

# **Putting the Pieces Together: Exons and piRNAs**

A Dissertation Presented

By

Christian K. Roy

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BIOCHEMISTRY

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Exons and piRNAs

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The signatures of the Dissertation Defense Committee signify  
completion and approval as to style and content of the Dissertation

---

Melissa J. Moore, Co-Thesis Advisor

---

Phillip D. Zamore, Co-Thesis Advisor

---

Scot Wolfe, Member of Committee

---

Job Dekker, Member of Committee

The signature of the Chair of the Committee signifies that the written  
dissertation meets the requirements of the Dissertation Committee

---

Zhiping Weng, Chair of Committee

The signature of the Dean of the Graduate School of Biomedical Sciences  
signifies that the student has met all graduation requirements of the school.

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Anthony Carruthers, Ph.D.,  
Dean of the Graduate School of Biomedical Sciences  
Biochemistry and Molecular Pharmacology  
MAY 21st 2014

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*For the Grump... I did it.*

UNIVERSITY OF MASSACHUSETTS MEDICAL SCHOOL

## *Abstract*

BIOCHEMISTRY AND MOLECULAR PHARMACOLOGY

Doctor of Philosophy

**Putting the Pieces Together:  
Exons and piRNAs**

by Christian K. Roy

Analysis of gene expression has undergone a technological revolution. What was impossible 6 years ago is now routine and high-throughput DNA sequencing machines capable of generating hundreds of millions of reads allow, indeed force, a major revision toward the study of the genome's functional output—the transcriptome. This thesis examines the history of DNA sequencing, measurement of gene expression by sequencing, isoform complexity driven by alternative splicing and mammalian piRNA precursor biogenesis. Examination of these topics is framed around development of a novel RNA-templated DNA-DNA ligation assay (SeqZip) that allows for efficient analysis of abundant, complex, and functional long RNAs. The discussion focuses on the future of transcriptome analysis, development and applications of SeqZip, and challenges presented to biomedical researchers by extremely large and rich datasets.

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# **Todo list**

aka	also known as
ATP	Adenosine triphosphate
AS	Alternative Splicing
bp	A base pair of DNA
CAP	5' 7meG structure attached to mRNAs
ChIP-Seq	Chromatin Immunoprecipitation followed by sequencing
CNS	Central Nervous System
DNA	Deoxyribonucleic acid
dpp	days post partum
EST	Expressed Sequence Tag
FLLP	Full length ligation product
FISH	Fluorescence in situ hybridization
FISSEQ	fluorescent in situ RNA sequencing
GFP	Green Fluorescent Protein
HITS-CLIP	high-throughput sequencing after cross-linking and immunoprecipitation
hnRNP	heterogeneous nuclear ribonucleoprotein
HTS	High-throughput sequencing (see also NGS)
IAP	intra-cisternal A particle
IRE	Intron Recognition Element
kb	kilo-base of RNA (in nt)
kbp	kilo-base-pair of DNA (in nt)
LINE1	Long interspersed element 1
LNA	Locked Nucleic Acid
MPSS	Massively Parallel Signature sequencing
NAD	Nicotinamide adenine dinucleotide
NGS	Next-generation sequencing
nt	A nucleotide of either DNA or RNA
Pol II	Polymerase II, one of the main RNA polymerases
RNA	Ribonucleic acid
SAGE	Serial Analysis of Gene Expression
SNP	Single Nucleotide Polymorphism
SRE	Splicing Regulatory Element
ssDNA	Single-stranded DNA
ssRNA	Single-stranded RNA
TSS	{Transcription or Translation} Start Site
TTS	{Transcription or Translation} Termination Site
5'	The 5 prime end of a DNA or RNA molecule
3'	The 3 prime end of a DNA or RNA molecule
$\mu$	Micro. A value of $1 \times 10^{-6}$ standard units
r	Pearson product-moment correlation coefficient
$\rho$	Spearman's rank correlation coefficient

**RNA-Seq** A technology wherein RNA is fragmented, converted to DNA, and analyzed on a high-throughput sequencing instrument

**A “Read”** The sequence of nucleotides produced from each spot on a high-throughput sequencing machine

**Insert** The RNA molecule captured between two cloning sequences in a high-throughput sequencing library preparation workflow

**Read length** The number of nucleotides for each given “read”

**Read depth** The number of reads obtained from each high-throughput sequencing analysis

**Coverage** A measure of the number of times each nt of a genome is sequenced.  
E.g. 100 million reads of a 10 million nt genome = 10X coverage, assuming uniform distribution of the “reads”

**Paired-end** When both sides of a DNA insert or template are sequenced, utilizing the original length of DNA between the reads to facilitate mapping ([Roach et al. \[1995\]](#)).

**Scaffold or Contig** A draft sequence of nucleotides, meant to represent the actual biological sequence as closely as possible, examples include unassembled fragments of chromosomes or fragments of mRNA transcripts.

**Argonaute** Protein(s) belonging to a group containing a Piwi (P-element induced wimpy testes) domain, that bind nucleic acids and participate in many target-guided processes, including RNA Interference, and RNA-induced transcript/gene silencing.

**Ligamer** A DNA oligonucleotide containing two distinct regions of complementarity to a 5' and 3' section of RNA. Each region is normalized for  $T_m$  such that the length of each section is ~15–30 nt. These two regions are

connected by a short sequence of the designer's choice, usually >5 nt in length. Each ligamers overall length is ~45–60 nt. See figures 4.1 and 2.1.

## *Preface*

The work reported in this dissertation has been published in the following articles.

Some contents of Chapter 2 are included in a currently submitted manuscript.

Chapter 3 has been published previously as:

*Li, X. Z., Roy, C. K., Dong, X., Bolcun-Filas, E., Wang, J., Han, B. W., Xu, J., Moore, M. J., Schimenti, J. C., Weng, Z., and Zamore, P. D. (2013a). An ancient transcription factor initiates the burst of piRNA production during early meiosis in mouse testes. Molecular cell, 50(1):67–81*

# Chapter 1

## Introduction

### 1.1 Fixed Genomes and Flexible Genes

Exodus tells of the liberation of the Israelites from Egyptian slavery. Humble and reluctant Moses, their divine-appointed messiah, attempts to force the Pharaoh Ramses to release the Israelites through inflicting 10 plagues. Pharaoh is stalwart and stubborn as water turns to blood and the streets are flooded with frogs, lice, and flies. As livestock falls dead from disease, people and animals both are covered in boils, and the land burns in storms of fire, Pharaoh does not bend.

The 8th plague was a swarm of Locusts, described in Exodus 10: 14-15:

<sup>14</sup> *And the locusts went up over all the land of Egypt, and rested in all the coasts of Egypt: very grievous were they; before them there were no such locusts as they, neither after them shall be such.*

<sup>15</sup> *For they covered the face of the whole earth, so that the land was darkened; and they did eat every herb of the land, and all the fruit of the trees which the hail had left: and there remained not any green thing in the trees, or in the herbs of the field, through all the land of Egypt.*

The desolation of a locust plague was still not enough to persuade Ramses. Nor was three days of darkness. Only after the death of all first-born Egyptians, including Ramses own son, was Pharaoh persuaded to liberate the Israelites.

Locust swarms are not biblical fantasy. Today the United Nations' Food and Agriculture division maintains a [Locust watch website](#) that provides weekly updates on potential swarms in northern Africa and the Middle East. Locusts have long been, and continue to be, a powerful and feared force of Nature.

Unlike fire and brimstone, locusts are something that can be observed and studied. What triggers a swam? We know that the desert locust, *Schistocerca gregaria*, is the one of 10 species that swarm and cause massive crop damage. *Schistocerca gregaria* are in the insect Order Orthoptera, along with crickets and katydids. Orthoptern members make sound known as *stridulation* by vigorously rubbing their wings, making for a noisy cloud of devastation. They only weigh 0.05–0.07 ounces and are less than 2.5 inches long but can consume their own body weight in vegetation per day. One [swarm](#) of the infamous, and now curiously extinct, Rocky Mountain locust contained 12.2 *trillion* insects. Its estimated total weight was 27.5 *million* tons. The swarm covered almost 200 square miles (2/3

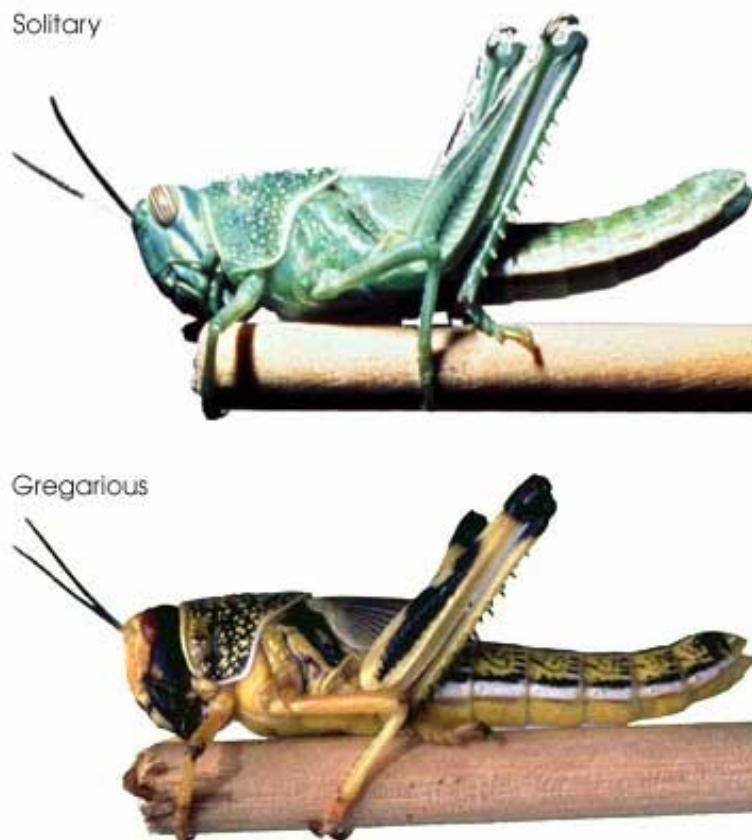


FIGURE 1.1: The Solitary and Gregarious forms of *Schistocerca gregaria*

The two phenotypic forms of *Schistocerca gregaria* appear very different. The solitary form is green and generally larger, while its gregarious form is more brightly colored, smaller, and swarms in vast numbers. Photo from [Wikicommons](#).

the size of Manhattan), and could travel 60 miles in a day. A locust swarm is truly a modern biblical plague.

By definition swarms are temporary; the movement, en masse, from one location to another. But where do 12.2 trillion locusts go when not swarming? Does anyone care if their crops aren't under assault? It seemed no one cared until 1921 when an important realization was made.

The power and destruction *Schistocerca gregaria* can inflict makes it difficult to believe that they are nothing more than common grasshoppers. Nothing more than grasshoppers not just by analogy, but by actual *Taxonomy*. “Desert” locusts are actually the *gregarious* form of *Schistocerca gregaria* (Figure 1.1), while the more familiar and docile looking “grasshopper” is the *solitary form*. How does such a dichotomy exist within the same organism—indeed the same *genome*?

*Schistocerca gregaria* are *polyphenic*, meaning that they have multiple (poly) physical forms (phenotypes). Polyphenism is a general feature among insects. These phenotypes are often extremely different. For example, pea aphids (*Acyrtosiphon pisum*), which usually exist in an asexually reproducing, wingless female form, respond to reduced food supply and overcrowding by producing winged sexually-reproducing offspring. Winged organisms travel to new sources of food and revert back to the asexually reproducing form [Purandare et al., 2014, Shingleton et al., 2003]. In the case of *Schistocerca gregaria*, the gregarious form is smaller and more brightly colored compared to its solitary cousins. This transformation can happen in as little as two hours. What is the underlying cause of this transformation?

In 2009, Anstey et al. [2009] reported that after two hours of forced crowding *Schistocerca gregaria* displayed elevated levels of the neurotransmitter serotonin in the ganglia (brain). Serotonin levels were strongly correlated with other

gregarious form indicators. Serotonin regulates neuronal junctions and wiring in the brain [Hoeffner et al., 2003]. Through the integration of environmental and social cues, the grasshopper brain can be re-wired, resulting in tremendous changes in behavior and phenotype. These changes prepare the organism to deal with a different world. It allows the organism to survive. Survival that is to the detriment of surrounding agriculture.

In an extremely interesting [article](#), David Dobbs compares the two forms of *Schistocerca gregaria* to that of Dr. Jekyll and Mr. Hyde, the principle characters in the Robert Louis Stevenson novella. For Dr. Jekyll in fiction, and for *Schistocerca gregaria* in reality, the power to morph into multiple forms demonstrates the incredible power of a fixed genome yet plastic gene expression.

It is often said that something is “in the genes.” Another oft-heard idiom that is perhaps more appropriate is: “it’s how you use them.” This thesis will illustrate that, with ever increasing resolution in the measurement of functional gene products (i.e. the “transcriptome”), we are beginning to realize the tremendous diversity and complexity of gene expression.

## 1.2 Nucleic Acid Sequencing

### 1.2.1 DNA Sequencing

That DNA is the source of genetic information in all living organisms was first realized in 1953 [[Watson and Crick, 1953](#)]. The “*pretty*” and “*elegant*” arrangement of complementary, antiparallel, DNA strands captivated everyone, including one of DNAs co-discoverers [[Watson et al., 2012](#)]. Yet it took 25 years after the structure was known to be able to determine specific arrangements of nucleotide bases in a given length of DNA (i.e. to “sequence”). By 1977, two completely different methods developed by Sanger [[Sanger and Coulson, 1975](#), [Sanger et al., 1977](#)] and Maxam-Gilbert [[Maxam and Gilbert, 1992](#)] were reported. These sequencing technologies, from then on referred to eponymously as “Sanger” or “Maxam-Gilbert” sequencing, were used to determine the specific order of a small piece of DNA (200–300 nt). Over the next 35 years, DNA sequences were slowly cloned, sequenced, analyzed, and dutifully catalogued into knowledge.

