

CT5401

Spatial Tools for Water Resources Management

Microwave Remote-Sensing

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6:22 am PST



NASA Launches Soil Moisture Mapper

NASA Jet Propulsion Laboratory 



 [Subscribe](#)

178,846

21,740

Outline

- **Introduction** to microwave remote sensing
- **Passive** microwave remote sensing
- **Active** microwave remote sensing
- **Guest speaker:**
Robin van der Schalie (vandersat)

**Exercise: Soil Moisture and precipitation
in West Africa**

Acknowledgements

- Canadian Center for Remote Sensing
- NASA, ESA
- SMAP Handbook
- Comet/MetEd at UCAR

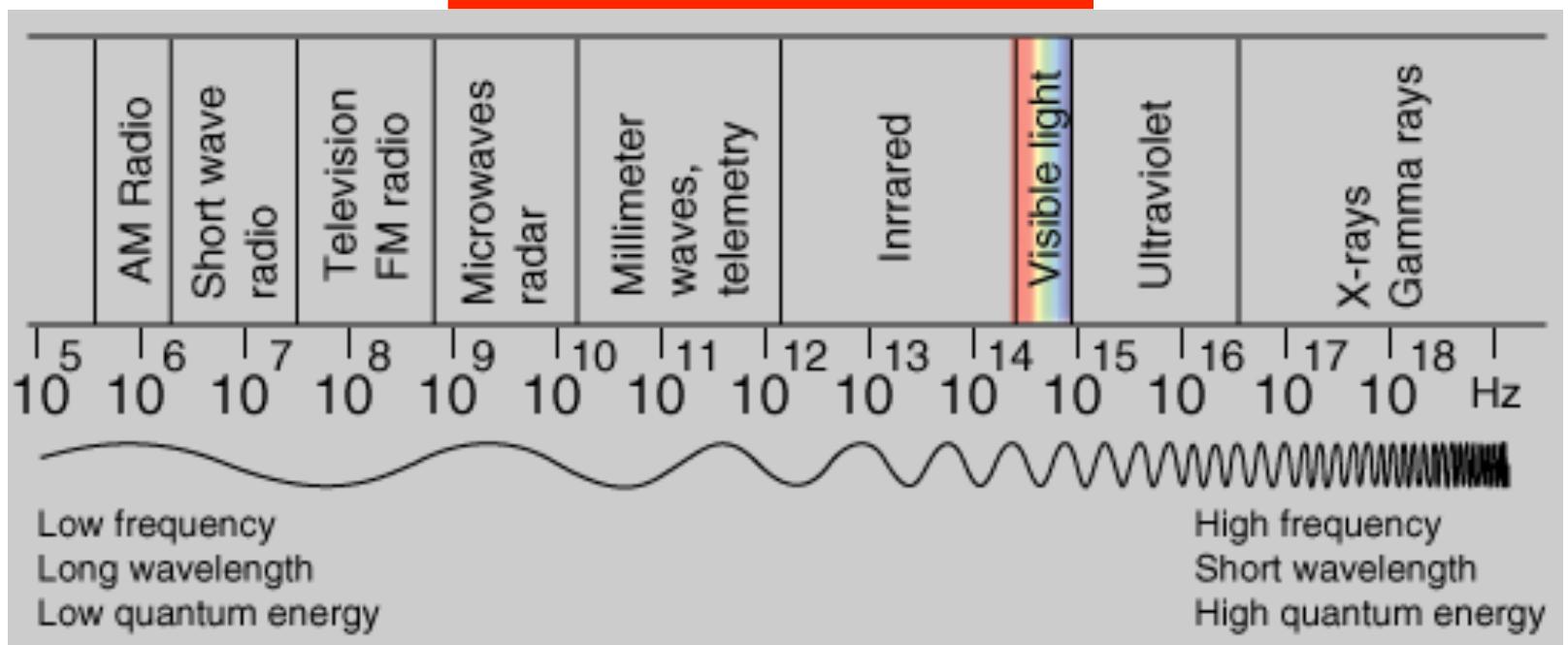
Introduction to Microwave Remote Sensing

By the end of this section, you will be able to:

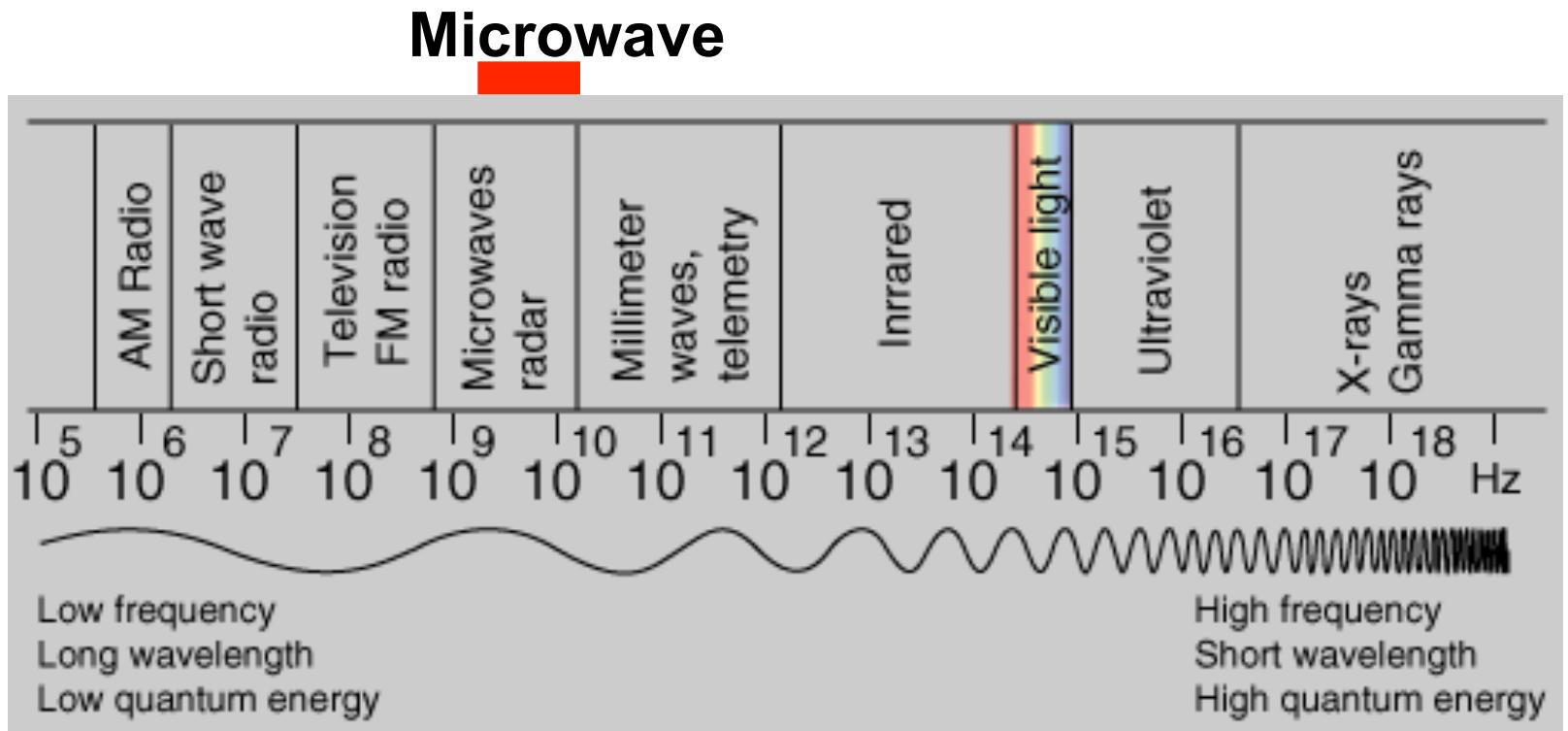
- List the advantages of MW remote sensing
- Discuss how MW remote sensing complements VIS and IR remote sensing
- Define the dielectric constant and explain its significance in MW RS
- Discuss the differences between active and passive MW RS

Satellite principles: The Spectrum

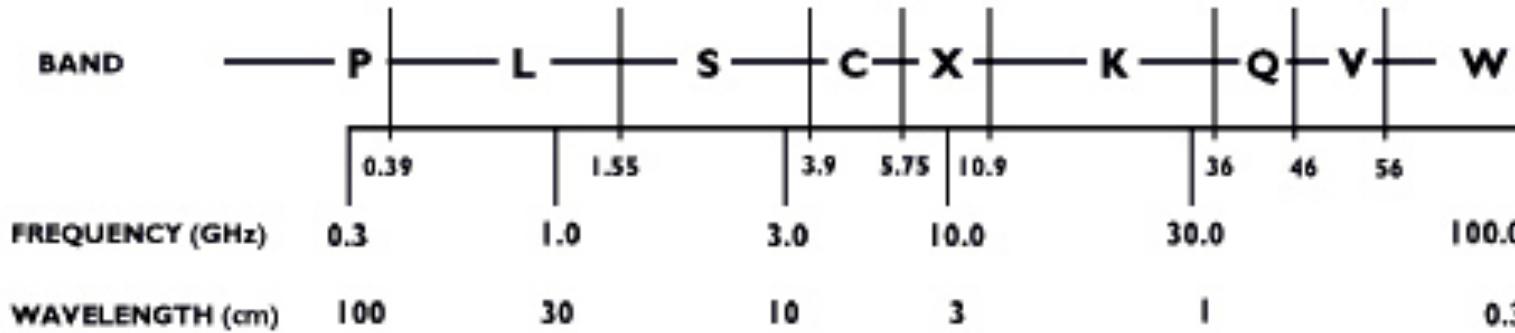
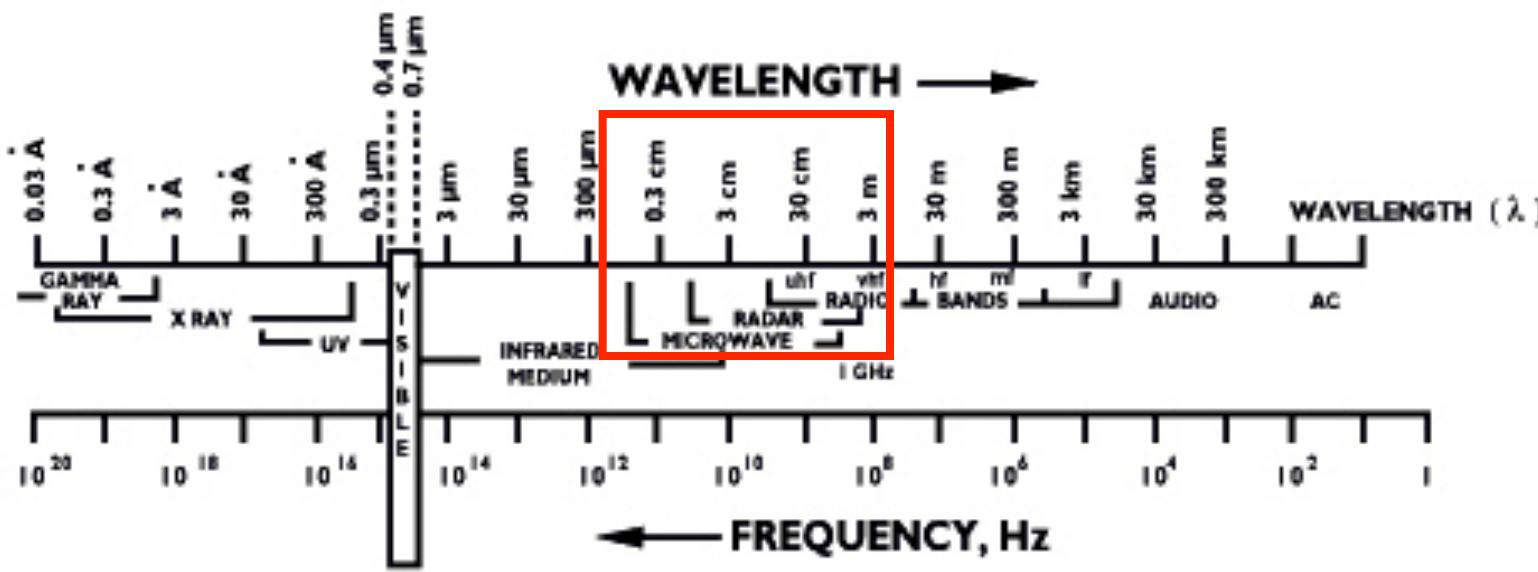
Most useful for hydrology



Satellite principles: The Spectrum



Microwaves have wavelengths of 0.1-100cm



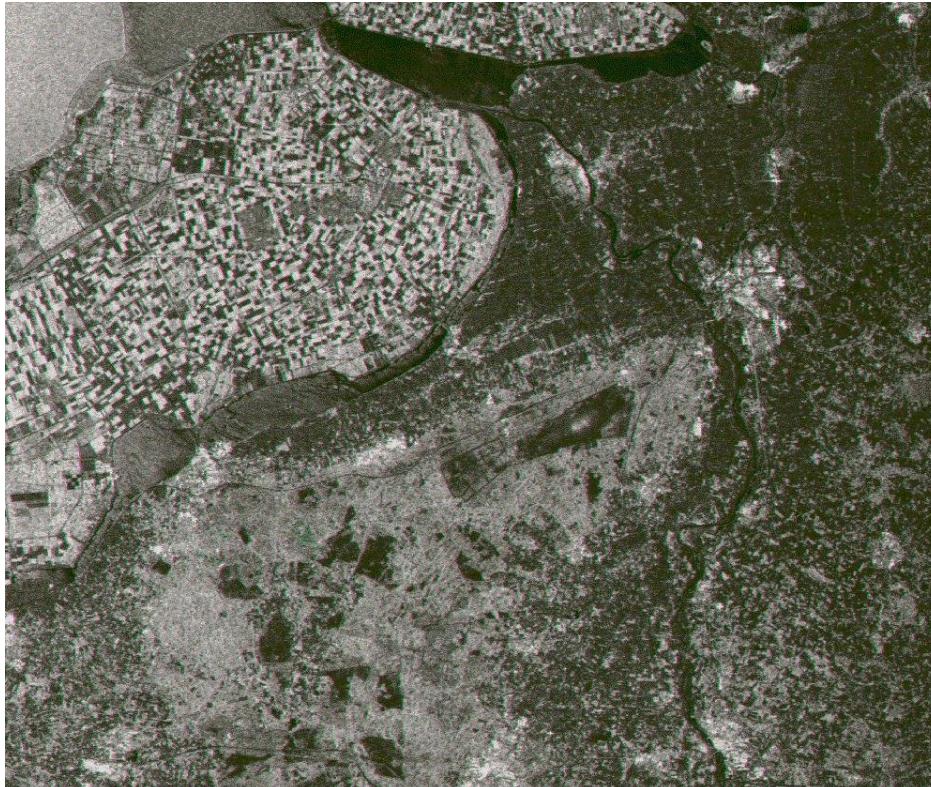
Source:
ESA

Different microwave bands provide information about different object characteristics.

Advantages of using microwave ($\lambda = 1\text{-}100\text{cm}$)

Do not need illumination from the sun

=> images can be acquired day and night!

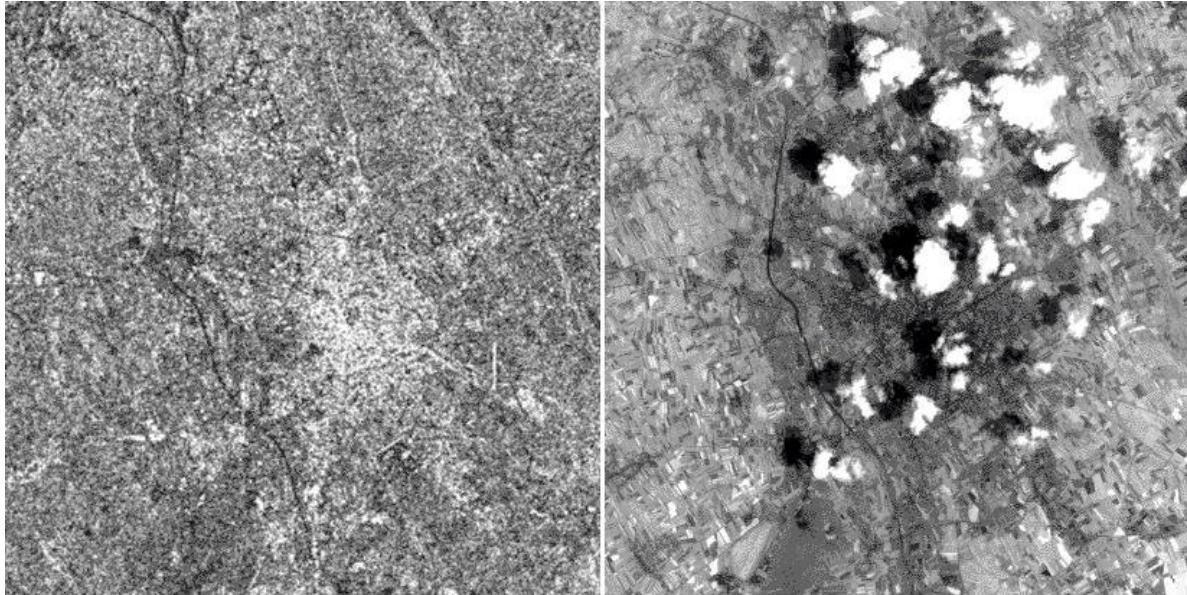


SAR image (ERS-1),
The Netherlands
02/08/1991 local time 23:40
Source: ESA

Advantages of using microwave ($\lambda = 1\text{-}100\text{cm}$)

Can penetrate clouds

& Independent of atmospheric effects like haze.



Udine, Italy

ERS-1(microwave)
04/07/1993
9:59am (GMT)

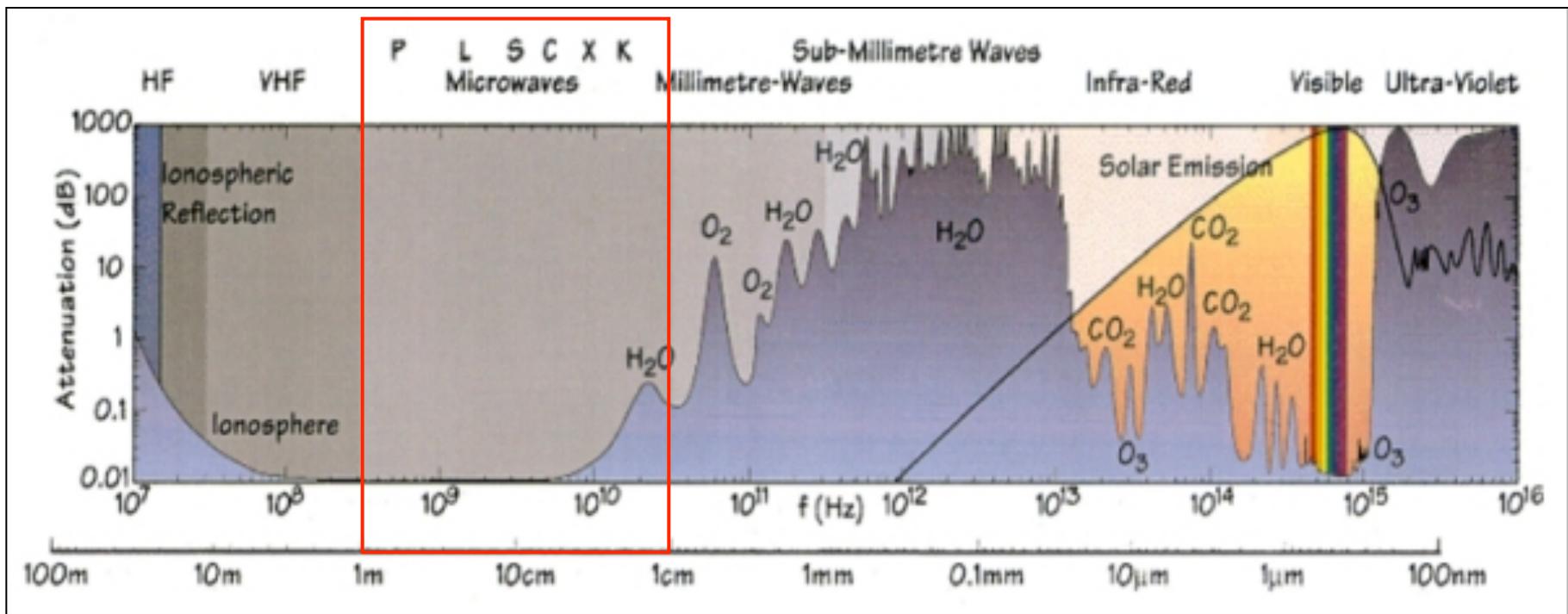
Landsat-5 (optical)
04/07/1993
9:14am(GMT)

Source:
ESA

Advantages of using microwave ($\lambda = 1\text{-}100\text{cm}$)

Can penetrate clouds

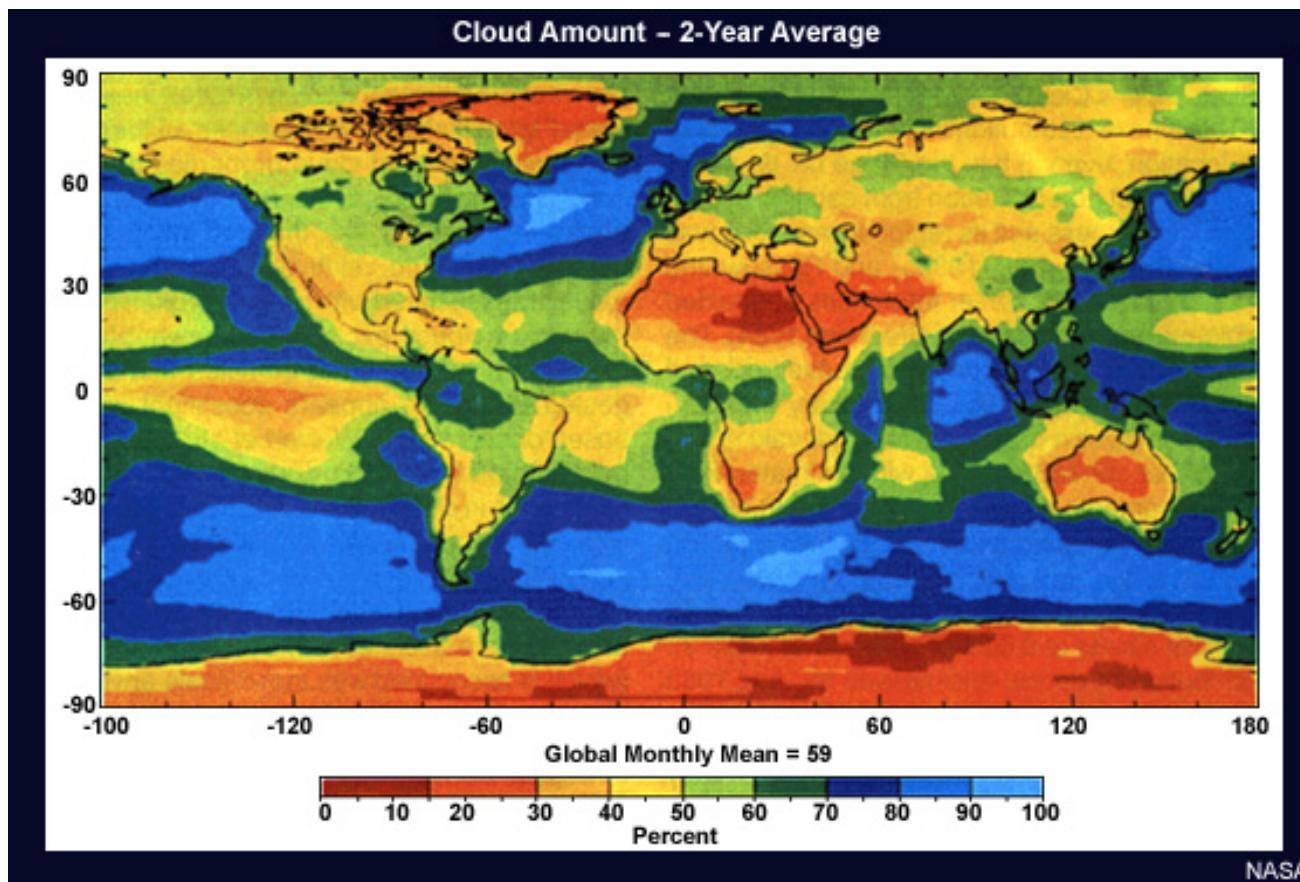
& Independent of atmospheric effects like haze.



Advantages of using microwave ($\lambda = 1\text{-}100\text{cm}$)

Can penetrate clouds

& Independent of atmospheric effects like haze.



Microwave sensors in polar orbits

- Polar or near polar orbit
- Sun-synchronous
- Altitude ~800km



- View fixed point at same local time (e.g. 6am/6pm)
- Need more frequent observations? – extra satellites!

http://en.wikipedia.org/wiki/File:Polar_orbit.ogg

https://www.youtube.com/watch?v=y_jM_BxQGvE

Remote-sensing techniques

Passive

Employ natural sources of energy,

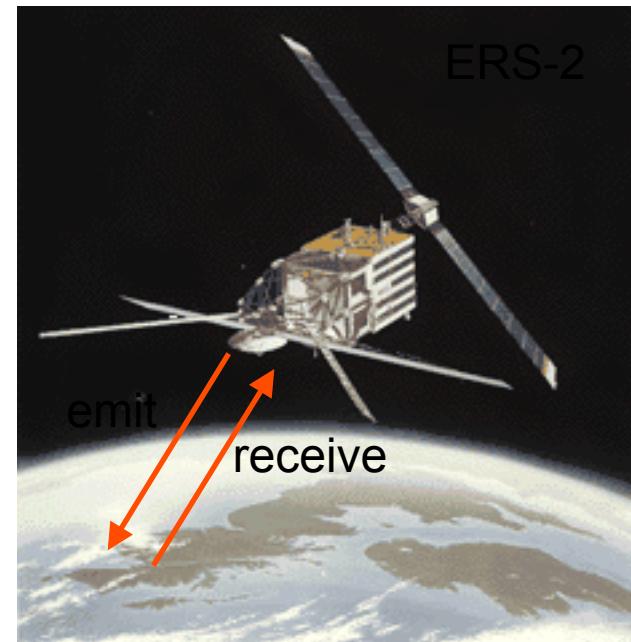
e.g. the sun (optical) or the earth itself (MW) or both (thermal).



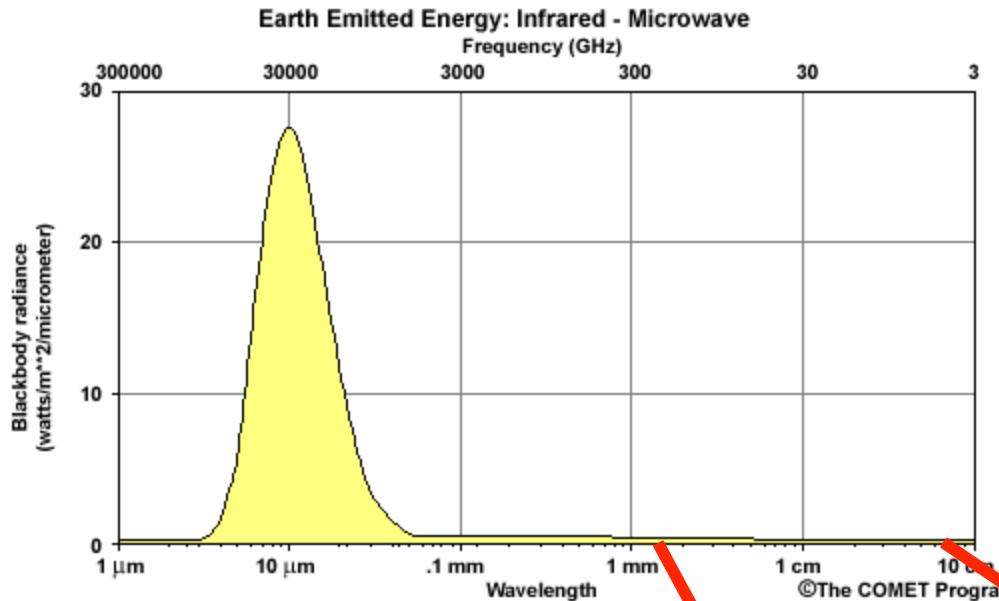
Active

Have their own source of energy.

In lidar, this source is optical.
In radar, this source is MW.



Energy available to Passive MW sensors



Lower frequency

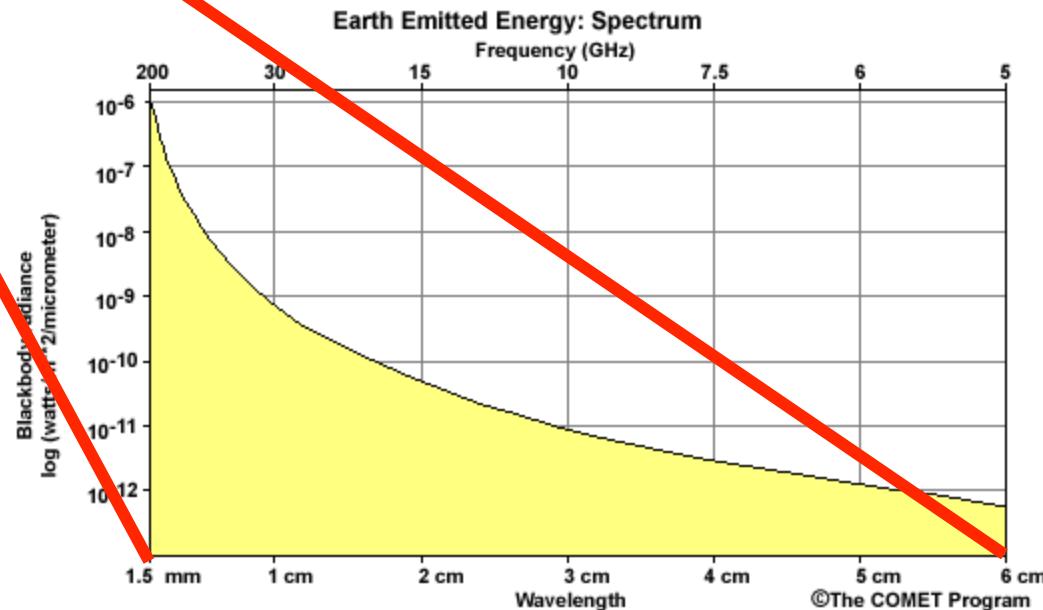
Less energy

Need a larger

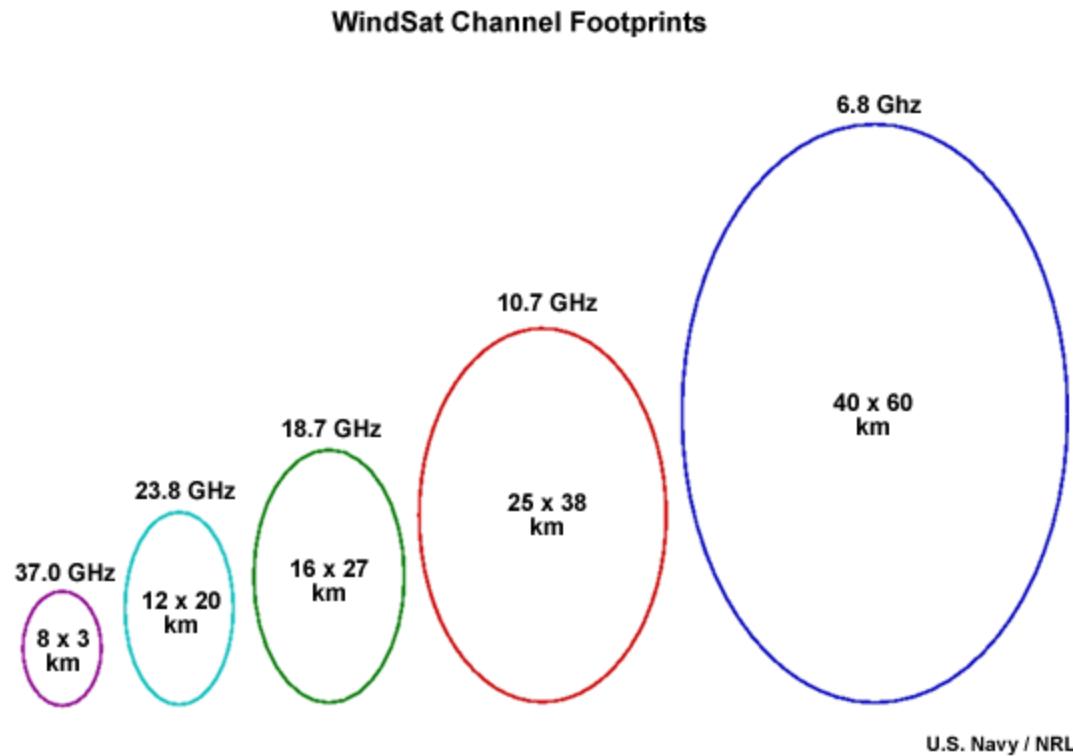
“field of view”

compared to VIS and IR

> 10km



Energy available to Passive MW sensors



Lower frequency

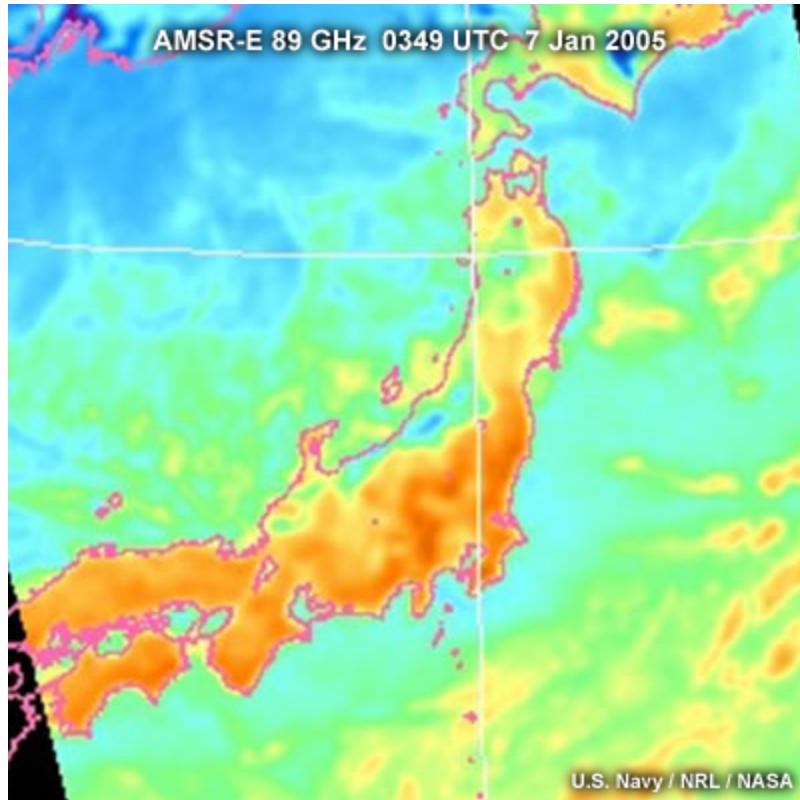
Longer wavelength

Less energy

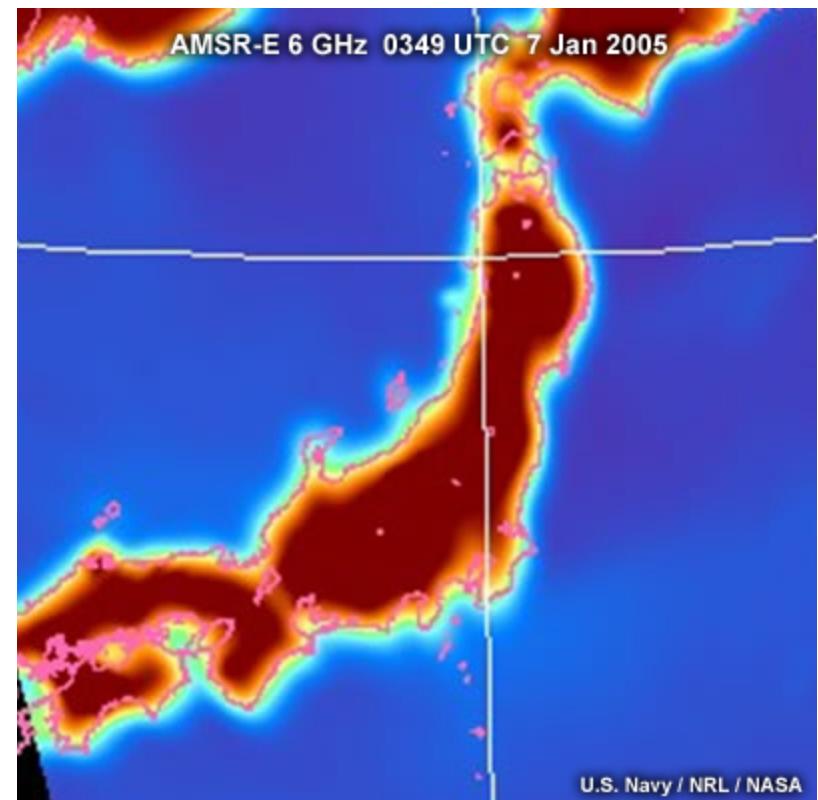
=> Need larger field of view!

