Gov 62 Section 9

Chris Chaky

April 16, 2019

Gov 62 Update

- Student Research Workshops coming up
 - April 24, 3-6pm
 - Research memos due April 21, 3pm
 - Comments due no later than April 23, 3pm
 - ► May 1, 3-6pm
 - Research memos due April 28, 3pm
 - Comments due no later than April 30, 3pm
- You must arrive 5 minutes early to ensure a prompt start to the workshop.
- See Prof. Soroka's memo on Canvas

FYI

Gov Dept Quantitative Research Workshops:

- ► Thursday 4/18, 7-9pm in K050
- ► Friday 4/19, 12-2pm in S020
- ► TF: Mike Olson

Interviews in Political Science

Today's plan:

- ► Why do interviews?
- Respondents as units of analysis vs. expert informants
- Interview subject selection
- Preparing for interviews
- Conducting interviews

Why do interviews?

What are some of the benefits of doing interview research?

- Interviews bridge gap between positivism (testing hypotheses) and interpretivism/social constructivism (interpreting accurately the meaning of actions or preferences)
- Provide causal process observations or other data points that are unattainable through other means, such as surveys or archival research
- ▶ Observe connections between variables, rather than inferring
- (they can be fun)

Types of Interview Research

Two main types of interview research, or two types of respondents:

- Interview subjects are the unit of analysis.
 - Representative sample
 - Hypothesis-testing and/or individual case studies (interviewees usually the case) for process tracing or hypothesis generation
 - ► Tendency toward specific, neutral, comparable questions
 - More structured interviews
 - Often can lead to statistical testing
- Interview subjects are informants about some other unit of analysis.
 - Non-representative sample need to talk to the "experts" or "elites"
 - Best for process tracing, qualitative historical analysis, hypothesis generation
 - ► Tendency toward broad, open-ended, conversational questions
 - Less structured interviews
 - Less frequently amenable to statistical testing more about generating causal-process observations

Who to interview?

- Depends on your ultimate inferential goals
- ▶ If you're trying to identify the characteristics of a population or test a broad causal relationship:
 - Random sample is mandatory (or an ideal to strive for)
 - Random sample important if you want to use subjects as units of analysis for hypothesis testing
- If you're doing exploratory research, hypothesis-building, or process tracing in specific case studies:
 - ► Randomization is neither necessary nor useful
 - When looking for smoking gun, goal is to identify individuals/institution responsible for some action
- Compare characteristics of those who individuals or units who agree to be interviewed to those who don't

Who to interview?

- Identify the appropriate sample: how to do so depends on the question
- For elite/informant interviews:
 - Use news sources and other public sources: Washington Post, Roll Call, The Hill, CQ Weekly, national Journal, online sources, interest groups, think tanks, exec officials, etc.
 - Conduct background interviews provide a catalogue of players, point people for each case
 - Keep searching for subjects "who else should I speak to? Can I use you as a reference?"
 - Definition of "elite" is broad and varies by topic
 - Make a large, well-organized spreadsheet of potential contacts, with many more contacts on the list than you will be able to interview
 - Be sure you're capturing all relevant perspectives or kinds of sources of elite knowledge

How to obtain interviews

Landing the right informant:

- Start with a "toe-hold" respondent, then "snowball"
- Look for preexisting connections with relevant elites: alumni, close to university, gov't relations knows them, professor/advisor connections, etc.
- Try to avoid cold calls (though you may have to do it)
 - Snowball is effective, but be wary of bias
- Could do background interviews with people who are well informed and well connected but are not your main subject e.g. if you want congressional officers, start with lobbyists for background.
- Refusals are more likely early in the process, so start with less essential interviewees (this is a bit time-dependent)
- Could increase access by framing it around a goal relevant for the interviewees

Preparing for the interview (not inclusive list)

- Decide on the level of structure you need for the interviews
 - ▶ Do you want to compare answers to the same questions?
 - Do you want to leave it as open as possible?
- Prepare detailed list of questions (see Appendix for examples)
- Make sure you have a recording device (and backup if possible)
- Create and print consent forms (see Appendix)
- Do your research!!!

Preparing for the interview (Questions)

- Use plain, simple language no academic jargon
- Ask about behaviors rather than preferences, attitudes, or explanations for behaviors (unless these are your topics of interest)
- Question wording precision less important for elite interviews
- If relevant, can use paper responses

During the interview

- Logistics: Briefly recap project, obtain consent, ask about recording
- ► Leech et al: Start with a "grand tour" question ask informants to walk the interviewer through an area about which they're an expert
 - e.g. What did you do on this issue? What are you seeking to accomplish?
 - Targeted yet broad, give them space to talk
- Beckman & Hall: Give detailed overview of your case (to the point of putting the interviewee on their heels), then launch into specific questions
- Fenno (via Shepsle/Olson): Start by being flattering almost to a fault, then show that you know something the first chance you get
- ► tl;dr: Some tension between directing the interview to the conversation you want to have and trying to build rapport with your interview subjects

During the interview

- ▶ Be sure you're speaking the same language, don't shy away from clarifying questions.
- Use general and specific probes: tell me more about "x" (sometimes a note will help with this)
- Don't shy away from specific questions if what you want to know doesn't come up
- Usually don't ask "why" or about a specific hypothesis you're testing or interested in (but sometimes this is useful if you're careful not to state it as your hypothesis)
- ► End of interview: ask if there's anything else you need to know, anyone else you should talk to

During the interview

Tips from Prof. Hochschild:

- Ask the opposite question of what you just asked
- Ask about contradictions in what the subject says
 - ▶ Is this ambivalence? Confusion? Genuine change? Nuance?
- Play the student
- Can try out a hypothesis "Does that sound right to you?"
- ► Try to have a "doorknob conversation"

Broad takeaways

Interviewer in an unstructured interview must:

- ▶ Have a clear idea about the type of information that needs to be collected
- Understand the topic that the interview subject is discussing
- Be able to improvise and follow up on the fly