



# The Greenhouse Gas Climate Change Initiative (GHG-CCI): Comparison and quality assessment of near-surface-sensitive satellite-derived CO<sub>2</sub> and CH<sub>4</sub> global data sets



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## ABSTRACT

The GHG-CCI project is one of several projects of the European Space Agency's (ESA) Climate Change Initiative (CCI). The goal of the CCI is to generate and deliver data sets of various satellite-derived Essential Climate Variables (ECVs) in line with GCOS (Global Climate Observing System) requirements. The "ECV Greenhouse Gases" (ECV GHG) is the global distribution of important climate relevant gases – atmospheric CO<sub>2</sub> and CH<sub>4</sub> – with a quality sufficient to obtain information on regional CO<sub>2</sub> and CH<sub>4</sub> sources and sinks. Two satellite instruments deliver the main input data for GHG-CCI: SCIAMACHY/ENVISAT and TANSO-FTS/GOSAT. The first order priority goal of GHG-CCI is the further development of retrieval algorithms for near-surface-sensitive column-averaged dry air mole fractions of CO<sub>2</sub> and CH<sub>4</sub>, denoted XCO<sub>2</sub> and XCH<sub>4</sub>, to meet the demanding user requirements. GHG-CCI focuses on four core data products: XCO<sub>2</sub> from SCIAMACHY and TANSO and XCH<sub>4</sub> from the same two sensors. For each of the four core data products at least two candidate retrieval algorithms have been independently further developed and the corresponding data products have been quality-assessed and inter-compared. This activity is referred to as "Round Robin" (RR) activity within the CCI. The main goal of the RR was to identify for each of the four core products which algorithms should be used to generate the Climate

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Research Data Package (CRDP). The CRDP will essentially be the first version of the ECV GHG. This manuscript gives an overview of the GHG-CCI RR and related activities. This comprises the establishment of the user requirements, the improvement of the candidate retrieval algorithms and comparisons with ground-based observations and models. The manuscript summarizes the final RR algorithm selection decision and its justification. Comparison with ground-based Total Carbon Column Observing Network (TCCON) data indicates that the “breakthrough” single measurement precision requirement has been met for SCIAMACHY and TANSO  $\text{XCO}_2$  (<3 ppm) and TANSO  $\text{XCH}_4$  (<17 ppb). The achieved relative accuracy for  $\text{XCH}_4$  is 3–15 ppb for SCIAMACHY and 2–8 ppb for TANSO depending on algorithm and time period. Meeting the 0.5 ppm systematic error requirement for  $\text{XCO}_2$  remains a challenge: approximately 1 ppm has been achieved at the validation sites but also larger differences have been found in regions remote from TCCON. More research is needed to identify the causes for the observed differences. In this context GHG-CCI suggests taking advantage of the ensemble of existing data products, for example, via the EnseMble Median Algorithm (EMMA).

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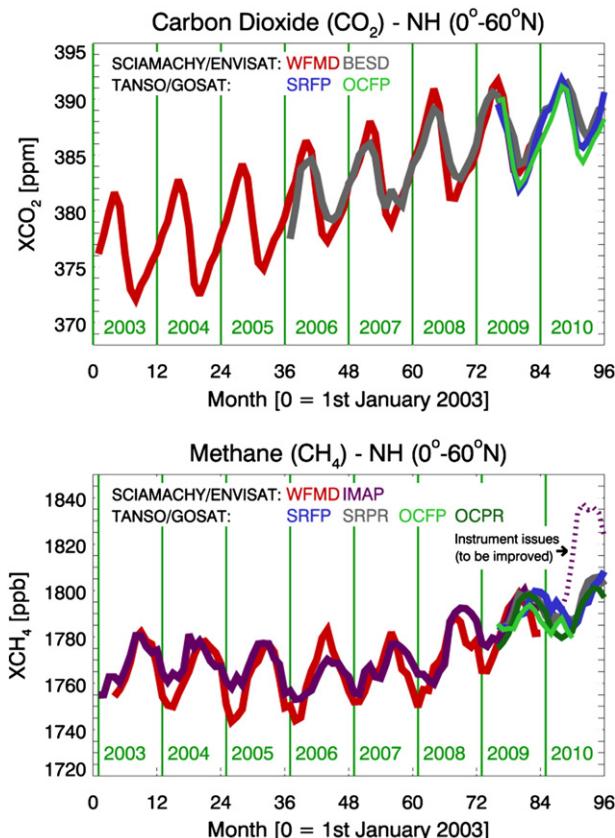
## 1. Introduction

Carbon dioxide ( $\text{CO}_2$ ) is the most important anthropogenic greenhouse gas (GHG) contributing to global warming (Solomon et al., 2007). Despite its importance, our knowledge of the  $\text{CO}_2$  sources and sinks has significant gaps (e.g., Canadell et al., 2010; Stephens et al., 2007) and despite efforts to reduce  $\text{CO}_2$  emissions, atmospheric  $\text{CO}_2$  continues to increase at a rate of approximately 2 ppm/year (Fig. 1 top panel; see also Schneising et al., 2011, and references given therein; for a detailed discussion of Fig. 1 see Section 4). An improved understanding of the  $\text{CO}_2$  sources and sinks is needed for reliable prediction

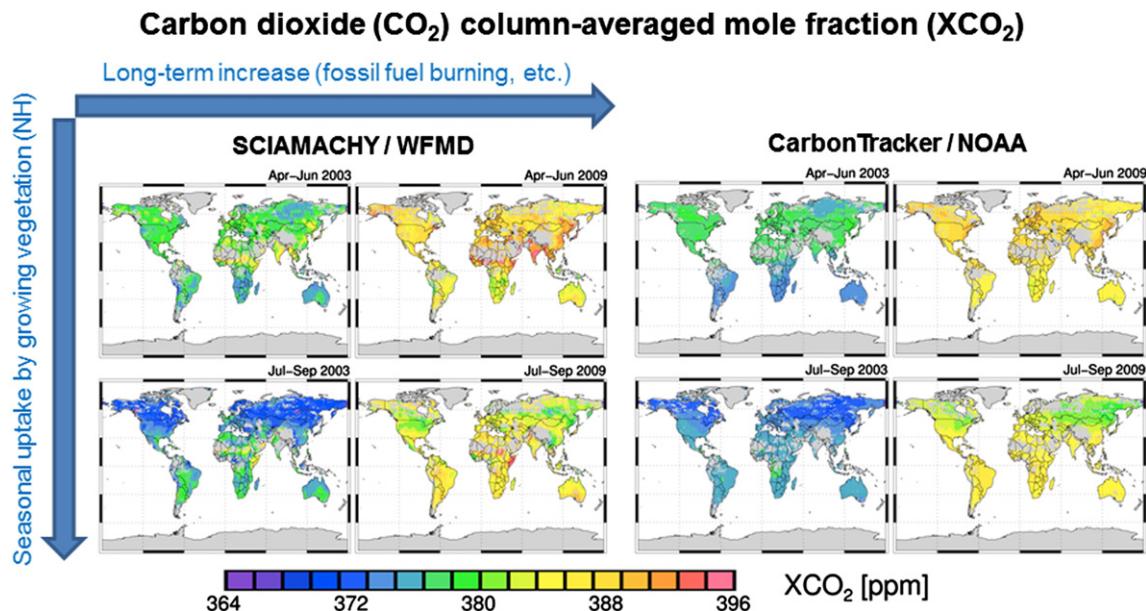
of the future climate of our planet (Solomon et al., 2007). This is also true for methane ( $\text{CH}_4$ , Fig. 1 bottom panel). Atmospheric methane levels increased until about the year 2000, were rather stable during ~2000–2006, but started to increase again in recent years (Dlugokencky et al., 2009; Frankenberg et al., 2011; Rigby et al., 2008; Schneising et al., 2011). Unfortunately, it is not well understood why methane was stable in the years before 2007 (e.g., Simpson et al., 2012) nor why it started to increase again at a rate of approximately 7–8 ppb/year (Schneising et al., 2011).

Global satellite observations sensitive to near-surface  $\text{CO}_2$  and  $\text{CH}_4$  variations can contribute to a better understanding of the regional sources and sinks of these important greenhouse gases. Information on GHG surface fluxes (emissions and uptake) can be obtained by inverse modeling of surface fluxes (e.g., Bergamaschi et al., 2009; Chevallier, Bréon, & Rayner, 2007), where satellite observations are compared with predictions of a (chemistry) transport model (e.g., Fig. 2) and satellite minus model mismatches are minimized by modifying the surface fluxes used by the model. This requires satellite retrievals to meet challenging requirements, as small errors of the satellite-retrieved atmospheric GHG distributions may result in large errors of the inferred GHG surface fluxes (e.g., Chevallier, Engelen, & Peylin, 2005; Meirink, Eskes, & Goede, 2006). Instead of direct optimization of surface fluxes it is also possible to optimize (other) model parameters used to model the fluxes, as done in Carbon Cycle Data Assimilation Systems (CCDAS) (e.g., Kaminski, Scholze, & Houteweling, 2010; Kaminski et al., 2012) or other approaches (e.g., Bloom, Palmer, Fraser, Reay, & Frankenberg, 2010).

The goal of the GHG-CCI project is to generate the Essential Climate Variable (ECV) Greenhouse Gases (GHG) as defined by GCOS (Global Climate Observing System): “Distribution of greenhouse gases, such as  $\text{CO}_2$  and  $\text{CH}_4$ , of sufficient quality to estimate regional sources and sinks” (GCOS, 2006). In order to get information on regional GHG sources and sinks, satellite measurements must be sensitive to near-surface GHG concentration variations. Currently only two satellite instruments deliver (or have delivered until recently) measurements which fulfill this requirement: SCIAMACHY on ENVISAT (March 2002–April 2012) (Bovensmann et al., 1999) and TANSO-FTS on-board GOSAT (launched in January 2009) (Kuze, Suto, Nakajima, & Hamazaki, 2009). Both instruments perform (or have performed) nadir observations of reflected solar radiation in the near-infrared/short-wave-infrared (NIR/SWIR) spectral region, covering the relevant absorption bands of  $\text{CO}_2$  and  $\text{CH}_4$ . They also cover the  $\text{O}_2$  A-band spectral region to obtain “dry-air columns” needed for computing GHG dry-air column averaged mole fractions and/or to obtain information on clouds and aerosols. These two instruments are therefore the two core sensors used by GHG-CCI and the near-surface-sensitive column-averaged dry air mole fractions of atmospheric  $\text{CO}_2$  and  $\text{CH}_4$ , denoted  $\text{XCO}_2$  (in ppm) and  $\text{XCH}_4$  (in ppb), are the core data products of GHG CCI. In addition, other sensors or viewing modes are also used (e.g., MIPAS/ENVISAT and SCIAMACHY solar occultation mode for stratospheric  $\text{CH}_4$  profiles and IASI/METOP for mid/upper tropospheric  $\text{CO}_2$  and  $\text{CH}_4$  columns) as they provide



**Fig. 1.** Top: Northern hemispheric monthly mean  $\text{XCO}_2$  time series retrieved from SCIAMACHY/ENVISAT (algorithms: WFMD and BESD) and TANSO/GOSAT (algorithms: SRFP and OCFP) satellite data. Shown are monthly mean values for the  $0^{\circ}$ – $60^{\circ}\text{N}$  latitude range. Clearly visible is the  $\text{CO}_2$  increase primarily caused by the burning of fossil fuels and the seasonal cycle primarily caused by uptake and release of  $\text{CO}_2$  by the terrestrial biosphere. Bottom: As top panel but for  $\text{XCH}_4$  (algorithms: SCIAMACHY: WFMD and IMAP, TANSO: SRFP, SRPR, OCFP, OCPR). The seasonal cycle of methane is primarily due to wetland emissions, which are largest in summer/early autumn, when soils are warm and humid. Also clearly visible is the not yet well understood recent methane increase.



**Fig. 2.** Global XCO<sub>2</sub> maps from SCIAMACHY (left) and CarbonTracker (right) for two seasons (April–June, top, and July–September, bottom) and two years (2003 and 2009). The CarbonTracker model data have been sampled according to the SCIAMACHY measurements and the SCIAMACHY averaging kernels have been applied to CarbonTracker. Figure adapted from Heymann, Bovensmann, et al. (2012).

additional constraints for atmospheric layers above the planetary boundary layer. The focus of the first two years of the GHG-CCI project (September 2010–August 2012) was to develop existing retrieval algorithms further, in order to improve the accuracy of the retrieved GHG data products.

The focus of GHG-CCI lies on ECV Core Algorithms (ECAs) and their core data products XCO<sub>2</sub> and XCH<sub>4</sub>, which is also the focus of this manuscript. Other algorithms, referred to as Additional Constraints Algorithms (ACAs), are algorithms to retrieve CO<sub>2</sub> and/or CH<sub>4</sub> information from satellite data which have no or only little near surface sensitivity but are sensitive to GHG variations in upper layers (the ACAs are listed in Table 3 and further discussed in Section 6).

Several existing candidate ECAs were selected at the outset of the project for ongoing development, and have been iteratively improved upon through the course of the algorithm inter-comparison and validation activity. This activity is referred to as “Round Robin” (RR) exercise within the CCI.

The goal of the RR was to determine which ECA performs best to generate a given GHG-CCI core data product. The selected ECAs will be used in the third year of this project to generate the Climate Research Data Package (CRDP), which will essentially be the first version of the ECV GHG. The description of the RR approach and its results is the

focus of this manuscript. Note that previous publications focused on individual algorithms and their data product. Only recently have results obtained using different algorithms been compared, most notably by Oshchepkov et al. (2012), for TANSO/GOSAT XCO<sub>2</sub>. This manuscript is therefore one of the first focusing on inter-comparisons.

