6th Slide Set
Operating Systems

Prof. Dr. Christian Baun

Frankfurt University of Applied Sciences
(1971–2014: Fachhochschule Frankfurt am Main)
Faculty of Computer Science and Engineering
christianbaun@fb2.fra-uas.de

Learning Objectives of this Slide Set

- At the end of this slide set You know/understand...
  - the **functions and basic terminology of file systems**
  - an overview about **Linux file systems** and their characteristics
  - what **inodes** and **clusters** are
  - how **block addressing** works
  - the **structure** of selected file systems
  - an overview about **Windows file systems** and their characteristics
  - what **journaling** is and why it is used by many file systems today
  - how addressing via **extents** works and why it is implemented by several modern file systems
  - what **copy-on-write** is
  - how **defragmentation** works and when it makes sense to defragment

Exercise sheet 6 repeats the contents of this slide set which are relevant for these learning objectives

File Systems...

- organize the storage of files on data storage
  - Files are sequences of Bytes of any length which belongs together with regard to content
- manage file names and attributes (metadata) of files
- form a namespace
  - Hierarchy of directories and files
- are a layer of the operating system ($\Longrightarrow$ system software)
  - Processes and users access files via their abstract file names and not via their memory addresses
- should cause only little overhead for metadata

Linux File Systems

Past	Present	Future
classic file systems	**file systems with a journal**	**Copy-on-write**
Minix (1991)		**Btrfs** (unstable)
ext2 (1993)	**ext3** (2001)	**ext4** (2008)
	ReiserFS (2001)	**Reiser4** (2009)
	JFS (2002) **XFS** (2002)	

Brackets contain the years of Linux kernel integration

More file systems exist:

- Shared storage file systems: OCFS2, GPFS, GFS2, VMFS
- Distributed file systems: Lustre, AFS, Ceph, HDFS, PVFS2, GlusterFS
- File systems for flash storage: JFFS, JFFS2, YAFFS
- ...

Basic Terminology of Linux File Systems

- The creation of a **file** causes the creation of an inode too
- **Inode** (*index node*)
  - Stores a file's metadata, except the file name
    - Metadata are among others the size, UID/GID, permissions and date
  - Each inode has a unique inode number
  - The inode contains references to the file's clusters
  - All Linux file systems base on the functional principle of inodes
- A **directory** is a file too
  - Content: File name and inode number for each file in the directory
- File systems address **clusters** and not blocks of the storage device
  - Each file occupies an integer number of clusters
  - In literature, the clusters are often called **zones** or **blocks**
    - This results in confusion with the sectors of the devices, which are in literature sometimes called blocks too

Cluster Size

- The size of the clusters is essential for the efficiency of the file system
  - The smaller the clusters are...
    - Rising overhead for large files
    - Decreasing capacity loss due to internal fragmentation
  - The bigger the clusters are...
    - Decreasing overhead for large files
    - Rising capacity loss due to internal fragmentation

The bigger the clusters, the more memory is lost due to internal fragmentation

- File size: 1 kB. Cluster size: 2 kB $\Longrightarrow$ 1 kB gets lost
- File size: 1 kB. Cluster size: 64 kB $\Longrightarrow$ 63 kB get lost!

- The cluster size can be specified, while creating the file system
- In Linux: Cluster size $\leq$ size of memory pages (page size)
  - The page size depends on the architecture
  - x86 = 4 kB, Alpha and Sparc = 8 kB, IA-64 = 4/8/16/64 kB

Names of Files and Directories

- Important characteristic of file systems from the user perspective:
  - Ways of naming files and directories
- File systems are often different from each other in this area
  - File name length:
    - All file systems accept character strings with 1-8 characters as file name
    - Current file systems accept much longer file names and also numbers and special characters in file names
  - Uppercase and lower case
    - DOS/Windows file systems are not case-sensitive
    - UNIX file systems are case-sensitive

Significance of File Names

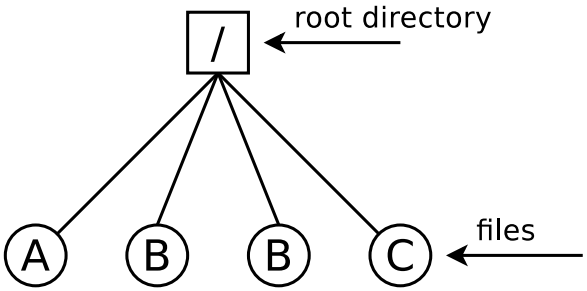
- Current file systems support file names, which consist of 2 parts
- The second part, the **extension** is used to indicate the file content
  - The extension is a short sequence of letters and numbers after the last dot in the file name
- Some files have 2 or more extensions. e.g. `programm.c.Z`
  - The extension `.C` indicates that the file contains C source code
  - The extension `.Z` stands for the Ziv-Lempel compression algorithm
- On UNIX, file extensions have originally no significance
  - The file extension serves only to remind the owner what kind of data a file contains
- On Windows, file extensions always played an important role and they are allocated to applications

Accelerating Data Access with a Cache

- Modern operating systems accelerate the access to stored data with a **cache** (called *Buffer Cache* or *Page Cache*) in the main memory
- If a file is requested for reading, the kernel first tries to allocate the file in the cache
  - If the file is not present in the cache, it is loaded into the cache
- The cache is never as big as the amount of data on the system
  - That is why infrequently needed data must be replaced
    - If data in the cache was modified, the modification must be passed down (written back) at some point in time
    - Optimal use of the cache is impossible because data accesses are non-deterministic (unpredictable)
- Most operating systems do not pass down write accesses immediately ($\Longrightarrow$ **write-back**)
  - DOS and Windows use the buffer *Smartdrive*
  - Linux automatically uses the entire free main memory as buffer
    - Benefit: Better system performance
    - Drawback: System crashes may cause inconsistencies

Single-level Directory Structure

- File systems provide **directories** (folders) to organize the data
  - Directories are just files, which contain the names and paths of files
- Most simple directory hierarchy:
  - The **root** directory contains all files



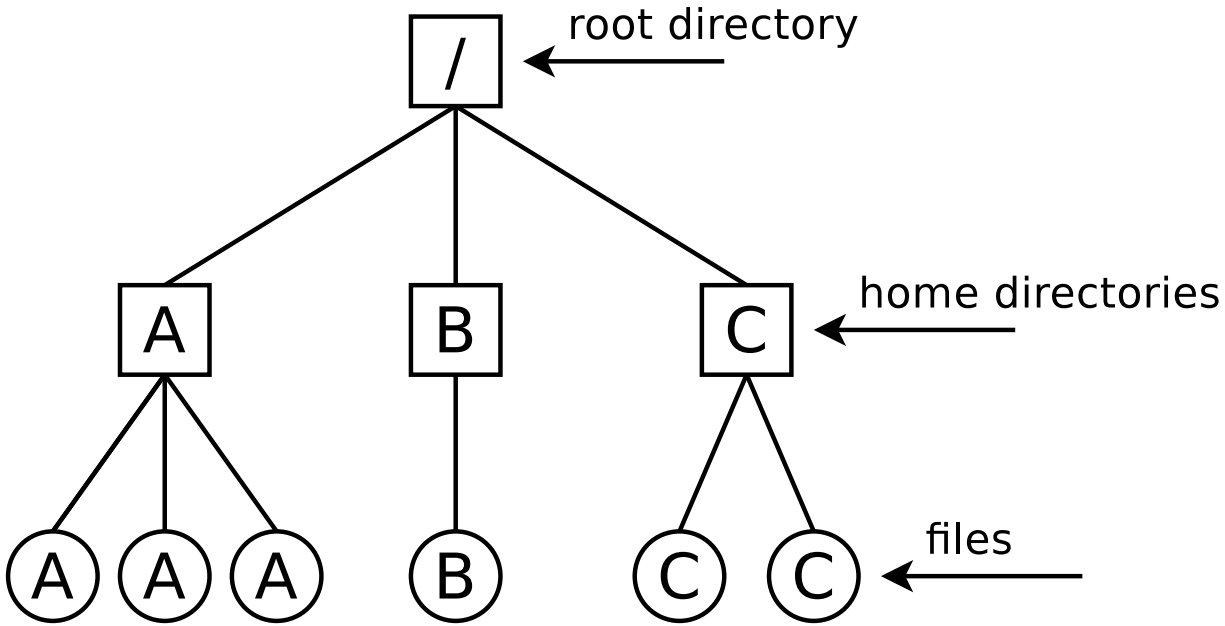
- Situation on early computers:
  - only a single user
  - little storage capacity

$\Longrightarrow$ only a few files

- Drawback: Causes issues in multi-user operating systems
  - If a user wants to create a file, and the file of another user already has the same name, it will be overwritten

Two-level Directory Structure

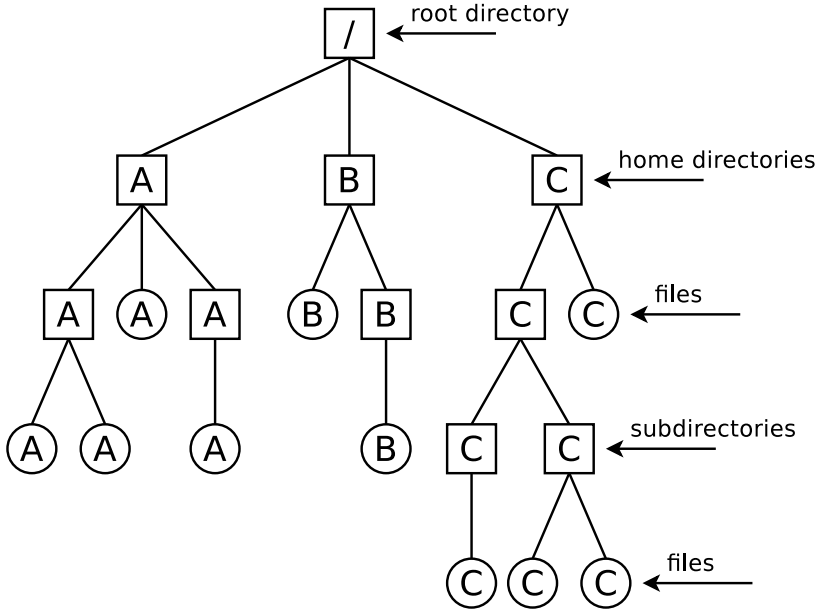
- Challenge: Different users use the same file names
- Solution: Each user gets its own private directory



