Molecular diffusion of stable water isotopes in polar firn as a proxy for past temperatures

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8 Abstract

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Polar precipitation archived in ice caps contains information on past temperature conditions. Such information can be retrieved by measuring the water isotopic signals of $\delta^{18}O$ and δD in ice cores. These signals have been attenuated during densification due to molecular diffusion in the firn column, where the magnitude of the diffusion is isotopologoue specific and temperature dependent. By utilizing the differential diffusion signal, dual isotope measurements of δ^{18} O and δ D enable multiple temperature reconstruction techniques. This study assesses how well six different methods can be used to reconstruct past surface temperatures from the diffusion-based temperature proxies. Two of the methods are based on the single diffusion lengths of $\delta^{18}O$ and δD , three of the methods employ the differential diffusion signal, while the last uses the ratio between the single diffusion lengths. All techniques are tested on synthetic data in order to evaluate their accuracy and precision. In addition, a benchmark test is applied to thirteen high resolution data sets from Greenland and Antarctica, which represent a broad range of mean annual surface temperatures and accumulation rates. The presented methods are found to accurately reconstruct the temperatures of the synthetic data, and the estimated temperatures are shown to be unbiased. Both the benchmark test and the synthetic data test demonstrate that the most precise reconstructions are obtained when using the single isotope diffusion lengths, with precisions around 0.5 °C. In the benchmark test, the single isotope diffusion lengths are also found to reconstruct consistent temperatures with a rootmean-square-deviation of 0.7 °C. The techniques employing the differential diffusion signals are more uncertain, where the most precise method has a precision of 1.5 °C. The diffusion length ratio method is the least precise with a precision of 11.8 °C. The absolute temperature estimates from this method are also shown to be highly sensitive to the choice of fractionation factor parameterization. From the combined analyses of the synthetic data and ice core data, this study demonstrates that methods based on the single isotope diffusion lengths result in the most accurate and precise estimates of past temperatures.

31 Keywords

Holocene, paleoclimatology, ice cores, isotope geochemistry, stable isotopes, diffusion, Greenland, Antarctica.

1 Introduction

Polar precipitation stored for thousands of years in the ice caps of Greenland and Antarctica contains invaluable information on past climatic conditions. The isotopic composition of polar ice commonly expressed through the δ notation has been shown to work as a direct proxy of the relative depletion of a water vapor mass in its journey from the evaporation site to the place where condensation takes place (Epstein et al. 1951, Mook 2000). Additionally, for modern times, it shows a good correlation with the temperature of the cloud at the time of precipitation (Dansgaard 1954, 1964) and as a result it has been proposed and used as a proxy of past temperatures (Jouzel & Merlivat 1984, Jouzel et al. 1997, Johnsen et al. 2001).

The use of the isotopic paleothermometer presents however some notable limitations. The linear relationship between δ^{18} O and temperature commonly referred to as the "spatial slope" may hold for present conditions. However previous studies based on more physical principles as the borehole temperature reconstruction (Cuffey et al. 1994, Johnsen et al. 1995) as well as the thermal fractionation of the δ^{15} N signal in polar firn (Schwander et al. 1988, Severinghaus et al. 1998, Severinghaus & Brook 1999) have independently underlined the inaccuracy of the spatial isotope slope when it is extrapolated to past climatic conditions. Even though qualitatively the δ^{18} O signal comprises past temperature information, it fails to provide a quantitative picture on the magnitudes of past climatic changes.

In a seminal paper in 2000, Johnsen et al. (2000) set the foundations for the quantitative description of the diffusive processes the water isotopic signal undergoes in the porous firn layer from the time of deposition until pore close–off. Even though the main purpose of that work was to investigate how to reconstruct the part of the signal that was attenuated during the diffusive processes, the authors make a clear reference to the possibility of using the assessment of the diffusive rates as a proxy for past firn temperatures. The temperature reconstruction method based on isotope firn diffusion requires data of high resolution. More specifically, if one would like to look into the differential diffusion signal, datasets of both δ^{18} O and δ D are required. Such data sets have until recently not been easy to obtain especially due to the challenging nature of the δ D analysis (Bigeleisen et al. 1952, Vaughn et al. 1998). Nowadays, with the advent of commercial high–accuracy, high–precision Infra-Red spectrometers (Crosson 2008, Brand et al. 2009), simultaneous measurements of δ^{18} O and δ D have become easier to obtain. Coupling of these instruments to Continuous Flow Analysis systems (Gkinis et al. 2011, Emanuelsson et al. 2015)

can also result in measurements of ultra-high resolution, a necessary condition for accurate temperature reconstructions based on water isotope diffusion.

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A number of existing works have presented past firn temperature reconstructions based on water isotope diffusion. Simonsen et al. (2011) and Gkinis et al. (2014) used high resolution isotopic datasets from the NorthGRIP ice core (NGRIP members 2004). The first study makes use of the differential diffusion signal, utilizing spectral estimates of high-resolution dual δ^{18} O and δ D datasets covering the GS-1 and GI-1 periods in the NorthGRIP ice core (Rasmussen et al. 2014). The second study presents a combined temperature and accumulation history of the past 16,000 years based on the power spectral density (**PSD** hereafter) signals of high resolution δ^{18} O measurements of the NorthGRIP ice core. More recently, van der Wel et al. (2015) introduced a slightly different approach for reconstructing the differential diffusion signal and testing it on dual δ^{18} O, δ D high resolution data from the EDML ice core (Oerter et al. 2004). By artificially forward-diffusing the δ D signal the authors estimate differential diffusion rates by maximizing the correlation between the δ^{18} O and δ D signal.

In this work we attempt to look into all different flavors of temperature diffusion reconstruction techniques and assess their performance. We use synthetic, as well as real ice core data sets that represent Holocene conditions from a variety of drilling sites on Greenland and Antarctica. Our objective is to use data sections that originate from parts of the core as close to present day as possible. By doing this we aim to minimize possible uncertainties and biases in the ice flow thinning adjustment that is required for temperature interpretation of the diffusion rate estimates. Such a bias has been shown to exist for the NorthGRIP ice core (Gkinis et al. 2014), most likely due to the Dansgaard & Johnsen (1969) ice flow model overestimating the past accumulation rates for the site. For some cases however this was not possible and approximately half of the datasets used here have an age at approximately around Holocene Climate Optimum (HCO hereafter). Another interesting aspect of this study is that it uses water isotopic data sets of δ^{18} O and δ D measured using different analytical techniques, namely Isotope Ratio Mass Spectroscopy (IRMS hereafter) as well as Cavity Ring Down Spectroscopy (CRDS hereafter). Two of the data sets presented here were obtained using Continuous Flow Analysis (CFA hereafter) systems tailored for water isotopic analysis (Gkinis et al. 2011). All data sections are characterized by a very high sampling resolution typically of 5 cm or better.

2 DIFFUSION OF WATER ISOTOPE SIGNALS IN FIRN

The main focus of this section is to outline the various temperature reconstruction techniques that can possibly be employed for paleotemperature reconstructions. The fundamentals of isotope diffusion theory are also presented. In order to avoid significant overlap with previously published works that have dealt

with the matter e.g. Johnsen (1977), Johnsen et al. (2000), Simonsen et al. (2011), Gkinis et al. (2014), van der Wel et al. (2015) we occasionally point the reader to any of the latter or/and refer to specific sections in the Appendix. We exemplify and illustrate the use of various techniques using synthetic data prepared such that they resemble two representative regimes of ice coring sites on the Greenland summit and the East Antarctic Plateau.

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The porous medium of the top $60-80 \,\mathrm{m}$ of firn allows for a molecular diffusion process that attenuates the water isotope signal from the time of deposition until pore close–off. The process takes place in the vapor phase and it can be described by Fick's second law as:

$$\frac{\partial \delta}{\partial t} = D(t) \frac{\partial^2 \delta}{\partial z^2} - \dot{\varepsilon}_z(t) z \frac{\partial \delta}{\partial z}$$
(2.1)

where δ refers to the water isotope ratio signal, D(t) is the diffusivity coefficient, $\dot{\varepsilon}_z(t)$ the vertical strain rate and z is the vertical axis of a coordinate system, with its origin being fixed within the considered layer. The attenuation of the isotopic signal results in loss of information. However the dependence of $\dot{\varepsilon}_z(t)$ and D(t) on temperature and accumulation presents the possibility of using the process as a tool to infer these two paleoclimatic parameters. A solution to Eq. 2.1 can be given by the convolution of the initial isotopic profile δ' with a Gaussian filter $\mathcal G$ as:

$$\delta(z) = \mathcal{S}(z) \left[\delta'(z) * \mathcal{G}(z) \right]$$
 (2.2)

where the Gaussian filter is described as:

$$\mathcal{G}(z) = \frac{1}{\sigma\sqrt{2\pi}} e^{\frac{-z^2}{2\sigma^2}} , \qquad (2.3)$$

and S is the total thinning of the layer at depth z described by

$$S(z) = e^{\int_0^z \dot{\varepsilon}_z(z')dz'} . \tag{2.4}$$

In Eq. 2.3, the standard deviation term σ^2 represents the average displacement of a water molecule along the z-axis and is commonly referred to as the diffusion length. The σ^2 quantity is a direct measure of diffusion and its accurate estimate is critical to any attempt of reconstructing temperatures that are based on the isotope diffusion thermometer. The diffusion length is directly related to the diffusivity coefficient and the strain rate (as the strain rate is approximately proportional to the densification rate in the firn column) and it can therefore be regarded as a sensor of firn temperature as well as accumulation rate.

