

# CS 101, Assignment 1

Christopher Huynh, Oliver Rene

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## 1 Question 1

### 1.1

```
Let n = A.length
For i = 1 to n:
    For j = 0 to n-1:
        if A[j] ≥ A[j+1]:
            Swap A[j] and A[j+1]
```

### 1.2

Bubble Sort runs  $\frac{n(n+1)}{2}$  times

Prove  $\frac{n(n+1)}{2} = \theta(n^2)$

Need to show that there exists  $c_1$ ,  $c_2$ , and  $n_0$  such that

$$0 \leq c_1 \cdot n^2 \leq \frac{n(n+1)}{2} \leq c_2 \cdot n^2 \text{ for all } n \geq n_0$$

Let  $c_1 = \frac{1}{2}$  and  $c_2 = 1$  and  $n_0 = 1$

Therefore,  $\frac{n(n+1)}{2} = \theta(n^2)$

Bubble Sort time complexity is  $\theta(n^2)$

### 1.3

**Loop invariant:** At the end of each iteration of  $i$  of the for loop, the subarray  $A[(n-i)..n]$  consists of the largest  $i$  elements originally in  $A[1..n]$  except in sorted order.

**Base case/Initialization:**  $i=1$ , algorithm does nothing in the first loop.  $A[1]$  is trivially in sorted order.

**Induction/Maintenance:** Set  $i > 2$ . Assume loop invariant is true for  $i-1$ . Thus, at the end of the  $(i-1)$  loop (which is the beginning of the  $i$ th loop),  $A[(n-(i-1))..n]$  is the sorted version of the largest  $(i-1)$  elements originally in  $A[1..n]$ .

Observe that the index  $j$  tracks the position of the  $i$ th largest number. The  $j$  for loop effectively pushes the  $i$ th largest number to the  $A[n-i]$  position of the sorted array  $A[n-(i-1)..n]$ . So  $A[(n-i)..n]$  is sorted. ■

## 2 Question 2

**Hypothesis:** Let  $n \in \mathbb{N}$ . For a set of  $n$  elements, there are  $2^{n-1}$  subsets that have an odd number of elements.

**Base case:**  $n=1$ . set is 1. There should be  $2^{(1)-1}=1$  subsets. There is only one subset of 1 which with an odd number of elements which is 1

**Inductive Hypothesis:** Let  $k \in \mathbb{N}$ . Let  $P(k) = 2^{k-1}$  be the statement that a set of  $k$  elements has  $2^{k-1}$  subsets that have an odd number of elements.

**Inductive Step:** We need to prove that  $P(k+1) = 2^{(k+1)-1}$  or simply  $P(k+1) = 2^k$ . Let there be a set  $A$  and an element  $a$  that is in set  $A$ . Now let  $A' = A - \{a\}$ , therefore  $A'$  has  $k$  elements. Lets split the subsets of  $A$  into two groups. Group  $X$  has subsets that do not have element  $a$  and Group  $Y$  has subsets that do have element  $a$ .

The subsets in Group  $A$  are exactly the subsets of  $A'$ . Because  $A'$  has  $k$  elements, the statement  $P(k)$  is true for  $A'$ . This means that Group  $A$  contains  $2^{k-1}$  subsets that have an odd number of elements. Now, let there be a set  $B = A' + \{a\} - \{\}$ . The subsets in Group  $B$  are exactly the subsets of  $B$ . Because the empty set  $\{\}$  does not have element  $a$ , the empty set is removed from  $A'$ . Because an element is removed but the element  $a$  is included in its place, the total elements of set  $B$  is  $k$ . This means that Group  $B$  contains  $2^{k-1}$  subsets that have an odd number of elements.

If we combine Group  $A$  and Group  $B$ , there are  $2^{k-1} + 2^{k-1} = 2^k$  subsets that have an odd number of elements. Therefore, the statement  $P(k+1) = 2^k$  is true, completing the inductive step. ■

## 3 Question 3

Let  $f(n) = a_0 + a_1 n + a_2 n^2 + \dots + a_k n^k$  be a degree- $k$  polynomial, where every  $a_i > 0$ .

### 3.1

Show that  $f(n) \in \theta(n^k)$ .

We have the definition that  $f(n) = \theta(n^k) \implies c_1 \leq \lim_{n \rightarrow \infty} \frac{f(n)}{n^k} \leq c_2$ , where  $c_1$  and  $c_2$  are positive non-zero constants.

If we find  $\lim_{n \rightarrow \infty} \frac{f(n)}{n^k}$ , we get  $\lim_{n \rightarrow \infty} \frac{f(n)}{n^k} = \lim_{n \rightarrow \infty} \frac{a^k n^k}{n^k} = a^k$ . Therefore, we can find some  $c_1$  and  $c_2$  such that  $c_1 \leq a^k \leq c_2$ .

Therefore,  $f(n) \in \theta(n^k)$ .

