# ADENINE — A Data Exploration pipeline

Samuele Fiorini Federico Tomasi Annalisa Barla SAMUELE.FIORINI@DIBRIS.UNIGE.IT FEDERICO.TOMASI@DIBRIS.UNIGE.IT ANNALISA.BARLA@UNIGE.IT

Department of Informatics, Bioengineering, Robotics and System Engineering (DIBRIS) University of Genoa Genoa, I-16146, Italy

Editor: Editor name

#### Abstract

In this paper we introduce Adenine, a machine learning Python framework for data exploration. The main goal of Adenine, is twofold: helping researchers and data scientists achieving a first and quick overview on the main structures underlying their data and choosing the most suitable unsupervised learning pipeline for the problem at hand. This software tool encompasses state of the art techniques for: missing values imputing, preprocessing, dimensionality reduction and clustering tasks. Adenine exploits both process and thread level parallelism and it is capable of generating nice and clean publication-ready figures along with quantitative descriptions of the pipelines results. Adenine is released under FreeBSD license and it can be downloaded from http://slipguru.github.io/adenine/.

**Keywords:** Data exploration, unsupervised learning, RNA-Seq gene expression

## 1. Introduction

Data exploration is a very insightful starting point for many data analysis projects. Researchers and data scientists are often asked to extract meaningful information from collections of complex and possibly high-dimensional data coming from heterogenous contexts. For instance, in biomedical scenarios, physicians are likely to be interested in answering some biological questions starting from observations collected from a pool of subjects enrolled in a study. Possible investigations can be: is there any relevant stratification among subjects? or is it possible to discriminate between cases and controls from my observations?. Starting from a given dataset, the information needed to answer such questions may be immediate, non-trivial to extract or even completely absent. In these situations, a preliminary data exploration step is not only good practice, but also a fundamental starting point for further and deeper investigations. To accomplish this task, several machine learning and data mining techniques were developed over the years. Among those we focus on the four most popular classes of methods: (i) missing values imputing, (ii) data preprocessing, (iii) dimensionality reduction and (iv) unsupervised clustering.

In the last few years, a fair number of data exploration softwares and libraries were released. At a very coarse grain we can group them in two families: GUI-based and command-line applications. Of the first group, we recall *Divvy* (Lewis et al., 2013), a software tool

that performs dimensionality reduction and clustering on input datasets. Divvy is a light framework, although its interface is designed to be Mac OS X specific, its collection of C/C++ algorithm implementations does not cover common strategies such as kernel principal component analysis (KPCA) (Schölkopf et al., 1997) or hierarchical clustering (Friedman et al., 2001) and it does not offer strategies to perform automatic discoveries of the number of clusters. The most notable project that spans between the two families is Orange (Demšar et al., 2013), a data mining software suite that offers both visual programming front-end and Python APIs. In the context of data exploration, Orange can be successfully employed. However, it does not support automatic pipeline generation, hence it requires the user to manually create each pipeline. On the other hand, as of today Orange lacks in several nonlinear methods such as spectral embedding (Ng et al., 2002), locally linear embedding (Roweis and Saul, 2000) and spectral clustering (Shi and Malik, 2000).

We introduce Adenine, a command-line Python tool for data exploration that, starting from a set of unsupervised algorithms, creates textual an graphical reports of an arbitrary number of pipelines. In this context data imputing, preprocessing, dimensionality reduction and clustering strategies are considered as building blocks for data analysis pipelines. The user is only required to specify input data and to select blocks, then Adenine takes care of automatically generate and run the pipelines made by all possible combinations of the selected algorithms. Every algorithm implementation of Adenine is inherited, or extended, from scikit-learn (Pedregosa et al., 2011) which is, to the best of our knowledge, the most complete machine learning open source library freely available online.

#### 2. Tool overview

Adenine is developed around the concept of data analysis *pipeline*, with that we mean a sequence of the following four fundamental steps: (i) missing values imputing, (ii) data preprocessing, (iii) dimensionality reduction and (iv) unsupervised clustering. For each task, different off-the-shelf algorithms are available (see Table 2).

