

#### **CHAPTER 7**

## More SQL: Complex Queries, Triggers, Views, and Schema Modification

### Chapter 7 Outline

- More Complex SQL Retrieval Queries
- Specifying Semantic Constraints as Assertions and Actions as Triggers
- Views (Virtual Tables) in SQL
- Schema Modification in SQL

## More Complex SQL Retrieval Queries

- Additional features allow users to specify more complex retrievals from database:
  - Nested queries, joined tables, and outer joins (in the FROM clause), aggregate functions, and grouping

# Comparisons Involving NULL and Three-Valued Logic

- Meanings of NULL
  - Unknown value
  - Unavailable or withheld value
  - Not applicable attribute
- Each individual NULL value considered to be different from every other NULL value
- SQL uses a three-valued logic:
  - TRUE, FALSE, and UNKNOWN (like Maybe)
- NULL = NULL comparison is avoided

## Comparisons Involving NULL and Three-Valued Logic (cont'd.)

Table 7.1	Logical Connectives in Three-Valued Logic			
(a)	AND	TRUE	FALSE	UNKNOWN
_	TRUE	TRUE	FALSE	UNKNOWN
	FALSE	FALSE	FALSE	FALSE
	UNKNOWN	UNKNOWN	FALSE	UNKNOWN
(b)	OR	TRUE	FALSE	UNKNOWN
_	TRUE	TRUE	TRUE	TRUE
	FALSE	TRUE	FALSE	UNKNOWN
	UNKNOWN	TRUE	UNKNOWN	UNKNOWN
(c)	NOT			
_	TRUE	FALSE		
	FALSE	TRUE		
	UNKNOWN	UNKNOWN		

# Comparisons Involving NULL and Three-Valued Logic (cont'd.)

- SQL allows queries that check whether an attribute value is NULL
  - IS Or IS NOT NULL

Query 18. Retrieve the names of all employees who do not have supervisors.

Q18: SELECT Fname, Lname

FROM EMPLOYEE

WHERE Super\_ssn IS NULL;

# Nested Queries, Tuples, and Set/Multiset Comparisons

#### Nested queries

- Complete select-from-where blocks within WHERE clause of another query
- Outer query and nested subqueries
- Comparison operator IN
  - Compares value v with a set (or multiset) of values
  - Evaluates to TRUE if v is one of the elements in V

Q4A: SELECT DISTINCT Pnumber

FROM PROJECT
WHERE Pnumber IN

( SELECT Pnumber

FROM PROJECT, DEPARTMENT, EMPLOYEE

WHERE Dnum=Dnumber AND

Mgr\_ssn=Ssn AND Lname='Smith')

OR

Pnumber IN

( SELECT Pno

FROM WORKS\_ON, EMPLOYEE

WHERE Essn=Ssn AND Lname='Smith');

- Use tuples of values in comparisons
  - Place them within parentheses

```
FROM WORKS_ON
WHERE (Pno, Hours) IN ( SELECT Pno, Hours
FROM WORKS_ON
WHERE Essn='123456789');
```

- Use other comparison operators to compare a single value v
  - = ANY (or = SOME) operator
    - Returns TRUE if the value *v* is equal to some value in the set *V* and is hence equivalent to IN
  - Other operators that can be combined with ANY (or SOME): >, >=, <, <=, and <>
  - ALL: value must exceed all values from nested

```
QUETY

SELECT Lname, Fname
FROM EMPLOYEE
WHERE Salary > ALL (SELECT Salary
FROM EMPLOYEE
WHERE Dno=5);
```

- Avoid potential errors and ambiguities
  - Create tuple variables (aliases) for all tables referenced in SQL query

**Query 16.** Retrieve the name of each employee who has a dependent with the same first name and is the same sex as the employee.

```
Q16: SELECT E.Fname, E.Lname
FROM EMPLOYEE AS E
WHERE E.Ssn IN ( SELECT Essn
FROM DEPENDENT AS D
WHERE E.Fname=D.Dependent_name
AND E.Sex=D.Sex );
```

#### **Correlated Nested Queries**

Queries that are nested using the = or IN comparison operator can be collapsed into one single block: E.g., Q16 can be written as:

■ Q16A: SELECT E.Fname, E.Lname

**FROM** EMPLOYEE **AS** E, DEPENDENT **AS** D

WHERE E.Ssn=D.Essn AND E.Sex=D.Sex

**AND** 

E.Fname=D.Dependent\_name;

- Correlated nested query
  - Evaluated once for each tuple in the outer query

# The EXISTS and UNIQUE Functions in SQL for correlating queries

- EXISTS function
  - Check whether the result of a correlated nested query is empty or not. They are Boolean functions that return a TRUE or FALSE result.
- EXISTS and NOT EXISTS
  - Typically used in conjunction with a correlated nested query
- SQL function UNIQUE (Q)
  - Returns TRUE if there are no duplicate tuples in the result of query Q

#### **USE of EXISTS**

```
Q7:
```

```
SELECT Fname, Lname
FROM Employee
WHERE EXISTS (SELECT *
FROM DEPENDENT
WHERE Ssn= Essn)
```

AND **EXISTS** (SELECT \* FROM Department WHERE Ssn= Mgr\_Ssn)

#### **USE OF NOT EXISTS**

To achieve the "for all" (universal quantifier- see Ch.8) effect, we use double negation this way in SQL:

Query: List first and last name of employees who work on ALL projects controlled by Dno=5.

SELECT Fname, Lname
FROM Employee
WHERE **NOT EXISTS** ( (SELECT Pnumber
FROM PROJECT
WHERE Dno=5)

FROM WORKS\_ON
WHERE Ssn= ESsn)

The above is equivalent to double negation: List names of those employees for whom there does NOT exist a project managed by department no. 5 that they do NOT work on.

## Double Negation to accomplish "for all" in SQL

```
FROM EMPLOYEE
WHERE NOT EXISTS ( SELECT *
FROM WORKS_ON B
WHERE (B.Pno IN ( SELECT Pnumber
FROM PROJECT
WHERE Dnum=5
AND
```

```
NOT EXISTS (SELECT FROM WORKS_ON C WHERE C.Essn=Ssn
AND C.Pno=B.Pno )));
```

The above is a direct rendering of: List names of those employees for whom there does NOT exist a project managed by department no. 5 that they do NOT work on.

## Explicit Sets and Renaming of Attributes in SQL

Can use explicit set of values in WHERE clause

Q17: SELECT DISTINCT Essn

FROM WORKS\_ON

**WHERE** Pno **IN** (1, 2, 3);

- Use qualifier AS followed by desired new name
  - Rename any attribute that appears in the result of a query

Q8A: SELECT E.Lname AS Employee\_name, S.Lname AS Supervisor\_name

FROM EMPLOYEE AS E, EMPLOYEE AS S

WHERE E.Super\_ssn=S.Ssn;

## Specifying Joined Tables in the FROM Clause of SQL

#### Joined table

- Permits users to specify a table resulting from a join operation in the FROM clause of a query
- The FROM clause in Q1A
  - Contains a single joined table. JOIN may also be called INNER JOIN

```
Q1A: SELECT Fname, Lname, Address
FROM (EMPLOYEE JOIN DEPARTMENT ON Dno=Dnumber)
WHERE Dname='Research';
```

## Different Types of JOINed Tables in SQL

- Specify different types of join
  - NATURAL JOIN
  - Various types of OUTER JOIN (LEFT, RIGHT, FULL)
- NATURAL JOIN on two relations R and S
  - No join condition specified
  - Is equivalent to an implicit EQUIJOIN condition for each pair of attributes with same name from R and S

#### **NATURAL JOIN**

Rename attributes of one relation so it can be joined with another using NATURAL JOIN:

Q1B: SELECT Fname, Lname, Address

FROM (EMPLOYEE NATURAL JOIN

(DEPARTMENT AS DEPT (Dname, Dno, Mssn,

Msdate)))

**WHERE** Dname='Research';

The above works with EMPLOYEE.Dno = DEPT.Dno as an implicit join condition

#### **INNER and OUTER Joins**

- INNER JOIN (versus OUTER JOIN)
  - Default type of join in a joined table
  - Tuple is included in the result only if a matching tuple exists in the other relation
- LEFT OUTER JOIN
  - Every tuple in left table must appear in result
  - If no matching tuple
    - Padded with NULL values for attributes of right table
- RIGHT OUTER JOIN
  - Every tuple in right table must appear in result
  - If no matching tuple
    - Padded with NULL values for attributes of left table