### 3.2

Show that  $f(n) \notin O(n^{k'})$ , for all  $k' < k$ .

Lets say that  $f(n) \in O(n^{k'})$ , for all  $k' < k$ .

We have the defintion that  $f(n) = O(n^{k'}) \implies \lim_{n \rightarrow \infty} \frac{f(n)}{n^{k'}} \leq c_1$ , where  $c_1$  is a positive non-zero constant.

If we find  $\lim_{n \rightarrow \infty} \frac{f(n)}{n^{k'}}$ , we get  $\lim_{n \rightarrow \infty} \frac{f(n)}{n^{k'}} = \lim_{n \rightarrow \infty} \frac{n^k}{n^{k'}} = \lim_{n \rightarrow \infty} n^{k-k'} = \infty$ .  
 Because  $\lim_{n \rightarrow \infty} \frac{f(n)}{n^{k'}} = \infty$ , then there exists no possible  $c_1$  such that  
 $\lim_{n \rightarrow \infty} \frac{f(n)}{n^{k'}} \leq c_1$ .  
 Therefore, by proof by contradiction,  $f(n) \notin O(n^{k'})$ , for all  $k' < k$ .

## 4 Question 4

### 4.1

Prove  $\log_2 n = O(\sqrt{n})$

Need to show that there exists  $c_1$  and  $n_0$  such that

$$0 \leq \log_2 n \leq c_1 \cdot \sqrt{n}, \text{ for all } n \geq n_0$$

Let  $c_1=1$  and  $n_0 = 16$ .

Therefore,  $\log_2 n = O(\sqrt{n})$ .

### 4.2

Show that  $\log_2 n \notin \Omega(\sqrt{n})$ .

Lets say  $\log_2 n \in \Omega(\sqrt{n})$ .

We have the definition that  $\log_2 n = \Omega(\sqrt{n}) \implies \lim_{n \rightarrow \infty} \frac{\log_2 n}{\sqrt{n}} \geq c_1$ , where  $c_1$  is a positive non-zero constant.

If we find  $\lim_{n \rightarrow \infty} \frac{\log_2 n}{\sqrt{n}} = 0$ , then we get  $\lim_{n \rightarrow \infty} \frac{\log_2 n}{\sqrt{n}} = 0$ .

Because  $\lim_{n \rightarrow \infty} \frac{\log_2 n}{\sqrt{n}} = 0$ , we cannot find any positive non-zero  $c_1$ , such that  
 $\lim_{n \rightarrow \infty} \frac{\log_2 n}{\sqrt{n}} \geq c_1$ .

Therefore, by proof of contradiction,  $\log_2 n \notin \Omega(\sqrt{n})$ .

## 5 Question 5

Suppose the input array  $A$  is in sorted order, *except* for  $k$  elements. In other words, there are  $n - k$  elements of  $A$  that are already in sorted order, and the remaining  $k$  elements are out of order.

Prove that Insertion-Sort on  $A$  runs in  $O(nk)$  time.

The pseudocode of Insertion-Sort is as follows:

```

n = A.length
for i = 1 to n
    j = i
    while j > 1 and A[j - 1] > A[j]
        Swap A[j - 1] and A[j]
    j = j - 1

```

When Insertion-Sort is performed on  $A$ , Insertoin-Sort from  $A[1..(n - k)]$  runs  $n - k$  times because the while loop is never entered. Insertion-Sort from  $A[(n - k)..n]$  then runs at most  $\frac{k(n-1)}{2}$  times. If we add these two run times together,

we get  $n + \frac{k(n-1)}{2} = \frac{2n+nk-k}{2}$ . Therefore, Insertion-Sort on  $A$  runs at most  $\frac{2n+nk-k}{2}$  times. Now to prove that Insertion-Sort on  $A$  runs in  $O(nk)$  time, we need to show that  $\lim_{n \rightarrow \infty} \frac{\frac{2n+nk-k}{2}}{nk} \leq c$ , where  $c$  is a positive non-zero constant. If we find  $\lim_{n \rightarrow \infty} \frac{\frac{2n+nk-k}{2}}{nk}$  we get  $\lim_{n \rightarrow \infty} \frac{\frac{2n+nk-k}{2}}{nk} = \lim_{n \rightarrow \infty} \frac{2n+nk-k}{2nk} = \lim_{n \rightarrow \infty} \frac{n(2+k)-k}{2nk} = \lim_{n \rightarrow \infty} \frac{n(2+k)}{2nk} = \frac{2+k}{2k}$ . Because  $\lim_{n \rightarrow \infty} \frac{\frac{2n+nk-k}{2}}{nk} = \frac{2+k}{2k}$ , we can find a  $c$  so that  $\lim_{n \rightarrow \infty} \frac{\frac{2n+nk-k}{2}}{nk} \leq c$ . Therefore,  $\frac{2n+nk-k}{2} = O(nk)$ . Therefore, Insertion-Sort on  $A$  runs in  $O(nk)$  time.