Neural network development in the analysis of single top-quark production in association with a Higgs boson and light-quark at ATLAS

Christian Kirfel

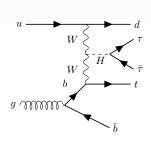
15th of March 2020







tHq ditau hadhad channel selection



- n-jets: 2 (b-jets: 1)
- b-jet WP: 70 DL1r
- nLeptons & nTaus: 1 $m{e}/\mu$ 2 $au_{\sf had}$
- *E*_{T,miss}: no cut (to 800 GeV)

• jets:

p_T > 35 GeV

• $|\eta| < 4.5$

EMPFlow

• electrons:

 $p_T > 20 \,\text{GeV}$ leading 27 GeV

• $|\eta| <$ 2.5 not in 1.37 - 1.52

 WP: LooseAndBLayerLH; isolation: no requirement

• muons:

• $p_T > 20 \,\text{GeV}$ leading 27 GeV

• $0.01 < |\eta| < 2.5$

WP: Loose ; isolation: no requirement

taus:

• $p_T > 20 \,\text{GeV}$ leading 27 GeV

• $|\eta| < 2.5$ not in 1.37 - 1.52

WP: RNNLoose

• ASG recommended OLR (au_{had} remove jets



Challenges

Negative weights in Monte Carlo

- Negative weights arise from ... source missing
- In neural network training negative weights lead to an unwanted behaviour

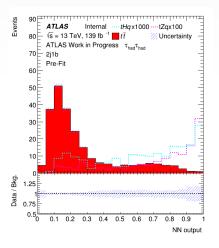
Different sizes of background processes

- Dominating background can diminish the significance of secondary backgrounds in training
- Especially very signal-like backgrounds are likely to be mislabeled

Accelerating network optimisation

- Exploration of new features is only possible in an optimised network
- An evolutionary optimisation approach minimises the work effort

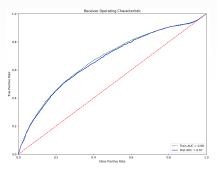
Background processes in neural network training

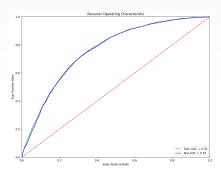


- Generally expected shape for signal and background response
- Due to the dominating size of tt̄ it defines the training
- For example tZq gets classified as signal
- The network has to be trained to take care of smaller signal-like samples
- Approaches: multiple networks, multiple targets, reweighting of the samples



Impact of negative weights on the training





- About 35% of events have negative weights
- Negative weights break the networks training
- Possible ways of handling the weights is to use the absolutes or just use positive weights

Problems in network optimisation

Obstacles of optimisation processes

- Grid searches are both tedious and resource intensive
- · Knowledge gained in one problem is rarely universal
- Experienced users can develop a bias towards certain hyperparamters

Applications of evolutionary optimisation

- A neural network should be developed in parallel to an ongoing analysis.
- New additions need a new optimisation.

Training specifications (Redundant?)

- Training a deep neural network
- Coarse optimisation using an evolutionary neural network
- Fine optimisation doing a grid search
- Optimised hyperparameters:
 - Number of nodes
 - Number of layers
 - Dropout percentage
- Signal: tHq
- Background: tt̄
- Using absolute weights



Evolutionary optimisation of neural networks

- Combination of a grid searches with a survival of the fittest setup
- Start with a number of random hyperparamters
- Evaluate the set of hyperparameters
- Create a a new set of networks based on the previous best
- Add recombination and variation to avoid local minima and bias

Example of an evolutionary training

- tHq as signal against tt as background
- Using basic kinematic variables
- Region: 2j1b
- Weights: absolute
- Testing: nodes, layers, dropout
- Fixed hyperparameters:
 - Optimizer: Adam
 - Activation: relu, sigmoid
 - Batchsize: 1000
 - Epochs per generation: 25

Initial parameters

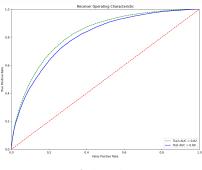
- Layers: 1 − 10
- Nodes: 1 − 100
- Dropout: 0 − 1

Final parameters

- Layers: 4 ± 2
- Nodes: 67 ± 33
- Dropout: 0.4 ± 0.3



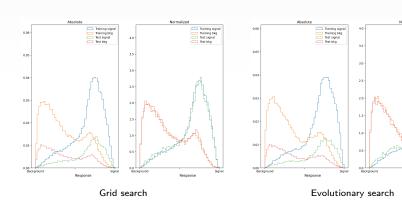
Comparing ROC to a grid search



Grid search Evolutionary search



Comparing response to a grid search





Training signal

Test signal

CCCO Test bkg

Response

Training bkg

Conclusions

- Using neural networks for signal to background separation in the tHq channel is an interesting analysis that offers many challenges.
- Negative weights resulting from Monte Carlo generators cannot easily be handled by machine learning algorithms.
- Different sizes of background samples result in smaller backgrounds getting ignored or even classified as signal
- Evolutionary optimisation of neural networks is a nice approach to reoptimise a network automatically in an ongoing analysis.