This manuscript is structured as follows: Section 2 presents an overview of the GHG-CCI project followed by a description of the user requirements in Section 3. In Section 4 the retrieval algorithms are briefly described. The main part of this manuscript is Section 5 where the RR approach and its main results are presented and discussed. Section 6 provides a short overview of the Additional Constraints Algorithms (ACAs) also used within GHG-CCI but not the focus of this manuscript. Section 7 gives a short overview of the Climate Research Data Package (CRDP) to be generated using the selected algorithms. A summary and conclusions are given in Section 8.

## 2. GHG-CCI project overview

The GHG-CCI project covers all aspects needed to generate the ECV GHG and to assess its quality and usefulness. This includes the use of appropriate satellite instruments (primarily SCIAMACHY/ENVISAT and TANSO/GOSAT to generate global XCO<sub>2</sub> and XCH<sub>4</sub> time series),

**Table 1**  
GHG-CCI XCO<sub>2</sub> and XCH<sub>4</sub> random and systematic uncertainty requirements for measurements over land. Abbreviations: G = Goal requirement (the maximum that needs to be achieved; better performance likely not needed as other errors (e.g., modeling errors) will dominate), B = Breakthrough requirement (“good” performance somewhere between G and T), T = Threshold requirement (the minimum that needs to be achieved for the specified application, here: global regional-scale surface flux inverse modeling). See also main text for a detailed explanation. From GHG-CCI User Requirements Document (URD, Buchwitz, Chevallier, et al., 2011).

Parameter	Requirement type	Random error		Systematic error	Stability
		Single observation	1000 <sup>2</sup> km <sup>2</sup> , monthly		
XCO <sub>2</sub>	G	<1 ppm	<0.3 ppm	<0.2 ppm (absolute)	As systematic error but per year
	B	<3 ppm	<1.0 ppm	<0.3 ppm (relative)	"
	T	<8 ppm	<1.3 ppm	<0.5 ppm (relative)	"
XCH <sub>4</sub>	G	<9 ppb	<3 ppb	<1 ppb (absolute)	As systematic error but per year
	B	<17 ppb	<5 ppb	<5 ppb (relative)	"
	T	<34 ppb	<11 ppb	<10 ppb (relative)	"

**Table 2**

Overview GHG-CCI ECV Core Algorithms (ECAs). Details on each of these algorithms are also given in the GHG-CCI ATBD (Reuter, Schneising, et al., 2012) and in Buchwitz, Reuter, et al. (2012). Column “Algorithm short name” lists the GHG-CCI algorithm identifiers (names in brackets are names (also) used in the literature (see column “References”)).

GHG-CCI ECV Core Algorithms (ECAs)				
Algorithm ID	Data product	Sensor	Algorithm short name	References
CO2_SCI_WFMD	XCO <sub>2</sub>	SCIAMACHY/ENVISAT	WFMD (WFM-DOAS)	Schneising et al. (2012), Heymann, Bovensmann, et al. (2012)
CO2_SCI_BESD	XCO <sub>2</sub>	SCIAMACHY	BESD	Reuter et al. (2010, 2011)
CO2_GOS_OCFP	XCO <sub>2</sub>	TANSO/GOSAT	OCFP (UoL-FP)	Cogan et al. (2012)
CO2_GOS_SRFP	XCO <sub>2</sub>	TANSO/GOSAT	SRFP (RemoteC)	Butz et al. (2011)
CH4_SCI_WFMD	XCH <sub>4</sub>	SCIAMACHY	WFMD (WFM-DOAS)	Schneising et al. (2011, 2012)
CH4_SCI_IMAP	XCH <sub>4</sub>	SCIAMACHY	IMAP	Frankenberg et al. (2011)
CH4_GOS_OCFP	XCH <sub>4</sub>	TANSO/GOSAT	OCFP	Parker et al. (2011)
CH4_GOS_OCPR	XCH <sub>4</sub>	TANSO/GOSAT	OCPR	Parker et al. (2011)
CH4_GOS_SRFP	XCH <sub>4</sub>	TANSO/GOSAT	SRFP	Butz et al. (2011)
CH4_GOS_SRPR	XCH <sub>4</sub>	TANSO/GOSAT	SRPR	Schepers et al. (2012)

calibration aspects (related to “Level 0–1 processing”, primarily for SCIAMACHY), and development and application of retrieval algorithms to convert the satellite-measured spectra into atmospheric CO<sub>2</sub> and CH<sub>4</sub> information (“Level 1–2 processing”). Also included is the analysis of the resulting global data sets, including validation and user assessments, focusing on inverse modeling of regional surface fluxes (i.e., “Level 2–4 processing”). Note that the fluxes (Level 4 products) will most likely be derived from Level 2 data rather than from (spatio-temporally averaged and potentially gap-filled) Level 3 data products, as Level 2 data contain more information than those at Level 3 and usually benefit from better error characterization.

Level 1 data (i.e., geolocated and calibrated radiances) are input data for CCI (i.e., Level 0–1 processing is covered by other projects). SCIAMACHY Level 0–1 processing experts are part of the GHG-CCI team in order to provide expertise and to ensure that the findings of the study feed back to improve future Level 1 data products if necessary. Close links have been established with the GOSAT team at JAXA for GOSAT Level 1 data access, expertise and feedback.

The SCIAMACHY and TANSO Level 1 data products are de-facto used as Fundamental Climate Data Records (FCDRs, see GCOS, 2006) despite the fact that no dedicated inter-calibration or merging efforts are currently foreseen. Consistency between the time series of the two GHG-CCI core satellites is addressed at the level of the Level 2 data products. Ideally, an ECV data product or Thematic Climate Data Record (TCDR) of a given quantity should be a single merged data record obtained from all available appropriate sensors such as SCIAMACHY and TANSO for satellite-derived XCO<sub>2</sub>. However, within the present initial stage of this project only first steps in this direction have been carried out (see Section 5).

The ground-based validation of the “satellite-derived” XCO<sub>2</sub> and XCH<sub>4</sub> data products largely relies on the Total Carbon Column Observing Network (TCCON) (Wunch, Toon, et al., 2011; Wunch et al., 2010) as this network has been designed and developed for this purpose. Methods to also use data from other sources in the future (e.g., NDACC (see Sussmann et al., 2013), GAW) are being developed in parallel.

Aircraft observations, e.g., HIPPO (e.g., Wecht et al., 2012; Wofsy et al., 2011), are also interesting, but have not yet been used directly (indirectly some of these data have been used via the calibration of TCCON, see Section 5.2.1).

A dedicated GHG-CCI Climate Research Group (CRG) has been set up to represent the users of the satellite-derived CO<sub>2</sub> and CH<sub>4</sub> data products and to provide expertise on inverse modeling of surface fluxes, CCDAS and other user related aspects. A strong link exists between GHG-CCI and the EU FP7 GMES project MACC-II (Monitoring of Atmospheric Composition and Climate – Interim Implementation, <http://www.gmes-atmosphere.eu/>) that provides feedback on the data quality.

Key activities carried out in the first two years of this project were the establishment of the user requirements (Section 3), the further development of retrieval algorithms (described briefly in Section 4) and data processing and data analysis with the goal of identifying which algorithms perform best (“Round Robin” (RR)). The description of these RR activities and their results is the focus of this manuscript (Section 5). In the third year of this project the selected algorithms will be used to generate the CRDP (see Section 7), which will subsequently be validated and assessed by users.

### 3. User requirements

An important initial activity carried out in this project was the establishment of the user requirements. They have been formulated in detail in the GHG-CCI User Requirements Document (URD) (Buchwitz, Chevallier, & Bergamaschi, 2011). The requirements are based on peer-reviewed publications primarily prepared in the context of existing or planned satellite missions and GHG-CCI CRG user expertise and experience with existing satellite data.

Most critical are the requirements on random and systematic errors listed in Table 1. The most challenging requirement is the one on biases for XCO<sub>2</sub>. The threshold requirement is 0.5 ppm because even errors of a few tenths of a ppm can result in large errors of the inferred CO<sub>2</sub> surface fluxes when used as input data for inverse

**Table 3**

Overview GHG-CCI Additional Constraints Algorithms (ACAs).

GHG-CCI Additional Constraints Algorithms (ACAs)				
Algorithm ID	Data product	Sensor	Algorithm	References
CO2_AIR_NLIS	Mid/upper trop. column	AIRS	NLIS	Crevoisier et al. (2004)
CO2_IAS_NLIS	Mid/upper trop. column	IASI	NLIS	Crevoisier, Chédin, et al. (2009)
CO2_ACE_CLRS	Upper trop./strat. profile	ACE-FTS	CLRS	Foucher et al. (2009)
CO2_SCI_ONPD	Stratospheric profile	SCIAMACHY	ONPD	Noël et al. (2011) <sup>a</sup>
CH4_JAS_NLIS	Upper trop./strat. profile	IASI	NLIS	Crevoisier, Nobilleau, et al. (2009)
CH4_MIP_IMK	Upper trop./strat. profile	MIPAS	KIT/IMK MIPAS	von Clarmann et al. (2009)
CH4_SCI_ONPD	Stratospheric profile	SCIAMACHY	ONPD	Noël et al. (2011)

<sup>a</sup> Note that CO2\_SCI\_ONPD is a new algorithm “similar” as the one described in Noël, Bramstedt, Rozanov, Bovensmann, & Burrows, 2011, which has been added in the 2nd year of GHG-CCI. Details on each of these algorithms are also given in the GHG-CCI ATBD (Reuter, Schneising, et al., 2012) and in Buchwitz, Reuter, et al., 2012.

modeling schemes (e.g., Chevallier et al., 2005). However, to what extent systematic errors result in biases of the inferred fluxes depends on the spatio-temporal pattern of the systematic errors. A global bias, even if considerably larger than the required 0.5 ppm, would not be critical because it can easily be detected and corrected ad hoc. Most critical are state-dependent systematic errors, which result in regional-scale (~1000 km) biases on medium time scales (~monthly), because they will likely be missed by bias-correction schemes. As the overall impact of the atmospheric concentration error on the surface flux error depends on the spatio-temporal pattern of the concentration error, the values listed in Table 1 have to be interpreted with care. The requirements reflect what the GHG-CCI users would like to see achieved. The utility of the data can ultimately only be determined by careful analysis. The numbers listed in Table 1 serve to give a rough indication of the required uncertainties but should not be over-interpreted.

The requirements for XCH<sub>4</sub> are also challenging but somewhat less demanding than those for XCO<sub>2</sub>. The main reason is that XCH<sub>4</sub> is more variable compared to XCO<sub>2</sub> relative to its background value on the spatio-temporal scales relevant for the satellite retrievals (e.g., Bergamaschi et al., 2009; Frankenberg, Meirink, van Weele, Platt, & Wagner, 2005; Frankenberg et al., 2011; Meirink et al., 2006; Schneising et al., 2011, 2012).

#### 4. Retrieval algorithms

In this section, a brief overview of each retrieval algorithm used for the GHG-CCI RR is given. The reader is referred to peer-reviewed publications for details. All algorithms used within the GHG-CCI RR are also described in the GHG-CCI Algorithm Theoretical Basis Document (ATBD) (Reuter, Schneising, et al., 2012).

The ECV Core Algorithms (ECAs) generate one or more of the four GHG-CCI core data products, XCO<sub>2</sub> (in ppm) and XCH<sub>4</sub> (in ppb) from SCIAMACHY and TANSO (each of the four combinations is a separate product). An overview of these algorithms is given in Table 2 and briefly described in the following sub-sections. Results obtained with all ECAs are shown in Fig. 1: the top panel shows northern hemispheric (NH) time series of XCO<sub>2</sub> and the bottom panel XCH<sub>4</sub> time series. As can be seen, the various XCO<sub>2</sub> time series (generated with the various algorithms described in the following sub-sections) are similar but not exactly identical. There are clear differences, e.g., a difference of the seasonal cycle amplitude, between the two SCIAMACHY algorithms WFMD (Heymann, Bovensmann, et al., 2012; Schneising et al., 2011) and BESD (Reuter et al., 2011) likely due to sub-visual cirrus clouds not explicitly considered by WFMD. Differences are also due to the different spatial sampling of the various data products. From Fig. 1 it can therefore typically not be concluded which data product is the most accurate. This requires, for example, a careful comparison with independent accurate ground-based observations (see Section 5.2). However, one obvious problem can be identified: the SCIAMACHY XCH<sub>4</sub> product generated with the IMAP algorithm (Frankenberg et al., 2011) suffers from a significant high bias (relative to several other TANSO/GOSAT XCH<sub>4</sub> data products) during the year 2010 (highlighted by the dotted line). This problem is related to SCIAMACHY detector degradation issues which are not yet properly dealt with by the SCIAMACHY radiometric calibration nor compensated by the IMAP algorithm (note that the second SCIAMACHY XCH<sub>4</sub> algorithm WFMD (Schneising et al., 2011) has not yet been applied to 2010 data; the WFMD time series covers only the years 2003–2009). As will be discussed in more detail below, the most challenging problems addressed within GHG-CCI are related to achieving the required accuracy: for XCO<sub>2</sub> this is a challenge because of demanding user requirements and for XCH<sub>4</sub> the most important challenge was to deal with the progressive SCIAMACHY detector degradation in the spectral region needed for methane retrieval which started in October 2005 (see Frankenberg et al., 2011; Schneising et al., 2011, for a detailed discussion).

#### 4.1. Full Physics (FP) and Proxy (PR) algorithms

Within GHG-CCI, two types of ECAs can be distinguished: The “Full Physics” (FP) algorithms and the light path “Proxy” (PR) algorithms (see also Schepers et al., 2012).

FP algorithms model all relevant physical effects such as scattering by aerosols and clouds and have corresponding elements as part of the state vector, which contains all parameters which are to be retrieved. The FP algorithms obtain the dry air column-averaged mole fraction (needed to compute the dry air column-averaged mole fractions of the GHG, i.e., XCO<sub>2</sub> and/or XCH<sub>4</sub>) either from the retrieved surface pressure or using meteorological information.