- This way, it is no problem, when multiple users create files with the same filename

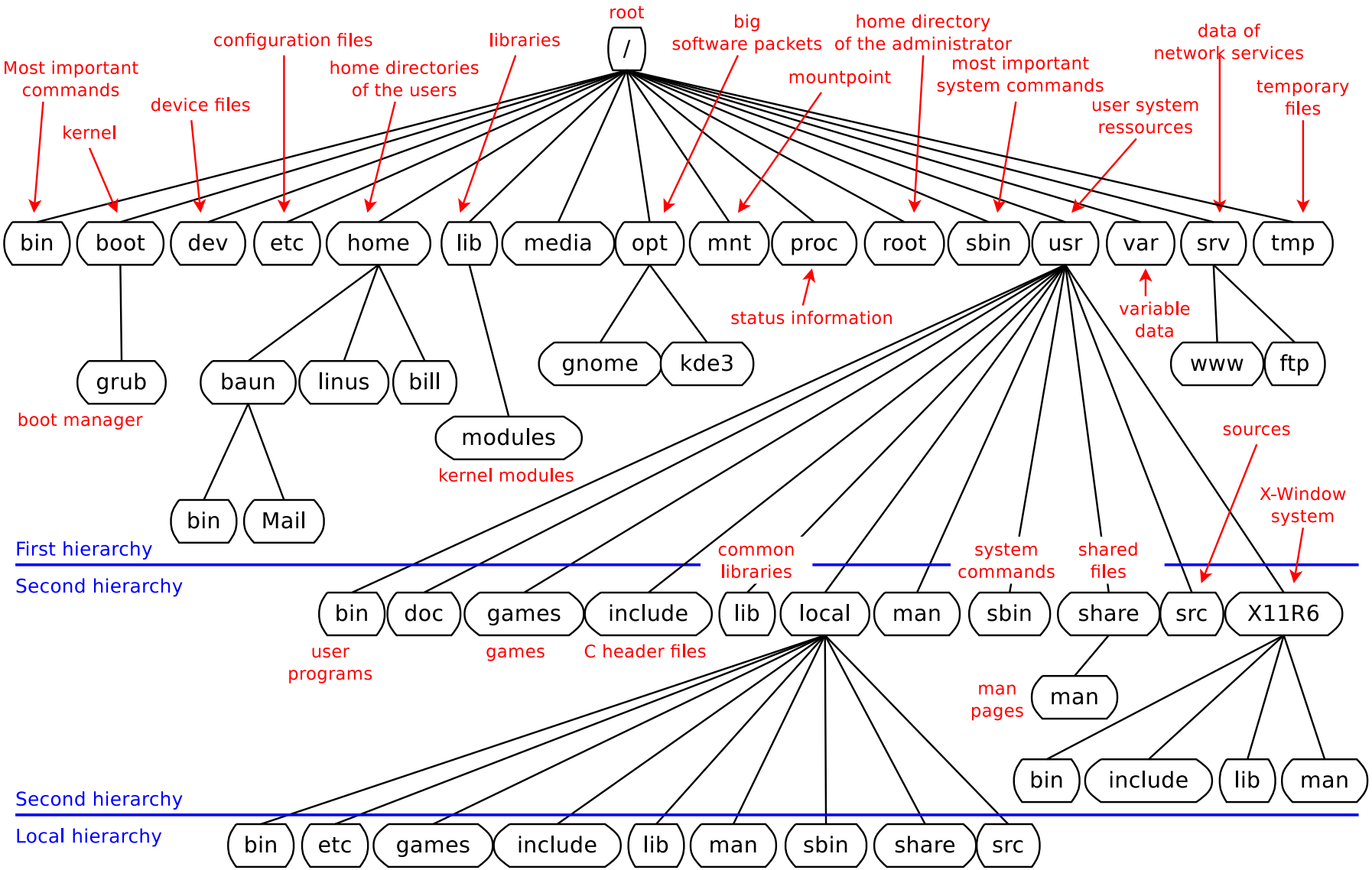
Hierarchical Directory Structure

- Directory structures with 2 levels are not always sufficient
  - if many files are stored, it is not sufficient to separate the files by users
- It is helpful to arrange the files according to their content and/or belonging of projects or applications



- In a hierarchical tree structure, the users can sort their files and create an unlimited number of directories
- The directory structures of nearly all modern operating systems operate according to the hierarchical principle
  - Exceptions exist and they are tiny embedded systems

Linux/UNIX Directory Structure



2 different Types of Path Names exist

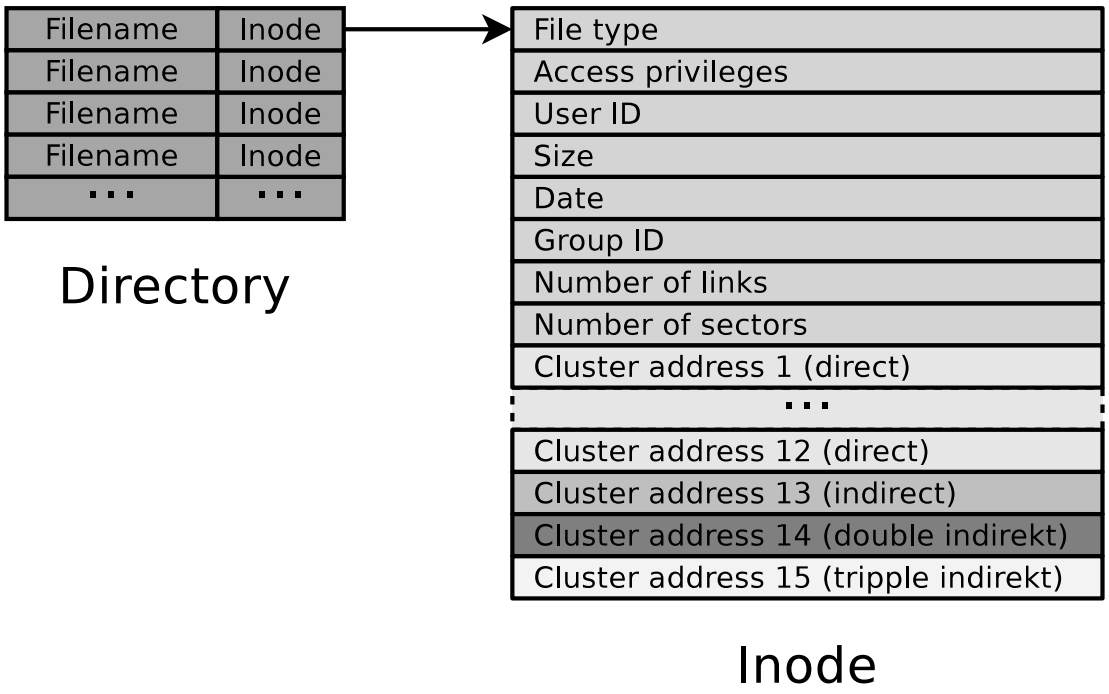
1. **Absolute path names**
   - Describe the complete path **from the root to the file**
   - Absolute paths always work
     - The current directory does not matter
   - Example: `/usr/src/linux/arch/i386/boot/bzImage`
2. **Relative path names**
   - Is always considered in conjunction with the current directory
   - All paths, which do **not begin with the root**
   - Example: `Vorlesung_06/bts_SS2016_vorlesung_06.tex`

The separator separates the path components from each other and always represents the root directory too

System	Separator	Example
Linux/UNIX	/	`/var/log/messages`
DOS/Windows	\	`\var\log\messages`
MacOS (formerly)	:	`:var:log:messages`
MULTICS	>	`>var>log>messages`