### **Example: LEFT OUTER JOIN**

SELECT E.Lname **AS** Employee\_Name S.Lname **AS** Supervisor\_Name

FROM Employee **AS** E **LEFT OUTER JOIN** EMPLOYEE **AS** S ON E.Super\_ssn = S.Ssn)

#### **ALTERNATE SYNTAX:**

SELECT E.Lname, S.Lname

FROM EMPLOYEE E, EMPLOYEE S

WHERE E.Super\_ssn + = S.Ssn

## Multiway JOIN in the FROM clause

- FULL OUTER JOIN combines result if LEFT and RIGHT OUTER JOIN
- Can nest JOIN specifications for a multiway join:

Q2A: SELECT Pnumber, Dnum, Lname, Address, Bdate

FROM ((PROJECT JOIN DEPARTMENT ON

Dnum=Dnumber) JOIN EMPLOYEE ON

Mgr\_ssn=Ssn)

WHERE Plocation='Stafford';

## Aggregate Functions in SQL

- Used to summarize information from multiple tuples into a single-tuple summary
- Built-in aggregate functions
  - COUNT, SUM, MAX, MIN, and AVG
- Grouping
  - Create subgroups of tuples before summarizing
- To select entire groups, HAVING clause is used
- Aggregate functions can be used in the SELECT clause or in a HAVING clause

## Renaming Results of Aggregation

Following query returns a single row of computed values from EMPLOYEE table:

Q19: SELECT SUM (Salary), MAX (Salary), MIN (Salary), AVG (Salary)

**FROM** EMPLOYEE;

The result can be presented with new names:

Q19A: SELECT SUM (Salary) AS Total\_Sal, MAX (Salary) AS

Highest\_Sal, MIN (Salary) AS Lowest\_Sal, AVG

(Salary) AS Average\_Sal

FROM EMPLOYEE;

## Aggregate Functions in SQL (cont'd.)

 NULL values are discarded when aggregate functions are applied to a particular column

Query 20. Find the sum of the salaries of all employees of the 'Research' department, as well as the maximum salary, the minimum salary, and the average salary in this department.

O20: SELECT SUM (Salary), MAX (Salary), MIN (Salary), AVG (Salary)
FROM (EMPLOYEE JOIN DEPARTMENT ON Dno=Dnumber)

WHERE Dname='Research';

Queries 21 and 22. Retrieve the total number of employees in the company (Q21) and the number of employees in the 'Research' department (Q22).

Q21: SELECT COUNT (\*)

FROM EMPLOYEE;

Q22: SELECT COUNT (\*)

FROM EMPLOYEE, DEPARTMENT

WHERE DNO=DNUMBER AND DNAME='Research';

## Aggregate Functions on Booleans

- SOME and ALL may be applied as functions on Boolean Values.
- SOME returns true if at least one element in the collection is TRUE (similar to OR)
- ALL returns true if all of the elements in the collection are TRUE (similar to AND)

## Grouping: The GROUP BY Clause

- Partition relation into subsets of tuples
  - Based on grouping attribute(s)
  - Apply function to each such group independently
- GROUP BY clause
  - Specifies grouping attributes
- COUNT (\*) counts the number of rows in the group

## **Examples of GROUP BY**

The grouping attribute must appear in the SELECT clause:

Q24: SELECT Dno, COUNT (\*), AVG (Salary)

**FROM** EMPLOYEE

**GROUP BY** Dno;

 If the grouping attribute has NULL as a possible value, then a separate group is created for the null value (e.g., null Dno in the above query)

GROUP BY may be applied to the result of a JOIN:

Q25: SELECT Pnumber, Pname, COUNT (\*)

**FROM** PROJECT, WORKS\_ON

WHERE Pnumber=Pno

**GROUP BY** Pnumber, Pname;

# Grouping: The GROUP BY and HAVING Clauses (cont'd.)

- HAVING clause
  - Provides a condition to select or reject an entire group:
- Query 26. For each project on which more than two employees work, retrieve the project number, the project name, and the number of employees who work on the project.