The differential equation describing the evolution of σ^2 with time can be given by (Johnsen 1977):

$$\frac{\mathrm{d}\sigma^2}{\mathrm{d}t} - 2\,\dot{\varepsilon}_z(t)\,\sigma^2 = 2D(t) \quad . \tag{2.5}$$

In the case of firn the following approximation can be made for the strain rate:

$$\dot{\varepsilon}_z(t) \approx -\frac{\mathrm{d}\rho}{\mathrm{dt}} \frac{1}{\rho},$$
 (2.6)

with ρ representing the density. Then for the firn column, Eq. 2.5 can be solved hereby yielding a solution for σ^2 :

$$\sigma^{2}(\rho) = \frac{1}{\rho^{2}} \int_{\rho_{0}}^{\rho} 2\rho^{2} \left(\frac{\mathrm{d}\rho}{\mathrm{d}t}\right)^{-1} D(\rho) \,\mathrm{d}\rho, \tag{2.7}$$

where ρ_{o} is the surface density. Under the assumption that the diffusivity coefficient $D\left(\rho\right)$ and the 122 densification rate $\frac{d\rho}{dt}$ are known, integration from surface density ρ_o to the close-off density ρ_{co} can be 123 performed yielding a model based estimate for the diffusion length. In this work we make use of the 124 Herron-Langway densification model (H-L hereafter) and the diffusivity rate parametrization introduced 125 by Johnsen et al. (2000) (see Appendix A). Both $\frac{d\rho}{dt}$ and $D(\rho)$ are quantities that are dependent on 126 both the temperature and the accumulation. This dependence of the diffusion length on temperature and 127 accumulation provide the basis for the use of firn diffusion as a proxy of temperature and/or accumulation. 128 In Fig. 2.1 we evaluate Eq. 2.7 for all three isotopic ratios of water (δ^{18} O, δ^{17} O, δ D) using boundary 129 conditions characteristic of ice core sites from the Greenland and the Antarctic Ice Cap. We acknowledge that high resolution measurements of δ^{17} O currently are unavailable, but synthetic δ^{17} O signals have 131 been evaluated in order to demonstrate its potential as a diffusion-based temperature proxy. For the first 132 case we consider relatively warm and humid conditions (WH hereafter) representative of Greenlandic 133 ice coring sites (e.g. GISP2, GRIP, NorthGRIP) with a surface temperature $T_{\rm sur}=-29\,{}^{\circ}{\rm C}$ and annual 134 accumulation $A = 0.22 \text{ myr}^{-1} \text{ w. eq.}$ For the second case we consider cold and dry conditions (CD hereafter) representative of Antarctic ice coring sites (e.g. Dome C, Vostok) with a surface temperature 136 $T_{\rm sur} = -55\,{\rm ^{\circ}C}$ and annual accumulation $A = 0.032~{\rm myr^{-1}\,w.\,eq.}$ 137

3 ISOTOPE DIFFUSION IN THE SOLID PHASE

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Below the close-off depth, diffusion occurs in solid ice driven by the isotopic gradients within the lattice of the ice crystals. This process is orders of magnitude slower than firn diffusion. Several studies exist that deal with the estimate of the diffusivity coefficient in ice (Blicks et al. 1966, Delibaltas et al. 1966, Itagaki 1964, Livingston et al. 1997, Ramseier 1967). The differences resulting from the various diffusivity coefficients are small and certainly negligible for the case of our study where the influence of solid ice diffusion is practically negligible. As done before by other similar firn diffusion studies (Johnsen et al. 2000, Simonsen et al. 2011, Gkinis et al. 2014) we make use of the parametrization given in Ramseier (1967) as:

$$D_{\text{ice}} = 9.2 \cdot 10^{-4} \cdot \exp\left(-\frac{7186}{T}\right) \text{ m}^2 \text{s}^{-1}.$$
 (3.1)

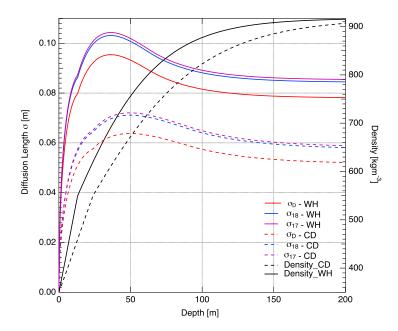


Figure 2.1: Diffusion length and density profiles (black) for the WH (solid lines) and CD (dashed lines) scenarios for diffusion of the δ^{18} O (blue color), δ^{17} O (purple color) and δ D (red color) isotope signals.

Assuming that a depth–age scale as well as a thinning function are available for the ice core a solution for the ice diffusion length is given by (see Appendix B for details):

$$\sigma_{\text{ice}}^2(t') = S(t')^2 \int_0^{t'} 2D_{\text{ice}}(t) S(t)^{-2} dt.$$
 (3.2)

In Fig. 3.1 we have calculated ice diffusion lengths for four different cores (NGRIP, NEEM, Dome C, EDML). For the calculation of the diffusivities we have used high precision measurements of temperature borehole profiles and assumed a steady state condition. As it can be seen, for the low temperature/low accumulation sites of Dome C and EDML, σ_{ice} is lower. As the temperature of the ice increases closer to the bedrock σ_{ice} increases monotonically. For the special case of the Dome C core (with a bottom age exceeding 800,000 years), σ_{ice} reaches values as high as 15 cm.

4 RECONSTRUCTING FIRN TEMPERATURES

4.1 The single isotopologue diffusion

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The simplest implementation of the water isotope diffusion thermometer focuses on the assessment of the diffusion rates of one isotopologue in firm. Implementation of Eq. 2.7, yields diffusion length values from surface to close–off and below with ice thinning disregarded for now.

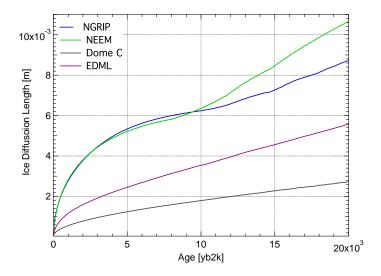


Figure 3.1: The ice diffusion length plotted with respect to age [b2k] for some selected sites from Greenland and Antarctica. NGRIP is represented by blue, NEEM is represented by green, Dome C is represented by grey and EDML is represented by magenta.

A fundamental property of the convolution operation is that it is equivalent to multiplication in the 160 frequency domain. The transfer function for the diffusion process will be given by the Fourier transform of the Gaussian filter that will itself be a Gaussian function described by (Abramowitz & Stegun 1964, 162 Gkinis et al. 2014): 163

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$$\mathfrak{F}[\mathcal{G}(z)] = \hat{\mathcal{G}} = e^{\frac{-k^2\sigma^2}{2}} . \tag{4.1}$$

In Eq. 4.1, $k=2\pi/\lambda$ where λ is the wavelength of the isotopic time series. In Fig. 4.1 we illustrate the 164 effect of the diffusion transfer function on a range of wavelengths for $\sigma = 1, 2, 4$ and 8 cm. Wavelengths 165 in the order of 50 cm and above remain largely unaltered while signals with wavelengths shorter than 166 20 cm are heavily attenuated. 167

An estimate of the value of the diffusion length σ can be obtained by looking at the power spectrum 168 of the diffused isotopic time series. Assuming a noise signal $\eta(k)$ apparent in the time series a model 169 describing the power spectrum is given by: 170

$$P_{s} = P_{0}e^{-k^{2}\sigma^{2}} + |\hat{\eta}(k)|^{2}. \tag{4.2}$$

We find that the noise signal is generally described well by autoregression of order 1 (AR-1). The power

spectral density of the latter may be written as (Kay & Marple 1981):

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$$|\hat{\eta}(k)|^2 = \frac{\sigma_{\eta}^2 \Delta}{|1 - a_1 \exp(-ik\Delta)|^2},$$
 (4.3)

where a_1 is the AR-1 coefficient, σ_η^2 is the variance of the noise signal and Δ is the sample size.

In Fig. 4.2, an example of power spectra based on a synthetic time series is shown. A description of how the synthetic time series is generated is provided in Appendix C. This time series represent a WH scenario with an applied diffusion length of 8.40 cm. The spectral estimate of the time series \mathbb{P}_s is calculated using Burg's spectral estimation method (Kay & Marple 1981) and specifically the algorithm presented in Andersen (1974). Using a least–squares approach we optimize the fit of P_s to \mathbb{P}_s by varying the four parameters P_0 , σ^2 , a_1 and σ^2_{η} . In the case of Fig. 4.2, the $|P_s - \mathbb{P}_s|^2$ least squares optimization resulted in $P_0 = 0.35 \,\%^2 \cdot m$, $\sigma = 8.45 \,\mathrm{cm}$. $a_1 = 0.05 \,\mathrm{and} \,\sigma^2_{\eta} = 0.005 \,\%^2$.

Assuming a diffusion length $\hat{\sigma}_i^2$ is obtained for depth z_i by means of $|P_s - \mathbb{P}_s|^2$ minimization, one can calculate the equivalent diffusion length at the bottom of the firn column σ_{firn}^2 in order to estimate firn temperatures by means of Eq. 2.7. In order to do this, one needs to take into account three necessary corrections - (1) sampling diffusion, (2) ice diffusion and (3) thinning. The first concerns the artifactually imposed diffusion due to the sampling of the ice core. In the case of a discrete sampling scheme with resolution Δ the additional diffusion length is (see Appendix D):

$$\sigma_{\rm dis}^2 = \frac{2\Delta^2}{\pi^2} \ln\left(\frac{\pi}{2}\right) \tag{4.4}$$

In the case of high resolution measurements carried out with CFA measurement systems, there exist a number of ways to characterize the sampling diffusion length. Typically the step or impulse response of the CFA system can be measured yielding a Gaussian filter specific for the CFA system (Gkinis et al. 2011, Emanuelsson et al. 2015). The Gaussian filter can be characterized by a diffusion length $\sigma_{\rm cfa}^2$ that can be directly used to perform a sampling correction. The second correction concerns the ice diffusion as described in Sec. 3. The quantities $\sigma_{\rm ice}^2$ and $\sigma_{\rm dis}^2$ can be subtracted from $\hat{\sigma}_i^2$ yielding a scaled value of $\sigma_{\rm firn}^2$ due to ice flow thinning. As a result, we can finally obtain $\sigma_{\rm firn}^2$ as:

$$\sigma_{\text{firn}}^2 = \frac{\widehat{\sigma}_i^2 - \sigma_{\text{dis}}^2 - \sigma_{\text{ice}}^2}{\mathcal{S}(z)^2}.$$
 (4.5)

When an estimate of σ_{firn}^2 is obtained the integrated firn column temperature can be calculated by finding the roots of the equation:

$$\left(\frac{\rho_{co}}{\rho_i}\right)^2 \sigma^2(\rho = \rho_{co}, T(z), A(z)) - \sigma_{firn}^2 = 0 \tag{4.6}$$

where σ^2 is the result of the integration in Eq. 2.7 from surface to close-off density ($\rho_o \to \rho_{co}$). In this work we use a Newton-Raphson numerical scheme (Press et al. 2007) for the calculation of the roots of the equation.

As it can be seen, the accuracy of the σ_{firm}^2 estimation and subsequently of the temperature reconstruction obtained based on it, depends on the three correction terms σ_{ice}^2 , σ_{dis}^2 and the ice flow thinning $\mathcal{S}(z)$. For relatively shallow depths where σ_{ice}^2 is relatively small compared to $\widehat{\sigma}_i^2$, ice diffusion can be accounted for with simple assumptions on the borehole temperature profile and the ice flow. In a similar way, σ_{dis}^2 is a well constrained parameter and depends only on the sampling resolution Δ for discrete sampling schemes or the smoothing of the CFA measurement system.