During the late 1970’s and throughout the 1980’s, DNA sequences were typically communicated in important publications [[Bell et al., 1980](#), [Sanger et al., 1978](#)]. The birth of the Internet in the 1990’s allowed publically-funded repositories to store sequence information [[Benson et al., 2011](#)]. Yet it took the human genome project to transform the tedious and balkanized DNA sequencing efforts

into an organized process capable of assembling complex genomes [[Lander, 2011](#), [Venter et al., 2001](#)]. An often criticized, but undeniably disrupting force in the human genome project was the competing efforts by the privately-owned company Celera [[Venter, 2007](#)]. Taking a higher-throughput and centralized, Celera fundamentally changed the process of genome assembly. Instead of assigning specific sections of the genome to be worked out by individual labs, Celera centralized the efforts by collecting many of the best “high-throughput” Sanger-sequencing devices from Agilent (ABI 3700 DNA Analyzer). Celera used and a “shotgun” sequencing approach [[Staden, 1979](#)], combined with sequence scaffolds from the publicly-funded project, to quickly assemble a high-quality genome. Arguably, this was the first deep sequencing effort. Coincident with the beginning of a new millennium, it changed the landscape of molecular and biochemical research.

## 1.2.2 High-throughput Sequencing

Sanger’s DNA sequencing technology remains a valuable tool for every biological scientist. However, Sanger sequencing has a practical throughput limit. Each DNA molecule to be sequenced must be isolated, cloned, and amplified—using bacteria. Given that the human genome [[Consortium, 2004](#)] comprises >3 billion bp, and each Sanger reaction provides ~800 nt of quality sequence, at least ~4 million individual reactions are needed to determine the sequence of the human

genome. This number assumes all “reads” are of sufficient quality, length, and do not overlap by even 1 nt.

Even the best practical improvements to Sanger work-flows could not bring the technology in-line with aspirations of analyzing many species and/or organisms. The early 2000’s saw multiple efforts to improve the scale of DNA sequencing, first using Massively Parallel Signature sequencing (MPSS) [Brenner et al., 2000], but perhaps more importantly, by Pyro- [Ronaghi et al., 1998] and Polony-sequencing [Shendure et al., 2005]. Both pyro- and polony sequencing utilize emulsion PCR [Nakano et al., 2003] for clonal amplification prior to sequencing, removing the bottleneck of bacterial cloning. In contrast to Sanger sequencing, where fluorescence signal from the last incorporated chain-terminating nucleotide is observed, pyrosequencing visualizes light given off by luciferase reacting with pyrophosphate (PPi), a by-product of nucleotide incorporation. This approach was later commercialized by 454 technologies. Polony sequencing involves a more complicated sequencing-by-ligation method, eventually commercialized by Applied BioSystems and branded as SOLiD sequencing. While both of these technologies provided valuable high-throughput sequences, neither has been as successful as the approach commercialized by Solexa, now known as Illumina. Illumina sequencers use a sequencing-by-synthesis approach. After clonal amplification of DNA on a slide surface [Bentley et al., 2008], fluorescent nucleotides

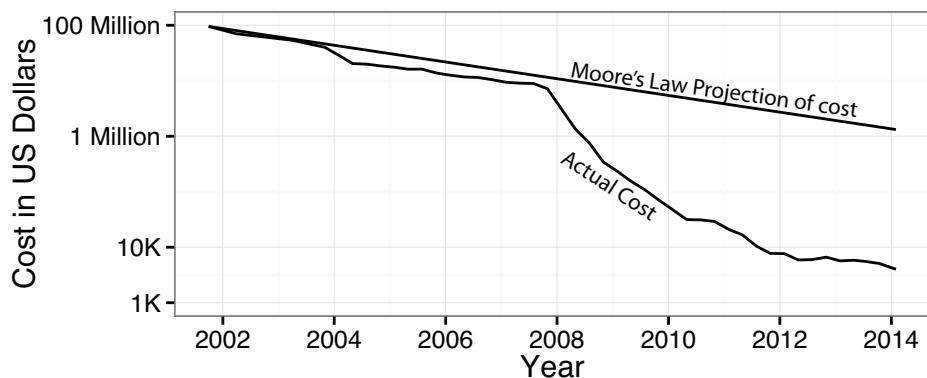


FIGURE 1.2: Cost of sequencing the human genome over time

The costs of sequencing the human genome has decreased on a log scale over a 10 year period due to major improvements in high-throughput sequencing. Data from Wetterstrand KA. DNA Sequencing Costs: Data from the NHGRI Genome Sequencing Program (GSP) Available at: [www.genome.gov/sequencingcosts](http://www.genome.gov/sequencingcosts). Accessed 2014-05-04).

are visualized as they are incorporated into the growing DNA strand. Since 2006, iterations of the Illumina platform (e.g. GE, GE-II(x), HiSeq, HiSeq 2500, Hi X) have demonstrated steady and impressive increases in both read depth and length. On February 15th 2012, Illumina announced on its [Basespace blog](#), that they had sequenced a haplotype map (HapMap) sample at 40X coverage, using the HiSeq 2500 platform and paired-end 100 nt reads in a single run. On January 14th, 2014, Illumina [announced](#) its HiSeq X system, the first platform to truly attain the benchmark \$1,000 genome [Hayden, 2014, Service, 2006]. These machines demonstrate that sequencing genomes is no longer the monumental endeavor it once was and that completely new experimental possibilities are a reality for life science researchers (Figure 1.2).

### 1.2.3 RNA Sequencing

The first widely-accepted large scale method used to measured gene expression was Serial Analysis of Gene Expression (SAGE) [[Velculescu et al., 1995](#)]. SAGE, like the before mentioned MPSS technique, produces a digital output of gene expression using a clever procedure of restriction endonucleolytic cDNA cleavage. Cleaved-product sticky ends are concatenated together to form long DNA fragments. Fragments are cloned into a vector, amplified, and Sanger sequenced. Using known sequences incorporated during concatenation, the number of sequenced fragments that align to a given gene is related to the abundance of the original RNA molecule. A clever molecular trick, SAGE allowed researchers to dip into the 5-log range of mRNA expression. However, the technique is still limited by Sanger sequencing read lengths and depth.

After SAGE but prior to second generation high-throughput sequencing (HTS) technology, microarrays were the goto approach for genome wide gene expression analysis. The importance of microarrays in the measurement of gene expression cannot be overstated [[Marioni et al., 2008](#), [Shendure and Ji, 2008](#)], however, limitations of novel sequences discovery combined with analogue signal, make the relevance of microarray technology limited in application to complete isoform discovery and annotation (see Section [1.3.2](#) and Figure [1.5](#)). Yet Microarray technology is still very relevant. For example, use of microarrays

in the recent definition of the developing human brain transcriptome—where sample was precious and quantification of known genes was of more importance than novel isoform discovery—was a prudent analysis platform choice [Miller et al. \[2014\]](#).

Different from SAGE and microarrays, the Solexa/Illumina platform relies on clonal amplification of a single template directly on a slide surface and is therefore not restricted by bacterial cloning. This new form of “massively parallel” amplification uses imaging of clonal cDNA spots with sensitive digital cameras during sequential addition of fluorescent nucleotides (*sequencing by synthesis*). Machines from Illumina turned out to be posses the right mix for a “second generation” HTS platform. Soon after the Solexa/Illumina platform achieved read lengths of sufficient length and depth to measure gene expression, the first RNA-Seq papers were published [[Lister et al., 2008](#), [Mortazavi et al., 2008](#), [Nagalakshmi et al., 2008](#)], providing a glimpse into the future of molecular biology. Indeed, in the years since, analysis by RNA-Seq has quickly overtaken other forms of gene expression analysis, as demonstrated by the number of accessions created in the publically-funded repository of sequencing data, the Gene Expression OmniBus (GEO) [[Barrett et al., 2013](#)]. RNA-Seq allows for digital quantification of RNA expression across more physiologically-relevant ranges [[Blencowe et al., 2009](#)], novel sequence discovery, measuring RNA-editing [[Li et al., 2011](#)], and fuels the novel area of transcript assembly [[Trapnell et al., 2010](#)].

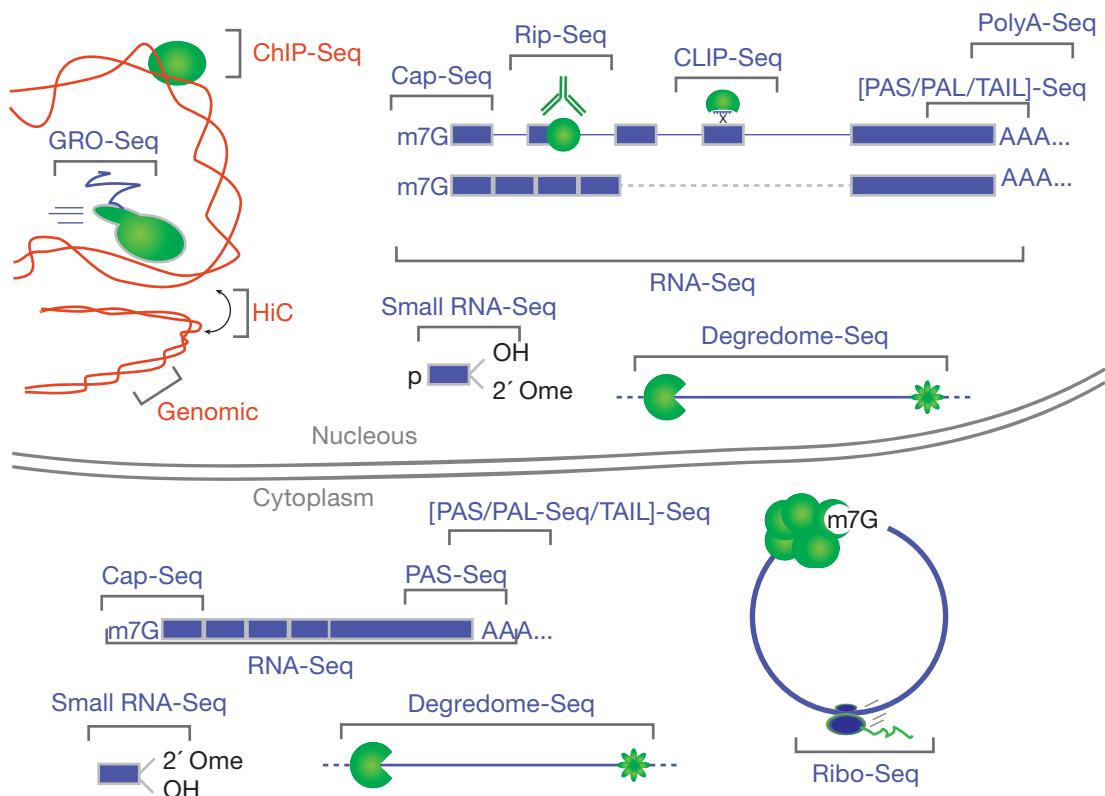


FIGURE 1.3: Methods for High-throughput sequencing of RNA

In the short years since the first report of RNA-Seq, many variations have been reported. The figure above provides an incomplete graphical illustration of these variations. A more complete list of “\*Seq” applications is maintained on this [blog](http://liorpachter.wordpress.com/seq) <http://liorpachter.wordpress.com/seq>.

Through modification of the basic protocol or performing additional biochemical steps, RNA-Seq can be used to investigate many aspects of RNA biology (Figure 1.3 and [Mutz et al., 2013]).

Numerous methodologies enrich RNA-Seq libraries for particular types of RNA. For example, measurement of nascent transcripts can be performed via Global Nuclear Run-on sequencing (GRO-Seq) [Core et al., 2008], and the extremely complicated process of RNA turnover (referring to the rates at which RNAs both

are produced and degraded) has been examined [Ghosh and Jacobson, 2010, Tani et al., 2012]. RNA::Protein interactions can be measured with or without cross-linking the protein to the RNA, via CLIP or RIP, respectively (see section 5.2.2) [Licatalosi and Darnell, 2006, Singh et al., 2014, Ule et al., 2005]. Once an RNA has been fully transcribed, known processing steps such as 5' 7meG CAP formation and poly(A)+ tail formation can be measured using any of the Cap-Seq/CAGE methodologies [Shiraki et al., 2003], or PAS/TAIL/PAL [Chang et al., 2014a, Shepard et al., 2011, Subtelny et al., 2014]. With appropriate size-selection steps, small RNAs [Ghildiyal et al., 2008] can also be captured. Finally, traditional RNA-Seq can capture many of the same RNA fragments as the above mentioned methods, even though it is mainly associated with measurement of traditional mRNA.

RNA-Seq (and all its flavors) are traditionally associated with quantification of RNA obtained from *many* tissue culture cells or bulk pieces of tissue. Recently, efforts to measure RNA expression in a single cell has gained attention [Shapiro et al., 2013]. Perhaps the most interesting concept concerning single-cell gene expression is the “biological uncertainty principle”, wherein it is possible to either know, or change — but not both— the RNA composition of a single cell. The name borrows from Heisenberg’s uncertainty principle [Kennard, 1927] and is often confused with the more appropriate “Observer effect” [Riley and Steitz, 2013]. Leaving that issue aside, measuring the unique transcriptome of a cell is

surely an exciting and informative endeavor [[Marinov et al., 2013](#), [Shalek et al., 2013](#), [Wills et al., 2013](#)]. Compared to DNA, the diversity of RNA synthesis within living cells is potentially much more complicated [[Shendure and Aiden, 2012](#)], and the ability to accurately measure RNA dynamics should allow us to make much more informative observations concerning biology than is currently possible.

## 1.3 Nucleic Acid Splicing

1977 brought the discovery of “split genes” [[Berget et al., 1977](#), [Chow et al., 1977](#)]. Almost immediately it was reasoned that RNA transcribed from split genes could be arranged in different combinations, greatly increasing the coding potential of a genome [[Gilbert, 1978](#)]. Differential arrangement of gene products via of transcription and splicing, where at least two unique transcripts produced, known as *alternative splicing*, has proven to be an integral part of eukaryotic gene expression.

### 1.3.1 Alternative Splicing

The number of genes estimated to be alternatively spliced has grown considerably. In 1993, Phillip Sharp, Co-Nobel-prize winner for the discovery of splicing,

stated that: “Approximately, one of every twenty genes is expressed by alternative pathways of RNA splicing in different cell types or growth states” [Sharp \[2014\]](#). Not long after the assembly of the first human genome, a number of groups combed through Expressed Sequence Tag (EST) databases to increase that estimate to 35%-59% [\[Modrek and Lee, 2002\]](#). Soon after, analysis using specially designed microarrays resulted in an increased estimate of 74% [\[Johnson et al., 2003\]](#). In late 2008, three groups used RNA-Seq to demonstrate that between 86% and 95% of human multi-exon genes are subject to alternative splicing [\[Pan et al., 2008, Sultan et al., 2008, Wang et al., 2008\]](#). Not only did they demonstrate that almost all genes are alternatively spliced, they also showed that alternative splicing often occurs in a tissue- and cell type-specific manner. In combination with regulation of transcription itself, the study of alternative splicing is critical to advance our understanding of the connections between the comparably static genomic DNA sequence and the highly flexible and adaptive abilities of organisms.