Block Addressing using the Example ext2/3/4

- Each inode directly stores the numbers of up to 12 clusters



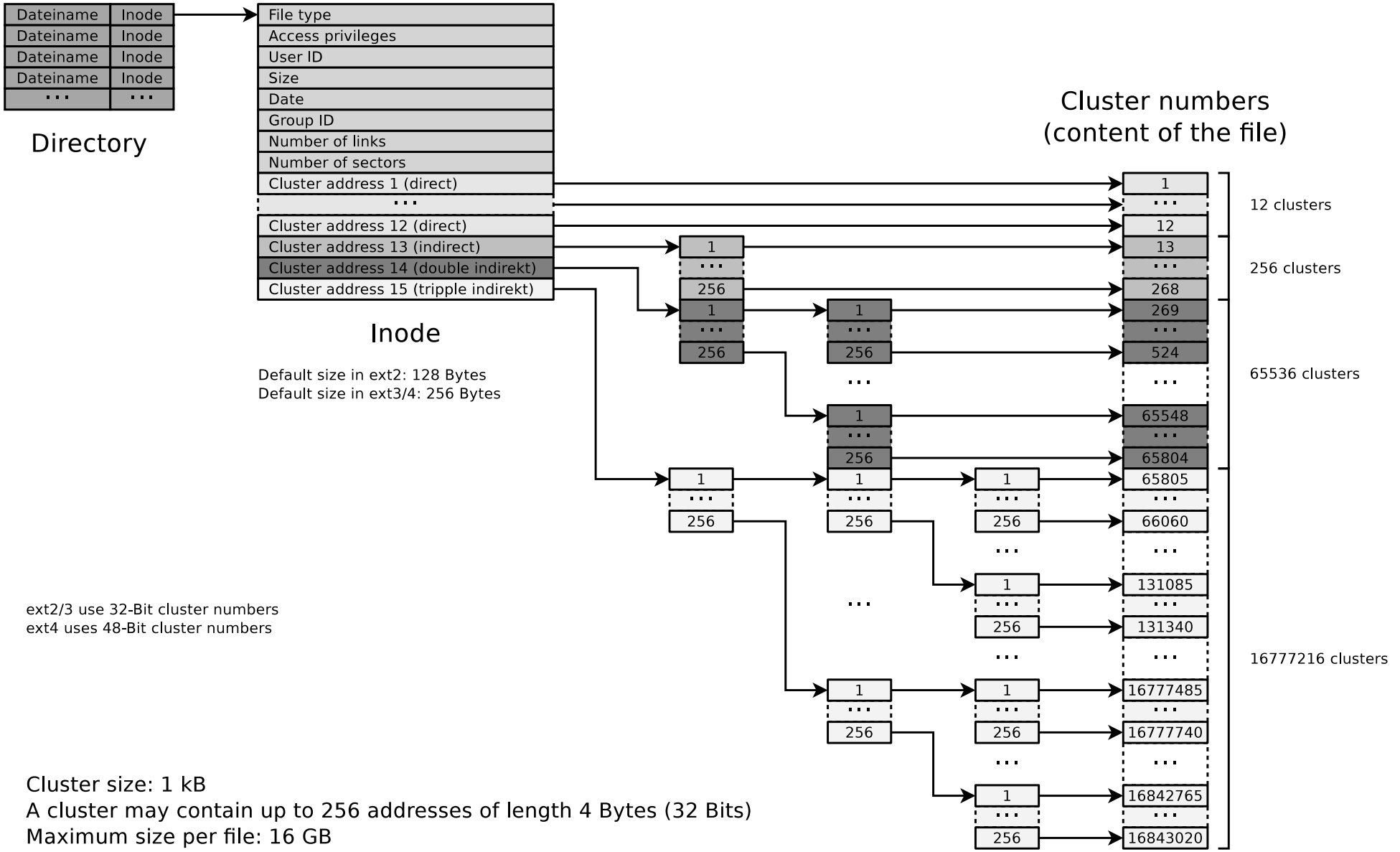
- If a file requires more clusters, these clusters are indirectly addressed
- Minix, ext2/3/4, ReiserFS and Reiser4 implement block addressing

Good explanation

`http://lwn.net/Articles/187321/`

- Scenario: No more files can be created in the file system, despite the fact that sufficient capacity is available
- Possible explanation: No more inodes are available
- The command `df -i` shows the number of existing inodes and how many are still available

Direct and indirect Addressing using the Example ext2/3/4



Cluster size: 1 kB
A cluster may contain up to 256 addresses of length 4 Bytes (32 Bits)
Maximum size per file: 16 GB

Minix

The Minix operating system

- Unix-like operating system
- Developed since 1987 by Andrew S. Tanenbaum for education purposes
- Latest revision is 3.3.0 is from 2014

- Standard Linux file system until 1992
  - Not surprising, because Minix was the basis of the development of Linux
- The Minix file system causes low overhead
  - Still used for boot floppies and RAM disks
- Storage is represented as a linear chain of equal-sized blocks (1-8 kB)
- A Minix file system contains just 6 areas
  - The simple structure makes it ideal for education purposes

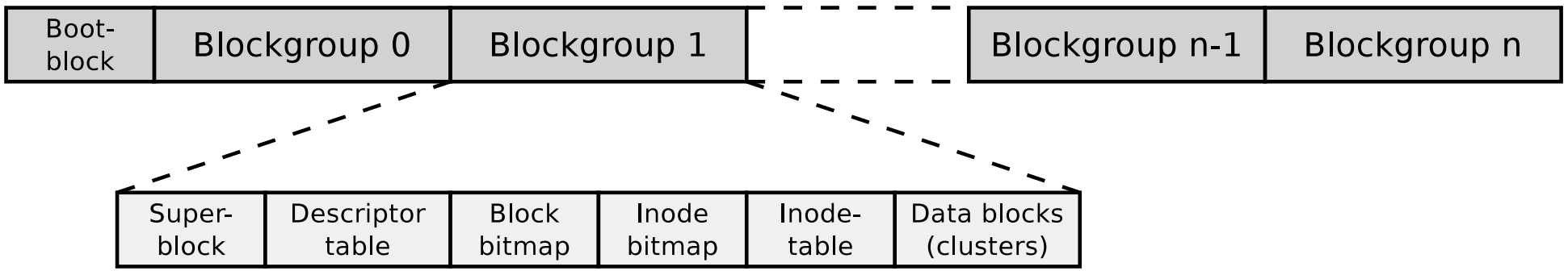
Minix File System Structure

Area 1	Area 2	Area 3	Area 4	Area 5	Area 6
Boot block *1 block*	Super block *1 block*	Inodes bitmap *1 block*	Clusters bitmap *1 block*	Inodes *15 blocks*	Data *Remainder*

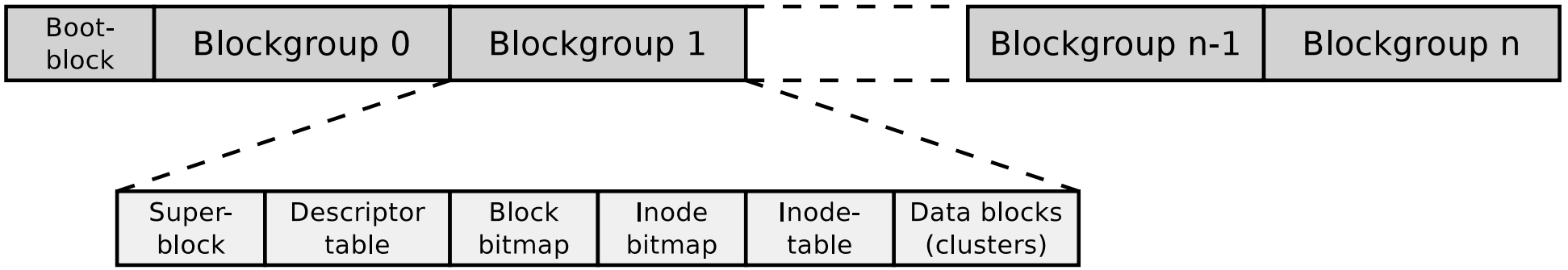
- **Boot block**. Contains the boot loader, which starts the operating system
- **Super block**. Contains information about the file system,
  - e.g. number of inodes and clusters
- **Inodes bitmap**. Contains a list of all inodes with the information, whether the inode is occupied (value: 1) or free (value: 0)
- **Clusters bitmap**. Contains a list of all clusters with the information, whether the cluster is occupied (value: 1) or free (value: 0)
- **Inodes**. Contains the inodes with the metadata
  - Every file and every directory is represented by at least a single inode, which contains the metadata
    - Metadata is among others the file type, UID/GID, access privileges, size
- **Data**. Contains the content of the files and directories
  - This is the biggest part in the file system

ext2/3

- The clusters of the file system are combined to **block groups** of the same size
  - The information about the metadata and free clusters of each block group are maintained in the respective block group
  - Maximum size of a block group: 8x cluster size in bytes
    - Example: If the cluster size is 1,024 bytes, each block group can contain up to 8,192 clusters
  - Benefit of block groups: Inodes (metadata) are physically located close to the clusters, they address



ext2/3 Block Group Structure



- The first cluster of the file system contains the **bootblock** (size: 1 kB)
  - It contains the boot manager, which starts the operating system
- Each block group contains a **copy of the super block**
  - This improves the data security
- The **descriptor table** contains among others:
  - The cluster numbers of the block bitmap and inode bitmap
  - The number of free clusters and inodes in the block group
- **Block bitmap** and **inode bitmap** are each a single cluster big
  - They contain the information, which clusters and inodes in the block group are occupied
- The **inode table** contains the inodes of the block group
- The remaining clusters of the block group can be used for the **data**

File Allocation Table (FAT)

The FAT file system was released in 1980 with QDOS, which was later renamed to MS-DOS

QDOS = Quick and Dirty Operating System

- The FAT (**File Allocation Table**) is a table of fixed size
- For each cluster in the file system, an entry exists in the FAT with the following information about the cluster:
  - Cluster is free or the storage medium is damaged at this point
  - Cluster is occupied by a file
    - In this case it stores the address of the next cluster, which belongs to the file or it is the last cluster of the file
- The clusters of a file are a linked list (**cluster chain**)

FAT File System Structure (1/2)

Area 1	Area 2	Area 3	Area 4	Area 5	Area 6
Boot block	Reserved blocks	FAT 1	FAT 2	Root directory	Data region

