

## Week 5 - A

### Recap

	LightPos	ViewerPos
<b>Ambient</b>	FALSE	FALSE
<b>Diffuse</b>	TRUE	FALSE
<b>Specular</b>	TRUE	TRUE

- **Ambient Light** is the light that enters a room that bounces multiple times around the room before lighting a particular object.
  - $I_{ambient} = I_a \rho_a$
- **Diffuse Light** represents direct light hitting a surface.
  - $I_d = \max(0, I_s \rho_d (\hat{\mathbf{s}} \cdot \hat{\mathbf{m}}))$
- **Specular Light** is the white highlight reflection seen on smooth, shiny objects.
  - $\mathbf{r} = -\mathbf{s} + 2(\hat{\mathbf{s}} \cdot \hat{\mathbf{m}})\hat{\mathbf{m}}$
  - $I_{sp} = \max(0, I_s \rho_{sp} (\hat{\mathbf{r}} \cdot \hat{\mathbf{v}})^f)$

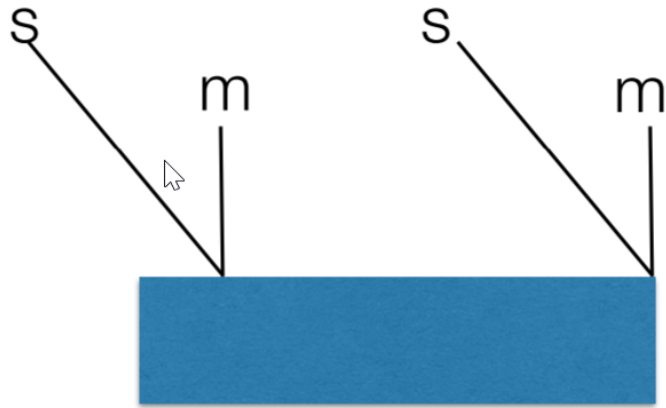
### Shading

The following concepts are important when it comes to the calculating of these three shading calculations, where flat shading and gouraud shading are calculated based on the vertex (i.e., gouraud shading is able to calculate the color of the fragment, but still based on vertices because it is interpolated by the vertex), while phong shading is based on the fragment.

- Three common alternatives:
  - Flat shading - Calculated for each face
  - Gouraud shading - Calculated for each vertex and interpolated for every fragment (the color of the fragment is approximated by the interpolation)
  - Phong shading - Calculated for every fragment

**Flat Shading** Simplest option is to shade the entire face the same color:

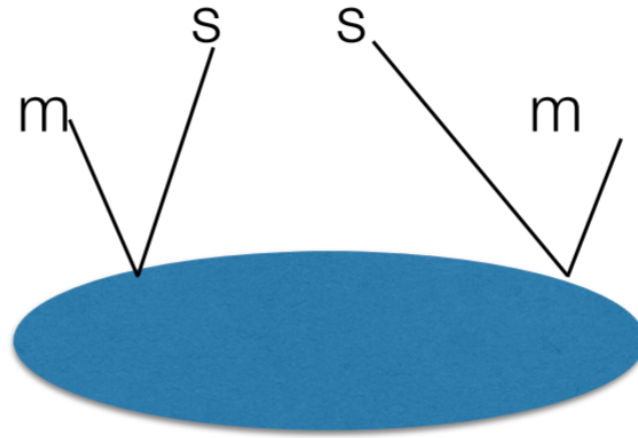
- Compute the intensity for some point on the face
- Set every pixel to that value
- Advantages:
  - Diffuse illumination
  - For flat surfaces with distant light sources
  - Non-realistic/retro rendering
  - It is the fastest shading option



constant  
diffuse illumination

flat surface =  
constant normal

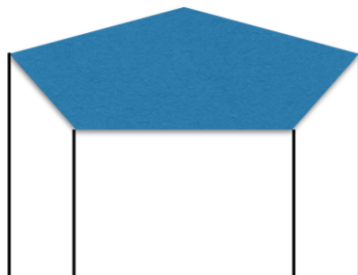
- Disadvantages:
  - Close light sources
  - Specular lighting
  - Curved surfaces



curved surface =  
varying normal

varying  
diffuse + specular illumination

**Gouraud shading** The lighting equations are calculated for each vertex in the using an associated vertex normal.

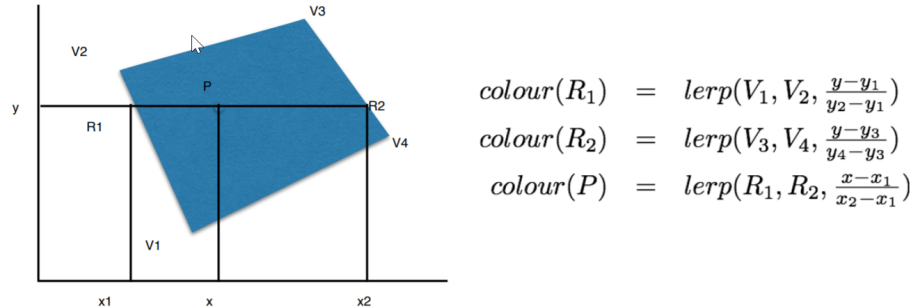


Illumination  
is calculated at  
each of these  
vertices.