Equation 4.5 reveals an interesting property of the single isotopologue temperature estimation technique. As seen, the result of the σ_{firn}^2 calculation depends strongly on the ice flow thinning quantity $\mathcal{S}(z)^2$. Possible errors in the estimation of $\mathcal{S}(z)^2$ due to imperfections in the modelling of the ice flow will inevitably be propagated to the σ_{firn}^2 value thus biasing the temperature estimation. Even though this appears to be a disadvantage in the method, in some instances, it can be a useful tool for assessing the accuracy of ice flow models. Provided that for certain sections of the ice core there is a temperature estimate available based on other reconstruction methods (borehole thermometry, $\delta^{15}\text{N}/\delta^{40}\text{Ar}$) it is possible to estimate ice flow induced thinning of the ice core layers. Following this approach Gkinis et al. (2014) proposed a correction in the existing accumulation rate history for the NorthGRIP ice core.

4 The annual spectral signal interference

For some of the processed ice core data, we observed a prominent spectral feature at the low frequency area coinciding with the annual water isotopic signal (i.e. an annual peak in the spectum). The annual peak is prominent in ice cores with boundary conditions that mitigate the effects of firn diffusion, notably low temperatures and high accumulation rates. The resulting effect of such a spectral signature, is the artifactual biasing of the diffusion length estimation towards lower values and thus colder temperatures. Figure 4.3 shows the PSD of the δ D series for a mid Holocene section from the GRIP ice core (drill site characteristics in Table 5.2). A prominent spectral feature is visible at $f \approx 6$ cycles m⁻¹. This frequency is comparable to the expected frequency of the annual signal at 6.1 cycles m⁻¹ as estimated from the annual layer thickness reconstruction of the GICC05 timescale (Vinther et al. 2006).

In order to evade the influence of the annual spectral signal on the diffusion length estimation, we propose the use of a weight function w(f) in the spectrum as:

$$w(f) = \begin{cases} 0 & f_{\lambda} - \mathrm{d}f_{\lambda} \le f \le f_{\lambda} + \mathrm{d}f_{\lambda} \\ 1 & f < f_{\lambda} - \mathrm{d}f_{\lambda}, f > f_{\lambda} + \mathrm{d}f_{\lambda} \end{cases}$$
(4.7)

where f_{λ} is the frequency of the annual layer signal based on the reconstructed annual layer thickness λ and df_{λ} is the range around the frequency f_{λ} at which the annual signal is detectable. The weight function is multiplied with the optimization norm $|P_s - \mathbb{P}_s|^2$. Figure 4.3 also illustrates the effect of the

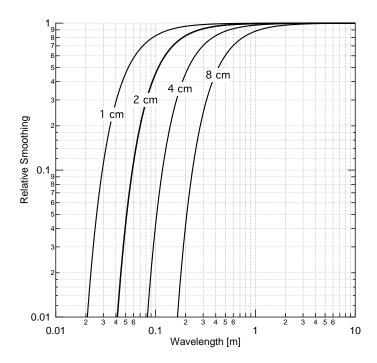


Figure 4.1: The smoothing effect of the diffusion transfer function demonstrated on a range of different wavelengths for $\sigma = 1, 2, 4$ and 8 cm.

weight function on the estimation of P_s and subsequently the diffusion length value. When the weight function is used during the optimization process, there is an increase in the diffusion length value by 0.2 cm, owing essentially to the exclusion of the annual signal peak from the minimization of $|P_s - \mathbb{P}_s|^2$. While the value of f_{λ} can be roughly predicted the value of df_{λ} usually requires visual inspection of the spectrum. Annual peak corrections are included in the study of the ice core time series introduced in Sec. 5.2.

4.2 The differential diffusion signal

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A second-order temperature reconstruction technique is possible based on the differential signal between $\delta^{18}{\rm O}$ and $\delta{\rm D}$. Due to the difference in the fractionation factors and the air diffusivities between the oxygen and deuterium isotopologues, a differential diffusion signal is created in the firn column. Based on the calculation of the diffusion lengths presented in Fig. 2.1 we then compute the differential diffusion lengths $^{17}\Delta\sigma^2$ and $^{18}\Delta\sigma^2$ where

$$^{17}\Delta\sigma^2 = \sigma_{17}^2 - \sigma_{\rm D}^2 \text{ and } ^{18}\Delta\sigma^2 = \sigma_{18}^2 - \sigma_{\rm D}^2.$$
 (4.8)

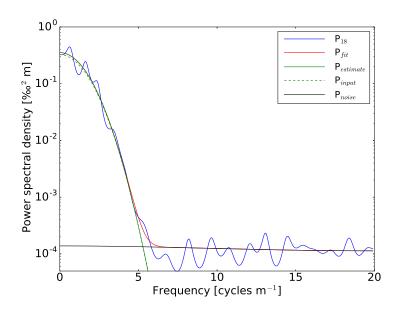


Figure 4.2: PSD of a synthetic δ^{18} O time series plotted with respect to frequency (blue curve). The red curve represents the complete model fit (Eq. 4.2). The green dashed curve represents the input diffusion and the solid green curve represents the estimated diffusion length of the signal. The black curve represents the noise part of the fit.

As it can be seen in Fig. 4.4 the differential diffusion length signal is slightly larger for the case of when compared to $^{18}\Delta\sigma^2$.

One obvious complication of the differential diffusion technique is the requirement for dual measurements of the water isotopologues, preferably performed on the same sample. The evolution of IRMS techniques targeting the analysis of δD (Bigeleisen et al. 1952, Vaughn et al. 1998, Gehre et al. 1996, Begley & Scrimgeour 1997) in ice cores has allowed for dual isotopic records at high resolutions. With the advent of CRDS techniques and their customisation for CFA measurements, simultaneous high resolution measurements of both $\delta^{18}O$ and δD have become a routine procedure.

The case of $\delta^{17}{\rm O}$ is more complicated as the greater abundance of $^{13}{\rm C}$ than $^{17}{\rm O}$ rules out the possibility for an IRMS measurement at mass/charge ratio (m/z) of 45 or 29 using ${\rm CO}_2$ equilibration or reduction to CO respectively. Alternative approaches that exist include the electrolysis method with ${\rm CuSO}_4$ developed by Meijer & Li (1998) as well as the fluorination method presented by Baker et al. (2002) and implemented by Barkan & Luz (2005) for dual-inlet IRMS systems. These techniques target the measurement of the $^{17}{\rm O}_{\rm excess}$ parameter and are inferior for $\delta^{17}{\rm O}$ measurements at high precision and have a very low sample

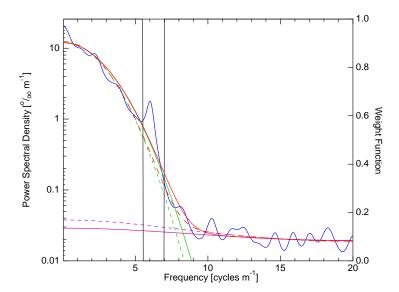


Figure 4.3: The interference of the annual spectral signal is seen in the PSD of the δD GRIP mid Holocene section. The regular fit is represented by the solid lines and the dashed lines represent the case where the weight function w(f) has filtered out this artifactual bias.

throughput. As a result, high resolution $\delta^{17}{\rm O}$ measurements from ice cores are currently non existent. Recent innovations however in CRDS spectroscopy (Steig et al. 2014) allow for simultaneous triple isotopic measurements of $\delta{\rm D}$, $\delta^{18}{\rm O}$ and $\delta^{17}{\rm O}$ in a way that a precise and accurate measurement for both $\delta^{17}{\rm O}$ and $\delta^{17}{\rm O}$ and $\delta^{17}{\rm O}$ should be expected in the near future.

The following analysis is focused on the $^{18}\Delta\sigma^2$ signal but it applies equally to the $^{17}\Delta\sigma^2$. The stronger attenuation of the $\delta^{18}O$ signal with respect to the δD signal can be visually observed in the power spectral densities of the two signals. As seen in Fig. 4.5 the \mathbb{P}_{S18} signal reaches the noise level at a lower frequency when compared to the \mathbb{P}_{SD} signal. At low frequencies with high signal to noise ratio we can calculate the logarithm of the ratio of the two power spectral densities as (i.e. neglecting the noise term):

$$\ln\left(\frac{P_{\rm D}}{P_{18}}\right) \approx k^2 \left(\sigma_{18}^2 - \sigma_{\rm D}^2\right) + \ln\left(\frac{P_{0_{\rm D}}}{P_{0_{18}}}\right) = {}^{18}\Delta\sigma^2 k^2 + C. \tag{4.9}$$

As seen in Eq. 4.9 and Fig. 4.5 (δ^{18} O and δ D synthetic data generated as in Sec. 4.1) an estimate of the $^{18}\Delta\sigma^2$ parameter can be obtained by a linear fit of $\ln{(P_{\rm D}/P_{18})}$ in the low frequency area, thus requiring only two parameters ($^{18}\Delta\sigma^2$ and C) to be tuned. An interesting aspect of the differential diffusion method, is that in contrast to the single isotopologue diffusion length, $^{18}\Delta\sigma^2_{\rm firn}$ is a quantity

that is independent of the sampling and solid ice diffusion thus eliminating the uncertainties associated with these two parameters. This can be seen by simply using Eq. 4.5:

Accurate estimates of the thinning function however play a key role in the differential diffusion technique.

$$^{18}\Delta\sigma_{\text{firn}}^2 = \frac{\hat{\sigma}_{18}^2 - \sigma_{\text{dis}}^2 - \sigma_{\text{ice}}^2}{\mathcal{S}(z)^2} - \frac{\hat{\sigma}_{\text{D}}^2 - \sigma_{\text{dis}}^2 - \sigma_{\text{ice}}^2}{\mathcal{S}(z)^2} = \frac{\hat{\sigma}_{18}^2 - \hat{\sigma}_{\text{D}}^2}{\mathcal{S}(z)^2}$$
(4.10)

One more complication of the differential diffusion technique is the selection of the frequency range in 272 which one chooses to apply the linear regression. Often visual inspection is required in order to designate 273 a cut-off frequency until which the linear regression can be applied. In most cases identifying the cut-off frequency, or at least a reasonable area around it is reasonably straight-forward though in a small number 275 of cases spectral features in the low frequency area seem to have a strong influence on the slope of the linear regression and thus on the $^{18}\Delta\sigma^2$. As a result, visual inspection of the regression result is always 277 advised in order to avoid biases. 278 Another way of estimating the differential diffusion signal is to subtract the single diffusion spectral 279 estimates σ_{18}^2 and σ_{D}^2 . Theoretically this approach should be inferior to the linear fit approach due to 280 the fact that more degrees of freedom are involved in the estimation of σ_{18}^2 and σ_{D}^2 (8 versus 2; 3 if the

cutoff frequency is included). Here we will test both approaches.