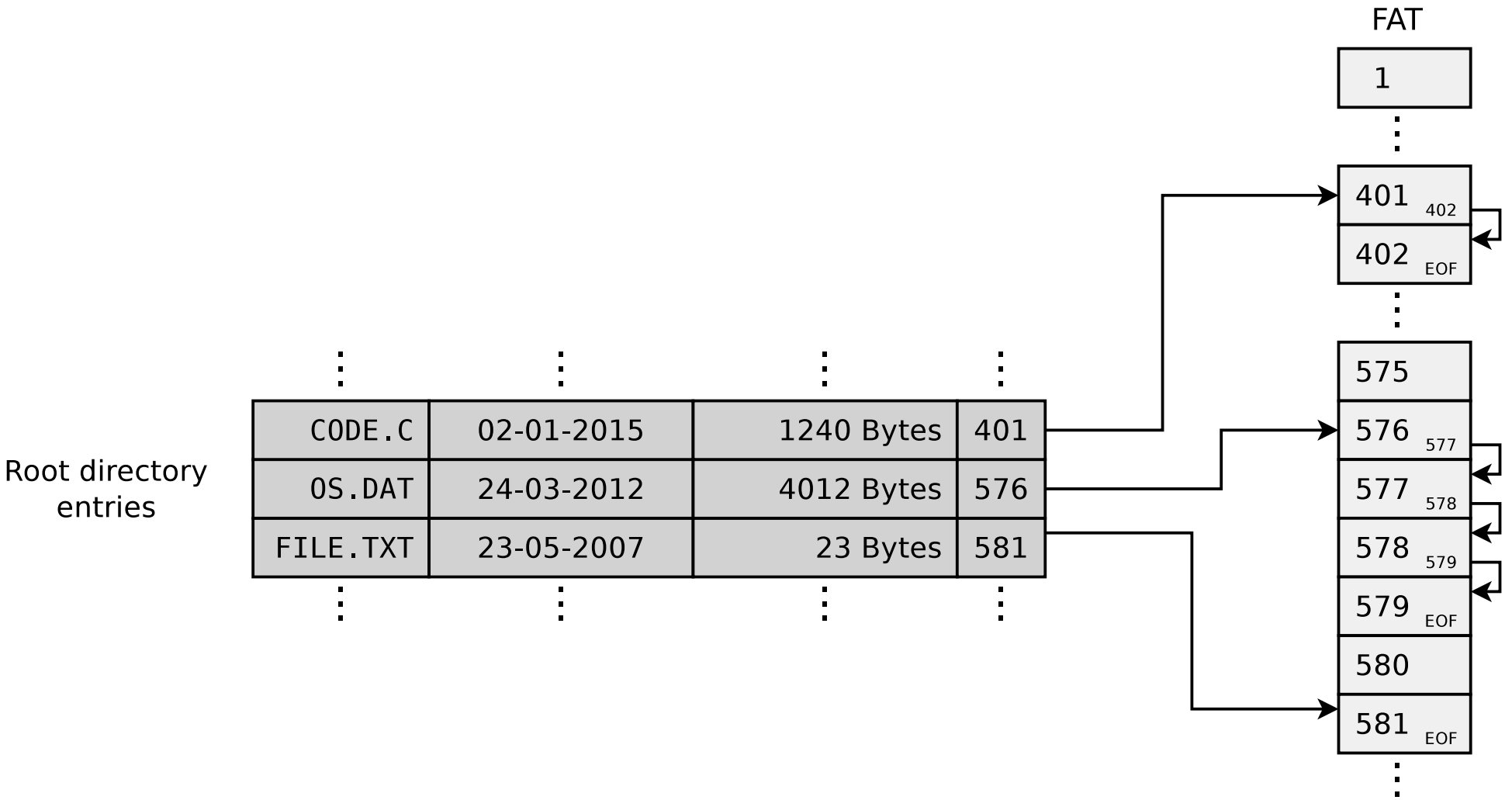
- The **boot sector** contains executable x86 machine code, which starts the operating system, and information about the file system:
  - Block size of the storage medium (512, 1,024, 2,048 or 4,096 Bytes)
  - Number of blocks per cluster
  - Number of blocks (sectors) at the storage medium
  - Description of the storage medium
  - Description of the FAT version
- Between the boot block and the first FAT, optional **reserved blocks** may exist, e.g. for the boot manager
  - These clusters can not be used by the file system

FAT File System Structure (2/2)

Area 1	Area 2	Area 3	Area 4	Area 5	Area 6
Boot block	Reserved blocks	FAT 1	FAT 2	Root directory	Data region

- The **File Allocation Table** (FAT) stores a record for each cluster in the file system, which informs, whether the cluster is occupied or free
  - The FAT's consistency is essential for the functionality of the file system
    - Therefore, usually a copy of the FAT exists, in order to have a complete FAT as backup in case of a data loss
- In the **root directory**, every file and every directory is represented by an entry:
  - With FAT12 and FAT16, the root directory is located directly behind the FAT and has a fixed size
    - The maximum number of directory entries is therefore limited
  - With FAT32, the root directory can reside at any desired position in the data region and has a variable size
- The last region contains the actual **data**

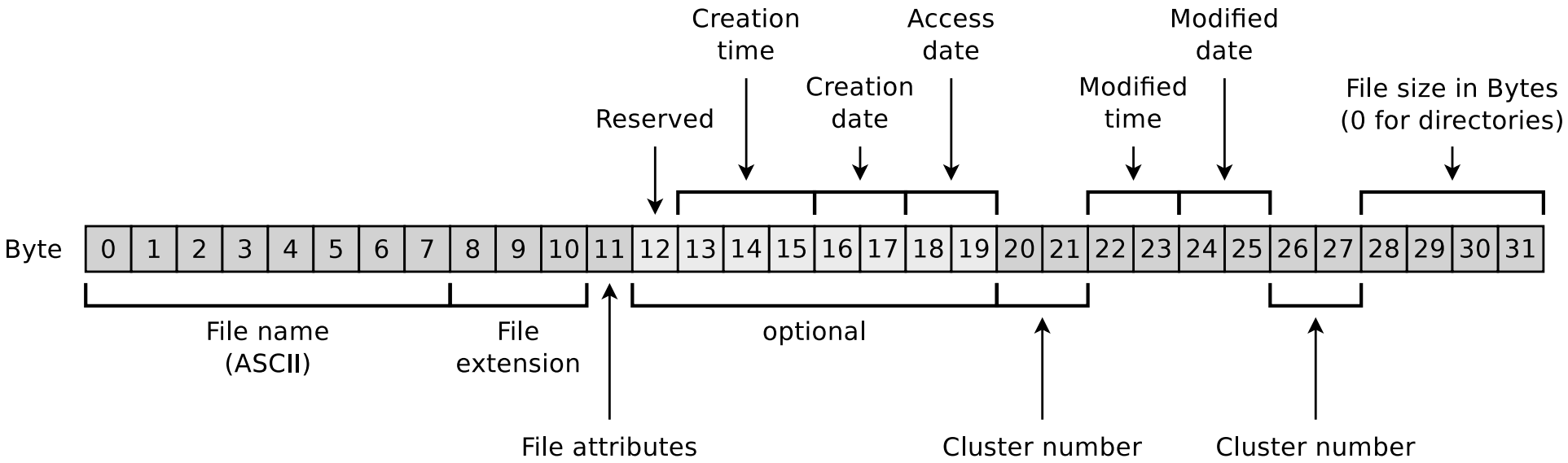
Root Directory and FAT



The topic FAT is clearly explained by...

- **Betriebssysteme**, *Carsten Vogt*, 1st edition, Spektrum Akademischer Verlag (2001), P. 178-179

Structure of Root Directory Entries



File attributes

0000 0001 (0x01)	read-only
0000 0010 (0x02)	hidden file
0000 0100 (0x04)	system file
0000 1000 (0x08)	name of the storage medium (volume label)
0000 1111 (0x0f)	long file name
0001 0000 (0x10)	directory
0010 0000 (0x20)	archive

Why is 4 GB the maximum file size on FAT32?

Only 4 Bytes are available for specifying the file size.
A file size of 0 Bits makes no sense, because it is just impossible.
For this reason is the maximum file size even just $2^{32} - 1 = 4,294,967,295$ Bit

FAT12

Released in 1980 with the first QDOS release

- Length of the cluster numbers: 12 bits
  - Up to $2^{12} = 4,096$ clusters can be addressed
- Cluster size: 512 Bytes to 4 kB
- Supports storage media (partitions) up to 16 MB

$$2^{12} * 4\,\text{kB cluster size} = 16.384\,\text{kB} = 16\,\text{MB maximum file system size}$$

- File names are supported only in 8.3 format
  - Up to 8 characters can be used to represent the file name and 3 characters for the file name extension

Used today only for DOS and Windows floppy disks

FAT16

- Released in 1983 because it was foreseeable that an address space of 16 MB is insufficient
- Up to $2^{16} = 65,524$ clusters can be addressed
  - 12 clusters are reserved
- Cluster size: 512 Bytes to 256 kB
- File names are supported only in 8.3 format
- Main field of application today: Mobile storage media ≤ 2 GB

Source: `http://support.microsoft.com/kb/140365/de`

Partition size	Cluster size
up to 31 MB	512 Bytes
32 MB - 63 MB	1 kB
64 MB - 127 MB	2 kB
128 MB - 255 MB	4 kB
256 MB - 511 MB	8 kB
512 MB - 1 GB	16 kB
1 GB - 2 GB	32 kB
2 GB - 4 GB	64 kB
4 GB - 8 GB	128 kB
8 GB - 16 GB	256 kB

The table contains default cluster sizes of Windows 2000/XP/Vista/7. The cluster size can be manually specified during the file system creation

64 kB cluster size is not supported by all operating systems. It is e.g. not supported by MS-DOS or Windows 95/98/Me

128 kB cluster size and 256 kB cluster size is not supported by all operating systems too. It is e.g. not supported by MS-DOS or Windows 2000/XP/7