Step 0: Missing values imputing. In real-world datasets, groups of entries are often missing. In order to cope with this issue, Adenine offers an improved version of the Imputer class offered by scikit-learn. Our extension adds a k-nearest neighbor (KNN) imputing method to the pre-existent features-wise mean, median and most frequent value strategies. We chose to add the KNN imputing method to the naïve strategies offered by scikit-learn because of the robustness demonstrated in the microarray reconstruction experiments described in (Troyanskaya et al., 2001).

Step 1: Data preprocessing. Collecting data from heterogenous sources may imply dealing with features lying in very different numerical ranges. This can sometimes have a negative influence on the behaviour of dimensionality reduction and clustering techniques. To tackle this issue Adenine offers four different strategies: (i) Recenter: transforming samples in order to have zero-mean; (ii) Standardize: transforming recentered samples in order to have unit-variance; (iii) Normalize: scaling samples in order to have  $\ell^p$  unitary norm (with p=1 or 2); (iv) MinMax: scaling features to a given range.

#### ADENINE

Table 1: Pipeline building blocks available in Adenine. Correspondent references are not specified for methods defined Section 2.

Step	Algorithms	Ref.
Imputing	mean median most frequent	(T. 1 2001)
	KNN	(Troyanskaya et al., 2001)
Preprocessing	recentering standardize normalize min-max	
Dimensionality reduction	PCA incremental PCA randomized PCA kernel PCA isomap locally linear embedding spectral embedding multidimensional scaling t-distributed stochastic neighbor embedding	(Jolliffe, 2002) (Ross et al., 2008) (Halko et al., 2011) (Schölkopf et al., 1997) (Tenenbaum et al., 2000) (Roweis and Saul, 2000) (Ng et al., 2002) (Borg and Groenen, 2005) (Van der Maaten and Hinton, 2008)
Clustering	k-means affinity propagation mean shift spectral hierarchical	(Bishop, 2006) (Frey and Dueck, 2007) (Comaniciu and Meer, 2002) (Shi and Malik, 2000) (Friedman et al., 2001)

Step 2: Dimensionality reduction. Data exploration of high dimensional dataset can be very tricky. Visualizing samples in high dimension is much less intuitive than representing them in two or three-dimensional plots. However, it is often possible to decrease the dimensionality of the problem estimating, by means of different strategies, a low-dimensional embedding in which the data lie. To accomplish this task, Adenine offers a set of linear and nonlinear dimensionality reduction and manifold learning algorithms (see Table 1).

Step 3: Unsupervised clustering. Cluster analysis can be the last step of our pipelines. Adenine offers strategies ad heuristics to automatically estimate the parameter that yields the most suitable cluster separation. The optimal parameter selection of centroid-based algorithms follows the B-fold cross-validation strategy presented in Algorithm 1, where S(X,y) is the mean silhouette coefficient (Rousseeuw, 1987) for all input samples. The tuning parameter for the affinity propagation technique (Frey and Dueck, 2007) is the so-called *preference* and it defines the number of discovered clusters. For k-means (Bishop, 2006) the tuning parameter is directly the *number of clusters*, while mean shift (Comaniciu and Meer, 2002) has an implicit clusters discovery. For hierarchical (Friedman et al., 2001) and spectral clustering (Shi and

Malik, 2000) no automatic number of clusters discovery is offered. However, graphical aids to evaluate the performance with fixed parameters are generated as, respectively, dendrogram tree and eigenvalues of the Laplacian of the affinity matrix plot.