The PR algorithms are based on computing the dry air column-averaged mole fraction using a “reference gas”, which has to be much less variable than the gas of interest on the relevant spatio-temporal scales. The PR method is used for XCH<sub>4</sub> retrieval using CO<sub>2</sub> as a reference gas. The XCH<sub>4</sub> is essentially obtained from computing the ratio of the retrieved CH<sub>4</sub> column and the retrieved CO<sub>2</sub> column. The advantage of this method is that it is potentially very fast, accurate and robust (as several systematic errors cancel in the CH<sub>4</sub>/CO<sub>2</sub> column ratio). The disadvantage is that a correction is needed for CO<sub>2</sub> variability, typically based on a global model (see, e.g., Frankenberg et al., 2005, 2011; Parker et al., 2011; Schneising et al., 2009, 2011; Schepers et al., 2012).

#### 4.2. SCIAMACHY XCO<sub>2</sub> algorithms

The Weighting Function Modified (WFM) Differential Optical Absorption Spectroscopy (DOAS) algorithm (WFM-DOAS or WFMD) has been developed to retrieve vertical columns of several atmospheric gases including the GHGs discussed in this manuscript (Buchwitz, Rozanov, & Burrows, 2000). During the last decade, this algorithm has been significantly improved and used to generate global multi-year XCO<sub>2</sub> and XCH<sub>4</sub> data sets from SCIAMACHY (Buchwitz et al., 2005, 2007; Schneising et al., 2008, 2009). Within GHG-CCI, WFMD has been further improved and used to generate long-term consistent time series (Heymann, Bovensmann, et al., 2012; Heymann, Schneising, et al., 2012; Schneising et al., 2011, 2012). WFMD has been implemented as a fast look-up table (LUT) based retrieval scheme to avoid time consuming radiative transfer (RT) simulations. WFMD is a least-squares method using a single constant atmospheric prior (e.g., single constant CO<sub>2</sub> and CH<sub>4</sub> mixing ratio profiles, a single aerosol scenario, no clouds). WFMD can process one orbit of SCIAMACHY observations in a few minutes on a single workstation. Aerosols and cirrus clouds are only treated approximately by considering spectrally broad band effects by a low-order polynomial and by post-processing filtering. Overall, this results in small but significant biases, especially for XCO<sub>2</sub> (Heymann, Schneising, et al., 2012). Recently, an improved version of WFMD has been developed for SCIAMACHY XCO<sub>2</sub> retrieval (Heymann, Bovensmann, et al., 2012, see also Fig. 2) and the XCO<sub>2</sub> data set generated with this latest version has been used for the GHG-CCI RR. For SCIAMACHY XCH<sub>4</sub> retrieval, the WFMD version described in Schneising et al., 2011, 2012, has been used (see below).

The Bremen Optimal Estimation DOAS (BESD) FP algorithm was specifically developed for accurate and precise SCIAMACHY XCO<sub>2</sub> retrieval considering aerosols and clouds thereby overcoming limitations of the WFMD algorithm (Reuter et al., 2010, 2011). In contrast to WFMD, BESD is not based on a LUT scheme but uses on-line RT model simulations. BESD is therefore computationally much more demanding. Also, unlike WFMD, BESD is based on Optimal Estimation (OE, Rodgers, 2000) and aerosol and cirrus parameters are state vector elements and retrieved in addition to XCO<sub>2</sub>.

#### 4.3. TANSO XCO<sub>2</sub> algorithms

Both GHG-CCI TANSO XCO<sub>2</sub> retrieval algorithms are FP algorithms: the University of Leicester's (UoL) OCO (Orbiting Carbon Observatory,

Crisp et al., 2004) FP (“UoL-FP” or OCFP) algorithm (Cogan et al., 2012; Parker et al., 2011) and the RemoteC (or SRON Full Physics (SRFP)) algorithm (Butz et al., 2011). Both algorithms are based on adjusting parameters of a surface–atmosphere state vector and other parameters to the satellite observations, but differ in many details (different RT models, different inversion schemes (OE or Tikhonov-Phillips), different schemes for aerosol modeling and inversion, use of different pre-processing and post-processing steps, etc.) as discussed in Cogan et al. (2012), and Parker et al. (2011), Butz et al. (2011).

#### 4.4. SCIAMACHY XCH<sub>4</sub> algorithms

For SCIAMACHY XCH<sub>4</sub> retrievals, PR algorithms are used: WFMD (Schneising et al., 2011, see above) and IMAP (Iterative Maximum A Posteriori) DOAS (Frankenberg et al., 2011). These algorithms were already well developed when GHG-CCI started but had essentially only been applied to retrieve XCH<sub>4</sub> from the first three years of the ENVISAT mission (e.g., Schneising et al., 2008). Within GHG-CCI, this time series has been significantly extended. The key challenge was (and partly still is, see Fig. 1) to deal with the significant detector degradation in the spectral region needed for methane retrievals after 2005 (see Frankenberg et al., 2011; Schneising et al., 2011, for details).

#### 4.5. TANSO XCH<sub>4</sub> algorithms

To overcome the key limitation of the XCH<sub>4</sub> PR algorithms, namely the need to correct the retrieved XCH<sub>4</sub> for CO<sub>2</sub> variations using a model, FP algorithms are also used within GHG-CCI, but only for TANSO. TANSO has higher spectral resolution than SCIAMACHY which is exploited to also retrieve scattering parameters in addition to CH<sub>4</sub>. Two TANSO XCH<sub>4</sub> FP retrieval algorithms are being used within GHG-CCI, which are also used for TANSO XCO<sub>2</sub> retrieval (see above), OCFP (Parker et al., 2011) and SRFP (Butz et al., 2011), in addition to the two PR algorithms OCPR (Parker et al., 2011) and SRPR (Schepers et al., 2012).

### 5. Round Robin approach and results

In this section an overview of the GHG-CCI Round Robin (RR) activities is given which have been carried out in the first two years of this project.

#### 5.1. Round Robin approach

The ultimate goal of the GHG-CCI RR was to identify which algorithms and corresponding data products to use for generating the CRDP. This comprised the further development of existing retrieval algorithms with the goal of meeting the challenging user requirements, the application of these algorithms to generate global multi-year XCO<sub>2</sub> and XCH<sub>4</sub> sets, the comparison with ground-based reference data and inter-comparisons of the data products generated with the competing ECAs.

The selection procedure for ECAs and ACAs is described in the GHG-CCI Round Robin Evaluation Protocol (RREP, Buchwitz, Reuter, Chevallier, & Bergamaschi, 2011). Initially the plan was to develop a score-based selection scheme, i.e., to compute a single number for each algorithm/data product (the higher the number, the better the algorithm), mainly based on satellite – ground-based observation differences. However, this was not pursued because a scientifically sound basis for the classification could not be established. Instead a set of Figures of Merit (FoM), mostly based on differences between satellite and ground-based observations, have been defined (see RREP, Buchwitz, Reuter, et al., 2011) and evaluated. However, as explained in the RREP and also shown in this manuscript, the comparison with the ground-based observations is only one component for the final selection primarily because of the sparseness of the ground-based network (see Section 5.2). Another major component

of the selection procedure was the analysis of (global and regional) maps and time series, including comparisons with global state-of-the-art models, and inter-comparisons of the data products generated with the different candidate algorithms. Note that “blind testing” has not been used as it would have been possible to identify the algorithms/products by using some of their characteristics such as averaging kernels and spatial coverage. Some key results of this RR activity are presented here including a summary of the main RR decision results given in Section 5.6 for ECAs and Section 6 for ACAs.

According to the initial ESA specification of the CCI RR exercise it was required to evaluate “algorithms”. However, complex algorithms such as the ones used within GHG-CCI can hardly be evaluated, especially not in terms of identifying “the best one” in terms of smallest biases when applied to real data. Simulated retrievals have been performed (see, e.g., Buchwitz, Reuter, Schneising, et al., 2011; Buchwitz, Reuter, et al., 2012, and references given therein) but only for the individual algorithms and not in a consistent manner. This would have been a major activity incompatible with the CCI schedule especially if the goal would have been to obtain a better understanding of the differences between the data products obtained from the real observations. In this context it has not been identified that any of the algorithms suffer from obvious shortcomings. All XCO<sub>2</sub> algorithms, for example, use different approaches to mitigate biases due to scattering by aerosols and (thin) clouds, but it is virtually impossible to identify a priori, e.g., based on a description of the algorithms and the simulation results, which of the approaches will result in the smallest XCO<sub>2</sub> or XCH<sub>4</sub> biases when applied to real data.

What has been evaluated in detail are the end products, i.e., the quality of the XCO<sub>2</sub> and XCH<sub>4</sub> data products. This means that primarily data products have been evaluated during RR but not algorithms. As shown in this manuscript, this is not a trivial task, e.g., due to the sparseness of the TCCON reference data. Therefore, as shown in this manuscript, the RR decisions are not only based on comparisons with TCCON. The satellite retrieval team focused on producing the best possible end products. Which input data to use and how to treat them, e.g., in a dedicated pre-processing step, has not been prescribed. Pre-processing steps may be critical for the quality of the end product. This is particularly true if the instrument shows significant degradation as is the case for SCIAMACHY after 2005 especially in the spectral region needed for methane retrieval. To deal with this, quite different approaches have been used by the two algorithms IMAP (Frankenberg et al., 2011) and WFMD (Schneising et al., 2011, 2012). For example, IMAP uses as input data spectra that have been specifically calibrated at SRON and IMAP also uses a single so-called “Dead and Bad detector Pixel Mask” (DBPM), needed to reject detector pixels which are not useful. In contrast, WFMD uses the official standard SCIAMACHY Level 1 data product with standard calibration and several DBPMs, each optimized for a certain time period, typically covering one or more years (see Schneising et al., 2011, for details).

Finally, it is important to highlight the preliminary nature of the RR. This is due to the fact that all Level 1 input data and retrieval algorithms are continuously being improved. An algorithm/data product currently identified to be the best one will not necessarily be the best one in the future. GHG-CCI therefore needs to be flexible and will aim to consider this in future phases of the CCI.

#### 5.2. Comparison with ground-based (TCCON) observations

##### 5.2.1. TCCON data and error characteristics

The most relevant ground-based observations for the validation of the satellite-derived XCO<sub>2</sub> and XCH<sub>4</sub> data products are the corresponding data products of the TCCON. The TCCON data products have been obtained from the TCCON website ([www.tccon.caltech.edu/](http://www.tccon.caltech.edu/); latest access Feb. 2012 using version GGG2009, i.e., not the latest version GGG2012, which was not available for the GHG-CCI Round Robin comparison) or have been provided by the TCCON PIs. The TCCON products have been

calibrated to WMO/GAW in situ trace gas measurement scales using aircraft observations (Deutscher et al., 2010; Geibel et al., 2012; Messerschmidt et al., 2012; Wunch et al., 2010). The best independent estimates of the TCCON inter-site comparability to date are provided by these independent aircraft calibration data. While not exhaustive, these demonstrate consistency at the 0.1% level (1-sigma) for XCO<sub>2</sub> (~0.4 ppm) and 0.2% for XCH<sub>4</sub> (~4 ppb), with no obvious inter-hemispheric differences (Wunch et al., 2010). Nevertheless, the TCCON team recognizes that inter-site comparability needs to be better characterized, especially for methane (e.g., at Darwin and Wollongong, not discussed in the references cited above), and work is in progress to achieve this. The systematic and random errors of single TCCON data are therefore typically 0.4 ppm for XCO<sub>2</sub> (1-sigma) and 4 ppb (1-sigma) for XCH<sub>4</sub> (Notholt et al., 2012, based on Wunch et al., 2010). Due to these errors of the TCCON data (but also for other reasons, e.g., non-perfect spatio-temporal co-location) the estimated systematic and random errors of the satellite retrievals as reported here have to be interpreted as upper limit estimates, i.e., the satellite data errors are likely smaller than reported here.

### 5.2.2. Inter-comparison method

Different inter-comparison methods have been used, e.g., to ensure robustness of the findings. In addition to the method used and results obtained by the validation team (Notholt et al., 2012), which are summarized in this manuscript, independent inter-comparisons of the satellite data products with TCCON have also been carried out by the satellite data product provider (Buchwitz, Reuter, et al., 2012). The methods differ by various aspects such as investigated time period and direct comparison or comparison after transformation to common a priori profiles and application of averaging kernels. Each satellite data product provider performed an independent validation of his data product (considering averaging kernels or not) covering the entire time series (to the extent possible given the limitations of the TCCON data, see Table 4). In contrast, the validation team has applied the same method to all satellite data products and has, for a given product, only used a time period where data from all competing algorithms were available (SCIAMACHY: XCO<sub>2</sub>: 2006–2009; XCH<sub>4</sub>: 2003–2009, TANSO: mid 2009–2010).

