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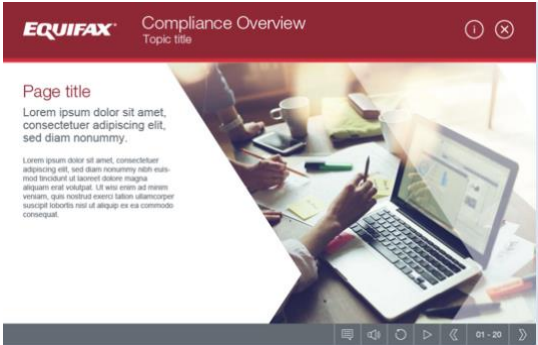


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- Code of Conduct
- Conflict of Interest
- Breach Reporting
- Procedures and Policies
- Responsibilities to the Investing Public and Issuers





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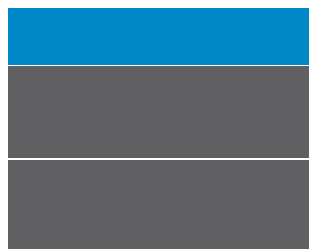
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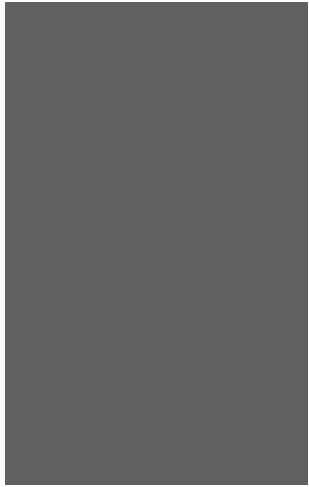




The learning factor^x



The learning factor^x





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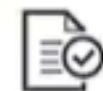




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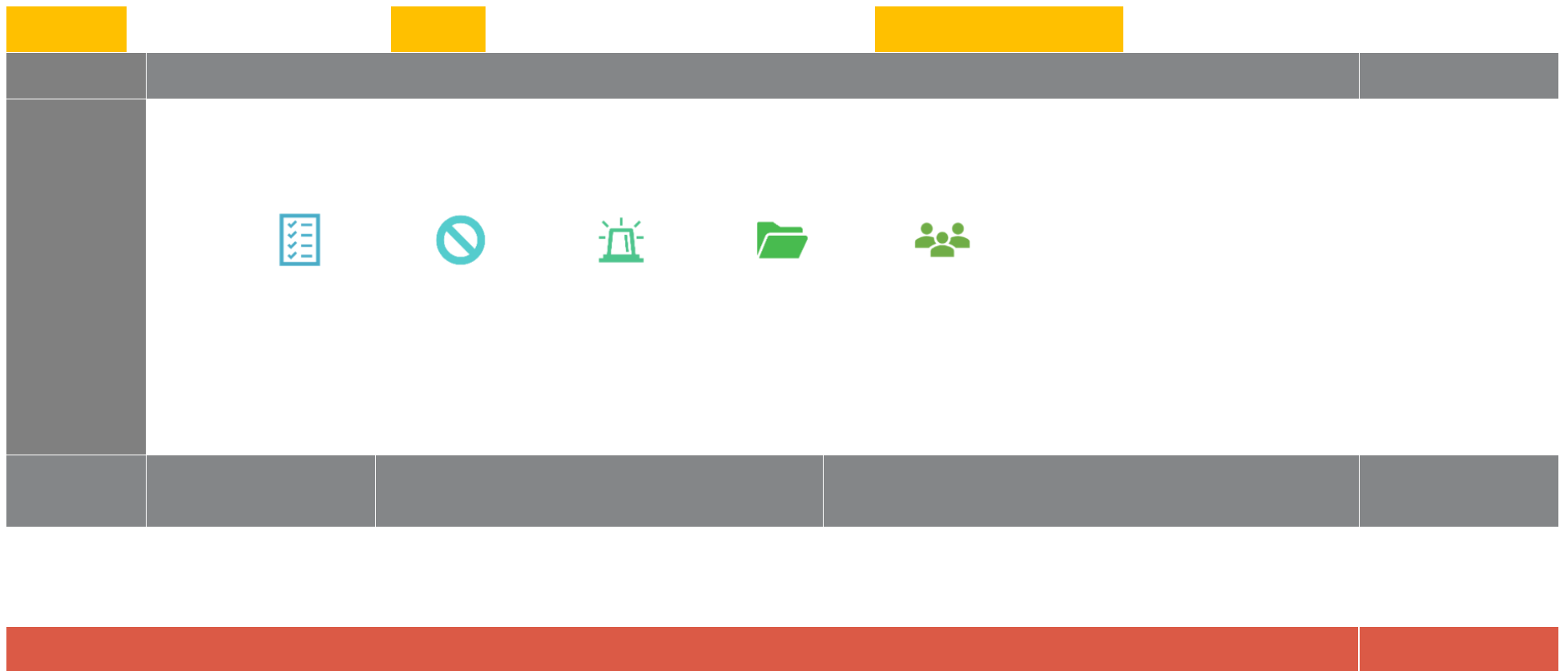
Company and employee
obligations

