4040-849 Optimization Methods

Optimizing Cryptographic Strength of Substitution
Layers in Symmetric-Key Cryptosystems

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Abstract

The cryptographic security of symmetric-key block ciphers and other related primitives is based upon their adherence to Shannon's principles of confusion and diffusion [1]. Confusion can be defined as the statistical relationship between the ciphertext and private key of a cipher, while diffusion refers to the statistical redundancy of plaintext bits in the ciphertext bits. Consequently, it is increasingly important to optimize these characteristics in order to make them less susceptible to attacks based on linear and differential cryptanalysis. S(ubstitution)-boxes are the most traditional mathematical structures that are used to improve the levels of diffusion and confusion within symmetric-key cryptographic algorithms. Recent research efforts have revealed practical measurements of S-box constructions that indicate their susceptibility to linear and differential cryptanalysis. In this work, we attempt to formulate the problem of cryptographically strong substitution layers in symmetric-key block ciphers with S-box designs into a mixed integer programming problem that can be optimized to yield the high diffusion and confusion dividends in resulting cipher implementations.

1   Block Cipher Security and Attack Methodologies

Cryptographic algorithms are deemed secure if they are resistant to known attacks (including brute force collision searches). Therefore, it is important to understand such attacks in order to construct cryptographically secure S-boxes for use in practice. This section introduces the two most common forms of cryptanalysis techniques that are used to guage the strength of symmetric-key block cipher designs. It then introduces several mathematical definitions that can be used to measure the security of S-boxes based on the goal of such cryptanalysis techniques, which subsequently become the target objective functions for this optimization project.

1.1   Linear Cryptanalysis

Ever since the application of linear cryptanalysis on the FEAL and DES block ciphers in the early nineties, cryptanalysis research has increased exponentially and led to the need for high diffusion and confusion in secure symmetric-key cryptographic algorithm designs (especially block ciphers) [2]. Linear cryptanalysis is an attack that attempts to take advantage of high probability occurrences of linear expressions involving plaintext bits, ciphertext bits, and subkey bits. Mathematically,

the attack is based on the idea of approximating the operation of a portion of the block cipher in question with an expression that is linear in terms of the input (X) and output (Y) bits involved, as shown below [2]:

$$X_{i_1} \oplus X_{i_2}... \oplus X_{i_r} \oplus Y_{j_1} \oplus Y_{j_2}... \oplus Y_{j_s} \oplus = 0$$

Using this expression, attackers can guage the amount of randomness introduced by the cipher. That is, if such an expression is satisfied frequently (with a relatively high probability), then we know that the probability of the expression holding for any two values $a, b \in \mathbb{F}_2^n$ is approximately $\frac{1}{2}$. However, when this probability shifts away from $\frac{1}{2}$, the amount of known plaintexts required to determine the key (or key block) that was used to reproduce the output goes down dramatically. Such a deviation from the expected probability of $\frac{1}{2}$ for any expression of the form above, which is referred to as the *linear bias*, determines the susceptibility of the block cipher to known plaintext attacks. Therefore, it is important to introduce non-linearity into the block cipher in order to defend against such attacks.

Traditional block ciphers based on substitution-permutation networks (such as the DES and AES) use a specially tuned S(ubstitution)-box as the primary source of nonlinearity that is designed to thwart such cryptanalysis attacks. An S-box is a bijective function f defined over $\mathbb{F}_2^n$ that maps elements in its domain to distinct elements in the range. Rijndael, the AES algorithm, utilizes a unique S-box design based on a fixed, invertible affine transformation to achieve non-linearity. More specifically, the substitution of a single element $a \in \mathbb{F}_2^n$ to another element $b \in \mathbb{F}_2^n$ is computed by performing an affine transformation on a^{-1} (the multiplicative inverse of a), meaning that $b = f(a)$. The affine transformation was carefully constructed to yield high resistance against known cryptanalytic attacks of the time, including both linear and differential cryptanalysis [3].

