex}} (x_2, y_2) \Rightarrow \neg((x_2, y_2) <_{\text{lex}} (x_1, y_1))) \\
& \langle p \Rightarrow q \equiv \neg p \vee q \rangle \\
& \Longleftrightarrow \neg(\neg((x_1, y_1) <_{\text{lex}} (x_2, y_2)) \vee \neg((x_2, y_2) <_{\text{lex}} (x_1, y_1))) \\
& \langle \text{De Morgan's} \rangle \\
& \Longleftrightarrow ((x_1, y_1) <_{\text{lex}} (x_2, y_2)) \wedge ((x_2, y_2) <_{\text{lex}} (x_1, y_1)) \\
& \langle \text{definition of } <_{\text{lex}} \rangle \\
& \Longleftrightarrow (x_1 < x_2 \vee (x_1 = x_2 \wedge y_1 < y_2)) \wedge (x_2 < x_1 \vee (x_2 = x_1 \wedge y_2 < y_1)) \\
& \langle \vee \text{ and } \wedge \text{ rules to expand} \rangle \\
& \Longleftrightarrow (x_1 < x_2 \wedge x_2 < x_1) \vee (x_1 < x_2 \wedge x_2 = x_1 \wedge y_2 < y_1) \\
& \quad \vee (x_1 = x_2 \wedge y_1 < y_2 \wedge x_2 < x_1) \\
& \quad \vee (x_1 = x_2 \wedge y_1 < y_2 \wedge x_2 = x_1 \wedge y_2 < y_1) \\
& \langle < \text{ is asymmetric} \rangle \\
& \Rightarrow \text{False} \vee (x_1 < x_2 \wedge x_2 = x_1 \wedge y_2 < y_1) \\
& \quad \vee (x_1 = x_2 \wedge y_1 < y_2 \wedge x_2 < x_1) \\
& \quad \vee \text{False} \\
& \langle \text{if } x_1 = x_2, \text{ then } x_1 < x_2 \Rightarrow x_1 < x_1 \text{ (contradicting that } < \text{ is irreflexive)} \rangle \\
& \Rightarrow \text{False} \vee \text{False} \vee \text{False} \vee \text{False} \\
& \Longleftrightarrow \text{False}
\end{aligned}$$

Our assumption implies false therefore the assumption was false;  
 $(\mathbb{N} \times \mathbb{N}, <_{\text{lex}})$  is asymmetric.

**Transitive:**  $\forall (x_1, y_1), (x_2, y_2), (x_3, y_3) \in (\mathbb{N} \times \mathbb{N}) . (x_1, y_1) <_{\text{lex}} (x_2, y_2) \wedge (x_2, y_2) <_{\text{lex}} (x_3, y_3) \Rightarrow (x_1, y_1) <_{\text{lex}} (x_3, y_3)$

$$\begin{aligned}
& (x_1, y_1) <_{\text{lex}} (x_2, y_2) \wedge (x_2, y_2) <_{\text{lex}} (x_3, y_3) \\
& \langle \text{definition of } <_{\text{lex}} \rangle \\
& \iff (x_1 < x_2 \vee (x_1 = x_2 \wedge y_1 < y_2)) \wedge (x_2 < x_3 \vee (x_2 = x_3 \wedge y_2 < y_3)) \\
& \langle \vee \text{ and } \wedge \text{ rules to expand} \rangle \\
& \iff (x_1 < x_2 \wedge x_2 < x_3) \\
& \quad \vee (x_1 < x_2 \wedge x_2 = x_3 \wedge y_2 < y_3) \\
& \quad \vee (x_1 = x_2 \wedge y_1 < y_2 \wedge x_2 < x_3) \\
& \quad \vee (x_1 = x_2 \wedge y_1 < y_2 \wedge x_2 = x_3 \wedge y_2 < y_3) \\
& \langle < \text{ is transitive} \rangle \\
& \Rightarrow (x_1 < x_3) \\
& \quad \vee (x_1 < x_2 \wedge x_2 = x_3 \wedge y_2 < y_3) \\
& \quad \vee (x_1 = x_2 \wedge y_1 < y_2 \wedge x_2 < x_3) \\
& \quad \vee (x_1 = x_2 \wedge x_2 = x_3 \wedge y_1 < y_3) \\
& \langle \text{use } x_i = x_j \text{ terms to trivially rewrite} \rangle \\
& \Rightarrow (x_1 < x_3) \\
& \quad \vee (x_1 < x_3 \wedge y_2 < y_3) \\
& \quad \vee (y_1 < y_2 \wedge x_1 < x_3) \\
& \quad \vee (x_1 = x_3 \wedge y_1 < y_3) \\
& \langle A \wedge B \Rightarrow A \rangle \\
& \Rightarrow (x_1 < x_3) \\
& \quad \vee (x_1 < x_3) \\
& \quad \vee (x_1 < x_3) \\
& \quad \vee (x_1 = x_3 \wedge y_1 < y_3) \\
& \langle A \vee A \iff A \rangle \\
& \iff (x_1 < x_3) \vee (x_1 = x_3 \wedge y_1 < y_3) \\
& \langle \text{definition of } <_{\text{lex}} \rangle \\
& \iff (x_1, y_1) <_{\text{lex}} (x_3, y_3)
\end{aligned}$$