FAT32

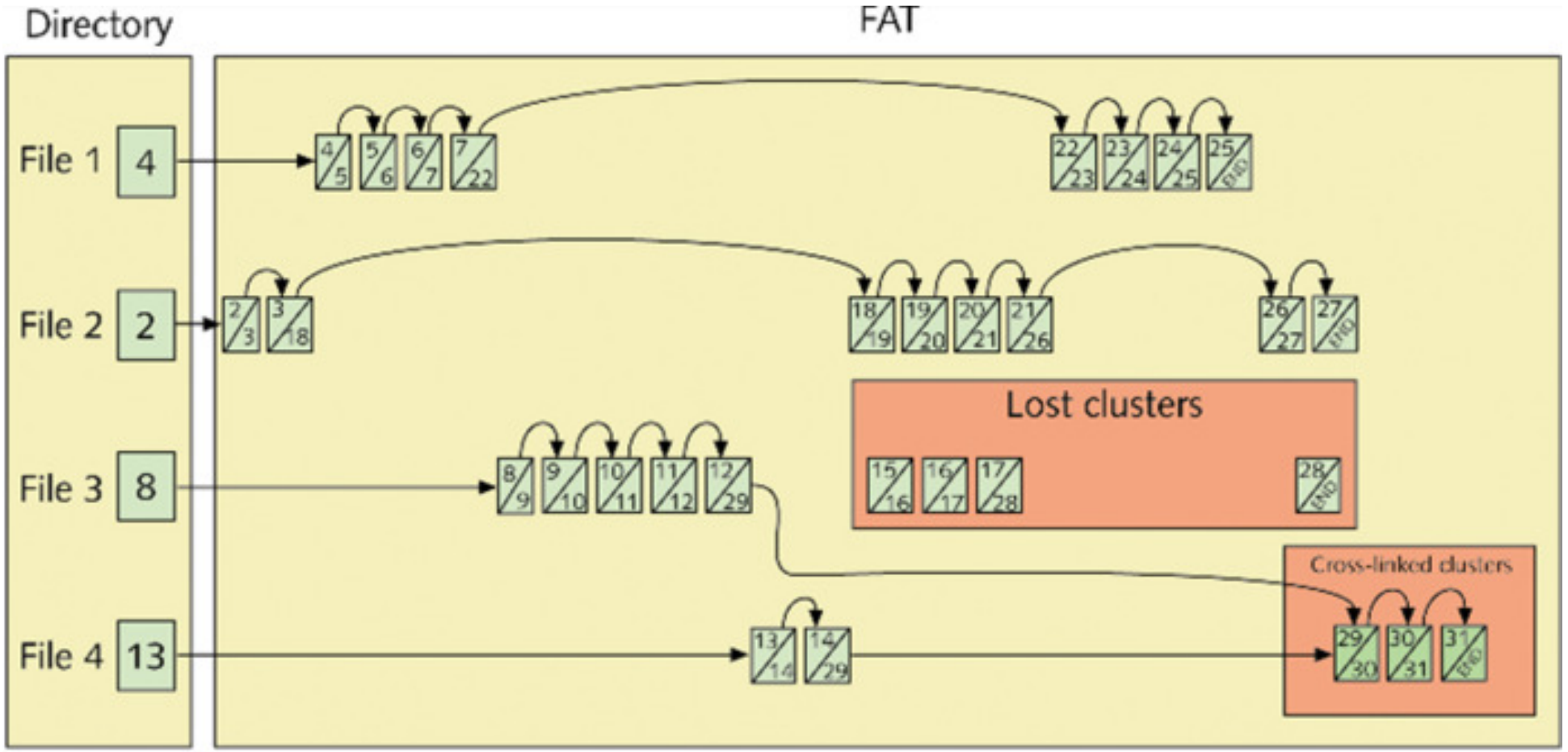
- Released in 1997 because of the rising HDD capacities and because clusters $> 32\,\text{kB}$ waste a lot of storage
- Size of the FAT entries for the cluster numbers: 32 Bits
  - 4 Bits are reserved
  - Therefore, only $2^{28} = 268,435,456$ clusters can be addressed
- Cluster size: 512 Bytes to 32 kB
- Maximum file size: 4 GB
- Main field of application today: Mobile storage media $> 2\,\text{GB}$

Partition size	Cluster size
up to 63 MB	512 Bytes
64 MB - 127 MB	1 kB
128 MB - 255 MB	2 kB
256 MB - 511 MB	4 kB
512 MB - 1 GB	4 kB
1 GB - 2 GB	4 kB
2 GB - 4 GB	4 kB
4 GB - 8 GB	4 kB
8 GB - 16 GB	8 kB
16 GB - 32 GB	16 kB
32 GB - 2 TB	32 kB

The table contains default cluster sizes of Windows 2000/XP/Vista/7. The cluster size can be manually specified during the file system creation

Sources: `http://support.microsoft.com/kb/140365/de`

Risk of File System Inconsistencies



Lost and cross-linked clusters

Source: `http://www.sal.ksu.edu/faculty/tim/ossg/File_sys/file_system_errors.html`

VFAT

- VFAT (Virtual File Allocation Table) was released in 1997
  - Extension for FAT12/16/32 to support long filenames
- Because of VFAT, Windows supported for the first time...
  - file names that do not comply with the 8.3 format
  - file names up to a length of 255 characters
- Uses the Unicode character encoding

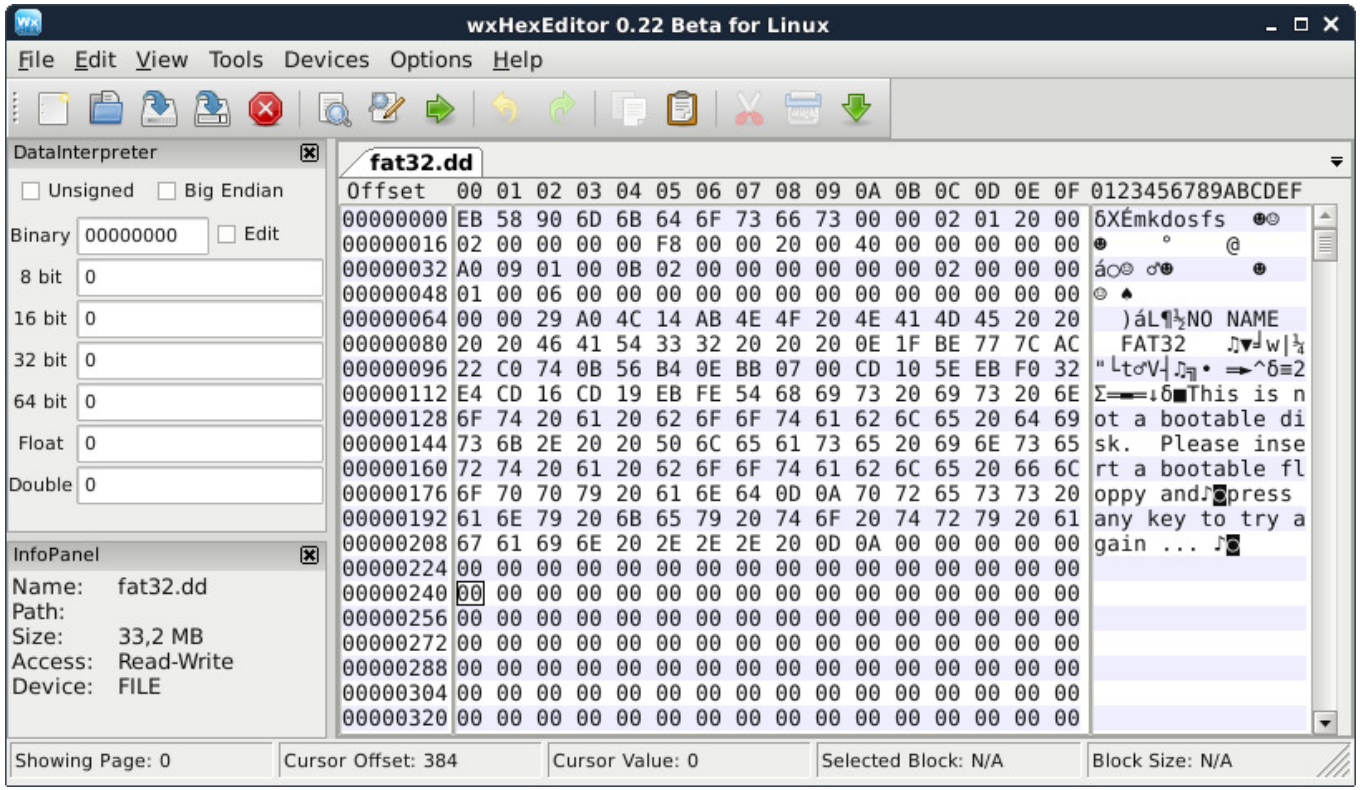
Long file names – Long File Name Support (LFN)

- VFAT is an interesting example for implementing a new functionality without loosing the backward compatibility
- Long file names (up to 255 characters) are distributed to max. 20 pseudo-directory entries (see slide 35)
- File systems without Long File Name support ignore the pseudo-directory entries and show only the shortened name
- For a VFAT entry in the FAT, the first 4 bit of the **file attributes** field have value 1 (see slide 24)
- Special attribute: Upper/lower case is displayed, but ignored

Analyze FAT File Systems (1/3)