Purpose of information

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Make sure that good relationships are a priority

- Treat the other person with respect
- Do our best to be courteous, and to discuss matters constructively

Select Slide 10 to learn more.

The image is a screenshot of a web application interface. At the top, there is a dark red header bar. On the left side of the header is the 'EQUIFAX' logo in white. To its right, the text 'Compliance Overview' is displayed in white, with 'Topic title' in a smaller font below it. On the far right of the header are two small white circular icons: one with an 'i' and one with an 'x'. Below the header, the main content area has a light gray background. On the left side of this area is a vertical sidebar with a white background. It contains a 'Page title' in red, followed by two paragraphs of placeholder text (Lorem ipsum). Below the text are two red buttons with white text: 'Button label one' and 'Button label one'. To the right of the sidebar is a large image of a smiling woman with gray hair and glasses, wearing a green scarf and holding a black phone to her ear. Overlaid on the bottom right of this image is a dark gray panel with a white 'x' icon in its top right corner. The panel has a 'Panel title' in white, followed by two paragraphs of placeholder text. At the bottom of the main content area is a dark gray navigation bar. It contains several white icons: a speech bubble, a speaker, a refresh symbol, a play button, a double left arrow, a page number '01 - 20', and a double right arrow.





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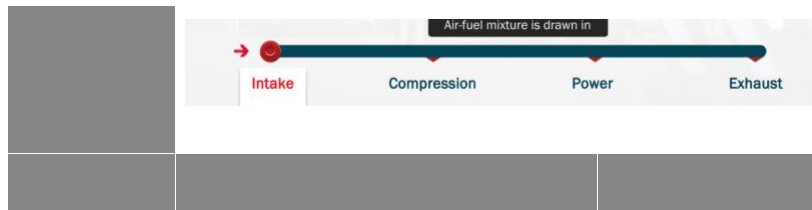
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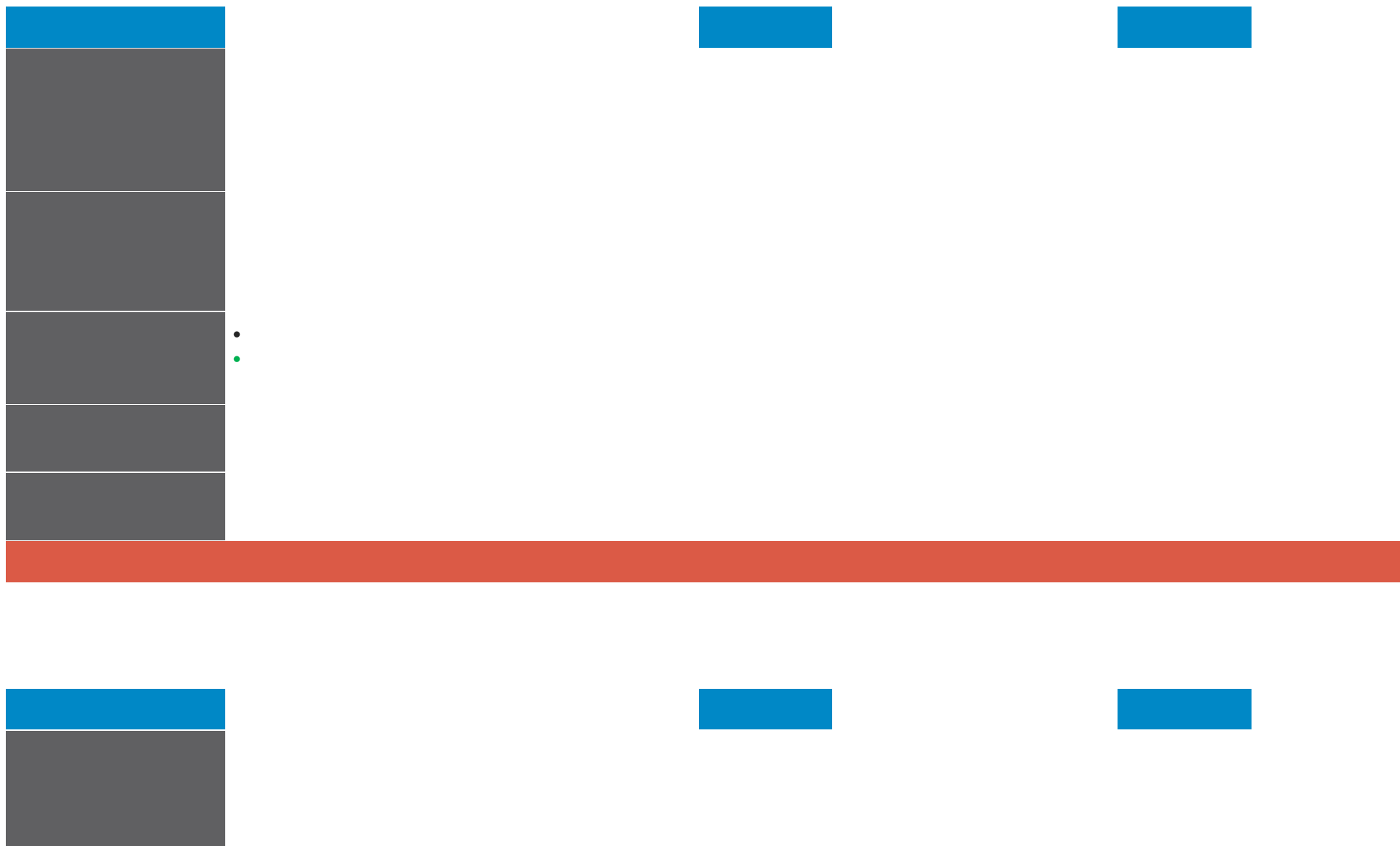
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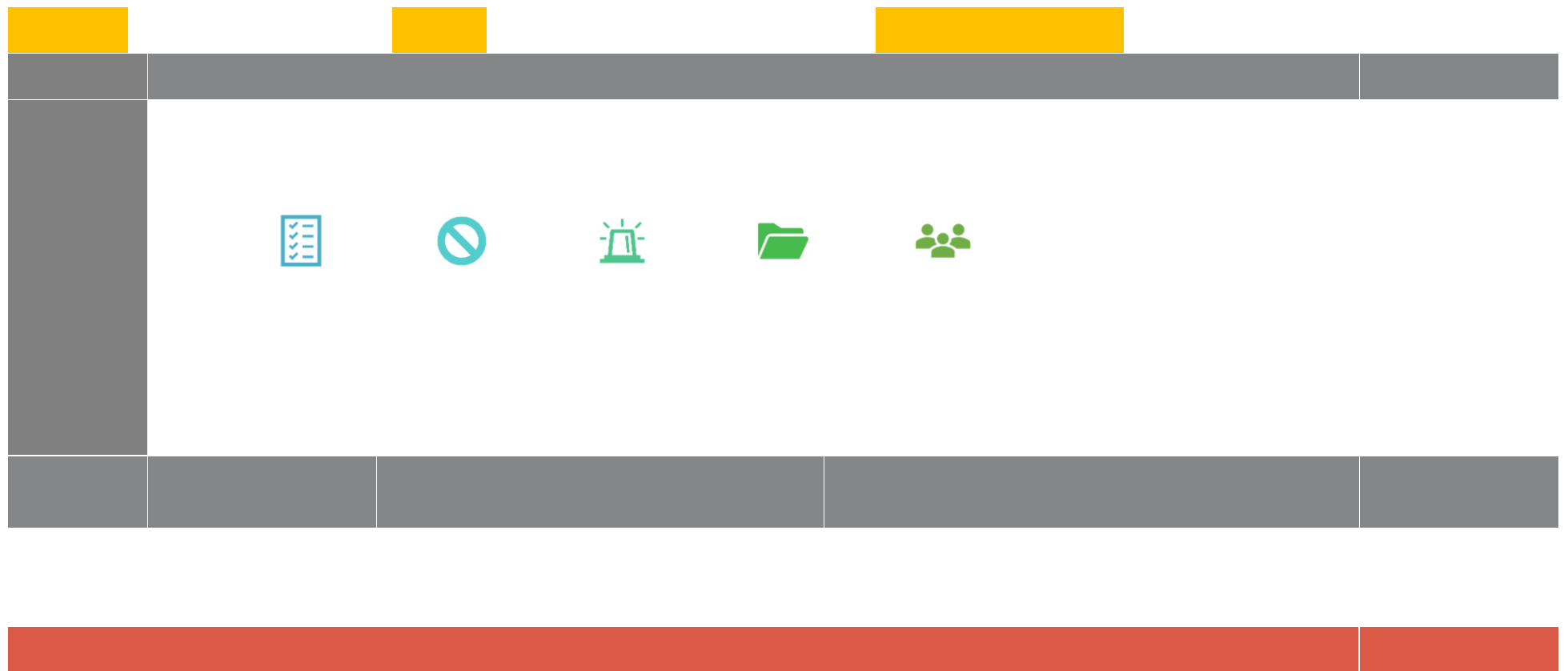