The method used by the validation team is based on a direct comparison of the co-located satellite and TCCON data products. No correction for different a priori profiles and averaging kernels has been applied. Note that it is not trivial to consider averaging kernels for the XCO<sub>2</sub> and XCH<sub>4</sub> satellite and TCCON retrievals as strictly speaking this requires a reliable estimate of the real atmospheric variability, which is unknown. This aspect is discussed in detail in Wunch, Wennberg, et al., 2011, where the impact of this correction for TANSO XCO<sub>2</sub> is

discussed at Lamont, USA, where the real variability of the CO<sub>2</sub> profiles is obtained using regular aircraft and other observations. For the global data sets this is not possible. Nevertheless, for some of the satellite products, averaging kernels have been applied by the satellite data provider. For example, Reuter et al. (2013), has applied individual averaging kernels for all XCO<sub>2</sub> products from SCIAMACHY and TANSO by adjusting all retrievals to a common a priori using the Simple Empirical CO<sub>2</sub> Model (SECM) described in Reuter, Buchwitz, et al. (2012). They found that the adjustments are typically a few tenth of a ppm. Reuter, Buchwitz, et al. (2012), estimated the smoothing errors and found that it is typically 0.17 ppm for SCIAMACHY XCO<sub>2</sub> and 0.05 ppm for TCCON XCO<sub>2</sub>. These results indicate that the impact of applying or not applying the averaging kernels for satellite–TCCON comparisons is small. The reason is that the averaging kernels of the TCCON and the satellite data are close to unity and the resulting smoothing error is therefore typically quite small, especially for XCO<sub>2</sub>. For methane the (relative) smoothing errors are somewhat larger, as methane is more variable. For example, Parker et al. (2011), found that “the mean smoothing error difference included in the GOSAT to TCCON comparisons can account for 15.7 to 17.4 ppb for the northerly sites and for 1.1 ppb at the lowest latitude site”. For the SCIAMACHY XCH<sub>4</sub> validation results presented in Schneising et al. (2012), it has been found that applying averaging kernels (by using TM5 model profiles as a common a priori) leads to adjustments of 0.4% (approx. 7 ppb). Overall it has been found that the validation results obtained by the validation team (Notholt et al., 2012) and the satellite data provider (Buchwitz, Reuter, et al., 2012), where averaging kernels have been applied for at least some of the products, agree well, especially for XCO<sub>2</sub> (Buchwitz, Chevallier, Bergamaschi, & Kaminski, 2012). The comparison of the various methods used to quantify random and systematic errors of the satellite products (Buchwitz, Chevallier, et al., 2012) indicates that the RR validation results are robust.

In the following, the results obtained by the validation team are presented. Detailed results will be reported elsewhere (Dils et al., 2013). Therefore we here give only a short overview highlighting major findings.

For each product and each TCCON site a number of Figures of Merit (FoMs) have been computed by the validation team. Key results are shown in Fig. 3 for XCO<sub>2</sub> and Fig. 4 for XCH<sub>4</sub>, discussed in detail in dedicated sub-sections below. Shown are comparisons of the four GHG-CCI core data products generated with two or more of the candidate algorithms at the 10 TCCON sites listed in Table 4. The results shown in Figs. 3 and 4 have been generated using a spatio-temporal co-location criterion of 2 h and 500 km (for alternative co-location criteria see Notholt et al., 2012). Several numerical values are given, which are also listed in Table 5, computed from satellite minus TCCON differences for each single satellite retrieval and the corresponding TCCON mean value. On the left hand side of Figs. 3 and 4 the mean satellite–TCCON differences are shown for each of the 10 TCCON sites and all four core data products and their corresponding ECAs. For each ECA the standard deviation of the station-to-station bias has been computed (“StdDev”) and the total number of co-located satellite retrievals used for comparison (“N”). The standard deviation of the station-to-station bias is interpreted as a relevant measure of the systematic error (“relative accuracy” or “relative bias”). The standard deviation is more relevant to characterize systematic errors compared to, for example, the mean difference. Most critical is to achieve high “relative accuracy” (or low “relative bias”) not necessarily high “absolute accuracy” (although this would of course be better). For example, a constant offset of the satellite data would not be critical if the data are being used for surface flux inverse modeling (see Section 3) and this is considered by computing the standard deviation. On the right hand side of Figs. 3 and 4 the standard deviations of the satellite–TCCON differences are shown for each TCCON site. They are a measure of the random error (scatter) of the satellite retrievals. The corresponding mean value over all TCCON sites is used to characterize the mean

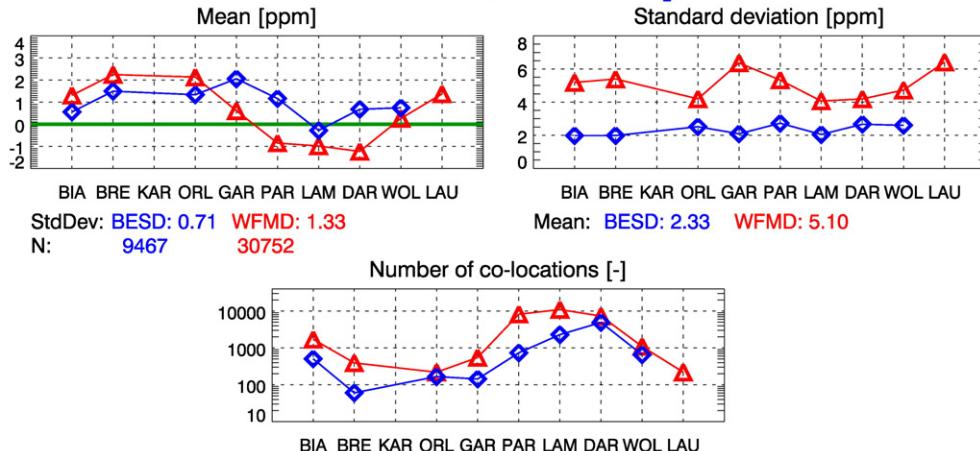
**Table 4**

TCCON sites as used for the validation of the satellite-derived XCH<sub>4</sub> and XCO<sub>2</sub> Round Robin (RR) data products by the GHG-CCI validation team (from Notholt et al., 2012).

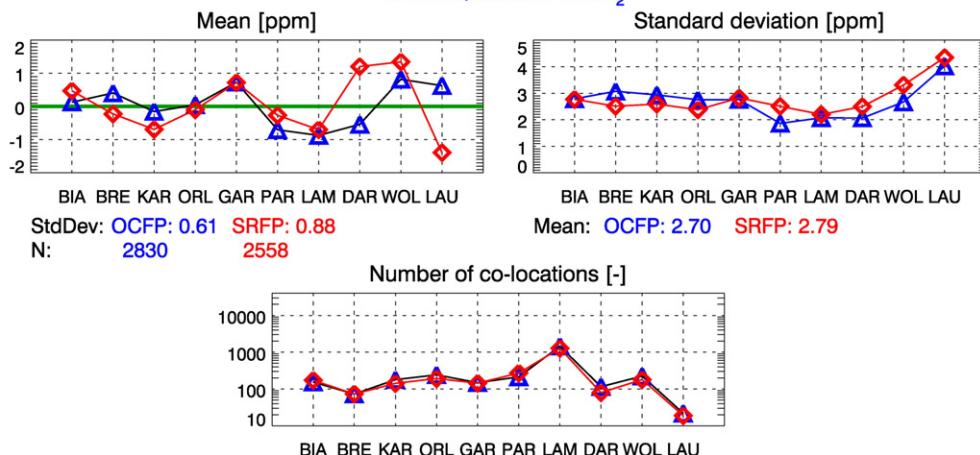
TCCON validation sites used for GHG-CCI Round Robin					
Name	ID	Latitude [deg]	Longitude [deg]	Altitude [km]	Time coverage MM/YYYY-MM/YYYY
Bialystok	BIA	53.231	23.025	0.183	03/2009–03/2011
Bremen	BRE	53.104	8.850	0.027	01/2009–12/2010
Karlsruhe	KAR	49.102	8.440	0.110	04/2010–05/2011
Orleans	ORL	47.965	2.113	0.132	08/2009–11/2010
Garmisch	GAR	47.476	11.063	0.744	05/2009–12/2010
ParkFalls	PAR	45.945	−90.273	0.442	06/2004–04/2011
Lamont	LAM	36.604	−97.486	0.320	07/2008–05/2011
Darwin	DAR	−12.425	130.891	0.030	08/2005–02/2011
Wollongong	WOL	−34.406	150.879	0.030	06/2008–03/2011
Lauder	LAU	−45.050	169.680	0.370	06/2004–06/2011

## Satellite - TCCON differences: XCO<sub>2</sub>

### SCIAMACHY/ENVISAT XCO<sub>2</sub>



### TANSO/GOSAT XCO<sub>2</sub>



**Fig. 3.** Comparison of the GHG-CCI core ECV XCO<sub>2</sub> data products from SCIAMACHY/ENVISAT (top half, i.e., first 3 panels) and TANSO/GOSAT (bottom half) with TCCON ground-based observations (see Table 4 for details on the TCCON sites). Shown are the mean difference (“Mean” in ppm) with respect to TCCON (left), the standard deviation of the difference (right), and the number of co-locations (middle). A 500 km/2 hour spatio-temporal co-location criterion has been used to compute the satellite-TCCON differences. The numerical values listed are: Left: “StdDev” is the standard deviation of the mean differences as obtained at the TCCON sites, i.e., a measure of the station-to-station bias, and can be interpreted as relative accuracy (relative bias) of the satellite retrievals. “N” is the number of satellite data used for comparison (only those data points are shown where at least 10 satellite observations are available for a given site). Right: “Mean” is the mean value of the standard deviations show by the symbols and is a measure of the achieved overall precision. Note that the number of co-locations is significantly different for the different TCCON sites, e.g., due to clouds.

random error (or “precision”) of the corresponding satellite data product. In the following, Figs. 3 and 4 are discussed in more detail for each of the products.

#### 5.2.3. Satellite XCO<sub>2</sub> comparisons with TCCON

The comparison of the two SCIAMACHY XCO<sub>2</sub> retrieval algorithms WFMD and BESD with TCCON shows the following (Fig. 3, top half): BESD has typically lower systematic errors (0.7 ppm) compared to WFMD (1.3 ppm) and also a higher precision (2.3 ppm compared to 5.1 ppm). Ultimately it can be expected that the biases of BESD will be even lower as it has been identified (not shown) that the BESD RR data set suffers from problems related to the SCIAMACHY Level 1 data product used (version 7 consolidation level u, “L1v7u”). This data product was used because it was the latest version available when the final RR data set had to be generated and because it also covers the time period after 2009. The previous Level 1 version 6 (L1v6), used by WFMD, does not suffer from these problems but is only available until the end of 2009, where the WFMD data set ends. It has been found that BESD retrievals for selected months using the improved new version L1v7w

have much lower biases especially because the many outliers caused by the L1v7u spectra are not present any more (not shown). It is therefore necessary and planned to reprocess the entire SCIAMACHY data set with BESD using L1v7w, e.g., for the generation of the CRDP. A potentially important pro for WFMD for certain applications is the much larger number of data points.

The comparison of the two TANSO XCO<sub>2</sub> retrieval algorithms OCFP and SRFP with TCCON shows the following (Fig. 3, bottom half): The biases depend on site and are typically in the range  $\pm 1$  ppm. They are very similar for both algorithms. This is also true for the standard deviation of the difference between the TANSO and TCCON estimates, which is typically in the range 2–3 ppm. The number of co-locations is also nearly identical for both algorithms but varies significantly from site to site, which is true for all comparisons shown in Figs. 3 and 4.

As shown in Table 5, the precision requirement for XCO<sub>2</sub> is met by all algorithms. WFMD meets the threshold requirement and the other algorithms including BESD even meet the breakthrough requirement. The challenging 0.5 ppm bias requirement has however not yet been

## Satellite - TCCON differences: XCH<sub>4</sub>

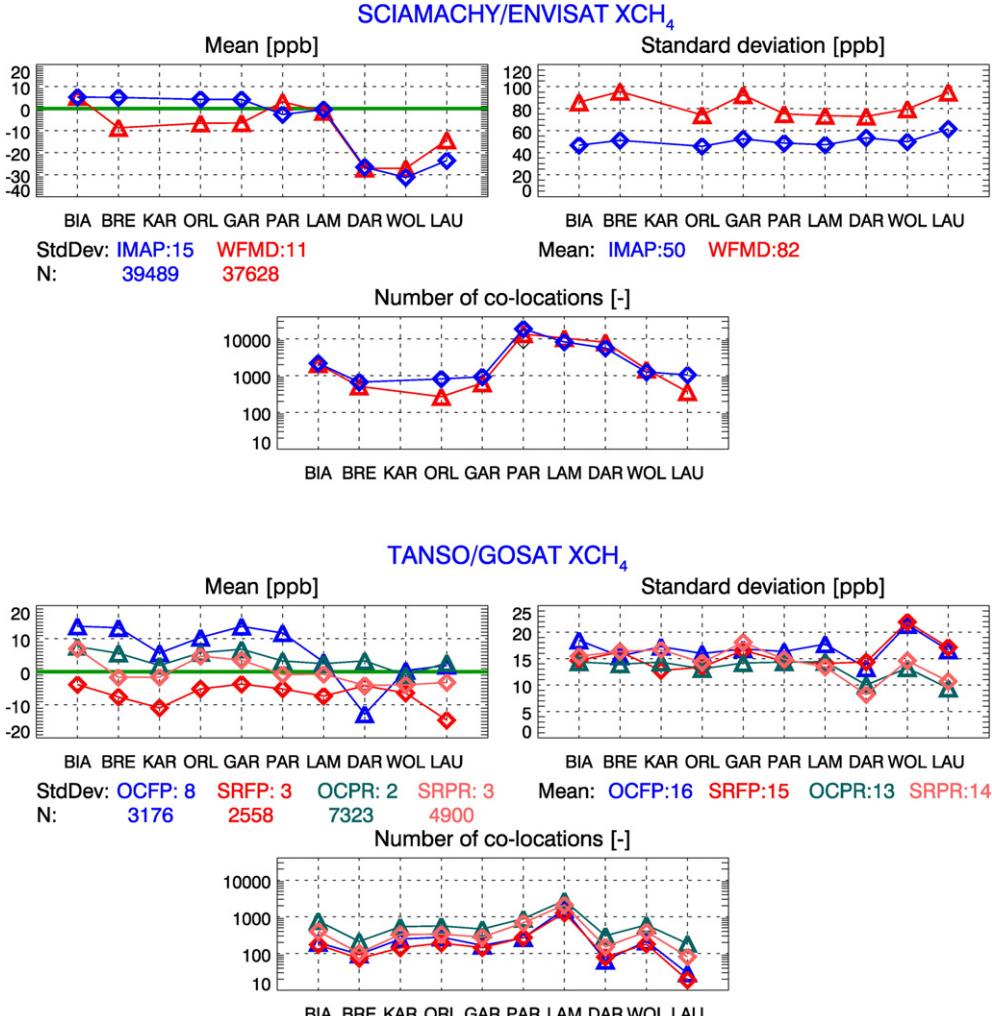


Fig. 4. As Fig. 3 but for the GHG-CCI XCH<sub>4</sub> data products.

met but several algorithms achieve a performance close to the threshold requirement (0.6–0.9 ppm, depending on algorithm).

