

# 4005-800 ALGORITHMS

## HOMEWORK 4

Christopher Wood

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**PROBLEM 1-a.** *Prove that for any  $n \in \mathbb{N}$ ,  $\lfloor (n+1)/2 \rfloor = \lceil n/2 \rceil$ .*

**Solution.**

**Case 1:**  $n$  is even ( $2 \mid n$ , or  $n = 2m$  for some  $m \in \mathbb{N}$ )

$$\begin{aligned}\left\lfloor \frac{n+1}{2} \right\rfloor &= \left\lfloor \frac{2m+1}{2} \right\rfloor \\ &= \left\lfloor \frac{2m}{2} + \frac{1}{2} \right\rfloor \\ &= m + \left\lfloor \frac{1}{2} \right\rfloor \\ &= m \\ &= \left\lceil \frac{2m}{2} \right\rceil \\ &= \left\lceil \frac{n}{2} \right\rceil\end{aligned}$$

**Case 2:**  $n$  is odd ( $2 \nmid n$ , or  $n = 2m+1$  for some  $m \in \mathbb{N}$ )

$$\begin{aligned}\left\lfloor \frac{n+1}{2} \right\rfloor &= \left\lfloor \frac{(2m+1)+1}{2} \right\rfloor \\ &= \left\lfloor \frac{2(m+1)}{2} \right\rfloor \\ &= m+1 \\ &= m + \left\lceil \frac{1}{2} \right\rceil \\ &= \frac{2m}{2} + \left\lceil \frac{1}{2} \right\rceil \\ &= \left\lceil \frac{2m}{2} + \frac{1}{2} \right\rceil \\ &= \left\lceil \frac{2m+1}{2} \right\rceil \\ &= \left\lceil \frac{n}{2} \right\rceil\end{aligned}$$

Thus, since a number  $n \in \mathbb{N}$  can only be even or odd, we can conclude that for any  $n \in \mathbb{N}$ ,  $\left\lfloor \frac{n+1}{2} \right\rfloor = \left\lceil \frac{n}{2} \right\rceil$ .

**PROBLEM 1-b.** *Prove that for any  $n \in \mathbb{N}$ ,  $\lfloor n/2 \rfloor + 1 = \lceil (n+1)/2 \rceil$ .*

**Solution. Case 1:**  $n$  is even ( $2 \mid n$ , or  $n = 2m$  for some  $m \in \mathbb{N}$ )

$$\begin{aligned}
 \left\lfloor \frac{n}{2} \right\rfloor + 1 &= \left\lfloor \frac{2m}{2} \right\rfloor + 1 \\
 &= \frac{2m}{2} + 1 \\
 &= \frac{2m}{2} + \left\lceil \frac{1}{2} \right\rceil \\
 &= \left\lceil \frac{2m}{2} \right\rceil + \left\lceil \frac{1}{2} \right\rceil \\
 &= \left\lceil \frac{2m}{2} + \frac{1}{2} \right\rceil \\
 &= \left\lceil \frac{2m+1}{2} \right\rceil \\
 &= \left\lceil \frac{n+1}{2} \right\rceil
 \end{aligned}$$

**Case 2:**  $n$  is odd ( $2 \nmid n$ , or  $n = 2m + 1$  for some  $m \in \mathbb{N}$ )

$$\begin{aligned}
 \left\lfloor \frac{n}{2} \right\rfloor + 1 &= \left\lfloor \frac{2m+1}{2} \right\rfloor + 1 \\
 &= \left\lfloor \frac{2m}{2} + \frac{1}{2} \right\rfloor + 1 \\
 &= m + \left\lfloor \frac{1}{2} \right\rfloor + 1 \\
 &= m + 1 \\
 &= \frac{2(m+1)}{2} \\
 &= \left\lceil \frac{2(m+1)}{2} \right\rceil \\
 &= \left\lceil \frac{2m+2}{2} \right\rceil \\
 &= \left\lceil \frac{(2m+1)+1}{2} \right\rceil \\
 &= \left\lceil \frac{n+1}{2} \right\rceil
 \end{aligned}$$

Thus, since a number  $n \in \mathbb{N}$  can only be even or odd, we can conclude that for any  $n \in \mathbb{N}$ ,  $\left\lfloor \frac{n}{2} \right\rfloor + 1 = \left\lceil \frac{n+1}{2} \right\rceil$ .

**PROBLEM 1-c.** Let  $D(n) = T(n+1) - T(n)$ . Determine a recurrence for  $D(n)$ .

**Solution.**

Let  $D(n) = T(n+1) - T(n)$ . If we let  $n = 1$  be the base case for the recurrence as in  $T(n)$ , we

obtain the following:

$$\begin{aligned}
D(1) &= T(2) - T(1) \\
&= T\left(\left\lceil \frac{2}{2} \right\rceil\right) + T\left(\left\lfloor \frac{2}{2} \right\rfloor\right) + 2 - 0 \\
&= T(1) + T(1) + 2 \\
&= 2
\end{aligned}$$

Thus, we can see that  $D(1) = 2$ . We now seek the general case for  $D(n)$  by expanding the its representation using the definition for  $T(n)$ , as shown below.

$$\begin{aligned}
D(n) &= T(n+1) - T(n) \\
&= \left( T\left(\left\lceil \frac{n+1}{2} \right\rceil\right) + T\left(\left\lfloor \frac{n+1}{2} \right\rfloor\right) + (n+1) \right) - \left( T\left(\left\lceil \frac{n}{2} \right\rceil\right) + T\left(\left\lfloor \frac{n}{2} \right\rfloor\right) + n \right) \\
&= T\left(\left\lceil \frac{n+1}{2} \right\rceil\right) + T\left(\left\lfloor \frac{n+1}{2} \right\rfloor\right) - T\left(\left\lceil \frac{n}{2} \right\rceil\right) - T\left(\left\lfloor \frac{n}{2} \right\rfloor\right) + 1 \\
&= T\left(\left\lceil \frac{n+1}{2} \right\rceil\right) + T\left(\left\lfloor \frac{n}{2} \right\rfloor\right) + 1 \\
&= T\left(\left\lfloor \frac{n}{2} \right\rfloor + 1\right) + T\left(\left\lfloor \frac{n}{2} \right\rfloor\right) + 1
\end{aligned}$$