The normal vector  $\mathbf{m}$  used to computer the lighting equation is accessed from a buffer the same size as the vertex buffer

```
gl.glBindBuffer(GL.GL_ARRAY_BUFFER, normalsName);
gl.glVertexAttribPointer(Shader.NORMAL,
    3, GL.GL_FLOAT, false, 0, 0);
```

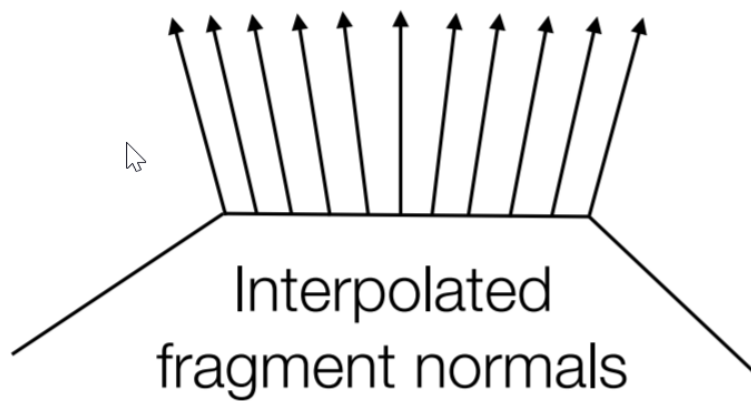
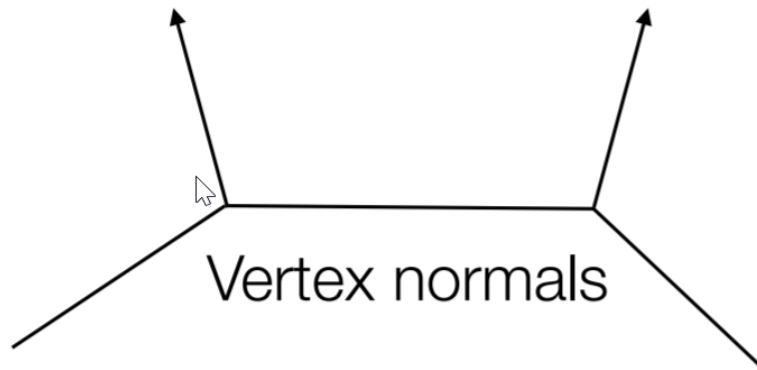
Gouraud shading is a simple smooth shading model. We calculate fragment colors by bilinear interpolation on neighboring vertices



- Advantages:
  - Better than flat shading for:
    - \* Curved surfaces
    - \* Close light sources
    - \* Diffuse shading
- Disadvantages:
  - More expensive than flat shading
  - Handles specular highlighting poorly
    - \* Works if the highlight occurs at a vertex
    - \* If the highlight would appear in the middle of a polygon it disappears
    - \* Highlights can appear to jump around from vertex to vertex with light/camera/object movement
- Implementation
  - Use a vertex shader to do the lighting calculation with the intensity as an output
  - Intensity values are interpolated inputs to the fragment shader
  - Modulate the intensity with the color

### Phong shading

- Designed to handle specular lighting better than Gouraud. It also handles diffuse better as well.
- Works by deferring the illumination calculation until the fragment shading step.
- Illumination values are calculated per fragment rather than per vertex
- Phong shading approximates  $m$  interpolating the normals of the polygon



This can be done using bilinear interpolation. However the interpolation normals will vary in length, so they need to be **normalized** before being used in the lighting equation!!!

- Advantages:
  - Handles specular lighting well
  - Improves diffuse shading
  - More physical accurate
- Disadvantages:
  - Slower than Gouraud as normals and illumination values have to be calculated per pixel rather than per vertex, restrained by the speed of computation for the old hardwares.

## Lighting Summary

	Where	Good for	Bad for
Flat	Face	Flat surfaces, a retro blocky look	Curved surfaces, specular highlights
Gouraud	Vertex	Curved surfaces, diffuse shading	Specular highlights
Phong	Fragment	Diffuse and specular shading	Old hardware

- To be improved
  - \* Two sided lighting
  - \* Multiple lights
  - \* Directional lights, spotlight etc
  - \* Attenuation

## Color

RGB (red, green and blue) components are implemented separately for:

- Light intensities  $I$   $I_a$   $I_s$
- Reflection coefficients  $\rho_a$   $\rho_d$   $\rho_{sp}$
- **The lighting equation is applied three times, each of which is for each color.**

**Colored light and surfaces** The multiplication of 2 vectors is done components-wise i.e.

$$(u_1, u_2, u_3)(v_1, v_2, v_3) = (u_1v_1, u_2v_2, u_3v_3)$$

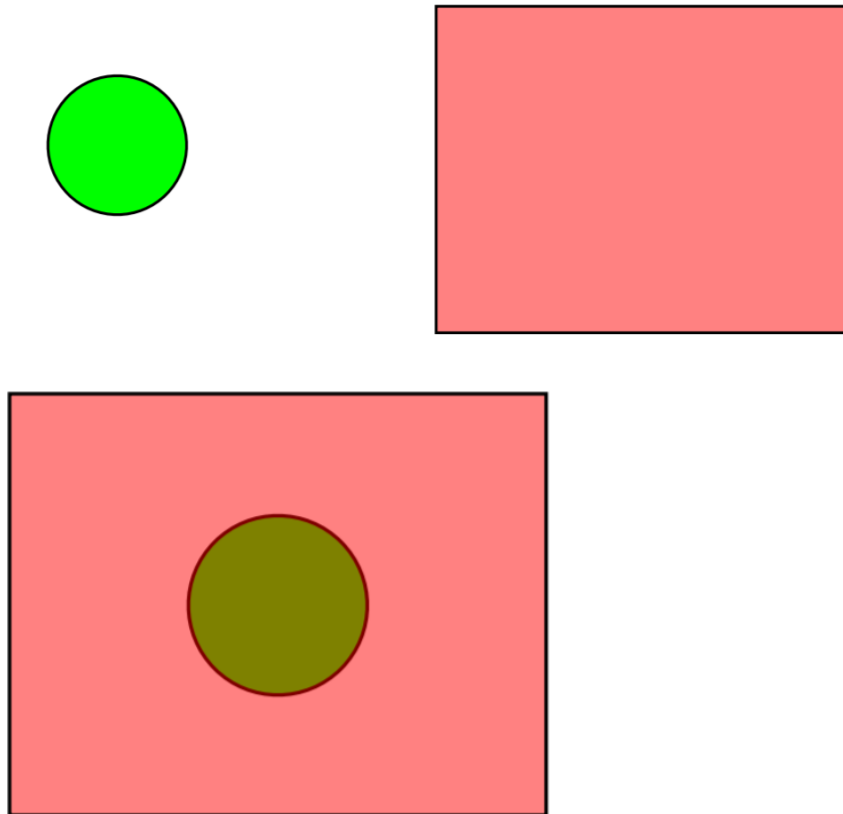
```
// Set the lighting property
Shader.setPoint3D(gl, "lightPos", new Point3D(0, 0, 5));
Shader.setColor(gl, "lightIntensity", Color.WHITE);
Shader.setColor(gl, "ambientIntensity", new Color(0.2f, 0.2f, 0.2f));

//Set the material properties
Shader.setColor(gl, "ambientCoeff", Color.WHITE);
// (R, G, B) - reflects most of the red diffuse light
// and the same amount of few green and blue diffuse light
Shader.setColor(gl, "diffuseCoeff", new Color(0.5f, 0.1f, 0.1f));
// reflected specular light would be composed by mostly
// green and blue
Shader.setColor(gl, "specularCoeff", new Color(0.1f, 0.8f, 0.8f));
Shader.setFloat(gl, "phongExp", 16f);
```