Energy available to Passive MW sensors

5km FOV



50km FOV

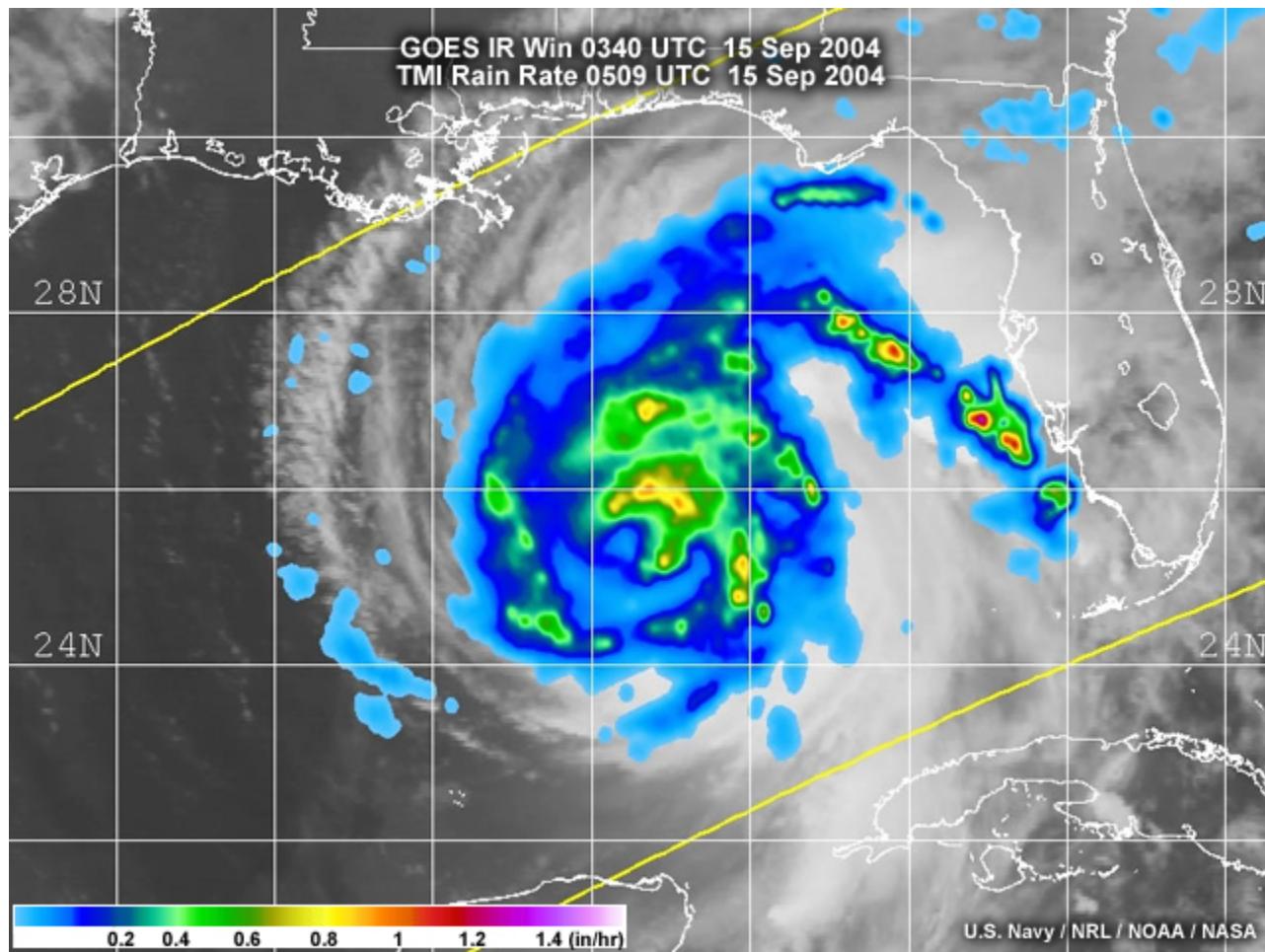


Effect of changing field-of-view on image quality

Influence of water on signal along coastline

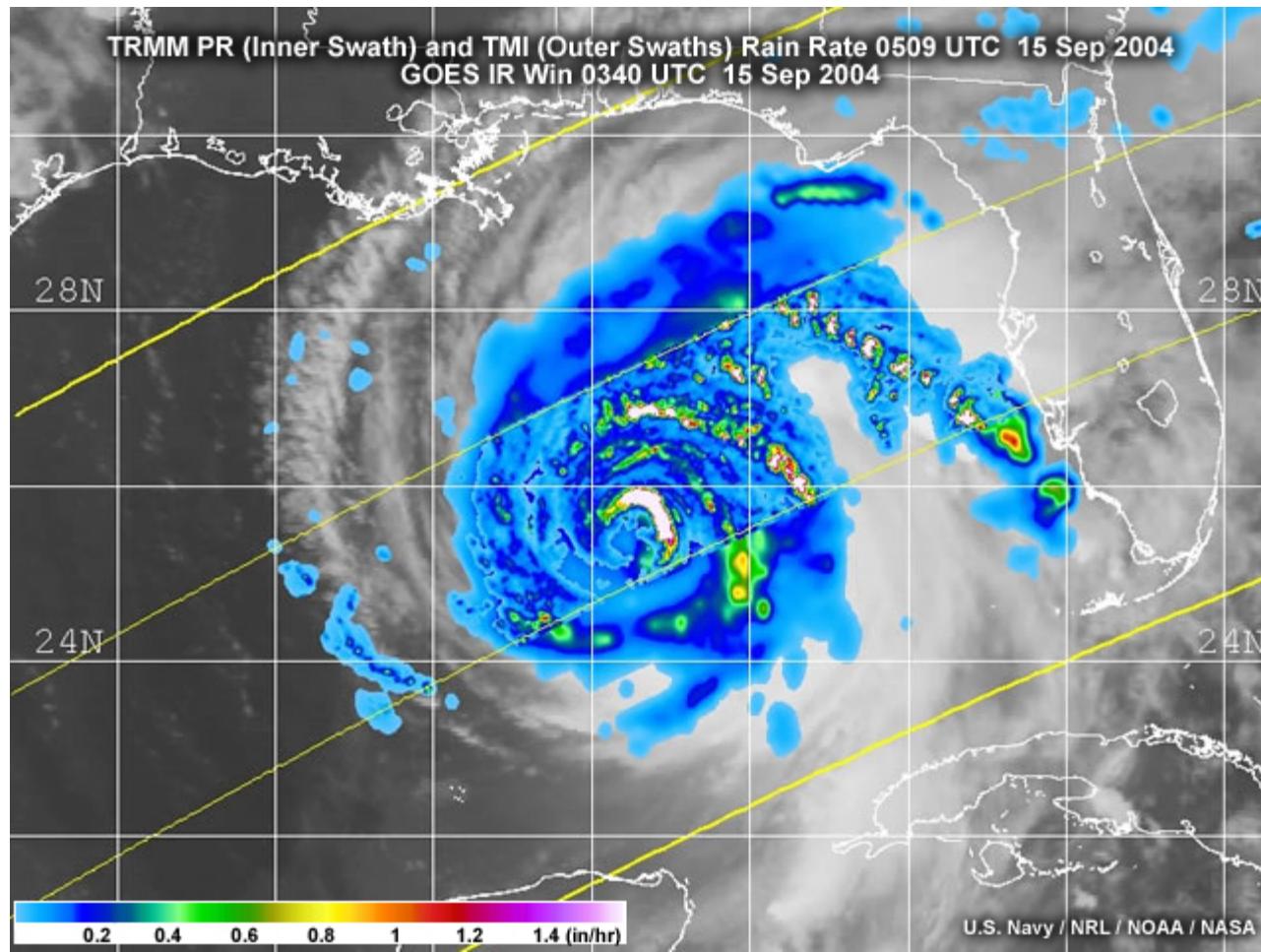
Active v. Passive Precipitation Sensing

TRMM (passive) microwave imager



Active v. Passive Precipitation Sensing

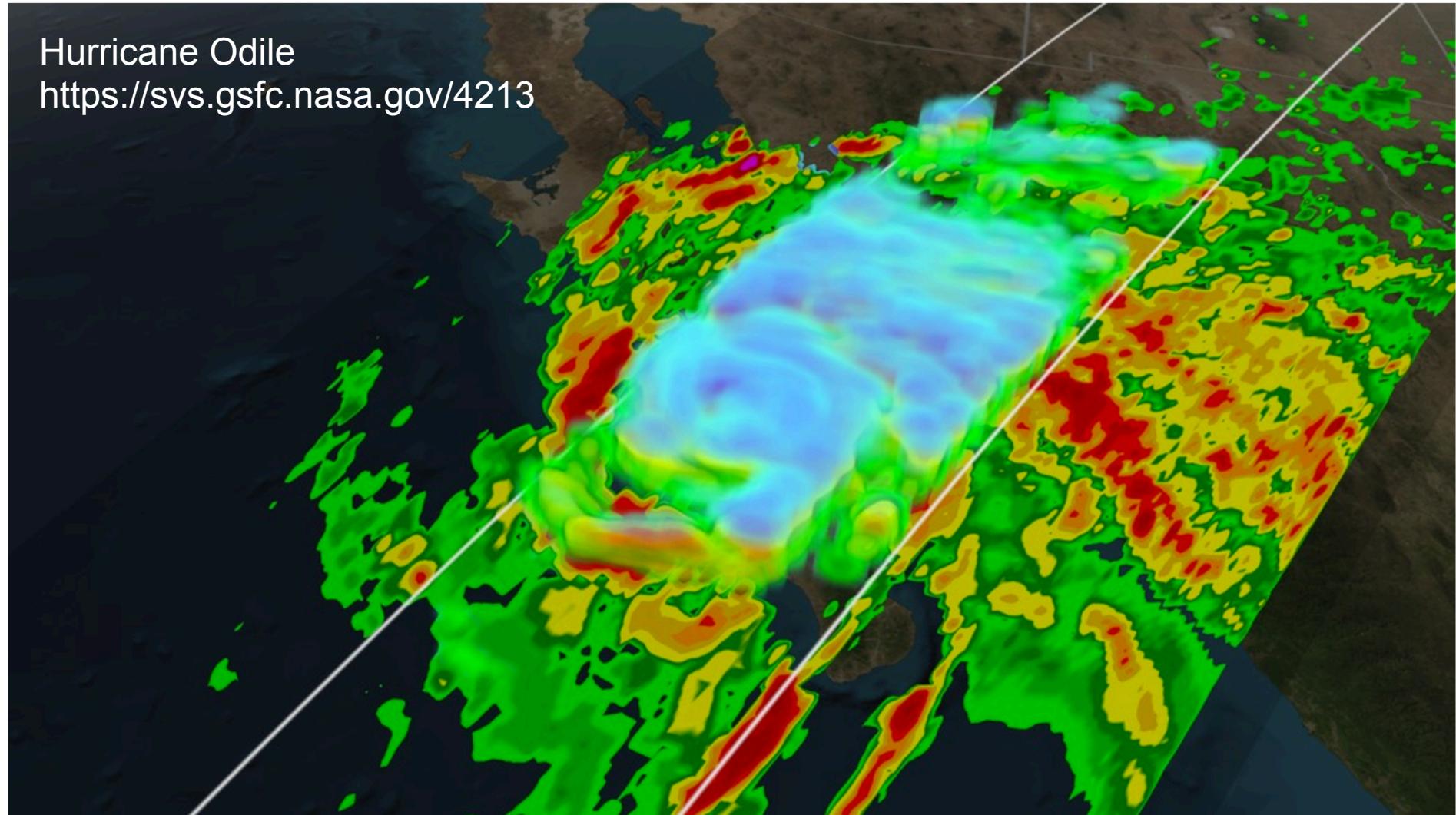
TMI + TRMM Precipitation Radar



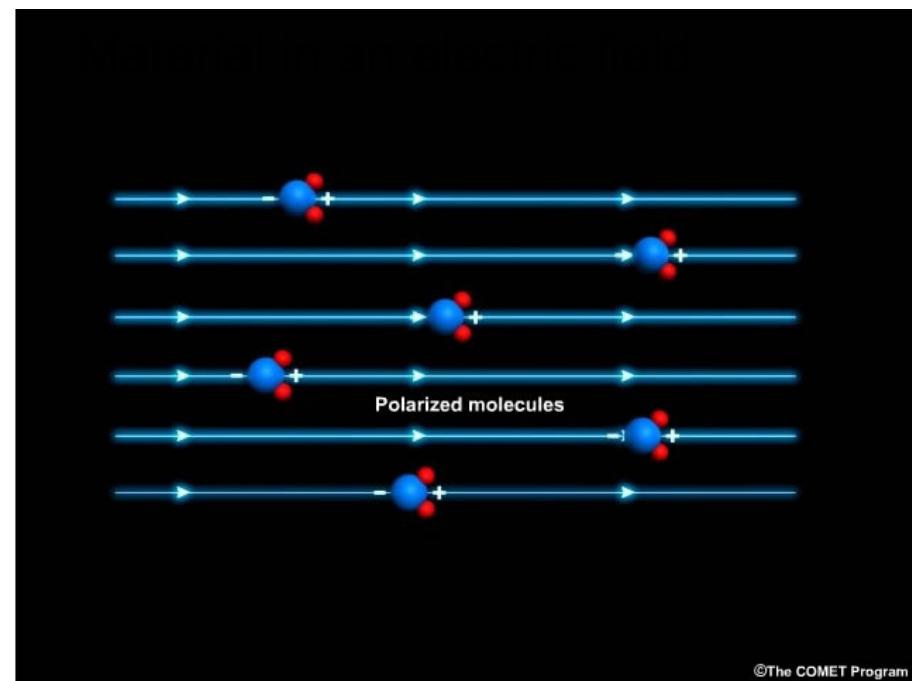
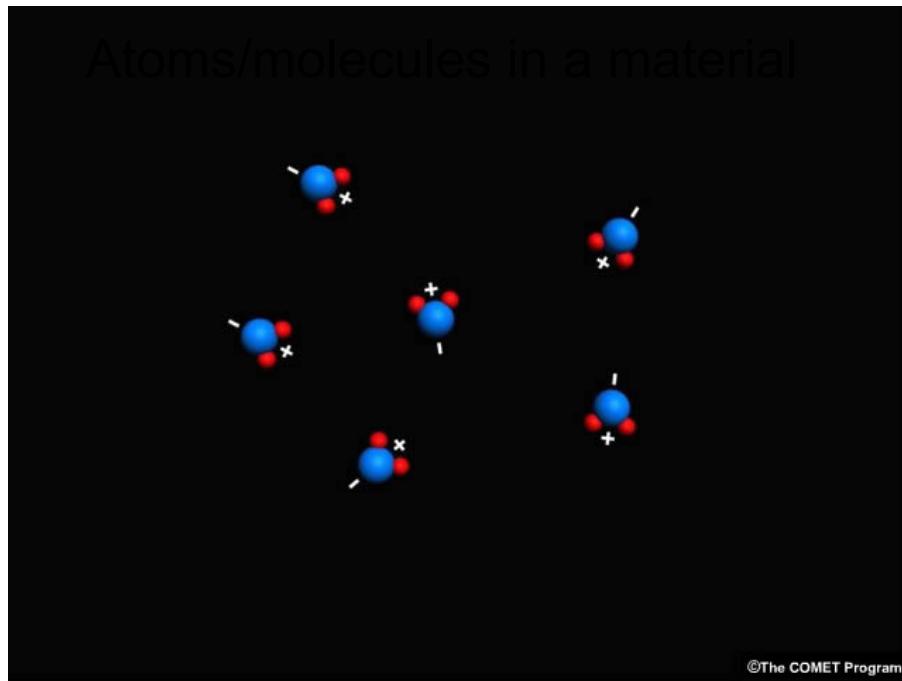
Active v. Passive Precipitation Sensing

Global Precipitation Measurement Mission

Hurricane Odile
<https://svs.gsfc.nasa.gov/4213>



The Dielectric Constant and Emissivity



A material that becomes polarized in an electric field is a “dielectric” material

The Dielectric Constant and Emissivity

Dielectric Constant

Fundamental parameter of natural materials, relating to its electrical properties:

$$\epsilon = \epsilon' + j\epsilon''$$

Real component
aka “dielectric constant”

Affects scattering

Imaginary component
aka “lossy component”

Affects absorption/losses

It affects the reflective and emissive properties of a medium

High dielectric constant => more reflective => more surface scattering
=> Low emissivity!

The Dielectric Constant and Emissivity

Dielectric Constants for Various Materials

Common naturally occurring materials	Typical Dielectric Constants between ~1 to 100 GHz ϵ'
Air, vacuum	1.00059, 1.0 (by definition)
Ice (fresh, sea)	3.2, 4-8
Snow (dry, wet)	1.3-1.6, 1.4-1.9
Permafrost	4-8
Water (fresh)	80 (20°C, <3 GHz), ↓15-25 (~3 GHz) and decreasing with frequency
Sea water	78 (20°C, <3 GHz), decreasing with frequency
Sandy soil (dry, wet)	2.5-5, 15-30
Loamy soil (dry, wet)	4-6, 10-20
Clayey soil (dry, wet)	4-6, 10-15
Silts	5-30
Granite	4-6
Limestone	4-8
Salt	4-7

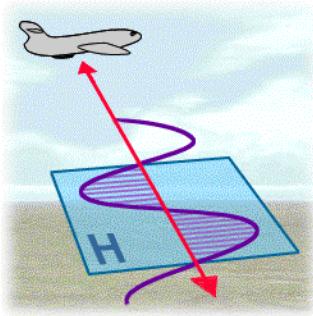
The Dielectric Constant and Emissivity

Dielectric Constants for Various Materials

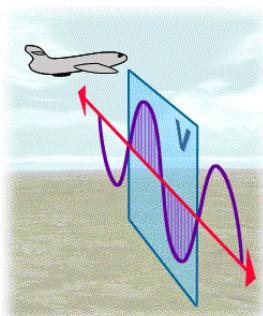
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Polarization

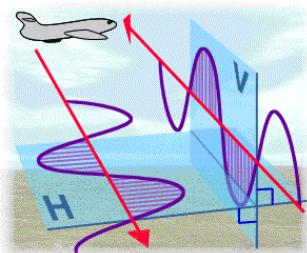
HH



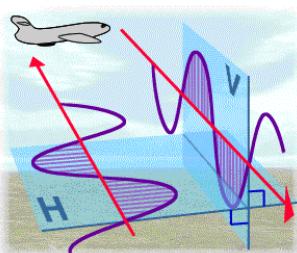
VV



HV



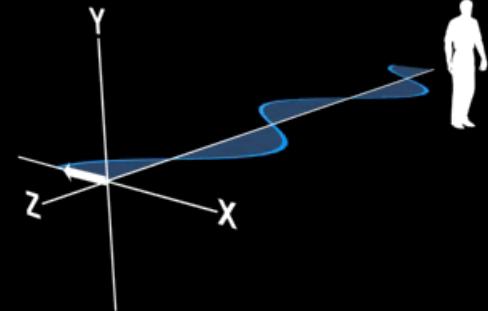
VH



Source: CCRS

Polarization of Electromagnetic (EM) Radiation

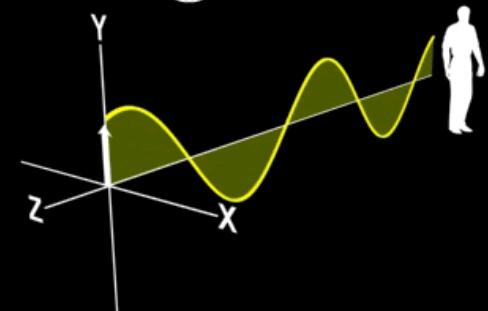
Horizontal



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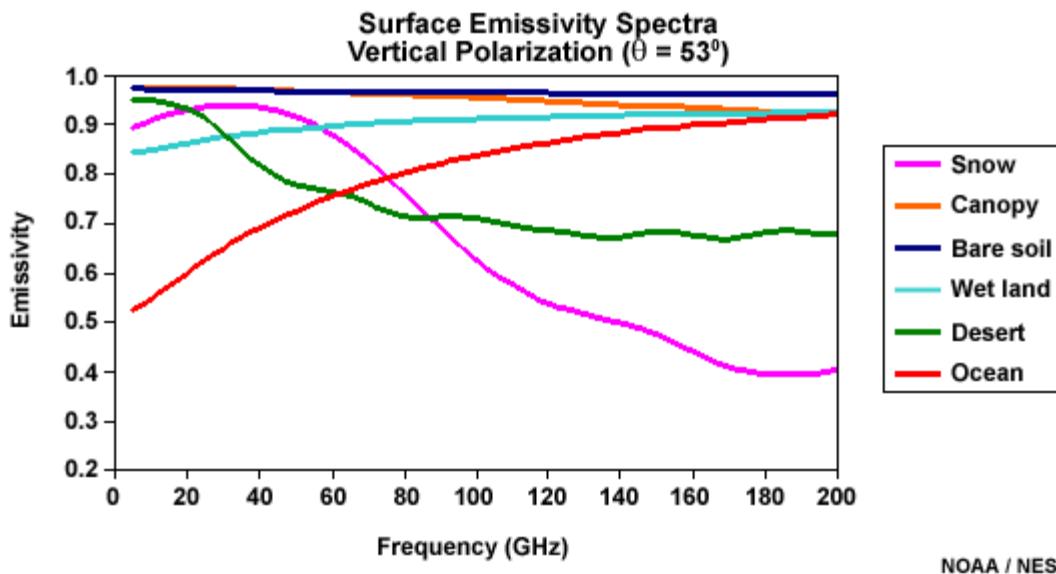
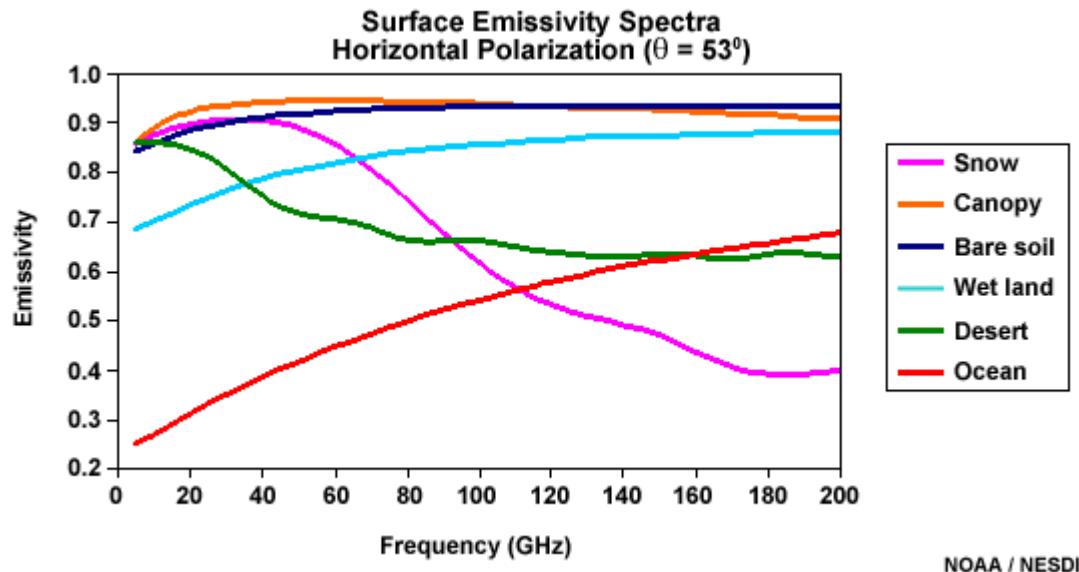
Polarization of Electromagnetic (EM) Radiation

Vertical

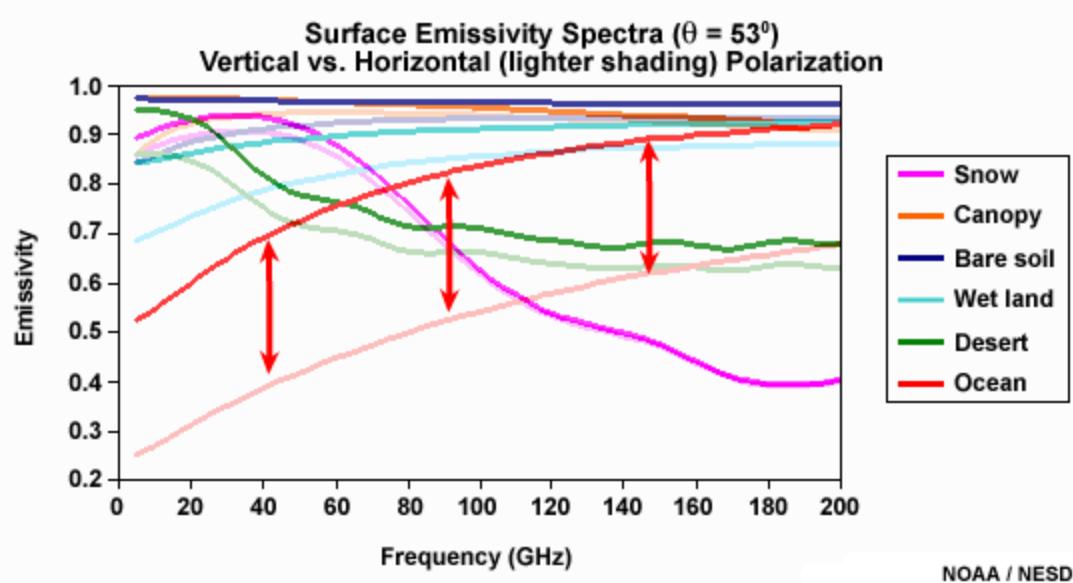
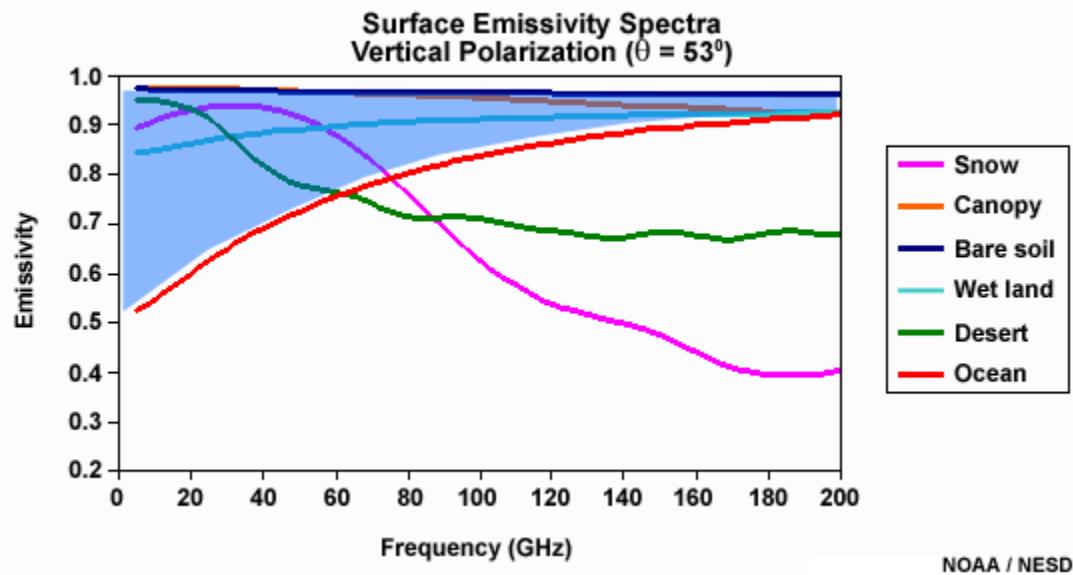


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Polarization



Polarization



Introduction to Remote Sensing

Summary

- Microwave measurements can be made day/night regardless of **cloudiness**
- Differences in **dielectric constant** and hence emissivity allow us to measure surface properties
- Microwave sensors can be classified as **active or passive**
- Different frequencies, **polarizations**

Passive microwave remote sensing

Learning objectives

By the end of this section, you will be able to:

- 1) Explain emissivity and brightness temperature
- 2) List some data products derived from passive microwave remote sensing
- 3) Explain how soil moisture can be retrieved from brightness temperature measurements

Passive Microwave Remote-Sensing

Planck's Law:
Spectral brightness (B_f)

$$B_f = \frac{2hf^3}{c^2} \frac{1}{e^{hf/kT} - 1}$$

Rayleigh-Jeans Approximation of Planck's Law

For low frequencies $\frac{hf}{kT} \ll 1$

$$B_f = \frac{2f^2 kT}{c^2}$$

$$B_f \propto T$$

Passive Microwave Remote-Sensing

Blackbody = perfect absorber, perfect emitter
(emissivity = 1)

All other materials are grey bodies (emissivity <1)

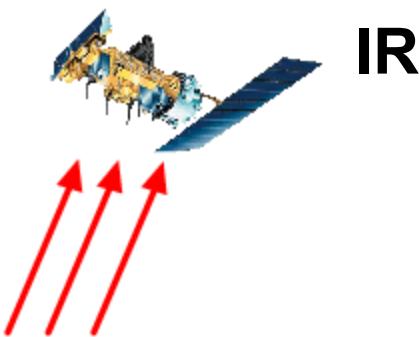
Brightness temperature

$$T_B = e T$$

T_B is the temperature a blackbody would have to have the same brightness (at some frequency f) as a grey body with emissivity e and temperature T .

Passive Microwave Remote-Sensing

Infrared v Microwave Temperature



$$T_b \approx T$$

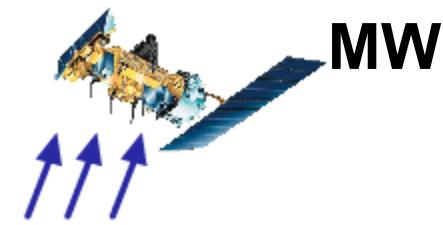


T = Physical Temperature

Infrared Emissivity ≈ 1

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Little reflection or scattering



$$T_b \ll T$$



T = Physical Temperature

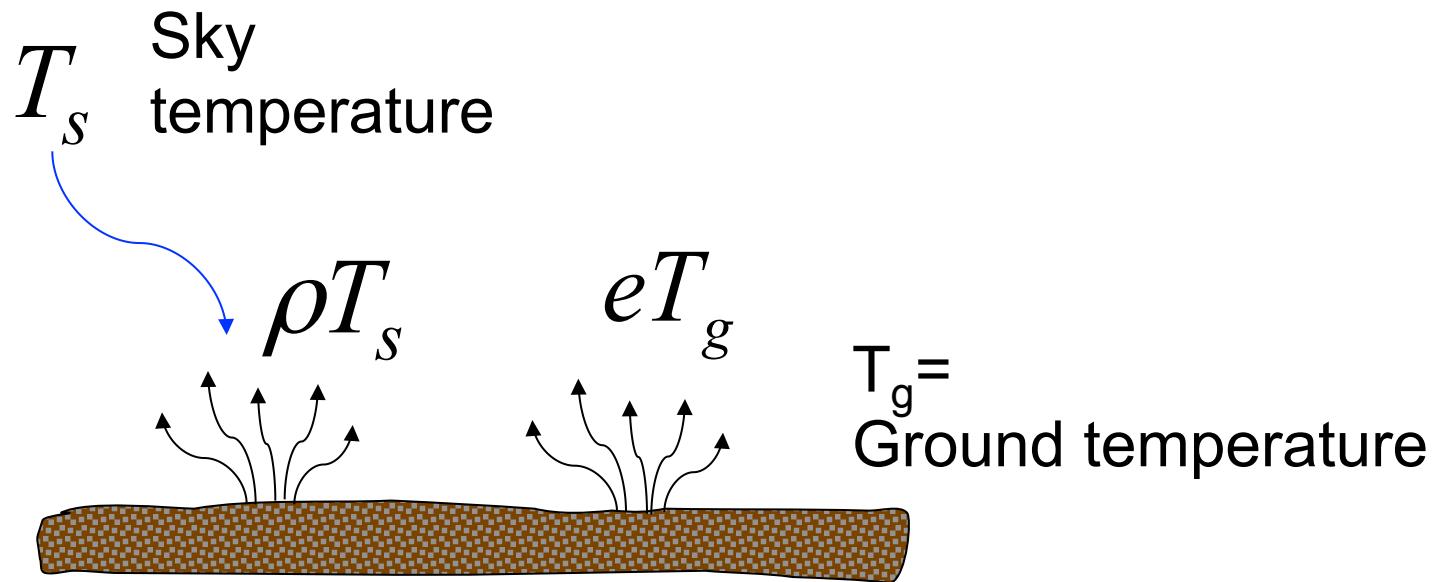
Microwave Emissivity = 0.5

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Dielectric effect

=> Reflection, scattering

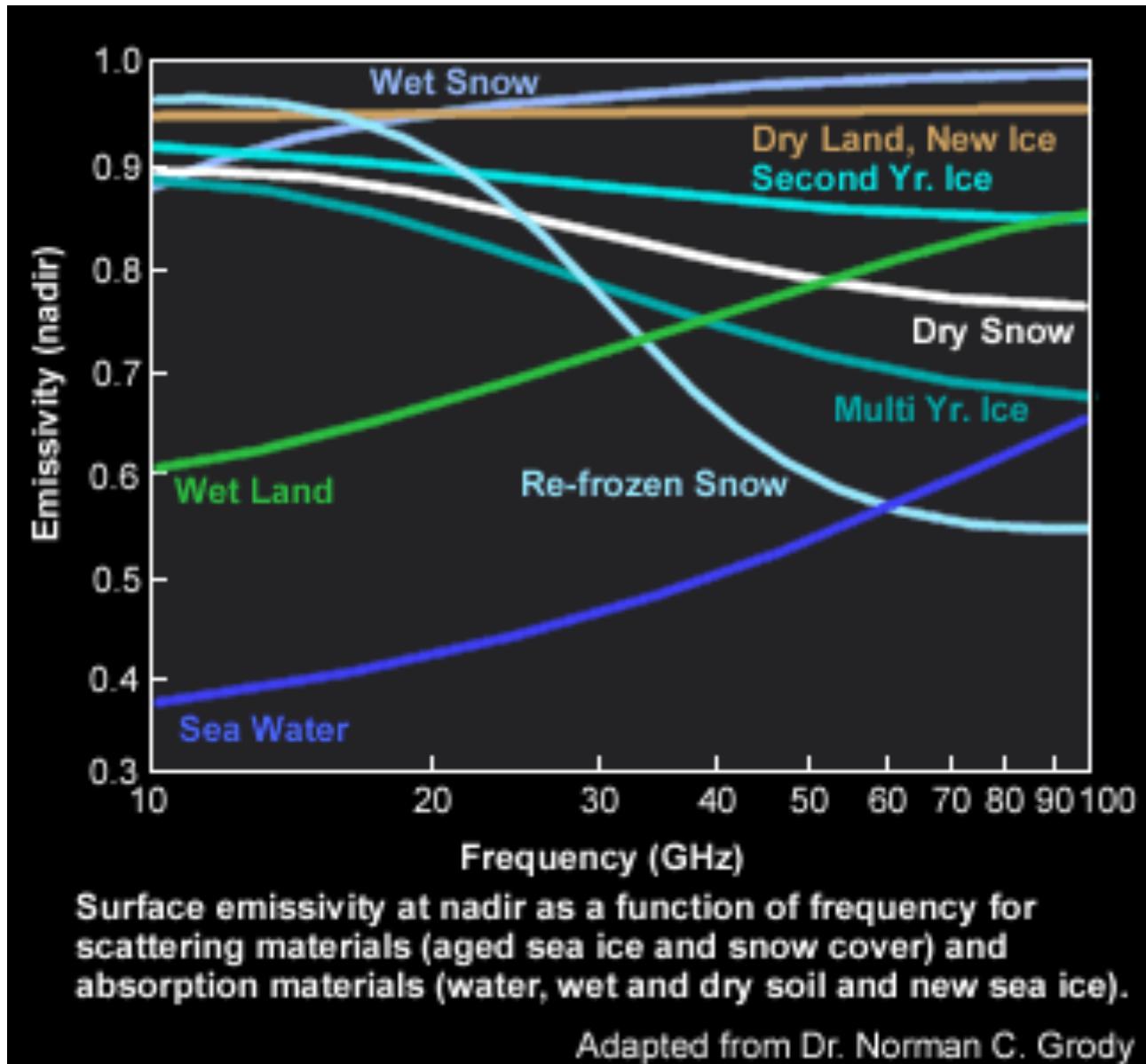
Passive Microwave Remote-Sensing



$$T_b = \rho T_s + e T_g$$

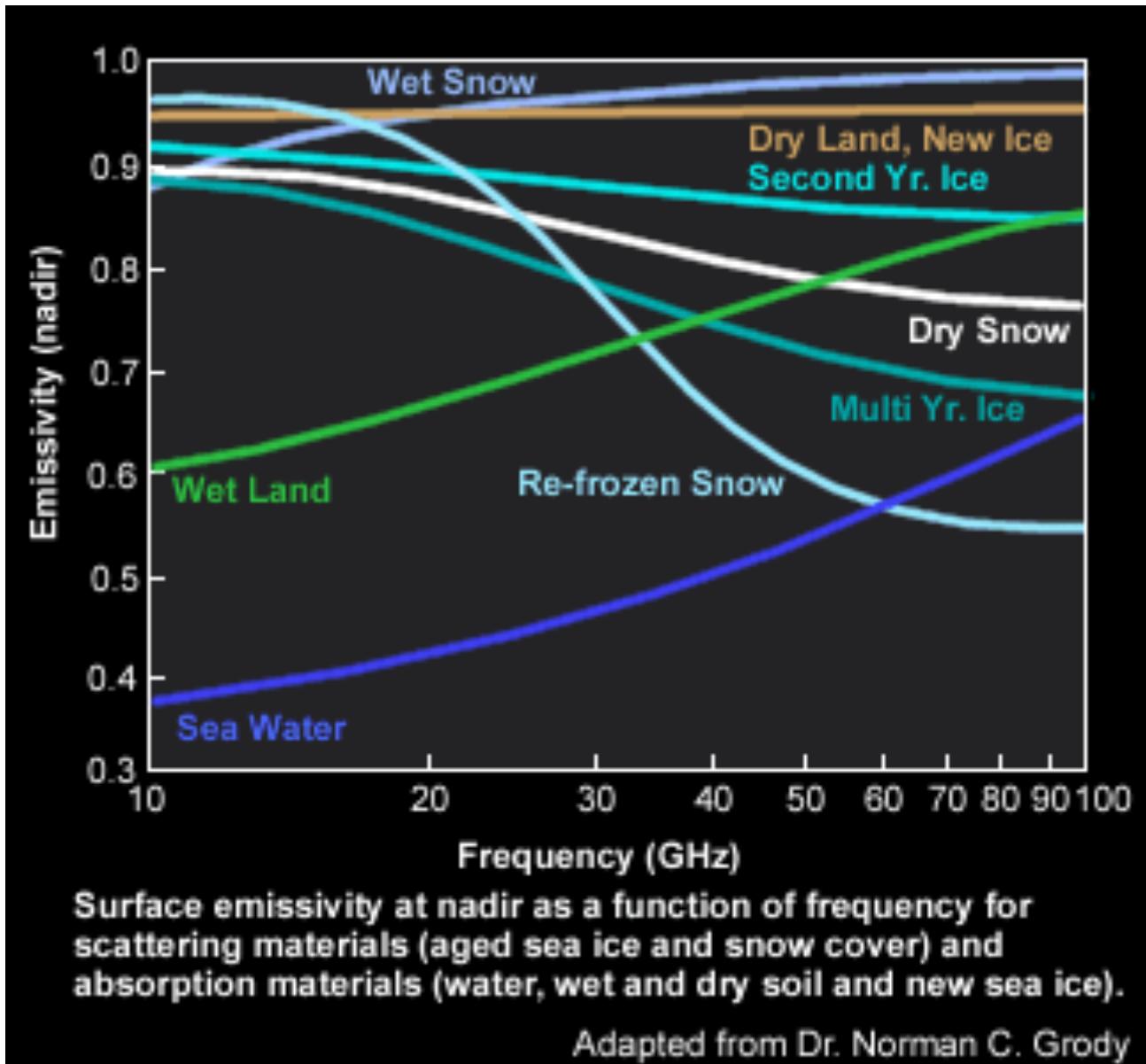
$$\equiv T_b = T_s + e(T_g - T_s)$$

Passive Microwave Remote-Sensing



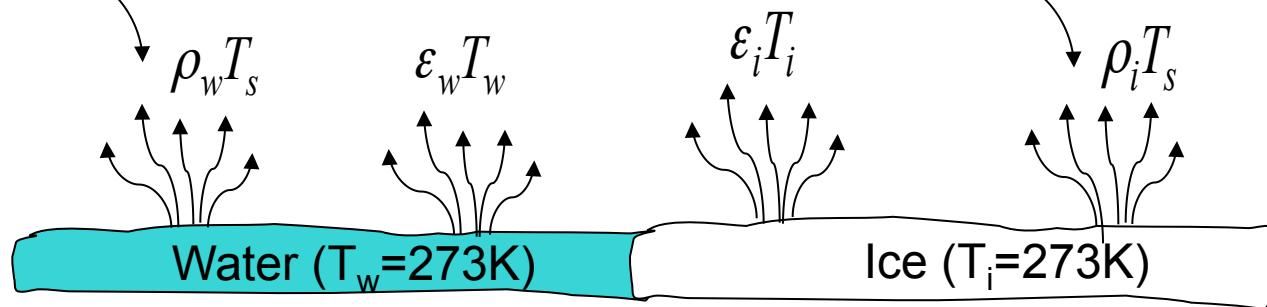
Passive Microwave Remote-Sensing

Ice →
Water →



Passive Microwave Remote-Sensing: Polar Mapping

$$T_s = 50K$$



$$T_{b,w} = T_s + e_w(T_w - T_s)$$

$$T_{b,i} = T_s + e_i(T_i - T_s)$$

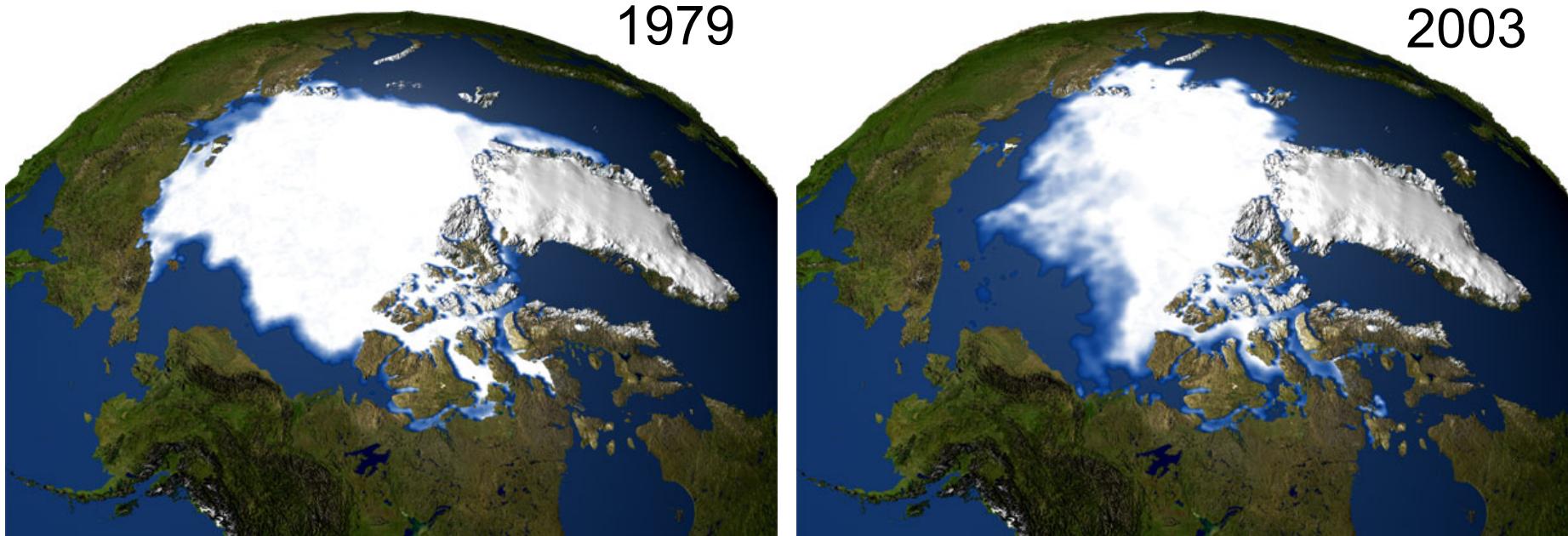
$$\Delta T_b = T_{b,i} - T_{b,w} = (e_i - e_w)(273 - 50)$$

	Dielectric constant	Emissivity
water	80	0.36
Ice	3	0.93



$$\Delta T_b = 127K$$

Passive Microwave Remote-Sensing: Polar Mapping



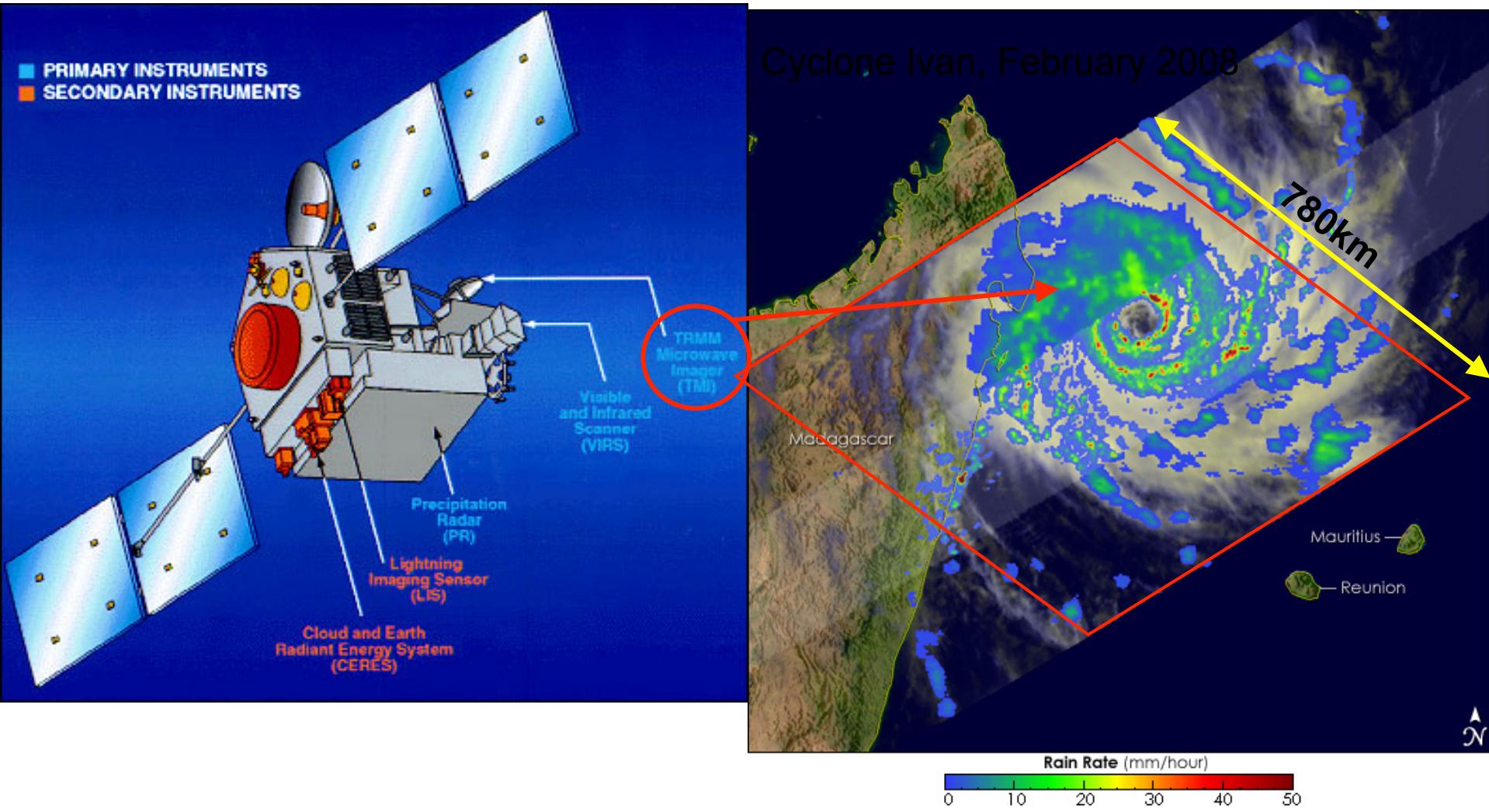
Arctic perennial sea ice has been decreasing at a rate of 9% per decade.