## Algorithm 1 Automatic discovery of the optimal clustering parameter.

```
1: for clustering parameter k in k_1 \dots k_K do

2: for cross-validation split b in 1 \dots B do

3: X_b^{tr}, X_b^{vld} \leftarrow b-th training, validation set

4: \hat{m} \leftarrow fit model on X_b^{tr}

5: \hat{y} \leftarrow predict labels of X_b^{vld} according to \hat{m}

6: s_b \leftarrow evaluate silhouette score \mathcal{S}(X_b^{vld}, \hat{y})

7: end for

8: \bar{S}_k = \frac{1}{B} \sum_{i=1}^B s_i

9: end for

10: k_{opt} = \arg\max_k (\bar{S}_k)
```

In order to perform exploratory analysis on large datasets, we took advantage of different parallel computing paradigms. Adenine pipelines are designed to be independent from each other, therefore they all run in parallel as separate Python processes on different cores. Moreover, since Adenine makes large use of numpy and scipy, it automatically benefits from their bindings with optimized linear algebra libraries (such as OpenBLAS<sup>1</sup>, or Intel<sup>®</sup> MKL).

## 3. Usage Example

In this section we show how to use Adenine to perform an exploratory analysis on a relatively small example. Once Adenine is installed, all we need is to launch ade\_run.py specifying as input argument a configuration file (with .py extension) which should look like the snippet below.

```
from adenine.utils import data_source
X, y, feats, classes = data_source.load('custom', 'data.csv', 'labels.csv')
step1 = {'Normalize': [True, {'norm': '12'}]} # Preprocessing
step2 = {'KernelPCA': [True, {'kernel': ['rbf'], 'n_components': 3, 'gamma': 2}], 'Isomap': [True, {'n_components': 3}]} # Dimensionality reduction
step3 = {'KMeans': [True, {'n_clusters': ['auto']}]} # Clustering
```

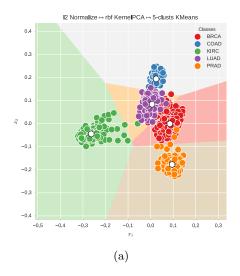
Each step variable refers to a dict having the name of the building block as key and a list as value. Each list has an bool  $on \setminus off$  trigger in first position followed by a dict of keyword arguments for the class implementing the correspondent method. When more than one method is specified in a single step, Adenine takes automatically care of implementing the pipelines made by all possible combinations. Several other options can be specified in the configuration file, we recall to Adenine documentation and tutorials<sup>2</sup> for a comprehensive

<sup>1.</sup> http://www.openblas.net/

<sup>2.</sup> www.slipguru.unige.it/Softwares/Adenine

description. So, using the configuration file above, Adenine will generate two pipelines with similar structure. They will both have  $\ell^2$ -normalized samples, projected on a three-dimensional space by Gaussian KPCA with  $\gamma=2$  (pipeline 1) and Isomap (pipeline 2); on the dimensionality-reduced dataset a k-means clustering with automatic cluster discovery (as in Algorithm 1) is eventually performed.

The results of this first step are all stored in a single output folder. Once the analysis are completed, plots and reports can be automatically generated launching ade\_analysis.py on the output folder previously created. In Figure 1 an example of a possible comparison between the two pipelines is presented.



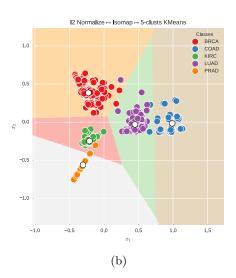


Figure 1: Comparison between k-means performance after two different nonlinear projections. Data-points colors refer to real classes, while backgrounds are colored according to clustering predictions. The dataset analyzed in this experiment is a random extraction of 800 samples (with dimension 20531) measuring RNA-Seq gene expression of patients affected by 5 different types of tumor: breast invasive carcinoma (BRCA), kidney renal clear cell carcinoma (KIRC), colon (COAD), lung (LUAD) and prostate adenocarcinoma (PRAD). This reduced dataset is available from Adenine documentation website and it comes from the cancer genome atlas pan-cancer analysis project (Weinstein et al., 2013). We can notice that in both cases our algorithm automatically discovers the correct number of clusters, although the Isomap projection improves the clustering performance.

## References

Christopher M Bishop. Pattern recognition. Machine Learning, 2006.

Ingwer Borg and Patrick JF Groenen. Modern multidimensional scaling: Theory and applications. Springer Science & Business Media, 2005.