A pair of papers recently published in Science best illustrate the amazing complexity alternative splicing can generate between, and perhaps more importantly *within*, organisms [\[Barbosa-Morais et al., 2012, Merkin et al., 2012\]](#). RNA-Seq of RNA obtained from a diverse array of organisms and tissues has revealed that splicing patterns are shared more closely between organs of different species than between different organs within a species. It seems that alternative splicing

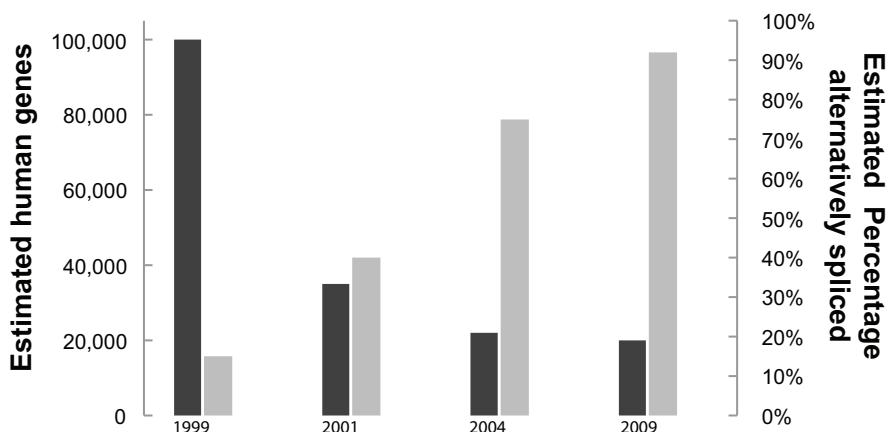


FIGURE 1.4: Dark Grey - Estimates of number of human genes; Light Grey - Estimates of what percent of genes undergo some form of alternative splicing.

is essential for physiologically-specialized organs to use a common genome.

### 1.3.2 The Connectivity Problem

Alternative splicing research now relies on large-scale (aka: *global, genome-wide, high-throughput*) techniques. Two of the most widely-applied technologies employed for large-scale analysis of gene expression are microarrays and “2nd generation” HTS. Unfortunately, both of these techniques have fundamental limitations, with the major issues being probe specificity for the former and read length for the latter.

Microarrays rely on hybridization of a target sequence to a known probe averaging 25–100 nt in length [Southern, 2001]. Therefore, microarrays only report the presence of short sequences in the target sample and do not provide linkage information between the sequences. A hypothetical scenario can be used to

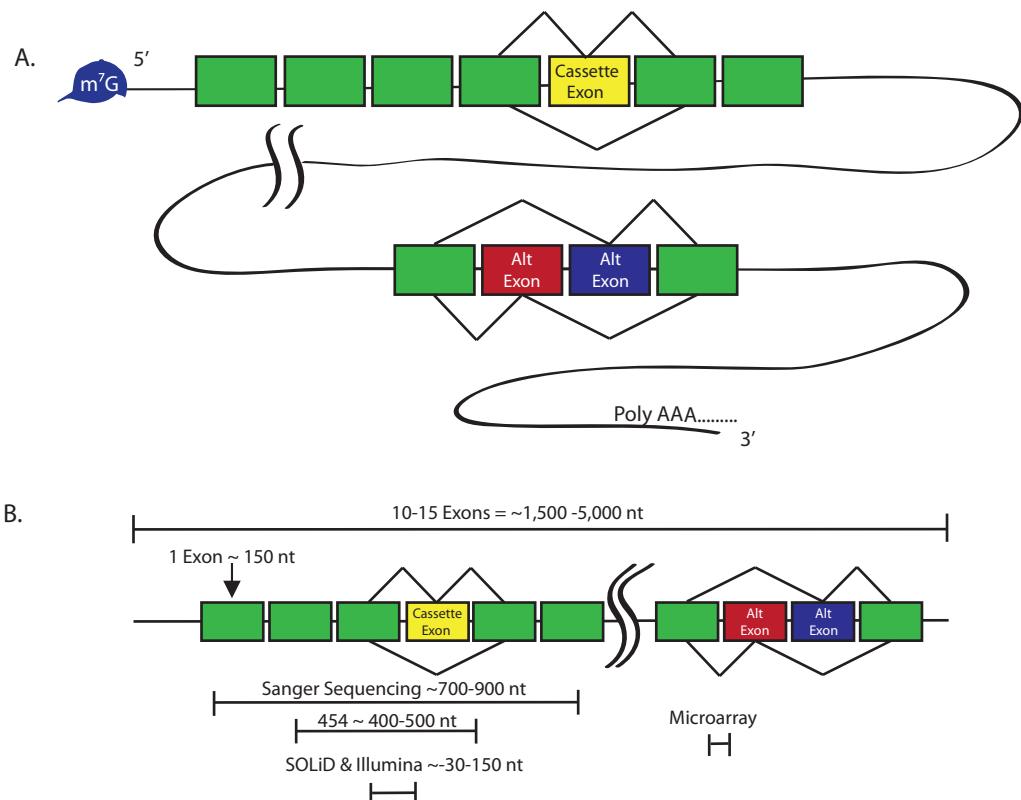


FIGURE 1.5: HTS read lengths are not sufficient to maintain alternative splicing connectivity

A) Long RNAs may have multiple sites of alternative splicing, separated by 1000's of nt;  
 B) Most mRNAs have ~10 exons of ~150 nt each. Some have many more (and longer) exons. Read lengths of current sequencing technologies do not maintain connectivity between distant sites.

describe this issue. The goal of this example is to investigate a transcript known to display two different regions of alternative splicing (Figure 1.5). Probes targeting these two regions demonstrate an increase in signal for both alternative splicing events. While probes designed to hybridize across splice junctions could be used to report on splicing (i.e. “Splicing sensitive arrays”), combinations of independent splicing decisions potentially contained in the same transcript

would not be known. Put another way - it is not known if we observe an increase in unique transcripts, each containing only one region of alternative splicing , or an increase in production of a single transcript containing both regions [Calarco et al., 2007a]. This binary analysis is the heart of the “connectivity problem.” Microarrays have proven extremely informative and will likely continue to do so in more targeted applications. However, this issue, combined with concerns of cross-hybridization, reproducibility, and a comparably small dynamic range, has hastened the displacement of microarray by RNA-Seq as the preferred method for comprehensive analysis of gene expression [Shendure and Ji, 2008].

2nd generation HTS methodology is the *de facto* method for comprehensive transcriptome analysis. HTS allows for *de novo* identification of isoforms. RNA-Seq operates over a larger dynamic range and in a quantitative manner [Mortazavi et al., 2008]. Additionally, techniques exist to enrich samples for low-abundance isoforms, making the complete cataloging of alternative splicing events a possibility [Djebali et al., 2008, Salehi-Ashtiani et al., 2008]. Unfortunately, the current read-length abilities (Figure 1.5) of all sequencing platforms do not solve the connectivity problem. Excluding single-molecule read lengths of sufficient length [Shendure et al., 2004], other approaches proposed to solve the connectivity problem include traditional cloning and sequencing or hybridization of query oligos to single-molecule transcripts [Calarco et al., 2007a, Emerick et al., 2007, Zhu et al., 2003]. While these approaches can determine exon sequence

connectivity, they scale poorly and are not feasible for large-scale applications.

Alternative splicing is an essential regulatory mechanism involved in the control of human gene expression. Its combinatorial nature could potentially answer many basic questions concerning gene expression, such as a physical explanation of what separates us from our closest evolutionary ancestor, the chimpanzee [Calarco et al., 2007b]. Additionally, the influence of alternative splicing on disease and cancer is slowly coming to light [Tazi et al., 2009]. Unfortunately, because of the limitations of methods currently used for the large-scale analysis of isoform expression, we fail to obtain the complete picture of alternative splicing. One specific missing element of that picture is the prevalence of coordination between different regions of alternative splicing separated by large spans of sequence. An efficient, large-scale, single-molecule technique that maintains isoform sequence connectivity is required to complete the complicated picture of alternative splicing.

### 1.3.3 A Splicing Code

Beyond isoform counting by RNA-Seq, a major area of effort is decoding sequence regulatory elements (SREs) contained in pre-mRNA that define alternative splicing site selection [Wang et al., 2008]. In contrast to core splicing signals, there exists limited knowledge of the SREs that serve to increase and

decrease the strength of a particular splice site. SREs serve as *cis*-acting sequences and binding sites for *trans*-acting factors. Some of the best-studied SREs include Exon Splicing Enhancers and Silencers (ESEs and ESSs). Members of the Serine-Arginine (SR) protein family typically bind to ESEs located in an exon, promoting exon definition and thereby increasing the probability that the exon will be included in the final transcript [Graveley, 2000, Long and Caceres, 2009, Nilsen and Graveley, 2010]. In contrast, ESS recognition reduces inclusion through binding trans-acting heterogeneous ribonucleoprotein particles (hnRNPs) [Martinez-Contreras et al., 2007]. Therefore, trans-acting factor SRE binding can either promote or inhibit splicing machinery::pre-mRNA interactions. The current working hypothesis is that a finely tuned combination of these binding events, constituting a “a splicing code”, determines the final exonic content of each isoform [House and Lynch, 2008].

Sequence motifs that compose the alternative splicing code have been teased out [Barash et al., 2010, Ladd and Cooper, 2002]. Additionally, assignment of binding motifs to tissue-specific trans-acting factors has also progressed [Jin et al., 2003, Licatalosi et al., 2008, Ule et al., 2005]. Many of these binding motifs were identified using combined computational and biochemical approaches. Computational approaches involve searching for a comparative enrichment of

sequences near splice sites. Biochemical approaches include gel shift, Systematic Evolution of Ligands by Exponential Enrichment (SELEX), and cross-linking. Many of these approaches are performed *in vitro* and disregard the importance of cellular context on binding affinities. However, with increasing accessibility of HTS, many groups are extracting physiologically relevant, high-resolution data from traditional biochemical techniques [Ingolia et al., 2009, 2011]. Deep-sequencing approaches are also being applied to questions involving mechanisms of alternative splicing. In addition to the RNA-Seq experiments, High-Throughput Sequencing [following] Cross-Linking Immunoprecipitation (HITS-CLIP) has confirmed SRE motif data predicted from computational and microarray experiments [Hafner et al., 2010, Licatalosi et al., 2008]. Using HTS-CLIP, researchers can now enrich their samples for sequences that bind trans-acting factors of interest.

Identification of proximally-acting SREs is progressing at a rapid pace. New and traditional biochemical methods, coupled with HTS, will undoubtedly fuel this progress. Unfortunately, a critical component of alternative splicing regulation currently neglected by the field is that of SREs acting across a considerable distance (>800 nt). One observation that may lead to the identification of long-range SREs is intramolecular coordination between distal splicing decisions. Figure 1.5 shows a model transcript that may exhibit coordinated distal regions of alternative splicing. In this model, the 5' region of alternative splicing contains

a cassette exon, which may or may not be included. This region is separated from the 3' region of alternative splicing by many thousands of nucleotides. Does the decision to include the cassette exon have an effect on which of the mutually exclusive exons is included? This type of alternative splicing regulation may represent a general and pervasive phenomenon.

### 1.3.4 Coordinated Splicing

The “Miller Spread”, showing spliceosomes associated with nascent RNA transcripts, was the earliest evidence suggesting transcription and splicing are intricately linked [[Osheim et al., 1985](#)]. Twelve years later, the observation that polymerase speed can affect downstream splicing decisions was reported [[Cramer et al., 1997](#)], spawning new research into co-transcriptional splicing.

One way that linked splicing decisions (coordinated splicing) could manifest is dependence of a splicing decision in the 3' portion of a transcript on a splicing event in the 5' portion, especially if separated by other non-dependant splicing events. One of the clearest examples of such regulation is mouse *Fibronectin* (*Fn1*) (Figure 1.6) [[Schwarzbauer and Tamkun, 1983](#), [White and Muro, 2011](#)]. In this gene, inclusion of the alternatively spliced Extra Domain A (aka “EDI” or “EDA”) region promotes splicing from one of three alternative 3' Splice Sites (3' SS) in the type III homology connecting segment (IIICS) region, resulting in

more frequent production of shorter transcripts [[Fededa et al., 2005](#)]. This effect occurs over six constitutively expressed exons and 800 nt of sequence (5,400 nt if introns are considered). [Fededa et al. \[2005\]](#) also analyzed EST databases, concluding that approximately 25% of human genes contain multiple regions of alternative splicing. How many of these regions could show a coordinated effect, similar to that observed in *Fn1*? Providing some insight into this question, [[Fagnani et al., 2007](#)] used microarrays designed to report on inclusion levels of cassette exons in mammalian central nervous system tissues [[Fagnani et al., 2007](#)]. The results produced a set of 38 pairs of exons mapping to the same gene that showed a coordinated increase or decrease of inclusion levels.

Few studies have investigated coordinated splicing between adjacent exons present in mRNA. The vertebrate genes *4.1B* and *4.1R* are members of the protein 4.1 family which encode cytoskeletal adaptor proteins. Both genes undergo splicing of a 5' first exons to distal 3' second exons, skipping a stronger proximal 3' second exon [[Parra et al., 2012, 2008](#)]. This is accomplished through “intrasplicing” involving an intronic sequence element (“intraexon”) only present when transcription begins at the upstream 5' exon. This allows the exon to ligate to the weaker distal 3' second exon via an intermediate splicing event. *Cis*-acting sequences contained in intronic regions of genes, so-called Intrinsic Recognition Elements (IRE), have also been reported for other genes displaying coordinated splicing.