### 5.2.4. Satellite XCH<sub>4</sub> comparisons with TCCON

The comparison of the two SCIAMACHY XCH<sub>4</sub> retrieval algorithms WFMD and IMAP with TCCON shows the following (Fig. 4, top half): Overall, the systematic differences with respect to TCCON vary from site to site from nearly 0 ppb at Lamont to 20–30 ppb at the southern hemisphere (SH) sites Darwin, Wollongong, and Lauder, but are very similar for WFMD and IMAP. The reason for the large differences at these SH sites has not yet been identified. This is probably not due to the TCCON reference data as these differences are larger than the estimated TCCON inter-site comparability (see Section 5.2.1) and also the comparison with TANSO XCH<sub>4</sub> (see below) does not show this type of systematic deviation (the OCFP results however also show a low bias at the SH sites compared to the northern sites esp. at Darwin). Agreement is within +/−10 ppb if these SH sites are excluded. In order to obtain an estimate of the relative biases (i.e., considering that an overall offset is not critical), the standard deviation of the station-to-station biases has been computed: it amounts to 11 ppb for WFMD and 15 ppb for IMAP. The standard deviation of the satellite–TCCON differences, which is a measure of the single measurement precision (1-sigma), is on average 82 ppb for WFMD and 50 ppb for IMAP. Because nearly all TCCON sites started operation after 2005 (see

Table 4), i.e., after the loss of important SCIAMACHY methane detector pixels due to detector degradation, the values listed for SCIAMACHY in Fig. 4 are not representative for the years 2003–2005. Until the end of 2005 the performance was much better and the corresponding values are listed in curved brackets in Table 5. A possible explanation for the larger scatter (worse precision) of WFMD after 2005 is that WFMD is an unconstrained least-squares algorithm whereas IMAP is based on Optimal Estimation and uses detailed CH<sub>4</sub> information (as a function of latitude, altitude and time but not longitude) from a global model as a priori information. This raises the question why the precision of the two data products is similar for 2003–2005. This could be related to the fact that only a single DBPM is used by IMAP whereas WFMD has used a DBPM optimized for 2003–2005. Another possible explanation could be the use of differently calibrated input data. As shown in Fig. 4, the number of satellite soundings used varies significantly from site to site, but overall is very similar for WFMD ( $N = 37,628$ ) and IMAP (39,489) (at least at TCCON sites, for other locations this may not be true, see Figs. 9 and 10).

The comparison of the four TANSO XCH<sub>4</sub> retrieval algorithms (OCPR, OCFP, SRPR, SRFP) with TCCON shows the following (Fig. 4, bottom half): The biases depend on the TCCON site but are in the range +/−15 ppb. The estimated relative bias is best for OCPR (2 ppb) and worst for OCFP (8 ppb). OCPR has the largest number of data points (followed by SRPR). The number of data points is

**Table 5**

Estimated precision and biases of the satellite XCO<sub>2</sub> (top) and XCH<sub>4</sub> (bottom) GHG-CCI core data products retrieved with ECAs obtained from comparisons with ground-based TCCON retrievals (see Figs. 3 and 4 for details). Numbers in curved brackets are for SCIAMACHY methane retrievals during 2003–2005, i.e., before significant detector degradation of the methane channel; values from Buchwitz, Reuter, et al. (2012), are indicated by “ ” and value from Schneising et al. (2012) is indicated by “ ”. Values in square brackets for SCIAMACHY methane retrieval are from Buchwitz, Reuter, et al. (2012), based on an analysis of all available retrievals (all years) and using a different assessment method. Also listed are the GHG-CCI user requirements as given the GHG-CCI User Requirements Document (URD (Buchwitz, Reuter, et al., 2011), see also Table 1, e.g., for the explanation of T, B, G).

Comparison of GHG-CCI core data products (ECAs) with TCCON				
Algorithm	Sensor	Estimated precision single observation	Estimated relative biases	Number of satellite obs.
XCO <sub>2</sub> p pm				
WFMD v2.2	SCIAMACHY	5.1	1.3	30,752
BESD v1 <sup>a</sup>	SCIAMACHY	2.3	0.7	9467
OCFP v3.0	TANSO	2.7	0.6	2830
SRFP v1.1	TANSO	2.8	0.9	2558
Required (URD):		<8 (T), 3 (B), 1 (G)	<0.5 (T), 0.3 (B), 0.2 (G)	–
XCH <sub>4</sub> ppb				
WFMD v2.3	SCIAMACHY	82 (~30 )	11 (~3 ) [4–12 ]	37,628
IMAP v6.0	SCIAMACHY	50 (~30 )	15 [4–13 ]	39,489
OCFP v3.2	TANSO	16	8	3176
SRFP v1.1	TANSO	15	3	2558
OCPR v3.2	TANSO	13	2	7323
SRPR v1.1	TANSO	14	3	4900
Required (URD):		<34 (T), 17 (B), 9 (G)	<10 (T), 5 (B), 3 (G)	–

<sup>a</sup> The exact version number for BESD is v01.00.01.

higher for the PR algorithms (OCPR and SRPR) compared to the FP algorithms (OCFP and SRFP). The FP algorithm with the lowest relative bias is SRFP (3 ppb). The PR algorithm with the lowest relative bias is OCPR (2 ppb). The standard deviations of the satellite–TCCON differences are nearly identical for all four algorithms.

As shown in Table 5, the SCIAMACHY XCH<sub>4</sub> product for 2003–2005 meets the threshold precision requirement (but not for 2006 and later years due to the detector degradation). In contrast, the TANSO XCH<sub>4</sub> has a much higher precision and even the breakthrough precision requirement is met by all algorithms. All TANSO XCH<sub>4</sub> algorithms meet the relative accuracy (relative bias) user requirement – some are close to or even better than the goal requirement. For SCIAMACHY this is only true for 2003–2005.

Concerning the final RR algorithm selection decision, it is important not to over-interpret the numerical values listed in Table 5 due to the sparseness of the TCCON sites. For this and other reasons, the TCCON comparisons presented and discussed in this section are only one key component of the GHG-CCI RR activities. Therefore, more comparisons have been conducted, for XCO<sub>2</sub> and XCH<sub>4</sub>, as described in the following.

### 5.3. Inter-comparison of XCO<sub>2</sub> data products

Within GHG-CCI two algorithms have been further developed to retrieve XCO<sub>2</sub> from SCIAMACHY, namely WFMD and BESD, and two algorithms to retrieve XCO<sub>2</sub> from TANSO, namely OCFP and SRFP. In addition, there are three non-European TANSO algorithms presented and discussed in the peer-reviewed literature whose data products have also been used for comparison: (i) the official operational TANSO algorithm (v02.xx) developed at the National Institute for Environmental Studies (NIES) in Japan (Yoshida et al., 2011; in the following referred to as “NIES” algorithm), (ii) a scientific algorithm called PPDF (Pathlength Probability Density Function) also developed at NIES (Bril, Oshchepkov, Yokota, & Inoue, 2007; Oshchepkov, Bril, Maksyutov, & Yokota, 2011; Oshchepkov, Bril, & Yokota, 2008, 2009, 2012; Oshchepkov et al., 2012), and (iii) NASA/JPL’s ACOS (Atmospheric CO<sub>2</sub> Observations from Space) v2.9 algorithm (Crisp et al., 2012; O’Dell et al., 2012).

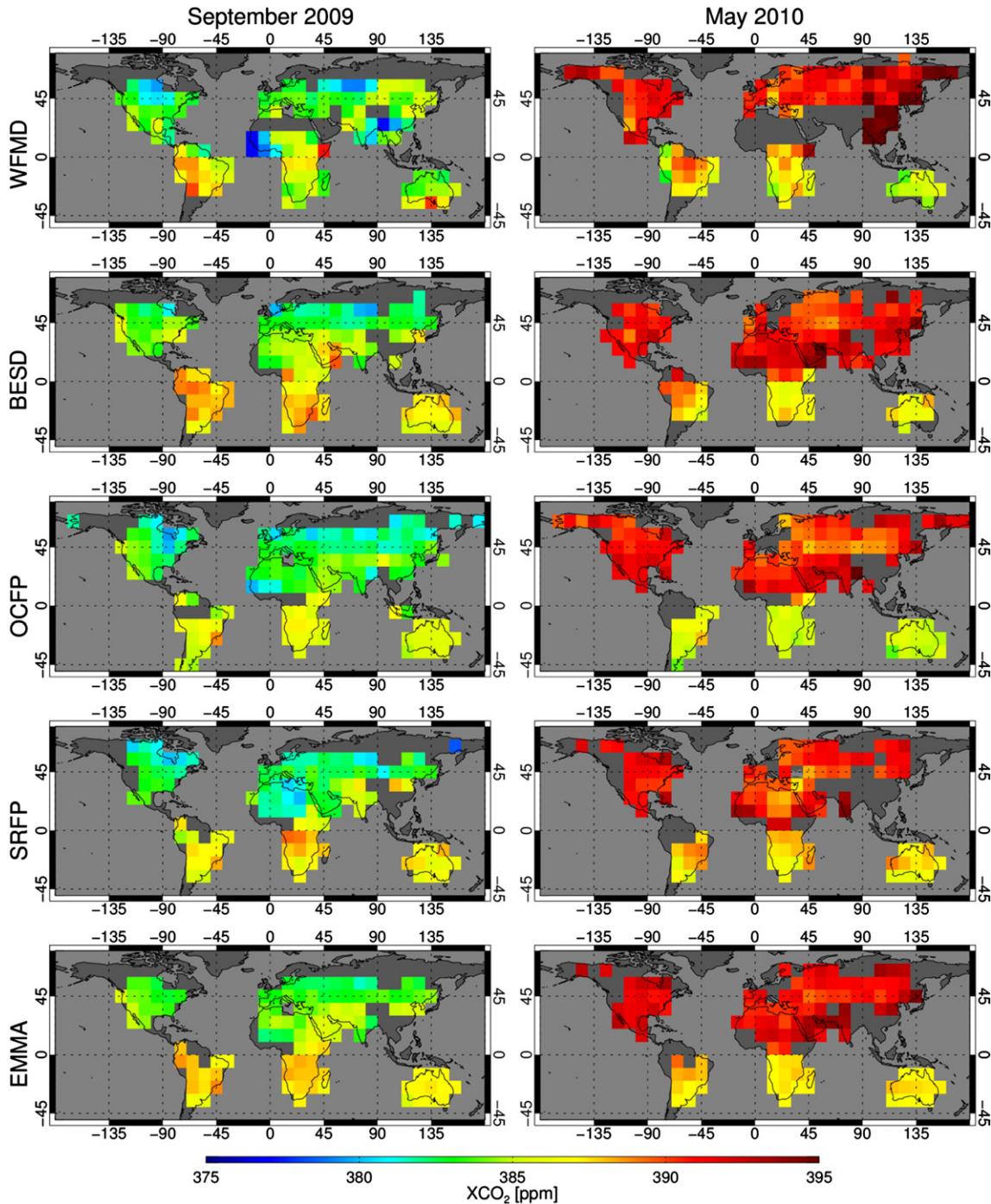
The global XCO<sub>2</sub> data products from all 7 algorithms have been inter-compared within GHG-CCI (Buchwitz, Chevallier, et al., 2012; Reuter et al., 2013). The analysis revealed the following: The various satellite XCO<sub>2</sub> data products all capture the expected large scale variations of atmospheric CO<sub>2</sub> such as the time dependent north–south gradient

(Figs. 5 and 6, discussed below) and the CO<sub>2</sub> increase and seasonal cycle (Fig. 1) but exhibit differences in the spatio-temporal pattern which – depending on region and time – may exceed the relative bias user requirement of 0.5 ppm.

Typical examples are shown in Figs. 5 and 6. Fig. 5 shows comparisons of the four GHG-CCI XCO<sub>2</sub> algorithms (BESD, WFMD, SRFP, OCFP). Fig. 6 shows the GHG-CCI algorithms as well as the three non-European algorithms mentioned above (ACOS (v2.9), PPDF (NIES PPDF-D), and NIES (v02.xx)) for the two months September 2009 and May 2010. Also shown is the ensemble data product generated with the EnseMble Median Algorithm (EMMA) algorithm, discussed below, TCCON XCO<sub>2</sub>, and XCO<sub>2</sub> from NOAA’s CO<sub>2</sub> assimilation system CarbonTracker (CT) (Peters et al., 2007). As can be seen, all satellite retrieval algorithms capture the north–south XCO<sub>2</sub> gradient, which is significantly different for the two months shown, in good to reasonable agreement with TCCON and CarbonTracker (Fig. 6). As can also be seen, differences between the data products often exceed 0.5 ppm, particularly at locations remote from TCCON sites (e.g., Sahara, South America, Africa). As discussed in Section 5.2, it appears virtually impossible to use TCCON to determine which algorithm performs best, at least for TANSO. For SCIAMACHY it has been shown that BESD outperforms WFMD in terms of single measurement precision and bias not however in terms of number of observations, which is significantly higher for WFMD. It is also likely that a “best data product” for all conditions does not exist at present as each retrieval algorithm is expected to have its strengths and weaknesses. Therefore, which algorithm performs best may depend on the spatio-temporal interval of interest. Clearly, more research is needed to understand the differences between the various XCO<sub>2</sub> data sets shown in Figs. 5 and 6. One approach to further assess the relative quality of the various satellite-derived global XCO<sub>2</sub> data sets is to compare them with their median. This approach is presented in the following section.