Q26: SELECT Pnumber, Pname, COUNT (\*)

FROM PROJECT, WORKS\_ON

WHERE Pnumber=Pno

**GROUP BY** Pnumber, Pname

**HAVING** COUNT (\*) > 2;

## Combining the WHERE and the HAVING Clause

Consider the query: we want to count the *total* number of employees whose salaries exceed \$40,000 in each department, but only for departments where more than five employees work.

#### INCORRECT QUERY:

SELECT Dno, COUNT (\*)

**FROM** EMPLOYEE

WHERE Salary>40000

**GROUP BY** Dno

**HAVING** COUNT (\*) > 5;

# Combining the WHERE and the HAVING Clause (continued)

#### Correct Specification of the Query:

 Note: the WHERE clause applies tuple by tuple whereas HAVING applies to entire group of tuples

**Query 28.** For each department that has more than five employees, retrieve the department number and the number of its employees who are making more than \$40,000.

```
O28: SELECT Dnumber, COUNT (*)
FROM DEPARTMENT, EMPLOYEE
WHERE Dnumber=Dno AND Salary>40000 AND
(SELECT Dno
FROM EMPLOYEE
GROUP BY Dno
HAVING COUNT (*) > 5)
```

#### Use of WITH

- The WITH clause allows a user to define a table that will only be used in a particular query (not available in all SQL implementations)
- Used for convenience to create a temporary "View" and use that immediately in a query
- Allows a more straightforward way of looking a step-by-step query

## **Example of WITH**

See an alternate approach to doing Q28:

```
Q28': WITH BIGDEPTS (Dno) AS
```

( **SELECT** Dno

FROM EMPLOYEE

**GROUP BY** Dno

**HAVING COUNT** (\*) > 5)

SELECT Dno, COUNT (\*)

**FROM** EMPLOYEE

WHERE Salary>40000 AND Dno IN BIGDEPTS

**GROUP BY** Dno;

#### Use of CASE

- SQL also has a CASE construct
- Used when a value can be different based on certain conditions.
- Can be used in any part of an SQL query where a value is expected
- Applicable when querying, inserting or updating tuples

## **EXAMPLE** of use of CASE

 The following example shows that employees are receiving different raises in different departments (A variation of the update U6)

■ U6': UPDATE EMPLOYEE

**SET** Salary =

CASE WHEN Dno = 5THEN Salary + 2000

WHEN Dno = 4THEN Salary + 1500

WHEN Dno = 1THEN Salary + 3000

## Recursive Queries in SQL

- An example of a recursive relationship between tuples of the same type is the relationship between an employee and a supervisor.
- This relationship is described by the foreign key Super\_ssn of the EMPLOYEE relation
- An example of a **recursive operation** is to retrieve all supervisees of a supervisory employee *e* at all levels—that is, all employees *e'* directly supervised by *e*, all employees *e''* directly supervised by each employee *e''*, all employees *e'''* directly supervised by each employee *e''*, and so on. Thus the CEO would have each employee in the company as a supervisee in the resulting table. Example shows such table SUP\_EMP with 2 columns (Supervisor, Supervisee (any level)):

## An EXAMPLE of RECURSIVE Query

Q29: WITH RECURSIVE SUP\_EMP (SupSsn, EmpSsn) AS

**SELECT** SupervisorSsn, Ssn

**FROM** EMPLOYEE

**UNION** 

**SELECT** E.Ssn, S.SupSsn

**FROM** EMPLOYEE **AS** E, SUP\_EMP **AS** S

**WHERE** E.SupervisorSsn = S.EmpSsn)

SELECT \*

FROM SUP\_EMP;

The above query starts with an empty SUP\_EMP and successively builds SUP\_EMP table by computing immediate supervisees first, then second level supervisees, etc. until a **fixed point** is reached and no more supervisees can be added

## EXPANDED Block Structure of SQL Queries

```
SELECT <attribute and function list>
FROM 
[ WHERE <condition> ]
[ GROUP BY <grouping attribute(s)> ]
[ HAVING <group condition> ]
[ ORDER BY <attribute list> ];
```

# Specifying Constraints as Assertions and Actions as Triggers

- Semantic Constraints: The following are beyond the scope of the EER and relational model
- CREATE ASSERTION
  - Specify additional types of constraints outside scope of built-in relational model constraints
- CREATE TRIGGER
  - Specify automatic actions that database system will perform when certain events and conditions occur