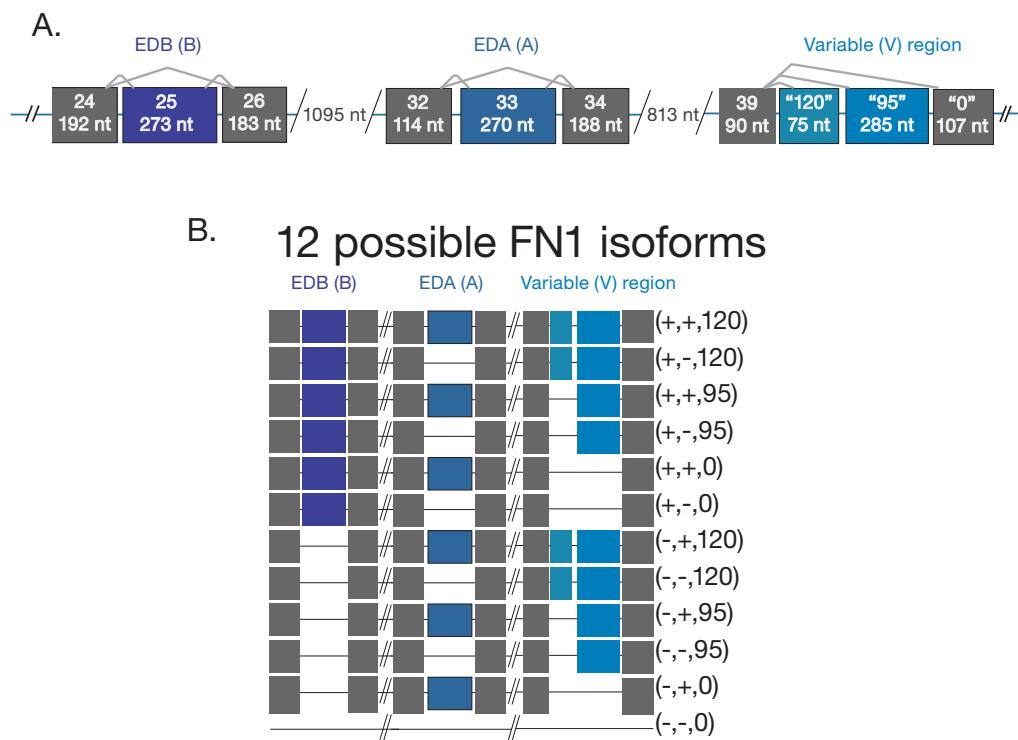


FIGURE 1.6: Mouse *Fn1* contains multiple sites of Alternative Splicing

A) There are three highly-studied regions of alternative splicing in mouse *Fn1*: Cassette exons EDB and EDA and the Variable(V)-region exon, which displays multiple 3' splice sites. Each of these sites is separated by multiple constitutive exons. B) Considering simplistic splicing of these three exons, there are 12 different isoforms of mouse *Fn1*.

The equine *β-casin* gene has been reported to use an IRE bound to the exit channel of the elongating polymerase [Lenasi et al., 2006]. IRE binding promotes inclusion of downstream cassette exons. Taking a more genome-wide approach Peng et al. [2008] examined human and mouse EST data looking for correlations between inclusion and exclusion of adjacent alternative splicing cassette exons. The authors note that positively correlated pairs of adjacent cassette exons typically resemble constitutive exons in similarity to the consensus splice sequences, whereas negatively, or weakly correlated pairs do not and are

likely to be newly evolving exons, whose sites have not evolved enough to be constitutively included.

The most current and thorough study of intra-gene splicing coordination involves the *Caenorhabditis elegans* gene *slo1* [Glauser et al., 2011, Johnson et al., 2011]. *slo1* is the *Caenorhabditis elegans* orthologue of the human BK channel gene *Kcnma*, which undergoes extensive alternative splicing [Nilsen and Graveley, 2010] via 13 cassette exons, potentially coding for over 1,000 different isoforms. *Kcnma* is developmentally, spatially, and tissue regulated and is involved in a diverse range of cellular processes, including hearing, circadian rhythms, urinary function, and vasoregulation [Fodor and Aldrich, 2009].

In worms, *slo1* can produce up to 12 different isoforms. Glauser et al. [2011] used TaqMan qPCR to demonstrate that individual, alternative region inclusion frequencies do not correspond to complete isoform frequencies, suggesting an interdependent-splicing model. Interdependence was support when mutations at one site altered both upstream and downstream sites of alternative splicing, separated by at least one other splicing event. After measuring the biophysical properties of the isoforms protein isoforms [Johnson et al., 2011]. [Glauser et al., 2011] conclude that coordinated alternative splicing is critical for proper BK channel function *in vivo*. It is interesting to note that this study also identified an IRE that displayed some type of coordinated, or co-regulated effect on alternative

splicing.

Indeed the Miller Spread was an early glimpse into another aspect of Nature's complexity. Over just the past ~5 years, layers of functional coupling between transcription and splicing have been observed [Merkhofer et al., 2014]. For example, specific chromatin marks seem to not only demarcate transcriptionally active regions of chromatin for silent ones, but also alternative splicing exons from constitutively chosen ones [Kolasinska-Zwierz et al., 2009] and first exons appear to be epigenetically marked to aid in transcriptional identification [Bieberstein et al., 2012]. Described here are only a few examples of coordinated splicing. Genes like *Fn1* and *slo1* have been carefully studied for decades. Yet each was done on a small, targeted scale. Increasing resolution of genome-wide data, better transcriptome assembly, more rigorous analysis may reveal more examples of coordinated splicing decisions.

### 1.3.5 One Gene. Many Isoforms

Researchers often uncouple evolutionarily intertwined processes such as transcription and splicing. A similar reductionist approach is to think of alternative splicing as a binary process: isoform A or B is produced by picking either exon A or B. What quickly becomes evident (to the detriment of researchers building transcriptome assembly algorithms) is that the combinatorial nature of alternative

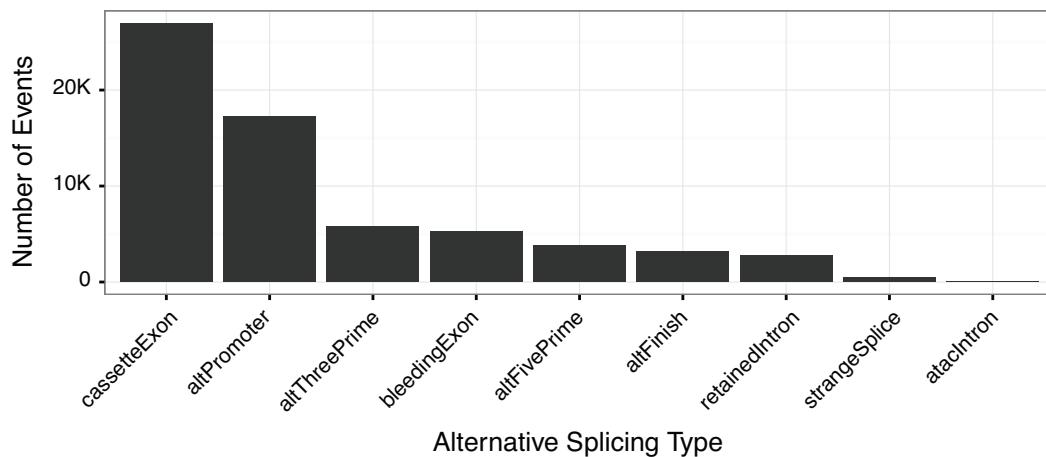


FIGURE 1.7: Number of hg19 Alternative event types per gene  
Alternative Event types per gene. Accessed from RefSeq on 2014-03-24.

splicing makes it both a powerful means of generating isoform diversity *and* a difficult problem to study [[Trapnell et al., 2012](#)].

A current attempt to investigate the breadth of combinations produced by alternative splicing is the ENCODE project [[Birney et al., 2007](#), [Dunham et al., 2012](#)]. The transcriptional annotation arm of the ENCODE project [[Derrien et al., 2012](#), [Djebali et al., 2012](#)] used data from 15 human cancerous cell lines, and found genes produce ~10 isoforms.

The ENCODE project builds on prior evidence for the combinational quality of isoform expression [[Pan et al., 2008](#), [Wang et al., 2008](#)]. Most genes undergo multiple forms of alternative splicing (Figure 1.7). Despite the prevalence of complex alternative spliced genes, just a few genes are routinely used as examples to illustrate numerical possibilities and biological significance. For example, the

human immune system relies heavily on alternative splicing for plastic antigen recognition and response [Lynch, 2004]. Modulation of extracellular signaling proteins such as *CD44* and cellular adhesion protein *CD45* have been well-studied [Ponta et al., 2003, Zikherman and Weiss, 2008].

Alternative splicing in humans, however, does not seem to produce the extreme number of unique isoforms as alternative splicing of genes in some simpler animals, such as *Drosophila melanogaster* (Figure 1.8 and Table 1.8). Perhaps this reduced alternative splicing *per gene* is due to gene specialization, with different genes working in combination, as oppose to utilizing unique isoforms from a single gene [Park and Graveley, 2007]. For example, *Drosophila melanogaster* have a single muscle myosin heavy chain gene (*Mhc*) capable of producing up to 480 different isoforms through alternative splicing of 17 different cassette exons [Bernstein et al., 1983]. In contrast, mammalian genomes encode whole families of *Mhc* genes that have duplicated, diversified, and specialized in function [Weiss and Leinwand, 1996]. The use of gene families reduces the necessity for alternative splicing to generate required molecular diversity. Section 1.3.6 discusses another example of *Drosophila melanogaster* generating isoform diversity from a single gene, while the comparable human gene does not—the extracellular binding protein DSCAM.

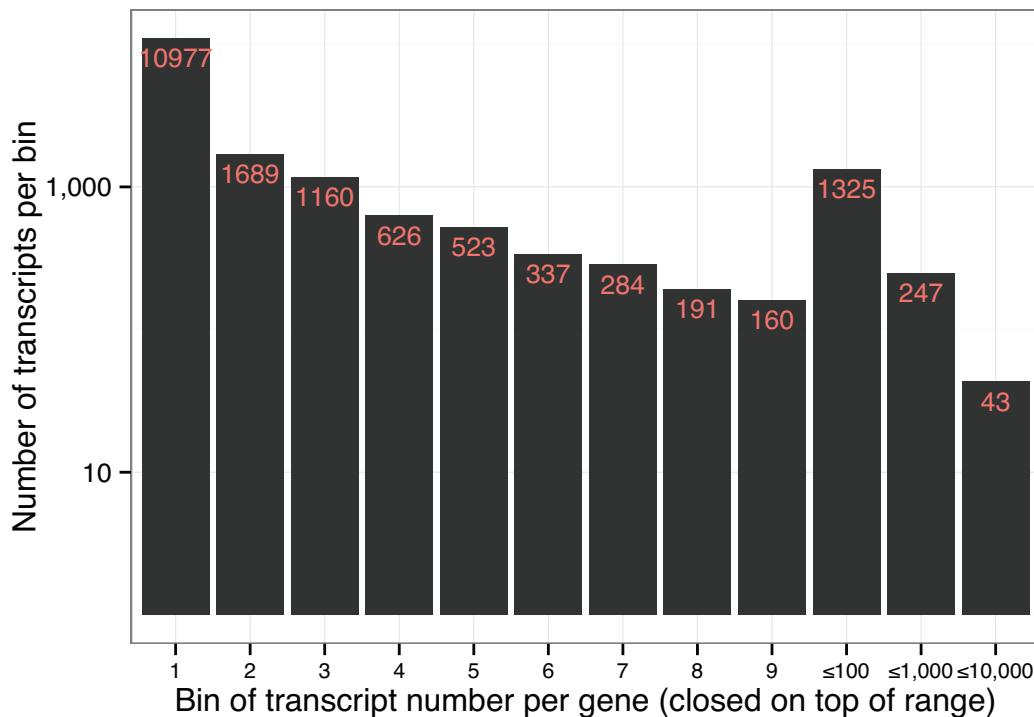


FIGURE 1.8: Number of transcripts per *Drosophila melanogaster* gene

Data from [Brown et al., 2014], Supplemental Table 3. Number of transcript per bin, with bin sizes “closed” on the upper part of range.

### 1.3.6 *Drosophila melanogaster Dscam1*

The gene most frequently used to demonstrate the combinatorial power of alternative splicing is *Drosophila melanogaster Dscam1*. The “architecture” of *Dscam1* is rather unique, but as we see in Figure 1.8 and Table 1.8, *Drosophila melanogaster* contain numerous genes that generate tremendous isoform diversity from a single locus [Brown et al., 2014]. The basic structure of *Dscam1* is shown in Figure 1.9.

Human *Dscam* (Down Syndrome Cellular Adhesion Molecule) was identified

TABLE 1.1: Fly genes with >2,000 assembled transcripts according to [Brown et al., 2014].

<b>Gene Name</b>	<b># Introns</b>	<b># Transcripts</b>	<b># Proteins</b>
Mhc	60	2040	511
slo	49	2070	279
ps	30	2099	27
rg	45	2178	23
shot	60	2478	886
scrib	53	2555	259
heph	75	2876	52
CG42748	26	2876	51
rdgA	35	3003	89
Mbs	39	3080	119
CaMKI	41	3992	7
par-1	48	4410	142
GluClalpha	27	4945	188
Sap47	24	5011	49
Patronin	50	5615	590
CG17838	37	8333	147
unc-13	52	8391	279
A2bp1	29	9055	58
Imp	33	9131	12
pan	38	9432	72
Sh	40	15995	66
gish	48	18972	142

while looking for genes on chromosome 21, specifically band 21q22, where extra copies are expressed in Down syndrome patients [Yamakawa et al., 1998]. *Dscam* is a member of the immunoglobulin super family of proteins with extracellular adhesion functions. Human *Dscam* undergoes some alternative splicing and is broadly expressed in the developing nervous system, yet does not contain the same impressive number of cassette exons as *Drosophila melanogaster Dscam1*.

