

CS 101, Assignment 3

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April 2016

1 Question 1

1.1

$$T(n) \leq 7T(\frac{n}{3}) + n^2, T(3) \leq 1$$

If we draw a recursion tree we find that there are 7^i nodes at depth i , and input size is $\frac{n}{3^i}$. Therefore the total cost of $T(n)$ at depth i is $c \cdot 7^i \cdot (\frac{n}{3^i})^2$ or $c \cdot (\frac{7}{9})^i \cdot n^2$. For finding the total cost of $T(n)$ we solve

$$\begin{aligned} \sum_{i=0}^{maxdepth} c \cdot (\frac{7}{9})^i \cdot n^2 &= cn^2 \sum_{i=0}^{maxdepth} (\frac{7}{9})^i \\ &\leq cn^2 \sum_{i=0}^{\infty} (\frac{7}{9})^i = O(n^2) \end{aligned}$$

1.2

$$T(n) \leq 7T(\frac{n}{3}) + n, T(3) \leq 1$$

If we apply the master theorem where $a = 7, b = 3, c = 1$, then $\log_3 7 \approx 1.77 > 1$. Therefore by the master theorem $T(n) = O(n^{\log_3 7})$

1.3

$$T(n) \leq 7T(\frac{n}{3}) + 1 \text{ or } T(n) \leq 7T(\frac{n}{3}) + n^0, T(3) \leq 1$$

If we apply the master theorem where $a = 7, b = 3, c = 0$, then $\log_3 7 \approx 1.77 > 0$. Therefore by the master theorem $T(n) = O(n^{\log_3 7})$

1.4 Definition of Master Theorem

If $T(n) = aT(n/b) + n^c$ for constants $a > 0, b > 1, c \geq 0$, then

1. $T(n) = O(n^c)$ if $\log_b a < c$
2. $T(n) = O(n^c \log n)$ if $\log_b a = c$
3. $T(n) = O(n^{\log_b a})$ if $\log_b a > c$

2 Question 2

$T(n) \leq 2T(\frac{n}{2}) + c\sqrt{n}$ with $T(1) = 1$

Prove $T(n) = O(n)$

We will therefore need to prove that

$$T(n) \leq 4(n - \sqrt{n})$$

for some $n \geq n_0$

Choose $n_0 = 2$

- **Base case:** $T(2) \leq 2T(\frac{2}{2}) + \sqrt{2}$
 $\leq 2 + \sqrt{2}$
 $4x(2 - \sqrt{2}) = 8 - \sqrt{2} \geq T(2)$
- **Induction:** $T(n) \leq 2T(\frac{n}{2}) + \sqrt{n}$
 $\leq 2(4(\frac{n}{2} - \sqrt{\frac{n}{2}})) + \sqrt{n}$
 $= 2(2n - 4\sqrt{\frac{n}{2}}) + \sqrt{n}$
 $= 2(2n - \sqrt{\frac{16n}{2}}) + \sqrt{n}$
 $= 2(2n - \sqrt{8n}) + \sqrt{n}$
 $= 2(2n - 2\sqrt{2n}) + \sqrt{n}$
 $= 4n - 4\sqrt{2n} + \sqrt{n}$
 $\leq 4(n - \sqrt{n})$

By proof by induction, $T(n) = O(n)$ ■

3 Question 3

3.1 Pseudocode

Count-Inversions(A)

```
1   $n = A.length$ 
2  if  $n == 1$ , return 0
3   $count = 0$ 
4   $L = A[1..n/2]$ 
5   $R = A[(n/2 + 1)..n]$ 
6   $L_{count} = \text{Count-Inversions}(L)$ 
7   $R_{count} = \text{Count-Inversions}(R)$ 
8   $L[m + 1] = R[m + 1] = \infty$ 
9   $i = j = 1$ 
10 while  $L[i] < \infty$  or  $R[j] < \infty$ 
11     if  $L[i] > R[j]$ 
12         if  $L[i] \neq \infty$ ,  $count = count + 1$ 
13          $i = i + 1$ 
14     else  $j = j + 1$ 
15 return  $count + L_{count} + R_{count}$ 
```

3.2 Time-Complexity Analysis

In each recurrence of Count-Inversion, it runs itself 2 more time on sizes $\frac{n}{2}$. All additional run time (including the while loop) is in $\theta(n)$, so its at most cn . Therefore the recurrence relation for Count-Inversion is

$$T(n) \leq 2T(n/2) + cn$$

$$T(1) \leq 1$$

Lets guess $T(n) = O(n \log_2 n)$

We will therefore need to prove that

$$T(n) \leq c' n \log_2 n$$

for some constant $c' > 0$ and $n \geq n_0$.