#### 5.3.1. Comparison with ensemble median (EMMA)

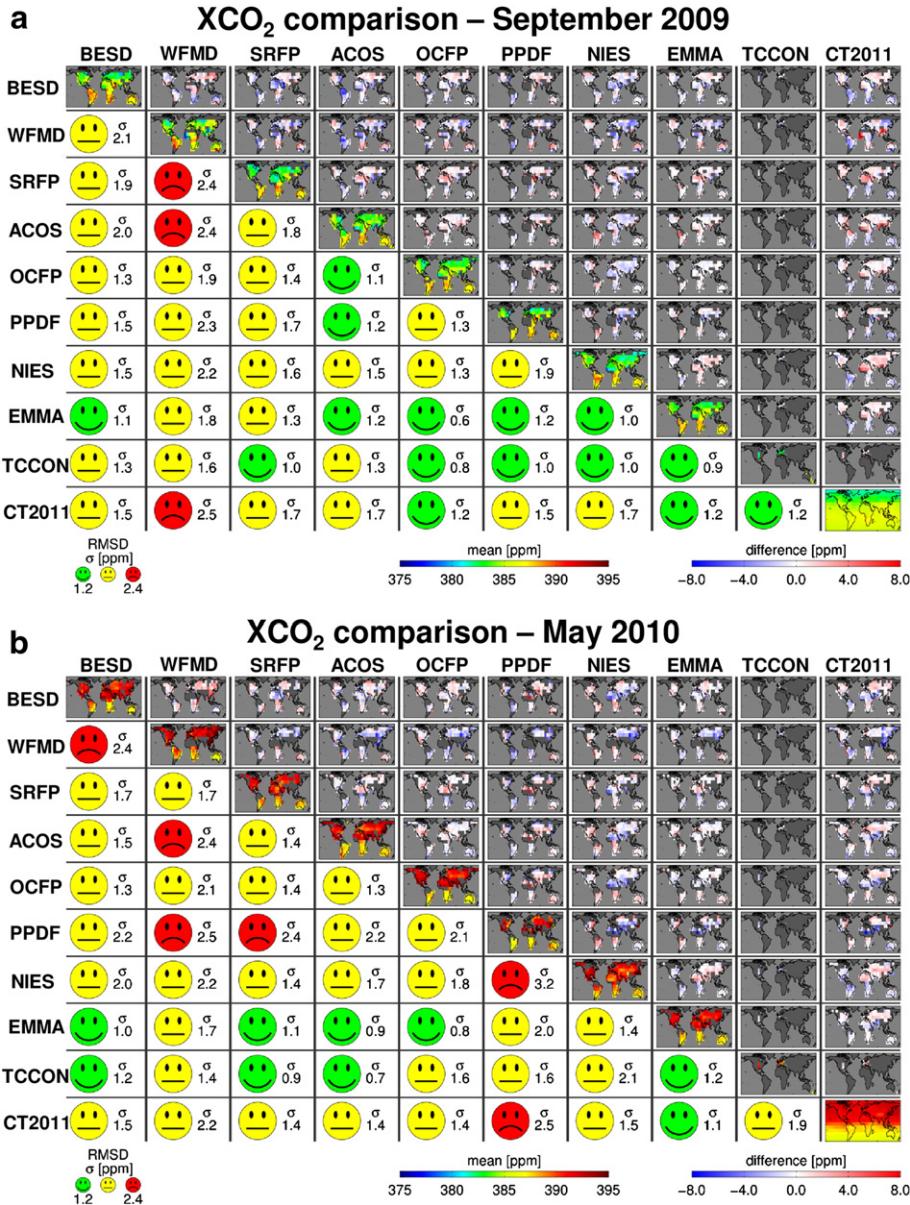
In this section we aim at answering two related questions: (i) How to determine which data product is likely “the best”, if the largest differences are at locations remote from validation sites (ii) Which data product should be used for inverse modeling of surface fluxes if all products differ and if it is not clear which product would give the most reliable results To answer these questions we use the median of the various XCO<sub>2</sub> products. The situation appears to be similar to that for climate modeling: it is not clear which “model” is the best



**Fig. 5.** Maps of monthly mean XCO<sub>2</sub> at 10° × 10° resolution as obtained using different GHG-CCI retrieval algorithms: WFMD and BESD for SCIAMACHY, OCFP and SRFP for TANSO and SCIAMACHY and TANSO merged using EMMA for September 2009 (left) and May 2012 (right).

and (remote from validation sites) there is no truth to compare with. A promising approach to deal with this is to make use of the fact that several state-of-the-art algorithms and corresponding XCO<sub>2</sub> data products are available, i.e., an ensemble of data products, which can be exploited. This is the underlying idea of the EnseMble Median Algorithm (EMMA, Reuter et al., 2013). As described in more detail below and in Reuter et al. (2013), EMMA computes the median of an ensemble of individual XCO<sub>2</sub> data products, which can be used for comparison with the individual data products, e.g., to identify outliers. However, the EMMA XCO<sub>2</sub> product has also been generated to be useful as a stand-alone XCO<sub>2</sub> data product for inverse modeling and other applications.

The strength of using an ensemble of satellite data products was highlighted at the end of the first year of the GHG-CCI project (Buchwitz, Reuter, Schneising, et al., 2011), when biases (0.5%) between Bialystok TCCON XCO<sub>2</sub> and co-incident satellite data were identified in the majority of algorithms participating in the GHG-CCI. This bias occurred due to an empirical correction of known magnitude, to account for a laser-sampling bias in the FTS data before September 21, 2009, inadvertently being applied in the wrong direction. A bias in XCH<sub>4</sub> in the early part of the Bialystok time series that occurred due to missing fits in one of the CH<sub>4</sub> micro-windows was also brought to light by comparisons to the ensemble of satellite retrievals. The identification and

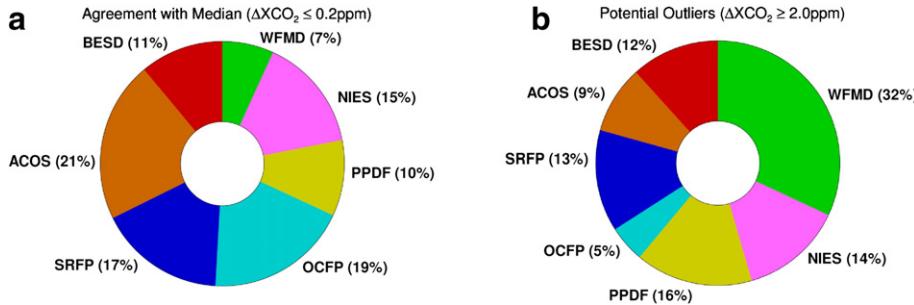


**Fig. 6.** Comparison matrix of monthly XCO<sub>2</sub> maps for September 2009 (top (a)) and May 2010 (bottom (b)) generated using several individual satellite retrieval algorithms: BESD and WFMD for SCIAMACHY and SRFP, ACOS, OCFP, PPDF, NIES for TANSO. The EMMA data product has been generated from the ensemble of the individual SCIAMACHY and TANSO XCO<sub>2</sub> data products (see main text for details). Also shown is XCO<sub>2</sub> from TCCON and NOAA's CarbonTracker (CT, v2011). The diagonal elements show the monthly XCO<sub>2</sub> maps (using color bar "mean"). The above diagonal elements show the XCO<sub>2</sub> differences for all combinations (color bar "difference"). The below diagonal elements show the numerical values of the Root Mean Square Difference (RMSD) as well as color coded smileys of the RMSD (green: RMSD < 1.2 ppm, red: RMSD > 2.4 ppm, otherwise yellow).

quantification of these biases would most likely not have been possible with a single algorithm/data product, due to difficulty in proving that such relatively small differences are not due to possible retrieval algorithm issues.

A detailed description of EMMA is presented in Reuter et al. (2013). Therefore here only a short overview is given. The presented version of EMMA (v1.3a) uses the 7 individual satellite XCO<sub>2</sub> products shown in Figs. 6 and 7 and generates a Level 2 product (i.e., a product containing the XCO<sub>2</sub> of the individual satellite soundings including uncertainty estimate and other information such as averaging kernels) using the median in each 10° × 10° monthly grid cell ("voxel"). In short, EMMA works as follows: For each voxel, the mean XCO<sub>2</sub> value is computed for each of the 7 individual data products. The median of the 7 mean values determines which of the individual satellite Level 2 data products is used for the EMMA data product for that voxel (if a certain voxel is not

covered by all 7 data products, a smaller number of data products is used). Using the median has several advantages compared to, for example, using the mean value. A key aspect is that the median is robust with respect to outliers. Using the median essentially removes outliers. This is of critical importance as each of the individual data products appears to suffer from outliers but where they appear and when is not known a priori and depends on the algorithm. Of at least equal importance is that the GHG-CCI users need a Level 2 data product (individual soundings) and not a Level 3 data product (e.g., gridded monthly averages). Furthermore, the use of an ensemble of data products possibly permits the generation of more reliable uncertainty estimates, obtained from a combination of the ensemble scatter and the reported uncertainties of the individual algorithms (which are primarily estimates of the random uncertainty). This would in particular be important to get a handle on the systematic error component of the uncertainty, which is very



**Fig. 7.** Pie charts showing the agreement (left) and disagreement (right) with the EMMA median obtained using the listed satellite  $XCO_2$  data products. The figure has been obtained using the EMMA Level 3 data product ( $10^\circ \times 10^\circ$ , monthly = 1 voxel). For each voxel the mean  $XCO_2$  value for each algorithm has been computed and the median using all algorithms. The "Agreement with the Median" (left) has been computed as follows: For algorithm  $i$  the number of voxels which agree with the median within 0.2 ppm have been counted ( $=N_i$ ). 100% corresponds to the sum of these numbers ( $N = \sum_i N_i$ ). The percentages shown are  $N_i / N * 100\%$ . The percentages of "Potential Outliers" (right) have been calculated using the same method except that all voxels have been counted where the differences to the median are larger than 2 ppm. As can be seen from the left figure, the data product which agrees best with the median is the ACOS product (v2.9, 21% agreement) followed by the similar OCFP algorithm (19% agreement). The largest number of potential outliers have the data products generated with the two very fast algorithms WFMD (32%) and PPDF (16%).

difficult (if not impossible) to reliably quantify for each algorithm individually. For an ensemble, this would strictly speaking require that the median is bias free which is unlikely to be the case. Nevertheless, the spatio-temporal intervals where the various data products disagree are very likely intervals where the data products need to be used with care. In any case, reliable  $XCO_2$  error estimates of the satellite retrievals are of critical importance for the user of the GHG-CCI atmospheric data products.

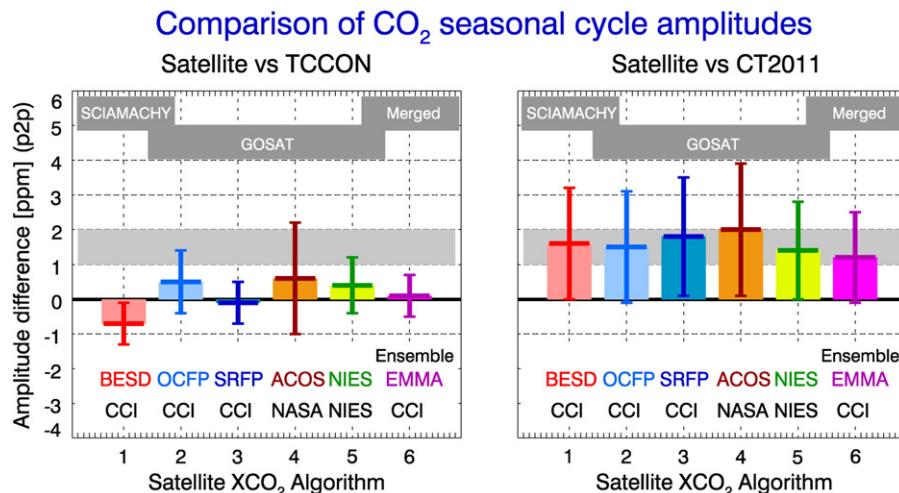
Figures such as Fig. 6 also permit the determination of which of the data sets agree and which disagree. For example, the EMMA product, but also most of the individual TANSO products and SCIAMACHY/BESD, agree well or at least reasonably with each other as well as with TCCON and CarbonTracker (see green and yellow smileys), whereas this is not always true for the two very fast algorithms WFMD and PPDF (see red smileys). Fig. 7 shows pie charts indicating the overall agreement and disagreement of each of the individual algorithms with the median. The results are consistent with the already reported findings, e.g., better performance of BESD compared to WFMD and similar performance of the TANSO  $XCO_2$  algorithms.