By transitivity of implication we now have:

$$(x_1, y_1) <_{\text{lex}} (x_2, y_2) \wedge (x_2, y_2) <_{\text{lex}} (x_3, y_3) \Rightarrow (x_1, y_1) <_{\text{lex}} (x_3, y_3)$$

Therefore  $(\mathbb{N} \times \mathbb{N}, <_{\text{lex}})$  is transitive.

**Trichotomous:**  $\forall (x_1, y_1), (x_2, y_2) \in (\mathbb{N} \times \mathbb{N}) . (x_1, y_1) <_{\text{lex}} (x_2, y_2) \vee (x_2, y_2) <_{\text{lex}} (x_1, y_1) \vee (x_1, y_1) = (x_2, y_2)$

$$\begin{aligned}
& (x_1, y_1) <_{\text{lex}} (x_2, y_2) \vee (x_2, y_2) <_{\text{lex}} (x_1, y_1) \vee (x_1, y_1) = (x_2, y_2) \\
& \langle \text{definition of } <_{\text{lex}} \rangle \\
& \iff (x_1 < x_2 \vee (x_1 = x_2 \wedge y_1 < y_2)) \\
& \quad \vee (x_2 < x_1 \vee (x_2 = x_1 \wedge y_2 < y_1)) \\
& \quad \vee (x_1, y_1) = (x_2, y_2) \\
& \langle \text{expanding the } = \rangle \\
& \iff (x_1 < x_2 \vee (x_1 = x_2 \wedge y_1 < y_2)) \\
& \quad \vee (x_2 < x_1 \vee (x_2 = x_1 \wedge y_2 < y_1)) \\
& \quad \vee (x_1 = x_2 \wedge y_1 = y_2) \\
& \langle \text{rearranging terms} \rangle \\
& \iff x_1 < x_2 \vee x_2 < x_1 \\
& \quad \vee (x_1 = x_2 \wedge y_1 < y_2) \\
& \quad \vee (x_2 = x_1 \wedge y_2 < y_1) \\
& \quad \vee (x_1 = x_2 \wedge y_1 = y_2) \\
& \langle (A \wedge B) \vee (A \wedge C) \iff A \wedge (B \vee C) \rangle \\
& \iff x_1 < x_2 \vee x_2 < x_1 \\
& \quad \vee (x_1 = x_2 \wedge (y_1 < y_2 \vee y_2 < y_1 \vee y_1 = y_2)) \\
& \langle < \text{ is trichotomous} \rangle \\
& \iff x_1 < x_2 \vee x_2 < x_1 \\
& \quad \vee (x_1 = x_2 \wedge \text{True}) \\
& \langle \text{reducing} \rangle \\
& \iff x_1 < x_2 \vee x_2 < x_1 \vee x_1 = x_2 \\
& \langle < \text{ is trichotomous} \rangle \\
& \iff \text{True}
\end{aligned}$$

Looking at the above in reverse we see that true implies  $(x_1, y_1) <_{\text{lex}} (x_2, y_2) \vee (x_2, y_2) <_{\text{lex}} (x_1, y_1) \vee (x_1, y_1) = (x_2, y_2)$ . Therefore  $(\mathbb{N} \times \mathbb{N}, <_{\text{lex}})$  is trichotomous.

### Moving on...

Next, we will prove by contradiction that  $(\mathbb{N} \times \mathbb{N}, <_{\text{lex}})$  contains no infinite descending sequences. Assume there is an infinite descending sequence:

$$\dots <_{\text{lex}} (x_2, y_2) <_{\text{lex}} (x_1, y_1) <_{\text{lex}} (x_0, y_0)$$

We observe two cases:

- i. There is an infinite number of distinct  $x_i$ .