## Caution

Using too much light can result with color components becoming 1 (if they add up to more than 1, they are clamped), which can result in things changing color or turning white.

**Transparency** A transparent (or translucent) object lets some of the light through from the object behind it.



**The alpha channel** A color is specified by 3 components (RGB). To make things transparent we specify an alpha components as well.

- $\alpha = 1$  means the object is opaque
- $\alpha = 0$  means the object completely transparent (invisible)

**Alpha blending** Applying alpha to the new transparent object and  $(1-\alpha)$  to the background object, which also arise the problem that background object needs to be drawn first (i.e., BACK-TO-FRONT ordering).

When drawing one object over another, we can blend their colors according to the alpha value. One of the usual blending equations is linear interpolation:

The alpha channel controls the transparency or opacity of a color. Its value can be represented as a real value, a percentage or an integer: full transparency is 0, whereas full opacity is 1 or 255, respectively.

When a color (source) is blended with another color (background), the alpha value of the source color is used to determine the resulting color. If the alpha value is opaque, the source color overwrites the destination color; if transparent, the source color is invisible.

Alpha blending is the process of combining a translucent foreground color with a background color, thereby producing a new blended color. The degree of the foreground color's translucency may range from completely transparent to completely opaque.

$$\begin{pmatrix} r \\ g \\ b \end{pmatrix} \leftarrow \alpha \begin{pmatrix} r_{image} \\ g_{image} \\ b_{image} \end{pmatrix} + (1 - \alpha) \begin{pmatrix} r \\ g \\ b \end{pmatrix}$$

- Example: If the pixel on the screen is currently green, and we draw over it with a red pixel with alpha = 0.25

The fourth component is the alpha channel.

$$p = \begin{pmatrix} 0 \\ 1 \\ 0 \\ 1 \end{pmatrix} \quad p_{image} = \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0.25 \end{pmatrix}$$

- Then the result is a mix of red and green. However, it can be ignored after drawing, while the RGB value is critical.

$$p = 0.25 \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0.25 \end{pmatrix} + 0.75 \begin{pmatrix} 0 \\ 1 \\ 0 \\ 1 \end{pmatrix} = \begin{pmatrix} 0.25 \\ 0.75 \\ 0 \\ 0.8125 \end{pmatrix}$$

- OpenGL

```
// Alpha blending is disabled by default
gl.glEnable(GL2.GL_BLEND);

// other blend functions are also available
gl.glBlendFunc(
    // actual alpha during interpolation
    GL2.GL_SRC_ALPHA,
```



```

        // actual (1-alpha) during interpolation
        GL2.GL_ONE_MINUS_SRC_ALPHA
    );

```

- Problems

Alpha blending depends on the order that pixels are drawn. Transparent polygons need to be drawn after the polygons behind them. (Depth buffer and similar technique need to be applied, otherwise the object in the back would not be rendered at all) If you are using the depth buffer and you try to draw the transparent polygon before the objects behind it, the later objects will not be drawn.

- Solutions

Hence transparent polygons should be drawn in **back-to-front** order after your opaque ones. Other fudges are to draw your transparent polygons after the opaque ones in any order, but turning off the depth buffer writing for the transparent polygons. This will not result in correct blending, but may be ok. `gl.glDepthMask(false)`

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## Week 5 - B

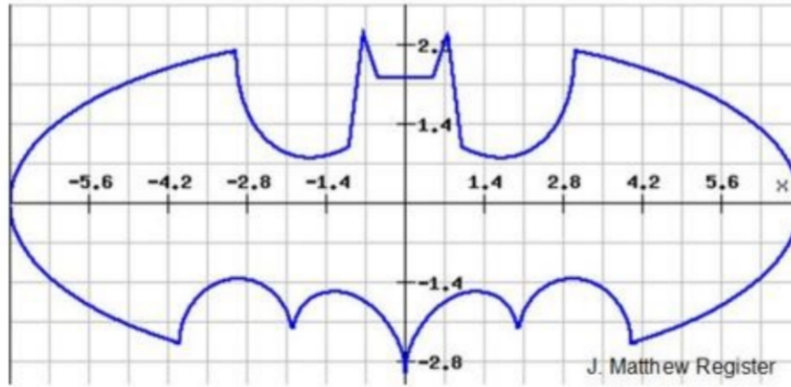
### Curves

The circle drew in the assignment one is essentially a polygon (32-sided polygon). Yet, we want a general purpose solution for drawing *curved lines and surfaces*.

