

**FIA - WS 2014/15**

# 1 Introduction

## 1.1 Internet

- loosely hierarchical: tier 1 to tier 3
- communications infrastructure enables distributed applications
- hyper...  $\Rightarrow$  concentration of content (Amazon, Google, ...)

## 1.2 Protocol Layering

- ISO/OSI
- TCP, UDP, ICMP, UDP Like, SCTP, ...
- DHCP, NAT, ...

## 1.3 Analogue digital conversion

- Fourier transformation
- sampling theorem + Nyquist
- PCM-transmission
- small amplitudes are being encoded more detailed than large amplitudes

## 1.4 Color Coding

- monochrome, RGB, YCbCr
- RGB:
  - red, green, blue values between  $[0, \{7,31,...,65535\}]$
  - nonlinear encoding of intensities
  - computer graphics
- YCbCr
  - TV and digital video
  - Y more important  $\Rightarrow$  encode with more details
  - more efficient than RGB
  - can handle downsampling better
  - YUV is based color model used in analog color TV
    - \* YCbCr is scaled and offset version
    - \* YPbPr is the analog version of YCbCr

## 2 Digital coding of audio and video

### 2.1 Rate-Distortion Theory

- lossless compression algorithms
    - allow perfect reconstruction
    - low compression ratios
    - frequently encountered data is encoded more efficiently
  - lossy compression algorithms
    - result is only a close approximation of original data
    - trade-off: distortion vs. required rate
    - much higher compression rate than lossless compression
- //TODO: bild einfuegen
- rate and distortion as measures for efficiency of compression and difference between reconstructed and original data
    - goal is to minimize distortion and rate
    - basic problem:
      - \* minimum expected distortion at a given rate?
      - \* minimum rate to achieve given distortion?
  - distortion measures
    - mean square error  $\sigma^2 = \frac{1}{N} \sum_{i=1}^N (x_i - y_i)^2$
    - signal to noise ratio  $SNR = 10 \log_{10} \frac{\sigma_x^2}{\sigma_d^2}$
    - peak signal to noise ratio  $PSNR = 10 \log_{10} \frac{x_{peak}^2}{\sigma_d^2}$
  - in order to maximize efficient communication maximize mutual information between x and y
  - rate distortion function