## Specifying General Constraints as Assertions in SQL

#### CREATE ASSERTION

- Specify a query that selects any tuples that violate the desired condition
- Use only in cases where it goes beyond a simple CHECK which applies to individual attributes and domains

```
CREATE ASSERTION SALARY_CONSTRAINT
CHECK ( NOT EXISTS ( SELECT *
FROM EMPLOYEE E, EMPLOYEE M,
DEPARTMENT D
WHERE E.Salary>M.Salary
AND E.Dno=D.Dnumber
AND D.Mgr_ssn=M.Ssn ) );
```

## Introduction to Triggers in SQL

- CREATE TRIGGER statement
  - Used to monitor the database
- Typical trigger has three components which make it a rule for an "active database " (more on active databases in section 26.1):
  - Event(s)
  - Condition
  - Action

### **USE OF TRIGGERS**

 AN EXAMPLE with standard Syntax.(Note: other SQL implementations like PostgreSQL use a different syntax.)

#### **R5**:

CREATE TRIGGER SALARY\_VIOLATION
BEFORE INSERT OR UPDATE OF Salary, Supervisor\_ssn ON
EMPLOYEE

FOR EACH ROW
WHEN (NEW.SALARY > ( SELECT Salary FROM EMPLOYEE
WHERE Ssn = NEW. Supervisor\_Ssn))
INFORM\_SUPERVISOR (NEW.Supervisor.Ssn, New.Ssn)

## Views (Virtual Tables) in SQL

- Concept of a view in SQL
  - Single table derived from other tables called the defining tables
  - Considered to be a virtual table that is not necessarily populated

## Specification of Views in SQL

#### CREATE VIEW command

- Give table name, list of attribute names, and a query to specify the contents of the view
- In V1, attributes retain the names from base tables. In V2, attributes are assigned names

```
V1: CREATE VIEW WORKS_ON1
AS SELECT Fname, Lname, Pname, Hours
```

FROM EMPLOYEE, PROJECT, WORKS\_ON

WHERE Ssn=Essn AND Pno=Pnumber;

V2: CREATE VIEW DEPT\_INFO(Dept\_name, No\_of\_emps, Total\_sal)

AS SELECT Dname, COUNT (\*), SUM (Salary)

FROM DEPARTMENT, EMPLOYEE

WHERE Dnumber=Dno

GROUP BY Dname;

# Specification of Views in SQL (cont'd.)

- Once a View is defined, SQL queries can use the View relation in the FROM clause
- View is always up-to-date
  - Responsibility of the DBMS and not the user
- DROP VIEW command
  - Dispose of a view

## View Implementation, View Update, and Inline Views

- Complex problem of efficiently implementing a view for querying
- Strategy1: Query modification approach
  - Compute the view as and when needed. Do not store permanently
  - Modify view query into a query on underlying base tables
  - Disadvantage: inefficient for views defined via complex queries that are time-consuming to execute

### View Materialization

#### Strategy 2: View materialization

- Physically create a temporary view table when the view is first queried
- Keep that table on the assumption that other queries on the view will follow
- Requires efficient strategy for automatically updating the view table when the base tables are updated
- Incremental update strategy for materialized views
  - DBMS determines what new tuples must be inserted, deleted, or modified in a materialized view table

## View Materialization (contd.)

- Multiple ways to handle materialization:
  - immediate update strategy updates a view as soon as the base tables are changed
  - lazy update strategy updates the view when needed by a view query
  - periodic update strategy updates the view periodically (in the latter strategy, a view query may get a result that is not up-to-date). This is commonly used in Banks, Retail store operations, etc.

## View Update

- Update on a view defined on a single table without any aggregate functions
  - Can be mapped to an update on underlying base table- possible if the primary key is preserved in the view
- Update not permitted on aggregate views. E.g.,

UV2: UPDATE DEPT\_INFO

SET Total\_sal=100000

**WHERE** Dname='Research';

cannot be processed because Total\_sal is a computed value in the view definition

## View Update and Inline Views

### View involving joins

 Often not possible for DBMS to determine which of the updates is intended

#### Clause WITH CHECK OPTION

 Must be added at the end of the view definition if a view is to be updated to make sure that tuples being updated stay in the view

#### In-line view

 Defined in the FROM clause of an SQL query (e.g., we saw its used in the WITH example)