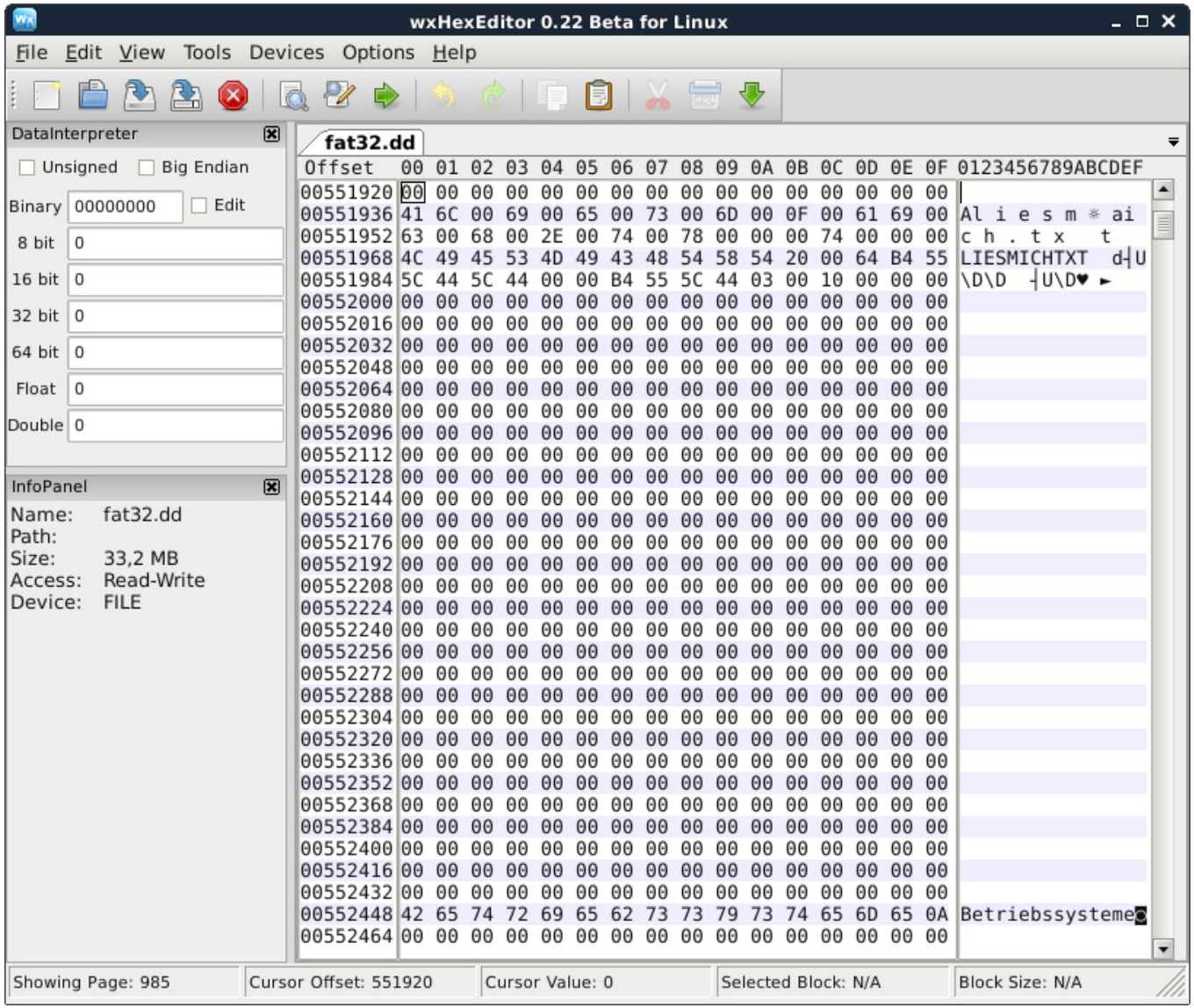
```
# dd if=/dev/zero of=./fat32.dd bs=1024000 count=34
34+0 Datensätze ein
34+0 Datensätze aus
34816000 Bytes (35 MB) kopiert, 0,0213804 s, 1,6 GB/s
# mkfs.vfat -F 32 fat32.dd
mkfs.vfat 3.0.16 (01 Mar 2013)

# mkdir /mnt/fat32
# mount -o loop -t vfat fat32.dd /mnt/fat32/

# mount | grep fat32
/tmp/fat32.dd on /mnt/fat32 type vfat (rw,relatime,fmask=0022,dmask=0022,codepage=437,iocharset=utf8,shortname
      =mixed,errors=remount-ro)
# df -h | grep fat32
/dev/loop0      33M    512   33M    1% /mnt/fat32

# ls -l /mnt/fat32
insgesamt 0

# echo "Betriebssysteme" > /mnt/fat32/liesmich.txt
# cat /mnt/fat32/liesmich.txt
Betriebssysteme
# ls -l /mnt/fat32/liesmich.txt
-rwxr-xr-x 1 root root 16 Feb 28 10:45 /mnt/fat32/liesmich.txt

# umount /mnt/fat32/
# mount | grep fat32
# df -h | grep fat32

# wxHexEditor fat32.dd
```

Analyze FAT File Systems (2/3)



Helpful information:
`http://dorumugs.blogspot.de/2013/01/file-system-geography-fat32.html`
`http://www.win.tue.nl/~aeb/linux/fs/fat/fat-1.html`

Analyze FAT File Systems (3/3)



NTFS – New Technology File System

Several different versions of the NTFS file system exist

- NTFS 1.0: Windows NT 3.1 (released in 1993)
- NTFS 1.1: Windows NT 3.5/3.51
- NTFS 2.x: Windows NT 4.0 bis SP3
- NTFS 3.0: Windows NT 4.0 ab SP3/2000
- NTFS 3.1: Windows XP/2003/Vista/7

Recent versions of NTFS offer additional features as...

- support for quotas since version 3.x
- transparent compression
- transparent encryption (Triple-DES and AES) since version 2.x

- NTFS offers, compared with its predecessor FAT, among others:
  - Maximum file size: 16 TB ($\Longrightarrow$ extents)
  - Maximum partition size: 256 TB ($\Longrightarrow$ extents)
  - Security features on file and directory level
  - Maximum filename length: 255 characters
  - File names can contain almost any Unicode character
    - Exceptions: \0 and /
- Cluster size: 512 Bytes to 64 kB

Compatibility with MS-DOS

- NTFS and VFAT stores for every file a unique filename in 8.3 format
  - This way, Microsoft operating systems without NTFS and VFAT support can access files on NTFS partitions
- Challenge: The short file names must be unique
- Solution:
  - All special characters and dots inside the name are erased
  - All lowercase letters are converted to uppercase letters
  - Only the first 6 characters are kept
    - Next, a `~1` follows before the point
  - The first 3 characters after the dot are kept and the rest is erased
  - If a file with the same name already exists, `~1` is replaced with `~2`, etc.
- Example: The file `A very long filename.test.pdf` is displayed in MS-DOS as: `AVERYL~1.pdf`

Structure of NTFS

- The file system contains a **Master File Table** (MFT)
  - It contains the references of the files to the clusters
  - In addition to that, the files' metadata (size, creation date, modified date, sharing, file type and maybe the file content inside the MFT
    - The contents of small files $\leq$ 900 Bytes is stored directly in the MFT

Source: **How NTFS Works**. Microsoft. 2003. `https://technet.microsoft.com/en-us/library/cc781134(v=ws.10).aspx`

- When a partition is formated as, a fixed space is reserved for the MFT
  - 12.5% of the partition size is reserved for the MFT by default
  - If the MFT area has no more free capacity, the file system uses free storage of the partition for the MFT
    - This may cause fragmentation of the MFT

Partition size	Cluster size
< 16 TB	4 kB
16 TB - 32 TB	8 kB
32 TB - 64 TB	16 kB
64 TB - 128 TB	32 kB
128 TB - 256 TB	64 kB

The table contains default cluster sizes of Windows 2000/XP/Vista/7. The cluster size can be manually specified during the file system creation

Source: `http://support.microsoft.com/kb/140365/de`

Problem: Write Operations

- If files or directories are created, relocated, renamed, erased, or modified, write operations in the file system are required
  - Write operations shall convert data from one consistent state to a new consistent state
- If a failure occurs during a write operation, the consistency of the file system must be checked
  - If the size of a file system is multiple GB, the consistency check may take several hours or days
  - Skipping the consistency check, may cause data loss
- Objective: Narrow down the data, which need to be checked by the consistency check
- Solution: Implement a journal, which keeps track about all write operations $\Longrightarrow$ Journaling file systems

Journaling File Systems

- Implement a journal, where write operations are collected before being committed to the file system
  - At fixed time intervals, the journal is closed and the write operations are carried out
- Advantage: After a crash, only the files (clusters) and metadata must be checked, for which a record exists in the journal
- Drawback: Journaling increases the number of write operations, because modifications are first written to the journal and next carried out
- 2 variants of journaling:
  - Metadata journaling
  - Full journaling

Helpful descriptions of the different journaling concepts...

- **Analysis and Evolution of Journaling File Systems**, *Vijayan Prabhakaran, Andrea C. Arpaci-Dusseau, Remzi H. Arpaci-Dusseau*, 2005 USENIX Annual Technical Conference, `http://www.usenix.org/legacy/events/usenix05/tech/general/full_papers/prabhakaran/prabhakaran.pdf`
- `http://www.ibm.com/developerworks/library/l-journaling-filesystems/index.html`

Journaling Variants

- **Metadata journaling** (*Write-Back*)
  - The journal contains only metadata (inode) modifications
    - Only the consistency of the metadata is ensured after a crash
  - Modifications to clusters are carried out by `sync()` ($\Longrightarrow$ write-back)
    - The `sync()` system call commits the page cache (= buffer cache) to the HDD/SDD
  - Advantage: Consistency checks only last a few seconds
  - Drawback: Loss of data due to a system crash is still possible
  - Only option when using XFS, optional with ext3/4 and ReiserFS
  - NTFS provides only metadata journaling
- **Full journaling**
  - Modifications to metadata and clusters of files are written to the journal
  - Advantage: Ensures the consistency of the files
  - Drawback: All write operation must be carried out twice
  - Optional with ext3/4 and ReiserFS