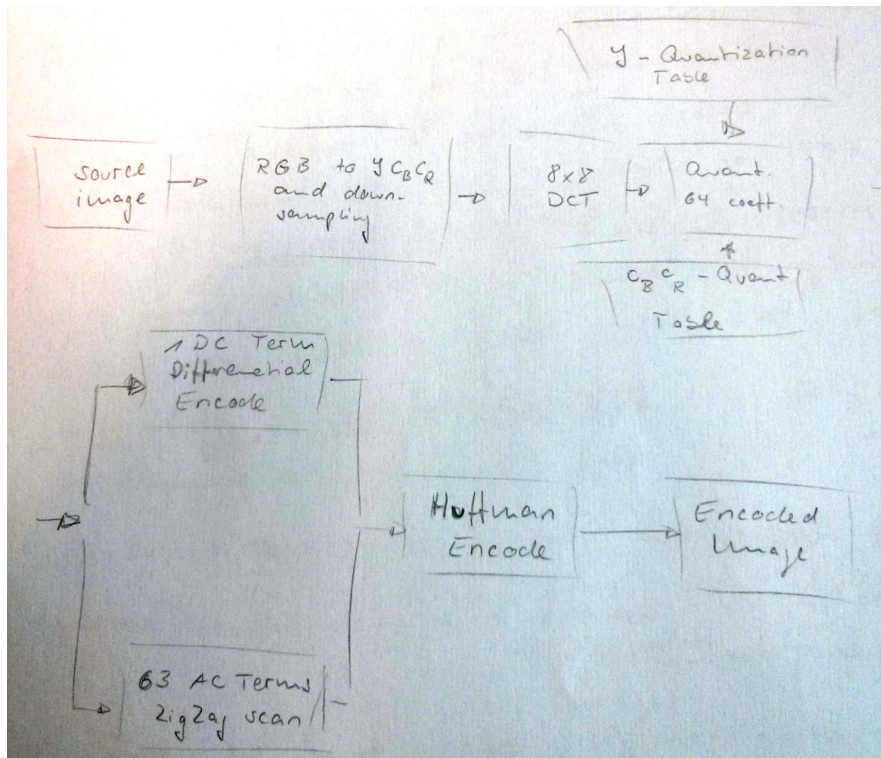
### 2.2 digital image

- conversion between RGB and YUV
- downsampling J:a:b
  - 4:4:4  $\hat{=}$  no downsampling
  - 4:2:2  $\hat{=}$
  - 4:2:0  $\hat{=}$
- statistical image modeling
  - all natural images occupy a tiny and unknown sloped space of all images
  - pixel intensities are dependent and correlated to the corresponding image  $\Rightarrow$  pixel within images are highly correlated
  - correlation of pixels has high impact on image compression
- image transformations
  - negative transformations

- log transformations
- power-law transformations
- intensity:
  - change in brightness  $\Rightarrow$  shift of histogram
  - change in contrast  $\Rightarrow$  stretch/? of histogram
- filters based on convolution of neighbor pixels  $\Rightarrow$  enhancement, smoothing, edge, detection, ...
- the hoar transform
  - simplest useful energy compression
  - lossless retransformation is possible
  - transform original image into 4 parts (in 20)
    1. top-left:  $a+b+c+d$ , 4 point average, very important
    2. top-right:  $a-b+c-d$ , average horizontal gradient, less important
    3. bottom-left:  $a+b-c-d$ , average vertical gradient, less important
    4. bottom-right:  $a-b-c+d$ , diagonal curvature, less important
  - 1 is more expensive while 2-4 is cheaper to encode
  - reordering required to provide “typical” representation
- entropy – quantifying the required bitrate
  - entropy  $H_x$  represents the mean number of bits per pixel that are required to encode the image
  - $H_x = -\sum_{i=0}^{M-1} p_i \log_2 \left( \frac{1}{p_i} \right)$  where  $p_i = \frac{\text{pixel in bin } i}{N}$  where  $N$  is the number of pixels
  - estimated number of bits needed is  $H_x \cdot N$
- the Karkunen-Loeve transform (KLT)
  - is optimal for minimizing bitrate
  - not or seldom used in practice  $\Rightarrow$  slow and complex
- the discrete cosine transformation (DCT)
  - seems to put most energy on a small number of values
  - linear transformation
  - each real world image can be represented by a combination of the DCT bases
  - DCT bases fit better to typical images than FFT or DST
  - first coefficient of DCT is mean of values being transformed and represents average tone of the block. subsequent blocks add further detail
  - JPEG:  $8 \times 8$  DCT (empirically found to be good)
- need for wavelets
  - signals are available in time-domain but processing frequency information is much more easy
  - transformations (e.g. FFT) translate time-domain signals into frequency domains
    - \* useful for stationary signals where all frequencies are present at all times
    - \* not useful for instationary signals: both information required
    - \* solution: short term transformation—transformation of small fixed timewindows (similar to blocks of images)
  - wavelet analysis uses different sized windows
    - \* high frequency parts  $\Rightarrow$  small windows  $\Rightarrow$  good time resolution
    - \* low frequency parts  $\Rightarrow$  big windows  $\Rightarrow$  good frequency resolution

## 2.3 JPEG compression

- joint photographic experts groups



## 2.4 digital video

- high correlation between successive frames
- interframe differential pulse code modulation ( $\Rightarrow$  vgl. skript skizze)
- frame replenishment  $\Rightarrow$  pixels are only transmitted if a specified threshold is exceeded, otherwise nothing is transmitted
- change detection
  - pixel wise change detector
  - block wise change detector
  - comparison between current and previous frame
    - \* subtract previous frame from current one
    - \* convert to absolute value
    - \* generate  $3 \times 3$  averages
    - \* check for threshold
- motion compensated coding
  - changes between frames due to moving objects  $\Rightarrow$  estimation of motion vectors
  - prediction and original frame may differ significantly
    - \* solution: compute and transmit prediction error additionally
    - \* higher coding efficiency but also higher computational complexity
  - three-stage-coding:

Motion Analysis  $\Rightarrow$  Prediction and differentiation  $\Rightarrow$  Encoding

- block matching

- partitioning of frame into nonoverlapping equally spaced and fixed size rectangular blocks
- smaller block size  $\Rightarrow$  better approximation but higher computational complexity
- $16 \times 16$  blocks used in MPEG-1 and -2
- calculate best displacement vector for each block
- search strategies:
  - \* full search
  - \* 2D logarithmic search
  - \* diamond search
- grouping of elements
  - sequence  $\rightarrow$  frame  $\rightarrow$  slice  $\rightarrow$  macroblock  $\rightarrow$  block  $\rightarrow$  pixel
- different frame types
  - I-frame: intra-coded-frame: independent of other frames
  - P-frame: predictively coded frame: depends on previous frame
  - B-frame: bidirectionally predicted frame: depends on previous and subsequent frames
- scalable video encoding – H.264/SVC
  - different frame rates
  - different resolutions
  - variable image quality
  - layered video codec (LVC)
    - \* base layer is encoded in H.264/SVC
    - \* enhancement layers allow refinement of base quality
    - \* different combinations of temporal, spatial and quality refinement is possible  
TODO: Bild einfuegen
    - \* refinement is represented by 3D cube of cubes
  - temporal scalability: adding B-frames to the base layer
  - spatial scalability: 3 mechanisms
    - \* interlayer prediction
    - \* residual prediction
    - \* motion prediction
  - quality scalability
    - \* interlayer prediction (like in spatial scaling)
    - \* up sampling
    - \* different quantization parameters
  - idea is to adapt the video quality to the available bandwidth by removing or adding enhancement layers
- network abstraction layer (NAL)
  - frames are packaged in NAL units
    - \* payload of 1 package is one layer of one frame
    - \* layers are separated into different streams
    - \* MANE (media aware network element)
      - decides package forwarding
      - separate layers on separate flows (???) allow adapted forwarding
- use of LVC and NAL
  - adaption in case of device or network limitations

- reduce storage size by removing enhancement layers
- video broadcasting: base layer free + paid enhancement
- multi description coding
  - n frames/s using 1 description
  - 2n frames/s using 2 description
  - 2 alternatives
    1. independent description: robust + inefficient
    2. → less robust + more efficient
  - robust against package loss
- comparison of different video codings
  - layered > MDC2 > MDC1
  - non variable coding is most efficient but not adaptive to changes in network limit or device limitations

## 2.5 Estimating quality of media applications

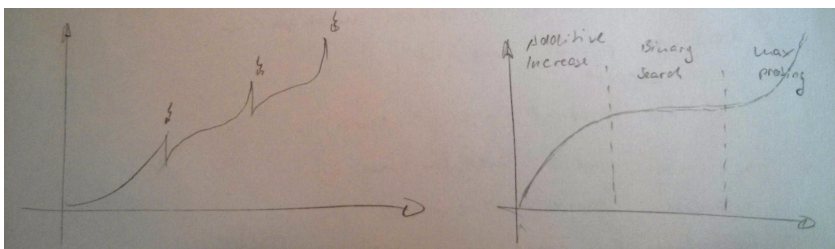
- what is QoE?
  - the overall acceptability of an application or service as perceived subjectively by the end user
  - definition is still evolving
  - closely related to QoS
  - QoE is usually a subjective value
  - assessed by asking or observing users
  - related values:
    - \* quality of presentation, quality of delivery
    - \* hourglass model matches QoX with layers of the ISO/OSI model
    - \*  $QoS \rightarrow QoD \rightarrow QoP \rightarrow QoE$
  - often characterized with MOS (mean opinion score)
- QoE assessment methods and tools
  - subjective QoE
    - \* ask or observe the user (use questionnaires, rating scales, annotations)
  - mean opinion score
    - \* widely used (politics, marketing, ...)
    - \* the likely level of satisfaction of a service or product as appreciated by an average user
    - \* average might be resulting by two extremes
    - \* use discrete scales and an odd number of steps
- subjective tests are often expensive and time consuming
  - objective algorithms are desired (MOS predictors)
    - \* MSE (bad)  $\Rightarrow$  doesn't care about reordering
    - \* PSNR  $\Rightarrow$  correlation of c.a. 80 % to subjective studies (but sometimes much worse)
    - \* maximum pixel deviation
    - \* multiscale structural similarity (MSSIM)  $\Rightarrow$  very good
- region of interest  $\Rightarrow$  salient vs. non-salient regions (e.g. football)