This contradicts that  $(\mathbb{N}, <)$  is a well-order as the sequence of distinct  $x_i$  would form an infinite descending sequence.

ii. There is a finite number of distinct  $x_i$ .

Then  $\exists k. \forall i, i \geq k \Rightarrow x_i = x_{i+1}$ . But then the sequence of  $y_i$  for  $i \geq k$  would form an infinite descending sequence which again contradicts that  $(\mathbb{N}, <)$  is a well-order.

Therefore  $(\mathbb{N} \times \mathbb{N}, <_{\text{lex}})$  has no infinite descending sequence.  $\square$

b. Write the ordinal induction principle for  $(\mathbb{N} \times \mathbb{N}, <_{\text{lex}})$ .

**Solution:**

**Proof** The ordinal induction principle for  $(\mathbb{N} \times \mathbb{N}, <_{\text{lex}})$  is:

$$\begin{aligned} \forall (x, y) \in \mathbb{N} \times \mathbb{N} . \\ ((\forall (x', y') \in \mathbb{N} \times \mathbb{N} . (x', y') <_{\text{lex}} (x, y) \Rightarrow P(x', y')) \\ \Rightarrow P(x, y)) \end{aligned}$$

for any property  $P$  of  $\mathbb{N} \times \mathbb{N}$ .  $\square$

c. Prove by the ordinal induction principle for  $(\mathbb{N} \times \mathbb{N}, <_{\text{lex}})$  that the version of the Ackermann function presented in the lecture notes is defined on all members of  $\mathbb{N} \times \mathbb{N}$ .

**Solution:**

**Proof** Let  $A : \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}$  be the Ackermann function defined by:

- $A(0, n) = n + 1$
- $A(m, 0) = A(m - 1, 1), \text{ if } m > 0$
- $A(m, n) = A(m - 1, A(m, n - 1)), \text{ if } m, n > 0$

Let  $P(m, n)$  hold iff  $A(m, n)$  exists.

Base Case:  $(m, n) = (0, 0)$

$$A(0, 0) = 0 + 1 = 1$$

Therefore  $A(0, 0)$  is defined and  $P(0, 0)$  holds.

Inductive Step:  $(0, 0) <_{\text{lex}} (m, n)$ . Assume  $P(m', n')$  for all  $(m', n') <_{\text{lex}} (m, n)$ . Prove  $P(m, n)$ .

We have three cases for the inductive step:

i.  $m = 0$

$$A(0, n) = n + 1$$

Therefore  $A(0, n)$  is defined.

ii.  $m \neq 0$  ,  $n = 0$

$$A(m, 0) = A(m - 1, 1)$$

Since  $(m - 1, 1) <_{\text{lex}} (m, 0)$ ,  $A(m - 1, 1)$  is defined by the induction hypothesis.

Therefore  $A(m, 0)$  is defined.

iii.  $m \neq 0$  ,  $n \neq 0$

$$A(m, n) = A(m - 1, A(m, n - 1))$$

Since:  $A(m, n - 1) <_{\text{lex}} A(m, n)$

Therefore, by the induction hypothesis,  $A(m, n - 1)$  is defined.

Also:  $A(m - 1, A(m, n - 1)) <_{\text{lex}} A(m, n)$

Therefore, by the induction hypothesis,  $A(m - 1, A(m, n - 1))$  is defined.

Therefore  $A(m, n)$  is defined for  $m, n \neq 0$ .

In each case we found that  $A(m, n)$  was defined, therefore  $P(m, n)$  holds given the inductive hypothesis.

Therefore  $A(m, n)$  is defined on all members of  $\mathbb{N} \times \mathbb{N}$ .

□

9. Let  $(S, <)$  be a partial order such that  $S$  is finite. Prove that  $(S, <)$  is well-founded.

**Solution:**

**Proof**  $(S, <)$  is a strict partial order and is therefore irreflexive ( $\neg x < x$ ), and transitive ( $x < y \wedge y < z \Rightarrow x < z$ ). For this question we won't need the asymmetry of strict partial orders.

We know that  $(S, <)$  is well-founded iff  $(S, <)$  is Noetherian iff every descending  $<$ -sequence of members of  $S$  is finite.

We proceed by contradiction. Assume there exists an infinite descending  $<$ -sequence:

$$\dots < x_2 < x_1 < x_0$$

Choose  $n$  such that  $x_n = x_i$  for some  $i < n$ . We know that such an  $n$  exists because this is an infinite sequence of members of  $S$ , but there is only a finite number of members of  $S$ .