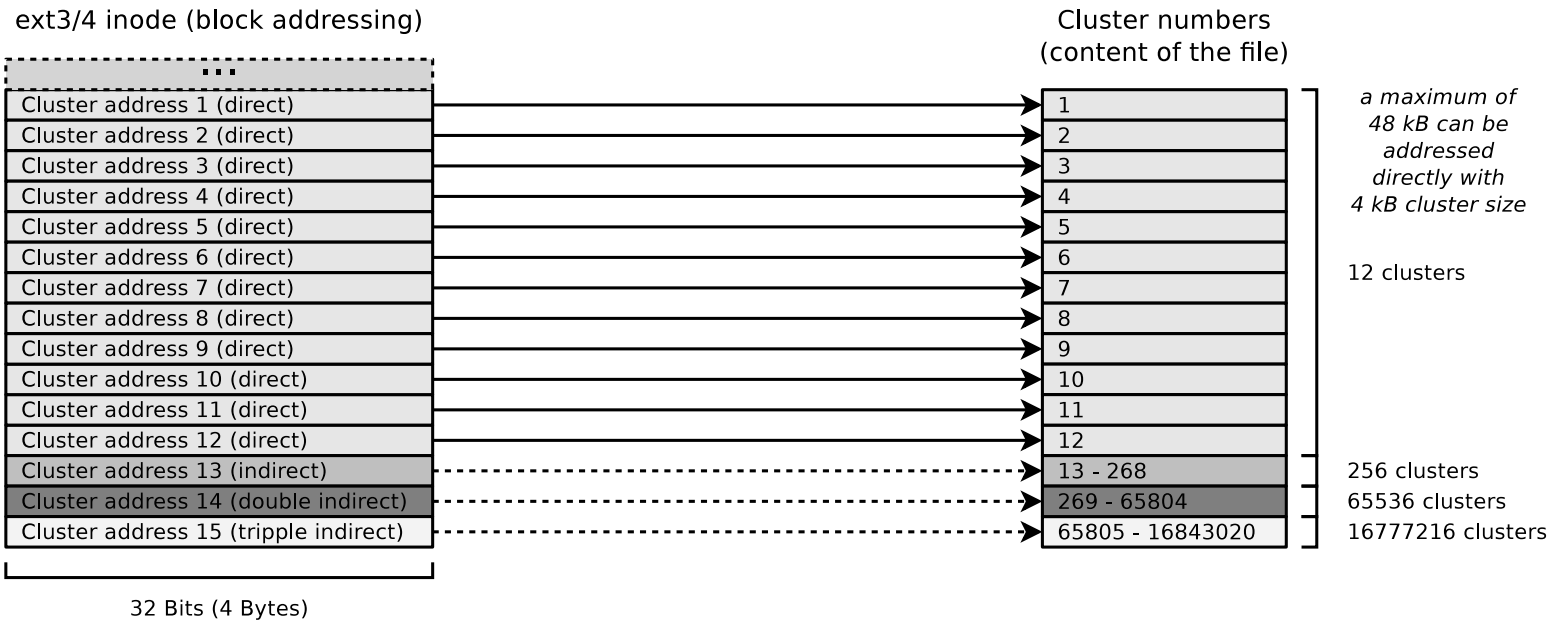
The alternative is therefore high data security and high write speed

Compromise between the Variants: Ordered Journaling

- Most Linux distributions use per default a compromise between both variants
- **Ordered journaling**
  - The journal contains only metadata modifications
  - **File modifications are carried out in the file system first and next the relevant metadata modifications are written to the journal**
  - Advantage: Consistency checks only last a few seconds and high write speed equal to journaling, where only metadata is journaled
  - Drawback: Only the consistency of the metadata is ensured
    - If a crash occurs while uncompleted transactions in the journal exist, new files and attachments get lost because the clusters are not yet allocated to the inodes
    - Overwritten files after a crash may have inconsistent content and maybe cannot be repaired, because no copy of the old version exists
  - Examples: Only option when using JFS, standard with ext3/4 and ReiserFS

Problem: Overhead

- Every inode at block addressing addresses a certain number of cluster numbers directly
- If a file requires more clusters, they are indirectly addressed



- This addressing scheme causes rising overhead with rising file size
- Solution: Extents

Extent-based Addressing

- Inodes do not address individual clusters, but instead create large areas of files to areas of contiguous blocks (**extents**) on the storage device
- Instead of multiple individual clusters numbers, only 3 values are required:
  - Start of the area in the file (cluster number)
  - Size of the area in the file (in clusters)
  - Number of the first Block on the storage device

- Result: Lesser overhead
- Examples: JFS, XFS, btrfs, NTFS, ext4

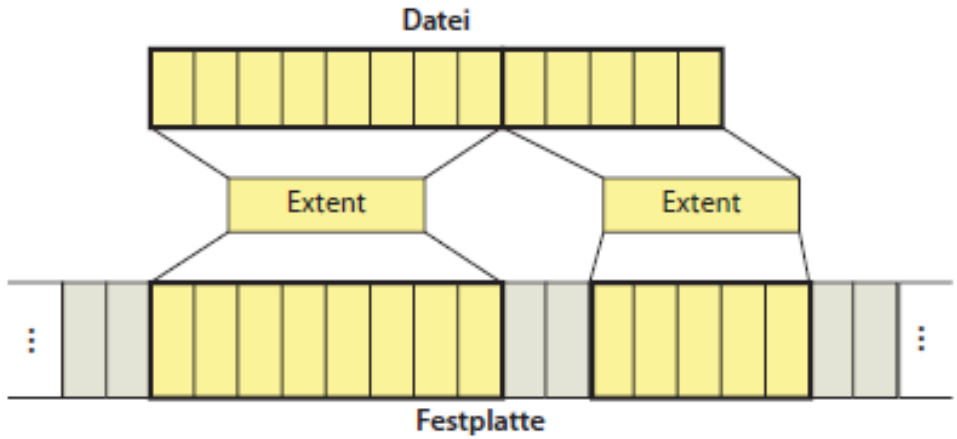
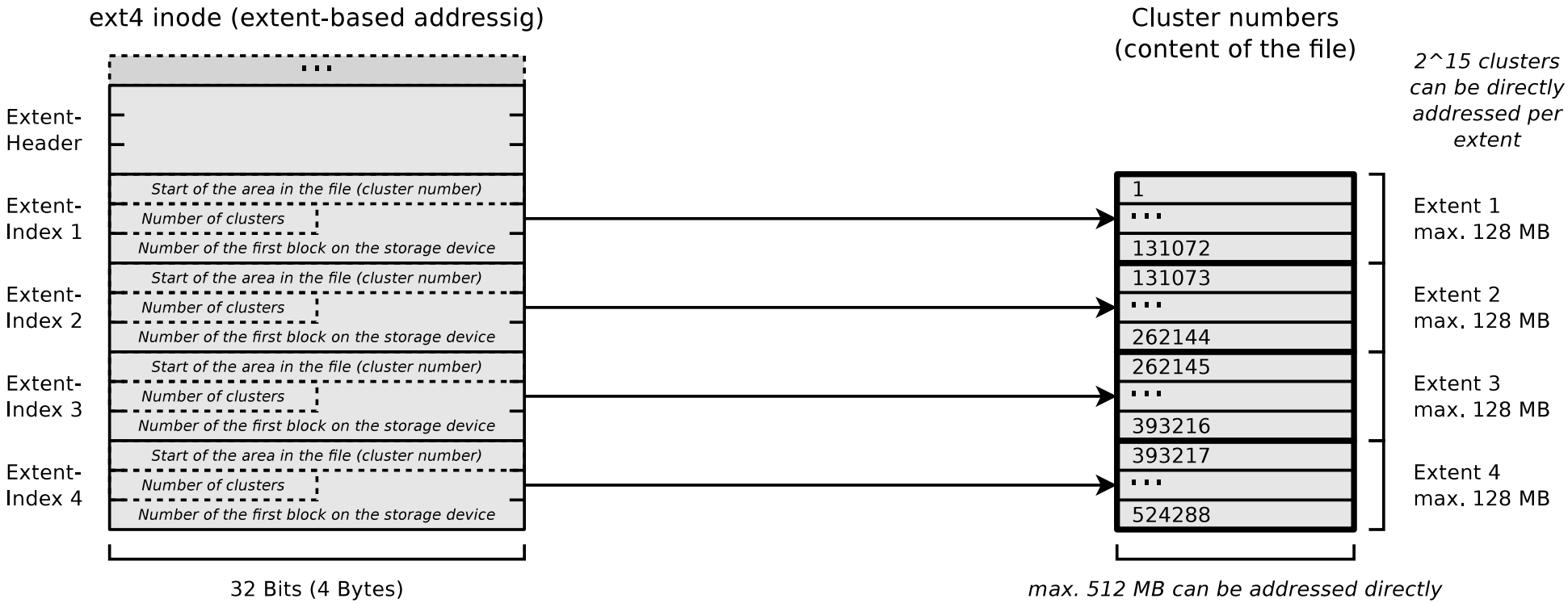


Image source: `http://www.heise.de/open/artikel/Extents-221268.html`

Extents using the Example ext4

- With block addressing in ext3/4, each inode contains 15 areas with a size of 4 Bytes each ($\Longrightarrow$ 60 Bytes) for addressing clusters
- ext4 uses this 60 Bytes for an extent header (12 Bytes) and for addressing 4 extents (12 Bytes each)



Benefit of Extents using the Example ext4

- With a maximum of 12 clusters, an ext3/4 inode can directly address 48 kB (at 4 kB cluster size)

- With 4 extents, an ext4 inode can directly address 512 MB
- If the size of a file is $>$ 512 MB, ext4 creates a tree of extents
  - The principle is analogous to indirect block addressing