Special Sensor Microwave Imager (SSMI).

Credit: NASA

Passive Microwave Remote-Sensing: Precipitation

Tropical Rainfall Measuring Mission (NASA/NASDA)



Passive Microwave Remote-Sensing: Precipitation

Tropical Rainfall Measuring Mission (NASA/NASDA)

TRMM Microwave Imager (TMI)

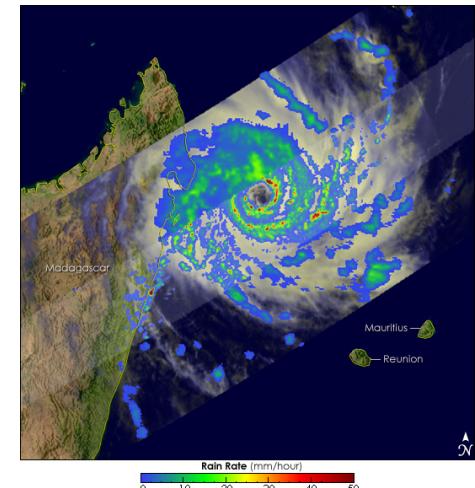
- Microwave Radiometer

Range of frequencies

(10.7GHz, 19.4GHz, 21.3GHz, 37.0GHz, 85.5GHz)

=>Range of resolutions & penetration depths

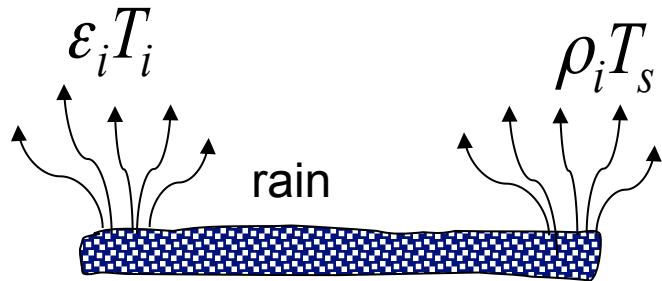
- Measures *integrated column precipitation*



Passive Microwave Remote-Sensing: Precipitation

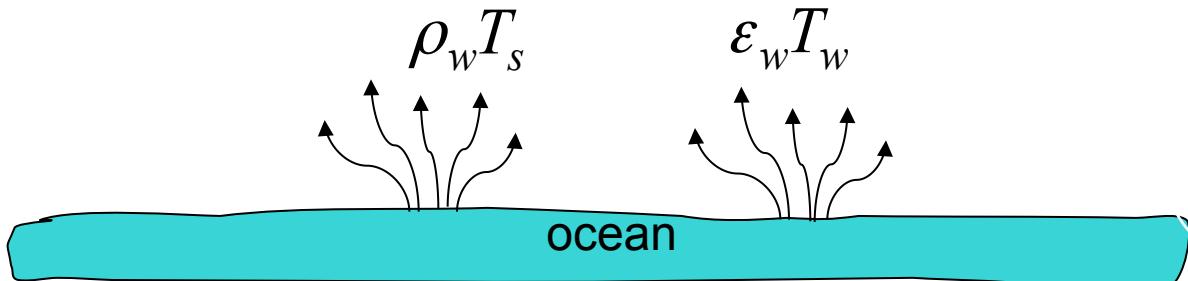
Tropical Rainfall Measuring Mission (NASA/NASDA)

TRMM Microwave Imager works best over oceans:



Remember low emissivity of sea water?

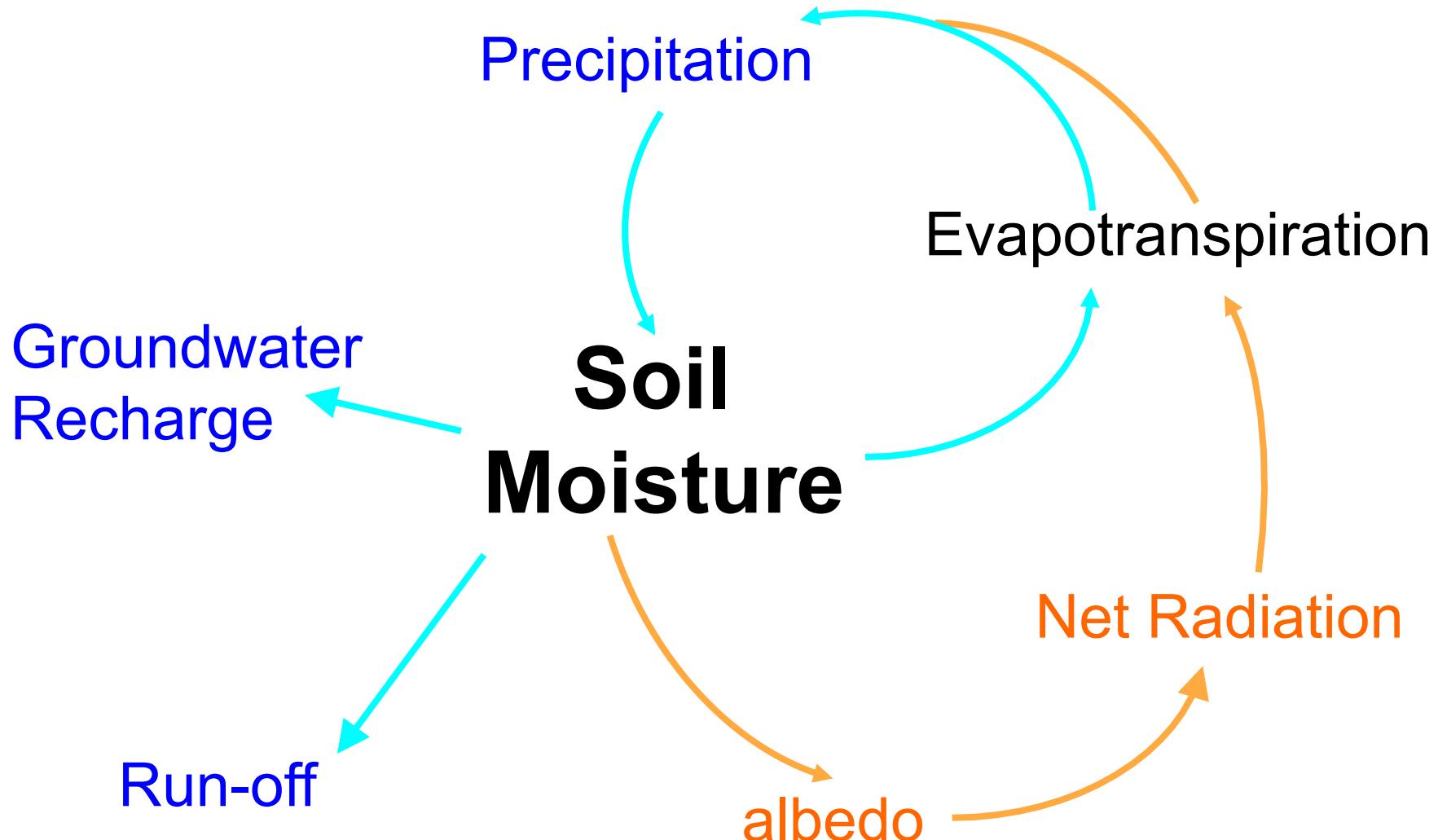
- Oceans appear “cold”.



Raindrops appear “warm”

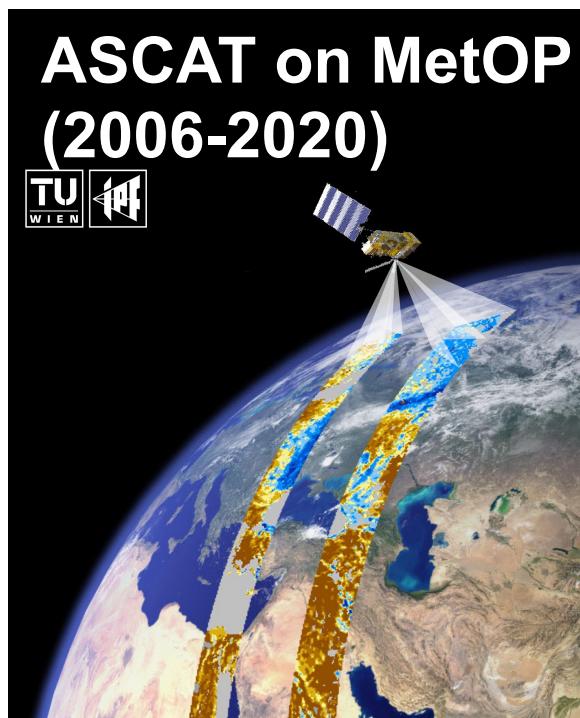
=> more raindrops make scene “warmer”.

Soil moisture in hydrology

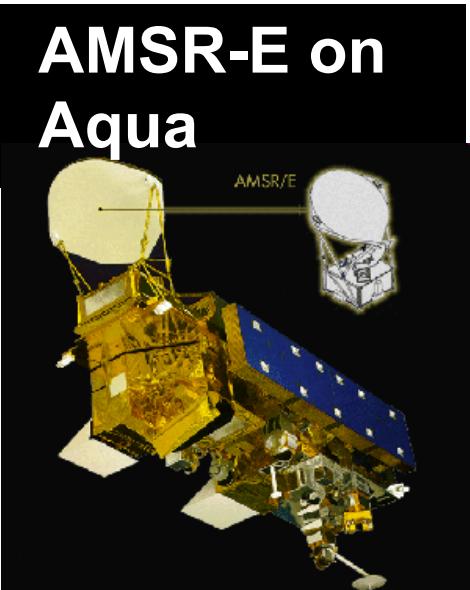


Soil Moisture Remote-Sensing

1990+



Current SOTA



Passive Microwave Remote-Sensing: Why L-band for Soil Moisture?

Dielectric constant of water

$$\epsilon = 80$$

Greater $\Delta\epsilon$ between wet and dry at lower frequencies

$\Delta\epsilon$ results in ΔT_B of ~100K

=> **detectable!**

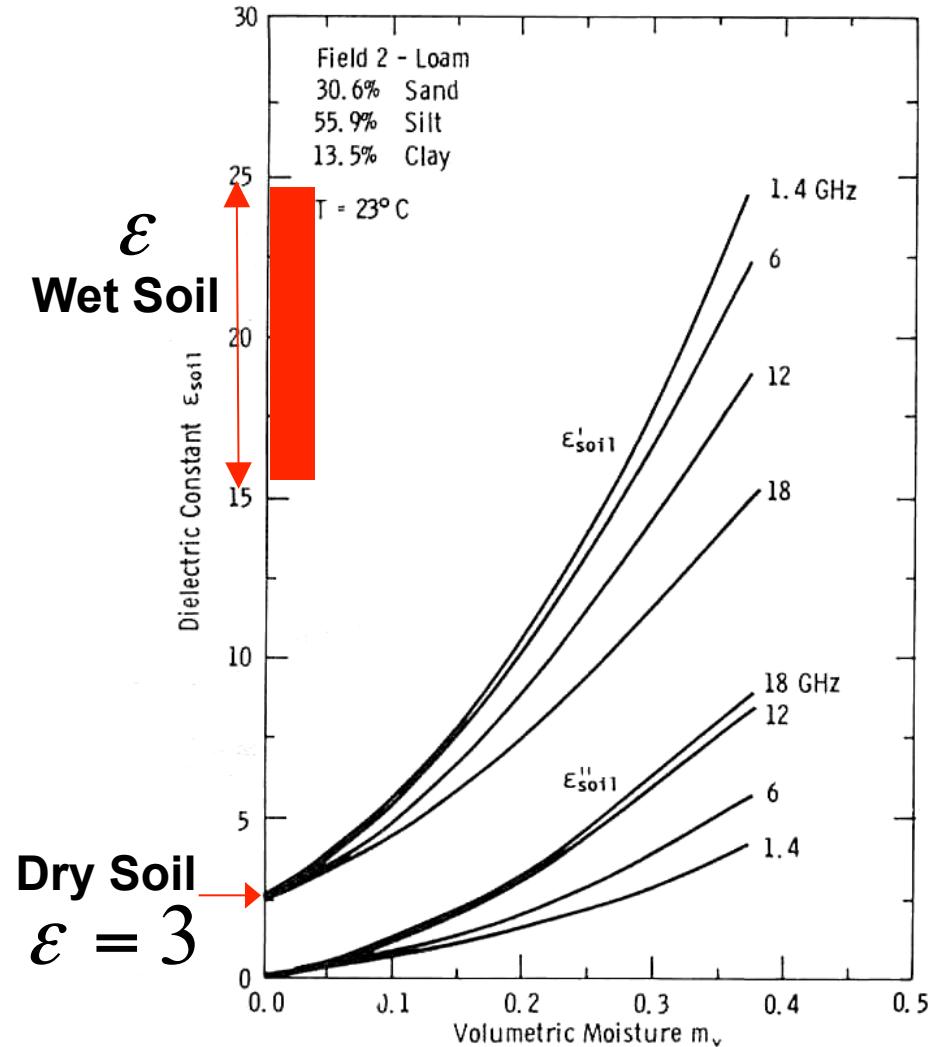


Fig. E.52 Measured dielectric constant as a function of volumetric moisture content for a loamy soil at four microwave frequencies.

Passive Microwave Remote-Sensing: Why L-band for Soil Moisture?

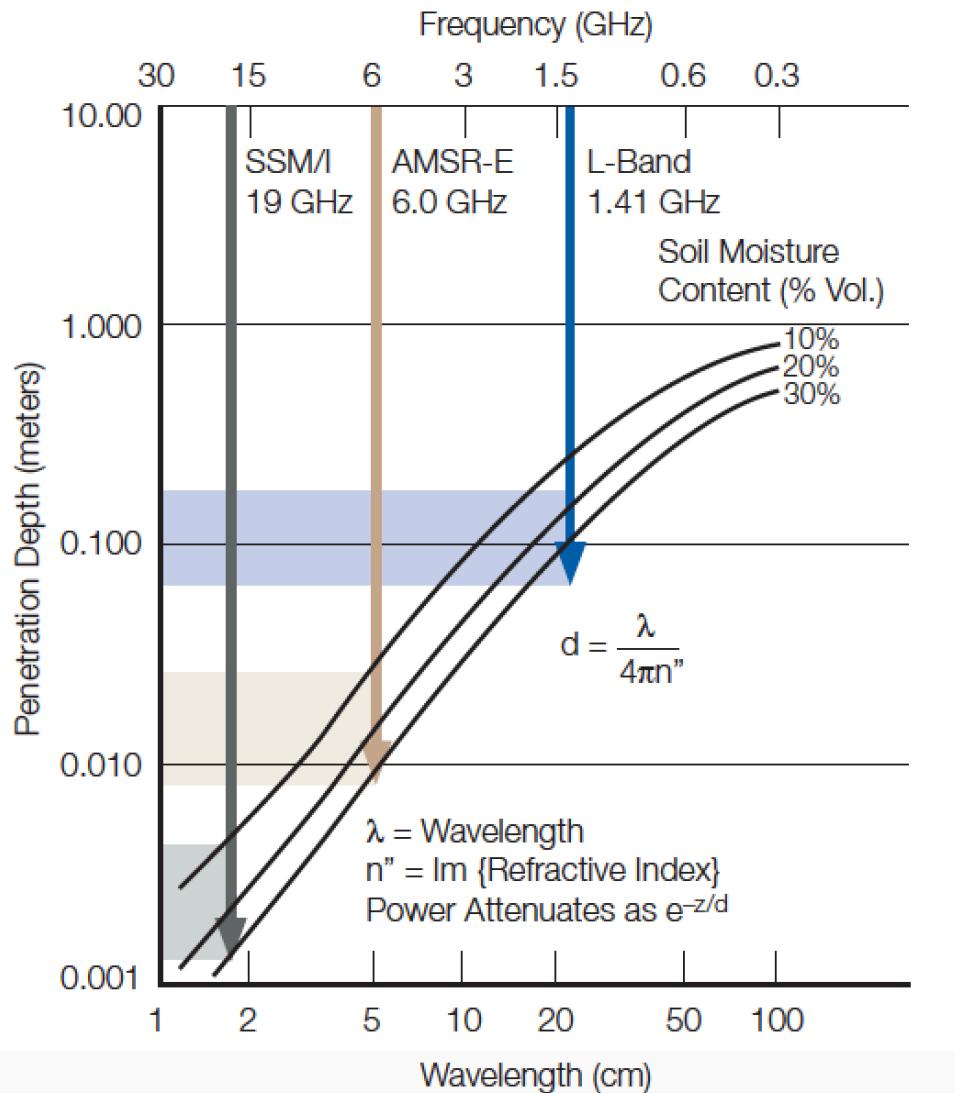


Figure 31. L-band TB observations are sensitive to emission from deeper in the soil than at higher frequencies (adapted from Njoku and Kong 1977). Soil moisture curves are given for 10, 20, and 30% (or in absolute units, 0.10, 0.20, 0.30 cm³/cm³). (**SMAP Handbook**)

Passive Microwave Remote-Sensing: Why L-band for Soil Moisture?

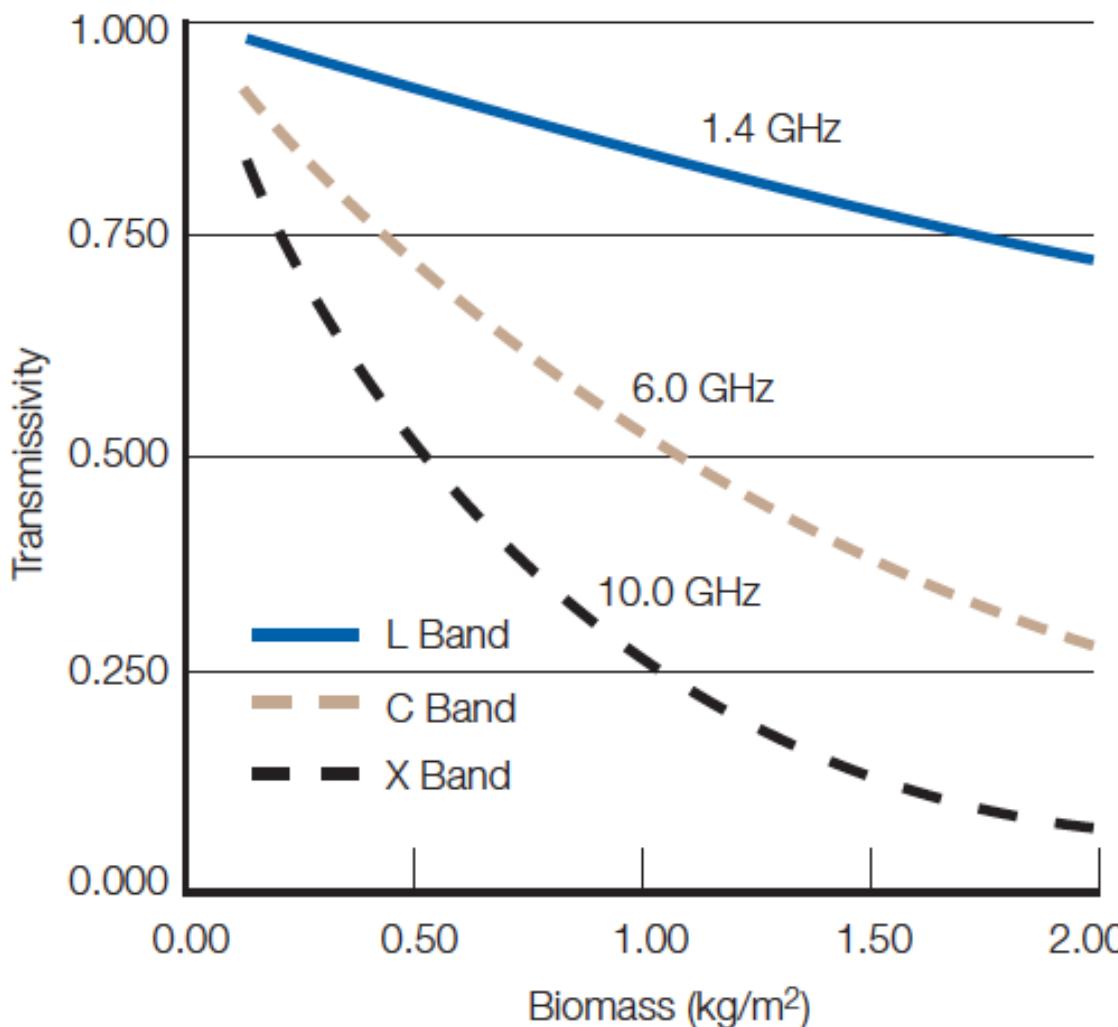
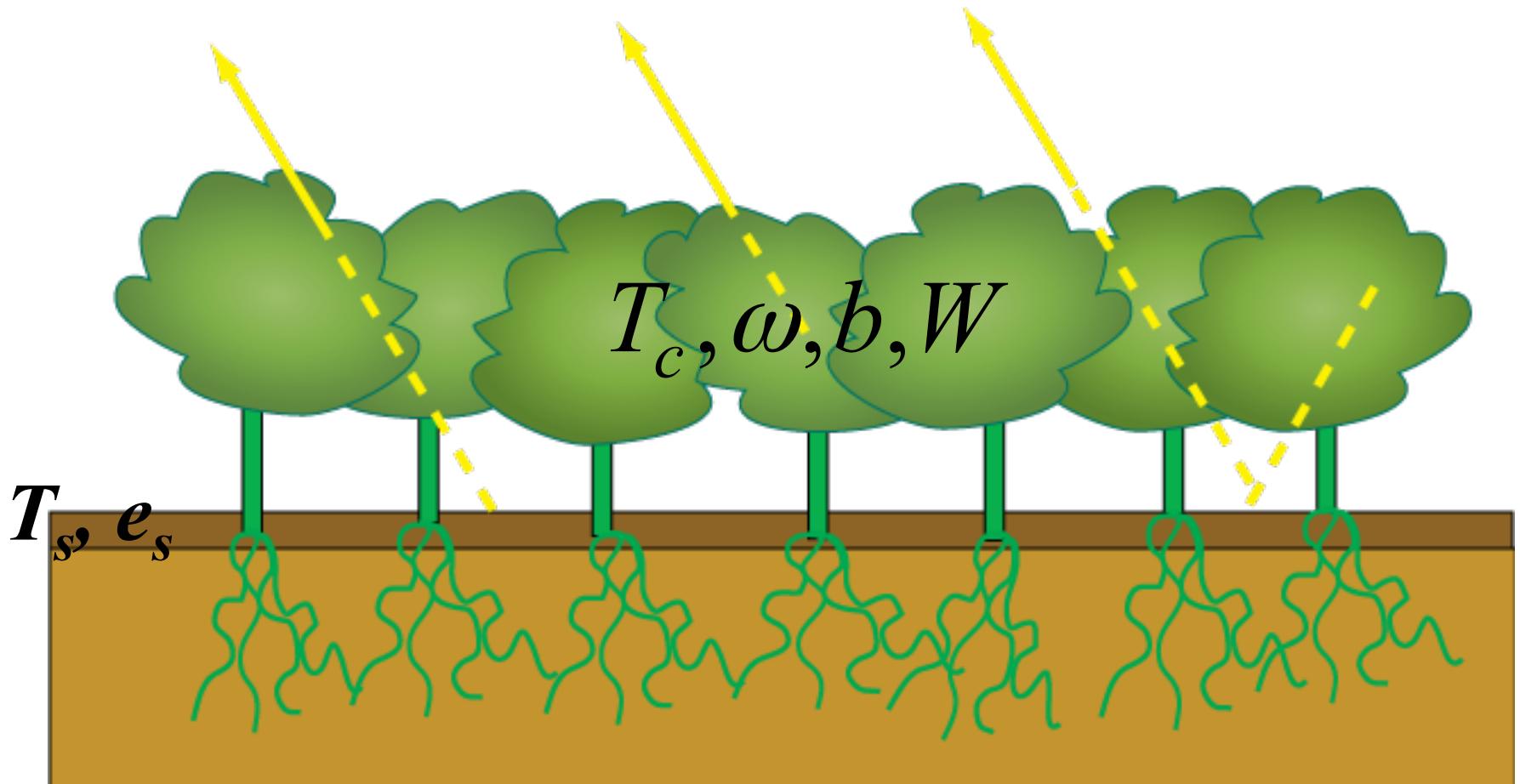


Figure 30. Vegetation transmissivity to soil emission at L-band frequencies (1.4 GHz) is much higher than at C- (6 GHz) or X-band (10 GHz) frequencies. (Adapted from Ulaby et al. 1996.) (**SMAP Handbook**)

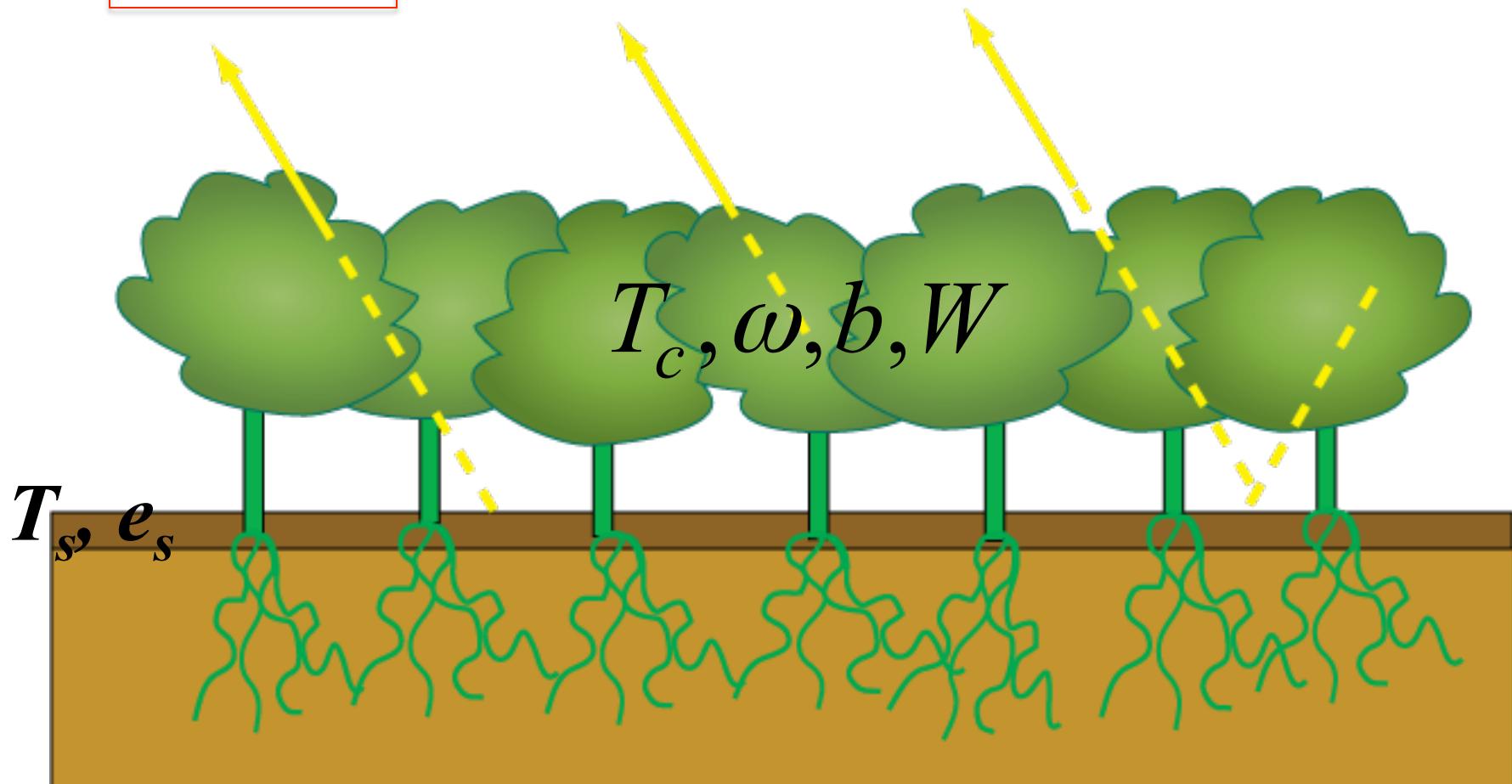
Passive Microwave Remote-Sensing: Tau-omega model

$$T_B = T_s e_s \exp(-\tau) + T_c (1 - \omega)(1 - \exp(-\tau)) + T_c \rho_s \exp(-\tau) (1 - \omega)(1 - \exp(-\tau))$$



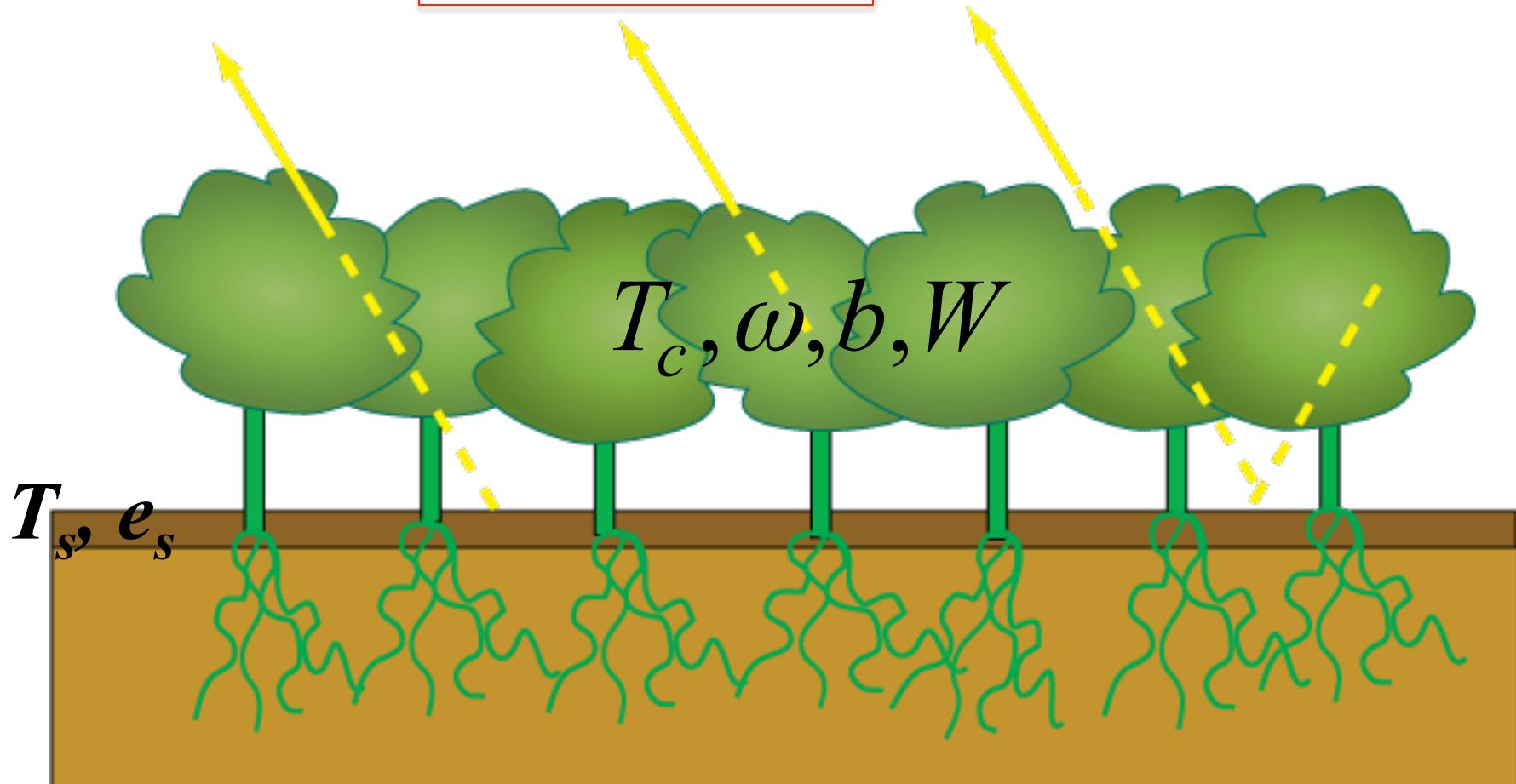
Passive Microwave Remote-Sensing: Tau-omega model

$$T_B = T_s e_s \exp(-\tau) + T_c (1 - \omega)(1 - \exp(-\tau)) + T_c \rho_s \exp(-\tau) (1 - \omega)(1 - \exp(-\tau))$$



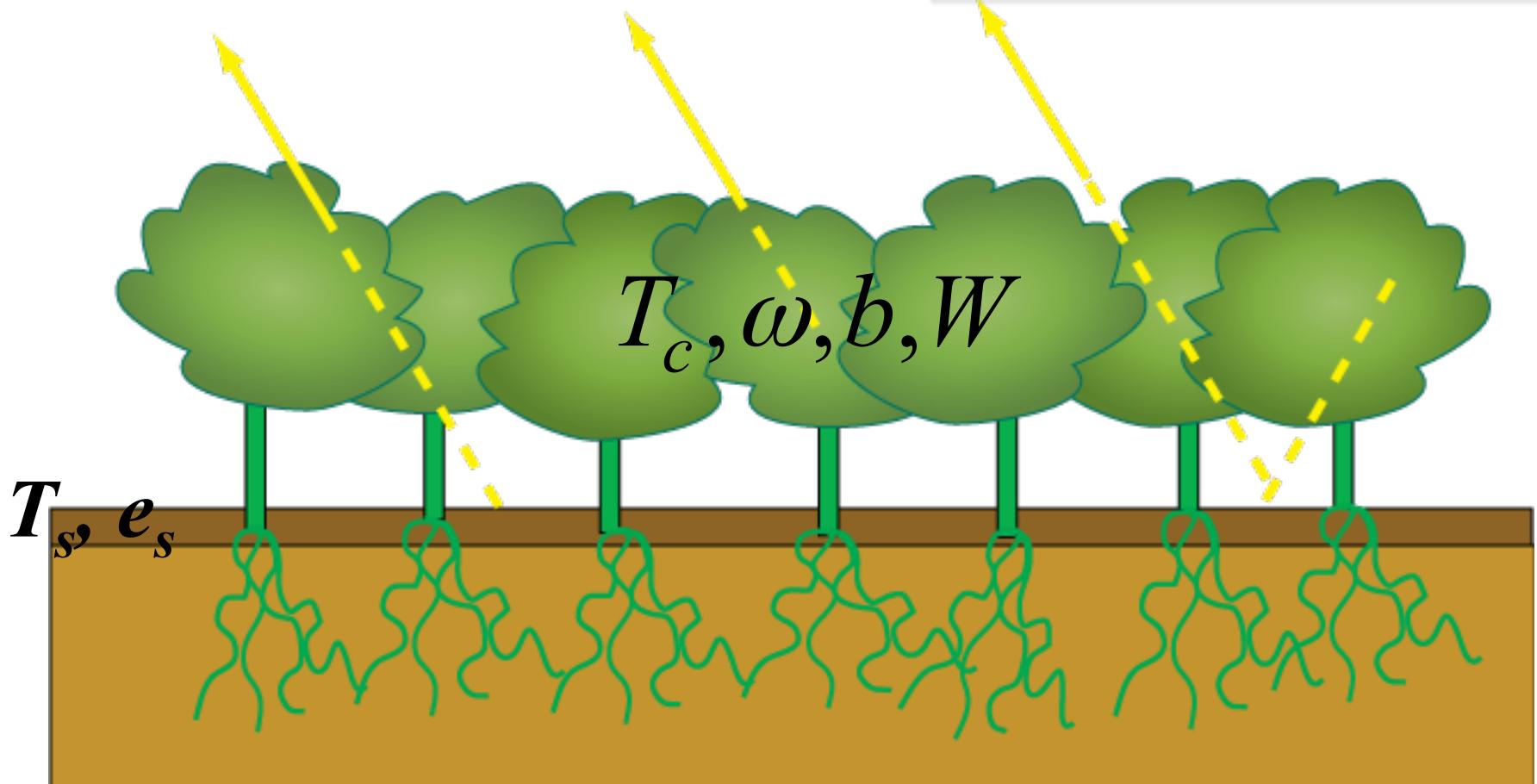
Passive Microwave Remote-Sensing: Tau-omega model

$$T_B = T_s e_s \exp(-\tau) + T_c (1 - \omega)(1 - \exp(-\tau)) + T_c \rho_s \exp(-\tau)(1 - \omega)(1 - \exp(-\tau))$$