Complex alternative splicing of *Dscam1* was first noticed by the Zipursky lab in 2000 [Schmucker et al., 2000]. While looking for proteins associated with *dock* and *pak*, two proteins important for neuronal growth cone guidance, they biochemically co-purified DSCAM1. Sequencing of *Dscam1* clones revealed that

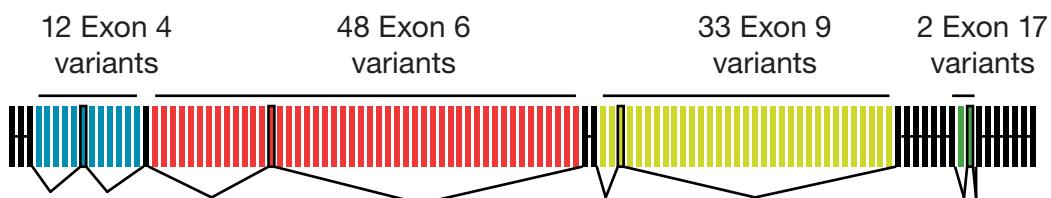


FIGURE 1.9: The architecture of the *Drosophila melanogaster* gene *Dscam1*

*Dscam1* has three *clusters* or “*banks*” of alternative cassette exons that are included in a mutually-exclusive manner. The first bank, “Exon 4”, contains 12 different variants, of which only one is ever included into the mRNA. Similarly, banks 6 & 9 each contain 48 and 33 different variants, respectively. These three banks code for extracellular IgG domains, while the final region of alternative splicing, exon 17, encodes two different trans-membrane domains, again only one of which is included in the final mRNA.

all clones contained different combinations of exons 4,6, and 9. In fact, these three exons are chosen from three clusters of mutually-exclusive cassette exons, containing 12, 48, and 33 exons (Figure 1.9). The initial report kicked off an exciting period of research into *Dscam1* structure and function.

Before the highlights of *Dscam1* research are reviewed, it is illustrative to discuss some basic *Drosophila melanogaster* anatomy. There are 4 anatomic regions where *Dscam1* expression has been highly-studied, shown in Figure 1.10.

- Hemocyte cells of the immune system
- Larva Class IV da Neurons
- Pupal Mushroom-body neurons in the developing brain
- Tetrad synapses of the eye

During larval development, *Dscam1* is expressed in the da neurons of the larval body wall. The da neurons create a uniform sensory field that allow larva to respond to mechanical stimulus. Morphologically, da neurons resemble oak trees with broadly dispersed branches. In order to maximize coverage of the

field, every {cell::cell} interaction (i.e. every synapse) must be a productive one. Molecularly, this is accomplished via an extracellular handshake between copies of DSCAM1. If this handshake feels too familiar, a stable, lasting, and *productive* synapse is not actively encouraged [Wojtowicz et al., 2004]. The use of DSCAM1 to discern self from non-self is not unique to da neurons. It is also essential for equally critical, and arguably more complex, nervous systems including the eye and brain. In the developing brain, *Dscam1* is expressed in both axonal projections of neurons as they extend from Kenyon cell bodies and bifurcate into the two different mushroom body lobes [Zhan et al., 2004]. Finally, the involvement of *Dscam1* in the innate immune system of insects has been demonstrated [Dong et al., 2006, Watson et al., 2005] where DSCAM1 recognizes and antigen via similar self vs non-self interactions.

With the functional significance of *Dscam1* clear, we can now review the mechanisms of generating tremendous isoform diversity. Soon after the initial *Dscam1* report Celotto and Graveley [2001] investigated the genes developmental regulation. They focused on the 12 variants of cluster 4 and observed regulation of exon 4.2. Embryonic transcripts show little inclusion of this exon, while adult transcripts show frequent inclusion. Exon 4.8 demonstrates the opposite behavior. Similar regulation of cluster 4 exons was also observed in a closely related species, *Drosophila yakuba*.

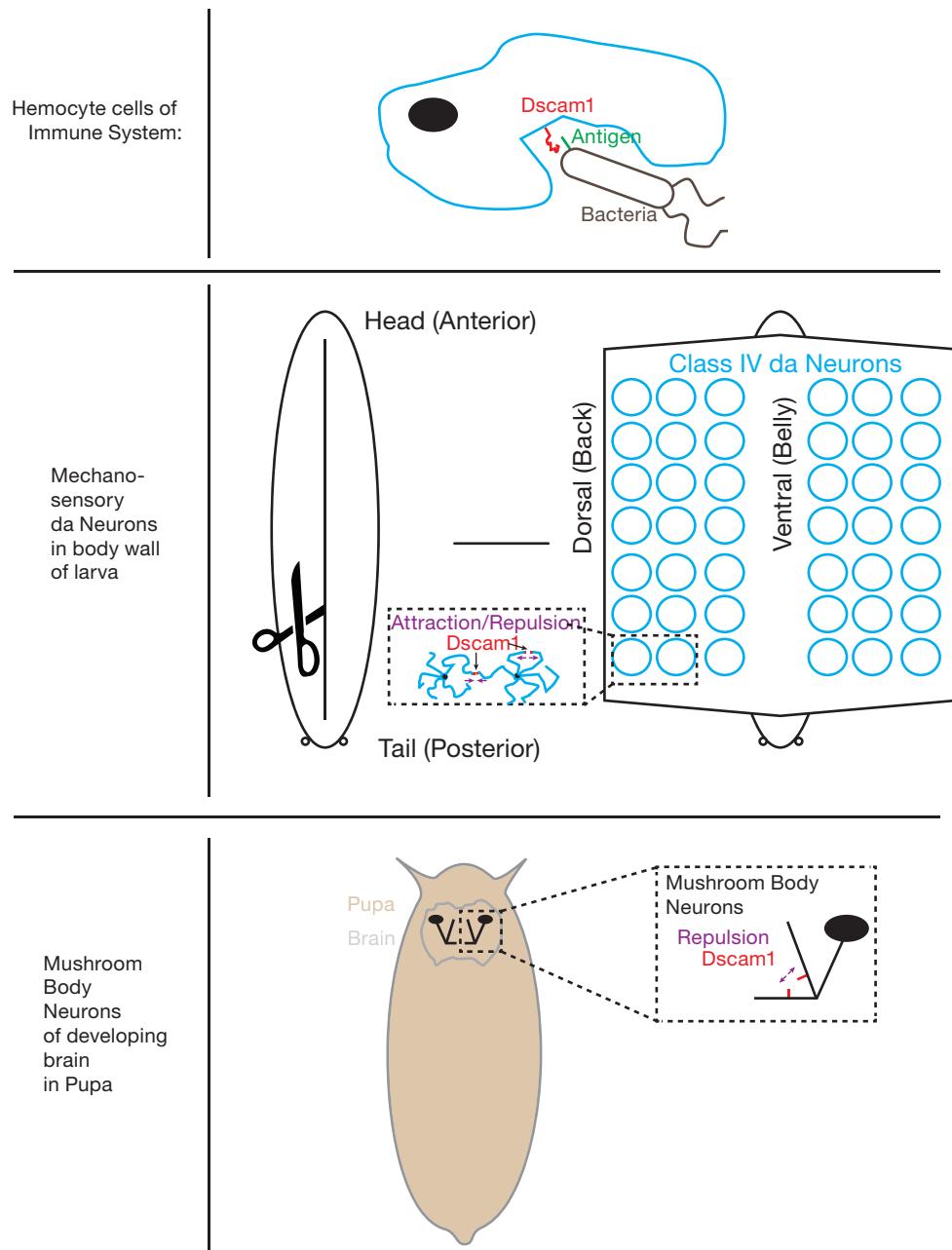


FIGURE 1.10: Important sites of *Dscam1* expression in *Drosophila melanogaster*

*Dscam1* has been high-studied in four different regions/cell types. (1) Hemocytes of the immune system, where DSCAM1 is involved in antigen recognition; (2) In Class IV da neurons, which sense mechanical stimulation of the larval body wall; (3) In mushroom body neurons of the pupal developing brain; and (4) (not shown) in Tetrad neurons of the eyes.

In 2004, [Neves et al. \[2004\]](#) used a specially designed microarray to robustly characterize *Dscam1* molecular diversity. They observed, at some rate, inclusion of virtually all alternative exons from clusters 4, 6, and 9. Additionally, they examined *Dscam1* transcripts obtained from single-cell originating colonies and reported that multiple *Dscam1* transcripts were expressed per cell. They estimated that each cell, depending on type, contained between 7–50 different combinations. As discussed above, the use of microarrays to perform this analysis precluded observing any potential coordination between variant exons.

Quickly after [Neves et al. \[2004\]](#) published their results, the Zipursky lab also published a microarray study of *Dscam1* isoforms [[Zhan et al., 2004](#)]. They focused their analysis on neurons of the developing Mushroom body (Figure 1.10). Not only did they also show that most *Dscam1* combinations are likely produced at some level, but that diversity of isoforms is required for bifurcation of neurons into different lobes of the developing mushroom body. These results highlighted a critical function for self vs non-self determination via DSCAM1-mediating extracellular interaction.

How is mutually-exclusive exon usage among 48 different options possible? [Graveley \[2005\]](#) observed a single “Docking site” within the intronic sequence just 5' to exon 6.1. This docking site was conserved among 15 insect species examined, from closely-related *Drosophila simulans* to a distantly-related *Tribolium*

*castaneum* (Red flour beetle). Astonishingly, the docking site was complementary to “selector sites” within intronic regions just 5' of each of the 48 variant exons. A model was proposed where {docking::selector} interaction is required to choose which variant exons is included, while a splicing regulator protein, likely an hnRNP due to the repressive nature of the interaction, binds to unused selector sites contained in the pre-mRNA [Graveley, 2000]. Additional mechanisms have been reported for other clusters, including the *iStem* [Kreahling and Graveley, 2005] in cluster 4, and the hnRNP protein hrp36 [Olson et al., 2007]. [Neves et al., 2004] examined *Dscam1* expression in hemocyte cells, and their results clearly show reduced variability in cluster 9 inclusion. Virtually all of the signal obtained from hemocyte cells for cluster 9 was seen in variants 9.[6,9,13,30, and 31]. [Watson et al., 2005] also examined *Dscam1* expression in hemocyte cells, comparing it to that of neuronal cells. They propose that secreted forms of *Dscam1* are essential for a robust innate immune system in insects. These studies highlight how nature has applied one gene that produces extreme molecular diversity to multiple problems involving determining self from non-self [Hattori et al., 2008, Shi and Lee, 2012]. *Dscam1* use in these two very different biologically roles has been summarized previously [Hemani and Soller, 2012].

In 2007 the Zipersky lab published [Hattori et al., 2007] the first in a series of

quality genetic reports describing the function and diversity of *Dscam1*. Using homologous recombination, [Hattori et al. \[2007\]](#) showed that *Dscam1* diversity is required for proper neural wiring but that individual neuronal-isoform identity is not important. Two years later, [Hattori et al. \[2009\]](#) observed that flies capable of expressing at least 4,752 different *Dscam1* isoforms were indistinguishable from wild-type controls. This series was recently advanced with another tour-de-force of genetic manipulation. [Miura et al. \[2013\]](#) used a collection of *Dscam1* mutants allowing for visualization via GFP of specific cluster 4.X variant expression in real time. They concluded that a single neuron expresses multiple *Dscam1* isoforms over time, and *Dscam1* is expressed via “stochastic and probabilistic” mechanisms.

Research into *Drosophila melanogaster Dscam1* has provided major advancements to our understanding of multiple aspects of transcription, including: 1) Exon definition; 2) Alternative Splicing of cassette exons; 3) Neuronal and cellular recognition; and finally 4) Allowing comparisons between how points 1–3 are accomplished in “simple” model organisms and humans. See sections [2.2](#) for more information concerning *Dscam1*.

## 1.4 Nucleic Acid Ligation

Section 1.2 discusses implications of being able to cheaply sequence DNA and RNA on Biomedical research. This section discusses how the ability to *join* pieces of nucleic acid has also advanced our understanding of biology. A particular focus is placed on an enzyme with relevance to Chapters 2 and 4—T4 RNA Ligase 2.

### 1.4.1 RNA-templated DNA-DNA ligation

In the late 1960's and early 1970's, the Lehman and Richardson labs characterized two workhorse-enzymes of modern molecular biology. Robert Lehman and colleagues, working at Stanford Medical School, first described the activity of *polynucleotide-joining enzyme* from *Escherichia coli* (now known as *E. Coli* DNA Ligase) [Olivera and Lehman, 1967]. Work on this enzyme paralleled that from the Richardson lab at Harvard Medical School, where they focused on *polynucleotide ligase* from *Escherichia coli* infected with T4 bacteriophage (now known as T4 DNA ligase) [Weiss and Richardson, 1967]. It became clear that while these two enzyme's shared a common mechanism—later elucidated by [Modrich et al., 1973]—they had important differences. First, T4 DNA ligase required ATP as a cofactor, which *E. Coli* DNA Ligase did not (it was later discovered that DNA

ligase required NAD as a cofactor). Second, only T4 DNA ligase could catalyze ligation of blunt-ended DNA [[Tabor, 1987](#)].

The general mechanism of ligation (Figure 1.11) involves three steps: Step 1 (A) the  $\epsilon$ -amino group from the active site lysine performs a nucleophilic attack on the  $\alpha$ -phosphate of ATP in solution. B) The ligase is now charged with AMP and inorganic phosphate (PPi) is freed into solution. C) Step 2: Nucleophilic attack by the 5' DNA phosphate on the 3' side of the nick to the AMP:ligase phosphate. D) Adenylated DNA is now competent for DNA ligation. E) Step 3: the 3' OH on the 5' side of the nick performs a nucleophilic attack on the 5' PO<sub>4</sub> across the DNA nick, liberating AMP into solution. F) Sealed nick resulting in: Ligase; AMP; and intact dsDNA.