A large number of other comparisons of the individual data products and the EMMA product with TCCON but also with CarbonTracker have been carried out. Fig. 8 shows, as an example, a comparison of the amplitude of the  $XCO_2$  seasonal cycle. As can be seen, all satellite data shown suggest that the seasonal cycle is underestimated by

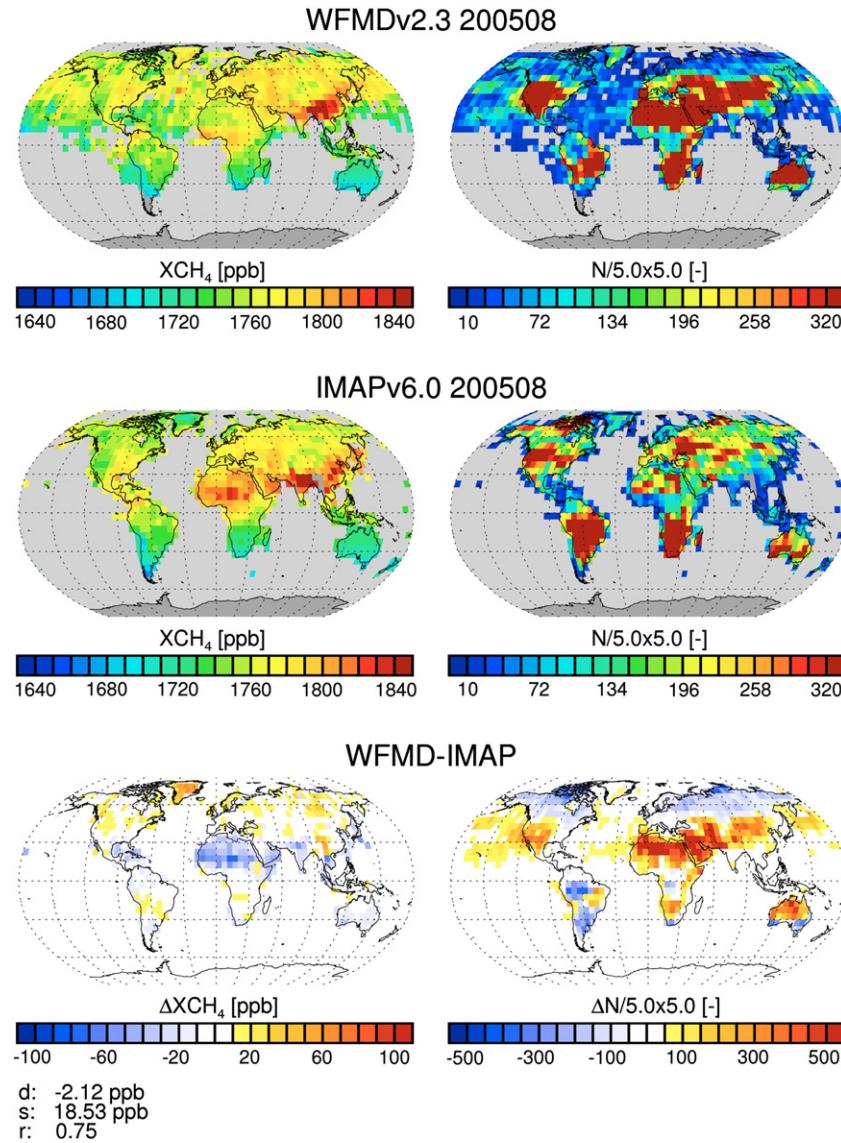
CarbonTracker by  $\sim 1.5 +/ - 0.5 \text{ ppm}$  peak-to-peak. Using only a single data product it would be difficult to "prove" that such a relatively small difference ( $\sim 0.3\%$  of the total column) is significant and not caused by or at least significantly influenced by retrieval issues (see, e.g., the discussion given in Schneising et al., 2011, on this topic). Using an ensemble of data products based on more than one satellite and using several essentially independent algorithms allow one to draw more confident conclusions with respect to the interpretation of satellite-model  $XCO_2$  differences than would be possible using a single data product only. Within GHG-CCI it is therefore planned to continue the efforts on EMMA in addition to further developing the individual algorithms.

#### 5.4. Inter-comparison of SCIAMACHY $XCH_4$ data products

The multi-year global retrievals obtained from the two SCIAMACHY  $XCH_4$  algorithms, WFMD and IMAP, have been compared with one another. Fig. 9 shows, as a typical example, a comparison of one month (August 2005) of the global WFMD and IMAP data products (Fig. 10 shows the corresponding results for July 2009; results for other months are shown in Buchwitz, Reuter, et al. (2012)). As can be seen, the monthly  $XCH_4$  maps generated with the two algorithms show – depending on region – similar but also significantly different patterns. Both maps show higher methane concentrations over the Northern Hemisphere



**Fig. 8.** Comparison of the  $XCO_2$  seasonal cycle amplitude (peak-to-peak) of the individual  $XCO_2$  algorithms and EMMA with TCCON (left) and CarbonTracker (v2011) (right). The figure has been adapted from Reuter et al. (2013), where results for all investigated  $XCO_2$  data products are shown, i.e., including WFMD and PPDF, not shown here as their error bars do not indicate good enough agreement with TCCON. As can be seen, all  $XCO_2$  satellite data suggest that the amplitude of the  $XCO_2$  seasonal cycle is underestimated by CarbonTracker by approximately  $1.5 +/ - 0.5 \text{ ppm}$  peak-to-peak.



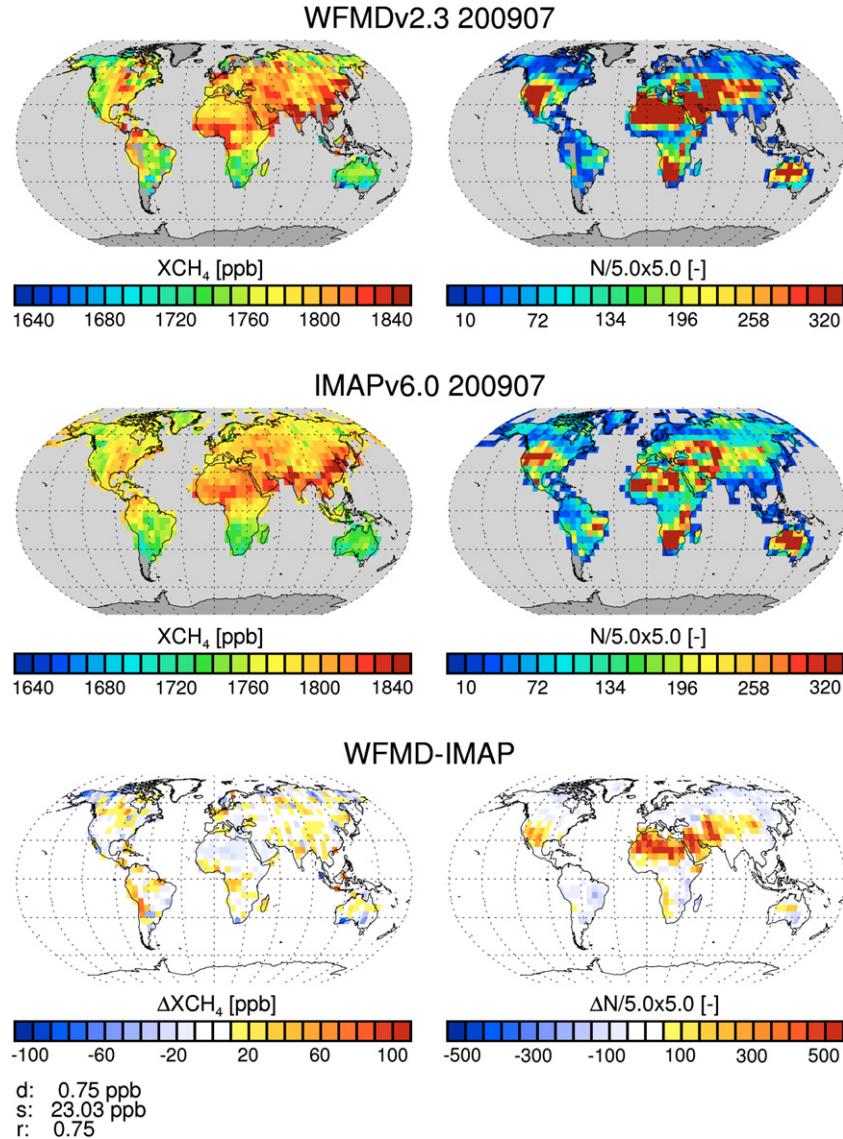
**Fig. 9.** Comparison of two SCIAMACHY  $\text{XCH}_4$  data products retrieved using WFMD (top) and IMAP (middle) for August 2005. Global maps of the retrieved  $\text{XCH}_4$  are shown on the left and the number of retrievals per  $5^\circ \times 5^\circ$  grid cell on the right. The WFMD-IMAP difference is shown in the bottom row. Listed in the bottom left are the following parameters: d: mean difference ( $-2.12$  ppb), s: standard deviation of the difference (18.53 ppb), r: linear correlation coefficient (0.75).

(NH), where most of the methane sources are located, compared to the Southern Hemisphere (SH). Both data sets agree reasonably well (within typically  $+/- 10$  ppb) over most parts of the SH land areas but over some areas WFMD  $\text{XCH}_4$  can be up to approximately 20 ppb higher. Over the NH the situation appears to be more complex. Both data sets show elevated methane over large parts of China, south-east Asia and India, but the patterns are not identical, with WFMD being higher over south-east Asia and lower over parts of India compared to IMAP. WFMD and IMAP not only use differently calibrated input data (standard versus non-standard calibration) and different retrieval methods (least squares versus OE), but also different post-processing quality filtering schemes. The latter is reflected by differences in spatial coverage (e.g., WFMD methane is not restricted to land observations only) and number of retrievals over a given region (see right hand side panels of Fig. 9). The data density differs significantly depending on region. Typically WFMD has many more data points over the Sahara and other areas in the  $\sim 10^\circ\text{--}40^\circ\text{N}$  latitude range but also over mid/northern Australia and the mid/western part of the US, whereas IMAP has higher data density over South America and mid/high northern latitudes. Large differences between the two data sets are also visible over large parts of

northern Africa, where IMAP methane is higher (by approx. 40 ppb) and Greenland, where WFMD methane is higher (by approx. 40 ppb). The reasons for the differences have not yet been identified. It has also not yet been assessed to what extent inferred regional methane fluxes would differ depending on which data set is used as input data for inverse modeling of regional methane fluxes. Significant differences can be expected as the regional differences exceed the bias threshold requirement of less than 10 ppb. The discussion also shows that depending on region the differences can be significantly larger than the estimated biases listed in Table 5, which are based on the analysis of the satellite data at TCCON sites only. Clearly, more research is needed to understand the differences between the two SCIAMACHY methane data sets discussed in this section.

##### 5.5. Inter-comparison of TANSO $\text{XCH}_4$ data products

Within GHG-CCI, four TANSO  $\text{XCH}_4$  retrieval algorithms have been further developed and used to generate global data sets which have been inter-compared and compared with TCCON retrievals and global model data (Buchwitz, Reuter, et al., 2012). The four retrieval algorithms

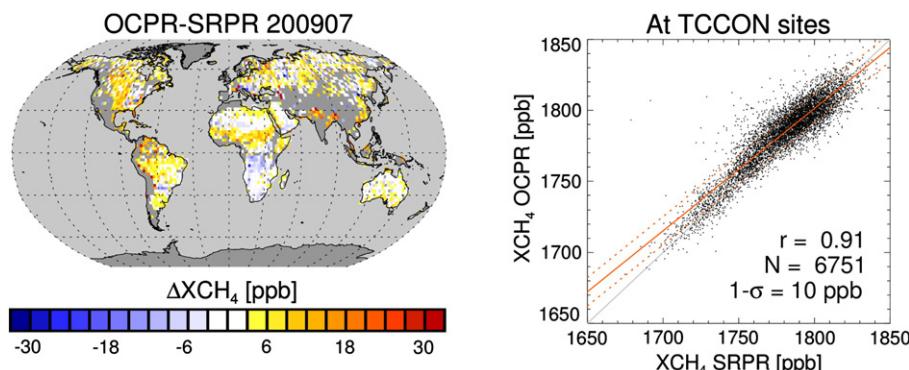


**Fig. 10.** As Fig. 9 but for July 2009.

are the FP and PR algorithms developed by SRON (SRFP, SRPR) and Univ. Leicester (UoL; OCFP and OCPR algorithms).

For the PR algorithms, which are based on the retrieval of ratios of the  $CH_4$  to  $CO_2$  columns, followed by a model-based  $CO_2$  correction to compute  $XCH_4$ , the column ratios have been compared as well as the

final  $XCH_4$  product. As expected, it has been found that the agreement between the ratios is typically somewhat better compared to the  $XCH_4$  products due to differences between the model-based  $CO_2$  correction as used by SRON and UoL (see Buchwitz, Reuter, et al., 2012, for details). Overall and in line with the discussion presented in Section 5.2, it has



**Fig. 11.** Comparison of the two GHG-CCI TANSO  $XCH_4$  PR data products retrieved using the OCPR and SRPR retrieval algorithms. Left: Percentage  $XCH_4$  difference OCPR-SRPR for July 2009. Right: Scatter plot of 6751 co-located OCPR versus SRPR retrievals at TCCON sites. The standard deviation of the difference is 10 ppb (1-sigma) and the linear correlation coefficient is 0.91.

been found that the two PR products agree nearly equally well with the TCCON ground-based observations. A direct comparison of the two data products at TCCON sites is also shown in Fig. 11 indicating agreement within typically 10 ppb (1-sigma). Nevertheless, inspection of global maps also reveals significant differences, depending on region and time. Qualitatively, this is similar to the results found for the SCIAMACHY data sets discussed in the previous section, but the differences shown in Fig. 11 for TANSO are significantly smaller compared to the differences for SCIAMACHY shown in Figs. 9 and 10. Fig. 11 shows a global OCPR-SRPR methane difference map for July 2009. As can be seen, the differences may exceed 5 ppb (breakthrough requirement) or even 10 ppb (threshold requirement) over certain extended regions such as India. Comparisons between the two FP TANSO XCH<sub>4</sub> data products OCFP and SRFP have also been carried out. Using SRFP, two years of global TANSO data have been retrieved but the comparison had to be limited to TCCON sites only because of limitations of the OCFP data set which is not yet available globally. It has been found that the inter-station bias is smaller for SRFP (~4 ppb) compared to OCFP (~8 ppb) and that the scatter of the SRFP data is somewhat smaller compared to the OCFP (14 ppb versus 16 ppb). These findings are consistent with the results presented in Table 5 but have been derived independently (see Buchwitz, Reuter, et al., 2012). It has also been found that the agreement between the two PR algorithms is significantly better than the agreement between the two FP algorithms. This may be due to the fact that PR algorithms are simpler but may also indicate that at the current stage of development the PR algorithms are more mature (note that they also deliver much more data points, see Section 5.2).

### 5.6. Algorithm selection results

The main goal of the RR exercise was to determine which satellite retrieval algorithms to use to generate the CRDP. Based on the results presented and discussed in the previous sections, algorithms have been selected. The selection results are presented in the following sub-sections.