Passive Microwave Remote-Sensing: Tau-omega model

$$T_B = T_s e_s \exp(-\tau) + T_c (1 - \omega)(1 - \exp(-\tau)) + T_c \rho_s \exp(-\tau)(1 - \omega)(1 - \exp(-\tau))$$



Passive Microwave Remote-Sensing: Tau-omega model Temperature

$$T_B = T_s e_s \exp(-\tau) + T_c (1 - \omega)(1 - \exp(-\tau)) + T_c \rho_s \exp(-\tau)(1 - \omega)(1 - \exp(-\tau))$$

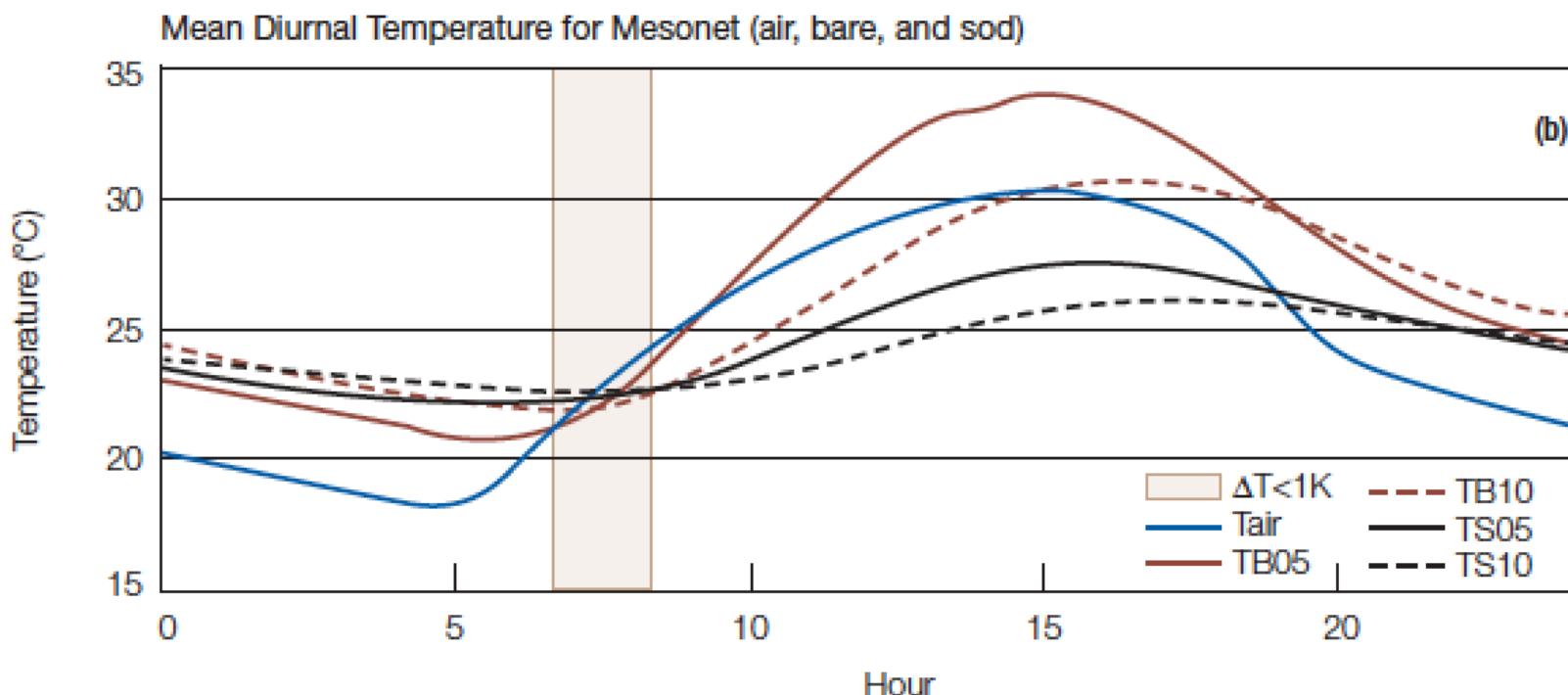


Figure 32. Soil temperature as a function of time based on June 2004 Oklahoma Mesonet data: (a) vertical profiles for a sod-covered site and (b) the mean soil temperatures for bare soil (TB05 at 5 cm below the surface, TB10 at 10 cm below the surface), and sod (TS05, TS10). The

shaded region identifies the period of the day when these effects result in less than 1 °C difference among the four temperatures (T. Holmes, personal communication).

Passive Microwave Remote-Sensing: Tau-omega model **Emissivity**

$$T_B = T_s [e_s \exp(-\tau) + T_c (1 - \omega)(1 - \exp(-\tau))] + T_c [\rho_s \exp(-\tau)(1 - \omega)(1 - \exp(-\tau))]$$

$$e_s = 1 - \rho_s$$

Soil Emissivity = 1 - *Soil Reflectivity*

Soil reflectivity depends on:

- ***Soil dielectric constant***
- ***Soil roughness***
- Incident angle
- Polarization

Passive Microwave Remote-Sensing: Tau-omega model Soil dielectric constant

Dielectric mixing model

e.g. Dobson et al. (1985), Wang and Schmugge (1980), Mironov et al. (2009)

Soil dielectric constant depends on:

Soil moisture

Soil texture

Frequency

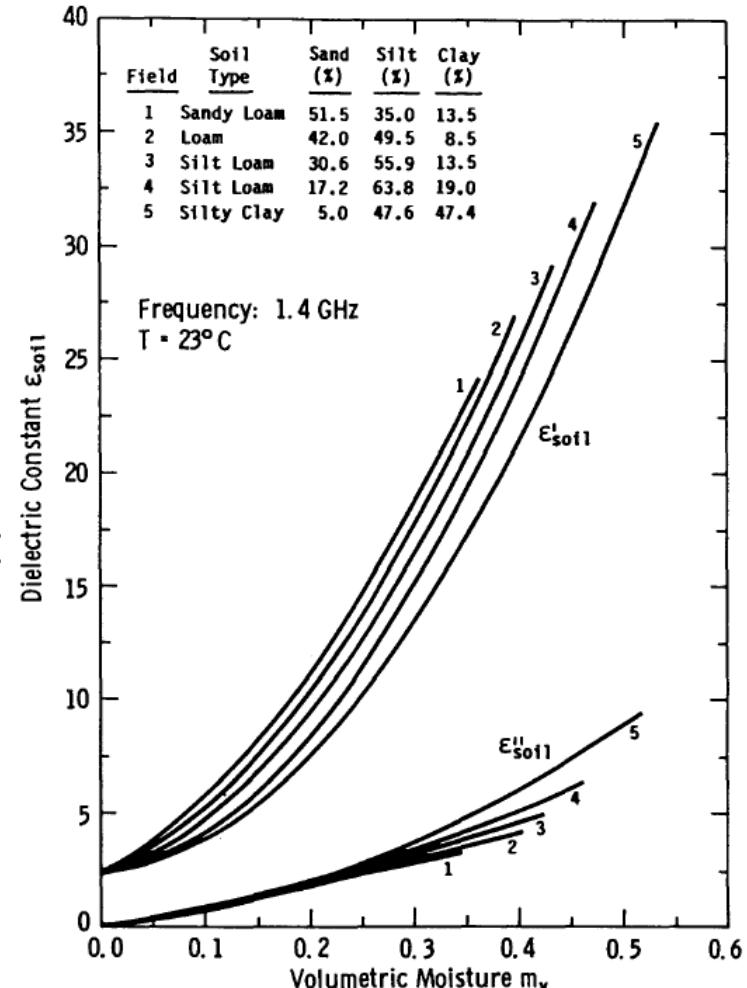


Fig. 2. Dielectric constant as a function of volumetric soil moisture for five soils at 1.4 GHz. Smooth curves were drawn through measured data points. (From Ulaby et al. (1986). Reproduced with permission, *Microwave Remote Sensing: Active and Passive, Vol. III: From Theory to Applications*, by Fawwaz T. Ulaby, Richard K. Moore, Adrian K. Fung. © 1986, Artech House, Inc., Norwood, MA.)

Passive Microwave Remote-Sensing:

Tau-omega model

Soil dielectric constant

The **smooth reflectivity** is calculated using the **Fresnel equations**:

$$\rho_H(\theta) = \left| \frac{\cos\theta - \sqrt{\varepsilon - \sin^2\theta}}{\cos\theta + \sqrt{\varepsilon - \sin^2\theta}} \right|^2$$

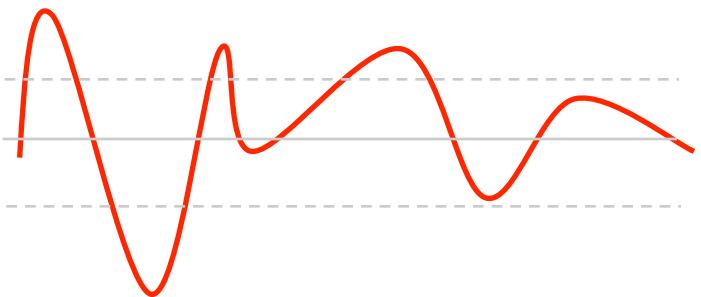
$$\rho_V(\theta) = \left| \frac{\varepsilon \cos\theta - \sqrt{\varepsilon - \sin^2\theta}}{\varepsilon \cos\theta + \sqrt{\varepsilon - \sin^2\theta}} \right|^2$$

Where ε is the soil dielectric constant!

Passive Microwave Remote-Sensing:

Tau-omega model

Soil roughness



Roughness correction:

e.g. Choudhury et al. (1979)

$$\rho_{rough}(\theta) = \rho_{smooth}\theta \exp(-h\cos^2\theta)$$

where

$$h \propto \sigma^2$$



Variance of height distribution

Factors affecting SM retrieval



Passive Microwave Remote-Sensing: Tau-omega model **Emissivity**

$$T_B = T_s e_s \exp(-\tau) + T_c (1 - \omega)(1 - \exp(-\tau)) + T_c \rho_s \exp(-\tau)(1 - \omega)(1 - \exp(-\tau))$$

$$e_s = 1 - \rho_s$$

Soil Emissivity = 1 - *Soil Reflectivity*

Soil reflectivity depends on:

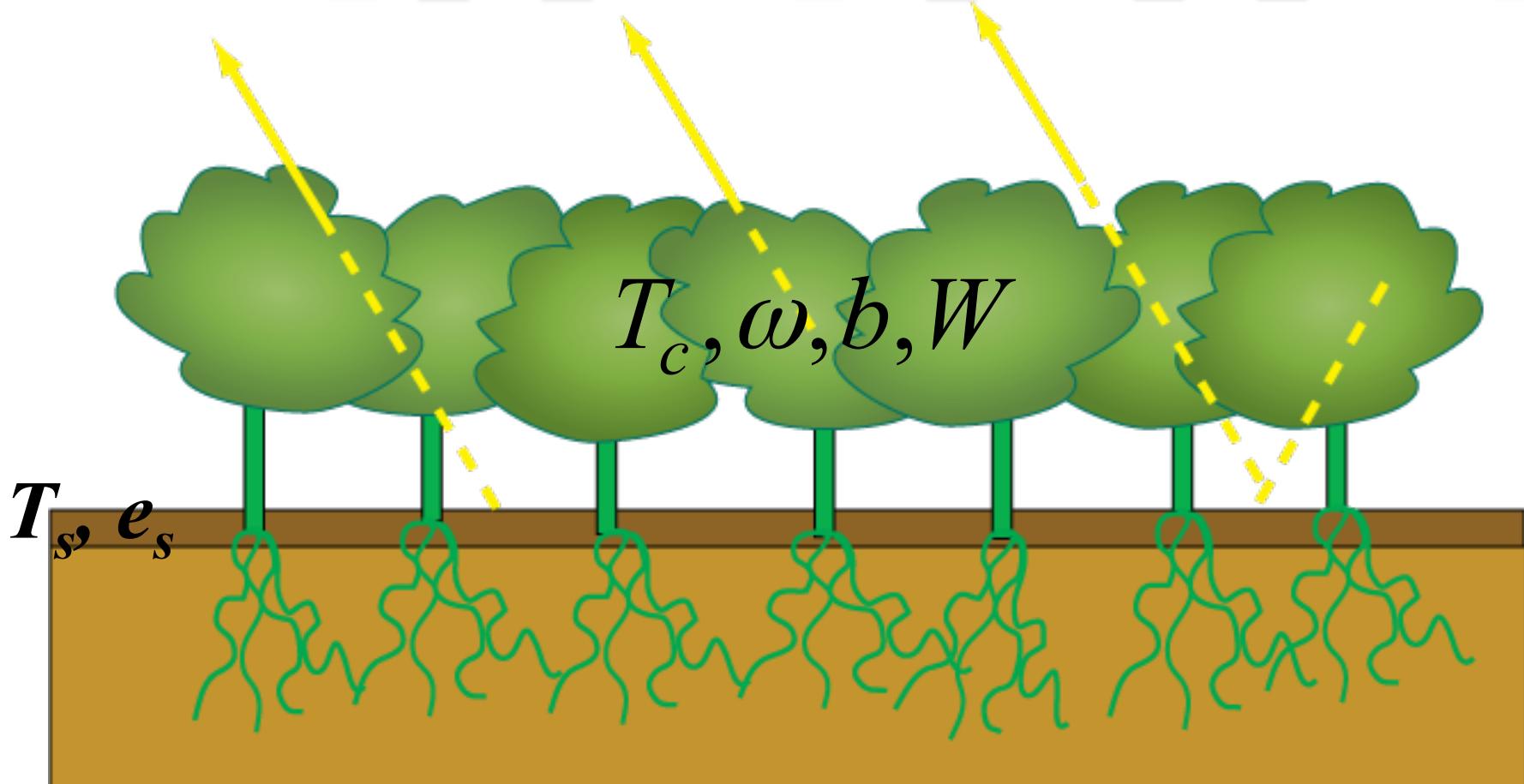
- ***Soil dielectric constant***
- ***Soil roughness***
- Incident angle
- Polarization

Factors affecting SM retrieval



Passive Microwave Remote-Sensing: Tau-omega model Vegetation

$$T_B = T_s e_s \exp(-\boxed{\tau}) + T_c (1 - \boxed{\omega}) (1 - \exp(-\boxed{\tau})) + T_c \rho_s \exp(-\boxed{\tau}) (1 - \boxed{\omega}) (1 - \exp(-\boxed{\tau}))$$



Passive Microwave Remote-Sensing: Tau-omega model Vegetation

$$T_B = T_s e_s \exp(-\tau) + T_c (1 - \omega) (1 - \exp(-\tau)) + T_c \rho_s \exp(-\tau) (1 - \omega) (1 - \exp(-\tau))$$

T_c Canopy temperature

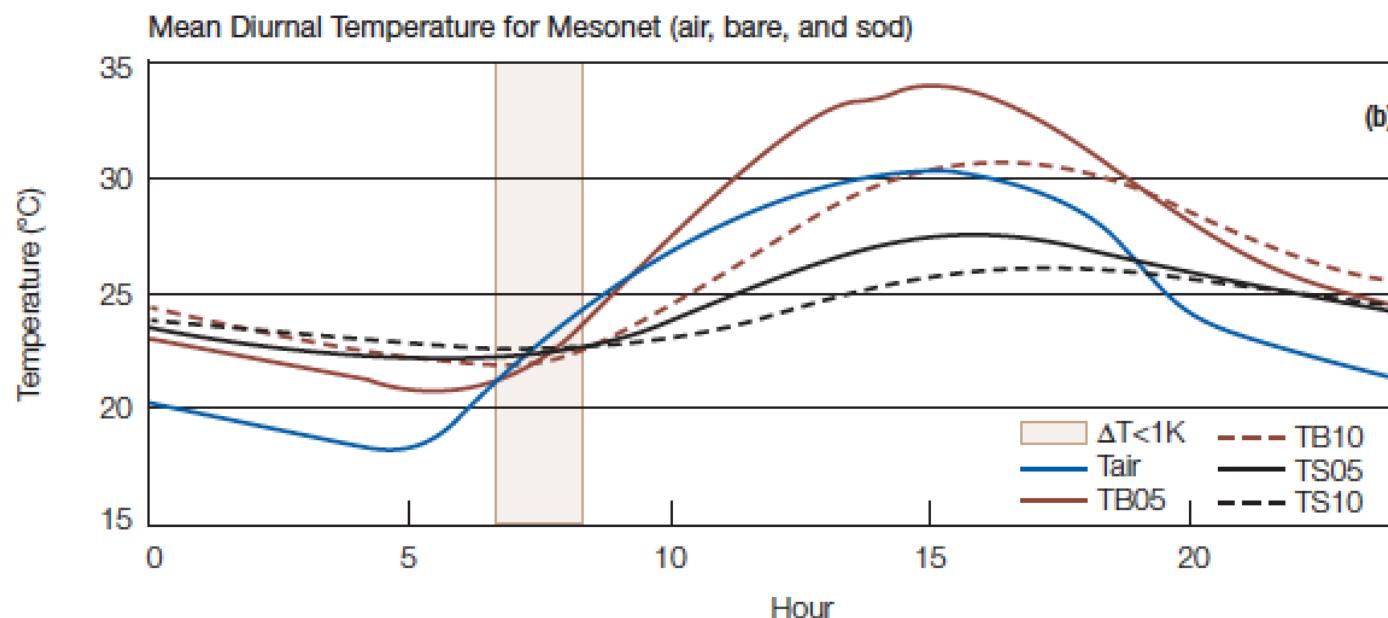


Figure 32. Soil temperature as a function of time based on June 2004 Oklahoma Mesonet data: (a) vertical profiles for a sod-covered site and (b) the mean soil temperatures for bare soil (TB05 at 5 cm below the surface, TB10 at 10 cm below the surface), and sod (TS05, TS10). The

shaded region identifies the period of the day when these effects result in less than 1 °C difference among the four temperatures (T. Holmes, personal communication).

Passive Microwave Remote-Sensing: Tau-omega model Vegetation

$$T_B = T_s e_s \exp(-\boxed{\tau}) + \boxed{T_c} (1 - \boxed{\omega}) (1 - \exp(-\boxed{\tau})) + \boxed{T_c} \rho_s \exp(-\boxed{\tau}) (1 - \boxed{\omega}) (1 - \exp(-\boxed{\tau}))$$

τ Vegetation opacity

$$\tau = b * VWC * \sec\theta$$

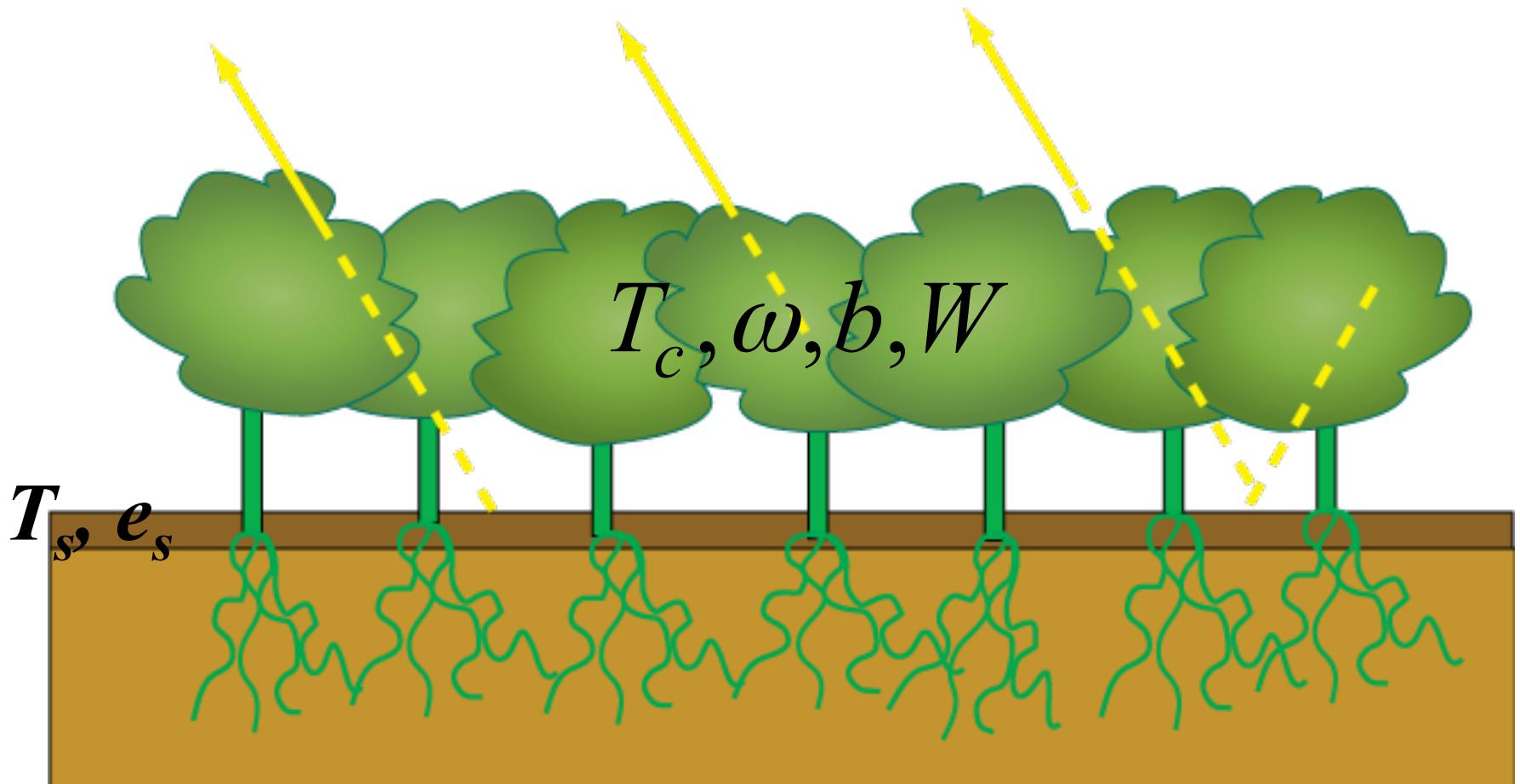
b depends on vegetation type,
frequency & polarization

VWC is vegetation water content

ω Vegetation single scattering albedo ≈ 0

Passive Microwave Remote-Sensing: Tau-omega model

$$T_B = T_s e_s \exp(-\tau) + T_c (1 - \omega)(1 - \exp(-\tau)) + T_c \rho_s \exp(-\tau) (1 - \omega)(1 - \exp(-\tau))$$



Passive Microwave Remote-Sensing: Tau-omega model

Surface heterogeneity



Forest

Different crops

Urban areas

Open water

Irrigated agriculture

Snow

Passive Microwave Remote-Sensing: Ancillary data

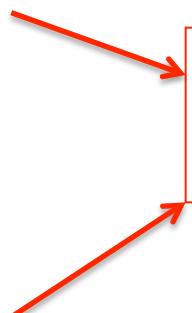
Static

- Land/water/forest/urban/mountain mask
- Elevation
- Slope
- Permanent water fraction
- Soil texture (sand/clay fraction)

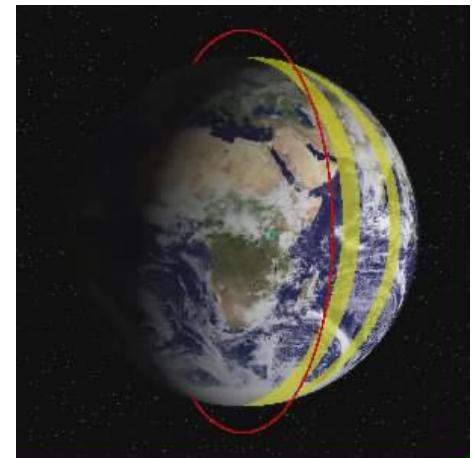
Dynamic

- Land cover
- Surface roughness
- Precipitation
- Vegetation parameters
- Effective soil temperatures

Parameters of tau-omega model
Flags



SMOS mission



Launched

2 November 2009

Duration

Minimum 3 years

Sun-synchronous, dawn/dusk,
at altitude 758 km. 06.00 hrs
local solar time at ascending
node.

Frequency

L-band (21 cm-1.4 GHz)

Spatial resolution

35 km at centre of FOV

Radiometric resolution

08-22 K

Angular range

0-55 degrees

Temporal resolution

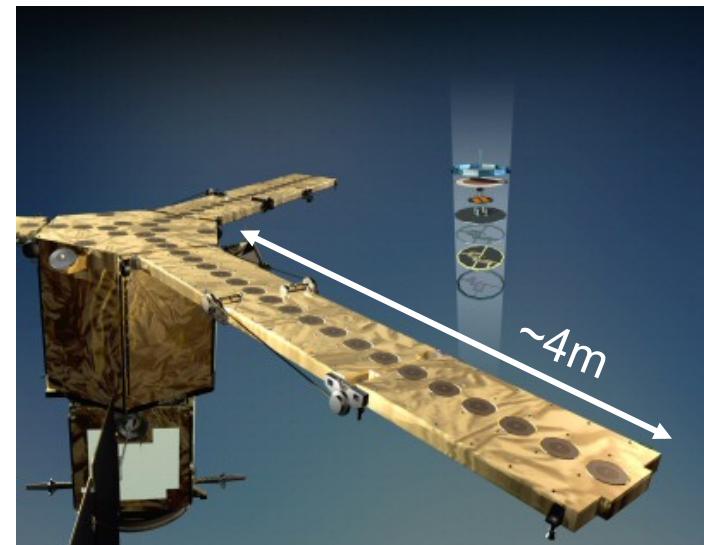
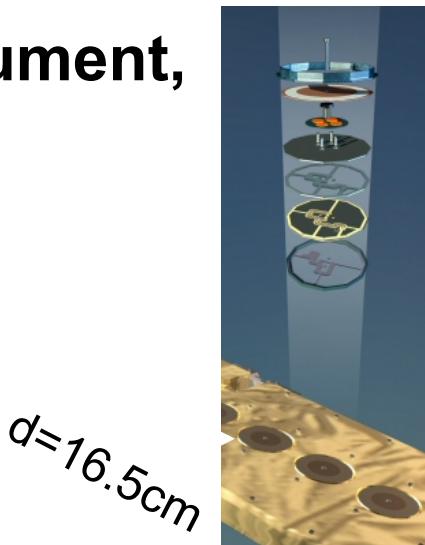
3 days revisit at Equator

Passive Microwave Remote-Sensing: SMOS

“Very Large Array”, New Mexico



MIRAS instrument,
SMOS



Passive Microwave Remote-Sensing: SMOS

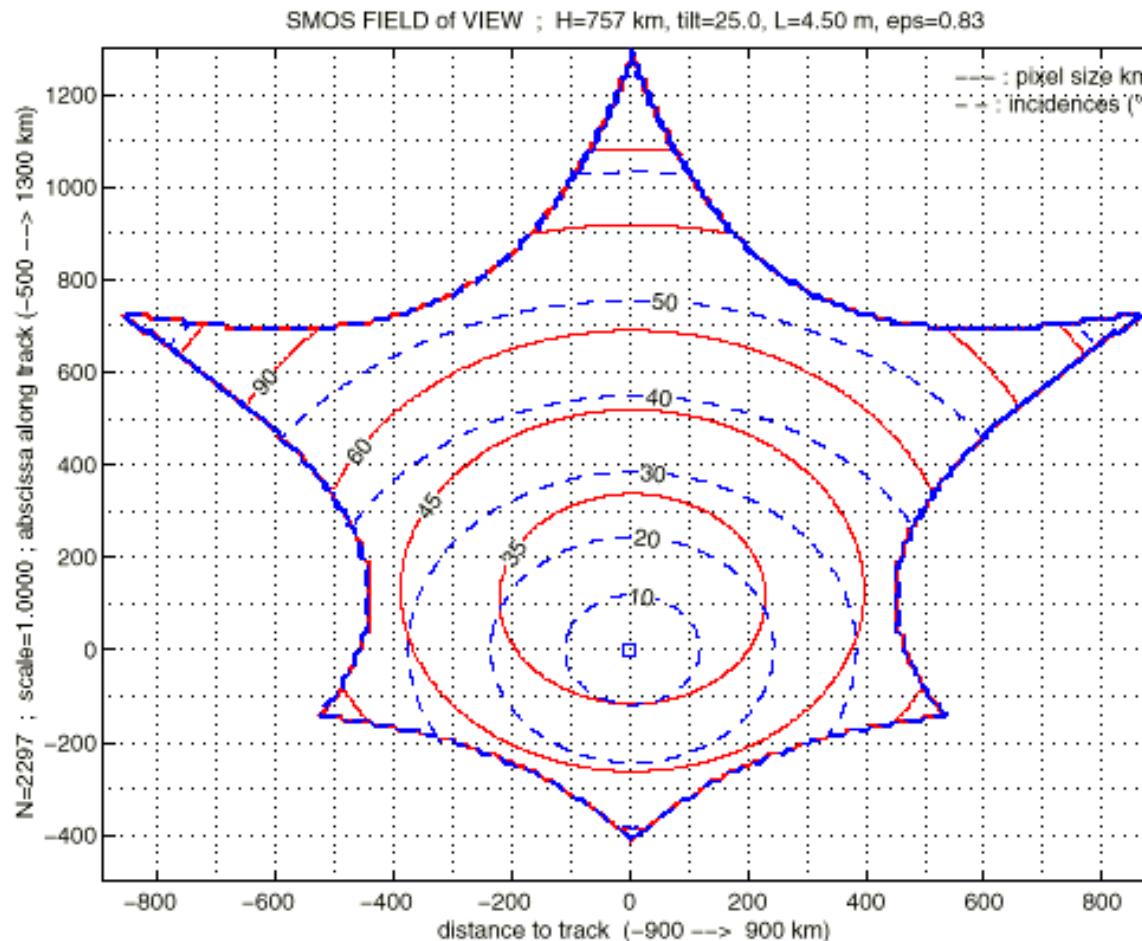
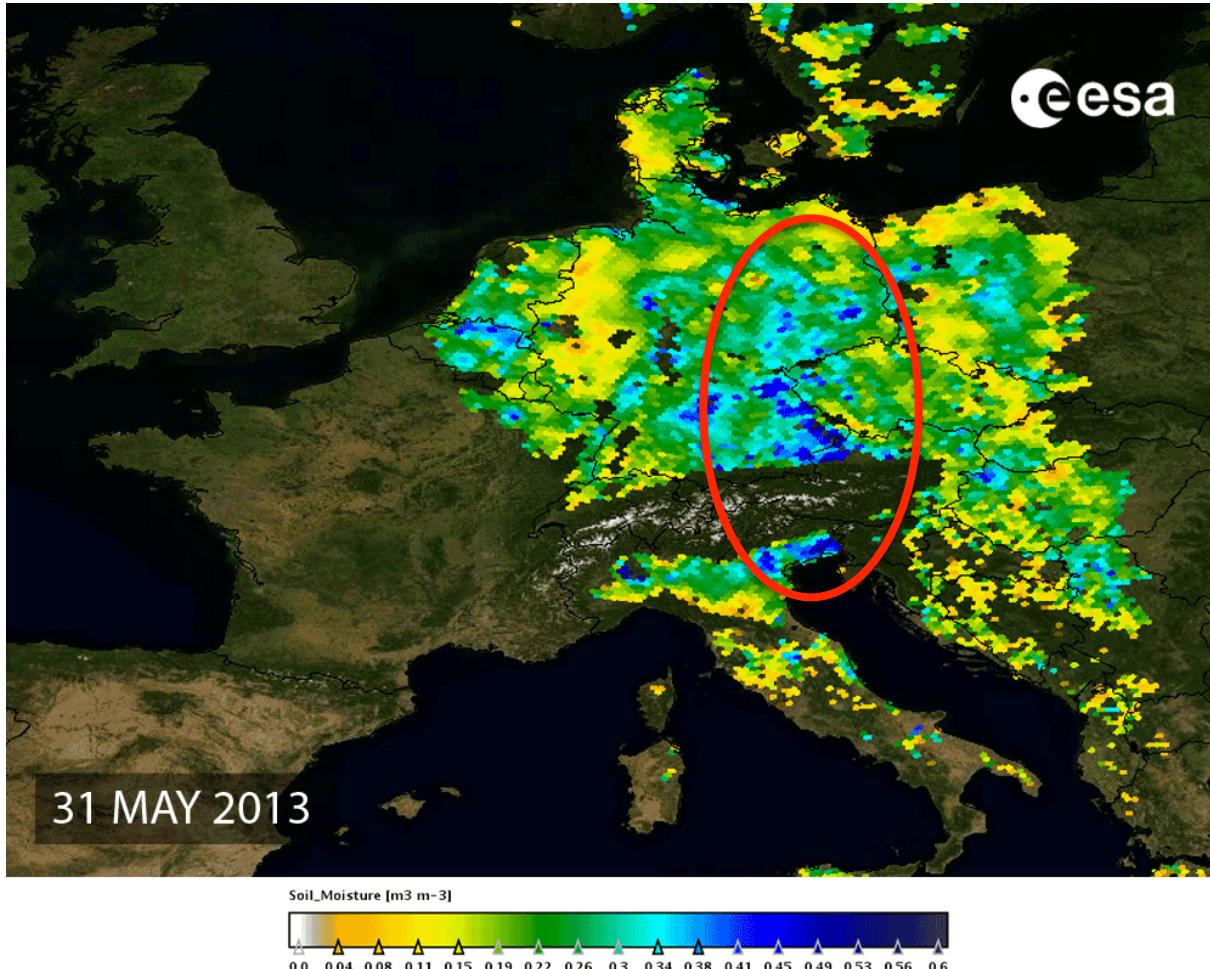


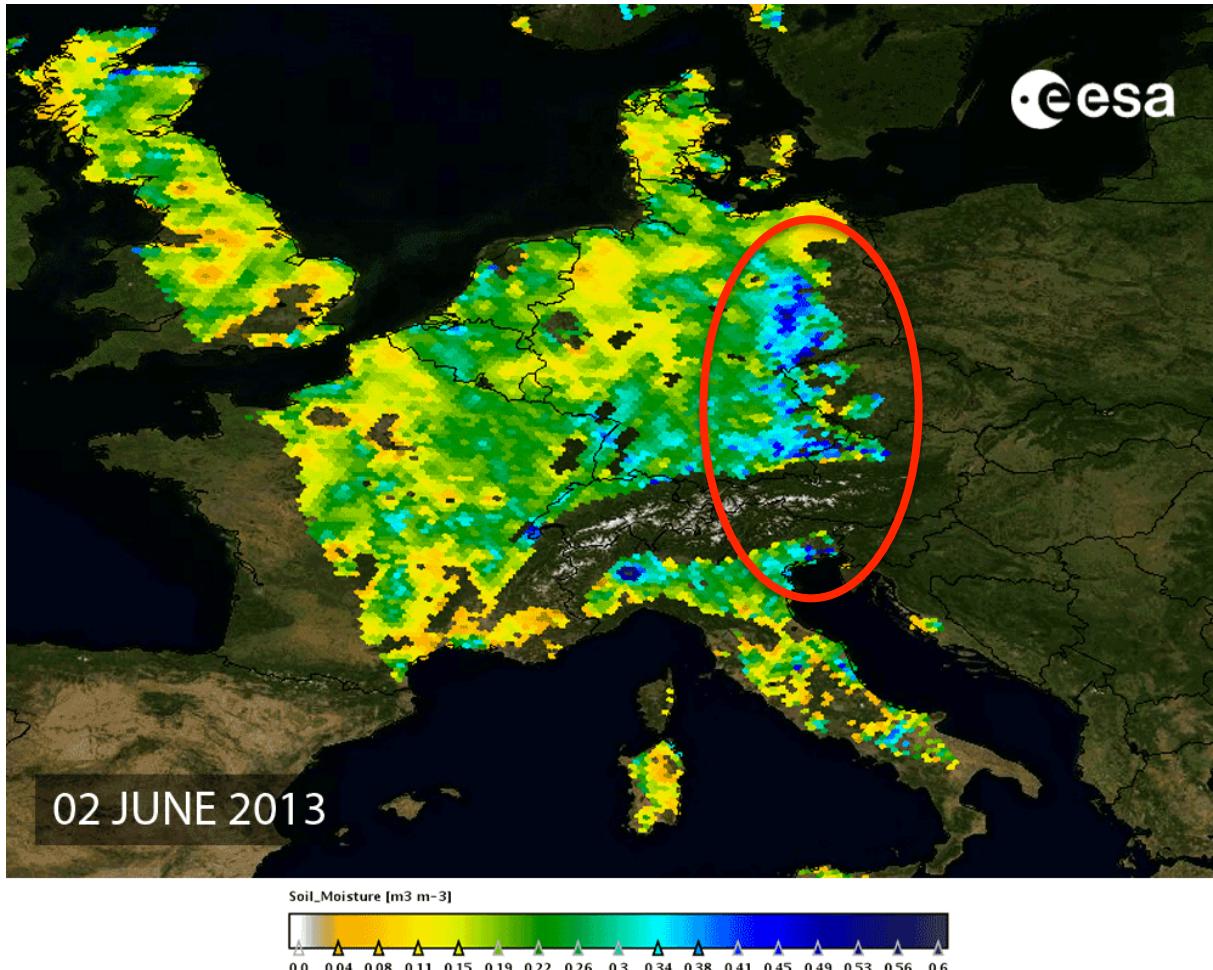
Figure 212-1: SMOS FOV for configuration parameters specified in the title. Contours of equal incidence angle and ground resolution are indicated. The subsatellite point H is shown by a square. The angular resolution Dq was taken equal to $0.8 \times l / r$ (l =wavelength, r = length of antenna arms), which is on the pessimistic side.