In addition to elucidating the general mechanism of ligation, it was also discovered that T4 DNA ligase lacks a preference for terminal polynucleotide structures. The Khorana and Richardson labs both reported the activity of this enzyme on combinations of RNA and DNA duplexes [[Fareed et al., 1971](#), [Kleppe et al., 1970](#)]. Both of these papers describe an activity of T4 DNA ligase, RNA-templated DNA-DNA ligation, that is of particular relevance to this thesis work. Unlike T4 DNA ligase, *E. Coli* DNA Ligase, will not join DNA strands on an RNA template [[Bullard and Bowater, 2006](#)]. Soon after demonstrating these activities *in vitro*,

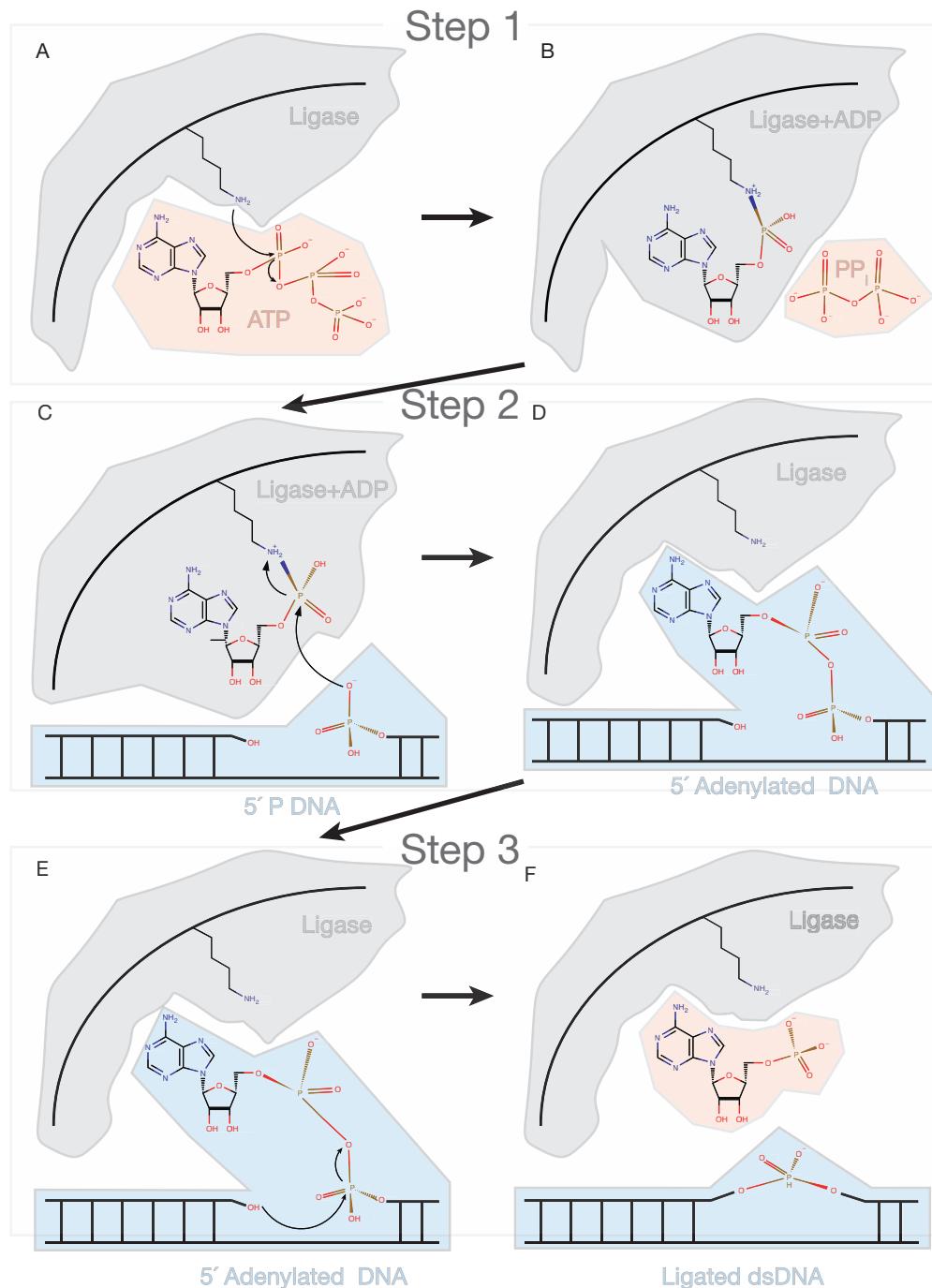


FIGURE 1.11: Mechanism of ATP-dependent ligation

Adapted from [Nandakumar et al., 2006] and specifically for that of T4 RNA ligase 2.

the Khorana lab reported detection of DNA generated *in vivo* (i.e. by and organism) [Besmer et al., 1972], setting up an orthogonal field (respective to PCR) of nucleic acid sequence characterization [Conze et al., 2009].

An enzyme that can catalyze an RNA-templated DNA-DNA ligation is a very useful molecular biology tool for two main reasons. First, using RNA as a ligation guide means no modification is made to the template molecule. This contrasts cDNA analysis, where the RNA has been enzymatically converted by reverse transcription, potentially losing valuable RNA-coded information, such as modified bases. Second, synthesis of the DNA probes used in ligation is inherently easier and cheaper compared to synthesis of RNA probes (see section 5.3.2). In addition to being cheaper, synthesis of DNA probes has become high-throughput since the adoption of microarrays as a standard gene expression measurement tool [Schena et al., 1995]. A pair of papers from the Landegren lab first reported the utility of RNA-templated DNA-DNA ligation for analysis of RNA transcripts [Nilsson et al., 2001, 2000]. The Fu lab applied this approach in a multiplex experimental design in collaboration with Illumina [Li et al., 2012a, Yeakley et al., 2002], while the Nilsson and Landegren labs developed a single molecule application [Conze et al., 2010]. It is important to note that *all* of these studies used T4 DNA ligase. Clearly, there is interest and utility in analyzing RNA in both high-throughput and multiplex experimental designs, using cheap DNA probes, and without cDNA conversion.

For more than 40 years after its first description, T4 DNA ligase was the only choice for RNA-templated DNA-DNA ligation. However, a recent publication from New England Biolabs (NEB) describes this activity by another well-studied ligase, Chlorella Virus PBCV-1 DNA ligase (herein Chlorella DNA ligase) [Lohman et al., 2013]. Chlorella DNA ligase is a long-studied enzyme and had been reported to *not* display RNA-templated DNA:DNA ligation activity [Ho et al., 1997, Sriskanda and Shuman, 1998]. However, at high enough concentrations and under special buffer conditions (specifically a critical concentration of ATP), Lohman et al. [2013] have shown that Chlorella DNA ligase will join two DNA strands hybridized to an RNA template. They further demonstrated that it performs no worse in this activity than traditional T4 DNA ligase [Nilsson et al., 2001, Yeakley et al., 2002].

Building on the list of available enzymes that join hybrid polymer substrates Chapter 2 presents data supporting RNA-templated DNA-DNA ligation activity for another enzyme, T4 RNA Ligase 2.

### 1.4.2 T4 RNA Ligase 2

Proteins of the T4 and T7 bacteriophages have been a boon for molecular biology. Without enzymes like polynucleotide kinase [Richardson, 1965], T7 RNA polymerase [Summers and Siegel, 1970], and T4 DNA ligase [Weiss and Richardson, 1967], many essential manipulations of nucleic acids would have

been impossible for decades. Obviously, these enzymes also have essential phage functions. T7 RNA polymerase is responsible for late stage replication of T7 phage transcripts, while T4 PNK works in concert with T4 DNA and RNA ligases to repair cleaved nucleic acids resulting from bacterial pathogens defense systems [Wang et al., 2002]. Specifically, T4 RNA ligase 1 (herein “Rnl1”, also known as *gene 63*) maintains phage replication by repairing tRNAs cleaved by an anticodon nuclease produces from the *prr* locus [Amitsur et al., 1987].

Given the utility and importance of these enzymes, novel enzyme discovery is a fruitful area of research. The Shuman lab has a distinguished record of discovering and characterizing numerous such enzymes, including many involved in nucleic acid synthesis, modification, and repair. Through a BLAST search looking for novel ligases with sequences related to *Trypanosoma brucei* RNA-editing ligases TbMP52 and TbMP48 [Ho and Shuman, 2002], they identified a gene in the T4 genome (*gp24.1*) with motifs in correct arrangement, spacing, and number indicative of an RNA ligase.

Initial biochemical purification and characterization of *gp24.1* [Ho and Shuman, 2002] revealed that it indeed codes for an RNA ligase, which was renamed T4 RNA ligase 2 (herein “Rnl2”). Rnl2 is a 374 amino acid monomeric protein composed of 2 distinct domains initially purified as a 42-kDa His-tagged recombinant protein. The N-terminal domain (1–243) is responsible for steps (1) and (3) of

the general ligation mechanisms (Figure 1.11), while the C-terminal domain (244–329) is responsible for adenylation of the 5' PO<sub>4</sub> on the 5' residue at the 3' side of the nick, as shown in step (2). Additionally, Rnl2 is routinely purified as a pre-adenylated and immediately poised for its first ligation. In contrast to the N-terminal domain, which is composed of motifs typical to main ligases, the C-terminal domain is not contained in other DNA ligases. While the biological function of Rnl1 is known, the biological function of Rnl2 remains a mystery more than 12 years after its discovery [Chauleau and Shuman, 2013]. However, there is some speculation that the flurry of research into bacterial CRISPR phage defense may reveal a role for Rnl2 [Barrangou et al., 2007, Chauleau and Shuman, 2013].

Mutational analysis of Rnl2, and later a crystal structure of the enzyme, have identified key functional residues [Ho et al., 2004, Nandakumar et al., 2004, 2006, Yin et al., 2003]. The lysine residue at position 35 (K35) receives the AMP in Step 1. The K227 residue in the C-terminal domain is essential for both forward and reverse adenylation of the 5' PO<sub>4</sub> at the nick [Viollet et al., 2011]. Mutation of H37 results in an ~102 reduced ligation rate, indicating the important nature of this residue. Finally, T39 has been shown to interact with the 2' OH on the 3' side of the nick, preferring a C3' endo sugar pucker conformation (Figure 1.13).

Rnl2 has a minimal footprint of 13 nt, centered on the nick, and only requires

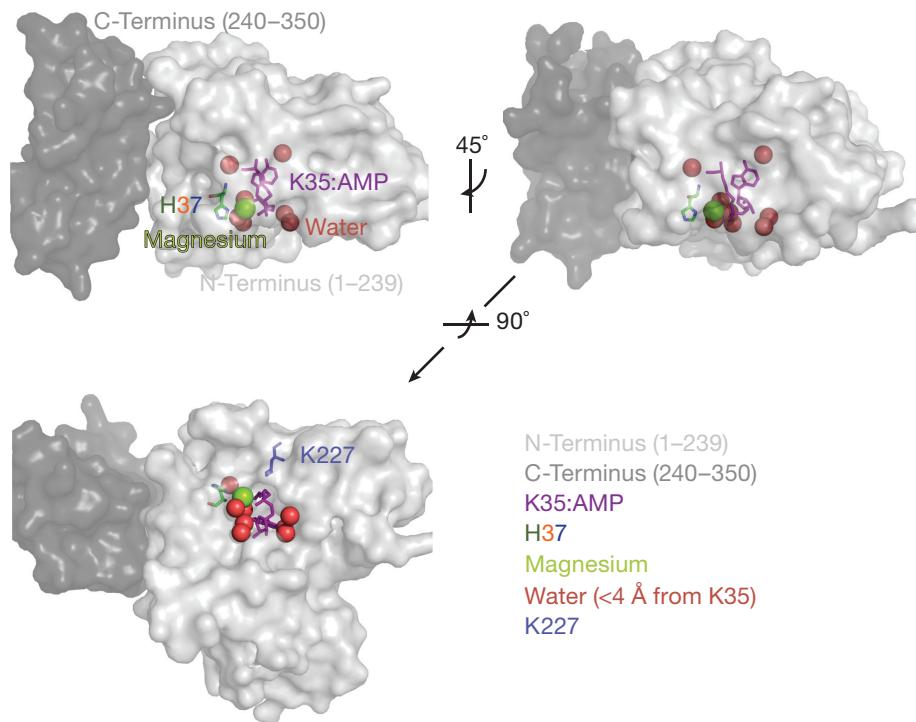


FIGURE 1.12: Structure and active site of pre-adenylated of Rnl2

Rnl2 as crystallized and described by [Nandakumar et al., 2006]. Structures from {PDB:2HVQ} were generated with PyMol. Top left) Rnl2 is composed of a C-terminal and N-terminal domain. Top Right) The active site of Rnl2 is highlighted. Bottom left) Active site of Rnl2 as shown from bottom. This face interacts with substrate.

magnesium for transfer of AMP to the 5' phosphate. Work done in the Shuman lab [Nandakumar et al., 2006] observed that 2' deoxyribose residues on the 5' side of the nick (i.e. DNA) adopt an RNA-like sugar pucker, leading to the correct orientation of the 3' OH relative to the AMP leaving group and resulting in ligation. This conformation is of particular importance to results presented in Chapters 2 and 4.

While Rnl2 is extremely efficient at high concentration, displaying little or no

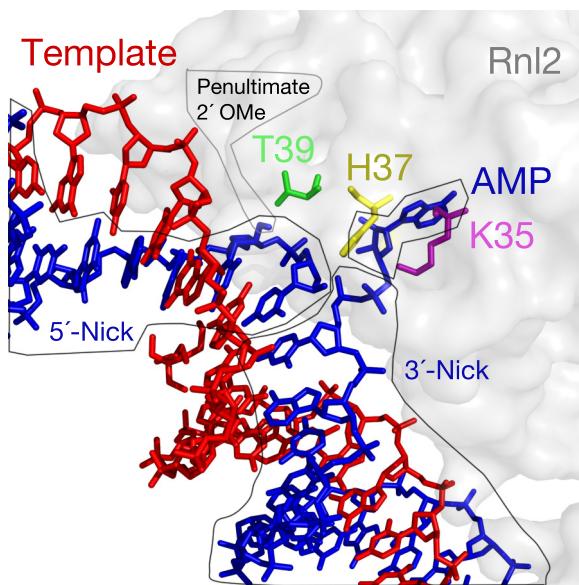


FIGURE 1.13: Active site of T4 RNA Ligase 2 with highlighted residues

Rnl2 complexed with nicked dsDNA as crystallized and described by [Nandakumar et al., 2006]. Structures from {PDB:2HVR} and images generated with PyMol.

reversible chemistry, a modified version of the enzyme containing only the N-terminal domain and a K227A point mutation (“Truncated mutant”) has no adenylyltransferase activity [Viollet et al., 2011]. In this case, adenylyltransferase refers to the ligase transferring AMP from an adenylated substrate to itself; reverse chemistry of step 2 in Figure 1.11). This mutant has been used in specialized cloning applications [Ghildiyal et al., 2008, Hafner et al., 2008, Viollet et al., 2011] that take advantage of this activity. In these reactions, the use of pre-adenylated 3’ DNA adapters allows for selective ligation among already phosphorylated species by limiting the enzyme-catalyzed transfer of AMP from the adapter to other phosphorylated species. Use of this truncated mutant to create a hybrid RNA/DNA molecule has greatly improved high-throughput

sequencing work flows.