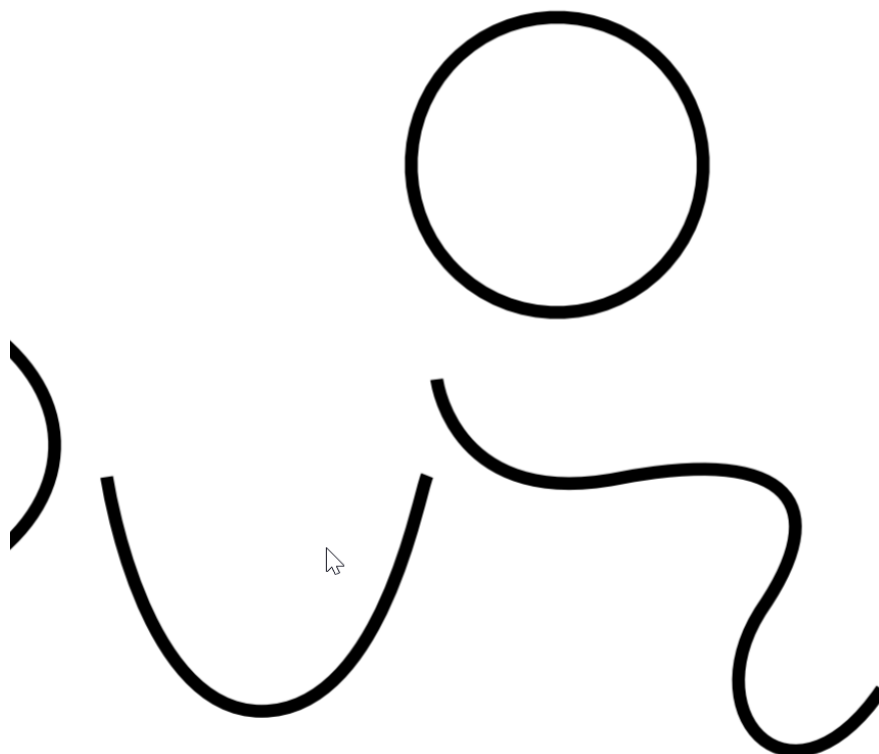
- Be easy and intuitive to draw curves

### Batman Equation

$$\left( \left( \frac{x}{7} \right)^2 \sqrt{\frac{||x|-3|}{|x|-3}} + \left( \frac{y}{3} \right)^2 \sqrt{\frac{|y+\frac{3\sqrt{33}}{7}|}{y+\frac{3\sqrt{33}}{7}}} - 1 \right) \cdot \left( \left( \frac{|x|}{2} - \left( \frac{3\sqrt{33}-7}{112} \right) x^2 - 3 + \sqrt{1 - (||x|-2|-1)^2} - y \right) \right. \\ \cdot \left( 9 \sqrt{\frac{|(|x|-1)(|x|-.75)|}{(1-|x|)(|x|-.75)}} - 8|x| - y \right) \cdot \left( 3|x| + .75 \sqrt{\frac{|(|x|-.75)(|x|-.5)|}{(.75-|x|)(|x|-.5)}} - y \right) \\ \cdot \left( 2.25 \sqrt{\frac{|(x-.5)(x+.5)|}{(.5-x)(.5+x)}} - y \right) \cdot \left( \frac{6\sqrt{10}}{7} + (1.5-.5|x|) \sqrt{\frac{||x|-1|}{|x|-1}} - \frac{6\sqrt{10}}{14} \sqrt{4 - (|x|-1)^2} - y \right) = 0$$



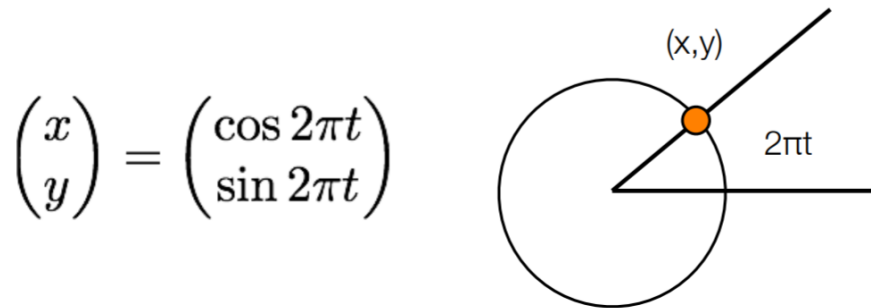
- General, supporting a wide variety of shape



- Be computationally cheap
  - Draw every frame (up to 60 times a second)

**Parametric curves** Curves can be expressed in the parametric form:

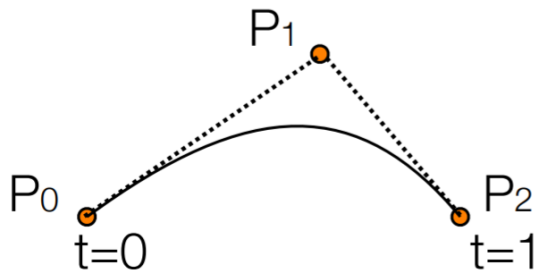
$$\begin{pmatrix} p_1 \\ p_2 \\ p_3 \end{pmatrix} = P(t), \text{ for } t \in [0, 1]$$



**Interpolation** One thing to be noted is that trigonometric operations like  $\sin()$  and  $\cos()$  are **expensive** to calculate. In addition, we would like a solution involving fewer floating point operations.

Beside linear interpolation and bilinear interpolation, there is also a quadratic interpolation, which

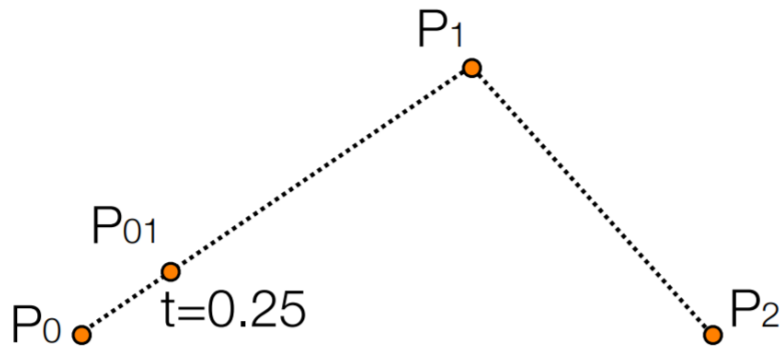
- Interpolates (passes through)  $P_0$  and  $P_2$
- Approximates (passes near)  $P_1$
- Tangents at  $P_0$  and  $P_2$  point to  $P_1$
- Curves are all parabolas



$$P(t) = (1 - t)^2 P_0 + 2t(1 - t) P_1 + t^2 P_2$$

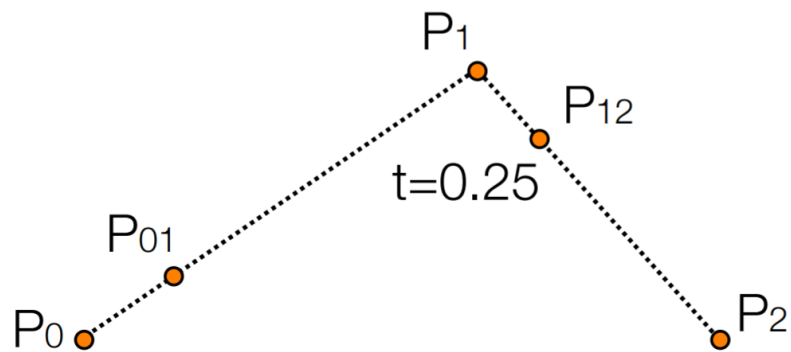
**de Casteljau Algorithm** The quadratic interpolation above can be computed as three linear interpolation steps:

1. Linear interpolation going through  $P_0$  and  $P_1$  as  $P_{01}$



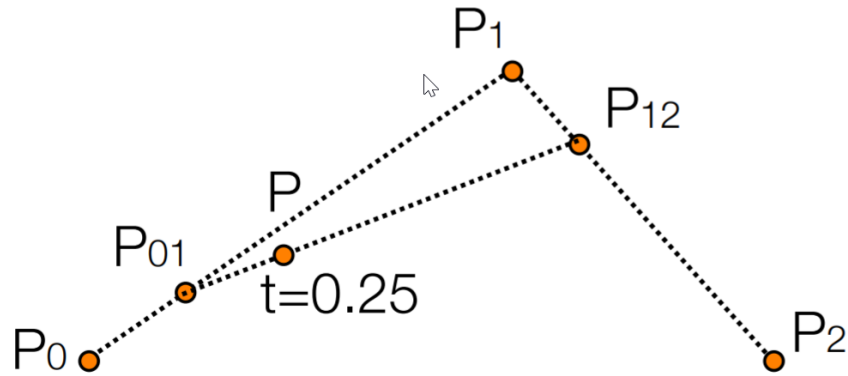
$$P_{01}(t) = (1 - t)P_0 + tP_1$$

2. Then linear interpolation going through  $P_1$  and  $P_2$  as  $P_{12}$



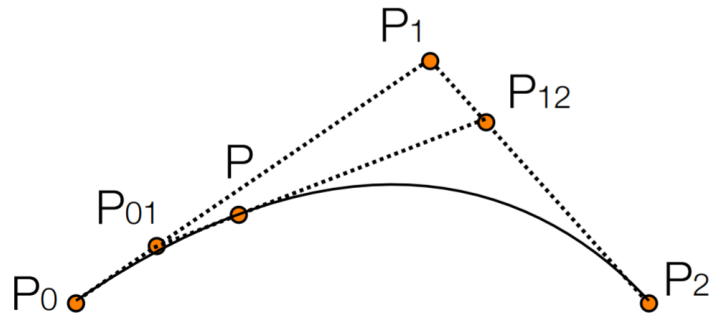
$$P_{12}(t) = (1 - t)P_1 + tP_2$$

3. Eventually, linear interpolation going through  $P_{01}$  and  $P_{12}$



$$P(t) = (1 - t)P_{01} + tP_{12}$$

3. And the equation for the Curve is defined accordingly



$$P(t) = (1 - t)P_{01} + tP_{12}$$

$$P(t) = (1 - t)^2 P_0 + 2t(1 - t)P_1 + t^2 P_2$$

There are many more degrees for curves, of degree 3, 4 and 5, but they are based on the same algorithm. However, there are certain curves that **de Casteljau Algorithm** cannot express, including logarithmic.

More in detail

Brief proof on that **de Casteljau's algorithm** is equivalent to the **quadratic interpolation formula**

$$P_{012} = (1 - t)P_{01} + tP_{12}P_{01} = (1 - t)P_0 + tP_1P_{12} = (1 - t)P_1 + tP_2$$

Substitute in, we get

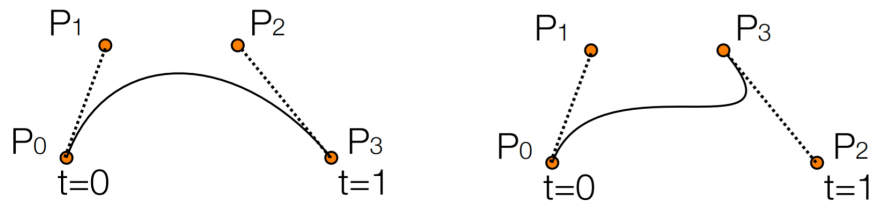
$$P_{012} = (1-t)^2 P_0 + 2t(1-t)P_1 + t^2 P_2$$

### Cubic interpolation

- Interpolates (passes through)  $P_0$  and  $P_3$
- Approximates (passes near)  $P_1$  and  $P_2$
- Tangents at  $P_0$  to  $P_1$  and  $P_3$  to  $P_2$
- A variety of curves

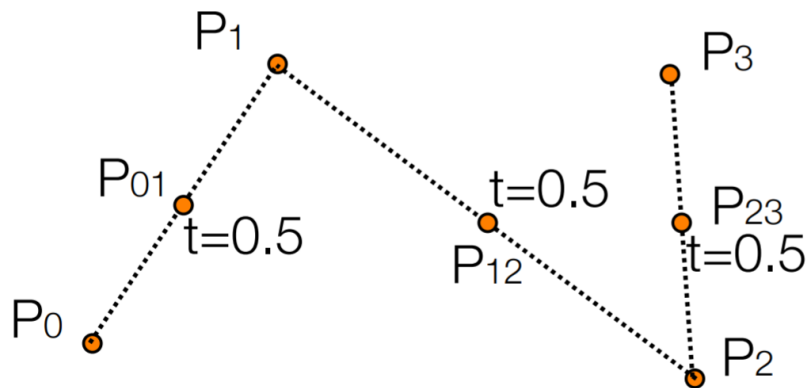
Compared with the quadratic interpolation