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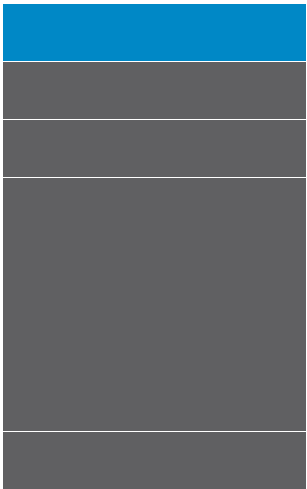
The
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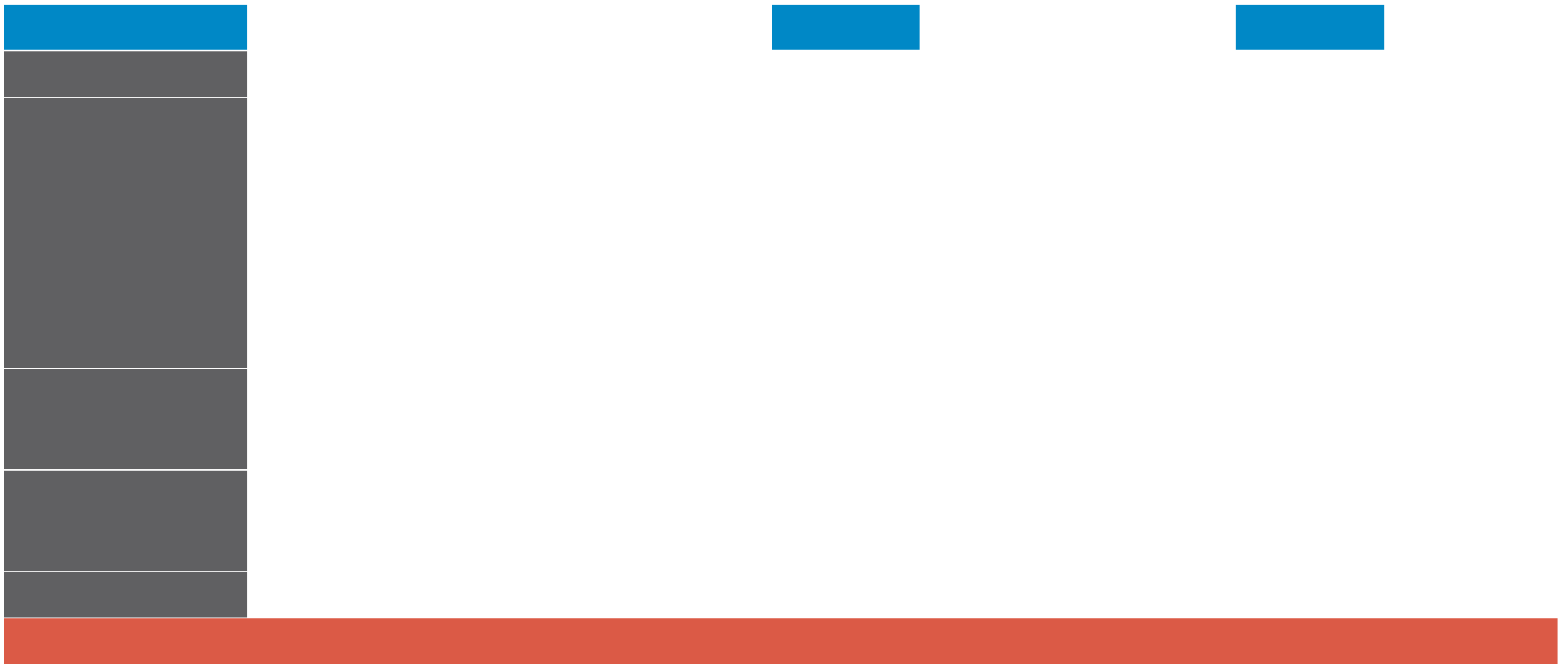