283 Linear correlation method

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An alternative way to calculate the differential diffusion signal $^{18}\Delta\sigma^2$, can be based on the assumption that the initial precipitated isotopic signal presents a d_{xs} that is invariable with time and as a consequence of this, the correlation signal between $\delta^{18}O$ and δD (hereafter $r_{\delta^{18}O/\delta D}$) is expected to have a maximum value at the time of deposition . The d_{xs} signal is defined as the deviation from the metoric water line $d_{xs} = \delta D - 8 \cdot \delta^{18}O$ (Craig 1961). From the moment of deposition, the difference in diffusion between the $\delta^{18}O$ and δD signals results in a decrease of the $r_{\delta^{18}O/\delta D}$ value. Hence, diffusing the δD signal with a Gaussian kernel of standard deviation equal to $^{18}\Delta\sigma^2$ will maximize the value of $r_{\delta^{18}O/\delta D}$ (van der Wel et al. 2015) as shown in Fig. 4.6. Thus, the $^{18}\Delta\sigma^2$ value is found when the $r_{\delta^{18}O/\delta D}$ value has its maximum.

This type of estimation is independent of spectral estimates of the $\delta^{18}O$ and δD time series and does not pose any requirements for measurement noise characterization or selection of cut-off frequencies. However uncertainties related to the densification and ice flow processes, affect this method equally as they do for the spectrally based differential diffusion temperature estimation. In this study, we test the

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applicability of the method on synthetic and real ice core data. We acknowledge that the assumption that

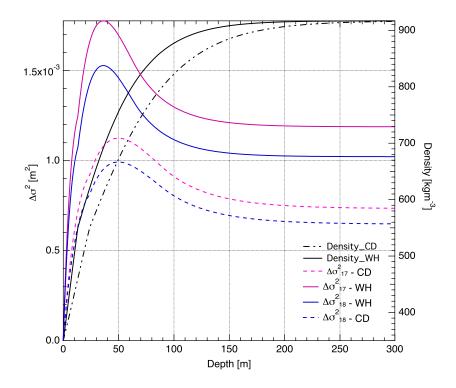


Figure 4.4: Differential diffusion lengths for the WH (solid lines) and CD (dashed lines) scenarios for $^{18}\Delta\sigma^2$ (blue) and $^{17}\Delta\sigma^2$ (purple). The density profiles are given in black.

the d_{xs} signal is constant with time is not entirely consistent with the fact that there is a small seasonal cycle in the d_{xs} signal (Johnsen & White 1989). It is thus likely to result in inaccuracies.

300 4.3 The diffusion length ratio

A third way of using the diffusion lengths as proxies for temperature can be based on the calculation of the ratio of two different diffusion lengths. From Eq. 2.7 we can evaluate the ratio of two different isotopologues j and k as:

$$\frac{\sigma_j^2(\rho)}{\sigma_k^2(\rho)} = \frac{\frac{1}{\rho^2} \int 2\rho^2 \left(\frac{\mathrm{d}\rho}{\mathrm{d}t}\right)^{-1} D_j(\rho) \,\mathrm{d}\rho}{\frac{1}{\rho^2} \int 2\rho^2 \left(\frac{\mathrm{d}\rho}{\mathrm{d}t}\right)^{-1} D_k(\rho) \,\mathrm{d}\rho}, \tag{4.11}$$

and by substituting the firn diffusivities as defined in Appendix A and according to Johnsen et al. (2000)
we get:

$$\frac{\sigma_j^2(\rho)}{\sigma_k^2(\rho)} = \frac{D_{aj}\alpha_k}{D_{ak}\alpha_j} \frac{\frac{1}{\rho^2} \int 2\rho^2 \left(\frac{d\rho}{dt}\right)^{-1} \frac{m\,p}{R\,T\,\tau} \left(\frac{1}{\rho} - \frac{1}{\rho_{ice}}\right) d\rho}{\frac{1}{\rho^2} \int 2\rho^2 \left(\frac{d\rho}{dt}\right)^{-1} \frac{m\,p}{R\,T\,\tau} \left(\frac{1}{\rho} - \frac{1}{\rho_{ice}}\right) d\rho} = \frac{D_{aj}\alpha_k}{D_{ak}\alpha_j}.$$
(4.12)

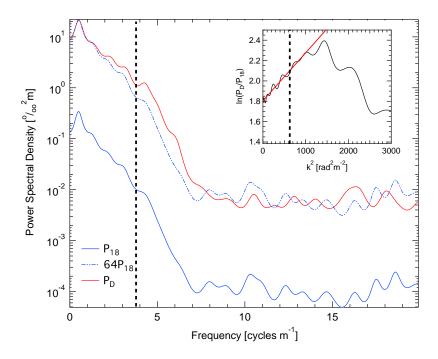


Figure 4.5: PSDs of $\delta^{18}O$ (blue) and δD (red) with respect to frequency where the inner subplot shows the $\ln{(P_{\rm D}/P_{18})}$ relation with respect to k^2 . The $^{18}\Delta\sigma^2$ value is determined from the slope of the linear fit in the subplot. The chosen cutoff frequency is marked by the vertical dashed line in both plots.

As a result, the ratio of the diffusion lengths is dependent on temperature through the parameterizations of the fractionation factors and carries no dependence to parameters related to the densification rates nor the atmospheric pressure. Additionally, it is a quantity that is independent of depth. Here we give the analytical expressions of all the isotopologues combinations by substituting the diffusivities and the fractionation factors:

$$\sigma_{18}^2/\sigma_{\rm D}^2 = 0.93274 \cdot \exp(16288/T^2 - 11.839/T)$$
 (4.13)

$$\sigma_{17}^2/\sigma_{\rm D}^2 = 0.933 \cdot \exp(16288/T^2 - 6.263/T)$$
 (4.14)

$$\sigma_{18}^2/\sigma_{17}^2 = 0.99974 \cdot \exp(-5.57617/T)$$
 (4.15)

A data-based diffusion length ratio estimate can be obtained by estimating the single diffusion length values as described in Sec. 4.1 and thereafter applying the necessary corrections as in Eq. 4.5. An interesting aspect of the ratio estimation is that it is not dependent on the ice flow thinning as seen below

$$\left(\frac{\sigma_{18}^2}{\sigma_{D}^2}\right)_{\text{firm}} = \frac{\hat{\sigma}_{18}^2 - \sigma_{\text{dis}}^2 - \sigma_{\text{ice}}^2}{\hat{\sigma}_{D}^2 - \sigma_{\text{dis}}^2 - \sigma_{\text{ice}}^2}.$$
(4.16)

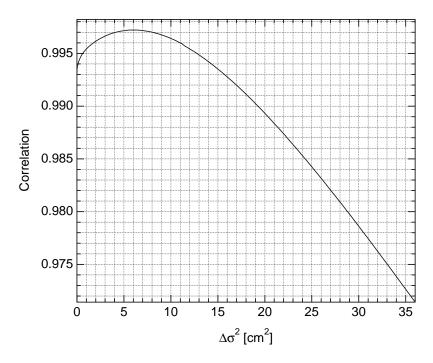


Figure 4.6: Correlation coefficient $(r_{\delta^{18}O/\delta D})$ between the $\delta^{18}O$ and the forward–diffused δD series as a function of the estimated $^{18}\Delta\sigma^2$. The synthetic data represent a CD scenario.

while the method still depends on the sampling and ice diffusion.

5 Results

5.1 Synthetic data test

We present here a test of accuracy and precision for the estimates of the various quantities used for diffusion based temperature estimations outlined in Sec. 4. The tests consist of the generation of synthetic data for δ^{17} O, δ^{18} O and δ D and subsequent numerical diffusion with diffusion lengths as calculated for the WH and CD boundary conditions (as presented in Fig. 2.1). The time series are then sampled at a resolution of 2.5 cm and white measurement noise is added. Eventually, estimates of diffusion lengths for all three isotopologues are obtained using the techniques we have described in the previous sections. The full description of how the synthetic data are generated is outlined in Appendix C.

The process of time series generation is repeated 500 times. For each iteration, the quantities σ_{17} , σ_{18} , σ_{D} , $\sigma_{17}^{7}\Delta\sigma^{2}$, $\sigma_{18}^{2}\Delta\sigma^{2}$ and the ratios $\sigma_{18}^{2}/\sigma_{D}^{2}$, $\sigma_{17}^{2}/\sigma_{D}^{2}$ and $\sigma_{18}^{2}/\sigma_{17}^{2}$ are estimated. The differential diffusion signals are estimated using the three different techniques as described in Sec. 4.2. We designate the subtraction technique with I, the linear regression with II and the correlation method with III. For every

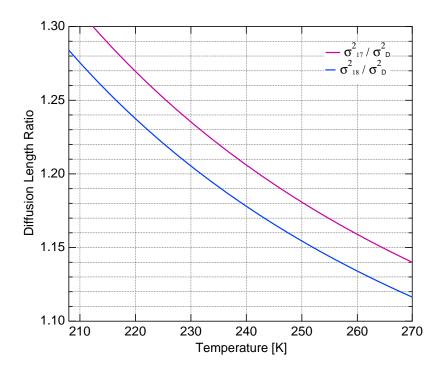


Figure 4.7: The diffusion length ratios σ_{18}^2/σ_D^2 and σ_{17}^2/σ_D^2 with respect to temperature. The $\sigma_{18}^2/\sigma_{17}^2$ is almost constant at 0.95 and omitted here due to its very low temperature sensitivity.

value of the diffusion estimates we calculate a firn temperature. Finally for the total of the 500 iterations we calculate a mean firn temperature \overline{T} , a standard deviation and a mean bias as:

$$MB = \frac{1}{N} \sum_{i=1}^{N} T_i - T_{sur} = \overline{T} - T_{sur},$$
(5.1)

where $i=1,2,\ldots,N$ signifies the iteration number, T_i is the synthetic data-based estimated temperature and $T_{\rm sur}$ is the model forcing surface temperature for the WH and CD scenarios. For each technique, the precision $s_{\bar{T}}$ is calculated by averaging the squared standard deviations of all simulations. The results of the experiment are presented in Table 5.1 and the calculated mean biases are illustrated in Fig. 5.1. The diffusion length ratio approach yields very large uncertainty bars (see Table 5.1) and thus these results are not included in Fig. 5.1.