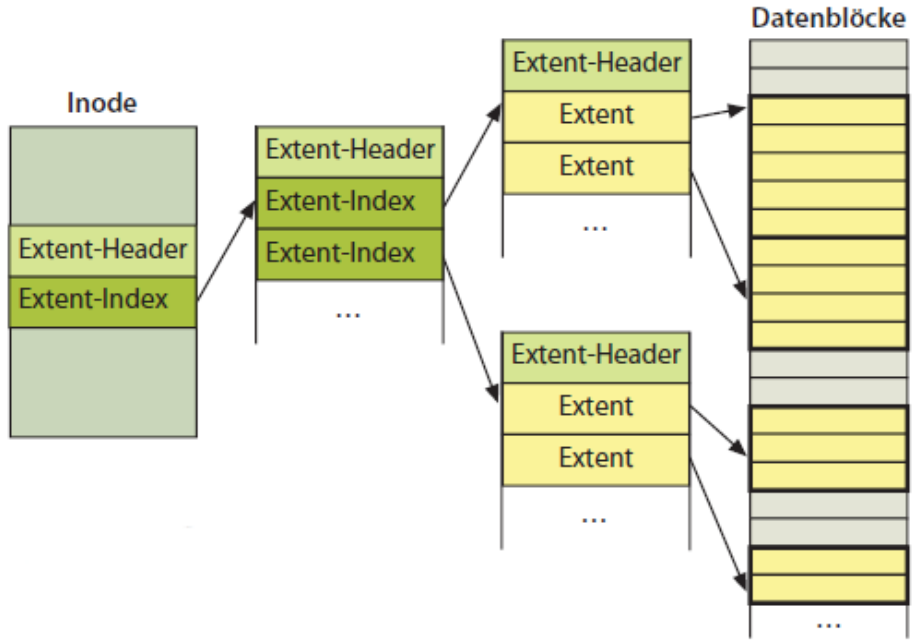
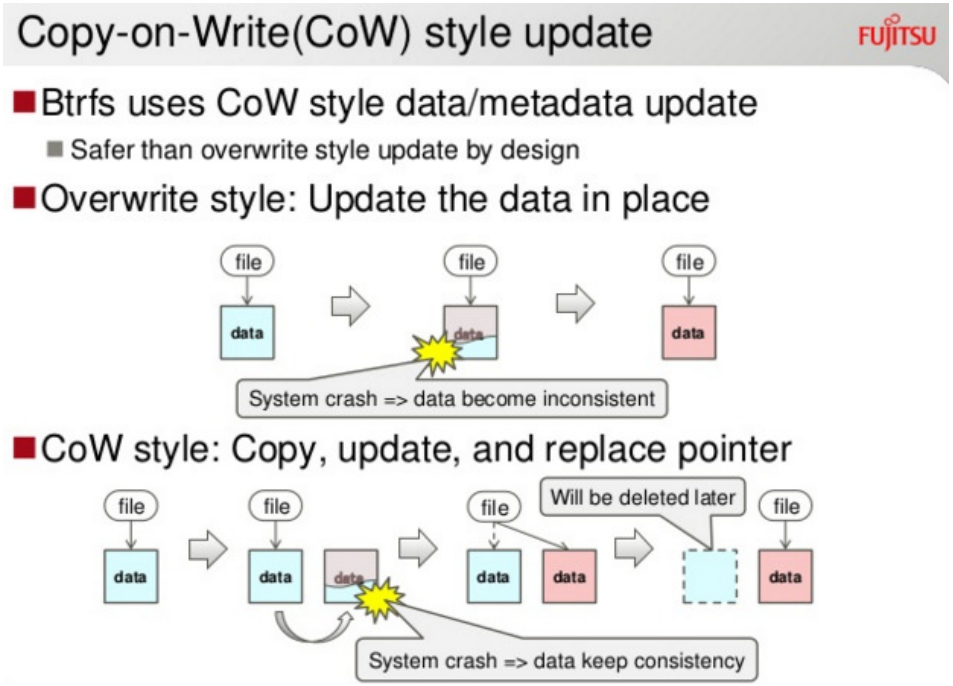


Image source `http://www.heise.de/open/artikel/Extents-221268.html`

Most advanced Concept: Copy-on-write

Image Source: Satoru Takeuchi (Fujitsu)

- During a write access in the file system, the content of the original file is not modified, but it is written as a new file in free clusters
- Next, the metadata is modified for the new file
- Until the metadata is modified, the original file is kept and can be used after a crash



- Benefits:
  - Data security is better compared with journaling file systems
  - Snapshots can be created without delay
- Examples: ZFS and btrfs

Fragmentation

- A cluster can only be assigned to a single file
  - If the size of a file is bigger than a cluster, the file is split and stored in several clusters
    - **Fragmentation** means that logically related clusters are not located physically next to each other
  - Objective: Avoid frequent movements of the HDDs arms
    - If the cluster of a file are distributed over the HDD, the heads need to perform more time-consuming position changes when the file is accessed
    - For SSDs the position of the clusters is irrelevant for the latency

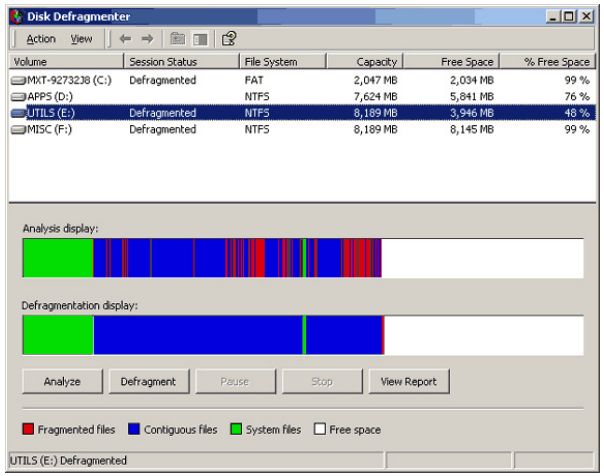


Image source: http://windowsitpro.com

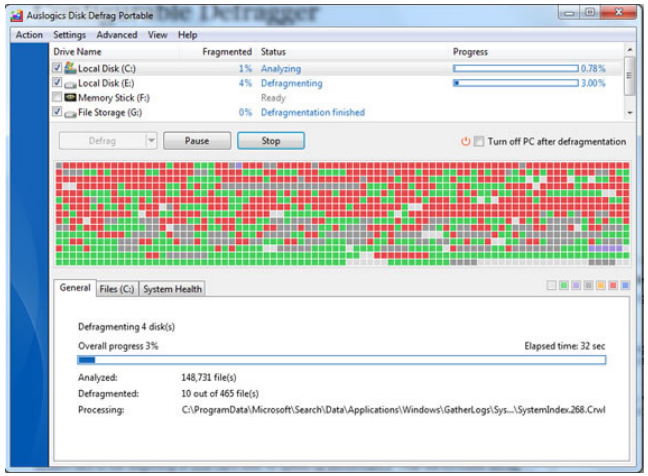


Image source: http://www.teknobites.com

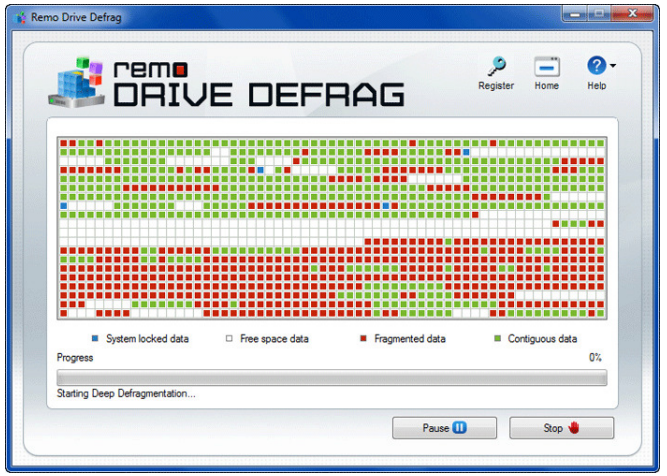


Image s.: http://www.remosoftware.com

Defragmentation (1/3)

- These questions are frequently asked:
  - Why is it for Linux/UNIX not common to defragment?
  - Does fragmentation occur with Linux/UNIX?
  - Is it possible to defragment with Linux/UNIX?
- First of all, we need to answer: What do we want to achieve with **defragmentation**?
  - Writing data to a drive, always leads to fragmentation
    - The data is no longer contiguously arranged
  - A continuous arrangement would maximum accelerate to **continuous forward reading** of the data because no more seek times occur
  - Only if the seek times are huge, defragmentation makes sense
    - With operating systems, which use only a little amount of main memory for caching HDD accesses, high seek times are very negative

Discovery 1: Defragmentation accelerates mainly the continuous forward reading

Defragmentation (2/3)

- Singletasking operating systems (e.g. MS-DOS)
  - Only a single application can be executed
    - If this application often hangs, because it waits for the results of read/write operations, this causes a poor system performance

Discovery 2: Defragmentation may be useful for singletasking operating systems

- Multitasking operating systems
  - Multiple programs are executed at the same time
    - **Applications can almost never read large amounts of data in a row, without other applications in between, requesting r/w operations**
- In order to prevent that programs, which are executed at the same time, do not interfere each other, operating systems read more data than requested
  - The system reads a stock of data into the **cache**, even if no requests for these data exist

Discovery 3: In multitasking operating systems, applications can almost never read large amounts of data in a row

Defragmentation (3/3)

- Linux systems automatically hold data in the cache, which is frequently accessed by the processes
  - **The impact of the cache greatly exceeds the short-term benefits, which can be achieved by defragmentation**
- Defragmenting has mainly a **benchmark effect**
  - In practice, defragmentation (in Linux!) causes almost no positive impact
  - Tools like `defragfs` can be used for Linux file system defragmentation
    - Using these tools is often not recommended and useful

Discovery 4: Defragmenting has mainly a benchmark effect
Discovery 5: Enlarge the file system cache brings better results than defragmentation

Helpful source of information: `http://www.thomas-krenn.com/de/wiki/Linux_Page_Cache`