S-boxes are not the only proposed source of non-linearity, however. One other notable deisgn technique is based on the notion of modular (2^n) addition, bit-wise rotation, and XOR operations. Separate, these simple routines are very easy to invert and fit to a linear model. However, when brought together in the right way using information from the secret key as an operand, an artificial degree of non-linearity begins to emerge in the result. Threefish, the block cipher inside Skein (one of final candidates for the SHA-3 hash function competition), relies on the ARX operation for non-linear behavior between rounds of the cipher. However, such designs are also susceptible to similar cryptanalytic attacks, as was shown in [4]. Therefore, the focus and scope of this project will be geared towards S-box design due to its simplicity and cryptographic maturity.

1.2 Differential Cryptanalysis

Linear cryptanalysis is not the only attack that threatens existing block ciphers. Differential cryptanalysis is a very powerful attack technique that attempts to break symmetric key ciphers by exploiting high probability of certain occurrences of plaintext differences and ciphertext differences

[2]. To illustrate this attack technique, consider the input of a block cipher to be represented by the vector $[X_1, X_2, ..., X_n]$ and the corresponding output to be $[Y_1, Y_2, ..., Y_n]$, where each element X_i and Y_i corresponds to a single bit in the input and output, respectively. With this definition, we can represent the input difference of any two input vectors (ΔX) and any two output vectors (ΔY) as follows:

$$\Delta X = [\Delta X_1 \oplus \Delta X_2 \oplus ... \oplus \Delta X_n]$$

$$\Delta Y = [\Delta Y_1 \oplus \Delta Y_2 \oplus ... \oplus \Delta Y_n]$$

where $\Delta X_i = X_{i,1} \oplus X_{i,2}$ and $\Delta Y_i = Y_{i,1} \oplus Y_{i,2}$. It is an ideal block cipher the output different ΔY for a specific input different ΔX will occur with a probability of approximately $\frac{1}{2^n}$ (i.e. it produces random output based on every input block). Note that it is common to represent the input and output difference pairs as $(\Delta X, \Delta Y)$ (which are referred to as differentials).

With the idea of differential pairs in mind, we define differential cryptanalysis as the process of finding differentials that occur with a probability much higher than $\frac{1}{2^n}$, which subsequently gives rise to a statistical correlation between the relationship between the input and output of a block cipher and can allow one to deduce the private key used in the cipher. Therefore, it is ideal to maximize the amount of randomness introduced by the block cipher through each iteration. Clearly, this necessity can be traced back to the need for high diffusion levels in block ciphers.

Intuitively, there is no single universal mathematical operation that results in optimal randomness of a block cipher. The differential probabilities are highly dependent on the entire construction of the cryptographic algorithm in question, which is driven by the existing cryptanalysis attacks used at the time of creation. However, one step towards achieving ideal levels of randomness is to optimize the confusion and diffusion properties of the cipher, which can be improved by further optimizing the construction of the S-box that is used within the cipher.

1.3   Cryptographic Strength of Substitution Layers

Mathematically, an S-box can be represented as a function f that maps input values a to output values b such that $a, b \in \mathbb{F}_2^n$. In the context of cryptographic applications, such a function f must be bijective in order to avoid bias towards any specific output element in the field. We now present a series of definitions that are pertinent to the design of cryptographically strong S-Boxes [5].

Definition 1. The *Hamming weight* of an element $x \in \mathbb{F}_2^n$ is defined as $\text{wt}(x) = \sum x_i$.

Definition 2. Let f be a bijective function with range $\mathbb{R}^*$, where $|\mathbb{R}^*| = m$. Let n be the number of elements x that satisfy $f(x \oplus \Delta_i) = f(x) \oplus \Delta_o$. Then, $\frac{n}{m}$ is the *differential probability* p of the characteristic $f_D(\Delta_i \to \Delta_o)$.

Definition 3. The *branch number* of an $n \times n$-bit S-Box is

$$BN = \min_{a,b \neq a}(\text{wt}(a \oplus b) + \text{wt}(S(a) \oplus S(b))),$$

where $a, b \in \mathbb{F}_2^n$.

