## 科技论文写作文献检索 联邦学习中的通信优化

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Friday 12th June, 2020

抽签序号: 47

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③ 文献整理及阅读

### 联邦学习的定义

• 联邦学习的数学模型被 McMahan et al.[1] 定义为

$$\min_{w} F(w), \text{ where } F(w) := \sum_{k=1}^{m} p_k F_k(w)$$

通俗来说,就是多个客户端通过中心服务器的协调合作解决一个机器学习问题

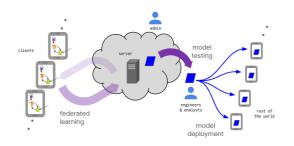


Figure: The lifecycle of an FL-trained model.[2]

#### 联邦学习的特点

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- 客户端数据保存在本地,不会上传给服务器
- 数据不满足 IID(独立同分布) 假设
- 客户端的处理能力,带宽是有限的,且不一定可靠

#### 联邦学习的分类

- 横向联邦学习
- 纵向联邦学习
- 联邦迁移学习

#### 横向联邦学习

适用于特征重叠多,但用户重叠少的情况,比如不同地区之间的银行、 医院,参与的设备数一般较少,且客户端基本可保持稳定

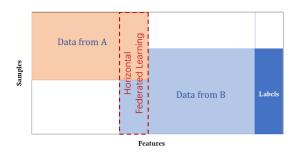


Figure: Horizontal Federated Learning[3]

#### 纵向联邦学习

适用于特征重叠少,但用户重叠多的情况,比如使用同一个 app 的用户,参与的设备数数量级庞大,客户端不可靠,不能保证稳定在线,且有可能存在攻击者

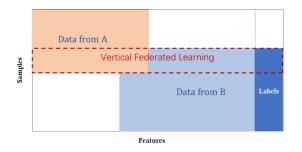


Figure: Vertical Federated Learning[3]

#### 联邦迁移学习

对于特征和用户重叠都较少的状况,比如不同领域的不同公司,需要利 用迁移学习来提升模型的效果

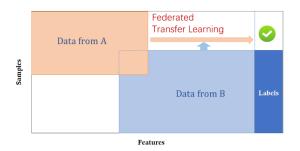


Figure: Federated Transfer Learning[3]

1 介绍

② 文献搜索

③ 文献整理及阅读

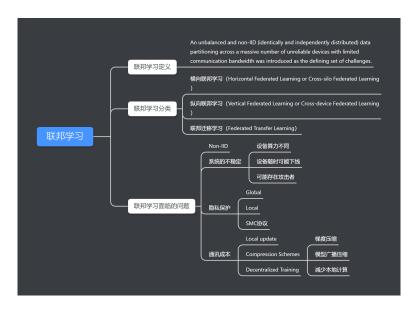
#### 寻找综述论文

federated learning review 找到约 66.100 条结果 (用时0.04秒) Federated learning: Challenges, methods, and future directions IPDF1 arxiv.org T.Li, AK Sahu, A Talwalkar, V. Smith - arXiv preprint arXiv:1908.07873, 2019 - arxiv.org decentralized training has been demonstrated to be faster than centralized training when operating on networks with low bandwidth or high latency; we defer readers to [47, 67] for a more comprehensive review. Similarly, in federated learning, decentralized algorithms can in ... ☆ 579 被引用次数: 95 相关文章 所有3个版本 88 Mitigating sybils in federated learning poisoning IPDF1 arxiv.org C Fung, CJM Yoon, I Beschastnikh - arXiv preprint arXiv:1808.04866, 2018 - arxiv.org Page 1, Mitigating Sybils in Federated Learning Poisoning ... Existing approaches, such as federated learning, collect the outputs computed by a group of devices at a central aggregator and run iterative algorithms to train a globally shared model ... ☆ 切 被引用次数: 39 相关文章 所有3个版本 >> Federated machine learning: Concept and applications [PDF] acm.org Q Yang, Y Liu, T Chen, Y Tong - ACM Transactions on Intelligent .... 2019 - dl.acm.org This requires security models and analysis to provide meaningful privacy guarantees. In this section, we briefly review and compare different privacy techniques for federated learning. We also identify approaches and potential chal- lenges for preventing indirect leakage ... ☆ 99 被引用次数: 216 相关文章 所有 7 个版本 Federated learning in mobile edge networks: A comprehensive survey IPDF1 arxiv.org WYB Lim, NC Luong, DT Hoang, Y Jiao ... - ... Surveys & Tutorials, 2020 - ieeexplore.ieee.org ... Recently, in light of increasingly stringent data privacy legislations and growing privacy concerns, the concept of Federated Learning (FL) has been introduced ... Then, we highlight the aforementioned challenges of FL implementation and review existing solutions ... DD 被引用次数: 33 相关文章 所有 2 个版本