#### Views as authorization mechanism

- SQL query authorization statements (GRANT and REVOKE) are described in detail in Chapter 30
- Views can be used to hide certain attributes or tuples from unauthorized users
- E.g., For a user who is only allowed to see employee information for those who work for department 5, he may only access the view DEPT5EMP:

CREATE VIEW DEPT5EMP AS

SELECT \*

**FROM** EMPLOYEE

WHERE Dno = 5;

## Schema Change Statements in SQL

#### Schema evolution commands

- DBA may want to change the schema while the database is operational
- Does not require recompilation of the database schema

#### The DROP Command

- DROP command
  - Used to drop named schema elements, such as tables, domains, or constraint
- Drop behavior options:
  - CASCADE and RESTRICT
- Example:
  - DROP SCHEMA COMPANY CASCADE;
  - This removes the schema and all its elements including tables, views, constraints, etc.

#### The ALTER table command

- Alter table actions include:
  - Adding or dropping a column (attribute)
  - Changing a column definition
  - Adding or dropping table constraints
- Example:
  - ALTER TABLE COMPANY.EMPLOYEE ADD COLUMN Job VARCHAR (12);

## Adding and Dropping Constraints

- Change constraints specified on a table
  - Add or drop a named constraint

ALTER TABLE COMPANY.EMPLOYEE

DROP CONSTRAINT EMPSUPERFK CASCADE;

## Dropping Columns, Default Values

- To drop a column
  - Choose either CASCADE or RESTRICT
  - CASCADE would drop the column from views etc.

    RESTRICT is possible if no views refer to it.

ALTER TABLE COMPANY.EMPLOYEE DROP COLUMN Address CASCADE;

Default values can be dropped and altered :

**ALTER TABLE** COMPANY.DEPARTMENT **ALTER COLUMN** Mgr\_ssn **DROP DEFAULT**;

ALTER TABLE COMPANY.DEPARTMENT ALTER COLUMN Mgr\_ssn SET DEFAULT '333445555';

# **Table 7.2** Summary of SQL Syntax

```
Table 7.2
        Summary of SQL Syntax
CREATE TABLE  ( <column name> <column type> [ <attribute constraint> ]
                           {, <column name> <column type> [ <attribute constraint> ]}
                           [  { ,  } ] )
DROP TABLE 
ALTER TABLE  ADD <column name> <column type>
SELECT [ DISTINCT ] <attribute list>
FROM ( { <alias> } | <ioined table> ) { , ( { <alias> } | <ioined table> ) }
[ WHERE <condition> ]
[GROUP BY <grouping attributes> [HAVING <group selection condition>]]
[ORDER BY <column name> [ <order> ] { , <column name> [ <order> ] } ]
<attribute list> ::= ( * | ( <column name> | <function> ( ( [ DISTINCT ] <column name> | * ) ) )
                    { , ( <column name > | <function > ( ( [ DISTINCT] <column name > | * ) ) } ) )
<grouping attributes> ::= <column name> { , <column name> }
<order> ::= ( ASC | DESC )
INSERT INTO  [ ( <column name> { , <column name> } ) ]
(VALUES (<constant value>, {<constant value>}) {, (<constant value>})}
<select statement>)
```

continued on next slide

# Table 7.2 (continued) Summary of SQL Syntax

NOTE: The commands for creating and dropping indexes are not part of standard SQL.

```
Table 7.2 Summary of SQL Syntax

DELETE FROM 
[WHERE < selection condition>]

UPDATE 
SET < column name> = < value expression> { , < column name> = < value expression> }
[WHERE < selection condition>]

CREATE [UNIQUE] INDEX < index name>
ON  ( < column name> [ < order> ] { , < column name> [ < order> ] } )
[CLUSTER]

DROP INDEX < index name>

CREATE VIEW < view name> [ ( < column name> { , < column name> } ) ]

AS < select statement>

DROP VIEW < view name>
```

## Summary

- Complex SQL:
  - Nested queries, joined tables (in the FROM clause), outer joins, aggregate functions, grouping
- Handling semantic constraints with CREATE
   ASSERTION and CREATE TRIGGER
- CREATE VIEW statement and materialization strategies
- Schema Modification for the DBAs using ALTER TABLE, ADD and DROP COLUMN, ALTER CONSTRAINT etc.