Trivially, with transitivity, we can see that for any member of the sequence,  $a$ , and every earlier member in the sequence,  $b$ :  $a < b$  (i.e. the  $n_{th}$  member of the sequence is less than all earlier members).

Thus  $x_n < x_i$ , and since  $x_n = x_i$ , this means that  $x_n < x_n$ , but then  $(S, <)$  is not irreflexive and is not a strict partial order. Therefore by contradiction, there is no infinite descending  $<$ -sequence, every descending  $<$ -sequence of members of  $S$  is finite, and  $(S, <)$  is well-founded. □

10. Let  $(\mathbb{N}, R_{\text{suc}})$  be the mathematical structure where

$$m R_{\text{suc}} n \text{ iff } n = m + 1.$$

Prove that  $(\mathbb{N}, R_{\text{suc}})$  is well-founded.

**Solution:**

**Proof** As in question 8 we will prove by contradiction by assuming there exists an infinite descending  $R_{\text{suc}}$ -sequence:

$$\dots R_{\text{suc}} x_2 R_{\text{suc}} x_1 R_{\text{suc}} x_0$$

Because  $m R_{\text{suc}} n \iff n = m + 1$ , we can rewrite the sequence as:

$$\dots R_{\text{suc}} x_0 - (x_0 + 1) R_{\text{suc}} x_0 - x_0 \dots R_{\text{suc}} x_0 - 2 R_{\text{suc}} x_0 - 1 R_{\text{suc}} x_0$$

But here we see that one of the members of the sequence is  $x_0 - (x_0 + 1) = -1$  and  $-1 \notin \mathbb{N}$  so the sequence cannot continue. Therefore, by contradiction,  $(\mathbb{N}, R_{\text{suc}})$  is well-founded.

□

11. The Ackermann function was originally defined as the ternary function  $B : \mathbb{N} \times \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}$  such that:

- a.  $B(m, n, 0) = m + n$ .
- b.  $B(m, 0, 1) = 0$ .
- c.  $B(m, 0, 2) = 1$ .
- d.  $B(m, 0, p) = m$  for  $p > 2$ .
- e.  $B(m, n, p) = B(m, B(m, n - 1, p), p - 1)$  for  $n > 0$  and  $p > 0$ .

Prove that  $B$  is defined on all members of  $\mathbb{N} \times \mathbb{N} \times \mathbb{N}$  using well-founded induction.

**Solution:**

**Proof** Let  $U = \mathbb{N} \times \mathbb{N} \times \mathbb{N}$  and  $R \subseteq U$  be the relation defined by

$$(m, n, p) R (m', n', p') \text{ iff } (1) p' < p \text{ or } (2) p' = p \text{ and } n' < n.$$

Every nonempty subset of  $U$  has an  $R$ -minimal element since  $(\mathbb{N}, <)$  is a well-order; hence  $(U, R)$  is well founded. Let  $P(m, n, p)$  mean that  $B(m, n, p)$  is defined. We will prove that  $P(m, n, p)$  holds for all  $(m, n, p) \in U$  by the well-founded induction principle for  $(U, R)$ :

$$\begin{aligned} & (\forall (m, n, p) \in U . \\ & \quad (\forall (m', n', p') \in U . (m', n', p') R (m, n, p) \Rightarrow P(m', n', p')) \\ & \quad \Rightarrow P(m, n, p)) \\ & \Rightarrow \forall (m, n, p) \in U . P(m, n, p). \end{aligned}$$

Let  $(m, n, p) \in U$ . Assume that, for all  $(m', n', p') \in U$  with

$$(m', n', p') R (m, n, p),$$

$P(m', n', p')$  holds. We must show that  $P(m, n, p)$  holds.

*Case 1:*  $n = 0$  or  $p = 0$ . Then  $B(m, n, p)$  is clearly defined and thus  $P(m, n, p)$  holds.

*Case 2:*  $n > 0$  and  $p > 0$ . Then  $B(m, n - 1, p)$  is defined by  $P(m, n - 1, p)$  since  $(m, n - 1, p) R (m, n, p)$  and  $B(m, B(m, n - 1, p), p - 1)$  is defined by  $P(m, B(m, n - 1, p), p - 1)$  since  $(m, B(m, n - 1, p), p - 1) R (m, n, p)$ . Then  $B(m, n, p)$  is clearly defined and thus  $P(m, n, p)$  holds.

Therefore,  $B$  is total.  $\square$