$$P(t) = (1-t)^3 P_0 + 3t(1-t)^2 P_1 + 3t^2(1-t) P_2 + t^3 P_3$$

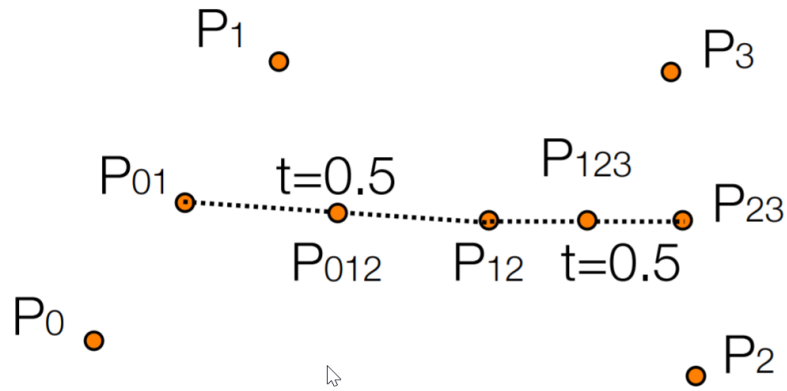


containing several steps:

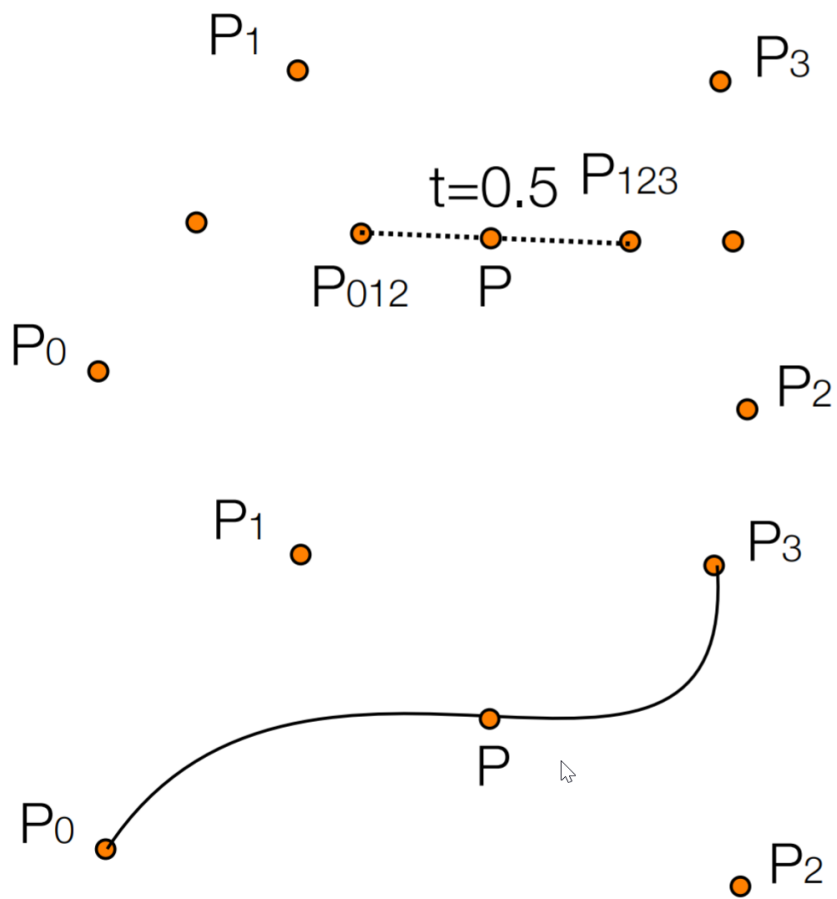
- Linear interpolation between  $P_0$  and  $P_1$  as  $P_{01}$ , between  $P_1$  and  $P_2$  as  $P_{12}$  and between  $P_2$  and  $P_3$  as  $P_{23}$



- Linear interpolation between  $P_{01}$  and  $P_{12}$  as  $P_{012}$  and between  $P_{12}$  and  $P_{23}$  as  $P_{123}$



- And finally, linear interpolation between  $P_{012}$  and  $P_{123}$  as  $P$





**Degrees and Order** Order is how many control points for the current equation, while Degree is the maximum power of the current equation.

- Linear interpolation: Degree one curve (m=1), Second order (2 control points)
- Quadratic interpolation: degree two curve (m=2), third order (3 control points)
- Cubic interpolation: degree three curves (m=3), fourth order (4 control points)
- Quartic interpolation: degree fourth curve (m=4), fifth order (5 control points)

## Bezier curves

This family of curves are known as Bezier curves of general form:

$$P(t) = \sum_{k=0}^m B_k^m(t) P_k$$

where  $m$  is the degree of the curve and  $P_0 \cdots P_m$  are the control points

**Bernstein polynomials** The coefficient function  $B_k^m(t)$  are called *Bernstein polynomials* of general form:

$$B_k^m(t) = \binom{m}{k} t^k (1-t)^{m-k}$$

$\binom{m}{k} = \frac{m!}{k!(m-k)!}$  is the binomial function

- For the most common case,  $m = 3$ :

$$B_0^3(t) = (1-t)^3 B_1^3(t) = 3t(1-t)^2 B_2^3(t) = 3t^2(1-t) B_3^3(t) = t^3$$

- Equation for **Bezier curve** of degree 3 order 4 is (combining control points and Bernstein polynomials):

$$(1-t)^3 P_0 + 3t(1-t)^2 P_1 + 3t^2(1-t) P_2 + t^3 P_3$$

### Properties

- Bezier curves interpolate their endpoints and approximate all intermediate points
- Bezier curves are convex combinations of points:

$$\sum_{k=0}^m B_k^m(t) = 1$$

- Therefore they are invariant under affine transformation. The transformation of a Bezier curve is the curve based on the transformed control points.

**Tangents** The tangents vector to the curve at parameter t is given by

$$\begin{aligned}\frac{dP(t)}{dt} &= \sum_{k=0}^m \frac{dB_k^m(t)}{dt} P^k \\ &= m \sum_{k=0}^{m-1} B_k^{m-1}(t) (P_{k+1} - P_k)\end{aligned}$$

This is a Bezier curve of degree (m-1) on the vectors between control points.

