# Construction of Families of Permutation Trinomials over Finite Fields

Christian A. Rodriguez Alex D. Santos

Department of Computer Science University of Puerto Rico, Río Piedras

March 14, 2014



### **Table of Contents**

- Introduction
- Our Problem
- Results

### **Table of Contents**

- Introduction
- 2 Our Problem
- 3 Results

### Finite Fields

#### Definition

A **finite field**  $\mathbb{F}_q$  is a field with  $q = p^r$  elements where p is prime.

#### Example

$$\mathbb{F}_5 = \{0, 1, 2, 3, 4\}$$

Addition: 2 + 2 = 4

$$2+2=4$$
  
 $4+4=8$ 

$$(mod 5) = 3$$

**Multiplication:** 

$$2 \cdot 2 = 4$$
  
 $4 \cdot 4 = 16$   
 $(\text{mod } 5) = 1$ 

### Value Sets

#### Definition

Let f(x) be a polynomial defined over a finite field  $\mathbb{F}_q$ . Then the value set of f is defined as  $V(f) = \{f(a) \mid a \in \mathbb{F}_q\}$ 

#### Example

Consider  $f(x) = x^2$  defined over  $\mathbb{F}_5$ .

Note: 
$$f(0) = 0$$
,  $f(1) = 1$ ,  $f(2) = 4$ ,  $f(3) = 4$ ,  $f(4) = 1$ 

$$V(f) = \{0, 1, 4\}.$$



#### Definition

A polynomial f(x) defined over  $\mathbb{F}_q$  is a **permutation** polynomial if and only if  $V(t) = \mathbb{F}_q$ .

#### Definition

A polynomial f(x) defined over  $\mathbb{F}_q$  is a **permutation** polynomial if and only if  $V(t) = \mathbb{F}_q$ .

### Example

Let  $f(x) = x^3$  over  $\mathbb{F}_5$ . Note:  $V(f) = \{0, 1, 3, 2, 4\}$  so f(x) is a permutation polynomial over  $\mathbb{F}_5$ 

#### Definition

A polynomial f(x) defined over  $\mathbb{F}_q$  is a **permutation** polynomial if and only if  $V(t) = \mathbb{F}_q$ .

#### Example

Let  $f(x) = x^3$  over  $\mathbb{F}_5$ . Note:  $V(f) = \{0, 1, 3, 2, 4\}$  so f(x) is a permutation polynomial over  $\mathbb{F}_5$ 

#### Example

Let  $f(x) = x^2$  over  $\mathbb{F}_5$ . We have that  $V(f) = \{0, 1, 4\}$  so f(x) is not a permutation polynomial over  $\mathbb{F}_5$ .

#### Definition

#### Definition

$$\mathbb{F}_5$$

#### Definition

$$\mathbb{F}_{5}$$
  $3^{1}_{3^{2}}=3$   $3^{3}_{4}=2$   $3^{4}_{5}=1$ 

#### Definition

$$\mathbb{F}_5$$
  $3^1=3$   $4^1=4$   $3^2=4$   $4^3=4$   $3^3=2$   $4^3=4$   $4^4=1$ 

### Table of Contents

- Introduction
- 2 Our Problem
- 3 Results

- Everyting is known about Permutation Monomials
- Permutation Binomials have been studied extensively
- The next case is to study Permutation Trinomials

## Permutation trinomials of the form $X^{\frac{q+1}{2}} + aX^{\frac{q-1}{d}+1} + bX$

$$f_{a,b} = X\left(X^{\frac{p-1}{2}} + aX^{\frac{p-1}{d}} + b\right)$$

## Permutation trinomials of the form $X^{\frac{q+1}{2}} + aX^{\frac{q-1}{d}+1} + bX$

$$f_{a,b} = X\left(X^{rac{p-1}{2}} + aX^{rac{p-1}{d}} + b
ight), N(p,d) = ext{ number of permutations}$$

## Permutation trinomials of the form $X^{\frac{q+1}{2}} + aX^{\frac{q-1}{d}+1} + bX$

$$f_{a,b} = X\left(X^{rac{p-1}{2}} + aX^{rac{p-1}{d}} + b
ight), N(p,d) = ext{ number of permutations}$$