Ligation of hybrid substrates (e.g.. DNA-templated RNA:DNA vs DNA-templated DNA:DNA) have revealed general substrate preferences. DNA ligases appear to prefer the residue bearing the 5' phosphate on the 3' side of the nick to be 2' deoxyribose, and have a relaxed requirement for the sugar on the 5' side of the nick. RNA ligases have the reverse preference, demonstrating higher activities when the 5' strand, 3' OH residue also bears a 2' OH. Rnl2 has an additional preference for an RNA residue at the penultimate 3' side of a residue [[Ho and Shuman, 2002](#), [Ho et al., 2004](#), [Nandakumar et al., 2004, 2006](#)]. The two base requirement for RNA at the 5' side of the double stranded nick biases Rnl2 to join RNA:[RNA/DNA] strands. Independent labs have measured this preference and have reported that the RNA-templated DNA-DNA joining activity of Rnl2 is below assay limits of detection [[Bullard and Bowater, 2006](#)]. However, results discussed in this work clearly show that with enough enzyme and sensitive downstream measurements, Rnl2 will catalyze RNA-templated DNA:DNA ligation (see Chapters [2](#) and [4](#)). Previous reports of Rnl2 lacking this activity are likely due to a single turnover mechanism in this reaction imposed by a non-natural sugar pucker of the ligated DNA kinetically trapping the enzyme on the ligated duplex.

### 1.4.3 Ligases as molecular tools

Section 1.4.1 describes the identification and development of ligases as tools in molecular biology. Ligation of templated duplexes has multiple uses in cloning and sequence characterization. The following section (1.5) discusses long nucleic acid polymers, specifically mammalian piRNA precursor transcripts. Little biology is known concerning these long transcripts. Chapters 2 and 4 discuss the application of Rnl2 to characterization of long nucleic acid polymers. This Chapter's final section (1.6) discusses what is in effect *in silico* ligation of sequence reads—Assembly of full-length transcripts from short reads.

## 1.5 Nucleic Acid Polymers

Fire et al. [1998] brought small RNAs to the forefront of research. Recently lncRNA research has been in similarly exciting period [Guttman et al., 2009, Khalil et al., 2009]. Whether “small” or “long” all classes of RNA are polymers of ribonucleotides. This section will focus on an interesting class of nucleic acid polymer—mammalian piRNA precursor transcripts. These transcripts, which share similarities to traditional mRNAs, are processed into piRNAs. Finally, the section ends with a history of transcript assembly of HTS data, without which the study of both types of polymer would not be possible.

### 1.5.1 It Started Small: Mammalian piRNAs

piRNAs are small RNAs that are 23–35 nt long. They are slightly longer than other small RNAs (e.g. miRNAs or siRNAs which are 21 to 25 nt long). Contrary to other small RNAs, piRNA biogenesis does not require the double-stranded RNA-specific ribonuclease Dicer [Houwing et al., 2007, Vagin et al., 2006] and it is believed they originate from single-stranded RNA precursor transcripts. Yet, similar to other small RNAs, they do bind a subgroup of the Argonaute family of proteins, PIWI proteins, from which their name is derived (*PIWI Interacting RNAs*).

Aravin et al. [2001] first identified piRNAs in *Drosophila melanogaster* originating from the *Su(Ste)* locus as heterogeneous 25–27 RNAs essential for silencing of *Stellate* and, more importantly, male fertility. In the few years since the initial report, piRNAs have been cataloged, characterized, manipulated and mutated, especially in *Drosophila melanogaster* [Hirose et al., 2014, Luteijn and Ketting, 2013, Siomi et al., 2011]. The most famous function for piRNAs in *Drosophila melanogaster* is suppression of transposon transcripts during gametogenesis [Malone and Hannon, 2009]. The Ping-Pong model elegantly explains how this might be accomplished: cyclic cleavage of transposon transcripts and piRNA precursor transcripts [Brennecke et al., 2007, Gunawardane et al., 2007]. Yet for mammals, it appears that piRNAs have diversified beyond just transposon

silencing.

Four reports in 2006 defined the beginning of mammalian piRNA research [Aravin et al., 2006, Girard et al., 2006, Grivna et al., 2006, Lau et al., 2006].

Each observed small 23–35 nt RNA species that bound PIWI proteins. They also noticed that when aligned to the genome, most mapped to “clusters” of discrete genomic loci, similar to flies.

Overtime, it became clear that mammalian piRNAs can divided into three major classes (Figure 1.14). There are also three PIWI proteins in mice, each displaying a distinct expression profile during development and an association with piRNAs of a specific length.

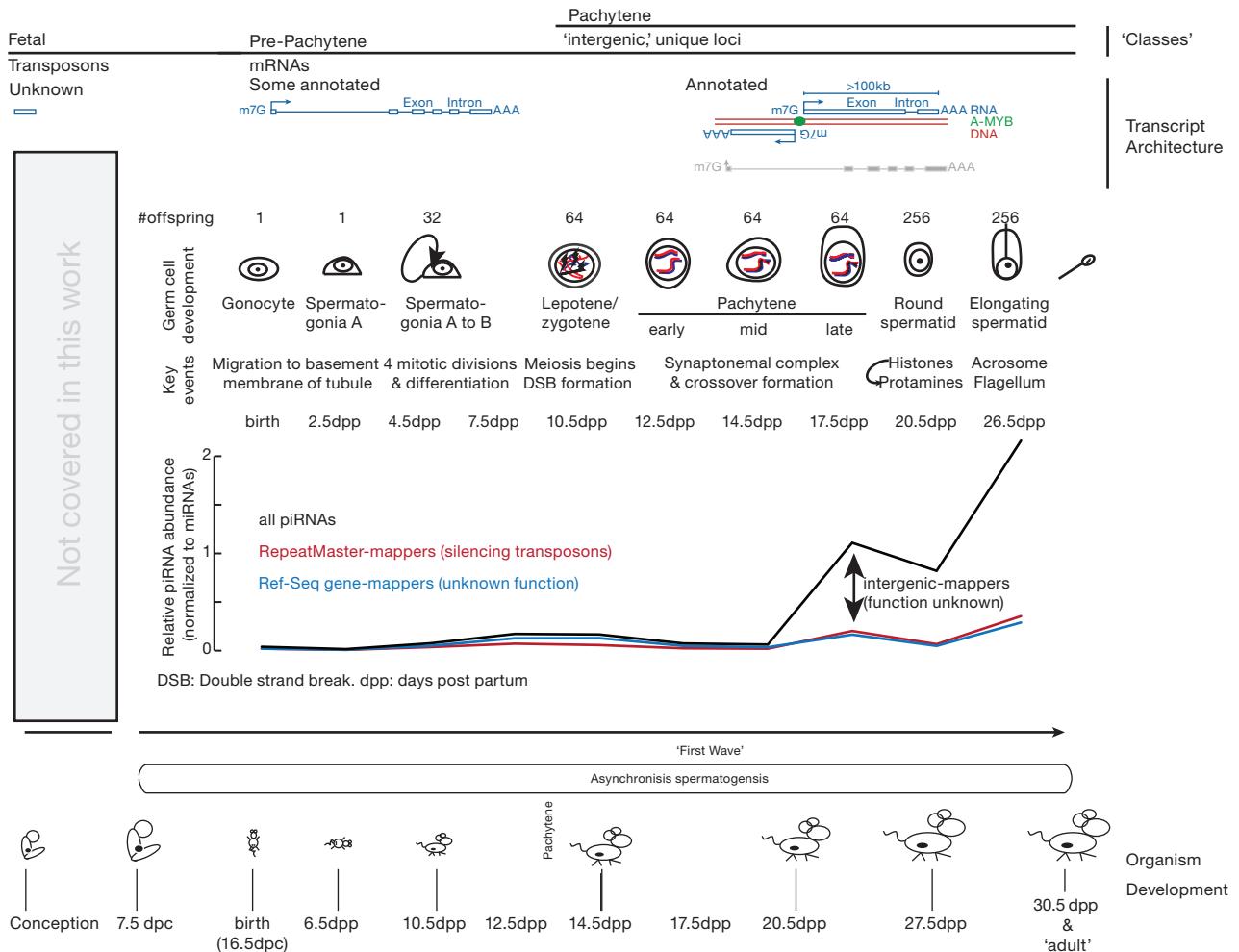


FIGURE 1.14: Overall view of the three classes of mammalian piRNAs. Figure design assisted by [Xin Zhiguo Li](#).

*Fetal piRNAs* (or “prenatal”) are present before birth. These piRNAs tend to be short, bind the PIWI protein MIWI2 (PIWIL4) in mice, and have sequences found in transposable elements [Carmell et al., 2007]. Quickly following MIWI2 in expression is the second PIWI protein MILI (PIWIL2). It is during the “fetal” stage of piRNA biogenesis in mice that MIWI2 and MILI undergo ping-pong amplification, similar to that observed in flies, in order to silence expression of transposons during germ line formation [Aravin et al., 2006, Aravin and Hannon, 2008, Aravin et al., 2008, Kuramochi-Miyagawa et al., 2004]. However, this activity has not been observed in adult testes.

During the first three weeks of a male mouse’s life the process of spermatogenesis is in its “first wave” and sperm cells are synchronized [Laiho et al., 2013, Oakberg and Oakberq, 1956]. After the first wave, and for the rest of the adult lifespan, sperm in the testes are not synchronized and display a continuum of development. Therefore, it is during the first wave of spermatogenesis that specific stages of can be more easily isolated and studied. The next two classes of piRNAs are named according to their expression respective to an important milestone in gametogenesis—the pachytene stage of meiosis I when chromosomes pair up, cross over, and exchange genetic material

*Pre-pachytene piRNAs*, historically but confusingly grouped with the fetal piRNAs are expressed just before birth and continue to be expressed throughout the

mouse lifespan. These piRNAs tend to map to traditional, annotated, protein-coding genes. After birth, and during the “neonatal stage” only MILI is expressed, and piRNAs shift from mostly transposon-mapping to protein coding gene 3' UTR mapping [Robine et al., 2009].

The last class, *pachytene piRNAs*, are extremely abundant compared to fetal and pre-pachytene piRNAs in adult testes [Girard et al., 2006, Lau et al., 2006, Li et al., 2013a]. They bind another Piwi protein MIWI (PIWIL1). The genomic origin of pachytene piRNAs, often unique in terms of genomic sequence, map within “gene deserts”—unannotated and devoid of introns. This is comparable to piRNA clusters in flies, such as *flamenco*, whose transcription can be abolished with a P-element insertion into a putative promoter, as measured by northern blot looking for piRNAs generated 168 kb downstream (Figure 1.15 [Brennecke et al., 2007, Goriaux et al., 2014]). This gene architecture makes the pachytene piRNA loci some of the most interesting RNA-producing regions of the mammalian genome. These clusters, (aka: “piRNA-producing loci” or “genes”) appear to produce single, continuous, relatively long, and un-spliced Pol II transcripts (see section 4) [Li et al., 2013a].

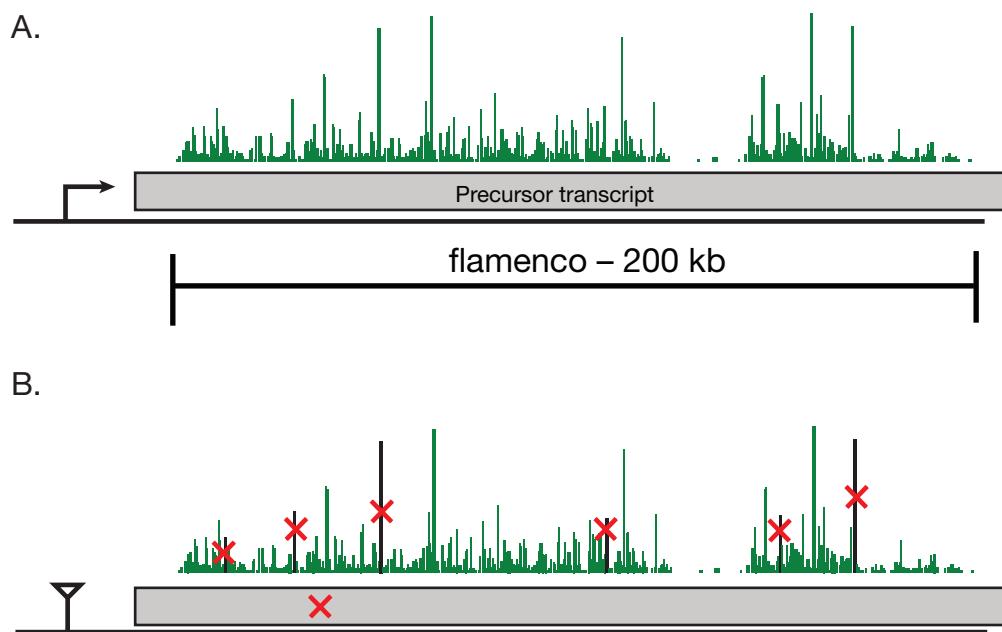


FIGURE 1.15: *A* the *Drosophila melanogaster* gene *flamenco* is a graveyard for transposon sequences [Pélisson et al., 1994]. Evidence for expression of a single-contiguous RNA transcript from *flamenco* (*A*) is provided by a P-element insertion into the suspected promoter region (*B*). [Brennecke et al., 2007] could not detect specific piRNAs (red X's) by northern blot in the P-element mutant.

### 1.5.2 From Long to Small: Precursor processing to mature piRNAs

The process by which a long, single-stranded piRNA precursor transcript become small mature piRNA is full of black boxes and question marks. While we do know they are long, single-stranded piRNA transcripts [Brennecke et al., 2007, Li et al., 2013b], we are very unsure of many steps between transcription and terminal function of {piRNA::PIWI} complexes (PIWI-piRISC).

For example, how do piRNA precursor transcripts exit the nucleus? This is not known in mice, but there are clues from *Drosophila melanogaster*, where some

piRNA clusters are bidirectionally transcribed and bound by the HP1 homologue Rhino [Klattenhoff et al., 2009]. Rhino co-localizes with the DEAD box protein UAP56 near the perinuclear compartment known as nuage [Zhang et al., 2012a]. It is believed that Rhino and UAP56 assist in a hand off of large precursor transcripts across the nuclear envelope where they are bound by the nuage protein VASA [Zhang et al., 2012a].