Dorin Comaniciu and Peter Meer. Mean shift: A robust approach toward feature space analysis. Pattern Analysis and Machine Intelligence, IEEE Transactions on, 24(5):603—

- 619, 2002.
- Janez Demšar, Tomaž Curk, Aleš Erjavec, Črt Gorup, Tomaž Hočevar, Mitar Milutinovič, Martin Možina, Matija Polajnar, Marko Toplak, Anže Starič, et al. Orange: data mining toolbox in python. *The Journal of Machine Learning Research*, 14(1):2349–2353, 2013.
- Brendan J Frey and Delbert Dueck. Clustering by passing messages between data points. science, 315(5814):972–976, 2007.
- Jerome Friedman, Trevor Hastie, and Robert Tibshirani. The elements of statistical learning, volume 1. Springer series in statistics Springer, Berlin, 2001.
- Nathan Halko, Per-Gunnar Martinsson, and Joel A Tropp. Finding structure with randomness: Probabilistic algorithms for constructing approximate matrix decompositions. *SIAM review*, 53(2):217–288, 2011.
- Ian Jolliffe. Principal component analysis. Wiley Online Library, 2002.
- Joshua M Lewis, Virginia R De Sa, and Laurens Van Der Maaten. Divvy: fast and intuitive exploratory data analysis. *The Journal of Machine Learning Research*, 14(1):3159–3163, 2013.
- Andrew Y Ng, Michael I Jordan, Yair Weiss, et al. On spectral clustering: Analysis and an algorithm. Advances in neural information processing systems, 2:849–856, 2002.
- F. Pedregosa, G. Varoquaux, A. Gramfort, V. Michel, B. Thirion, O. Grisel, M. Blondel, P. Prettenhofer, R. Weiss, V. Dubourg, J. Vanderplas, A. Passos, D. Cournapeau, M. Brucher, M. Perrot, and E. Duchesnay. Scikit-learn: Machine learning in Python. *Journal of Machine Learning Research*, 12:2825–2830, 2011.
- David A Ross, Jongwoo Lim, Ruei-Sung Lin, and Ming-Hsuan Yang. Incremental learning for robust visual tracking. *International Journal of Computer Vision*, 77(1-3):125–141, 2008.
- Peter J Rousseeuw. Silhouettes: a graphical aid to the interpretation and validation of cluster analysis. *Journal of computational and applied mathematics*, 20:53–65, 1987.
- Sam T Roweis and Lawrence K Saul. Nonlinear dimensionality reduction by locally linear embedding. *Science*, 290(5500):2323–2326, 2000.
- Bernhard Schölkopf, Alexander Smola, and Klaus-Robert Müller. Kernel principal component analysis. In *Artificial Neural Networks—ICANN'97*, pages 583–588. Springer, 1997.
- Jianbo Shi and Jitendra Malik. Normalized cuts and image segmentation. *Pattern Analysis and Machine Intelligence*, *IEEE Transactions on*, 22(8):888–905, 2000.
- Joshua B Tenenbaum, Vin De Silva, and John C Langford. A global geometric framework for nonlinear dimensionality reduction. *science*, 290(5500):2319–2323, 2000.

## ADENINE

- Olga Troyanskaya, Michael Cantor, Gavin Sherlock, Pat Brown, Trevor Hastie, Robert Tibshirani, David Botstein, and Russ B Altman. Missing value estimation methods for dna microarrays. *Bioinformatics*, 17(6):520–525, 2001.
- Laurens Van der Maaten and Geoffrey Hinton. Visualizing data using t-sne. *Journal of Machine Learning Research*, 9(2579-2605):85, 2008.
- John N Weinstein, Eric A Collisson, Gordon B Mills, Kenna R Mills Shaw, Brad A Ozenberger, Kyle Ellrott, Ilya Shmulevich, Chris Sander, Joshua M Stuart, Cancer Genome Atlas Research Network, et al. The cancer genome atlas pan-cancer analysis project. *Nature genetics*, 45(10):1113–1120, 2013.