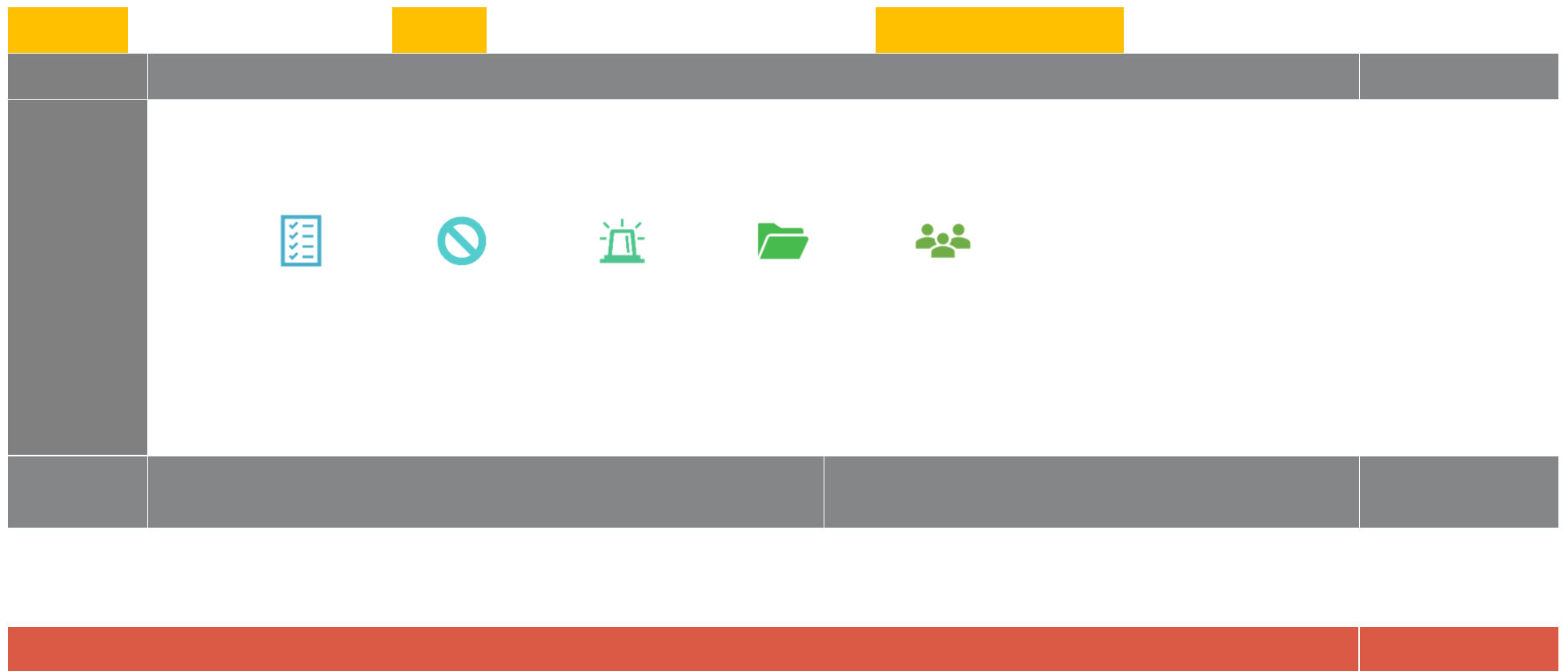


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Supervision entities are subject to a range of legal and regulatory duties.
Their main duties and obligations are primarily set out in:

CSA or each case to learn more



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2.

3.