Choose $c = c'$ and $n_0 = 2$.

- **Base case:** $T(2) \leq 2T(1) + cn$
 $\quad \quad \quad = 2 + c$
 $\quad \quad \quad c \cdot 2 \cdot \log_2 2 = 2c \geq T(2)$
- **Induction:** $T(n) \leq 2T(n/2) + cn$
 $\quad \quad \quad \leq 2c(n/2) \log_2(n/2) + cn$
 $\quad \quad \quad = cn \log_2(n/2) + cn$
 $\quad \quad \quad = cn(\log_2 n - 1) + cn$
 $\quad \quad \quad = cn \log_2 n$

Therefore by proof by induction, the time complexity of Count-Inversion, $T(n) = O(n \log n)$ ■

4 Question 4

4.1 Pseudocode

Kth-Smallest(A, k)

```
1  piv =  $A[1]$ 
2  // Partition  $A$  around the pivot and return the position of pivot
3  pos = Partition( $A$ )
4  if pos ==  $k$ , return piv
5  else if pos >  $k$ , return Kth-Smallest( $A[1..(pos - 1)], k$ )
6  else if pos <  $k$ , return Kth-Smallest( $A[(pos + 1)..n], k - pos$ )
```

Partition(A)

```
1   $n = A.length$ 
2  piv =  $A[1]$ 
2   $i = 1$ 
3   $j = n + 1$ 
4  while (True)
5      do  $i = i + 1$  while  $A[i] < piv$ 
6      do  $j = j - 1$  while  $A[j] > piv$ 
7      if  $i \geq j$ , break
8      Swap  $A[i]$  and  $A[j]$ 
10 Swap  $A[1]$  and  $A[j]$ 
11 return  $j$ 
```

4.2 Worst-Case Time Complexity

In the worst case that the position of the pivot after partitioning is always less than k , the recurrence of Kth-Smallest would run itself once on a size $n-1$. All additional run time (including the partitioning step) is in $\theta(n)$, so its at most cn . Therefor the recurrence relation for Kth-Smallest is

$$T(n) \leq T(n - 1) + cn$$

This is the exact recurrence relation of Quick-Sort (which run time was solved in class) so we can conclude that Kth-Smallest, $T(n) = O(n^2)$

4.3 Randomized Pivot

Because we only care about the k th smallest element we are only running recurrences in the event that $pivot < k$ or $pivot > k$. Each element in array A has the possibility to be the pivot with the chance of $1/n$. Let s be size of each best case recursion. From this we get the recurrence relation

$$T(n) \leq \frac{1}{n} \left[\sum_{s=0}^{n-1} T(s) \right] + cn$$

$$T(0) = 0, T(1) = c$$

Lets guess that $T(n) = O(n)$

We need to prove that $T(n) \leq 2cn$ for $n \geq n_0$

Choose $c = c$ and $n_0 = 2$

- **Base case:** $T(2) \leq \frac{1}{2} \sum_{s=0}^1 T(s) + 2c$
 $= \frac{1}{2} \cdot (T(1) + T(0)) + 2c$
 $= \frac{c}{2} + 2c = \frac{5c}{2}$
 $c \cdot 2 \cdot 2 = 4c \geq T(2)$
- **Induction:** Assume $T(s) \leq 2cs$ for all $i \leq n - 1$.
 $T(n) \leq \frac{1}{n} \sum_{s=0}^{n-1} T(s) + cn$
 $\leq \frac{1}{n} \sum_{s=0}^{n-1} 2cs + cn$
 $= \frac{2c}{n} \sum_{s=0}^{n-1} s + cn$
 $= \frac{2c}{n} \cdot \frac{n(n-1)}{2} + cn$
 $= c(n-1) + cn$
 $= cn - c + cn = 2cn - c$
 $\leq 2cn$

Therefore by proof by induction, the time complexity of Kth-Smallest is $T(n) = O(n)$ ■

5 Question 5

The elements must be distinct because partitions of equal size will be confused. In the even that there are many of the same elements, how do you decide which is k smallest? Would you consider each to be k or would you completely skip over all recurrences of the same element? A change that could be made to our original algorithm actually run a different form of recursion so that the entire array is sorted, then simply find the kth smallest element regardless of non-distinct elements. The time complexity should be similar if not identical to QuickSort.