#### 寻找综述论文

#### federated learning survey 找到约 49.500 条结果 (用时0.05秒) Federated learning in mobile edge networks: A comprehensive survey IPDF1 arxiv.org WYB Lim, NC Luong, DT Hoang, Y Jiao ... - ... Surveys & Tutorials, 2020 - ieeexplore.ieee.org In recent years, mobile devices are equipped with increasingly advanced sensing and computing capabilities. Coupled with advancements in Deep Learning (DL), this opens up countless possibilities for meaningful applications, eq. for medical purposes and in vehicular ... ☆ 99 被引用次数:33 相关文章 所有2个版本 Federated machine learning: Concept and applications IPDF1 acm.org Q Yang, Y Liu, T Chen, Y Tong - ACM Transactions on Intelligent ..., 2019 - dl.acm.org . We survey existing works on federated learning, and pro- pose definitions, categorizations, and applications for a comprehensive secure federated-learning framework. We discuss how the federated-learning framework can be applied to various busi- nesses successfully ... ☆ 599 被引用次数: 216 相关文章 所有7个版本 Federated learning: Challenges, methods, and future directions IPDF1 arxiv.org T Li, AK Sahu, A Talwalkar, V Smith - arXiv preprint arXiv:1908.07873, 2019 - arxiv.org In Section 3, we outline several promising directions of future research, 2 Survey of Related and Current Work The challenges in federated learning at first glance resemble classical problems in areas such as privacy, large-scale machine learning, and distributed optimization ... ☆ 50 被引用次数: 95 相关文章 所有3个版本 30 Threats to federated learning: A survey IPDF1 arxiv.org L Lyu, H Yu, Q Yang - arXiv preprint arXiv:2003.02133, 2020 - arxiv.org With the emergence of data silos and popular privacy awareness, the traditional centralized approach of training artificial intelligence (AI) models is facing strong challenges. Federated learning (FL) has recently emerged as a promising solution under this new reality. Existing ... ☆ 99 被引用次数: 3 所有2个版本 >>

#### 整理脉络



#### 细化领域

联邦学习中的通信优化方法主要有三类,核心思想在于减少通信的次数 或降低通信所耗的带宽

- Local update
- Compression Schemes
- Decentralized Training

#### 细化领域

#### 通过相关论文的 Related Work 再寻找细化领域的相关研究

# DEEP GRADIENT COMPRESSION: REDUCING THE COMMUNICATION BANDWIDTH FOR DISTRIBUTED TRAINING

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#### 细化领域

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#### 2 RELATED WORK

Researchers have proposed many approaches to overcome the communication bottleneck in distributed training. For instance, asynchronous SGD accelerates the training by removing gradient synchronization and updating parameters immediately once a node has completed back-propagation (Dean et al., 2012; Recht et al., 2011; Li et al., 2014). Gradient quantization and sparsification to reduce communication data size are also extensively studied.

Gradient Quantization

Quantizing the gradients to low-precision values can reduce the communication bandwidth. Seide et al. (2014) proposed 1-bit SGD to reduce gradients transfer data size and achieved 10× speedup in traditional speech applications. Alistarh et al. (2016) proposed another approach called QSGD which balance the trade-off between accuracy and gradient precision. Similar to QSGD, (Wen et al. (2017)) developed TernGrad which uses 3-level gradients. Both of these works demonstrate the convergence of quantized training, although TernGrad only examined CNNs and QSGD only examined the training loss of RNNs. There are also attempts to quantize the entire model, including gradients. DoReFa-Net (Zhou et al.), 2016) uses 1-bit weights with 2-bit gradients.

Gradient Sparsification Strom (2015) proposed threshold quantization to only send gradients larger than a predefined constant threshold. However, the threshold is hard to choose in practice. Therefore, Dryden et al. (2016) chose a fixed proportion of positive and negative gradient updates separately, and Aji & Heafield (2017) proposed Gradient Dropping to sparsify the gradients by a single threshold based on the absolute value. To keep the convergence speed, Gradient Dropping requires adding the layer normalization (Lei Ba et al., 2016). Gradient Dropping saves 99% of gradient exchange while incurring 0.3% loss of BLEU score on a machine translation task. Concurrently, Chen et al. (2017) proposed to automatically tunes the compression rate depending on local gradient activity, and gained compression ratio around 200× for fully-connected layers and 40× for convolutional layers with negligible degradation of top-1 accuracy on ImageNet dataset.

介绍

2 文献搜索

③ 文献整理及阅读

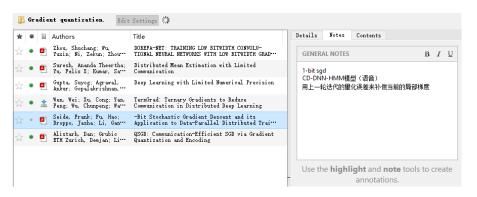
#### 文献整理

#### 按使用的方法整理各类文献,记下文献提出的算法,使用的模型

	Works	Idea
Gradient quantization	Wen et al.[4]	将网络的权重或梯度
	Seide et al.[5]	由 32 bits float 量化为
	Zhou et al.[6]	16bits 甚至 1bit
Gradient sparsification	Storm[7]	
	Dryden et al.[8]	   通过算法将部分梯度剔除
	Aji & Heafielf[9]	<b>远过并</b> 囚怀即乃你皮则你
	Chen et al.[10]	

#### 文献阅读

#### 使用 Mendeley 整理文献,生成 bib 文献库



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## Thank You!