5.2 Ice core data test

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We also use a number of high resolution, high precision ice core data, in order to benchmark the diffusion temperature reconstruction techniques that we have presented. The aim of this benchmark test is to utilize the various reconstruction techniques for a range of boundary conditions that is (a) as broad

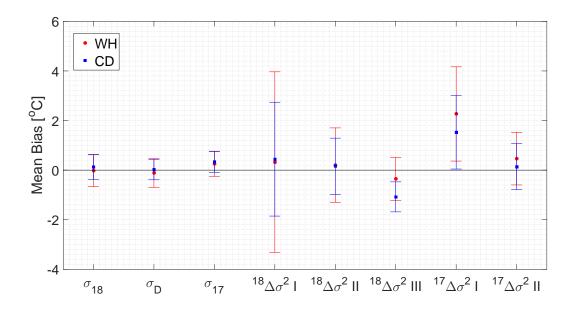


Figure 5.1: Mean biases for the single and differential diffusion. The error bars represent 1 std of the estimated temperatures.

		CD WH				
	Applied diffusion	Est. diffusion	Est. T [°C]	Applied diffusion	Est. diffusion	Est. T [°C]
σ_{18}	$5.73~\mathrm{cm}$	$5.81\pm0.13~\mathrm{cm}$	-54.9 ± 0.5	8.40 cm	$8.43\pm0.20~\mathrm{cm}$	-29.0 ± 0.6
$\sigma_{ m D}$	$5.13~\mathrm{cm}$	$5.20\pm0.10~\mathrm{cm}$	-55.0 ± 0.4	$7.77~\mathrm{cm}$	$7.78\pm0.20~\mathrm{cm}$	-29.1 ± 0.6
σ_{17}	$5.80~\mathrm{cm}$	$5.94 \pm 0.12~\mathrm{cm}$	-54.7 ± 0.4	$8.50~\mathrm{cm}$	$8.61 \pm 0.16~\mathrm{cm}$	-28.8 ± 0.5
$^{18}\Delta\sigma^2$ I	$6.5~\mathrm{cm}^2$	$6.8\pm1.3~\mathrm{cm}^2$	-54.6 ± 2.3	$10.2~\mathrm{cm}^2$	$10.6\pm2.2~\mathrm{cm^2}$	-28.7 ± 3.6
$^{18}\Delta\sigma^2$ II	$6.5~\mathrm{cm}^2$	$6.5\pm0.6~\mathrm{cm}^2$	-54.8 ± 1.1	$10.2~\mathrm{cm}^2$	$10.3\pm0.9~\mathrm{cm}^2$	-28.7 ± 1.2
$^{18}\Delta\sigma^2$ III	$6.5~\mathrm{cm}^2$	$5.9\pm0.3~\mathrm{cm}^2$	-56.0 ± 0.5	$10.2~\mathrm{cm}^2$	$10.0\pm0.5~\mathrm{cm^2}$	-29.4 ± 0.9
$^{17}\Delta\sigma^2$ I	$7.3~\mathrm{cm}^2$	$8.3\pm1.2~\mathrm{cm}^2$	-53.5 ± 1.5	$11.9~\mathrm{cm}^2$	$13.6\pm1.6~\mathrm{cm^2}$	-26.7 ± 1.9
$^{17}\Delta\sigma^2$ II	$7.3~\mathrm{cm}^2$	$7.4\pm0.6~\mathrm{cm}^2$	-54.9 ± 0.9	$11.9~\mathrm{cm}^2$	$12.2\pm0.8~\mathrm{cm}^2$	-28.5 ± 1.1
$\sigma_{18}^2/\sigma_{17}^2$	0.975	0.957 ± 0.040		0.977*	$0.959 \pm 0.027*$	
$\sigma_{18}^2/\sigma_{ m D}^2$	1.24	1.25 ± 0.05	-56.9 ± 12.9	1.17	1.18 ± 0.04	-30.7 ± 16.0
$\sigma_{17}^2/\sigma_{ m D}^2$	1.28	1.31 ± 0.05	-63.4 ± 8.9	1.20	1.23 ± 0.03	-40.0 ± 9.9

Table 5.1: Simulations of a synthetic CD and WH scenario. The applied diffusion lengths are shown together with the estimated diffusion lengths and the corresponding temperatures.

as possible with respect to mean annual surface temperature and accumulation and (b) representative of
existing polar ice core sites. Additionally, we have made an effort in focusing on ice core data sets that
reflect conditions as close as possible to present. As a result, the majority of the data sets presented here
are from relatively shallow depths and as a result reflect climatic conditions typical of the late Holocene.
Where this was not possible due to limited data availability, we have used data originating from deeper
sections of the ice cores with an age of about 10ka b2k reflecting conditions of the early Holocene.
In Table 5.2 we provide relevant information for each data set as well as the present temperature and
accumulation conditions for the drill site from which each data set originates.

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The data sets were produced using a variety of techniques both with respect to the analysis itself (IRMS/CRDS), as well as with respect to the sample resolution and preparation (discrete/CFA). The majority of the data sets were analysed using CRDS instrumentation. In particular the L1102i, L2120i and L2130i variants of the picarro CRDS analyser were utilized for both discrete and CFA measurements of δ^{18} O and δ D. The rest of the data sets were analysed using IRMS techniques with either CO₂ equilibration or high temperature carbon reduction. For the case of the NEEM early Holocene data set, we work with two data sections that span the same depth interval and consist of discretely sampled and CFA measured data respectively. Additionally, the Dome C and Dome F data sections represent conditions typical for the East Antarctic Plateau and are sampled using a different approach (2.5 cm resolution discrete samples for the Dome C section and high resolution CFA measurements for the Dome F section).

In a way similar to the synthetic data test, we apply the various reconstruction techniques on every ice 359 core data section, we estimate the corresponding diffusion parameters and subsequently the temperatures 360 corresponding to them. No reconstruction techniques involving $\delta^{17}O$ are presented here due to lack of $\delta^{17}{\rm O}$ data. In order to achieve an uncertainty estimation for every reconstruction, we perform a 362 sensitivity test that is based on 1000 repetitions. Assuming that every ice core section consists of J363 $\delta^{18}O$ and δD points, then a repetition is based on a data subsection with size J' that varies in the 364 interval [J/2, J]. This "jittering" of the subsection size happens around the midpoint of every section 365 and J' is drawn from a uniform distribution. Eventually, for every reconstruction method and every ice 366 core site, we calculate a mean and a standard deviation for the diffusion estimate, as well as a mean 367 and a standard deviation for the temperature. We would like to point out that this type of sensitivity test 368 does not take into account uncertainties originating from the densification or diffusion physical quantities 369 and their parameterizations, or inaccuracies due to the ice flow thinning model or solid ice and sampling 370 diffusion estimates. Results are presented in Table 5.3. The estimated temperatures for ice cores covering the late-mid Holocene and early Holocene are shown in Fig. 5.2 and 5.3 respectively. 372

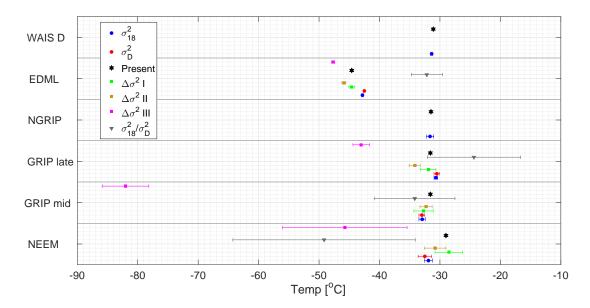


Figure 5.2: Late-mid Holocene section with reconstructed temperatures from the σ_{18}^2 (blue circles), σ_D^2 (red circles), $\Delta \sigma^2$ I (green squares), $\Delta \sigma^2$ II (brown squares), $\Delta \sigma^2$ III (magenta squares) and σ_{18}^2/σ_D^2 (grey triangles) methods. The black stars represent the present annual mean temperatures at the sites.

3 5.3 The fractionation factors

The influence of the fractionation factors (α_{18} , α_{D}) on the reconstructed temperatures are tested on ice core data sets representative of a broad range of temperatures. By comparing the results of different parameterization schemes, it is possible to quantify the influence of the choice of parametrization on the absolute temperature estimates. This is especially relevant for temperatures below -40° C, as the confidence of the parameterized fractionation factors have been shown to be low for such cold temperatures (Ellehoj et al. 2013). Similar to the ice core data test (Sec. 5.2), the corresponding diffusion parameters and subsequently the temperatures are based on a sensitivity test of 1000 repetitions. The results are displayed in Fig. 5.4, where the temperatures resulting from the parametrizations of Majoube (1970) (α_{18}) and Merlivat & Nief (1967) (α_{D}) are compared to the temperatures resulting from the parametrizations of Ellehoj et al. (2013) (α_{18} , α_{D}) and Lamb et al. (2015) (α_{D}). In the latter case, the parameterization of α_{18} from Majoube (1970) is used for the dual diffusion length methods.

(Watanabe et al. 2003, Kawamura et al. 2003)^c, (Lorius et al. 1979)^d, (NGRIP members 2004, Gkinis et al. 2014)^e, (Johnsen et al. 2000)^f, Table 5.2: Ice core data sections and the corresponding drill site characteristic. Sources of data: (Steig et al. 2013)¹, (Oerter et al. 2004)², (Svensson et al. 2015)³, (Gkinis 2011)⁴, (Gkinis et al. 2011)⁵. Drill site characteristic sources: (Banta et al. 2008)^a, (Oerter et al. 2004, Veres et al. 2013)^b, (Guillevic et al. 2013, Rasmussen et al. 2013)^g.