#### 5.6.1. Selection results: SCIAMACHY and GOSAT XCO<sub>2</sub>

Within GHG-CCI, two SCIAMACHY and two TANSO XCO<sub>2</sub> algorithms have been further developed and the corresponding data products have been inter-compared. They have also been compared with three other TANSO XCO<sub>2</sub> data products generated outside of this project: with the two TANSO XCO<sub>2</sub> products generated at NIES, Japan, (i.e., the operational TANSO product (Yoshida et al., 2011) and the scientific PPDF product (Oshchepkov et al., 2011)) and with the NASA ACOS team product (Crisp et al., 2012; O'Dell et al., 2012). Analysis of all seven products indicates that the precision requirement has been met, but not the very demanding bias requirement of less than 0.5 ppm (approximately 1 ppm has been achieved at TCCON sites). Clearly, more work on the individual retrieval algorithms is required to achieve this goal and it has been decided to continue with all algorithms. A possible exception is the fast SCIAMACHY XCO<sub>2</sub> WFMD algorithm, which shows a reduced data quality in terms of precision and biases compared to the computationally much more demanding BESD algorithm. On the other hand the WFMD product has significantly (3–4 times) more data points compared to BESD and therefore much better coverage compared to any of the other data products including BESD. GHG-CCI aims at taking advantage of the fact that an ensemble of state-of-the-art data products exists which can be exploited. To this end, the EnseMble Median Algorithm (EMMA) has been developed (Reuter et al., 2013). EMMA generates a Level 2 XCO<sub>2</sub> product using the median of the individual data products thereby largely eliminating outliers of the data products generated with the individual algorithms. EMMA may also improve the error characterization using the ensemble scatter. Preliminary analysis indicates that EMMA outperforms each of the individual algorithms. EMMA also permits the identification of potential weaknesses of the

individual algorithms, which can be used to improve the individual algorithms. Taking this into account, it has been decided to proceed with all satellite XCO<sub>2</sub> algorithms and to add the EMMA data product to the GHG-CCI product portfolio.

#### 5.6.2. Selection results: SCIAMACHY XCH<sub>4</sub>

Data products generated with two algorithms have been assessed: WFMD (Schneising et al., 2011, 2012) and IMAP (Frankenberg et al., 2011). Comparison with ground-based TCCON observations revealed that both data products are very similar with respect to biases. This is also true for the estimated single measurement precisions for the time period 2003–2005, when the SCIAMACHY detector did not yet suffer from major degradation in the spectral region needed for methane retrieval.

After 2005, the WFMD methane shows a larger scatter (~80 ppb) compared to IMAP (~50 ppb). Both data products have to be used with care for the time after 2005 due to potential bias issues related to detector degradation as indicated by the TCCON comparison at southern hemisphere TCCON sites, where both data products show a low bias of 20–30 ppb depending on FTS site. Considering only this analysis, one would conclude that both data products are essentially equivalent and one may therefore select one of them. Analysis of spatially resolved global methane distributions as generated by the two algorithms however shows significant differences, depending on region and time, which are larger than the required maximum bias of 10 ppb, i.e., are significant for regional-scale methane surface flux inversions. Due to the lack of appropriate reference data such as TCCON, it was not yet possible to determine which of the two data products is the most accurate. Therefore, it has been decided to proceed with both algorithms and to contribute with both alternative data products to the CRDP pointing out the strength and weaknesses of the two approaches. Users will be encouraged to use both data sets, to determine to what extent their findings depend on the data product used, and to report these findings to the GHG-CCI retrieval experts.

#### 5.6.3. Selection results: TANSO XCH<sub>4</sub>

Four algorithms and their corresponding data products have been evaluated: OCFP and OCPR (Parker et al., 2011) and SRFP and SRPR (Butz et al., 2011). All data products show very similar biases and scatter when compared with ground-based TCCON observations. The number of data points is however significantly higher for the “Proxy” (PR) algorithms compared to the “Full Physics” (FP) algorithms and the agreement between the two PR data products is better than for the FP products, indicating a higher level of maturity of the (simpler) PR algorithms. Note that the SCIAMACHY XCH<sub>4</sub> algorithms, WFMD and IMAP, are also PR algorithms and that the FP algorithms are relatively new and currently in their early stages of development. Overall, the OCPR algorithm shows a slightly better performance compared to SRPR (primarily in terms of number of data points at TCCON sites). It has therefore been decided to continue with OCPR within GHG-CCI. The PR XCH<sub>4</sub> algorithms depend on a CO<sub>2</sub> correction using model data. The long-term goal of GHG-CCI is to use a FP algorithm that is independent of a CO<sub>2</sub> model. Because the SRFP algorithm shows a somewhat better performance compared to the OCFP algorithm (e.g., lower station-to-station biases at TCCON sites), it has been decided to continue with the SRFP algorithm, despite the lower number of data points compared to OCFP. In summary, four TANSO XCH<sub>4</sub> algorithms have been evaluated as part of the GHG-CCI RR and two of these algorithms have been selected for further use within GHG-CCI: OCPR and SRFP.

### 6. Additional Constraints Algorithms (ACAs)

The Additional Constraints Algorithms (ACAs) are algorithms to retrieve CO<sub>2</sub> and/or CH<sub>4</sub> information for layers above the planetary boundary layer. ACAs are applied to several satellite instruments. An overview of the ACAs used within GHG-CCI is given in Table 3. As the

**Table 6**

Overview of the planned content of the GHG-CCI CRDP. Products: (1) mid/upper tropospheric columns, (2) (primarily) stratospheric vertical profiles.

Planned content of the GHG-CCI Climate Research Data Package (CRDP)				
Product ID	Product (Level 2, mixing ratios)	Algorithm <sup>a</sup>	Coverage	Comment
<i>Data products generated with ECV Core Algorithms (ECAs)</i>				
XCO <sub>2</sub> _SCIA	XCO <sub>2</sub>	BESD	Global, land, 2003–2010 <sup>b</sup>	–
XCO <sub>2</sub> _GOSAT	XCO <sub>2</sub>	OCPF and SRFP	Global, mid 2009–2010 <sup>b</sup>	2 alternative products
XCO <sub>2</sub> _EMMA	XCO <sub>2</sub>	EMMA	Global, mid 2009–2010 <sup>b</sup>	Merged SCIA and GOSAT
XCH <sub>4</sub> _SCIA	XCH <sub>4</sub>	IMAP and WFMD	Global, 2003–2010 <sup>b</sup>	2 alternative products
XCH <sub>4</sub> _GOSAT	XCH <sub>4</sub>	SRFP and OCPF	Global, mid 2009–2010 <sup>b</sup>	2 alternative products
<i>Data products generated with Additional Constraints Algorithms (ACAs)</i>				
CO <sub>2</sub> _AIRS	CO <sub>2</sub> (1)	NLIS	Tropics, 2003–2007	–
CO <sub>2</sub> _IASI	CO <sub>2</sub> (1)	NLIS	Tropics, 2007–2010 <sup>b</sup>	–
CH <sub>4</sub> _IASI	CH <sub>4</sub> (1)	NLIS	Tropics, 2007–2010 <sup>b</sup>	–
CH <sub>4</sub> _SCIA_OCC	CH <sub>4</sub> (2)	ONPD	NH mid/high lat., 2003–2010 <sup>b</sup>	–
CO <sub>2</sub> _SCL_OCC	CO <sub>2</sub> (2)	ONPD	NH mid/high lat., 2003–2010 <sup>b</sup>	–
CH <sub>4</sub> _MIPAS	CH <sub>4</sub> (2)	KIT/IMK MIPAS	Global, 2005 <sup>c</sup> –2010 <sup>b</sup>	–
CO <sub>2</sub> _ACEFTS	CO <sub>2</sub> (2)	CLRS	Global <sup>d</sup> , 2004–2010 <sup>b</sup>	–

<sup>a</sup> See Tables 2 and 3.

<sup>b</sup> May end later.

<sup>c</sup> May start earlier.

<sup>d</sup> Mainly high latitudes.

ACAs are not the focus of this manuscript the reader is referred to the references listed in Table 3 (including caption) for details on each of these algorithms and corresponding data products.

For ACAs only one algorithm per data product has been considered within GHG-CCI, i.e., ACAs are also being further developed but not in competition and not by covering all aspects (e.g., no dedicated validation). For ACAs a number of criteria have been defined which need to be fulfilled to contribute to the CRDP but detailed user requirements have not been formulated.

Only a limited assessment of the data products generated with ACAs has been conducted during the initial phase of GHG-CCI described in this manuscript because the focus was on ECAs. However, for each of the ACAs listed in Table 3 it has been determined if the selection criteria specified in the Round Robin Evaluation Procedure (RREP, Buchwitz, Reuter, et al., 2011) have been met. The RREP defines 11 criteria for ACAs which need to be fulfilled for a given ACA to contribute to the CRDP. The criteria are mostly qualitative and refer to a required minimum level of documentation, error analysis and related auxiliary information. All ACA products are potentially useful for GHG-CCI climate applications as they deliver additional information on CO<sub>2</sub> and/or CH<sub>4</sub> thereby providing potentially important constraints when used, for example, within an appropriate inverse modeling framework to derive regional surface fluxes from the satellite observations. However, no detailed user requirements are currently available, no dedicated validation has been performed within GHG-CCI and it has also not been assessed to what extent the existing products are useful or not useful for GHG surface flux inverse modeling. More research is needed to assess the usefulness of these data products for climate relevant applications. It has been identified that all ACAs fulfill the requirements listed in the RREP and that all ACA products can therefore be included in the CRDP.

## 7. Climate Research Data Package (CRDP)

The goal of the GHG-CCI RR was to decide which algorithms to use to generate the CRDP. It is planned to generate the CRDP during September 2012 to March 2013. Table 6 presents an overview of the planned content of the CRDP in terms of data products and their spatio-temporal coverage. The CRDP will contain all relevant information needed for inverse modeling such as single observation uncertainties, a priori profiles and averaging kernels. The CRDP will be validated during March–May 2013 and subsequently evaluated by the GHG-CCI users (June–August 2013). By the end of August,

the CRDP along with the corresponding documentation will be made publicly available via the GHG-CCI website.

## 8. Summary and conclusions

An overview of the main activities and results achieved during the first two years of the GHG-CCI project of ESA's Climate Change Initiative (CCI) has been presented, focusing on the CCI "Round Robin" (RR) exercise. The goal of CCI is to generate a number of Essential Climate Variables (ECVs) in-line with GCOS (Global Climate Observing System) requirements and guidelines using European Earth observation data and data from ESA Third Party Missions (TPM) such as GOSAT. To achieve this, several existing state-of-the-art retrieval algorithms for retrieving XCO<sub>2</sub> and XCH<sub>4</sub> from SCIAMACHY/ENVISAT and TANSO/GOSAT nadir radiance spectra have been further improved in order to meet challenging requirements for the targeted regional CO<sub>2</sub> and CH<sub>4</sub> surface flux (source/sink) application as defined by the GHG-CCI Climate Research Group (CRG). The ultimate goal of the RR was to identify and select the best algorithms to be used for generating the Climate Research Data Package (CRDP), which will essentially be the first version of the CCI ECV GHG data base. In addition, retrieval algorithms for a number of other satellite instruments such as IASI and MIPAS have also been further developed, but not in competition.

Substantial progress has been made during the first two years (September 2010–August 2012) of the GHG-CCI project. For example, longer XCO<sub>2</sub> and XCH<sub>4</sub> time series have been generated from SCIAMACHY with improved data quality and better error characterization (Frankenberg et al., 2011; Heymann, Bovensmann, et al., 2012; Heymann, Schneising, et al., 2012; Reuter et al., 2011; Schneising et al., 2011, 2012). The same is true for TANSO (Butz et al., 2011; Cogan et al., 2012; Parker et al., 2011; Schepers et al., 2012).

Several retrieval algorithms have been further developed in competition during the GHG-CCI RR and used to generate global multi-year data sets of XCO<sub>2</sub> and XCH<sub>4</sub> from SCIAMACHY and TANSO. The data products have been evaluated by comparison with ground-based TCCON observations, by inter-comparisons of the data products generated with the different candidate algorithms, and by comparisons with other data sets including global models. Due to the sparseness of the TCCON network it was not planned to base the algorithm selection decision only on satellite–TCCON comparisons. It has been found that nearly all candidate algorithms produce data with very similar quality at TCCON sites, i.e., show similar satellite–TCCON differences. Significant differences have however been found remote from TCCON when

comparing the global data sets, e.g., when comparing global maps. Depending on region and time, it has been found that the differences may exceed the systematic error requirements of less than 0.5 ppm for XCO<sub>2</sub> and 10 ppb for XCH<sub>4</sub>. It has been identified that more research is needed in order to understand the differences between the various data sets. It was therefore not possible for all products to clearly identify which of the candidate algorithms performs best. The goal of the RR was to identify which of the competing algorithms to use for the CRDP. The selected algorithms are listed in Table 6. A summary of the RR algorithm selection decision and justification is given in Section 5.6 for the GHG-CCI ECV core data products and in Section 6 for additional products generated with algorithms not in competition during the RR phase.

The climate and inverse modeling community requires long-term datasets of near-surface-sensitive CO<sub>2</sub> and CH<sub>4</sub> observations that are as accurate and precise as possible. The goal of GHG-CCI is to build up such a time series starting with SCIAMACHY/ENVISAT (March 2002–April 2012) and being continued with GOSAT (launch 2009) and future GHG satellite missions such as OCO-2 (Boesch, Baker, Connor, Crisp, & Miller, 2011), Sentinel-5-Precursor (Butz et al., 2012) and potentially CarbonSat (Bovensmann et al., 2010). As shown in this manuscript, significant progress has been made to achieve this goal, but more work is needed in order to meet the demanding user requirements for as many conditions as possible.

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