р	N(p,3)	N(p,4)	N(p,6)	р	N(p,3)	N(p,4)	N(p,6)
13		8	18	61	60	304	30
17		16	_	67	78	_	108
19	0	_	0	73	54	440	54
29	_	48	_	79	96	_	48
31	0	_	18	89	_	680	_
37	12	132	12	97	174	840	102
41	1	140	_	101	_	940	_
43	48	_	36	103	162	_	72
53	_	244	_				

### Our Polynomial

Let  $d_1, d_2 \in \mathbb{N}$  such that  $d_1 \mid (q-1)$  y  $d_2 \mid (q-1)$ . We are interested in the polynomial:

$$f_{a,b}(X) = X^r(X^{\frac{q-1}{d_1}} + aX^{\frac{q-1}{d_2}} + b)$$

with  $a, b \in \mathbb{F}_q^{\times}$ .

### Problem

#### Our Problem

Study the value set of polynomials of the form

$$f_{a,b}(X) = X^r(X^{\frac{q-1}{d_1}} + aX^{\frac{q-1}{d_2}} + b)$$

and determine conditions in a, b such that they are permutation polynomials.

### Table of Contents

- Introduction
- Our Problem
- Results

$$f_{a,b}(X) = X^r(X^{\frac{q-1}{d_1}} + aX^{\frac{q-1}{d_2}} + b)$$

$$a = \alpha^i, b = \alpha^j, \alpha$$
 a primitive root in  $\mathbb{F}_q$ 

$$f_{a,b}(X) = X^r(X^{\frac{q-1}{d_1}} + aX^{\frac{q-1}{d_2}} + b)$$

 $a = \alpha^i, b = \alpha^j, \alpha$  a primitive root in  $\mathbb{F}_q$ 

$$(a,b) \sim (a',b') \Longleftrightarrow$$

$$f_{a,b}(X) = X^r(X^{\frac{q-1}{d_1}} + aX^{\frac{q-1}{d_2}} + b)$$

 $a = \alpha^i, b = \alpha^j, \alpha$  a primitive root in  $\mathbb{F}_q$ 

$$(a,b)\sim (a',b')\Longleftrightarrow$$

$$a' = \alpha^{i+h(\frac{q-1}{d_1} - \frac{q-1}{d_2})}$$

$$f_{a,b}(X) = X^r(X^{\frac{q-1}{d_1}} + aX^{\frac{q-1}{d_2}} + b)$$

 $a = \alpha^i, b = \alpha^j, \alpha$  a primitive root in  $\mathbb{F}_q$ 

$$(a,b)\sim (a',b')\Longleftrightarrow$$

$$\mathbf{a}' = \alpha^{i + h(\frac{q-1}{d_1} - \frac{q-1}{d_2})}$$

$$\mathbf{b}' = \alpha^{j+h(\frac{q-1}{d_1})}$$

$$f_{a,b}(X) = X^r(X^6 + aX^4 + b)$$

$$q = 13, d_1 = 2, d_2 = 3, \alpha = 2$$

$$f_{a,b}(X) = X^r(X^6 + aX^4 + b)$$
 $q = 13, d_1 = 2, d_2 = 3, \alpha = 2$ 
 $a = 4 = 2^2, b = 8 = 2^3$ 
 $a' = \alpha^{i+h(\frac{q-1}{d_1} - \frac{q-1}{d_2})}, b' = \alpha^{j+h(\frac{q-1}{d_1})}$ 

$$f_{a,b}(X) = X^r(X^6 + aX^4 + b)$$
 $q = 13, d_1 = 2, d_2 = 3, \alpha = 2$ 
 $a = 4 = 2^2, b = 8 = 2^3$ 
 $a' = \alpha^{i+h(\frac{q-1}{d_1} - \frac{q-1}{d_2})}, b' = \alpha^{j+h(\frac{q-1}{d_1})}$ 
 $(2^2, 2^3) \sim (a', b') \iff a' = 2^{2+2h}, b' = 2^{3+6h}$ 