**And of course**, we can use the primitive way of taking the derivative of Bezier Curve equation.

Take Bezier Curve of degree 2 (m=2) as example.

$$\begin{aligned}\frac{d(B_0^2(t)P_0 + B_1^2(t)P_1 + B_2^2(t)P_2)}{dt} \\ &= \frac{d((1-t)^2P_0 + 2t(1-t)P_1 + t^2P_2)}{dt} \\ &= \frac{-2(1-t)P_0 + [2(1-t) + -2t]P_1 + 2tP_2}{dt}\end{aligned}$$

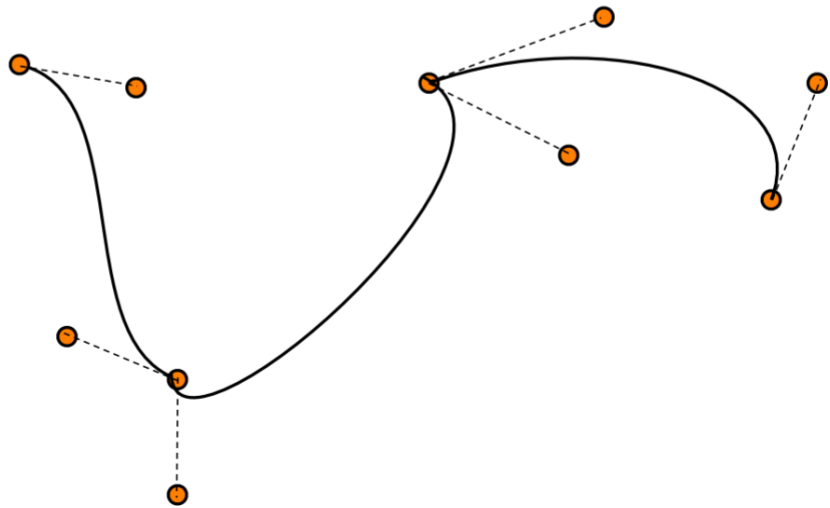
### Problems

1. High degree polynomials are expensive to compute and are vulnerable to numerical rounding errors
2. Suffering from non-local control - moving one control point affects the entire curve

## Splines

A **spline** is a smooth piecewise-polynomial function (for some measurement of smoothness). The places where the polynomials join are called **knots**. A joined sequence of **Bezier curves** is an example of a spline.

A spline provides local control, A control point only affects the curve within a limited neighbourhood



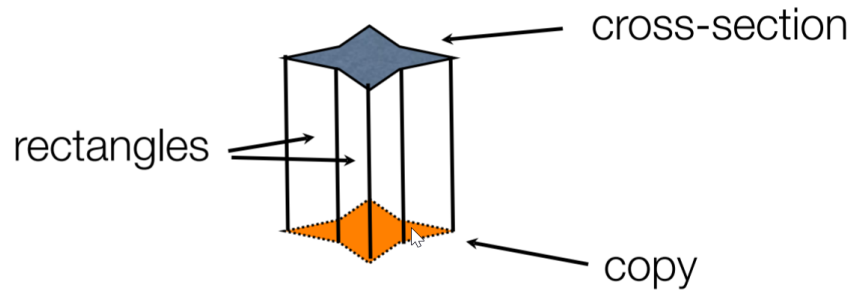
### Bezier splines

- Bezier splines can represent a large variety of different shapes

## 3D Modeling

To generate meshes dynamically and not just load them from files with a bunch of cubes.

**Extruding shapes** Extruded shapes are created by sweeping a 2D polygon along a line or curve.



### Implementation

```
// We want the sides to be smooth, so make sure the vertices are shared.
List sides = new ArrayList<>();
List sideIndices = new ArrayList<>();
for (int i = 0; i < NUM_SLICES; i++) {
    //The corners of the quad we will draw as triangles
    Point3D f = frontCircle.get(i);
    Point3D b = backCircle.get(i);

    sides.add(f);
    sides.add(b);

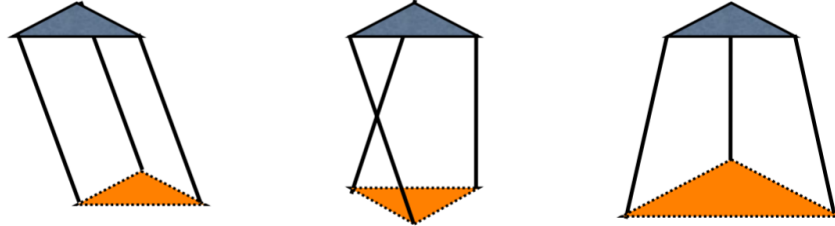
    //Indices
    int j = (i + 1) % NUM_SLICES;
    sideIndices.add(2*i);
    sideIndices.add(2*i + 1);
    sideIndices.add(2*j + 1);

    sideIndices.add(2*i);
    sideIndices.add(2*j + 1);
    sideIndices.add(2*j);
}

TriangleMesh sidesMesh = new TriangleMesh(sides, sideIndices, true);

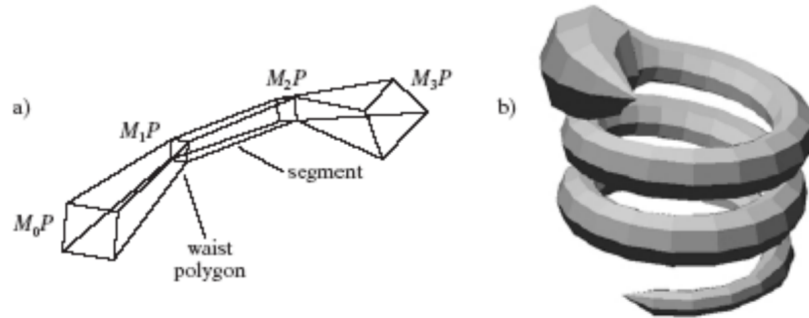
front.init(gl);
back.init(gl);
sidesMesh.init(gl);
meshes.add(front);
meshes.add(back);
meshes.add(sidesMesh);
```

**Variations** One end of the prism can be translated, rotated or scaled from the other



### Segmented Extrusions

- Example: A polygon extruded multiple times, in different directions with different tapers and twists. The first segment has end polygons  $M_0P$  and  $M_1P$ , where the initial matrix  $M_0$  positions and orients the starting end of the extrusions. The second segment has end polygons  $M_1P$  and  $M_2P$  and etc.