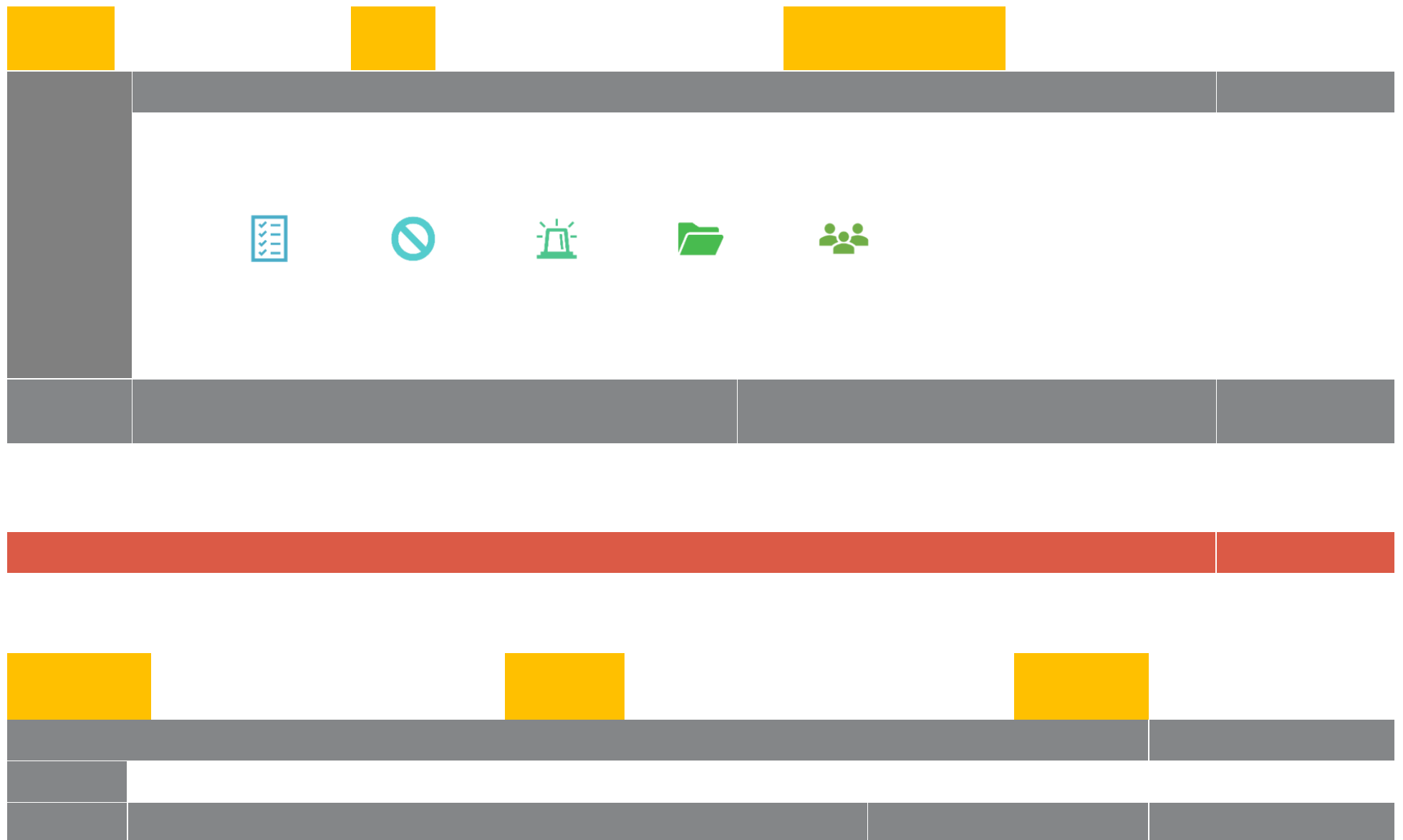
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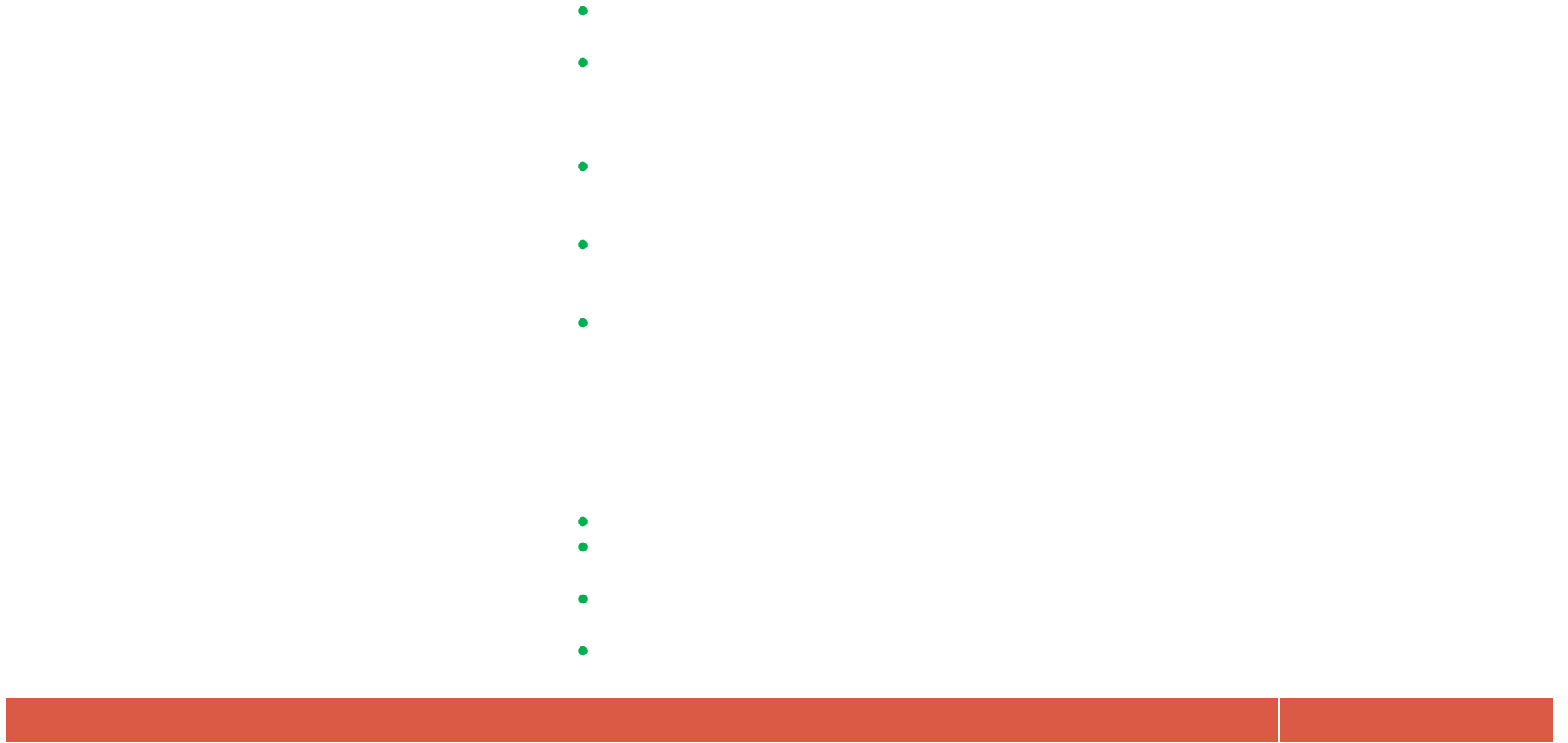


