

CSC 143 Java

Searching and Recursion
N&H Chapters 13, 17

Overview

- Topics
 - Maintaining an ordered list
 - Sequential and binary search
 - Recursion
 - Sorting: insertion sort and QuickSort
- Reading
 - Textbook: ch. 13 & sec. 17.1-17.3

Problem: A Word Dictionary

- Suppose we want to maintain a real dictionary. Data is a list of <word, definition> pairs -- a "Map" structure

```
<"aardvark", "an animal that starts with an A and ends with a K">
<"apple", "a leading product of Washington state">
<"banana", "a fruit imported from somewhere else">
etc.
```
- We want to be able to do the following operations efficiently
 - Look up a definition given a word (key)
 - Retrieve sequences of definitions in alphabetical order

Representation

- Need to pick a data structure
- Analyze possibilities based on cost of operations

search	access next in order
--------	----------------------

 - unordered list
 - hash map
 - ?

Ordered List

- One solution: keep list in alphabetical order
- To simplify the explanations for the present: we'll treat the list as an array of strings, and assume it has sufficient capacity to add additional word/defs when needed

```
0 aardvark           // instance variable of the Ordered List class
1 apple             String[] words;    // list is stored in words[0..size-1]
2 banana            int size;          // # of words
3 cherry
4 kumquat
5 orange
6 pear
7 rutabaga
```

Sequential (Linear) Search

- Assuming the list is initialized in alphabetical order, we can use a *linear search* to locate a word

```
// return location of word in words, or -1 if found
int find(String word) {
    int k = 0;
    while (k < size && !word.equals(words[k])) {
        k++;
    }
    if (k < size) { return k; } else { return -1; } // lousy indenting to fit on slide
                                                    // don't do this at home
}
```
- Time for list of size n:

Can we do better?

- Yes! *If* array is sorted
- Binary search:
 - Examine middle element
 - Search either left or right half depending on whether desired word precedes or follows middle word alphabetically
- The list being sorted is a *precondition* of binary search.
 - The algorithm is not guaranteed to give the correct answer if the precondition is violated.

Binary Search

```
// Return location of word in words, or -1 if not found
int find(String word) {
    return bSearch(0, size-1);
}

// Return location of word in words[lo..hi] or -1 if not found
int bSearch(String word, int lo, int hi) {
    // return -1 if interval lo..hi is empty
    if (lo > hi) { return -1; }
    // search words[lo..hi]
    int mid = (lo + hi) / 2;
    int comp = word.compareTo(words[mid]);
    if (comp == 0) { return mid; }
    else if (comp < 0) { return _____; }
    else /* comp > 0 */ { return _____; }
}
```

"The Word Must Be Where?" Three Cases

```
int comp = word.compareTo(words[mid]);
if (comp == 0) {
    //the word must be where? _____
    return _____;
}
else if (comp < 0) {
    //the word must be where? _____
    return _____;
}
else { //comp > 0
    //the word must be where? _____
    return _____;
}
```

"Where?" Answered

```
int comp = word.compareTo(words[mid]);
if (comp == 0) {
    //the word must be where? at position "mid"
    return _____;
}
else if (comp < 0) {
    //the word must be where? in the lower half of the array
    return _____;
}
else { //comp > 0
    //the word must be where? in the upper half of the array
    return _____;
}
```

Return Values: Three Cases

```
int comp = word.compareTo(words[mid]);
if (comp == 0) {
    //the word must be where? at position "mid"
    return mid;
}
else if (comp < 0) {
    //the word must be where? in the lower half of the array
    return /*the result of searching the lower half of the array*/
    _____;
}
else { //comp > 0
    //the word must be where? in the upper half of the array
    return /*the result of searching the upper half of the array*/
    _____;
}
```

What is "The Lower Half"?

```
... else if (comp < 0) {
    //the word must be where? in the lower half of the array
    return /*the result of searching the lower half of the array*/
    _____;
}
...
```

Remember the method header was:

```
// Return location of word in words[lo..hi] or -1 if not found
int bSearch(String word, int lo, int hi) {
```

So the lower half starts at _____ and ends at _____
return /*the result of searching the lower half of the array*/ becomes
return /*the result of searching the array from _____ to _____*/

Comments Complete, Code Incomplete

```
int comp = word.compareTo(words[mid]);
if (comp == 0) {
    //the word must be where? at position "mid"
    return mid;
}
else if (comp < 0) {
    //the word must be where? in the lower half of the array
    return /*the result of searching from lo to mid-1*/
    _____;
}
else { //comp > 0
    //the word must be where? in the upper half of the array
    return /*the result of searching from mid+1 to hi*/
    _____;
}
```

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Last Piece of the Puzzle

```
...
return /*the result of searching from lo to mid-1*/
    _____;
}
```

How can we get the "result of searching from lo to mid-1"?