Site Name	Depth	Age	Present T	A	Ь	Thinning	Meas.	Analysis	
	[m]	[kab2k]	$[^{\circ}C]$	$[\mathrm{miceyr}^{-1}]$	[Atm]				[cm]
GRIP mid ^f	753 - 776	3.7	-31.6	0.23	0.65	0.71	δD , $\delta^{18}O$	2130	2.5
GRIP late ^f	514 - 531	2.4	-31.6	0.23	0.65	0.79	δD , $\delta^{18}O$	2130	2.5
WAIS $2005A^{a,1}$	260 - 290	1.1	-31.1	0.22	0.77	0.92	δ^{18} O	1102	5.0
$\mathrm{EDML}^{\mathrm{b},2}$	123 - 178	1.6	-44.6	0.08	0.67	0.93	δD , $\delta^{18}O$	IRMS	5.0
$NEEM^g$	174 - 194	8.0	-29.0	0.22	0.72	0.31	δD , $\delta^{18}O$	2120	2.5
$NGRIP^e$	174 - 194	6.0	-31.5	0.20	0.67	0.49	δ^{18} O	IRMS	2.5
Dome F ^{c,3}	302 - 307	9.6	-57.3	0.04	0.61	0.93	δD , $\delta^{18}O$	CFA1102	0.5
Dome C ^{d,4}	308 - 318	6.6	-53.5	0.04	0.65	0.93	δD , $\delta^{18}O$	IRMS	2.5
GRIP early ^f	1449 - 1466	9.4	-31.6	0.23	0.65	0.42	δD , $\delta^{18}O$	2130	2.5
NEEM dis ^{8,5}	1380 - 1392	10.9	-29.0	0.22	0.72	0.31	δD , $\delta^{18}O$	2120	5.0
NEEM CFA ^{8,5}	1382 - 1399	10.9	-29.0	0.22	0.72	0.31	δD , $\delta^{18}O$	CFA1102	0.5
NGRIP I ^e	1300 - 1320	9.1	-31.5	0.18	0.67	0.55	δ^{18} O	IRMS	5.0
NGRIP II ^e	1300 - 1320	9.1	-31.5	0.18	0.67	0.55	δ^{18} O	IRMS	5.0

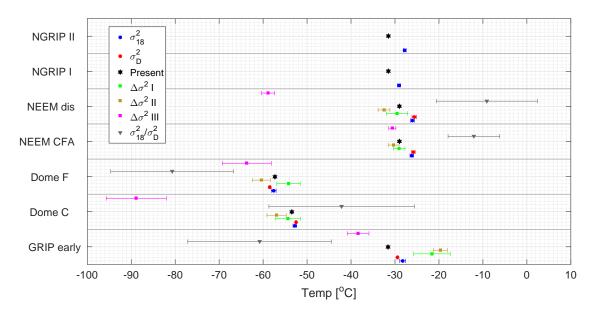


Figure 5.3: Early Holocene section with reconstructed temperatures from the σ_{18}^2 (blue circles), σ_D^2 (red circles), $\Delta\sigma^2$ I (green squares), $\Delta\sigma^2$ II (brown squares), $\Delta\sigma^2$ III (magenta squares) and σ_{18}^2/σ_D^2 (grey triangles) methods. The black stars represent the present annual mean temperatures at the sites.

6 DISCUSSION

386 6.1 Synthetic data

Based on the results of the sensitivity experiment with synthetic data, the following can be inferred. Firstly, the three techniques based on the single isotope diffusion, perform similarly and of all the techniques tested, yield the highest precision with a $s_{\bar{T}} \approx 0.5\,^{\circ}\text{C}$. Additionally, the estimated temperatures \bar{T} are within $1s_{\bar{T}}$ of the forcing temperature T_{sur} , a result pointing to a good performance with respect to the accuracy of the temperature estimation. The precision of the differential diffusion techniques is inferior to single diffusion with $s_{\bar{T}} \approx 1.2\,^{\circ}\text{C}$, with the subtraction technique being the least precise of all three differential diffusion approaches. A possible reason for this result may be the fact that the subtraction technique relies on the tuning of 8 optimization parameters as described in Sec. 4.1 and 4.2. On the contrary the correlation technique appears to be the most precise of the three techniques with precisions that are comparable of those achieved with the single diffusion techniques. All 10 experiments utilizing differential diffusion methods, yield an accuracy that lies within the $2s_{\bar{T}}$ range ($1s_{\bar{T}}$ range for 7 out of 10 experiments). We can conclude that experiments involving the estimation of the diffusion length ratio indicate that the latter are practically unusable due to very high uncertainties with $s_{\bar{T}}$ averaging

Table 5.3: Ice core results with the estimated firm diffusion lengths and their corresponding temperatures [°C]. The units for the σ_{18} and the σ_{D} values are expressed in cm and the unit for $^{18}\Delta\sigma^{2}$ is expressed in cm².

Site Name	σ_{18}	$\sigma_{ m D}$	$^{18}\Delta\sigma^2$ I	$^{18}\Delta\sigma^2$ II	$^{18}\Delta\sigma^2$ III	$\sigma_{18}^2/\sigma_{ m D}^2$
GRIP mid	$7.83 \pm 0.15 \mathrm{cm}$	$7.20 \pm 0.13 \mathrm{cm}$	$9.4 \pm 0.9 \mathrm{cm}^2$	$9.6 \pm 0.6 \mathrm{cm}^2$	$0.2 \pm 0.1 \mathrm{cm}^2$	1.18± 0.02
	-32.9± 0.5 °C	-33.0± 0.5 °C	-32.7 \pm 1.6 °C	$-32.3 \pm 1.0^{\circ}\text{C}$	-82.0 \pm 3.9 °C	$-34.2\pm6.7^{\mathrm{o}}\mathrm{C}$
GRIP late	$8.52\pm0.09\mathrm{cm}$	$7.92\pm0.14\mathrm{cm}$	$9.9\pm0.8\mathrm{cm^2}$	$8.6\pm0.6\mathrm{cm^2}$	$4.8 \pm~0.5\mathrm{cm}^2$	$1.16 \pm\ 0.02$
	-30.7 \pm 0.3 $^{\circ}$ C	-30.5 \pm 0.5 $^{\circ}$ C	-31.9 ± 1.3 °C	$\text{-34.2}\pm0.9^{\mathrm{o}}\mathrm{C}$	-43.0 \pm 1.4 °C	-24.4 \pm 7.7 °C
WAIS 2005A	$6.95\pm0.04\mathrm{cm}$					
	-31.4 ± 0.1 °C					
EDML	$7.73\pm0.04\mathrm{cm}$	$7.13\pm0.04\mathrm{cm}$	$8.9\pm0.3\mathrm{cm}^2$	$8.2\pm0.2\mathrm{cm}^2$	$7.2 \pm~0.1~\mathrm{cm}^2$	$1.18 \!\pm 0.01$
	-42.8 \pm 0.1 °C	-42.5 \pm 0.1 °C	-44.6 \pm 0.5 °C	-45.9 \pm 0.3 °C	-47.7 \pm 0.3 °C	-32.2 \pm 2.6 °C
NEEM	$7.97\pm0.20\mathrm{cm}$	$7.20\pm0.31\mathrm{cm}$	$11.7\pm1.5\mathrm{cm}^2$	$10.2 \pm 1.1 \mathrm{cm}^2$	$4.5 \pm~2.0\mathrm{cm}^2$	$1.23\!\pm0.05$
	-31.9 \pm 0.7 °C	-32.5 \pm 1.1 °C	-28.5 \pm 2.3 °C	-30.8 \pm 1.8 °C	$\text{-45.8}\pm10.3^{\circ}\text{C}$	-49.2 \pm 15.1 °C
NGRIP	$8.65\pm0.19~\mathrm{cm}$					
	-31.6 \pm 0.6 °C					
Dome F	$5.76\pm0.15\mathrm{cm}$	$4.92\pm0.04\mathrm{cm}$	$9.0\pm1.8\mathrm{cm}^2$	$5.4\pm0.8\mathrm{cm}^2$	$4.4 \pm~1.9\mathrm{cm}^2$	$1.37 \!\pm 0.08$
	-57.6 \pm 0.6 °C	-58.5 \pm 0.2 °C	$\text{-54.2}\pm2.7^{\circ}\text{C}$	-60.4 \pm 2.1 $^{\rm o}C$	-63.7 \pm 5.6 $^{\circ}$ C	-80.7 \pm 14.0 $^{\circ}$ C
Dome C	$6.97\pm0.14\mathrm{cm}$	$6.34\pm0.05\mathrm{cm}$	$8.4\pm1.9\mathrm{cm^2}$	$6.7\pm1.1\mathrm{cm^2}$	$0.4 \pm~0.4\mathrm{cm}^2$	$1.21 \!\pm 0.05$
	-52.8 \pm 0.4 °C	-52.5 \pm 0.2 °C	$\text{-54.4}\pm2.9^{\mathrm{o}}\mathrm{C}$	-56.9 \pm 2.2 °C	-88.8 \pm 6.8 $^{\circ}$ C	-42.1 \pm 16.6 °C
GRIP early	$9.32\pm0.22\mathrm{cm}$	$8.25\pm0.03\mathrm{cm}$	$18.7 \pm 4.0 \mathrm{cm}^2$	$20.4 \pm 1.9 \mathrm{cm}^2$	$6.6 \pm~1.1\mathrm{cm}^2$	$1.27 \!\pm 0.06$
	-28.2 \pm 0.7 °C	-29.4 \pm 0.1 °C	-21.6 \pm 4.2 °C	-19.7 \pm 1.6 °C	-38.4 \pm 2.5 $^{\circ}$ C	-60.8 \pm 16.4 $^{\circ}$ C
NEEM dis	$10.33\pm0.16\mathrm{cm}$	$9.72\pm0.19\mathrm{cm}$	$12.1 \pm 1.8 \mathrm{cm}^2$	$10.0 \pm 0.8 \mathrm{cm}^2$	$1.6 \pm~0.2\mathrm{cm}^2$	$1.13 \!\pm 0.02$
	-26.0 \pm 0.4 $^{\rm o}C$	-25.6 \pm 0.5 °C	-29.5 \pm 2.4 $^{\circ}$ C	-32.5 \pm 1.3 $^{\circ}$ C	-58.9 \pm 1.5 $^{\circ}$ C	-9.1 \pm 11.5 °C
NEEM CFA	$10.27\pm0.15\mathrm{cm}$	$9.65\pm0.15\mathrm{cm}$	$12.3 \pm 1.0 \mathrm{cm}^2$	$11.4 \pm 0.9 \mathrm{cm}^2$	$11.2 \pm~0.6\mathrm{cm^2}$	$1.13 \pm\ 0.01$
	-26.2 \pm 0.4 $^{\rm o}$ C	-25.8 \pm 0.4 °C	-29.1 \pm 1.3 °C	-30.3 \pm 1.2 °C	-30.6 \pm 0.8 $^{\circ}$ C	$\text{-12.1}\pm5.9^{\circ}\text{C}$
NGRIP I	$9.68\pm0.13\mathrm{cm}$					
	$\text{-29.1}\pm0.4^{\circ}\text{C}$					
NGRIP II	$10.14\pm0.12{\rm cm}$					
	-28.8 \pm 0.3 °C					

to a value of $\approx 12\,^{\circ}\text{C}$ for all four experiments. A general trend that seems to be apparent for all the experiments, is that the results for the CD forcing yield slightly lower uncertainties when compared to those for the WH forcing, likely indicating a temperature and accumulation influence in the performance of all the reconstruction techniques.