- Generaly idea
  - we can extrude a polygon along a path by specifying it as a series of transformations.

$$poly = P_0, P_1, \dots, P_k$$

$$path = \mathbf{M}_0, \mathbf{M}_1, \dots, \mathbf{M}_n$$

- At each point in the path we calculate a cross section

$$poly_i = \mathbf{M}_i P_0, \mathbf{M}_i P_1, \dots, \mathbf{M}_i P_k$$

- Sample points along the spine using different values of t

- For each  $t$ :
  - \* generate the current point on the spine
  - \* generate a transformation matrix
  - \* multiple each point on the cross section by the matrix
  - \* join these points to the next set of points using quads/triangles
- Another example:
  - we can extrude a circle cross section around a helix spine
  - helix  $C(t) = (\cos(t), \sin(t), bt)$



## Frenet Frame

Although  $\mathbf{i}$ -axis is calculated based on the normalized  $\mathbf{k}$  vector, it does not guarantee that the  $\mathbf{i}$ -axis is also normalized. Hence, double check whether  $\mathbf{i}$ -axis needs to be normalized when it comes to the calculation about it.

We align the  $k$  axis with the (normalized) tangent, and choose values of  $\mathbf{i}$  and  $\mathbf{j}$  to be perpendicular.

$$\phi = C(t)\mathbf{k} = \hat{C}'(t)\mathbf{i} = \begin{pmatrix} -k_2 \\ k_1 \\ 0 \end{pmatrix} \mathbf{j} = \mathbf{k} \times \mathbf{i}$$

**Frenet Frame Calculation** Finding the tangent ( $\mathbf{k}$  vector):

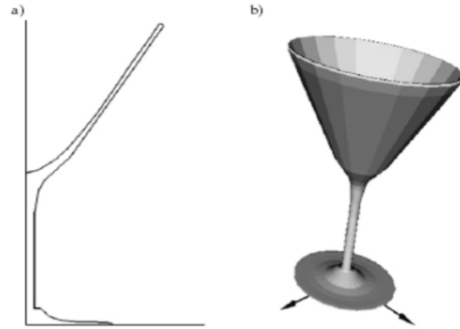
1. Using maths to find the derivatives
2. Or just approximate the tangent

$$T(t) = \text{normalize}(C(t+1) - C(t-1))$$

Moving along the frenet frame is simply multiply each point by the frenet frame.

## Revolution

A surface with radial symmetry (i.e., a round object, like a ring, a vase, a glass) can be made by sweeping a half cross-section around and axis.



Given a 2D curve:  $C(t) = (X(t), Y(t))$

We can then revolve it by adding an extra parameter

$$P(t, \theta) = (X(t)\cos(\theta), Y(t), X(t)\sin(\theta))$$

## L-Systems (Lindenmayer System)

**It is a method for producing fractal structures.**

They were initially developed as a tool for modelling plant growth.

### Symbols and Rules

- Symbols:
  - A, B, +, -
- Rules:
  - A  $\rightarrow$  B - A - B
  - B  $\rightarrow$  A + B + A

**Iteration** We start with a given string of symbols and then iterate, replacing each on the left of a rewrite rule with the string on the right.

A  
 B - A - B  
 A + B + A - B - A - B - A + B + A  
 B - A - B + A + B + A + B - A - B - ...

**Drawing** Each string has a graphical interpretation, usually using turtle graphics commands:

- A = draw forward 1 step
- B = draw forward 1 step
- + = turn left 60 degrees
- - = turn right 60 degrees

**Parameters** We can add **parameters** to our rewrite rules to handle variables like scaling:

- $A(s) \rightarrow B(s/2) - A(s/2) - B(s/2)$
- $B(s) \rightarrow A(s/2) + B(s/2) + A(s/2)$
- $A(s)$ : draw forwards s units
- $B(s)$ : draw forwards s units

**Push and Pop** We can also use a **LIFO stack** to save and restore global state like position and heading:

- $A \rightarrow B [ + A ] - A$
- $B \rightarrow B B$
- A: forward 10
- B: forward 10
- +: rotate 45 left
- -: rotate 45 right
- [: push
- ]: pop

**Stochastic** Add multiple productions with **weights** to allow random selection:

- (0.5)  $A \rightarrow B [ A ] A$
- (0.5)  $A \rightarrow A B \rightarrow B B$

### 3D L-Systems

We can build **3D L-Systems** by allowing symbols to translate to models and transformations of the coordinate frame.



- C: draw cylinder mesh
- F: translate(0,0,10)
- X: rotate(10,1,0,0)
- Y: rotate(10,0,1,0)
- S: scale(0.5,0.5,0.5)

## Exercise

1. The above shading algorithms ignore some of the special lighting effects including,
  - Shadow
  - Refraction for the transparent materials
  - Scattering
  - Lights that does not directly come from the light source but bounced off other objects
  - Source of the light that actually has a size or a shape
  - Ripple effects
2. To render a polished wooden bowl, how would you generate that mesh? What method would you use to texture it? What would its material properties be for lighting?

A mesh for the bowl could fairly easily be extruded by taking a vertical cross section through the middle of the bowl and rotating it around the **Y-axis** (creating a surface of revolution). To create the effect of the bowl having been carved out of a solid piece of wood, it would be more appropriate to use a 3D texture of woodgrain rather than try to wrap a 2D texture around this surface.

The bowl shows both specular and diffuse illumination (notice the specular highlights on the rim and inside the bowl). The specular highlights are broad, suggesting a low shininess value would be appropriate. Phong shading would probably be appropriate to ensure that the surface appears curved and highlights are rendered well. A normal map could be added to give the surface some roughness so that it doesn't look too glossy.