Definition 4. A function $f : \mathbb{F}_2^n \to \mathbb{F}_2^n$ exhibits the *avalanche effect* if and only if

$$\sum_{x \in \mathbb{F}_2^n} \text{wt}(f(x) \oplus f(x \oplus c_i^n)) = n2^{n-1},$$

for all $i(1 \leq i \leq n)$, where $c_i^n = [0, 0, ..., 1, ..., 0]$ (where a 1 is in the nth position of the vector of cardinality n).

Definition 5. A function $f : \mathbb{F}_2^n \to \mathbb{F}_2^n$ satisfies the *Strict Avalanche Critertion (SAC)* if for all $i(1 \leq i \leq n)$ the following equations hold:

$$\sum_{x \in \mathbb{F}_2^n} f(x) \oplus f(x \oplus c_i^n) = (2^{n-1}, 2^{n-1}, ..., 2^{n-1})$$

This simply means that $f(x) \oplus f(x \oplus c_i^n)$ is balanced for every element in $\mathbb{F}_2^n$ with Hamming distance of 1.

Definition 6. The *degree of non-linearity* of a boolean function can be measured by a relation to the Walsh transform $\hat{F}$ of $\hat{f} : \mathbb{F}_2^n \to \{-1, 1\}$ according to [1]:

$$\delta(f) = 2^{n-1} - \frac{1}{2}\max_w |\hat{F}(w)|,$$

where $\hat{F}(w)$ is the Walsh transformation defined as follows:

$$W_f(a) = \sum_{x \in \mathbb{F}_2^n} (-1)^{f(x) + <a,x>},$$

where $< a, x >$ is the scalar product of a and x (if they are thought of as vectors).

Designers of cryptographically secure cryptographic primitives (e.g. block ciphers, hash functions, etc) use all of these measurements as a theoretical basis for their susceptibility to linear and differential cryptanalysis (among other attacks). Specifically, it has been shown that cryptographically secure symmetric-key algorithms utilize diffusion and confusion layers that provide the following characteristics:

1. Low differential propagation probability

2. High branch number

3. High satisfaction of the SAC criterion

4. High degree of nonlinearity

However, in practice the additional constraints that fast and simple mathematical operations must be used to emulate represent such a bijective function f that exhibits ideal values for all of these measurements.

2   Optimization Candidate Description

Research efforts for secure S-box designs have been largely focused on defining S-boxes using well-crafted invertible mathematical operations that yield optimal different propagation probabilities, branch numbers, SAC satisfaction, and non-linearity degrees. However, since all of these functions are typically pre-computed and stored in a look-up-table when the block cipher algorithm is implemented, it leads one to think of constructing an S-box definition without considering the existence of such a formal mathematical operation that led to its derivation. In other words, it might be worthwhile to attempt to optimize these different security dimensions without placing the additional constraint on the S-box that it must be represented by a formal mathematical operation.

Using this intuition, it is natural to reduce the problem of finding an optimal diffusion and confusion substitution layer for cryptographic algorithms to a mixed integer programming problem that seeks to optimize each one of the security dimensions discussed in the previous section. However, since each dimension itself represents a unique cost function, the problem can be reduced to the optimization of four dependent cost functions based on a common set of design variables. The shared solutions to these objectives (if they exist) are thus contained along the Pareto frontier for the problem.

Also, since each of the security measurements previously mentioned rely solely on the definition of the S-box function f, we can treat the input and output pairs of this function as the design variables into the problem. However, to manage the complexity of the solution and reduce the time complexity of the optimization routines, only 4-bit functions will be considered (i.e. the domain and range of f are of cardinality $2^4 = 16$). Furthermore, since the S-box is a bijective function, the only constraint that these design variables are limited by is the need for each input and output pair to be distinct.

3   Programming Language Use

Since the Matlab Optimization Toolkit has a wealth of tools available for solving integer and mixed integer programming problems, this will be the primary resource when trying to optimize the different cost functions for the differential propagation probability, brach number, non-linearity degree, and SAC adherence as described in section 1.3. Specifically, all computational optimization work will

be handled using the Matlab programming language and the Optimization Toolkit. Additional data management and visualization will be provided by Mathematica when visualizing the design variable space that is used as input to the aforementioned objective functions.

References

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