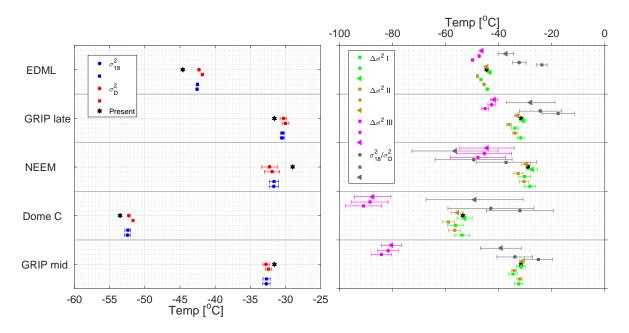


Figure 5.4: Temperature reconstructions based on different fractionation factor parametrizations. The left figure shows the single isotopologue methods and the right figure shows the dual isotope methods. The circles represent using the fractionation factors of Majoube (1970), Merlivat & Nief (1967), the squares represent Ellehoj et al. (2013) and the triangles represent Lamb et al. (2015), Majoube (1970).

404 6.2 Ice core data

The precision $s_{\bar{T}}$ of each reconstruction technique has been quantified by averaging the squared standard deviations of the reconstructed temperatures (Table 5.3). Hence, the precision represents the uncertainty of the estimated diffusion signal, and is not an indication of the accuracy of the absolute temperature estimate. In accordance with the results from the synthetic data test, the most precise reconstructions are obtained when using the single isotope diffusion methods. The single diffusion methods have a $s_{\bar{T}}$ of $0.5\,^{\circ}$ C, while the differential diffusion methods $^{18}\Delta\sigma^2$ I, II and III have a $s_{\bar{T}}$ of $2.4\,^{\circ}$ C, $1.5\,^{\circ}$ C and $4.9\,^{\circ}$ C, respectively. The correlation-based technique is hereby shown to be the least precise differential diffusion method. This differs from the result of the synthetic data, where the correlation-based technique was shown to be the most precise. Of the differential diffusion methods, the linear fit of the logarithmic ratio provides the most precise results, with a precision similar to that found from the synthetic data (Sec. 6.1). Of all the tested techniques, the diffusion length ratio method is the least precise with a $s_{\bar{T}}$ of $11.8\,^{\circ}$ C. A similar precision was found from the synthetic data.

It is not possible to quantify the accuracy of the methods when applied on ice core data, as the recon-

structed temperatures represent the integrated firn column temperature. The reconstructed temperatures should therefore not necessarily be completely identical to present day annual temperatures. However, clear outliers can still be inferred from the data as temperature estimates that deviate with $-30\,^{\circ}$ C from the present day annual mean temperatures are unrealistic. Alternately, the root-mean-square deviation (RMSD) has been calculated in order to quantify the consistency between the reconstructed temperatures of any two methods (x and y):

RMSD =
$$\sqrt{\frac{\sum_{j=1}^{n} (x_j - y_j)^2}{n}}$$
, (6.1)

where j represents the drill site and n the total number of sites.

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First we address the correlation-based and diffusion length ratio techniques as these two methods 425 result in temperatures that clearly deviate with present day annual mean temperatures. Besides the low 426 precision of the diffusion length ratio method, its temperature esimates are highly inconsistent with the 427 results of the other techniques, with RMSDs varying from 21 °C to 34 °C. For the correlation-based 428 technique, similar high RMSDs are found between the temperature estimates of the $^{18}\Delta\sigma^2$ I and III, and the $^{18}\Delta\sigma^2$ II and III techniques. Here, the RMSDs are found to be $24.8\,^{\circ}\mathrm{C}$ and $23.8\,^{\circ}\mathrm{C}$, respectively. 430 A high RMSD (24.5 °C) is also found when comparing the temperature estimates originating from the 431 σ_{18}^2 and $^{18}\Delta\sigma^2$ III methods. The large differences between the correlation-based and spectral-based 432 temperature estimates are particularly pronounced for GRIP, NEEM (Fig. 5.2), Dome C and the discretely 433 measured NEEM section (Fig. 5.3). In addition, it can be seen that the correlation-based method results in 434 significantly different temperatures for the discretely and continuously measured NEEM section. A similar 435 difference is not found from the spectral-based methods. Instead, these provide consistent temperatures 436 independent of the processing scheme. The generally poor performance of the correlation-based method 437 on ice core data contradicts the high accuracy and precision of the synthetic reconstructions, and is most 438 likely caused by an oversimplification of the relationship between δD and $\delta^{18}O$. The generation of the 439 synthetic data is based on the assumption that $\delta D = 8 \cdot \delta^{18} O + 10\%$. However, this premise neglects the 440 time dependent d_{xs} signal. The correlation-based method can therefore be used to accurately reconstruct synthetic temperatures, while the accuracy and precision are much lower for ice core data, as such data 442 has been influenced by the d_{xs} signal. In addition, these temperature estimates have been shown to be dependent on the sampling process. The correlation-based method therefore yields uncertain estimates of 444 the differential diffusion length. 445

The temperature estimates originating from the σ_{18}^2 and σ_D^2 methods are found to have a RMSD of $0.7\,^{\circ}\text{C}$. This shows that the σ_{18}^2 and σ_D^2 methods result in similar temperatures, which is consistent with the high accuracies found from the synthetic data test. In theory, $^{18}\Delta\sigma^2$ should yield even more accurate

temperature estimates as it is independent of the systematic errors that can arise when correcting for ice and sampling diffusion. However, the RMSD between the temperature estimates of the $^{18}\Delta\sigma^2$ I and II 450 techniques is found to be 3.8 °C. The RMSDs between the temperature estimates of the σ_{18}^2 and $^{18}\Delta\sigma^2$ I 451 methods, and the σ_{18}^2 and $^{18}\Delta\sigma^2$ II methods are found to be $3.9\,^{\rm o}{\rm C}$ and $4.8\,^{\rm o}{\rm C}$, respectively. This could 452 therefore indicate that $^{18}\Delta\sigma^2$ I yields more accurate reconstructions than $^{18}\Delta\sigma^2$ II. However, $^{18}\Delta\sigma^2$ II 453 has been shown to have the highest precision. It is therefore difficult to select the most accurate technique. 454 One should therefore not have a preferred technique without utilizing both methods on longer ice core 455 sections. Basically, the reconstructed temperatures could be similar when the temperatures have been 456 averaged over a longer record. 457

458 6.3 The fractionation factors

The temperature estimates resulting from the different fractionation factor parametrizations are shown in 459 Fig. 5.4. For each method, the influence of the choice of parametrization on the reconstructed temperatures 460 has been quantified by calculating the RMSD between temperature estimates of two parametrizations. 461 Comparing the parametrizations of Ellehoj et al. (2013) to those of Majoube (1970) and Merlivat & 462 Nief (1967), the RMSDs of reconstructions that are based on the single diffusion lengths σ_{18}^2 and σ_{D}^2 463 are 0.03°C and 0.6°C. Thus, it is evident that the choice of fractionation factors has an insignificant 464 effect on the results of the σ_{18}^2 method and a small effect on the results of the σ_D^2 method. The choice of parameterization has a greater effect on the temperatures of the $^{18}\Delta\sigma^2$ techniques, where the temperature 466 estimate of the $^{18}\Delta\sigma^2$ I, II and III techniques have RMSDs of 2.3°C, 2.3°C and 2.5°C, respectively. 467 Comparing the parametrization of Lamb et al. (2015) to that of Merlivat & Nief (1967), the temperatures 468 of the $^{18}\Delta\sigma^2$ I, II and III techniques have RMSDs of $0.9^{\rm o}$ C, $0.9^{\rm o}$ C and $1.1^{\rm o}$ C, respectively. In general, 469 smaller RMSDs are found when comparing with temperature estimates based on the Lamb et al. (2015) parametrization. For instance, comparing the temperatures of the σ_{18}^2/σ_D^2 technique based on Lamb et al. 471 (2015) with those of Merlivat & Nief (1967), the σ_{18}^2/σ_D^2 technique yields a RMSD of 5.4°C, while the RMSD is 9.7°C when comparing the results based on the parametrizations of Ellehoj et al. (2013) with 473 those of Majoube (1970) and Merlivat & Nief (1967). There are two reasons to why the RMSDs are 474 smaller when comparing with the Lamb et al. (2015) parametrization: the parametrized α_D of Merlivat 475 & Nief (1967) differs more with that of Ellehoj et al. (2013) than with that of Lamb et al. (2015), and 476 the same α_{18} parametrization is used when comparing with Lamb et al. (2015). The $\sigma_{18}^2/\sigma_{\rm D}^2$ method is significantly more influenced by the fractionation factors. The high RMSDs 478

imply that even if the diffusion length ratio is estimated with high confidence, the method is still too

sensitive to the choice of parameterization. This makes the method less suitable as a paleoclimatic thermometer.

482 7 CONCLUSIONS

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This study assessed the performance of six different diffusion-based temperature reconstruction techniques. By applying the methods on synthetic data, it was demonstrated that each method could be used to accurately reconstruct unbiased temperatures. Moreover, this approach facilitated precision estimates of each method. The precision of each technique was further quantified by utilizing every variety of the diffusion-based temperature proxy on thirteen high resolution data sets from Greenland and Antarctica. The results showed that the single diffusion length methods yielded similar temperatures and that they are the most precise of all the presented reconstruction techniques. The most precise of the three differential diffusion length techniques was the linear fit of the logarithmic ratio. The most uncertain way of reconstructing past temperatures was by employing the diffusion length ratio method. The results from the correlation-based method were inconsistent to the results obtained through the spectral-based methods, and the method was considered to yield uncertain estimates of the differential diffusion length. It was furthermore shown that the choice of fractionation factor parametrization only had a small impact on the results from the single diffusion length methods, while the influence was slightly higher for the differential diffusion length methods. The diffusion length ratio method was highly sensitive to the fractionation factor parametrization, and the method is not suitable as a paleoclimatic thermometer. In conclusion, despite that the dual diffusion techniques seem to be the more optimal choices due to their independence of sampling and ice diffusion or densification and thinning processes, the uncertain estimates should outweigh the theoretical advantages. From the combined analyses of the synthetic data and ice core data, this study has demonstrated that methods based on the single isotope diffusion lengths result in the most accurate and precise estimates of past temperatures.