We have a method called bSearch that can search an array within a range of indexes.

```
// Return location of word in words[x.y] or -1 if not found
int bSearch(String word, int x, int y)
```

Let x be lo, let y be mid-1

```
bSearch(String word, int lo, int mid-1)
```

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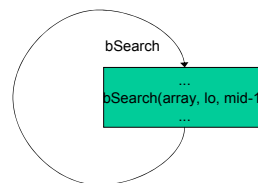
Recursion

- A method (function) that calls itself is *recursive*
- Nothing really new here
- Method call review:
 - Evaluate argument expressions
 - Allocate space for parameters and local variables of function being called
 - Initialize parameters with argument values
 - Then execute the function body
- What if the function being called is the same one that is doing the calling?
 - Answer: no difference at all!

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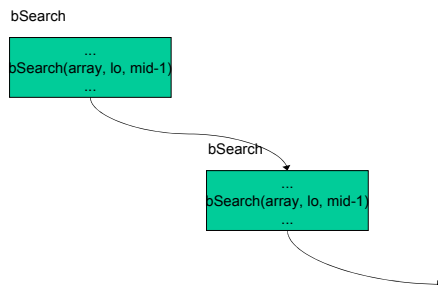
Wrong Way to Think About It



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Right Way to Think About It



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Trace

- Trace execution of find("orange")

```
0 aardvark
1 apple
2 banana
3 cherry
4 kumquat
5 orange
6 pear
7 rutabaga
```

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Trace

- Trace execution of *find*("kiwi")

```

0 aardvark
1 apple
2 banana
3 cherry
4 kumquat
5 orange
6 pear
7 rutabaga
    
```

Performance of Binary Search

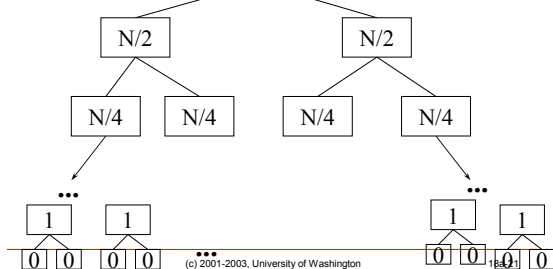
- Analysis

- Time (number of steps) per each recursive call:
- Number of recursive calls:
- Total time:
- A picture helps

Binary Search Sizes

All paths from the size N case to a size 0 case are the same length: $1 + \log_2 N$

Any given run of B.S. will follow only one path from the root to a leaf



Linear Search vs. Binary Search

- Compare to linear search

- Time to search 10, 100, 1000, 1,000,000 words

linear

- What is incremental cost if size of list is doubled?
- Why is Binary search faster?
 - The data structure is the same
 - The precondition on the data structure is different: stronger
 - Recursion itself is *not* an explanation
 - One could code linear search using recursion

More About Recursion

A recursive function needs three things to work properly

- One or more *base cases* that are not recursive
 - if ($lo > hi$) { return -1; }
 - if ($comp == 0$) { return mid; }
- One or more *recursive cases* that handle a *smaller* problem
 - else if ($comp < 0$) { return bsearch(word, lo, mid + 1, hi); }
 - else if ($comp > 0$) { return bsearch(word, mid + 1, hi); }
- The recursive cases must lead to "smaller" instances of the problem
 - "Smaller" means: closer to a base case
 - Without "smaller", what might happen?

Recursion vs. Iteration

- Recursion can completely replace iteration
- Some rewriting of the algorithm is necessary
 - usually minor
- Some languages have recursion only
- Recursion is often more elegant but less efficient
- Recursion is a natural for certain algorithms and data structures (where branching is required)
 - Useful in "divide and conquer" situations
- Iteration can completely replace recursion
- Some rewriting of the algorithm is necessary
 - often major
- A few (mostly older languages) have iteration only
- Iteration is not always elegant but is usually efficient
- Iteration is natural for linear (non-branching) algorithms and data structures

Recursion and Elegance

- Problem: reverse a linked list
- Constraints: no *last* pointer, no *numElems* count, no backward pointers, no additional data structures
- Non-recursive solution:
 - try it!

Result of Trying To Reverse a Linked List Iteratively

- Problem: reverse a linked list
- Constraints: no *last* pointer, no *numElems* count, no backward pointers, no additional data structures
- Non-recursive solution:
 - try it and weep



- Better hope this wasn't a question on your Microsoft job interview!

Recursive Solution: Simple, Elegant

- Problem: reverse a linked list
- Constraints: no *last* pointer, no *numElems* count, no backward pointers, no additional data structures

```
newList = reverse(oldList.first);
```

```
...
```

```
List reverse(Link firstLink) {  
    if (firstLink == null) { return new SimpleList(); }  
    return reverse(firstLink.next).add(firstLink.data);  
}
```

- Better hope this is a question on your Microsoft job interview!
- PS: Did we cheat??