Monitoring soil moisture with SMOS



http://www.esa.int/spaceinimages/Images/2013/06/Soil_moisture_from_SMOS

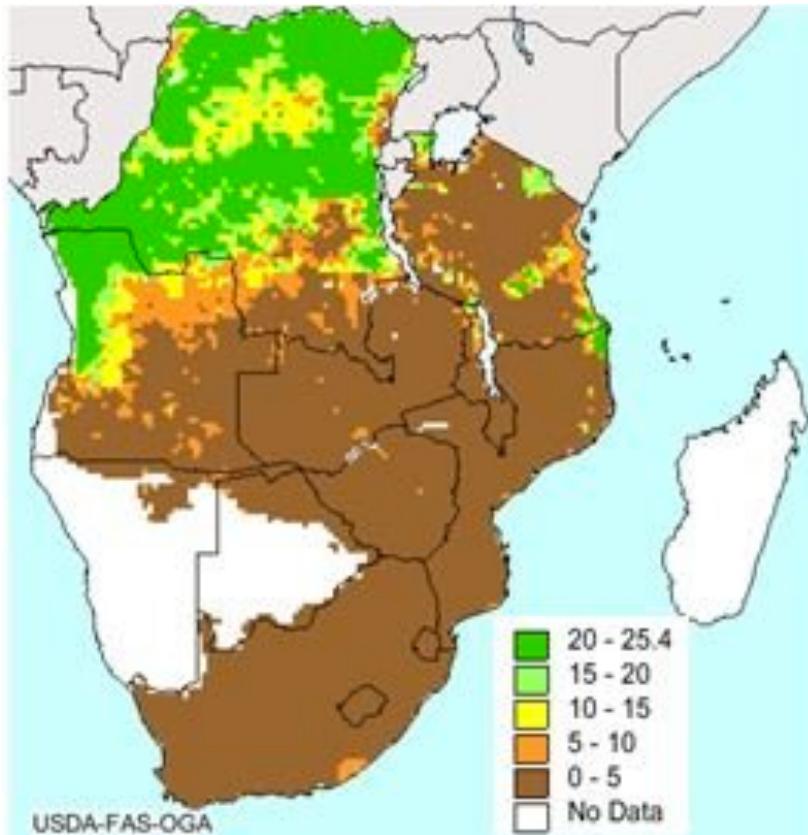
Monitoring soil moisture with SMOS



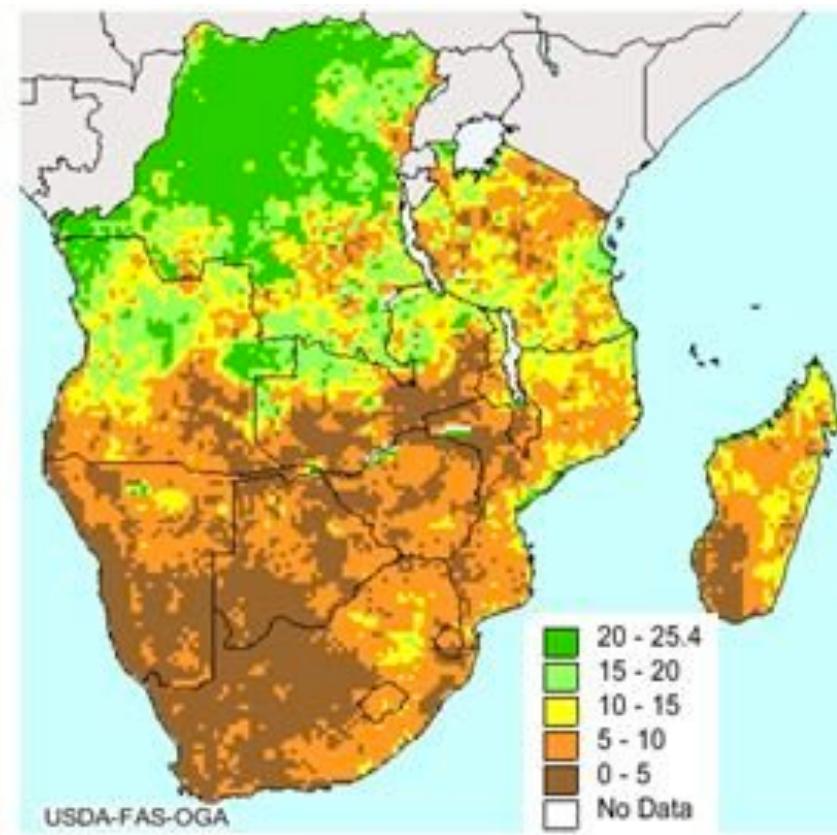
http://www.esa.int/spaceinimages/Images/2013/06/Soil_moisture_from_SMOS

SMOS boosts soil moisture mapping

Soil moisture in southern Africa in mid-April 2014.

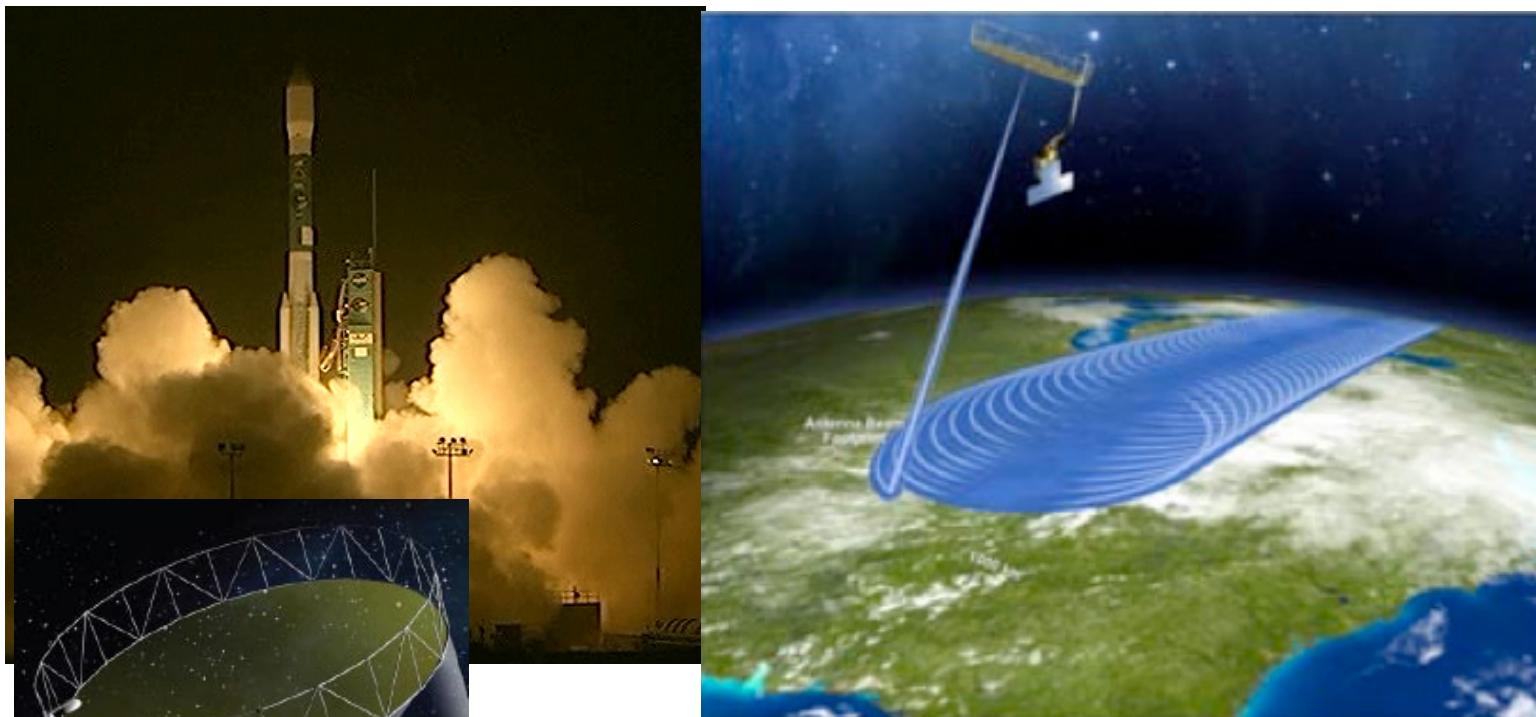


Rain gauges only



Includes SMOS *assimilation*

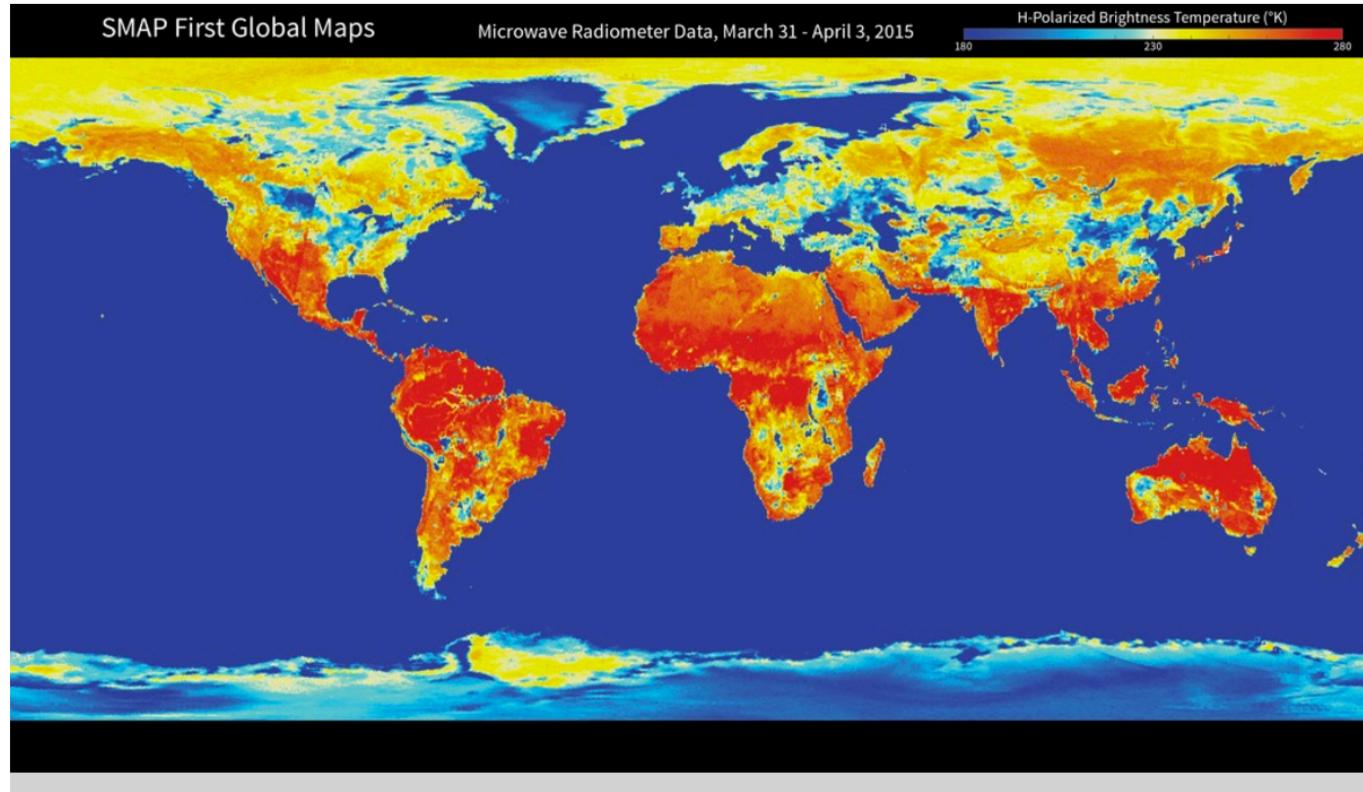
NASA's Soil Moisture Active Passive (SMAP)



- Launched 31 January 2015
- Duration >3 years
- Frequency 1.4GHz (radiometer), 1.21GHz (radar)
- Incidence angle (40 deg)
- Temporal resolution (3 days at equator)
- Revisit time: 6AM/6PM

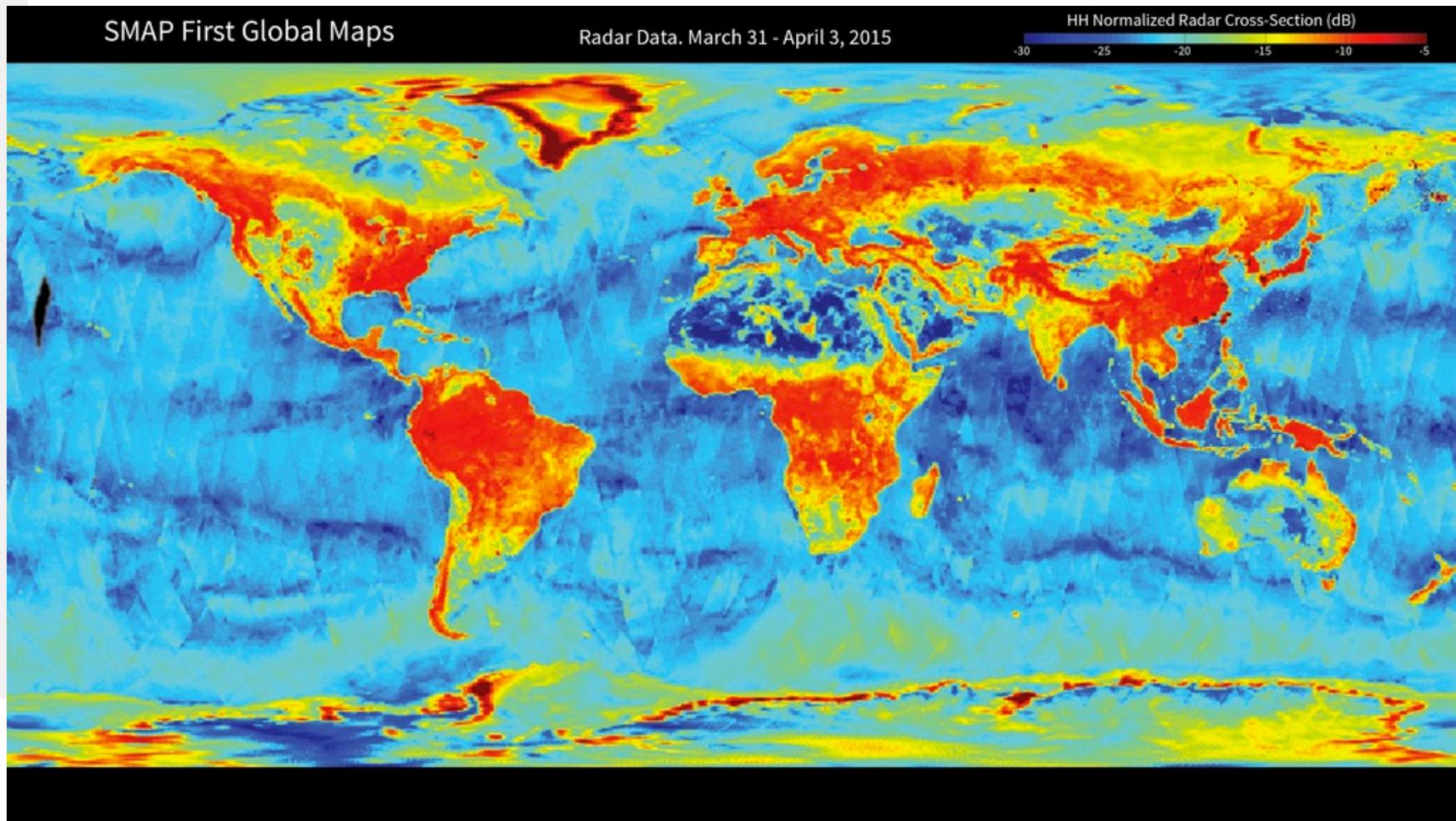
NASA Soil Moisture Mission Produces First Global Maps

on April 21, 2015



NASA Soil Moisture Mission Produces First Global Maps

on April 21, 2015



SMAP Team Investigating Radar Instrument Anomaly

Updated - Aug. 5, 2015 at 2 p.m. PDT / 5 p.m. EDT

The JPL SMAP mission team continues to troubleshoot the anomaly that occurred on SMAP's radar instrument on July 7. The radar remains in safe mode. SMAP's radiometer instrument continues to operate nominally and is collecting valuable science data.

Featured Resources



[SMAP GLOBE program](#)

Det
vol
bo
Ear
ins
pot

← → C smap.jpl.nasa.gov/news/1247/

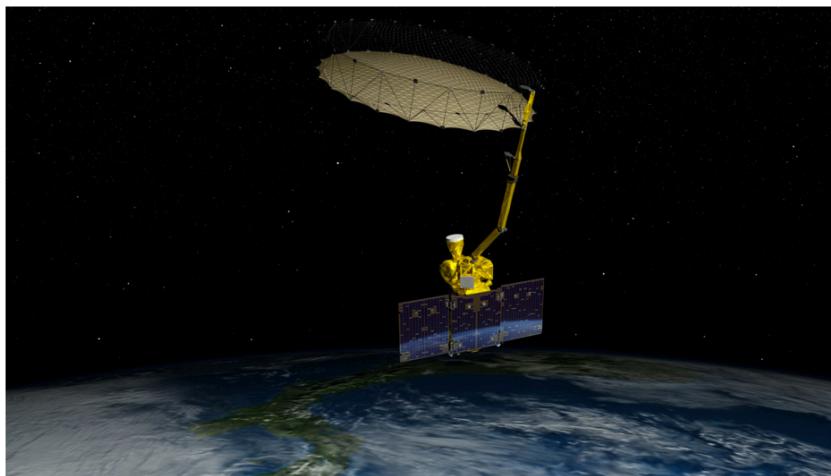
 Jet Propulsion Laboratory California Institute of Technology

SMAP SOIL MOISTURE ACTIVE PASSIVE

Mission Observatory Science Data Multimedia Education News & Events

NEWS | September 2, 2015

NASA Soil Moisture Radar Ends Operations, Mission Science Continues

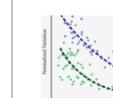


NASA's Soil Moisture Active Passive (SMAP) mission is producing high-quality global maps of soil moisture to track water availability around our planet and guide policy decisions. Credit: NASA/JPL-Caltech

Featured Resources



[SMAP Observatory Labeled 2](#)



[Graph of Variation of Domain-Averaged Soil Moisture](#)

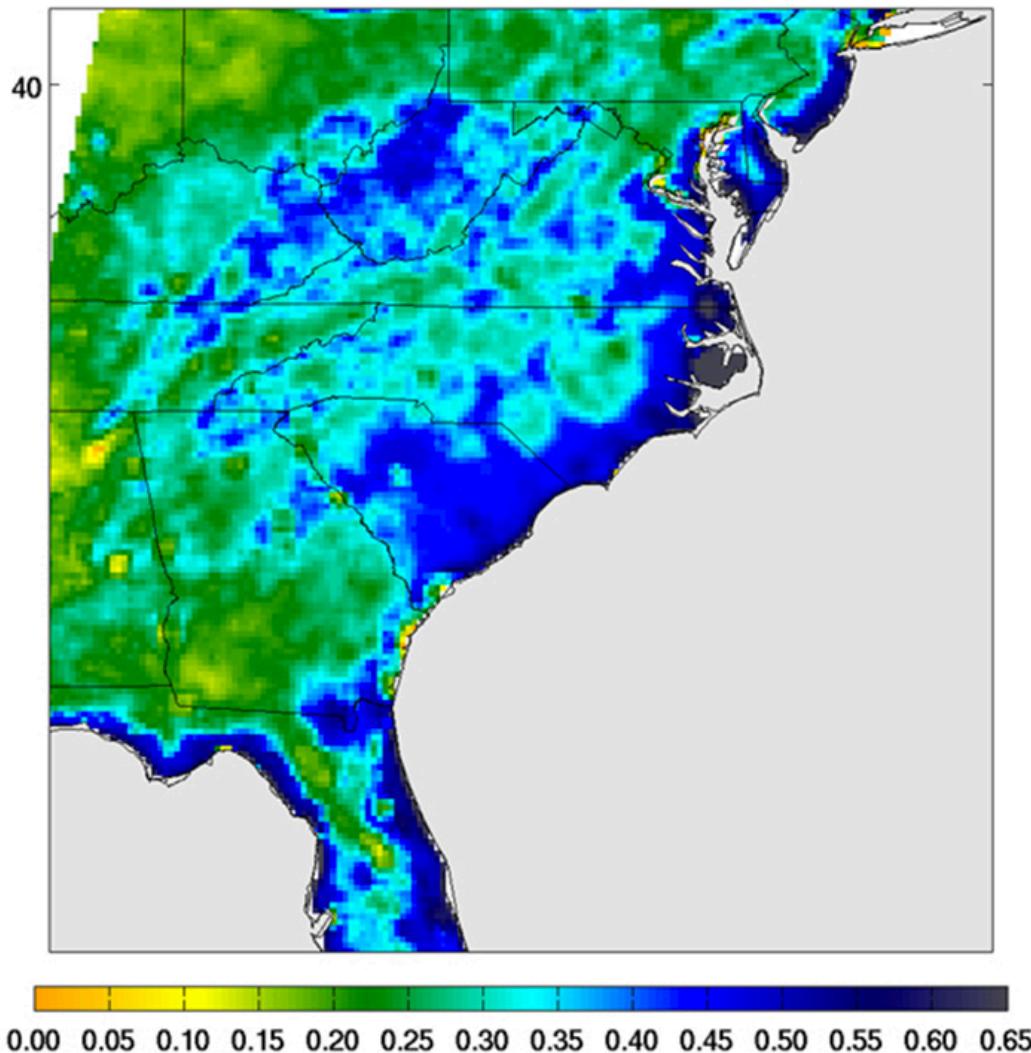


[Swath Path 1](#)

[› more resources](#)

Devastating Carolina Floods Viewed by SMAP

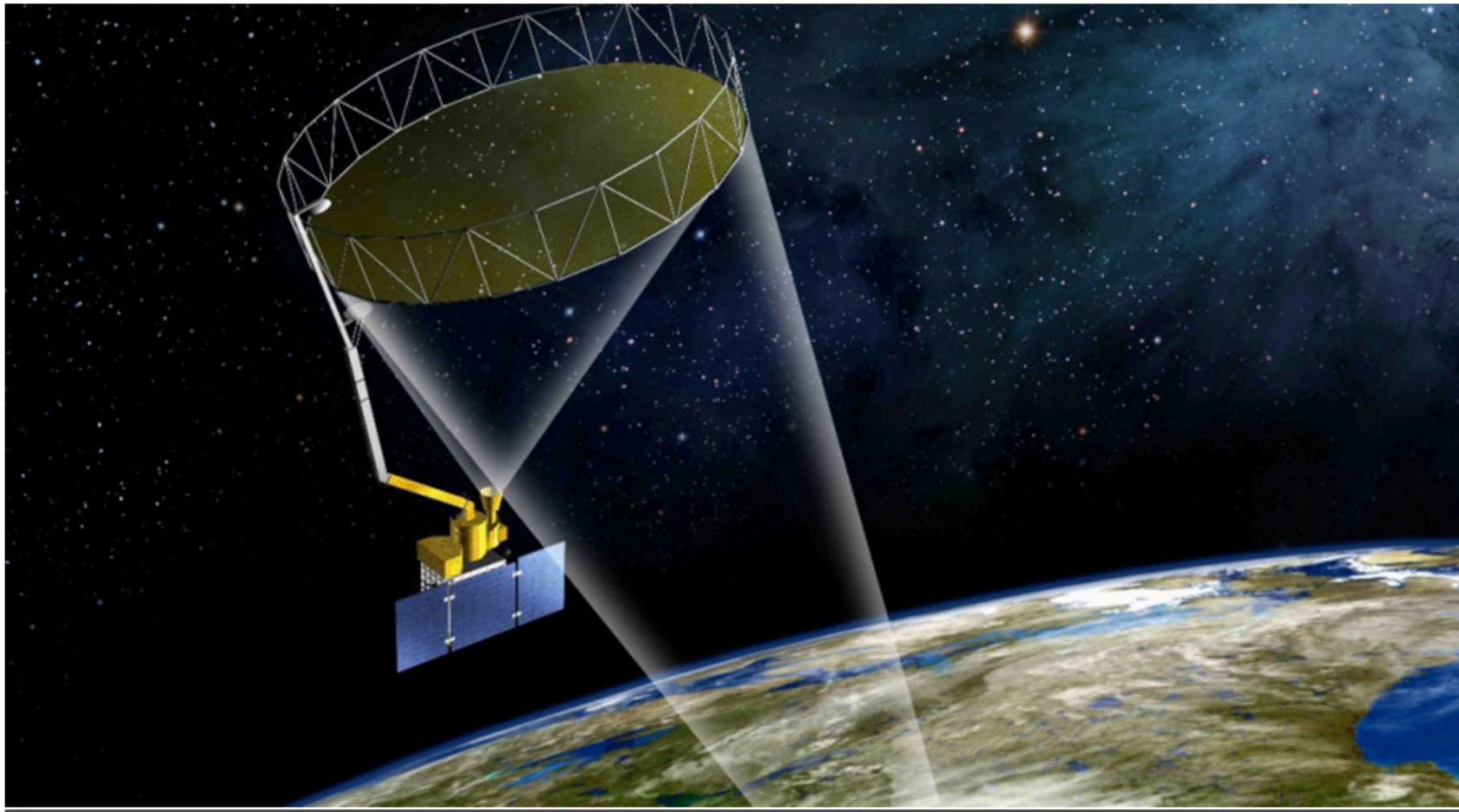
SMAP Soil Moisture (L2_SM_P) on October 5, 2015



- 17 deaths
- 1000-year storm
- 14 dams failed
- Large-scale flooding

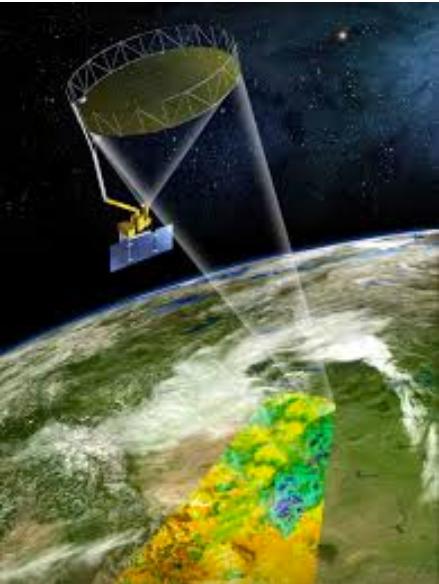
NASA Focused on Sentinel as Replacement for SMAP Radar

by Dan Leone — November 27, 2015



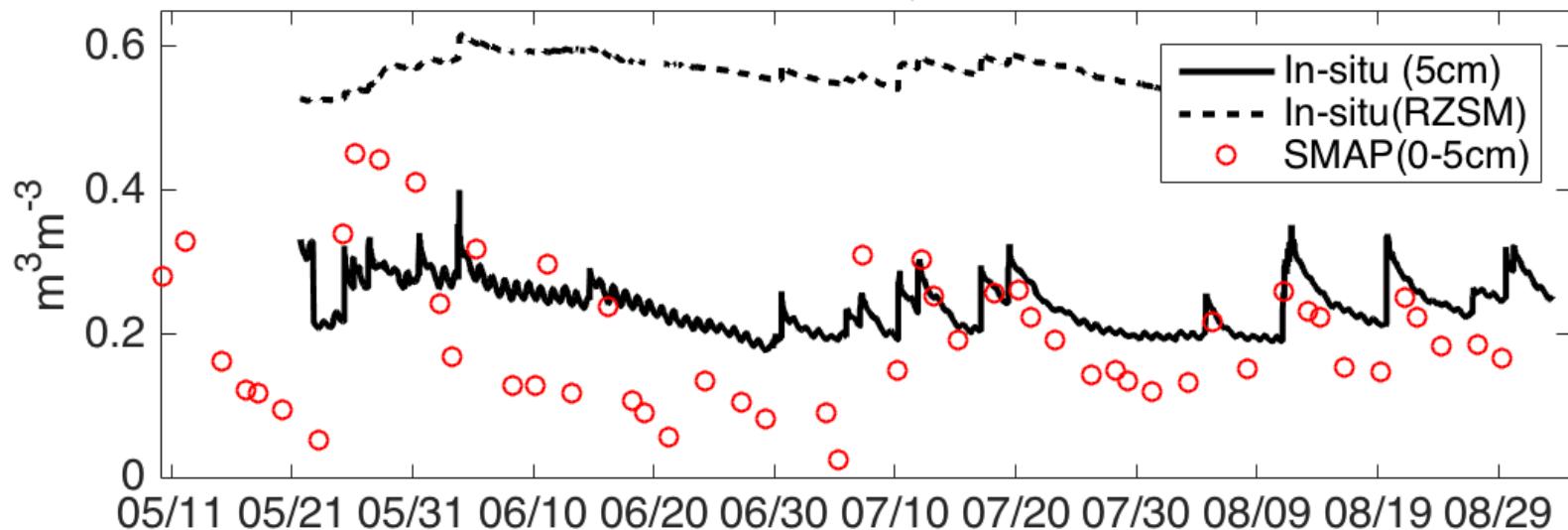
Sentinel's C-band radar is not the only radar flying in space – or even the closest substitute for the lost SMAP L-band radar – but it is the only one that will trail SMAP (artist's concept above) closely enough to gather timely radar images of the swath of Earth SMAP covers. Credit: NASA

TU Delft @ SMAPVEX16!

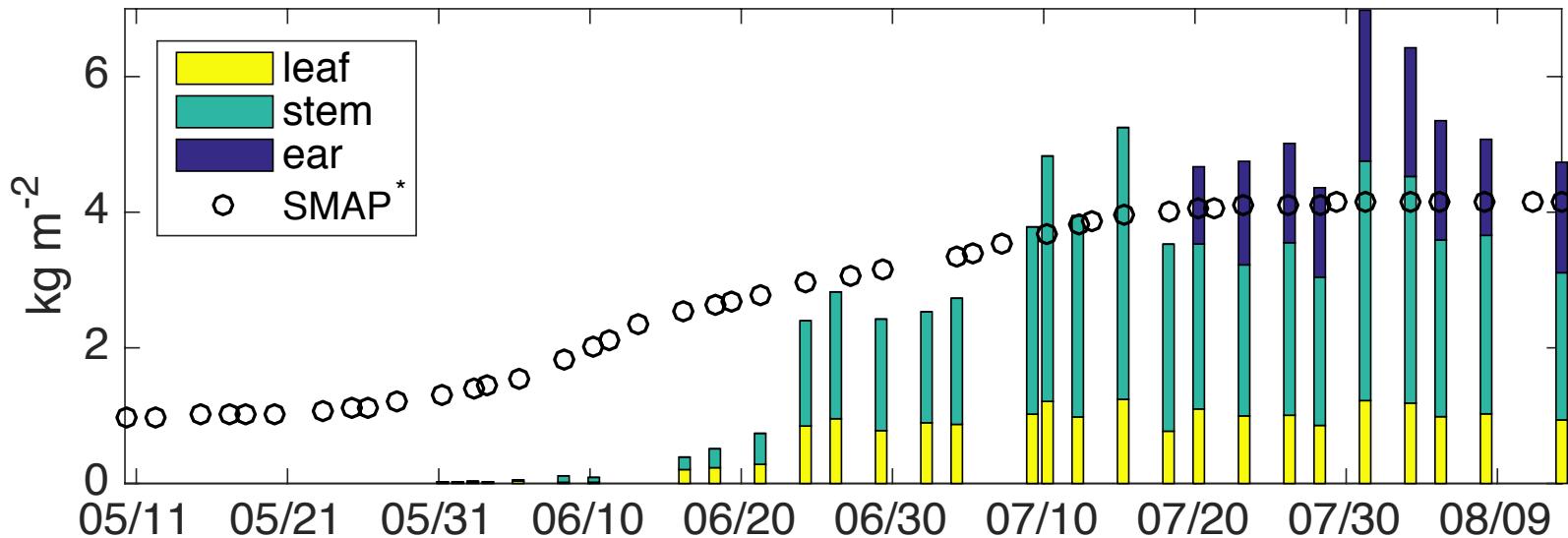


TU Delft @ SMAPVEX16!

SMAPVEX16 Corn, Soil Moisture



SMAPVEX16 Corn, Vegetation Water Content (6AM)



Passive Microwave Remote Sensing

Summary

Passive MW sensors measure brightness temperature.

At MW frequencies, $T_b < T_{\text{physical}}$, depending on emissivity.

Microwave emissivity varies considerably by surface type and condition, => passive microwave remote sensing has many applications including polar mapping, and measuring precipitation and soil moisture.

Active Microwave Remote Sensing

Learning objectives

By the end of this section, you will be able to:

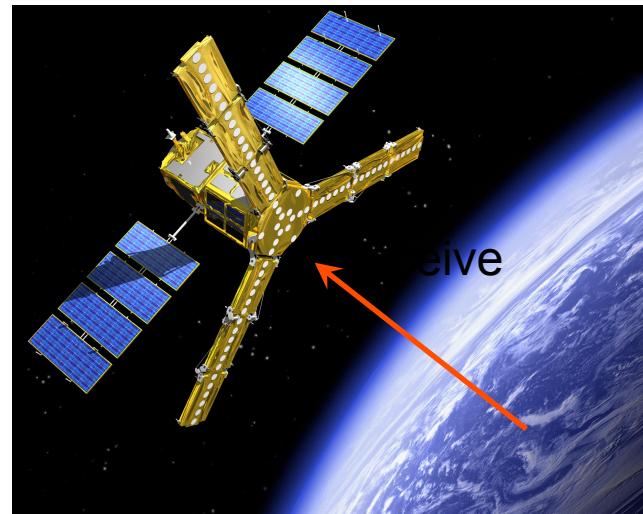
- 1) Explain the difference between the main types of active MW sensors
- 2) List examples of each of the sensor types
- 3) Describe how active microwave remote sensing can used to estimate water levels, soil moisture and address many problems in water resources management

Remote-sensing techniques

Passive

Employ natural sources of energy,

e.g. the sun (optical) or the earth itself (MW).



Active

Have their own source of energy.

In lasers, this source is optical.

In radar, this source is MW.



Active Microwave Sensor types

Non-imaging radar sensors

Take measurements in one linear dimension.

Examples:

1) radar altimeters
(measure distance)

2) scatterometers
(measure surface properties)

Imaging radar sensors

Take two-dimensional measurements.



Examples:

1) Real aperture radar (RAR)

2) Synthetic aperture radar (SAR)

Components of a radar system

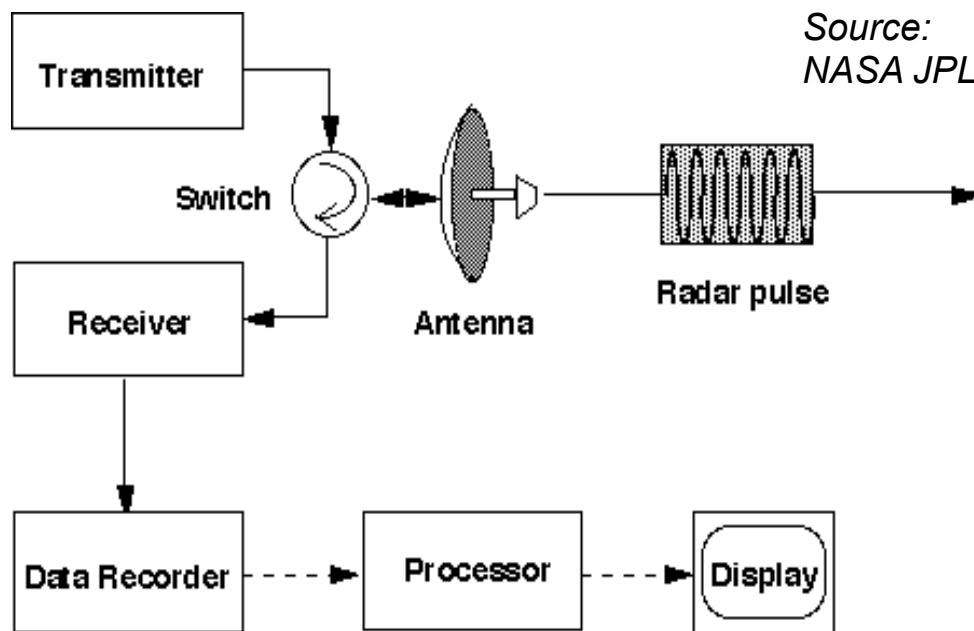
Transmitter:
Generates the microwave signal, and transmits it to the antenna

Receiver:
Accepts the backscattered signal from the antenna, filters and amplifies it as required by the recorder.

Antenna:

The microwave signals from the transmitter are bundled into a beam.

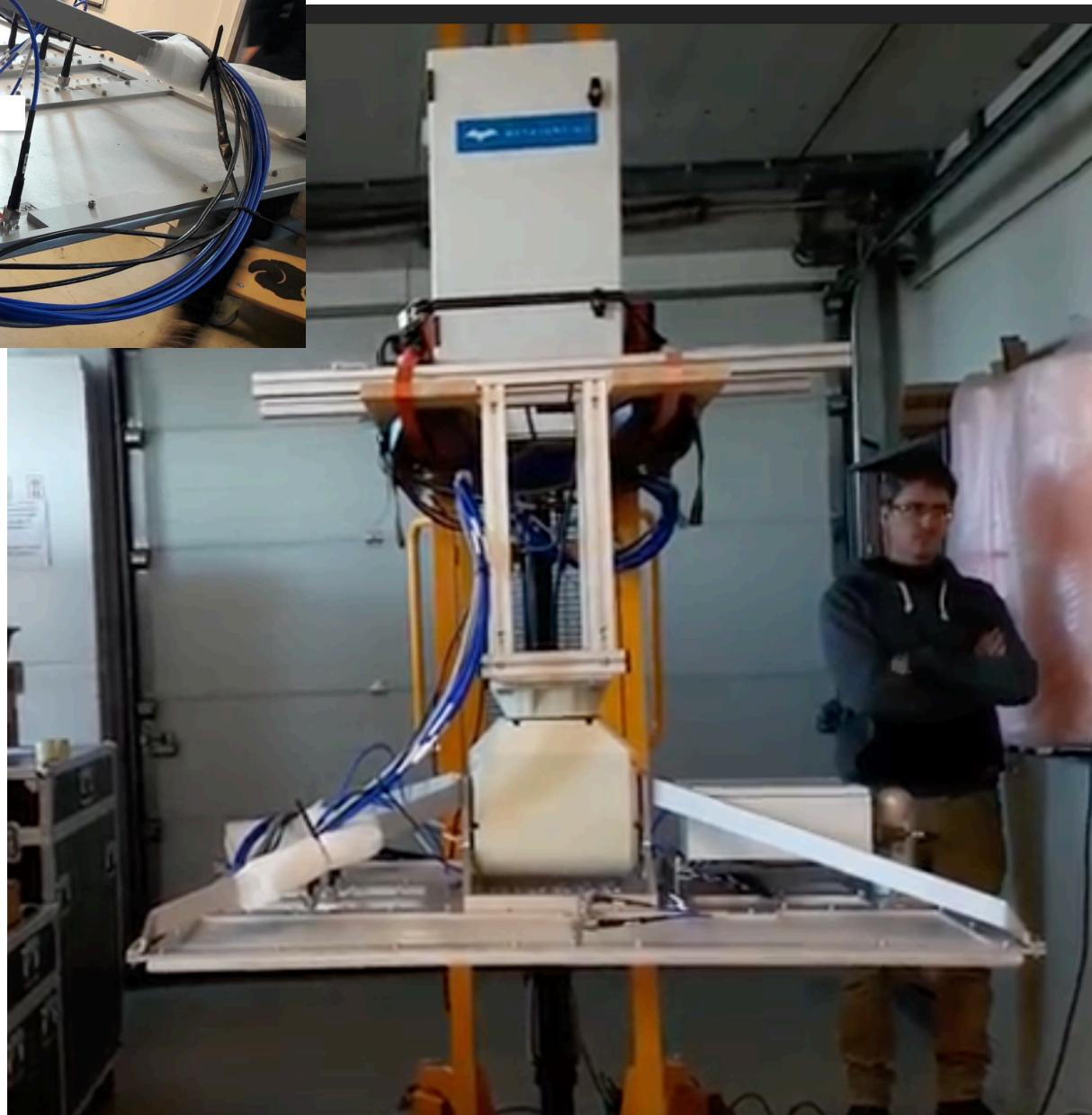
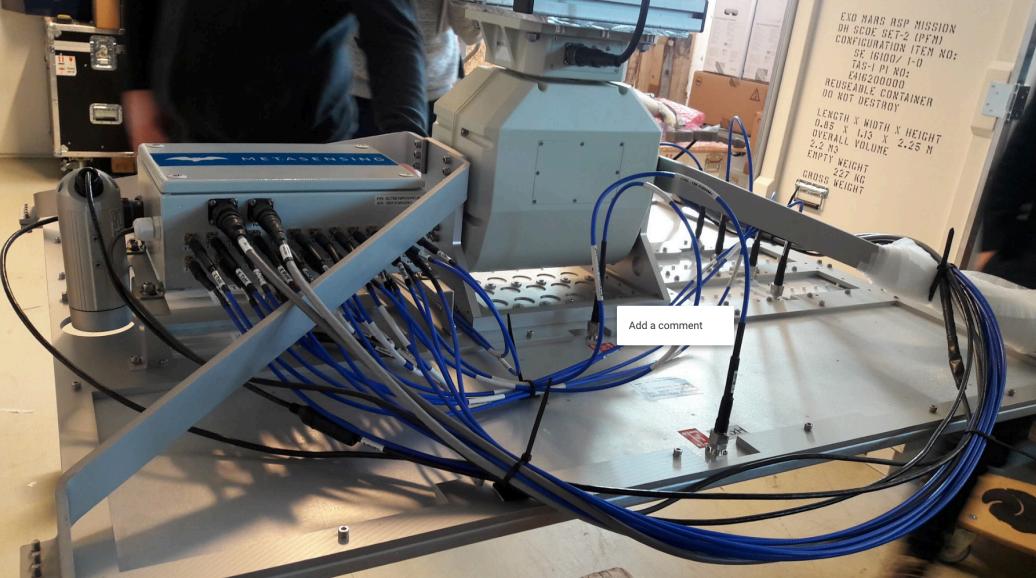
The antenna then emits the signal in a beam towards the Earth's surface, and receives the backscattered signal from the Earth's surface.