$$f_{a,b}(X) = X^r(X^6 + aX^4 + b)$$
 $q = 13, d_1 = 2, d_2 = 3, \alpha = 2$ 
 $a = 4 = 2^2, b = 8 = 2^3$ 
 $a' = \alpha^{i+h(\frac{q-1}{d_1} - \frac{q-1}{d_2})}, b' = \alpha^{j+h(\frac{q-1}{d_1})}$ 
 $(2^2, 2^3) \sim (a', b') \iff a' = 2^{2+2h}, b' = 2^{3+6h}$ 
 $h = 1:(2^2, 2^3) \sim (2^4, 2^9)$ 

$$f_{a,b}(X) = X^r(X^{\frac{q-1}{d_1}} + aX^{\frac{q-1}{d_2}} + b)$$

#### Lemma

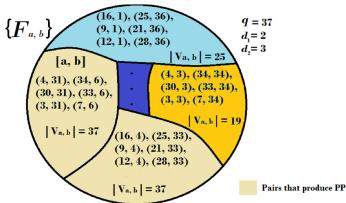
The relation  $\sim$  defined previously is an equivalence relation.

 $f_{a,b}$  with equivalence classes:

$$[f_{a,b}] = [f_{\alpha^i,\alpha^j}] = \{f_{a',b'} \mid (a,b) \sim (a',b')\}$$

### Polynomial Results

#### **Number of Permutation Polynomials**



## Value set correspondence

$$f_{a,b}(X) = X^r(X^{\frac{q-1}{d_1}} + aX^{\frac{q-1}{d_2}} + b)$$

#### Theorem

Suppose that  $f_{a,b} \sim f_{a',b'}$  then  $|V(f_{a,b})| = |V(f_{a',b'})|$ .

#### Example

Let 
$$q=13, d_1=2, d_2=3, a=4, b=8$$
. Since  $(2^2, 2^3) \sim (2^4, 2^9)$  we have that  $|V(f_{2^2, 2^3})| = |V(f_{2^4, 2^9})| = 7$ 

### Permutation Polynomial correspondence

$$f_{a,b}(X) = X^r(X^{\frac{q-1}{d_1}} + aX^{\frac{q-1}{d_2}} + b)$$

#### Corollary

Suppose that  $f_{a,b}$  is a permutation polynomial and  $f_{a,b} \sim f_{a',b'}$ , then  $f_{a',b'}$  is also a permutation polynomial.

## Size of equivalence classes

$$f_{a,b}(X) = X^r(X^{\frac{q-1}{d_1}} + aX^{\frac{q-1}{d_2}} + b)$$

#### Proposition

 $|[f_{a,b}]| = lcm(d_1, d_2)$  where lcm(x, y) is the least common multiple of x and y.

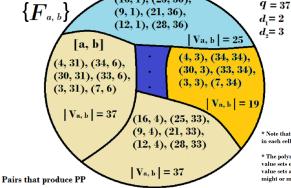
#### Example

Let q = 13,  $d_1 = 2$ ,  $d_2 = 3$ , a = 4, b = 8. Note that lcm(2,3) = 6 These are the elements of (a, b):

### Polynomials Results

#### **Number of Permutation Polynomials**

(16, 1), (25, 36),



\* Note that the number of polynomials in each cell is 6 = lcm(2, 3)

\* The polynomials within each cell have value sets of the same size. The size of the value sets associated to different cells might or might not be equal.

### Polynomial Results

### Proposition

The number of polynomials of the form  $f_{a,b}(X)$  with  $|V(f_{a,b})| = n$  is a multiple of  $lcm(d_1, d_2)$ 

### Corollary

The number of permutation polynomials of the form  $f_{a,b}(X)$  is a multiple of  $lcm(d_1, d_2)$ 

### Future Work

- Find necessary and sufficient conditions such that  $V(f_{a,b}) = \mathbb{F}_q$
- Generalize results to polynomials with more terms and with exponents not divisors of q-1:

$$f_{a,b}(X) = X^r(X^{d_1} + aX^{d_2} + b)$$