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518 CO-AUTHORSHIP

519 CH and VG contributed equally to this work.

520 APPENDIX A

521 FIRN DIFFUSIVITY

We express the diffusivity as a function of firn density ρ and we use (Johnsen et al. 2000):

$$D(\rho) = \frac{m p D_{ai}}{R T \alpha_i \tau} \left(\frac{1}{\rho} - \frac{1}{\rho_{ice}} \right). \tag{A.1}$$

The terms used in Eq. (A.1) and the parametrization used for them are described below:

- m: molar weight (kg)
- p: saturation vapor pressure over ice (Pa). We use (Murphy & Koop 2006):

$$p = \exp\left(9.5504 - \frac{5723.265}{T} + 3.530 \ln(T) - 0.0073 T\right)$$
(A.2)

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• D_{ai} : diffusivity of water vapor (for isotopologue i) in air (m²s⁻¹). For the diffusivity of the abundant isotopologue water vapor D_a we use (Hall & Pruppacher 1976):

$$D_{\rm a} = 2.1 \cdot 10^{-5} \left(\frac{T}{T_o}\right)^{1.94} \left(\frac{P_o}{P}\right) \tag{A.3}$$

- with $P_{\rm o}=1$ Atm, $T_{\rm o}=273.15$ K and P,T the ambient pressure (Atm) and temperature (K).
- Additionally from Merlivat & Jouzel (1979) $D_{a^2\mathrm{H}}=0.9755D_\mathrm{a}$ and $D_{a^{18}\mathrm{O}}=0.9723D_\mathrm{a}$ and from
- Barkan & Luz (2007) $D_{a^{17}O} = 0.98555D_a$.
- R: molar gas constant $R = 8.3144 \text{ (m}^3 \text{PaK}^{-1} \text{mol}^{-1})$
 - T: Ambient temperature (K)

• α_i : Ice – Vapor fractionation factor, we use the formulations by Majoube (1970) and Merlivat & Nief (1967) for $\alpha_{s/v}^2$ and $\alpha_{s/v}^{18}$ respectively.

$$\alpha_{\text{Ice/Vapor}} (^2 \text{H}/^1 \text{H}) = 0.9098 \exp(16288/T^2)$$
 (A.4)

$$\alpha_{\text{Ice/Vapor}} (^{18}\text{O}/^{16}\text{O}) = 0.9722 \exp(11.839/T)$$
 (A.5)

$$\alpha_{\text{Ice/Vapor}} \left({^{17}\text{O}}/{^{16}\text{O}} \right) = \exp \left(0.529 \ln \left[\alpha_{\text{Ice/Vapor}} \left({^{18}\text{O}}/{^{16}\text{O}} \right) \right] \right) \tag{A.6}$$

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• τ : The firn tortuosity. We use (Schwander et al. 1988):

$$\frac{1}{\tau} = 1 - b \cdot \left(\frac{\rho}{\rho_{\text{ice}}}\right)^2 \quad \rho \le \frac{\rho_{\text{ice}}}{\sqrt{b}}, \ b = 1.3 \tag{A.7}$$

Based on Eq. (A.7), $\tau \to \infty$ for $\rho > 804.3 \,\mathrm{kg \cdot m}^{-3}$

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APPENDIX B

540 ICE DIFFUSIVITY

The solid ice diffusivity parametrization we use in this study is based on Ramseier (1967) as it is consistent with previous studies. In this section we provide the reader with parametrizations from other studies. We assume an Arrhenius type relationship for the ice diffusivity of the form:

$$D_{\text{ice}} = D_o \exp\left(-\frac{X}{T}\right) \text{ [m}^2 \text{s}^{-1}\text{]}.$$
 (B.1)

In Eq. B.1, D_o is the pre-exponential factor in $\mathrm{m^2 s^{-1}}$ and X is the Arrhenius coefficient where X = Q/R with Q being the activation energy in $\mathrm{kcalmol^{-1}}$ and R the universal gas constant $(8.314 \ \mathrm{JK^{-1}mol^{-1}})$.

Results from four experimental studies are summarized in Table B.1. Together with the pre-exponential factors and the activation energies, we also evaluate the four different expressions of ice diffusivities for the temperature of $T = 245 \mathrm{K}$.

When $D_{\rm ice}$ is known, a $\sigma_{\rm ice}$ calculation can be obtained by solving Eq. 2.5. Using the integrating factor $F = \exp\left(\int -2\dot{\varepsilon}_z\left(t\right) {\rm d}t\right)$ we get:

$$\frac{\mathrm{d}}{\mathrm{d}t} \left(\sigma_{\mathrm{ice}}^2 e^{\int -2\dot{\varepsilon}_z(t)\mathrm{d}t} \right) = 2D_{\mathrm{ice}} \left(t \right) e^{\int -2\dot{\varepsilon}_z(t)\mathrm{d}t}$$
(B.2)

This finally yields the ice diffusion length for a layer with age t' that has undergone ice flow thinning S(t'):

$$\sigma_{\text{ice}}^{2}(t') = S(t')^{2} \int_{0}^{t'} 2D_{\text{ice}}(t) S(t)^{-2} dt$$
 (B.3)

Table B.1: Four experimental studies with their estimated activation energies (Q), the pre-exponential factors (D_0) , the activation energies (X), and the corresponding ice diffusivities for the temperature of $T = 245 \,\mathrm{K} \,(D_{245})$.

	$D_o \left[\text{m}^2 \text{s}^{-1} \right]$	$Q [\mathrm{kcalmol}^{-1}]$	$X [\mathrm{K}^{-1}]$	$D_{245} [\mathrm{m}^2 \mathrm{s}^{-1}]$
Ramseier (1967)	$9.2\cdot10^{-4}$	14.28	7186.5	$1.68 \cdot 10^{-16}$
Itagaki (1964)	0.014	14.97	7534.2	$6.18 \cdot 10^{-16}$
Blicks et al. (1966)	$2.5\cdot 10^{-3}$	14.51	7302.4	$2.85\cdot10^{-16}$
Delibaltas et al. (1966)	$2.6\cdot10^{-3}$	15.66	7881.9	$2.83 \cdot 10^{-16}$

553 APPENDIX C

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GENERATING SYNTHETIC DATA

The time series are generated using an AR-1 process with coefficient r_1 and variance ε

$$\delta_{n} - r_{1}\delta_{n-1} = \varepsilon_{n}. \tag{C.1}$$

For the AR-1 process we use $r_1=0.3$ and $\varepsilon=120\,\%^2$ for CD and $200\,\%^2$ for WH. Each data section is $20\,\mathrm{m}$ long and has an initial spacing of $10^{-3}\,\mathrm{m}$. The $\delta\mathrm{D}$ and $\delta^{17}\mathrm{O}$ series are then generated assuming a d_{xs} signal of $10\,\%$ and an $\Delta^{17}\mathrm{O}$ signal of 0 per meg:

$$\delta D = 8 \cdot \delta^{18} O + 10\% \tag{C.2}$$

$$\Delta^{17}O = \ln(\delta^{17}O + 1) - 0.528\ln(\delta^{18}O + 1)$$
 (C.3)

The time series are then forward-diffused by means of numerical convolution with a Gaussian filter of variance σ^2 equal to the diffusion length for every case (Table 5.1). Sampling with a discrete scheme of $\Delta=0.025\,\mathrm{m}$ and addition of white measurement noise completes the process of the time series generation. We use a noise level of $0.05\,\%$, $0.07\,\%$ and $0.5\,\%$ for $\delta^{17}\mathrm{O}$, $\delta^{18}\mathrm{O}$ and $\delta\mathrm{D}$ respectively, with the numbers being representative of measurement noise we have been observing over years of ice core measurements in our laboratory.

For a WH scenario, the sampled outputs of the synthetic data before and after diffusion is shown in Fig. C.1. Applying the forward-diffusion smoothens the large amplitudes of the high frequencies. This effect is further illustrated in Fig. C.2 which shows the PSD of the sampled signal before and after diffusion.

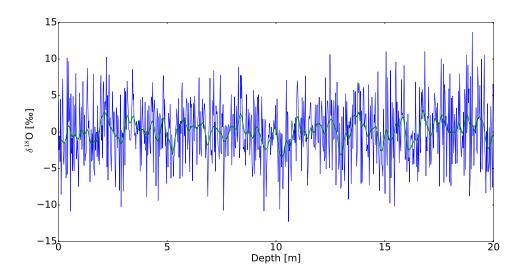


Figure C.1: Synthetic generated $\delta^{18}O$ series (WH) which both have been sampled. Blue curve represents the data before diffusion, and the green curve represents the data after diffusion. $\sigma=8.4\,\mathrm{cm}$.

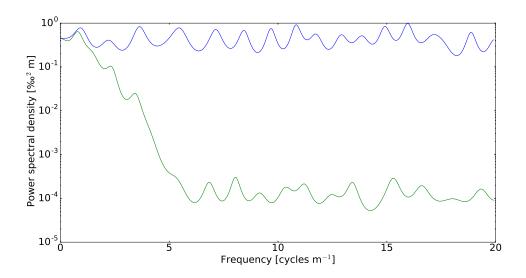


Figure C.2: PSD of the synthetic generated $\delta^{18}O$ series (from Fig. C.1). Blue curve represents the PSD before diffusion, and the green curve represents the PSD after diffusion.

APPENDIX D

DISCRETE SAMPLING DIFFUSION

The sample diffusion length $\sigma_{\rm dis}$ is estimated by setting the transfer function of a Gaussian filter equal to a rectangular filter with width of the sample size Δ . The transfer function for the Gaussian filter in Eq. 2.3 is found by its Fourier transform:

$$\mathfrak{F}[\mathcal{G}] = \hat{\mathcal{G}} = e^{-\frac{k^2 \sigma_{\text{dis}}^2}{2}}.$$
 (D.1)

A regular rectangle function is defined as:

$$\operatorname{rect}(t) = \begin{cases} 1 & \text{for } -\frac{1}{2} < t < \frac{1}{2} \\ \frac{1}{2} & \text{for } t = |\frac{1}{2}| \\ 0 & \text{for } t > |\frac{1}{2}| \end{cases}$$
 (D.2)

This can be transformed into a rectangular function $(\Pi(t))$ with width Δ and amplitude A:

$$\Pi(t) = A \cdot \text{rect}(t \cdot \Delta), \quad \text{for } -\frac{\Delta}{2} < t < \frac{\Delta}{2}.$$
 (D.3)

Normalization yields an amplitude of $A=1/\Delta$. The Fourier transformation of the rectangular pulse is

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$$\hat{\Pi}(f) = \int_{-\infty}^{\infty} \Pi(t)e^{-2\pi i f t} dt = \int_{-\Delta/2}^{\Delta/2} \frac{1}{\Delta} e^{-2\pi i f_{\text{Nq}} t} dt, \tag{D.4}$$

where $f_{\rm Nq}=1/(2\Delta)$ is the Nyquist frequency. Setting equations D.1 and D.4 equal to each other:

$$e^{-\frac{k^2 \sigma_{dis}^2}{2}} = \int_{-\Delta/2}^{\Delta/2} \frac{1}{\Delta} e^{-2\pi i f_{nq} t} dt,$$
 (D.5)

where $k=2\pi f_{\rm Nq}$. This results in the following solution for the discrete sampling diffusion length:

$$\sigma_{\rm dis}^2 = \frac{2\Delta^2}{\pi^2} \ln\left(\frac{\pi}{2}\right). \tag{D.6}$$

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