Recorder:

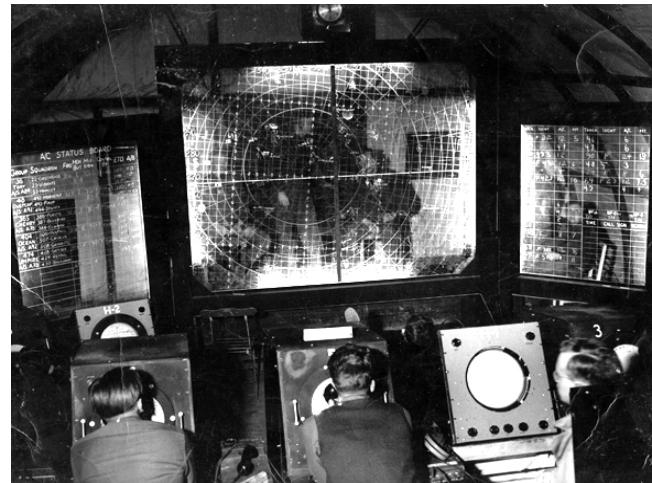
Stores the received signal

Components of a radar system



RADAR – Radio Detection And Ranging.

Radar techniques were originally developed for military applications.



Surface search radar display
found on ships



Radar tower
Heathrow airport

Radar Ranging

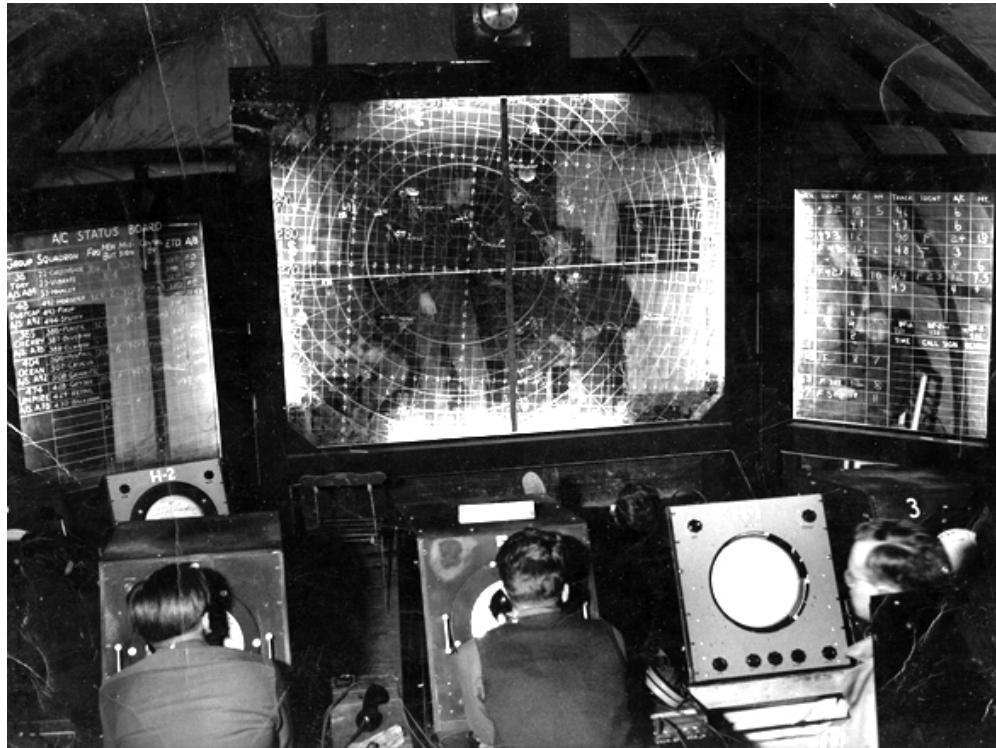
Developed during WWII,
to track incoming aircraft.

If a short microwave pulse is transmitted towards and reflected by a target, the distance R to the target is given by:

$$R = \frac{ct}{2}$$

where

- c is the speed of light in the medium
- t is the time taken for the signal to reach the target and return to the transmitter.



Radar Altimetry

Altimeters use ranging to measure surface topography

$$h = \frac{ct}{2}$$

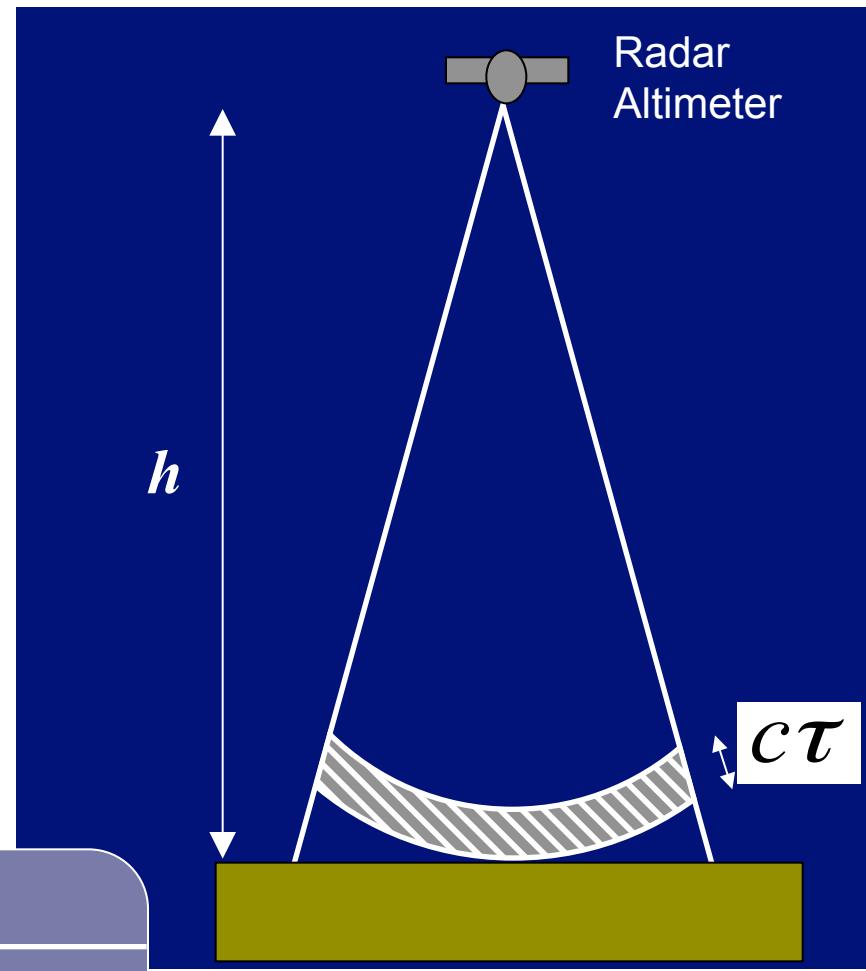
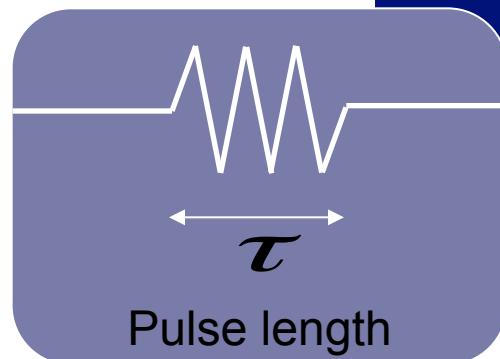
- c is the speed of light in the medium
- t is the time delay between transmitted and received signals

The precision with which the distance can be measured is given by:

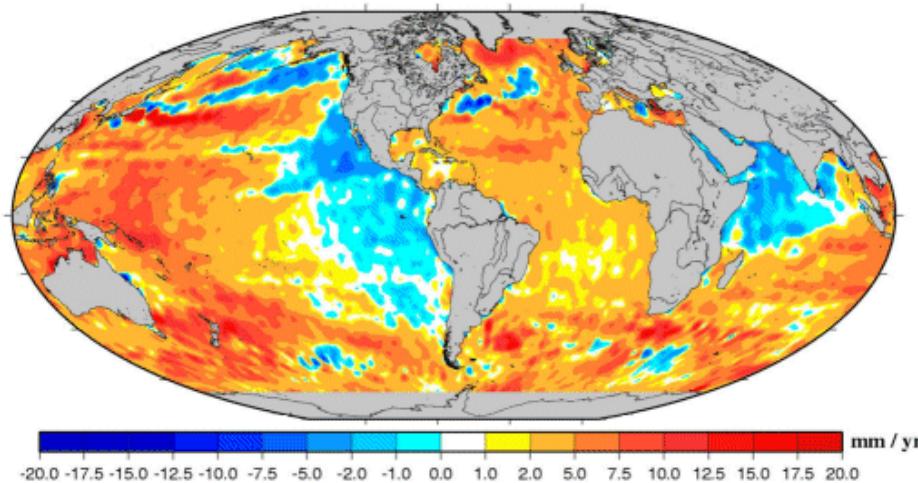
$$\Delta h = \frac{c\tau}{2} = \frac{c}{2B}$$

where B is the bandwidth of the pulse,

$$B = \frac{1}{\tau}$$



Mean sea level observations



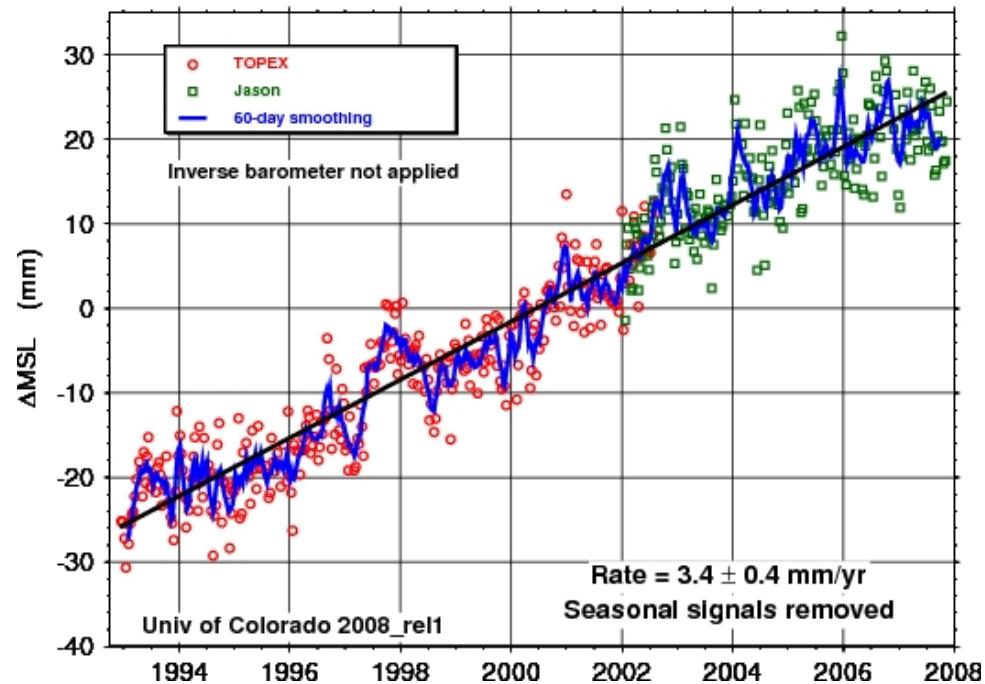
Mean Sea Level trends computed from altimetry, from January 1993 to 2005.

(Source: [Legos/CNRS](#), France)

Mean Sea Level variation computed from altimetry

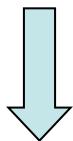
(Source: <http://sealevel.colorado.edu>)

Leuliette, E. W., R. S. Nerem, and G. T. Mitchum, 2004. Calibration of TOPEX/Poseidon and Jason altimeter data to construct a continuous record of mean sea level change. *Marine Geodesy*, 27(1-2), 79-94.

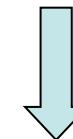


Sea surface height anomalies

Warm ocean currents and eddies → Increased sea surface heights



Intensification of hurricanes

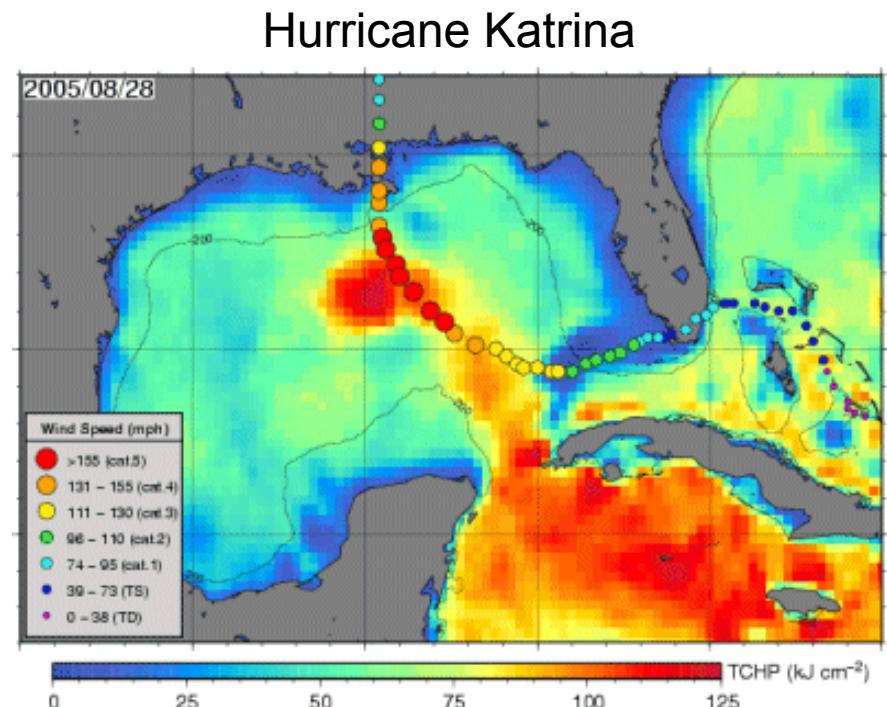


Observe with radar altimetry!

Tropical Cyclonic Heat Potential computed from altimetry on 28 August 2005, with Hurricane Katrina's trajectory and intensity overlaid.

Katrina's intensification seems to coincide with its crossing over the Loop Current.

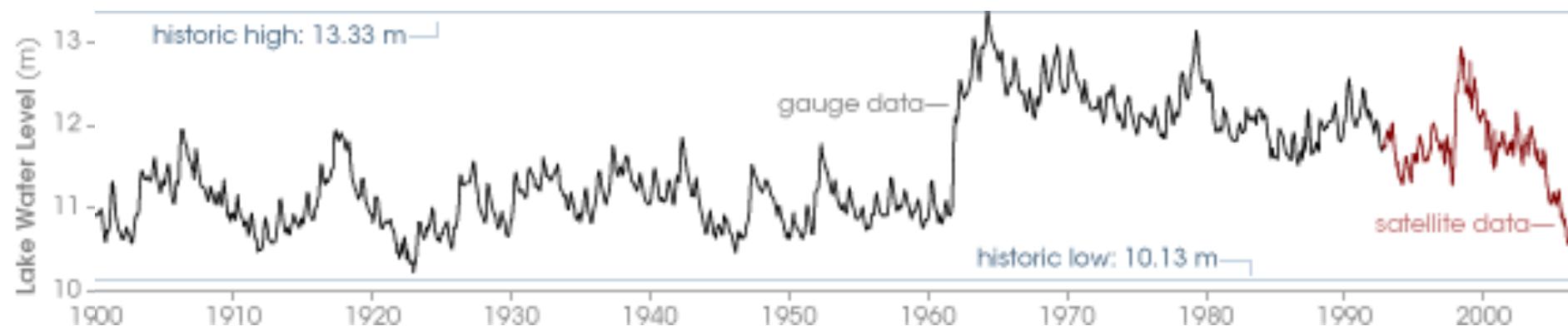
(Credits [NOAA/AOML](#))



Lake level monitoring

Lake Victoria

- Borders Kenya, Uganda, Tanzania
- Food, transport, energy for 30 million people
- 3rd largest lake in the world
- Surface Area 68,870 km²
- Mean depth: 40.0 m

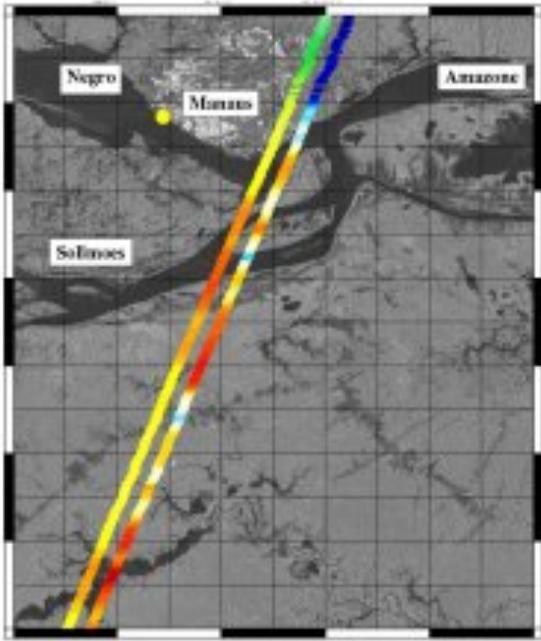


Lake level data from the TOPEX-Poseidon and Jason-1 satellites (red) augment gauge data at Jinja, Uganda (black). (Graph by Robert Simmon, based on data provided by the USDA [Foreign Agricultural Service](#).)

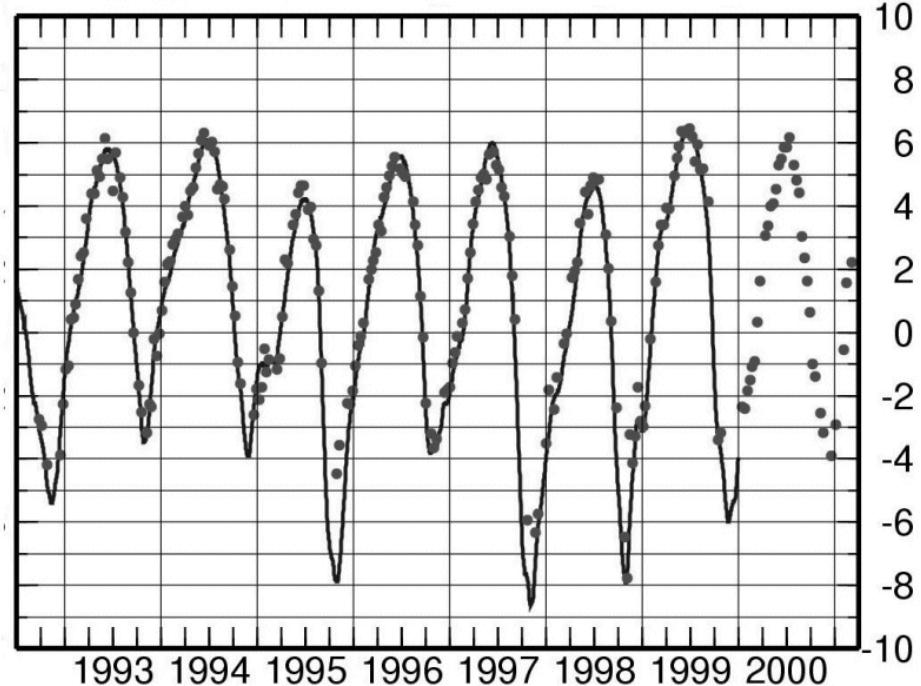
Source: earthobservatory.nasa.gov

River level monitoring

**Mean water level variations at Manaus,
Amazon River, from Topex/Poseidon**



Topex/Poseidon track 63 shown in the area of the Amazon and Rio Negro rivers in the upper Amazon basin.



Water level time series in the Amazon for Topex/Poseidon track 63 (3.21°S - 3.14°S), in metres. In-situ data from the Manaus station are shown as dots.

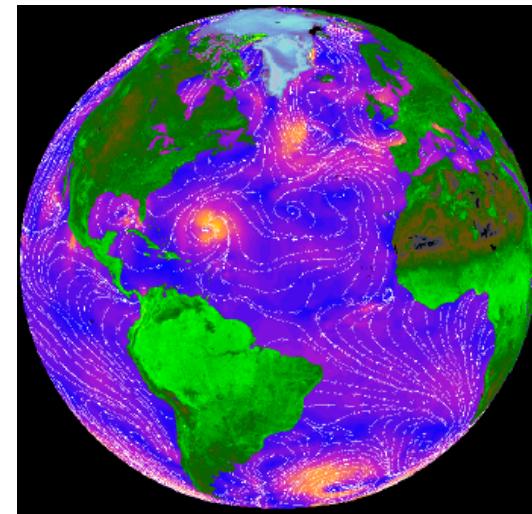
Non-Imaging Radar: Scatterometers

Scatterometry:

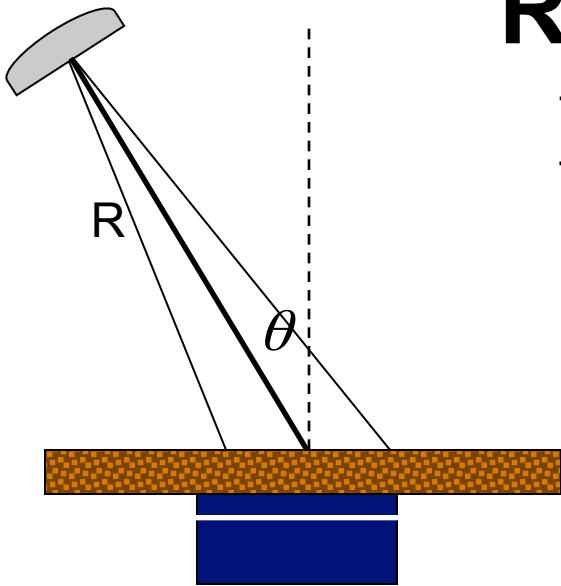
Measures the properties of surfaces (e.g. land and ocean) and volumes (vegetation canopy) based on backscattering cross-section of the surface area illuminated by the antenna.

Originally developed to map wind speed and direction over oceans.

Over land, scatterometry can tell us about soil moisture content, roughness and texture, as well as vegetation structure.



Wind directions and velocities over the ocean as from QuikSCAT (NASA JPL)



Radar Equation

The surface acts like a transmitter, transmitting this backscattered power back to the antenna

The power received at the antenna is given by:

$$P_r = \frac{GP_t S \sigma A}{(4\pi)^2 R^4} \cos \theta$$

G is the antenna gain. $G = \frac{\eta 4\pi A}{\lambda^2}$

λ is the wavelength

P_t is the transmitted energy

A is the area of the antenna, η is its efficiency.

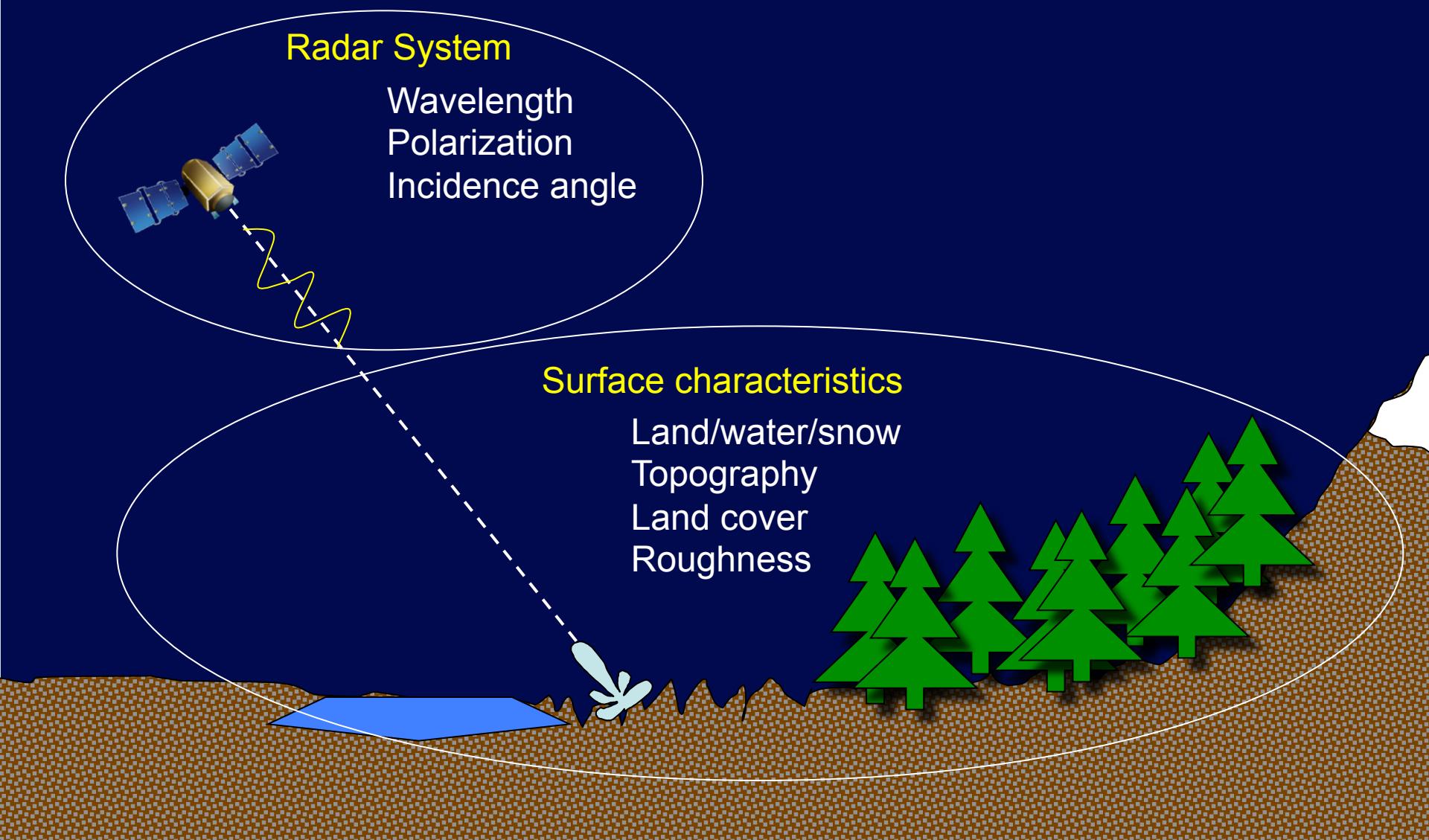
σ is the backscatter cross section

R is the range (distance) from the sensor to the object.

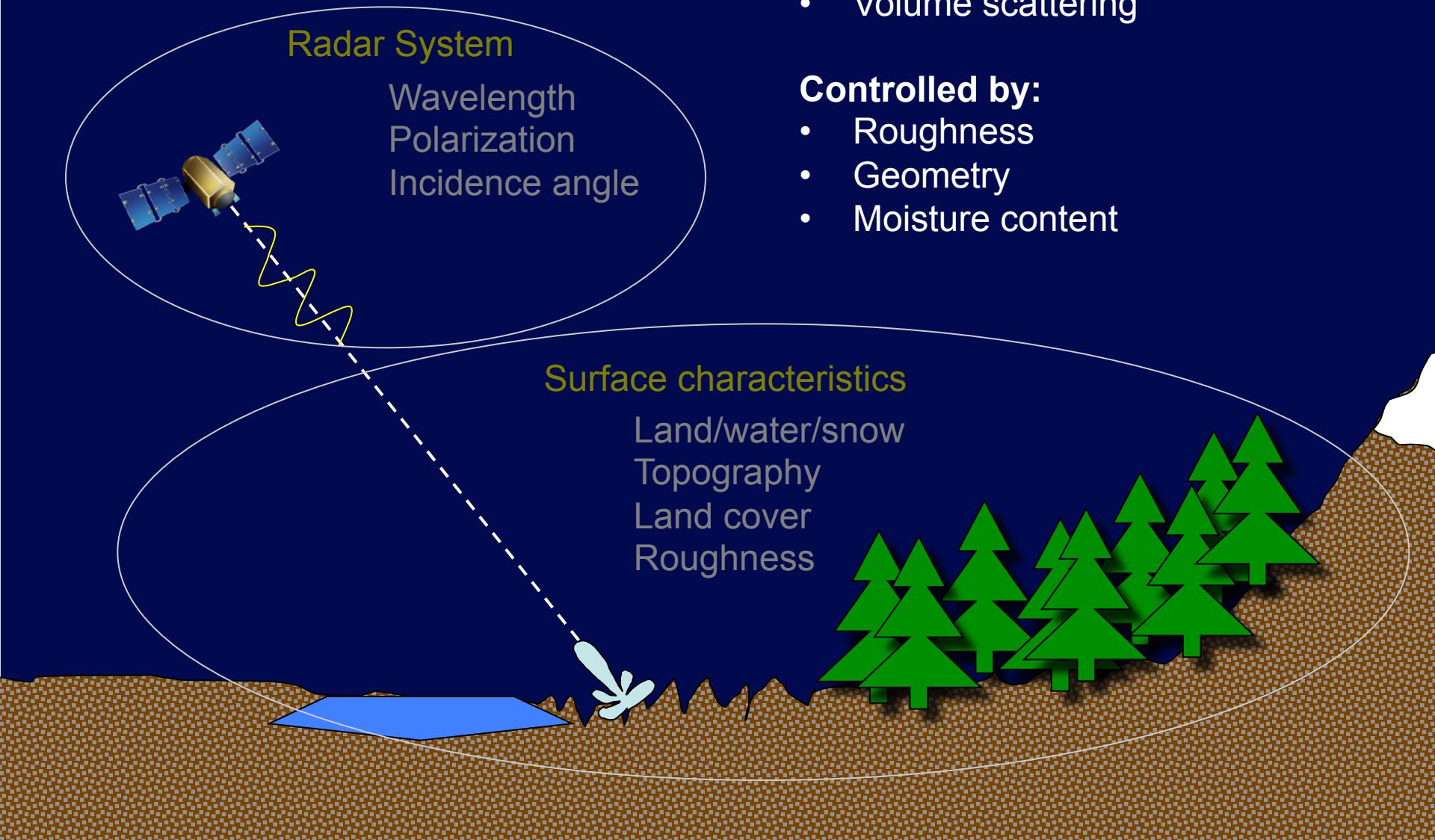
Radar System Properties

Geometry
& Surface Characteristics

Backscatter cross section, σ



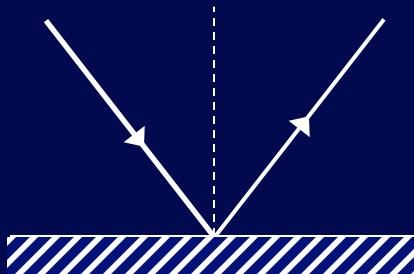
Backscatter cross section, σ



Backscatter cross section, σ

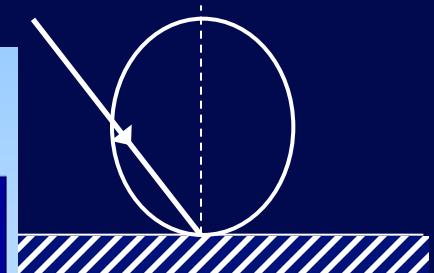
– Surface scattering

“Smooth”
Specular scattering



Roughness

“Rough”
Lambertian scattering



Surface Roughness Surface Scattering Patterns

Incident Wave



Scattering Pattern

Smooth

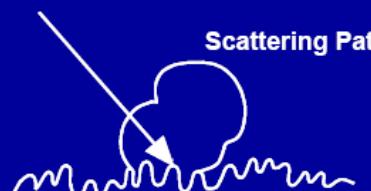
Incident Wave



Scattering Pattern

Medium Rough

Incident Wave



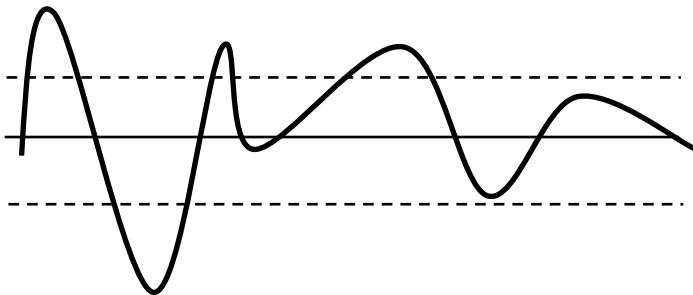
Scattering Pattern

Very Rough

Backscatter cross section, σ

– Surface scattering

Roughness



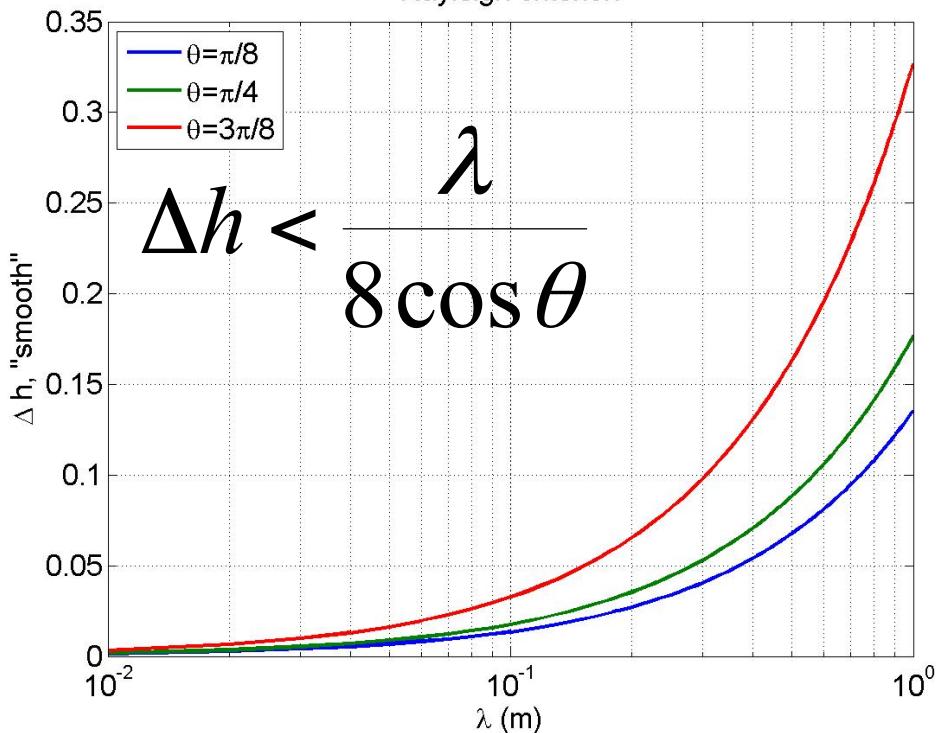
Ah

e.g. Rayleigh criterion

Rayleigh criterion

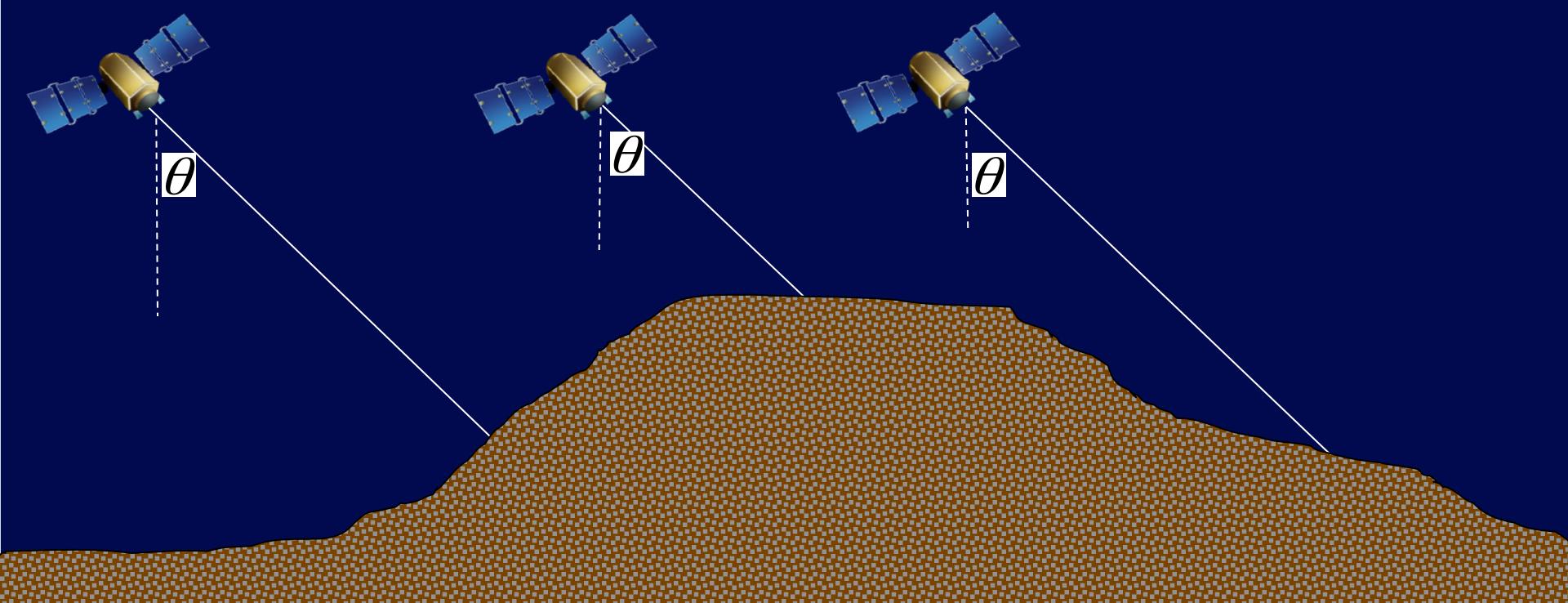
Δh = root mean square variation in surface height

Surface is “smooth” if
 $\Delta h \ll \lambda$



Backscatter cross section, σ – Surface scattering

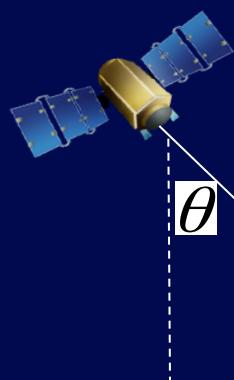
Geometry - Local Incidence Angle



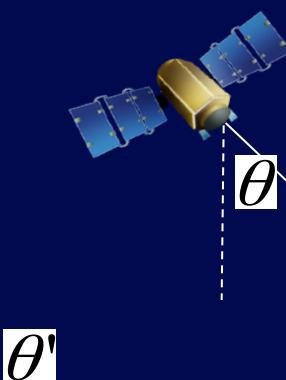
Backscatter cross section, σ – Surface scattering