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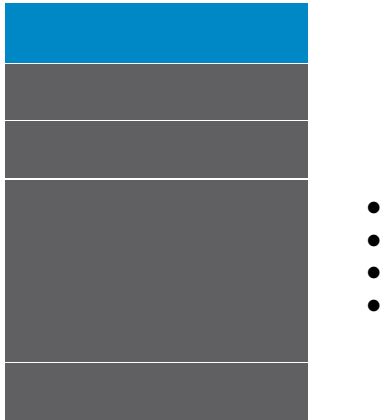
The
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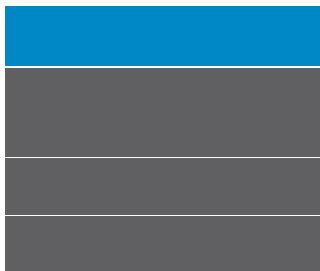
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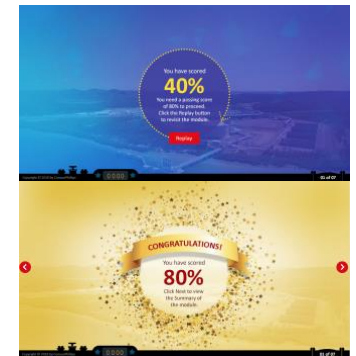
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