Now, by observing that this expression takes the same form as  $D(n)$ , we obtain the following:

$$\begin{aligned}
D(n) &= T\left(\left\lfloor \frac{n}{2} \right\rfloor + 1\right) + T\left(\left\lfloor \frac{n}{2} \right\rfloor\right) + 1 \\
&= D\left(\left\lfloor \frac{n}{2} \right\rfloor\right) + 1
\end{aligned}$$

Now, putting these results together, we obtain the following recurrence for  $D(n)$ :

$$\begin{aligned}
D(1) &= 2 \\
D(n) &= D\left(\left\lfloor \frac{n}{2} \right\rfloor\right) + 1
\end{aligned}$$

**PROBLEM 1-d.** *Prove using the strong form of induction that for any  $n \in \mathbb{N}$ , if  $n \geq 1$  then  $D(n) = \lfloor \lg(n) \rfloor + 2$ .*

**Solution. Base ( $n = 1$ )**

By the definition of  $D(n)$ , we know the following:

$$\begin{aligned}
D(1) &= 2 \\
&= 0 + 2 \\
&= \lg(1) + 2
\end{aligned}$$

$$= \lfloor \lg(1) \rfloor + 2$$

**Induction** ( $n > 1$ )

Assume that  $D(k) = \lfloor \lg(k) \rfloor + 2$  for some  $k \in \mathbb{N}$  such that  $2 \leq k < n$ . We now show that  $D(n) = \lfloor \lg(n) \rfloor + 2$ .

$$\begin{aligned} D(n) &= D\left(\left\lfloor \frac{n}{2} \right\rfloor\right) + 1 \\ &= \left(\left\lfloor \lg\left(\left\lfloor \frac{n}{2} \right\rfloor\right) \right\rfloor + 2\right) + 1 \\ &= \left(\left\lfloor \lg\left(\left\lfloor \frac{n}{2} \right\rfloor\right) \right\rfloor + 1\right) + 2 \\ &= \left(\left\lfloor \lg\left(\left\lfloor \frac{n}{2} \right\rfloor\right) \right\rfloor + \lg(2)\right) + 2 \\ &= \left(\left\lfloor \lg\left(\left\lfloor \frac{n}{2} \right\rfloor\right) + \lg(2) \right\rfloor\right) + 2 \\ &= \left(\left\lfloor \lg\left(2\left\lfloor \frac{n}{2} \right\rfloor\right) \right\rfloor\right) + 2 \\ &= \left(\left\lfloor \lg(n) \right\rfloor\right) + 2 \\ &= \lfloor \lg(n) \rfloor + 2 \end{aligned}$$

Thus,  $D(n) = \lfloor \lg(n) \rfloor + 2$ , as desired.

**PROBLEM 1-e.** *Then prove that  $T(n) - T(1) = \sum_{k=1}^{n-1} D(k)$ , and show that an immediate consequence is that  $T(n) = \sum_{k=1}^{n-1} \lfloor \lg(k) \rfloor + 2$ .*

**Solution.** By the definition of  $D(n)$ , we observe the following:

$$\begin{aligned} \sum_{k=1}^{n-1} D(k) &= \sum_{k=1}^{n-1} (T(k+1) - T(k)) \\ &= (T(2) - T(1)) + (T(3) - T(2)) + (T(4) - T(3)) + \dots + (T(n) - T(n-1)) \\ &= T(n) - T(1) \end{aligned}$$

Therefore, since  $\sum_{k=1}^{n-1} D(k)$  turns into a telescoping sum, we see that it collapses to  $T(n) - T(1)$ , and since  $D(n) = \lfloor \lg(n) \rfloor + 2$ , we also know the following:

$$\begin{aligned} T(n) - T(1) &= \sum_{k=1}^{n-1} D(k) \\ &= \sum_{k=1}^{n-1} (\lfloor \lg(k) \rfloor + 2) \end{aligned}$$

Thus, we see that  $T(n) - T(1) = \sum_{k=1}^{n-1} (\lfloor \lg(k) \rfloor + 2)$ , and since  $T(1) = 0$ , we conclude that  $T(n) = \sum_{k=1}^{n-1} (\lfloor \lg(k) \rfloor + 2)$ .

**PROBLEM 1-f.** Now show that  $T(n) = \sum_{k=1}^{n-1} \lfloor \lg(k) \rfloor + 2$  implies that  $T(n) = O(n \log(n))$ .

**Solution.** Using the fact that  $T(n) = \sum_{k=1}^{n-1} (\lfloor \lg(k) \rfloor + 2)$ . We now evaluate this summation as follows:

$$\begin{aligned}
 T(n) &= \sum_{k=1}^{n-1} (\lfloor \lg(k) \rfloor + 2) \\
 &= \sum_{k=1}^{n-1} \lfloor \lg(k) \rfloor + \sum_{k=1}^{n-1} 2 \\
 &< \sum_{k=1}^{n-1} \lg(k) + \sum_{k=1}^{n-1} 2 \\
 &= (n-1)\lg(n) + 2(n-1) \\
 &= n\lg(n) - \lg(n) + 2n - 1 \\
 &= O(n\lg(n)) \\
 &= O(n \log(n))
 \end{aligned}$$