Geometry - Local Incidence Angle

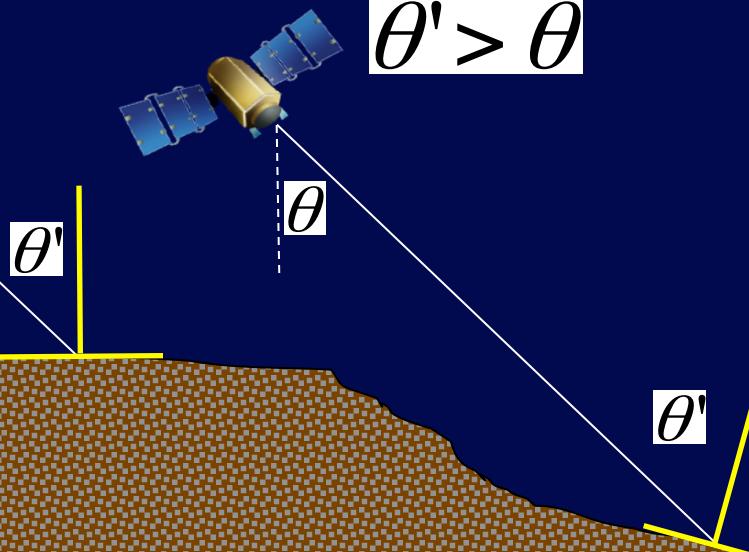
$$\theta' \ll \theta$$



$$\theta' = \theta$$



$$\theta' > \theta$$



Backscatter cross section, σ – Surface scattering

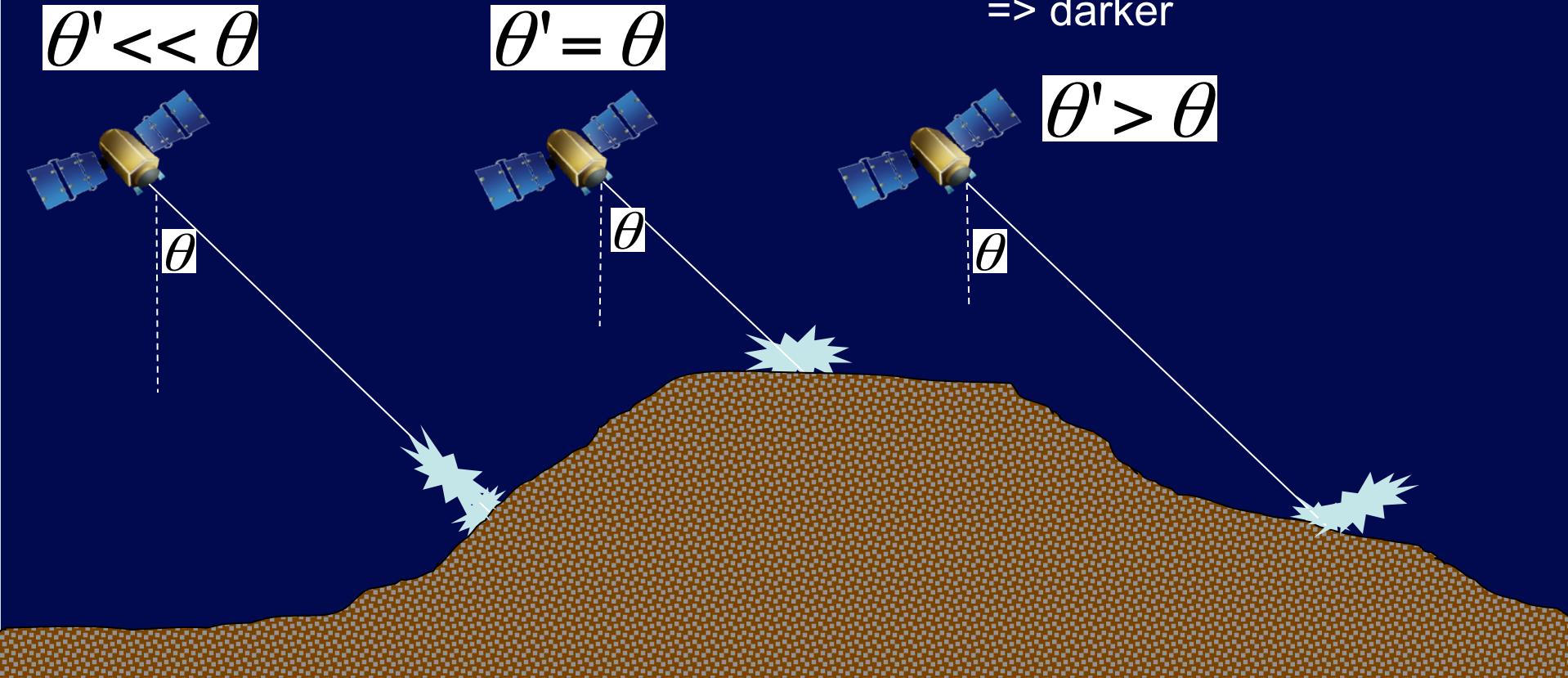
Geometry - Local Incidence Angle

Appears “rougher”
=> brighter

$$\theta' \ll \theta$$

Appears “smoother”
=> darker

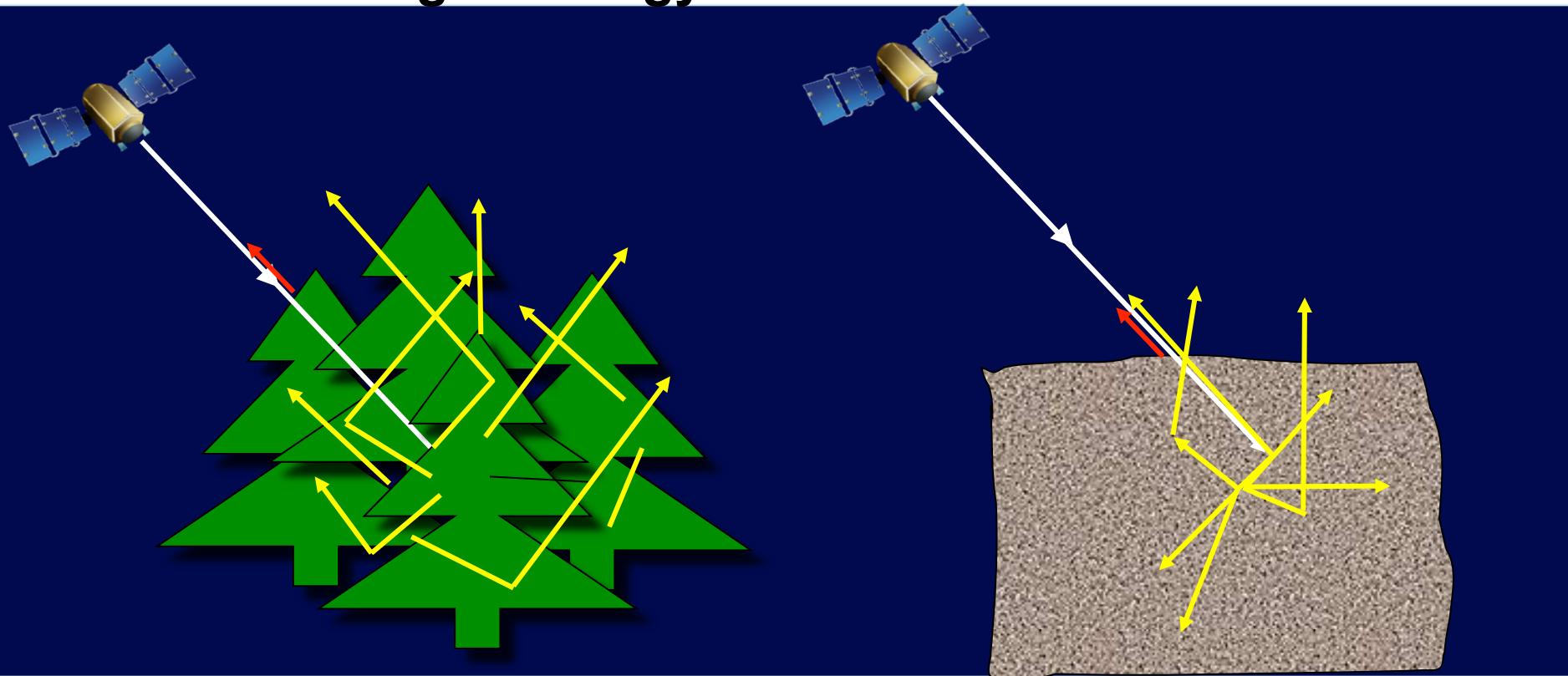
$$\theta' > \theta$$



Backscatter cross-section, σ

– Volume scattering

Scattering of energy within a medium/ volume



Effect on backscatter cross-section depends on amount scattered towards antenna

Backscatter cross-section, σ – Volume scattering

Dielectric Constant

Fundamental parameter of natural materials, relating to its electrical properties:

$$\epsilon = \epsilon' + j\epsilon''$$

Real component
aka “dielectric constant”

Imaginary component
aka “lossy component”

It affects the reflective and emissive properties of a medium

High dielectric constant => reflective => more surface scattering

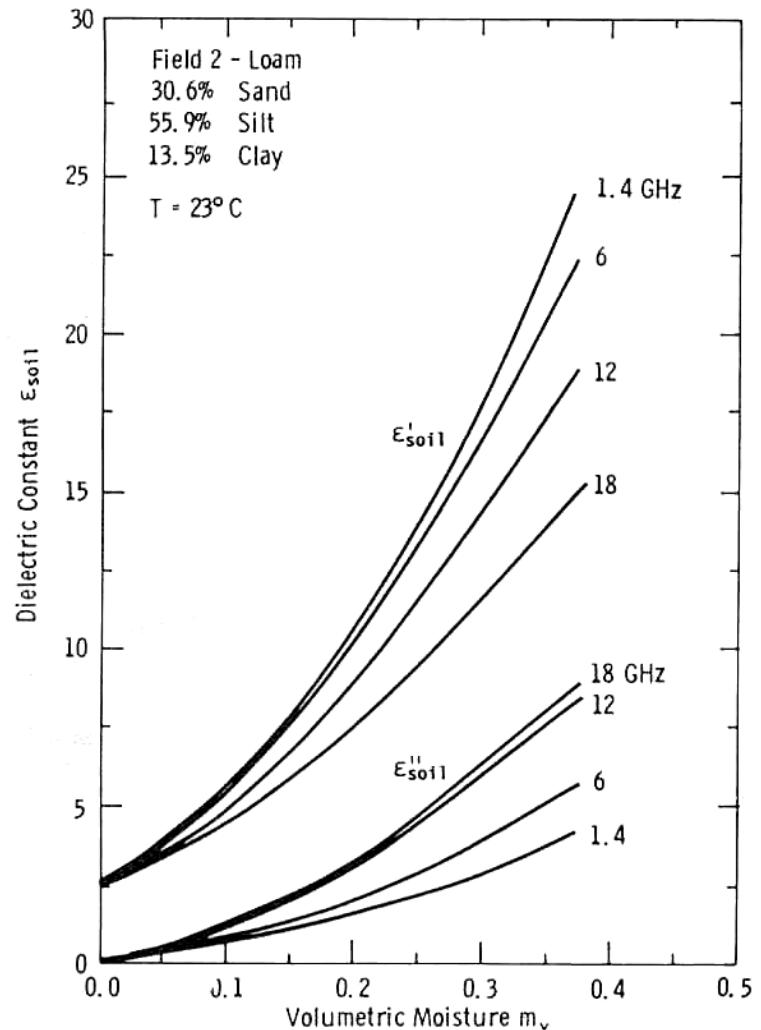


Fig. E.52 Measured dielectric constant as a function of volumetric moisture content for a loamy soil at four microwave frequencies.

Backscatter cross-section, σ

– Volume scattering

Penetration Depth

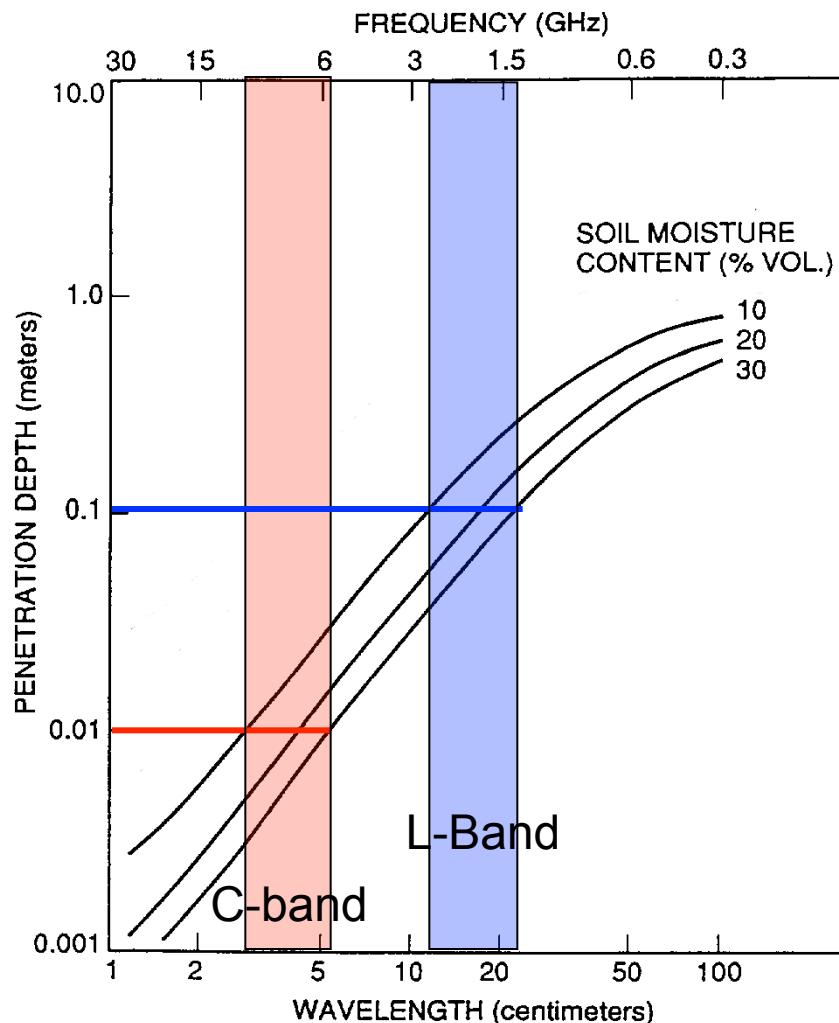
Depth at which power of wave is reduced to $1/e$ times its original value.

$$L_p = \frac{\lambda \sqrt{\epsilon'}}{2\pi\epsilon''}$$

Higher penetration depth:
Pure ice, older sea ice, dry soil

Wetter soils more reflective,
lower penetration depth.

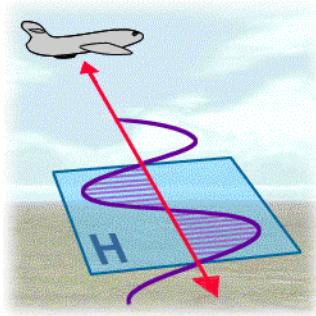
Required penetration depth
determines design λ



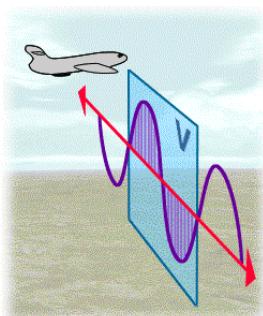
Backscatter cross-section, σ

– Volume scattering

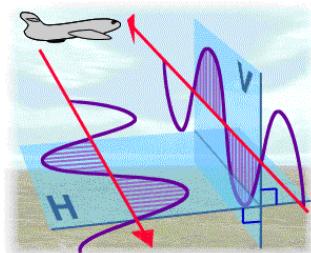
HH



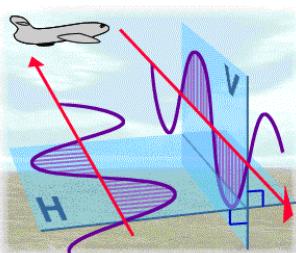
VV



HV



VH



Polarization

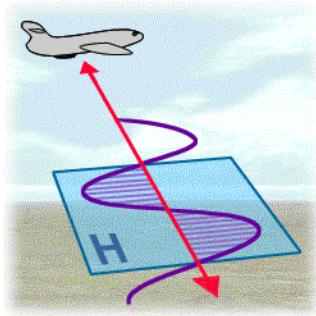


Source: CCRS

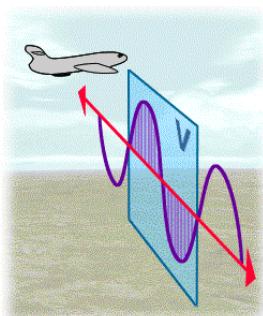
Backscatter cross-section, σ

– Volume scattering

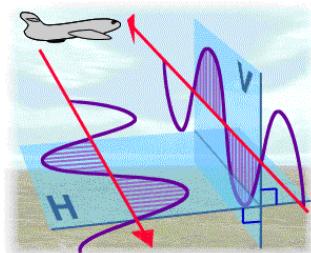
HH



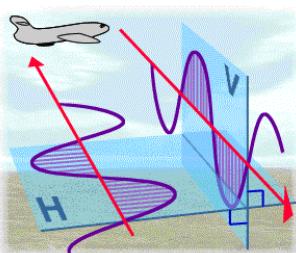
VV



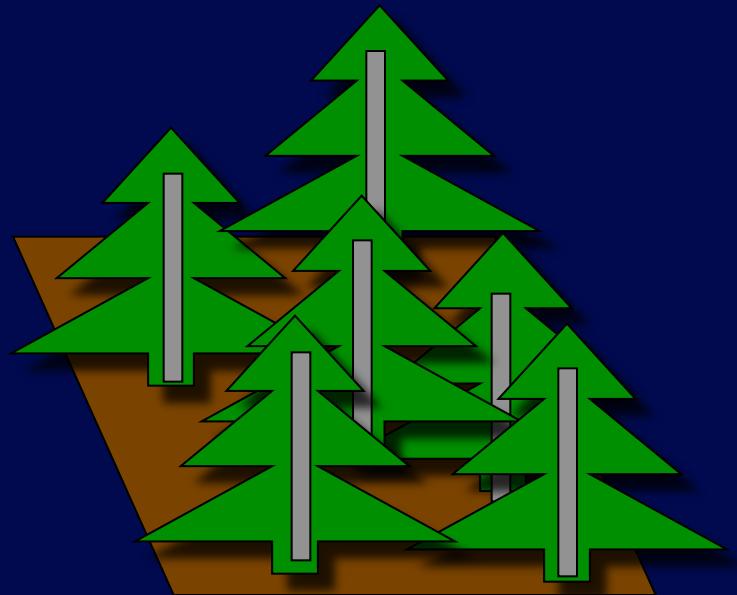
HV



VH



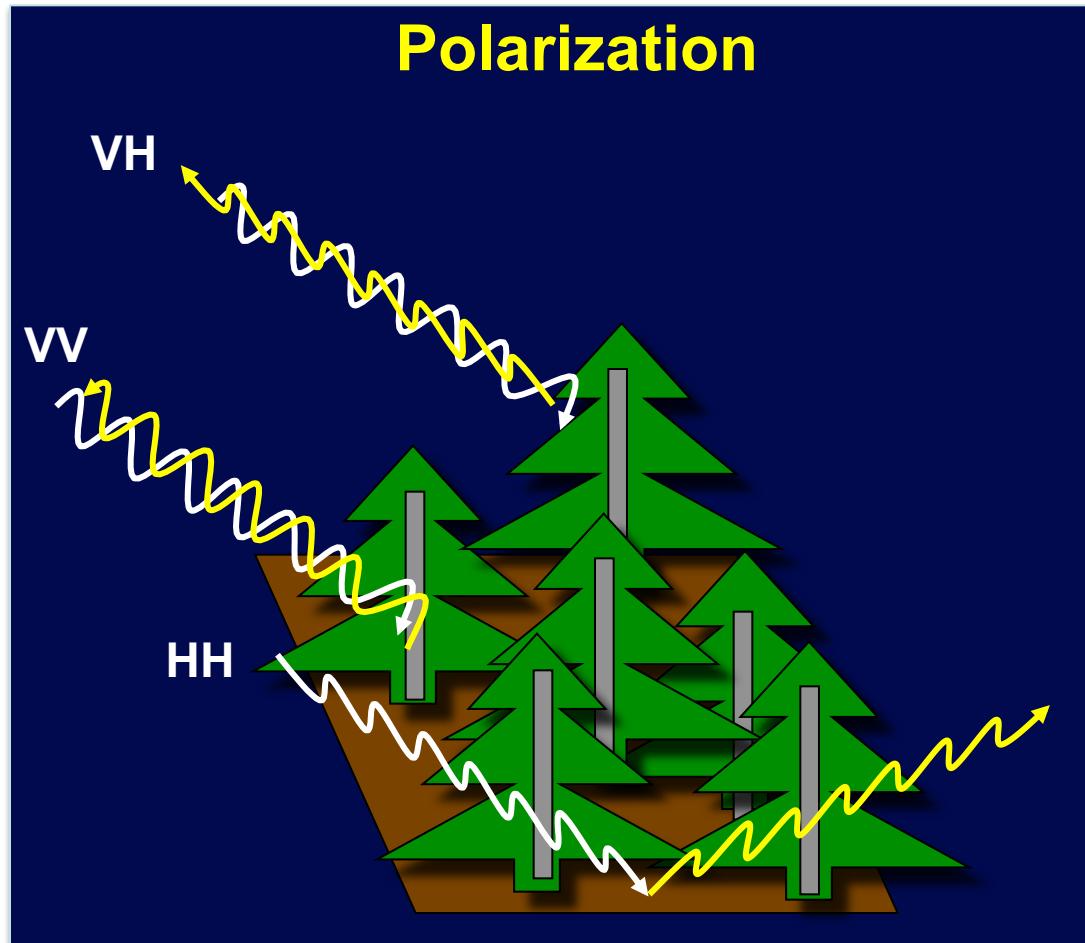
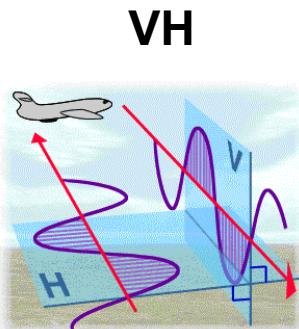
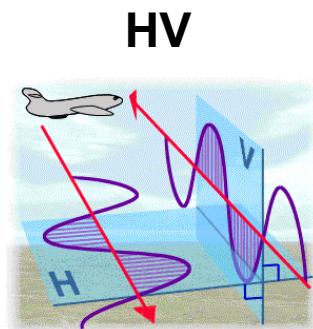
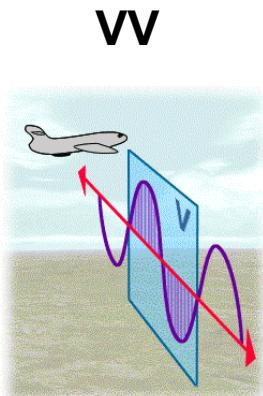
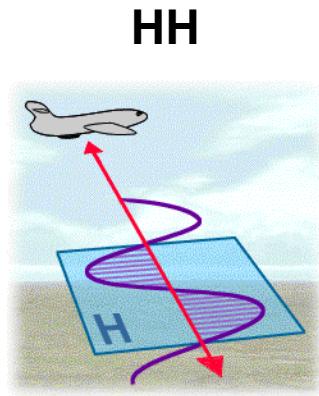
Polarization



Source: CCRS

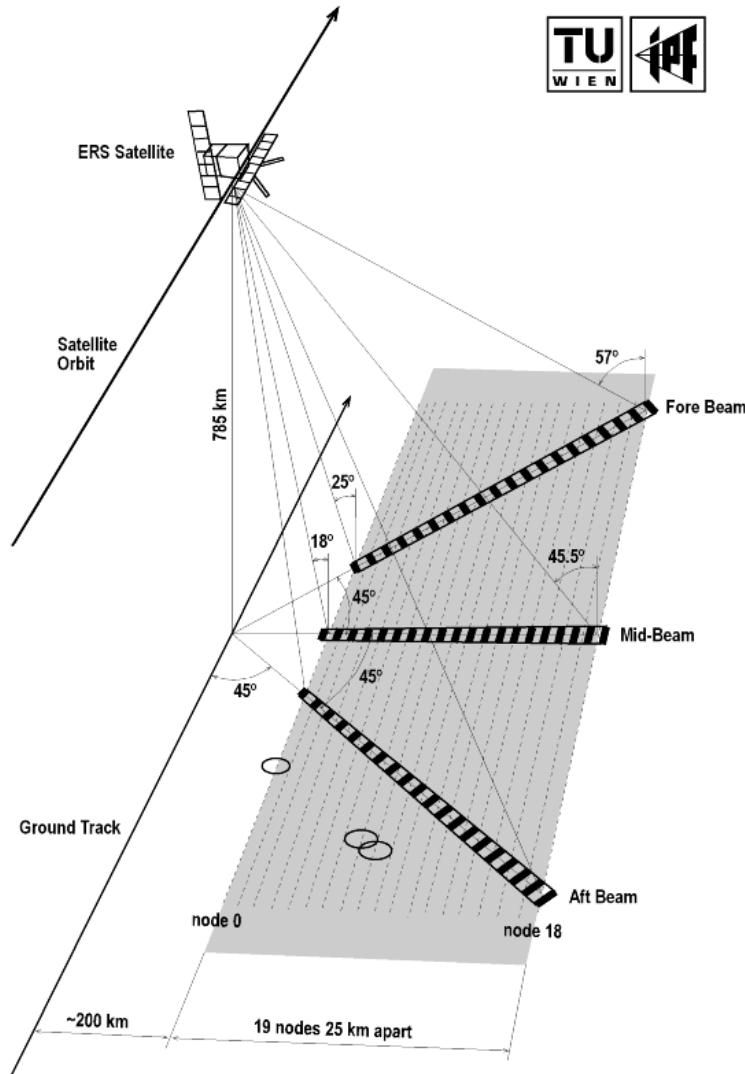
Backscatter cross-section, σ

– Volume scattering



Source: CCRS

Scatterometry: Soil Moisture



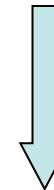
Wind scatterometers:

ERS-1, ERS-2 (1991-present)

MetOp satellites (2006-2020)

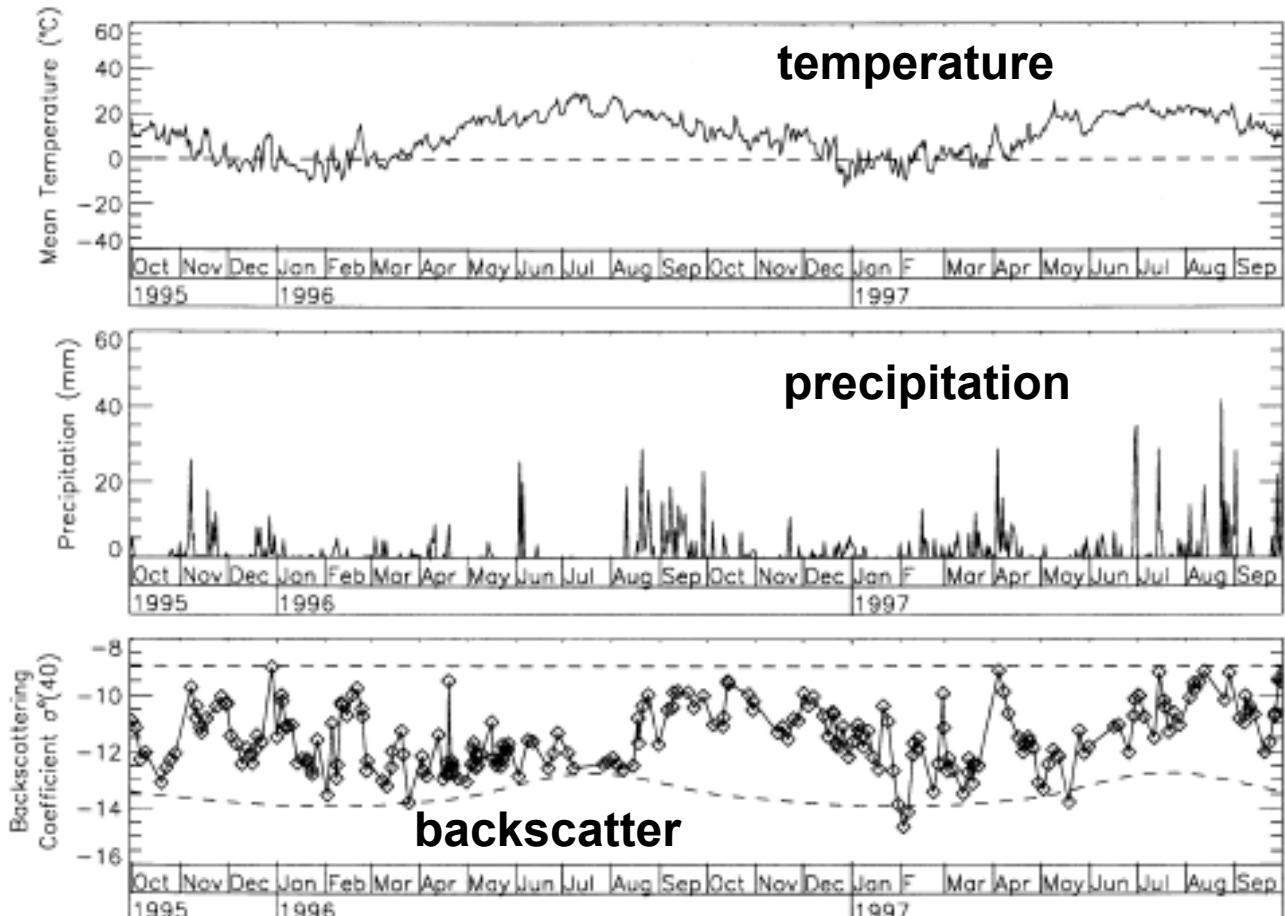


Backscatter measurements



Global soil moisture (25-50km)
(surface soil degree of saturation,
Soil water index (profile))

Scatterometry: Soil Moisture



$$\sigma_{\text{wet}}^0(40)$$

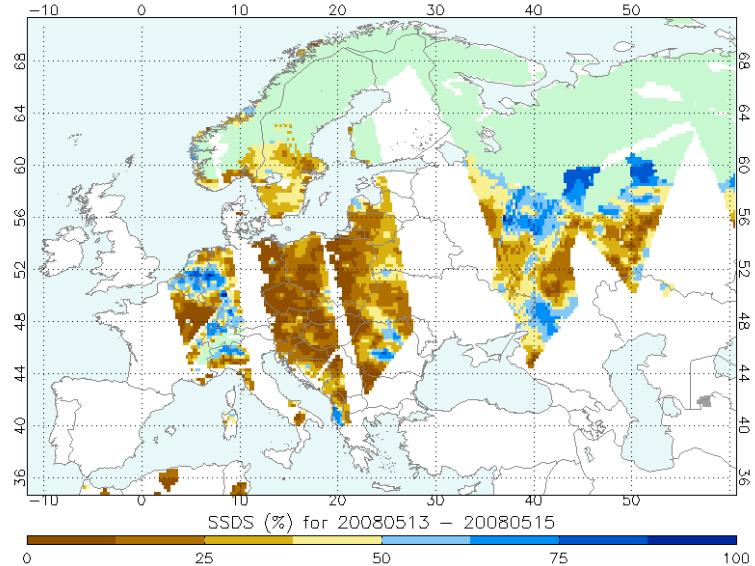
$$\sigma_{\text{dry}}^0(40)$$

Figure 3. Time series of mean temperature, precipitation, and $\sigma^0(40)$ for the station Simferopol (33.95°E, 45.02°N) for the period October 1995 to September 1997. In the bottom figure the backscattering coefficient of a dry and wet surface, $\sigma_{\text{dry}}^0(40,t)$ and $\sigma_{\text{wet}}^0(40)$ are indicated by dashed lines.

Scatterometry: Soil Moisture

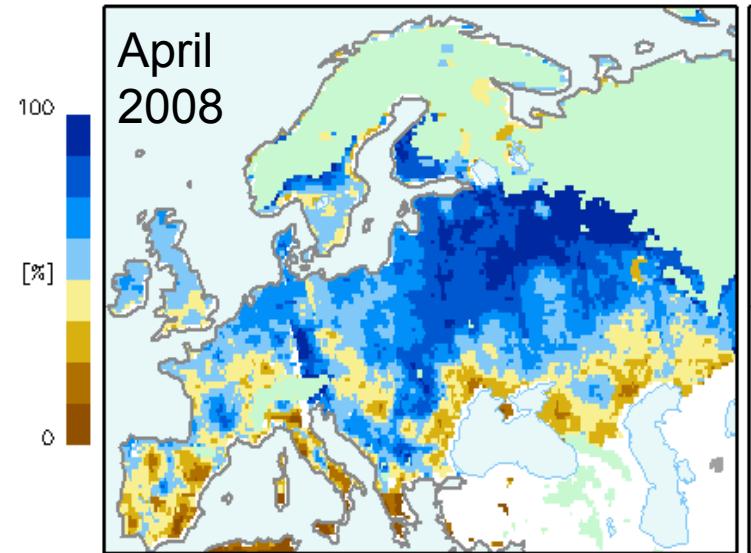
Surface soil degree of saturation (SSDS):

$$m_s(t) = \frac{\sigma^0(40,t) - \sigma_{dry}^0(40,t)}{\sigma_{wet}^0(40,t) - \sigma_{dry}^0(40,t)}$$



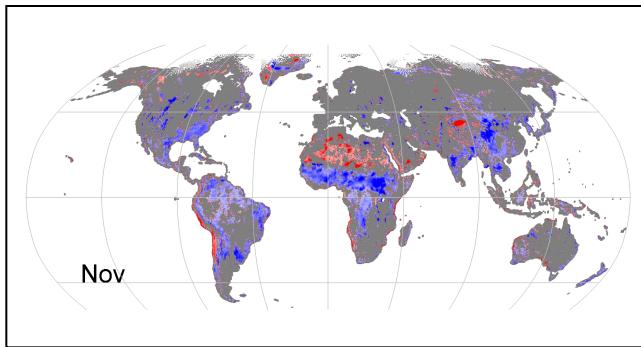
Soil Water Index (SWI):

$$SWI(t) = \frac{\sum_i m_s(t_i) e^{-(t-t_i)/T}}{\sum_i e^{-(t-t_i)/T}}$$



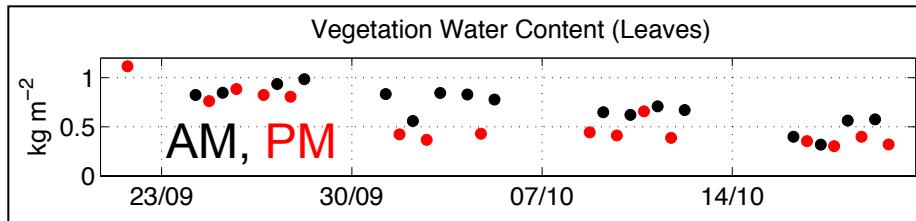
TU Delft: Water stress from radar

Difference between 10am/10pm in satellite radar measurements



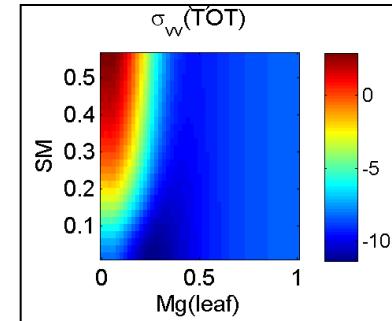
Friesen, Steele-Dunne, van de Giesen 2012

Leaf water content changes due to water stress



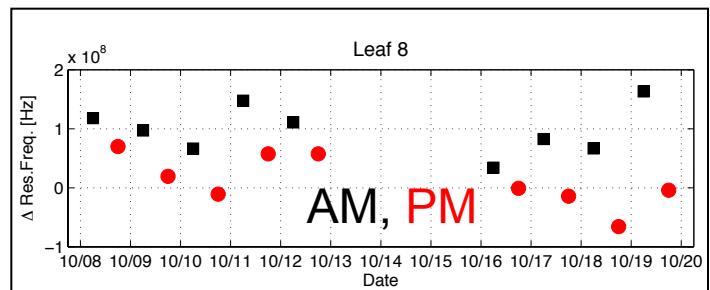
Van Emmerik, Steele-Dunne, Judge, van de Giesen 2015a

Could be explained by variations in leaf moisture



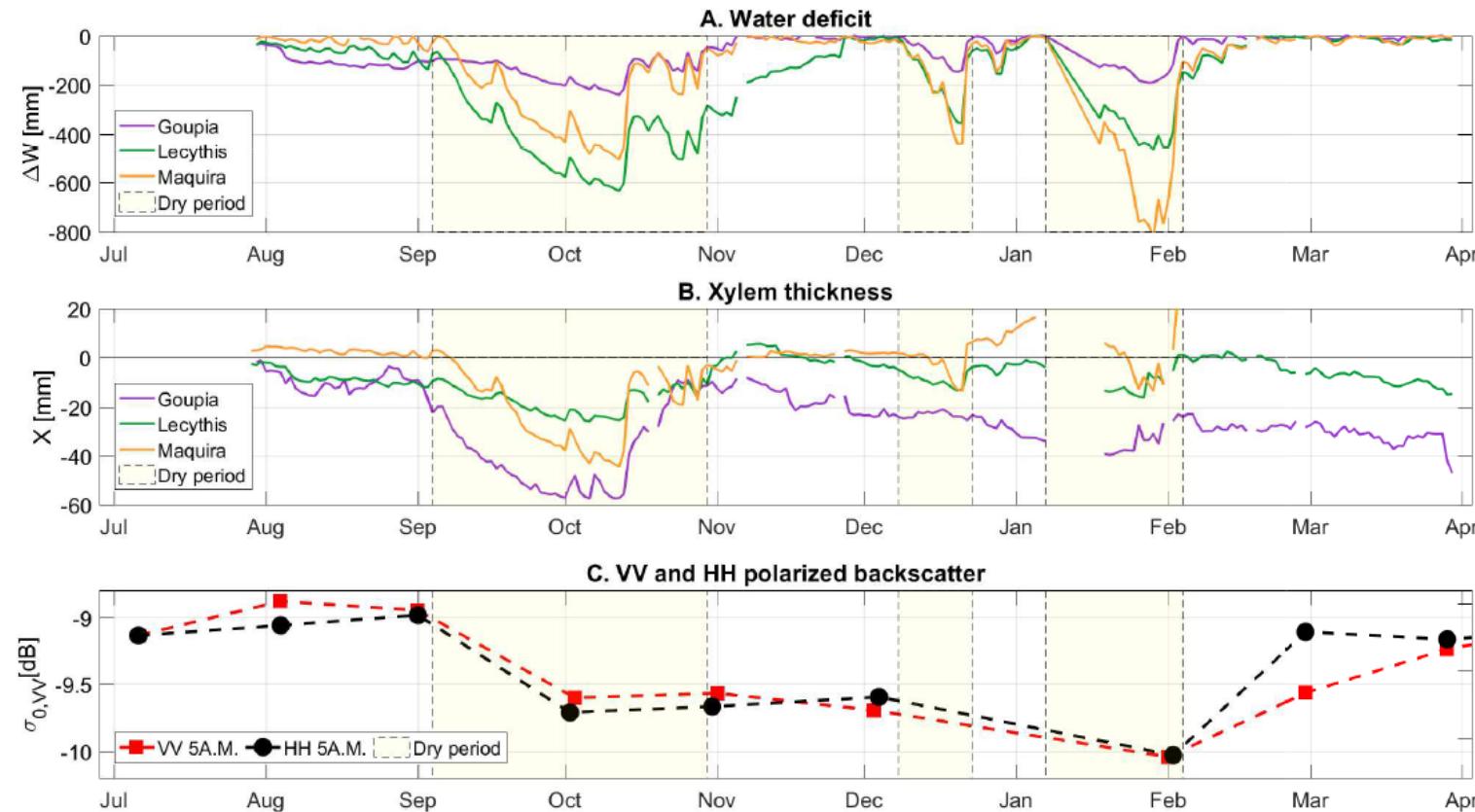
Steele-Dunne, Friesen, van de Giesen 2012