# 3 data communication and transport protocol

- connection-oriented:
  - setting up a call and release the call afterwards (TCP)
- connection-less:
  - packet switching without call establishment

## 3.1 single path transport protocol

- UDP and UDP-lite
  - SRC part || DST part || length || checksum || data
    - \* suitable for real-time applications (nondelayed transmission)
    - \* works well for broadcasting (e.g. service discovery, video broadcast)
  - UDP-like replaces length with checksum coverage
  - UDP-like headers must be protected by the checksum
- TCP basics
  - SYN and ACK flags
  - sequence numbers: number of packet ascending
  - acknowledgement number: if ACK is set, this is the next sequence the receiver expects
  - connection establishment (3-way-handshake)
    - \* client sends SYN with random sequence number  $A$
    - \* server responds ACK with acknowledgement number  $A + 1$  and random sequence number  $B$
    - \* client sends ACK with acknowledgement number  $B + 1$  and sequence number  $A + 1$
    - \* result: full duplex connection
  - key features of TCP
    - \* orders data transfer
    - \* retransmission
    - \* error free data transfer
    - \* flow control
    - \* congestion control
  - TCP measures round-trip-time (RTT) and calculates expected RTT for future packets
- compound TCP
  - combines delay-based and loss-based congestion control
  - higher throughput than normal TCP at high RTT
- cubic TCP





- fair for low RTT, very aggressive for high RTT
- RED – random early detection
  - schedulers for congestion avoidance
  - idea: monitor average queue size and drop packets based on statistical probabilities
  - problems: has strong impact on TCP (retransmission)
- ECN – explicit congestion notification
  - allows end-to-end notifications of network congestion without dropping packets
  - ECN-routers may set a mark in the TCP headers to signal impending congestion to the receiver. the receiver echos a signal to the sender which then can reduce transmission rates
  - can reduce the number of dropped packets
  - may reduce performance on highly congested networks
- DCCP – congestion control without reliability
  - TCP: long living flows to guarantee fairness
  - UDP: short living flows and multicast applications
  - problem: UDP is used for long-living flows with live constraints: streaming, VoIP, online-gaming  $\Rightarrow$  no congestion control using UDP may result in congestion collapse of the internet
  - requirements:
    - \* choice of congestion control mechanisms
    - \* low per-packet overhead
    - \* ECN support
    - \* middlebox traversal (NAT/Firewall)
  - congestion control mechanisms
    - \* TCP-like: congestion control
    - \* TCP-friendly: congestion control
  - SCTP – stream control transmission protocol
    - \* properties:
      - security: 4-way-handshake
      - resilience: multi-homing, crc32-checksum
      - practical features:
        - IPv4 and IPv6 simultaneously
        - multi-streaming
        - message-oriental
        - support extensions
    - \* multi-homing
      - SCTP associates two nodes (connection)
      - if primary path between nodes breaks connection switches to another path
    - \* multi-streaming (vgl. script 3.49)

## 3.2 multi-path transport protocols

- multi-path TCP: split data transmission via multiple paths
  - \* enhances reliability and flexibility
  - \* allows balancing of data transmission via links with different limitations
  - \* common problem: out-of-order arrival