|  |  |
| --- | --- |
| **College of Physical Sciences**  **Ethics Approval Form** | 800x300 |

Please complete the relevant sections of this form, append a summary of the proposed research project and send it to [CoPSethics@abdn.ac.uk](mailto:CoPSethics@abdn.ac.uk)

|  |  |
| --- | --- |
| **Name of Principal Investigator:** | Peter Edwards |
| **Proposed Project Funder:** | EPSRC (Ref: **EP/J00068X/1**) |
| **Application Date:** | 6/1/2014 |

**Please note:**

Research involving NHS staff, patients, facilities and premises is subject to ethical review by the NHS North of Scotland Research Ethics Service (see [www.nhs.grampian.org](http://www.nhs.grampian.org) )

Research involving animal and biological materials is subject to Home Office regulations. Forms and guidance can be obtained from Policy, Planning and Governance Section and/or Dr Gail Holland [check contacts]

Research involving adults who do not have the capacity to consent is subject to NHS ethical review procedures ([www.nhs.grampian.org](http://www.nhs.grampian.org) )

1. **Research projects involving human subjects (not NHS staff or patients)**

**If your research does not involve any human participants or human remains, please proceed straight to question 20**

**Recruitment Procedures**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  |  | **Yes** | **No** | **N/A** |
| **1** | Does your project involve human subjects? | **YES** |  |  |
| **2** | Does your project involve human remains?  Does your work conform with these guidelines?  Please refer to: <http://www.historic-scotland.gov.uk/human-remains.pdf> |  | **NO** |  |
|  | **If you have answered “No” for questions 1 and 2, please proceed to question 20.** | | | |
| **3** | Does your project involve persons less than 18 years of age? |  | **NO** |  |
| **4** | Does your project involve people with learning or communication difficulties? |  | **NO** |  |
| **5** | Is your project likely to involve people involved in illegal activities? |  | **NO** |  |
| **6** | Does your project involve people belonging to a vulnerable group, other than those listed above? |  | **NO** |  |
| **7** | Does your project involve people who are, or are likely to become your clients or clients of the section in which you work? |  | **NO** |  |
| **8** | Does your project provide for people for whom English is not their first language? |  | **NO** |  |
| **9** | Does your project require access to personal information about participants from other parties (e.g. teachers, employers) databanks or files? |  | **NO** |  |
| **10** | Do you plan to conceal your own identity during the course of the research? |  | **NO** |  |

**Consent Procedures**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  |  | **Yes** | **No** | **N/A** |
| **11** | Do you have set procedures that you intend to use for obtaining informed consent from all participants, including parental consent for children? | **YES** |  |  |
| **12** | Will you tell participants that their participation is voluntary? | **YES** |  |  |
| **13** | Will you obtain written consent for participation? |  | **NO** |  |
| **14** | If the research is observational, will you ask participants for their consent to be observed? |  |  | **NA** |
| **15** | Will you tell participants that they may withdraw from the research at any time and for any reason? | **YES** |  |  |
| **16** | Will you give potential participants a significant period of time to consider participation? | **YES** |  |  |
| **17** | Does your research involve deliberately misleading participants? |  | **NO** |  |

**Possible Harm to Participants**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  |  | **Yes** | **No** | **N/A** |
| **18** | Is there any realistic risk of any participants experiencing either physical or psychological discomfort or distress? |  | **NO** |  |
| **19** | Is there any realistic risk of any participants experiencing a detriment to their interests as a result of participation? |  | **NO** |  |

1. **Research involving personal/commercial or otherwise sensitive data**

**Data Protection**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  |  | **Yes** | **No** | **N/A** |
| **20** | Will any non-anonymised and/or personalised data be generated and/or stored? |  | **NO** |  |
| **21** | Will you have access to documents containing sensitive[[1]](#footnote-1) data about living individuals that is not publicly available elsewhere?  If ‘Yes’, will you gain the consent of the individuals concerned? |  | **NO** |  |

For further advice on Data Protection, please refer to [www.abdn.ac.uk/dataprotection](http://www.abdn.ac.uk/dataprotection)

1. **Research involving the natural environment where there is risk of significant harm to the environment**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  |  | **Yes** | **No** | **N/A** |
| **22** | Is there a risk of significant harm to the natural environment as a consequence of the research proposed? |  | **NO** |  |

Please explain how this risk was assessed and how you propose to manage it, under **Any Other Relevant Issues** below or appending further pages.

1. **Research which may have an adverse impact on national security**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  |  | **Yes** | **No** | **N/A** |
| **23** | Is there a significant risk to national security as a consequence of the research proposed? |  | **NO** |  |

Please explain how this risk was assessed and how you propose to manage it, under **Any Other Relevant Issues** below or appending further pages. Please indicate the need for confidentiality where appropriate.

1. **Conflict of interest**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  |  | **Yes** | **No** | **N/A** |
| **24** | Is there any potential conflict of interest between research funder and researchers or participants and researchers which may potentially affect either the research outcome or the dissemination of research findings? |  | **NO** |  |

Please explain the potential conflict of interest and how you propose to manage it, under **Any Other Relevant Issues** below or appending further pages. Please indicate the need for confidentiality where appropriate.  
  
For any contractual or intellectual property questions, please contact Research & Innovation: [yang.wang@abdn.ac.uk](mailto:yang.wang@abdn.ac.uk)

**Please append a summary of the proposed research project and send it to** [**CoPSethics@abdn.ac.uk**](mailto:CoPSethics@abdn.ac.uk)

1. **Any other relevant issues**

1. Sensitive data are *inter alia* data that relates to racial or ethnic origin, political opinions, religious beliefs, trade union membership, physical or mental health, sexual life, actual and alleged offences [↑](#footnote-ref-1)