Innovative sensor: leaf dielectric properties change due to stress

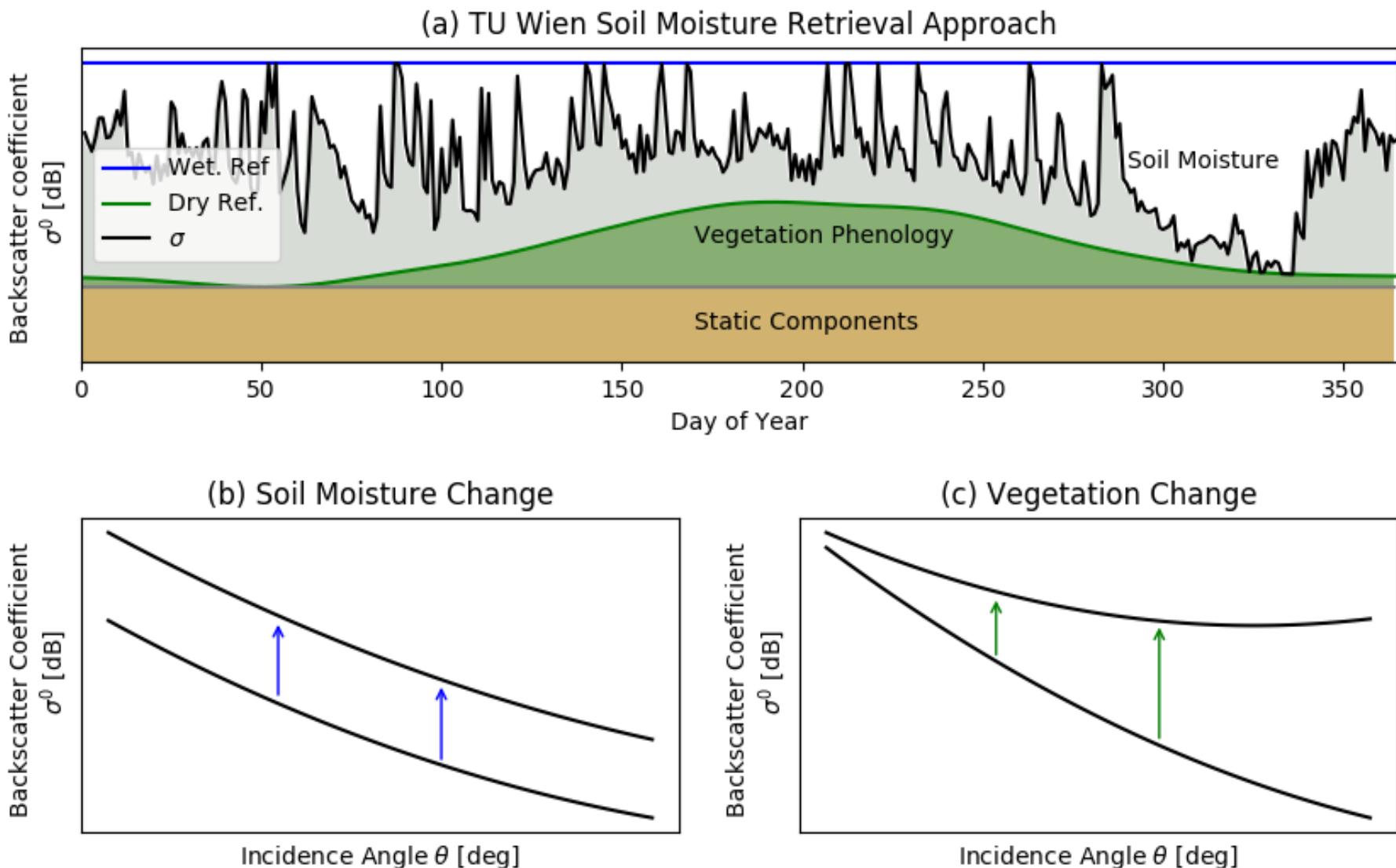


Van Emmerik, Steele-Dunne, Judge, van de Giesen 2015b

Water Stress in the Amazon from ISS-RapidScat

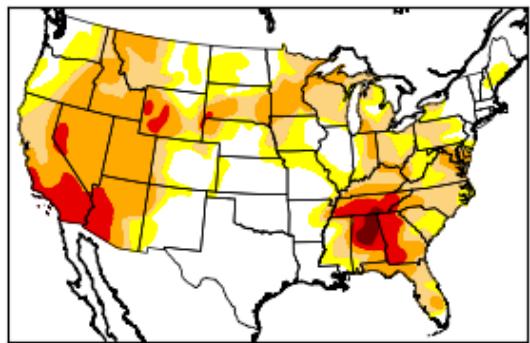


Vegetation using ASCAT

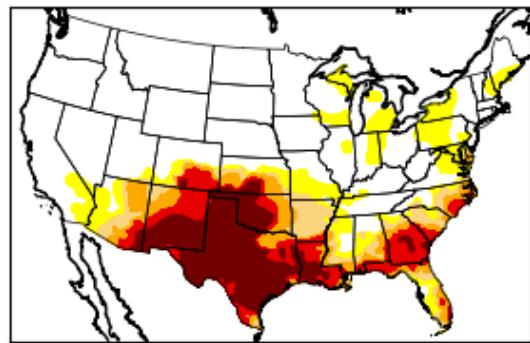


Drought impact on σ - θ relationship

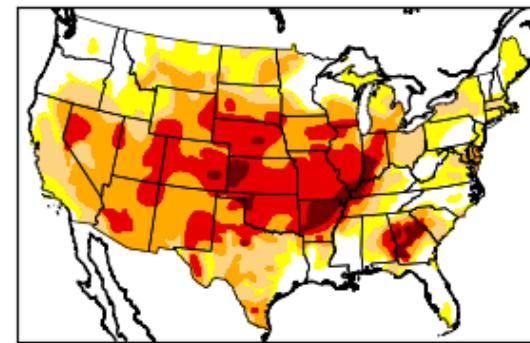
(a) 31 July 2007



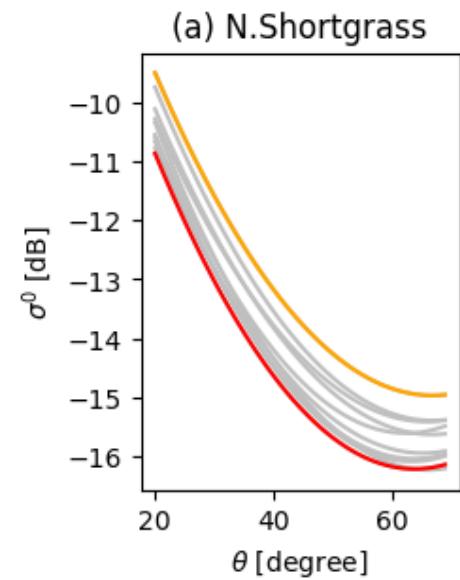
(b) 26 July 2011



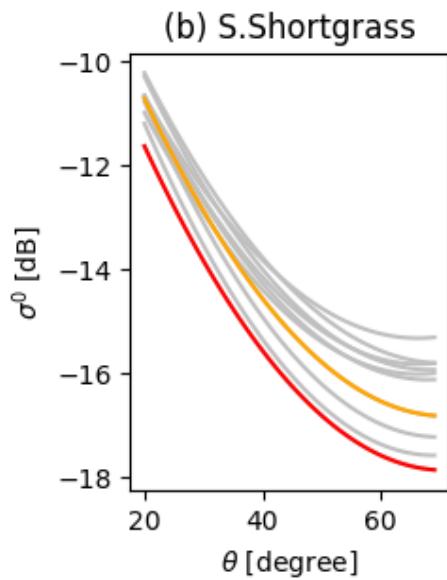
(c) 31 July 2012



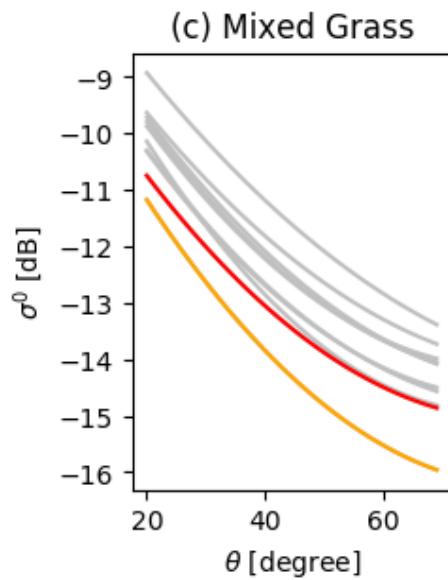
(a) N.Shortgrass



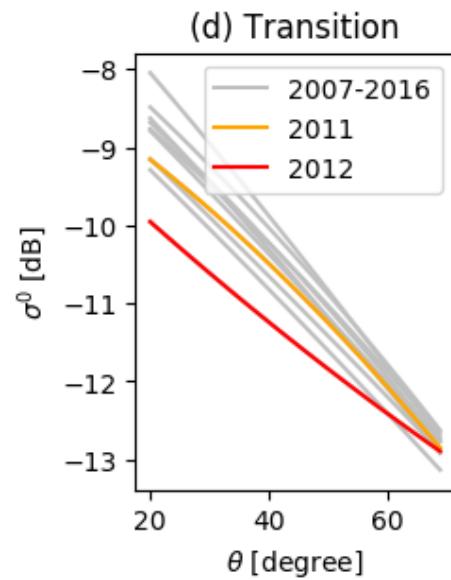
(b) S.Shortgrass



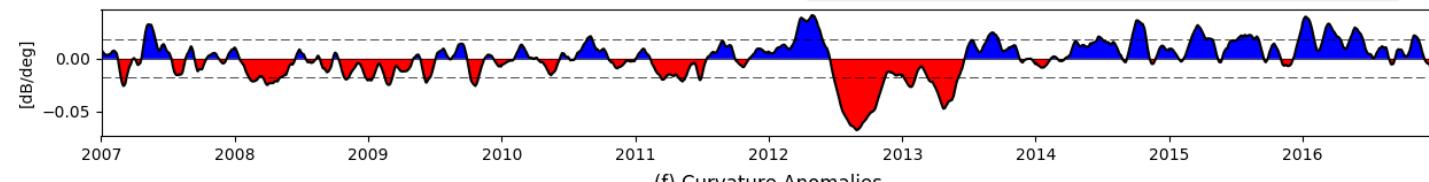
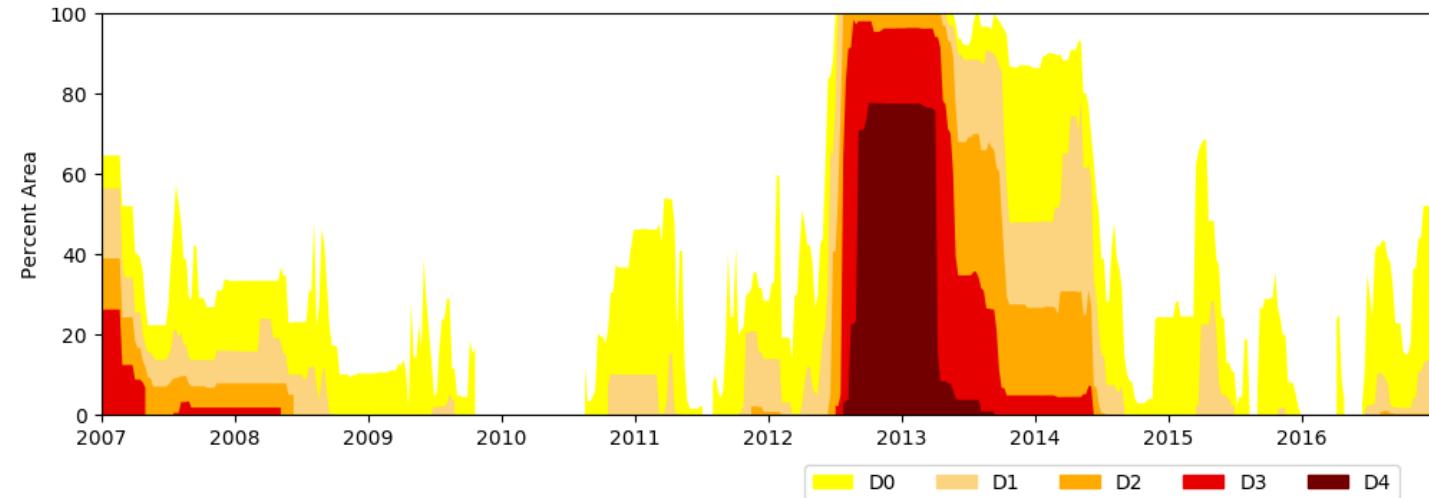
(c) Mixed Grass



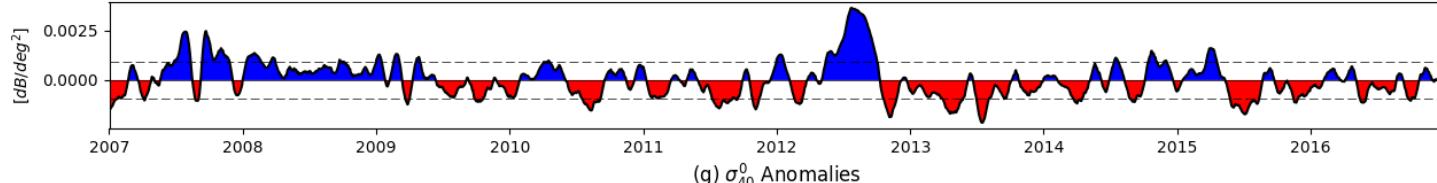
(d) Transition



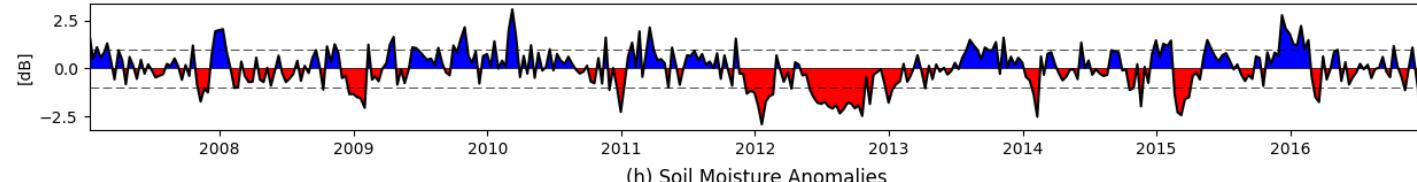
Drought impact in Nebraska Sandhills



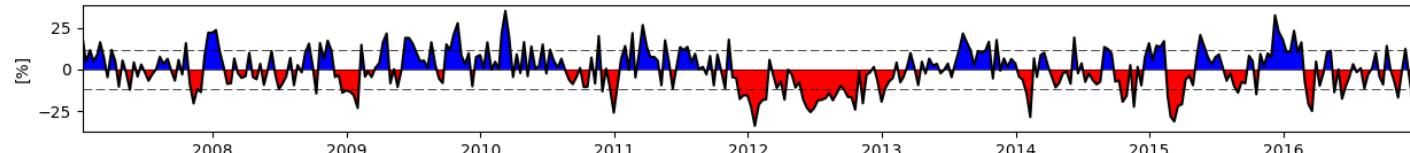
(f) Curvature Anomalies

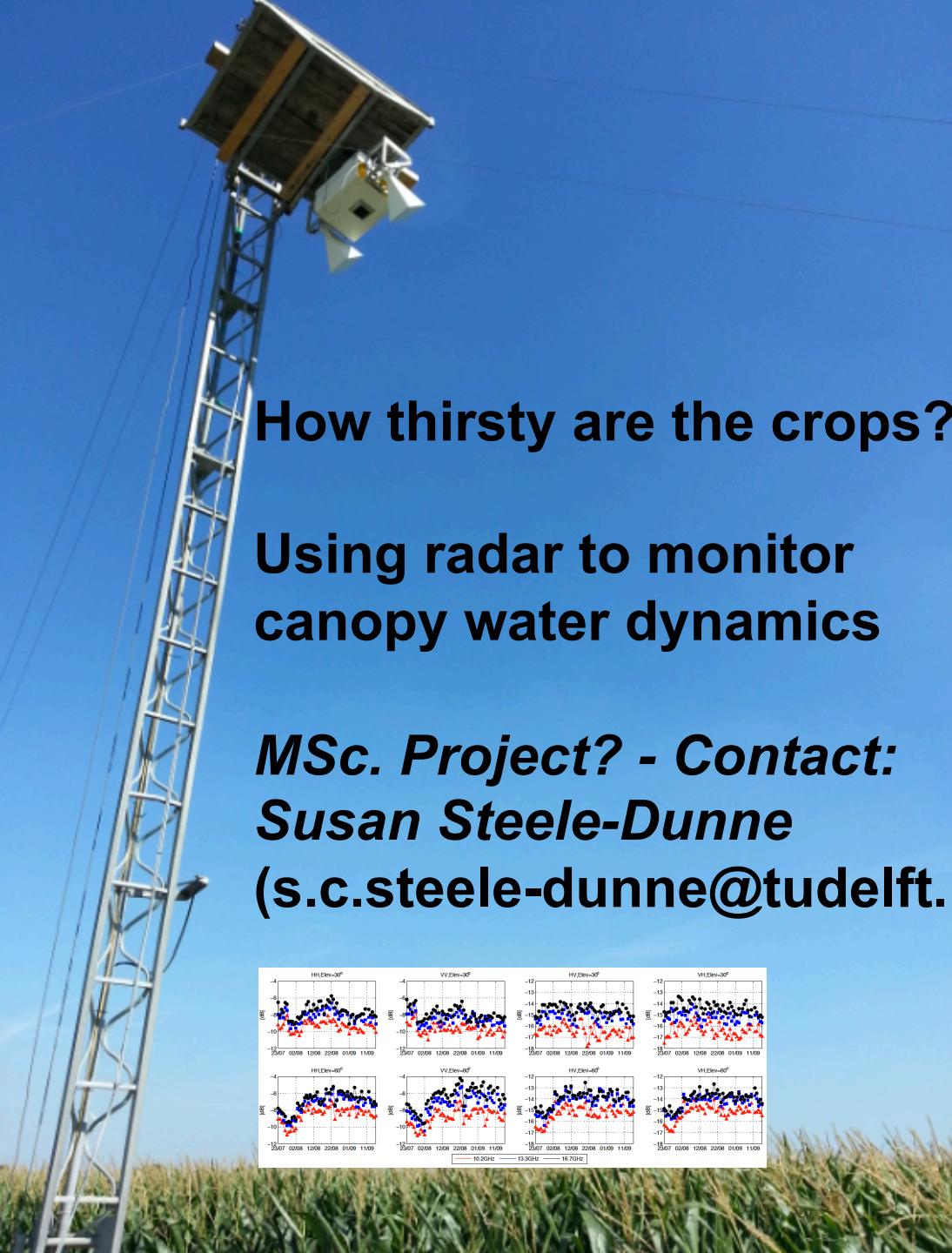


(g) σ_{40}^0 Anomalies



(h) Soil Moisture Anomalies

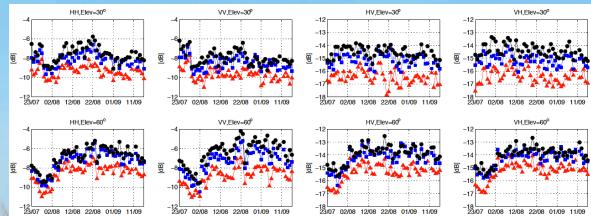




How thirsty are the crops?

Using radar to monitor canopy water dynamics

**MSc. Project? - Contact:
Susan Steele-Dunne
(s.c.steele-dunne@tudelft.nl)**



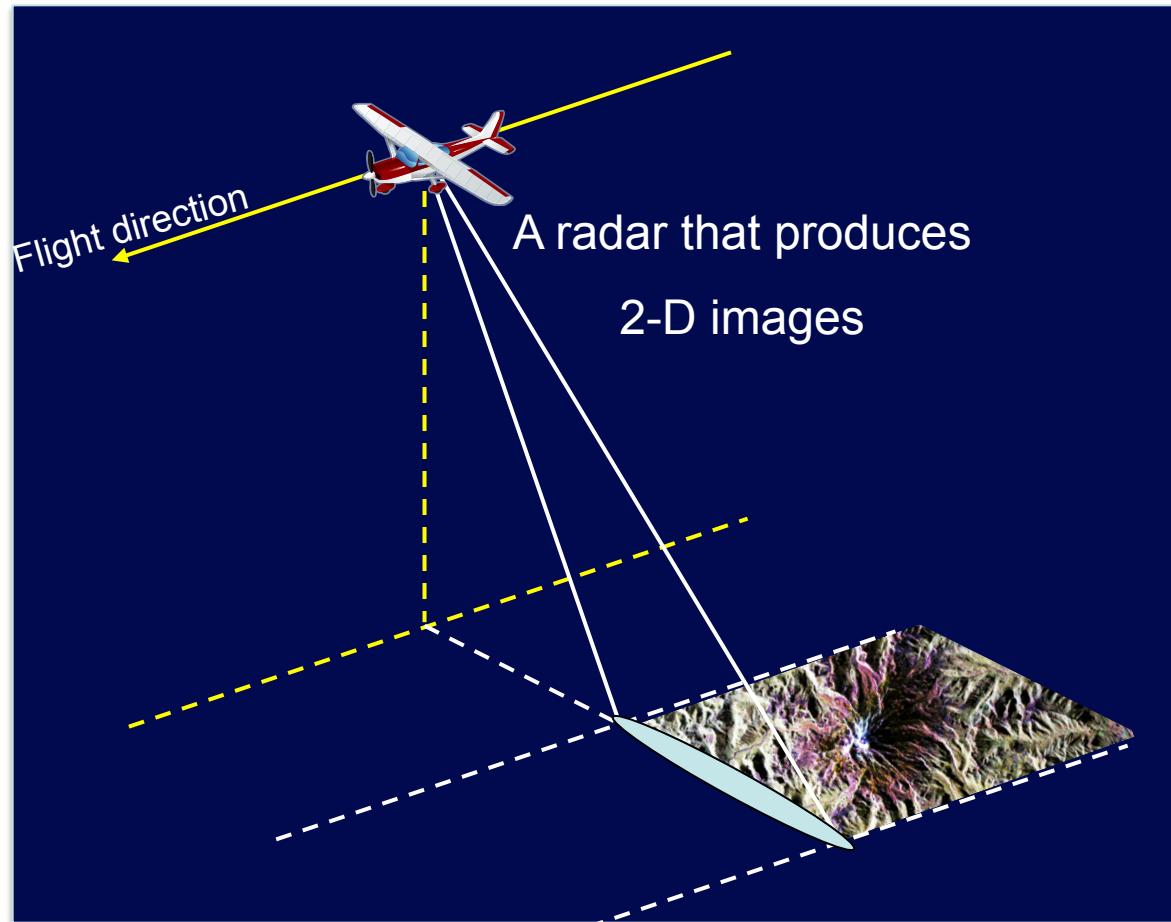
Imaging Radar

A radar that produces 2-D images.

Pulses are much shorter Than in scatterometer.

Two types:

- 1) Real Aperture Radar
- 2) Synthetic Aperture Radar



Imaging Radar: Real Aperture Radar

aka Side-looking radars (SLR)
or side-looking airborne radars (SLAR)

Resolution

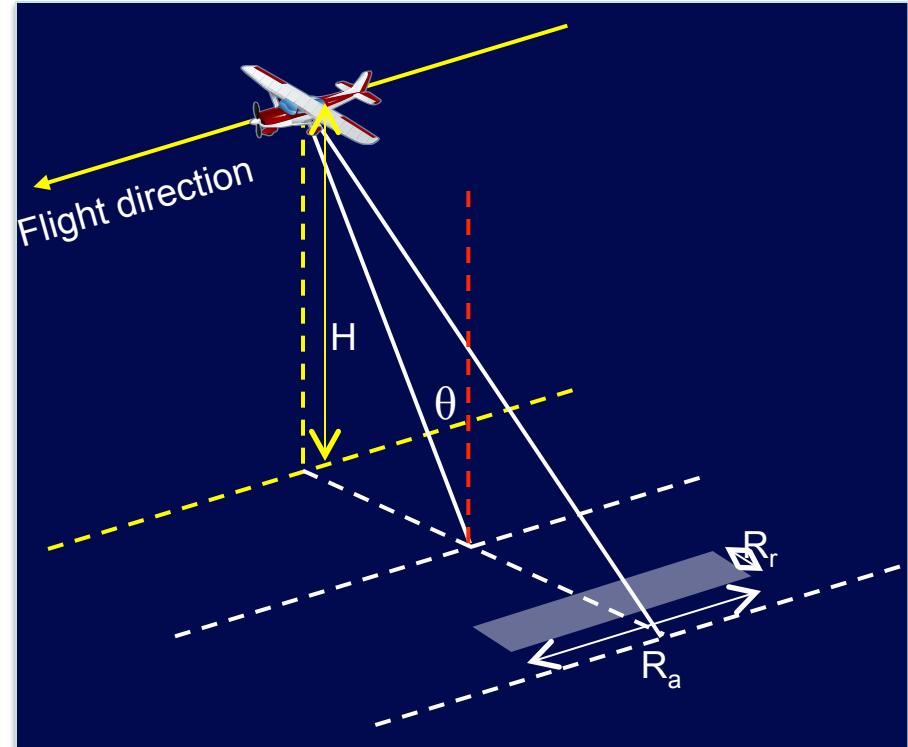
Range (Across-track dimension)

$$R_r = \frac{ct_p}{2 \sin \theta}$$

Azimuth (Along-track dimension)

$$R_a \approx \frac{H\lambda}{L \cos \theta}$$

Azimuth resolution proportional to height
=> limited use in spaceborne systems



c= speed of light
 t_p = length of radar pulse
L = antenna length
H=altitude
 θ = incidence angle

Imaging Radar: Synthetic Aperture Radar

Uses relative motion of platform to simulate a longer aperture (antenna).

Target is illuminated by several pulses.

Range is different for each pulse

⇒ phase is different for each pulse

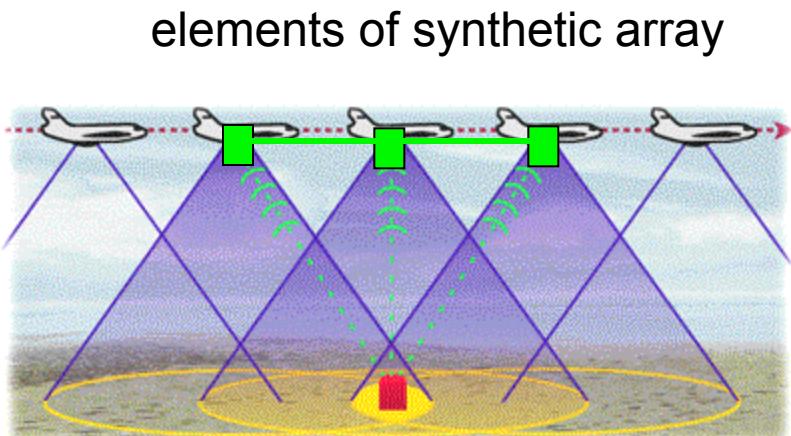
⇒ Doppler effect

Use signal processing to account for difference in phase

Synthetic array increases azimuth resolution:

$$R_a \approx \frac{L}{2}$$

Independent of altitude!



SAR Applications: Sentinel 1

Oceans & Coast

- Wave Characteristics
- Ocean Fronts
- Coastal Dynamics
- Oil Slicks and Ship Traffic



Land

- Global Vegetation Monitoring
- Forestry
- Geology and Topography
- Agriculture
- Flooding, Hydrology and Water Management
- Urban Studies

Natural Disasters

- Volcanic activity
- Earthquakes
- Land subsidence

Snow and Ice

- monitoring the ice extent and the boundaries of ice sheets
- mapping the motion of ice sheets and glaciers
- sea ice mapping & navigation
- snow cover

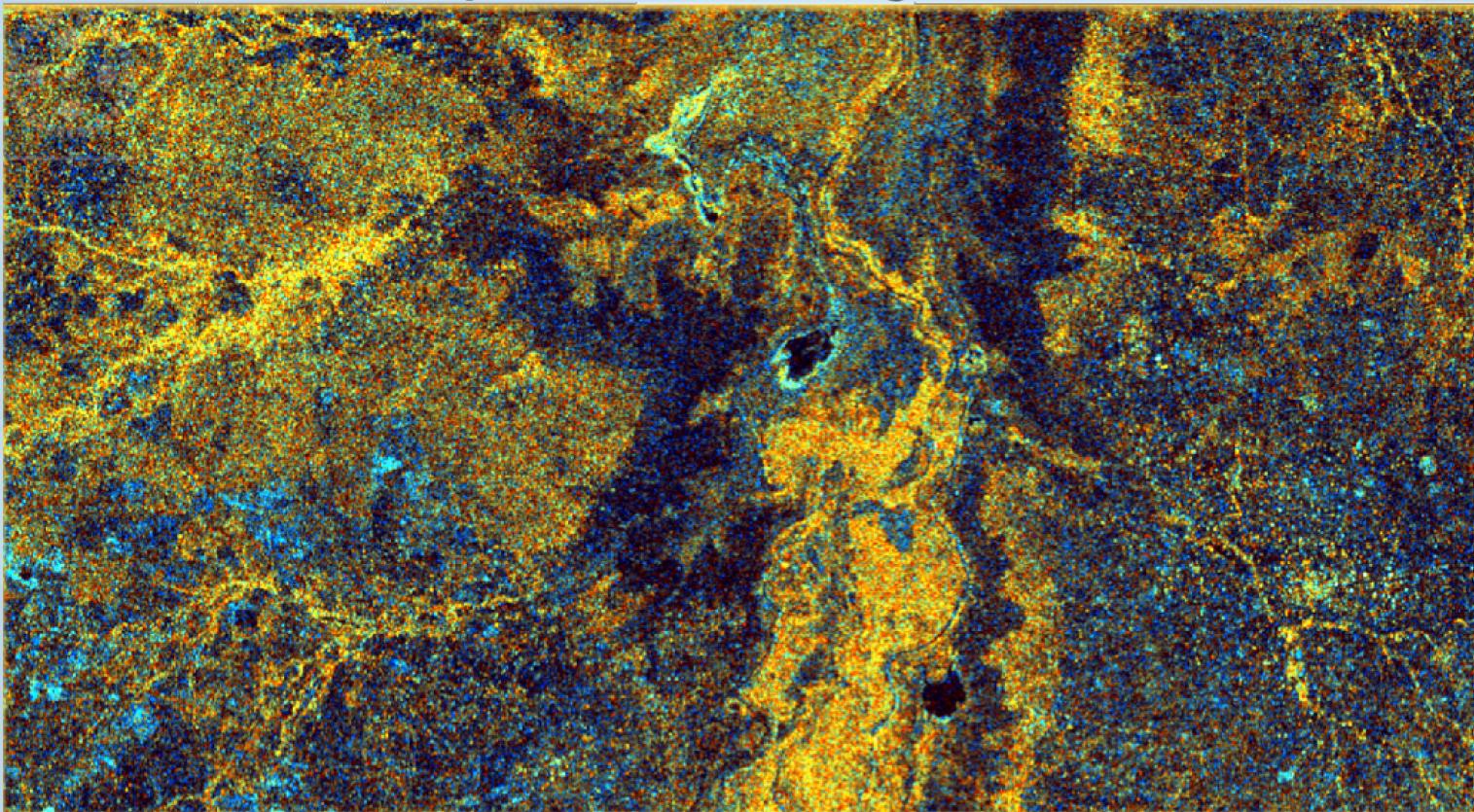
All-weather monitoring of the small reservoirs



SENTINEL-1 (VH-VV-VV/VH)

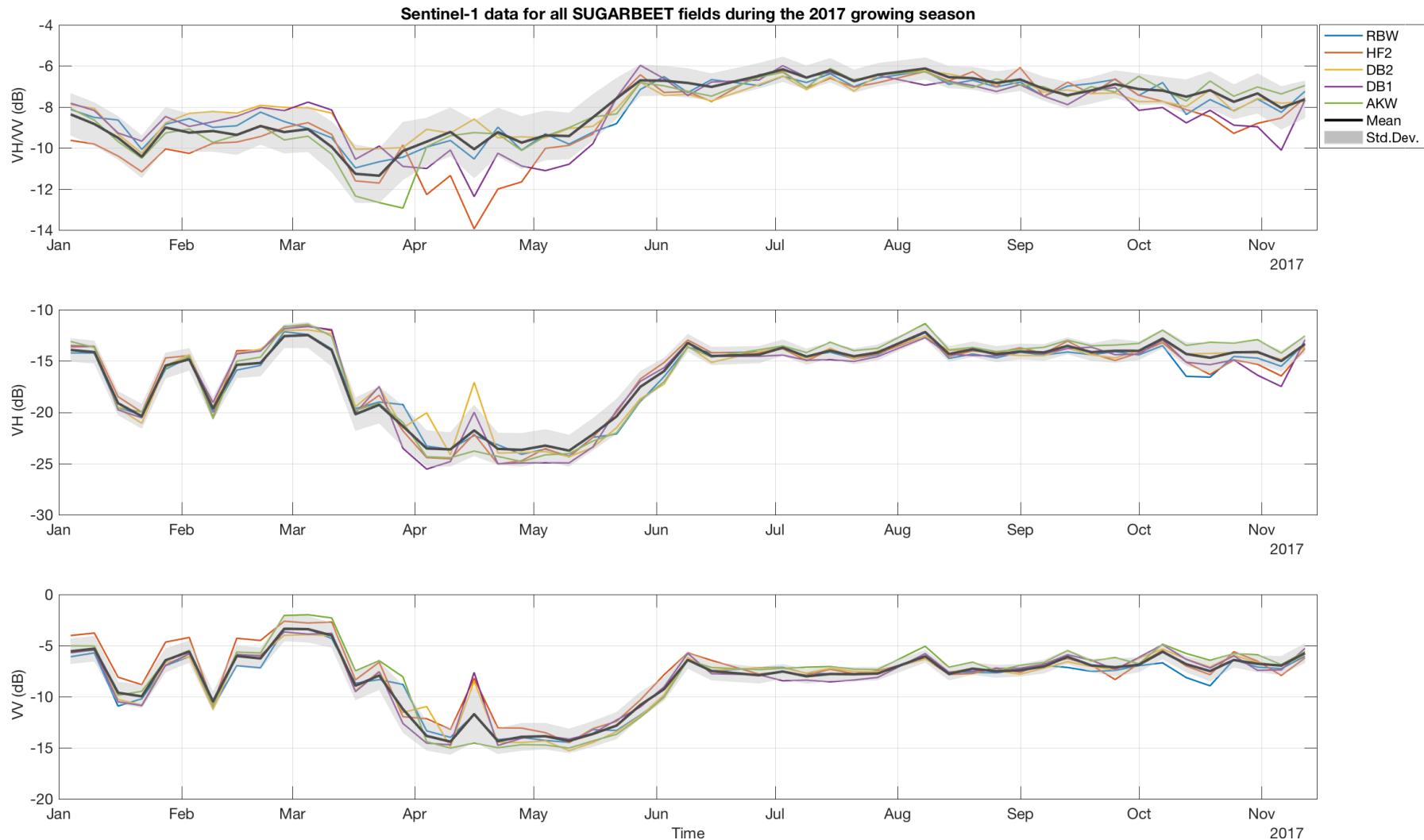


01 April 2015 to 06 August 2015



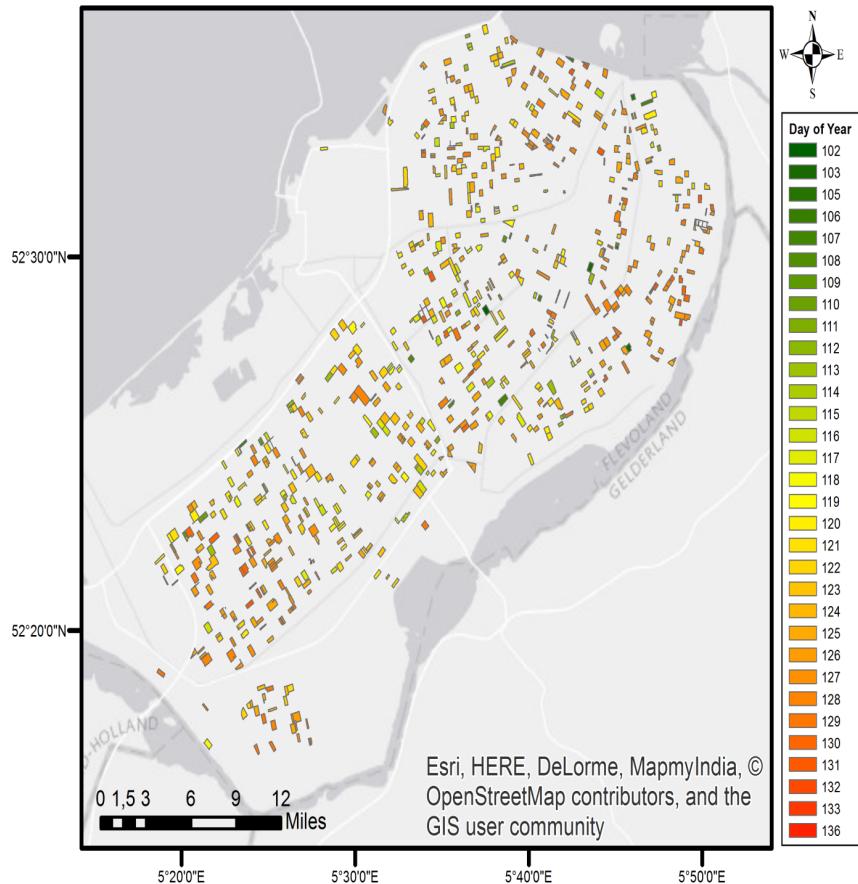
West African Science Service
Center on Climate Change and
Adapted Land Use

Agricultural Monitoring with Sentinel-1

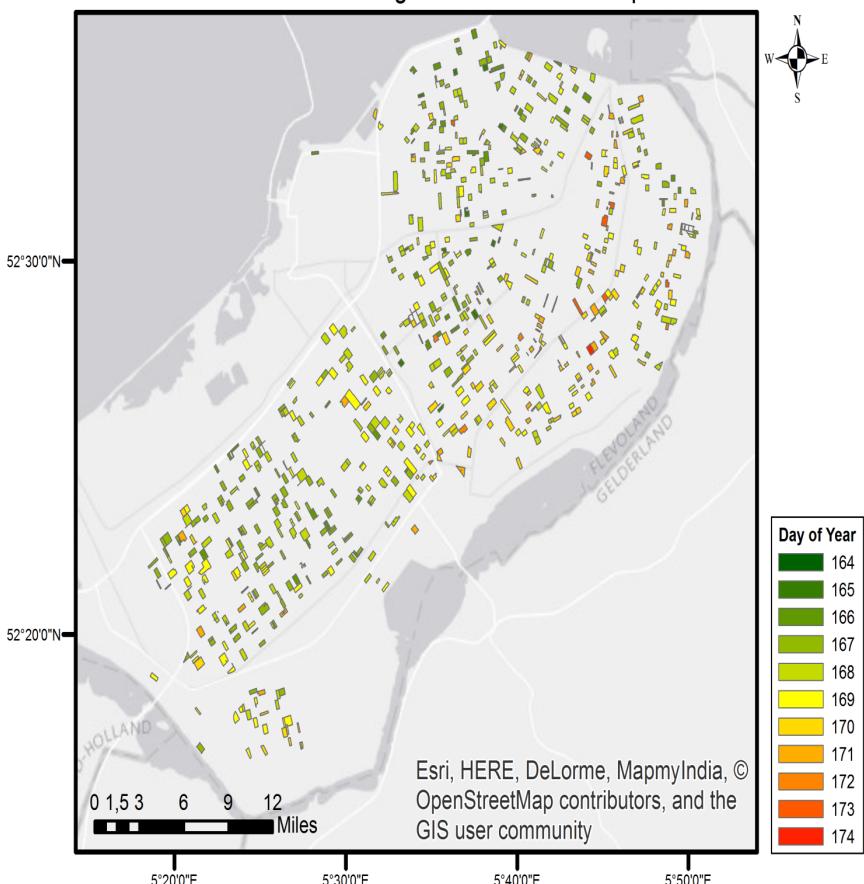


Agricultural Monitoring with Sentinel-1

Emergence Date of 763 Sugarbeet fields in Flevopolder



Closure Date of 763 Sugarbeet fields in Flevopolder



Active Microwave Remote-Sensing

Summary:

In active MW remote sensing, a sensor transmits and receives a signal.

We can measure how long it takes for the signal to return = Altimetry. Information on surface topography.

We can look at the change in the signal (backscatter) and infer information about the surface properties