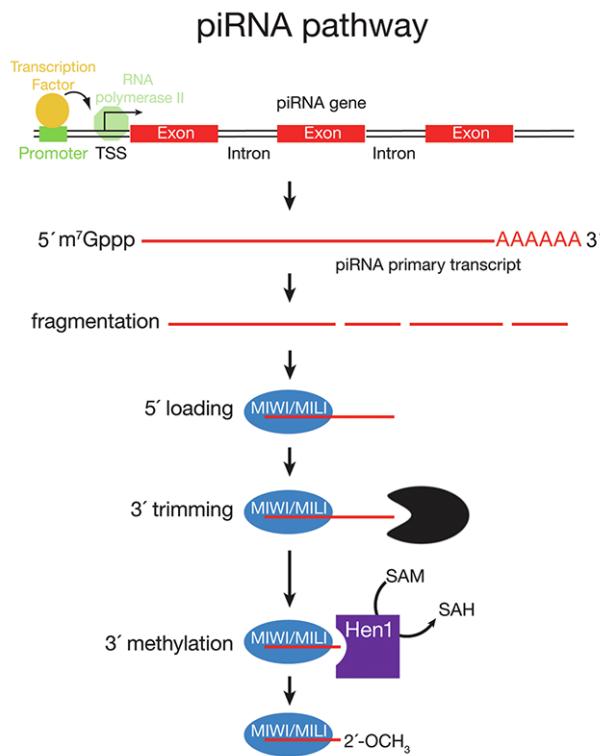
Once precursor transcripts exit the nucleus they may enter chromatoid bodies (comparable to nuage in flies) [Lim and Kai, 2007, Meikar et al., 2011, 2014, Zhang et al., 2012a] where they are proposed to be “fragmented” into shorter *piRNA intermediates* [Li et al., 2013c, Saito et al., 2010]. However, the confirmed location of fragmentation is currently unknown in mice. In mice, the protein MitoPLD (aka: PLD6, or *Zucchini* in *Drosophila melanogaster*) is the proposed enzyme that catalyzes fragmentation, but this has only been studied in 10.5 dpp mice and therefore pre-pachytene piRNAs [Watanabe et al., 2011a].

Slicing activity for *Zucchini* has been observed *in vitro* and is supported by structurally studies [Ipsaro et al., 2012, Nishimasu et al., 2012]. Its activity has yet to be shown *in vivo* [Luteijn and Ketting, 2013]. Fragmentation may, or may not, impart the 5' U preference seen in mature piRNAs [Brennecke et al., 2007, Gunawardane et al., 2007] and indeed Zucchini does not show a 5' U bias *in vitro* [Ipsaro et al., 2012, Nishimasu et al., 2012]. However, this preference may

result from downstream sequence preference of PIWI-protein binding [[Cora et al., 2014](#)].

Once fragmented into shorter RNAs, piRNA intermediates seem to be “loaded,” into a specific time- and expression-appropriate PIWI proteins (Figure 1.14). Following “loading,” piRNA intermediates are trimmed down to the length characteristic of bound Piwi by the appropriately named, but *hypothetical*, enzyme “Trimmer” [[Li et al., 2013c](#)]. Both “Loading” and “Trimmer” activity have not been shown in mammalian systems but are inferred from Silk worm (*Bombyx mori*) cellular extracts of ovary-derived BmN4 cells [[Kawaoka et al., 2009](#)]. Once trimmed, piRNAs are methylated on the 2' OH position by the enzyme HEN1 [[Horwich et al., 2007](#), [Kawaoka et al., 2011](#), [Kirino and Mourelatos, 2007](#), [Ohara et al., 2007](#)], but again this activity is not well-studied in mice. At this point, a mature piRNA, complexed with a PIWI protein (PIWI-piRISC), is poised to perform cellular function(s).

What are the cellular activities of PIWI-piRISC? MILI and MIWI2 have been shown to direct epigenetic LINE1 and IAP transposon silencing in the embryonic male germline [[Aravin et al., 2007b](#), [Carmell et al., 2007](#), [Kuramochi-Miyagawa et al., 2008](#)]. Two studies [[De Fazio et al., 2011](#), [Reuter et al., 2011](#)] used point mutations in the catalytic triad of MIWI, MIWI2, and MILI to remove slicer activity. [De Fazio et al. \[2011\]](#) found that MIWI2-deficient mice are fertile, silence



**FIGURE 1.16:** Figure taken from [Li et al., 2013b]: A model for piRNA biogenesis. Primary piRNA transcripts are transcribed by RNA polymerase II and contain 5' caps, exons, introns, and poly(A) tails. The transcription of pachytene piRNA genes is controlled by A-MYB; transcription factor(s) (TF) controlling pre-pachytene piRNA genes remain to be discovered. Current models of piRNA biogenesis propose that PLD6 determines the 5' end of piRNA intermediates with lengths >30 nt. These intermediates are proposed to then be loaded into PIWI proteins. After PIWI binding, a nuclease is thought to trim the 3' end of the piRNA to the length characteristic of the particular bound PIWI protein. Finally, further trimming is prevented by addition of a 2' O-methyl group to the 3' end of the mature piRNA by the S-adenosylmethionine-dependent methyltransferase HEN1. Figure adapted from [Li et al., 2013c].

transposons, and display all signs of secondary piRNA biogenesis and concluded that MILI (which is sterile) was required for transposon silencing. This finding was later elaborated upon by Di Giacomo et al. [2013] to work in concert with other forms of epigenetic silencing to repress LINE1 expression. Reuter et al. [2011] focused on MIWI and found that it required for silencing of LINE1 transcripts long

after they were epigenetically silenced (i.e. in the adolescent mouse).

The above studies point to a familiar scenario of piRNA-mediated target cleavage and/or transcriptional silencing by PIWI-piRISC [Meister, 2013]. Yet confusingly, HITS-CLIP of MIWI revealed that MIWI binds spermiogenic mRNAs without a piRNA guide [Vourekas et al., 2012] and Reuter et al. [2011] demonstrated that slicing of target by MIWI RISC requires near perfect binding.

How does one reconcile these findings with the extremely uniquely-mapping quality of virtually all pachytene piRNAs? Put another way, if MIWI requires near perfect pairing between guide and target, and pachytene piRNAs perfectly pair with nothing else in the genome but antisense transcripts from their own loci, what is the mechanism target recognition? In fact, when taking all studies on the function of mouse piRNAs, frustratingly little is actually known or internally consistent. Indeed, the catalytic nature of PIWI proteins is a debated topic [Luteijn and Ketting, 2013, Meister, 2013]. A recent report that the DNA modification 5hmC is high in piRNA intergenic gene bodies [Gan et al., 2013], combined with known functions of fetal PIWI-piRISC alludes to a function for self-mapping pachytene piRNAs.

Perhaps the site of PIWI-piRISC function is not cytoplasm. Indeed, fly PIWI is localized in the nucleus, and MILI and MIWI2 have been shown to induce DNA methylation [Aravin et al., 2008, Cox et al., 2000]. This is a potentially

misleading course of logic. Localization does not confirm interaction [North, 2006] or function and inferring such from localization can be as dangerous as assuming cars function in parking lots. Finally, a extremely tantalizing additional potential function for mammalian piRNAs is that of genomic imprinting [Watanabe et al., 2011b]. This function is in good agreement with germ line-specific and developmentally timed nature of Piwi protein expression.

In summary, there are still many holes and black boxes in the story of mammalian piRNAs. Continued study is easily justified by the sterile phenotypes of all pathway mutants. Time will tell if mammalian piRNAs are involved in a satisfying process of biology or are crude side quest of Nature.

### 1.5.3 From Short to Long: Transcript Assembly

Initial genome-wide HTS of piRNAs revealed a tremendous amount of biology [Brennecke et al., 2007, Gunawardane et al., 2007], but could provide little information as to the original transcriptional unit. The ability to reconstruct piRNA precursors had to wait for technological improvements in HTS read length and alignment algorithms.

Working backwards from small RNA-Seq data to original transcription units was impossible. Mammalian piRNAs are too short (~30 nt) to allow for quality assembly using even the most current algorithms. They simply do not provide

the necessary overlap of sequence to build scaffolds. Also, repeat elements are extremely abundant in mice [[Nellå ker et al., 2012](#)], and combined with short reads further reduce the ability to assemble full-length sequences. Therefore, it was necessary to sequence RNAs prior to mature piRNA formation.

Even with longer read lengths and the best assembly algorithms, the 5' and 3' ends of long and diverse transcripts like piRNA precursors often requires a combination of multiple HTS datatypes [[Blower et al., 2013](#), [Li et al., 2013b](#)]. Tailored versions of RNA-Seq, such as CAP-Seq (see section [1.2.2](#)), are not sufficient for accurate 5' end determination, and require orthogonal datasets to verify TSSs. Taking a page from lncRNA transcript discovery, orthogonal data sets such as ChIP-Seq of H3K4 methylated histones, a marker for transcriptional initiation can supplement RNA expression data [[Khalil et al., 2009](#)]. More information about how multiple HTS datasets can be—and were used—to define the transcriptional unit of piRNA precursors transcripts is provided in Chapter [3](#).

General assembly of full length transcripts (not just piRNA precursor transcripts) is difficult for at least 3 reasons: (1) The transcriptome is expressed across 5 orders of magnitude and a typical RNA-Seq library contains many reads from a few highly-expressed genes and many fewer reads from lowly-expressed genes [[Blencowe et al., 2009](#)]; (2) RNA-Seq libraries are often not created from a completely pure source of mRNA and can contain reads from other RNA

classes (e.g. tRNAs) or intronic reads from pre-mRNAs; and (3) Reads are often much shorter than a typical mRNA, making it difficult to assign which read goes to which isoform of a given gene (see the “connectivity problem” discussed in section 1.3.2). With these challenges in mind, what is the current state of transcript reconstruction (herein *transcript assembly*)?

Computational transcriptome assembly of short reads is currently performed in one of two modes: genome-guided and genome-independent [Garber et al., 2011]. The difference between these two approaches is use of a high-quality genome during the assembly process. Popular assembly programs such as Cufflinks [Trapnell et al., 2010] and Scripture [Guttman et al., 2010] use genome-aligned short reads as the bases for calling transcripts. Genome-independent methods include Trinity, Oasis, and Velvet [Haas et al., 2013, Schulz et al., 2012, Zerbino and Birney, 2008].

As mentioned previously, constraints imposed by the huge dynamic range of RNA expression is the major complicating factor with current transcript assembly programs. These programs frequently generate short transcript fragments (“contigs”) due to poor coverage of long and lowly-expressed transcripts [Rehrauer et al., 2013, Steijger et al., 2013]. Merging these contigs into a continuous annotation is a major goal. Improvements will surely come from greater sequencing depth, longer reads, and mRNA enrichment schemes, albeit with diminishing

returns [Chang et al., 2014b]. See section 5.1.2 for more thoughts concerning transcript assembly. Longer-term barriers include repetitive sequences, transcript secondary structure [Wan et al., 2014], and mRNA processing including hydrolysis and RT processivity [Sharon et al., 2013]. Finally, multiple forms of mRNA enrichment and purification, specifically combining poly(A)+ tail and 5' CAP selection, can be used to increase the accuracy of mRNA transcript assembly [Blower et al., 2013].

## 1.6 Nucleic Acid 'Omics

Biomedical science has just taken a very sharp step (Figure 1.2) into an era of cheap genomics. Most questions, including those of gene expression, molecular interactions, and evolution no longer need be investigated on a small scale. Indeed formulating questions and hypothesis on a “big scale” should be considered from the very onset of a project. Combined broad and focused approaches will allow for maximal gains in knowledge. Yet much of the work required to reap maximal benefits from genome-wide approaches falls squarely on our own education and experience. See section 5.4 for concluding thoughts.

# Chapter 2

## Simultaneous analysis of multiple site alternative splicing via RNA-templated DNA-DNA ligation

### 2.1 Introduction

In eukaryotes, genome size does not scale with complexity, in large part due to expression of alternative mRNA isoforms. High-throughput sequencing has revealed that ~58% of *Drosophila melanogaster* genes and >95% of human genes produce multiple transcripts per gene [Brown et al., 2014, Pan et al., 2008, Wang et al., 2008], with many human genes expressing 10 or more isoforms [Djebali et al., 2012]. Isoform diversity is driven by alternative promoter use (i.e., alternative first exons), alternative splicing at internal sites, and alternative polyadenylation. With regard to alternative splicing, more than a quarter of human genes contain multiple alternative splicing regions separated by stretches

of constitutively-included exons [Fededa et al., 2005]. The combinatorial potential of such multi-site alternative splicing exponentially increases the number of possible isoforms, with some human genes predicted to have >100 isoforms and *Drosophila Dscam1*, which utilizes four regions of mutually exclusive cassette exons, predicted to have 37,224!

Although bioinformatic analysis of high throughput sequencing data has proven incredibly powerful for identifying individual alternative splicing regions and characterizing the diversity exon utilization within them, current technology is limited to ~500 nt of contiguous sequence. Thus complete transcripts must be intuited by piecing together multiple short reads [Boley et al., 2014, Garber et al., 2011, Grabherr et al., 2011, Haas et al., 2013]. As a result, connectivity information present in individual mRNA molecules is lost. With regard to distal alternative splicing regions, this limits our ability to know (1) whether all possible combinations are actually produced and (2) whether there is any long-range coordination between different alternative splicing regions.

Linked processing events have been largely observed in reporter constructs as a dependence of downstream exon inclusion due to promoter-dependent polymerase speed [Kornblihtt et al., 2013]. There are also reports of coordinated endogenous exon usage [Fagnani et al., 2007], most notably for mouse *Fibronectin (Fn1)* [Fededa et al., 2005]. However, for many genes, including the

notorious *Drosophila melanogaster Dscam1*, no coordination has been observed between distinct splicing decisions [Miura et al., 2013, Sun et al., 2013]. Rigorous examination of coordinated splicing remains technically challenging.

With an end goal of thorough analysis of linked splicing decisions, we designed a novel experimental approach, SeqZip (Figure 2.1, with which to accurately and rapidly profile multiple, distant (>1,000 nt) sites of alternative splicing contained in the same transcript. SeqZip employs RNA-templated DNA ligation of specific DNA oligonucleotides (oligos), termed “ligamers,” whose targeted sequences can be separated by hundreds or thousands of nucleotides. Each ~40 nt ligamer spans the ends of a single alternatively spliced exon, or the beginning and end of a large block of constitutively included exons, looping out the sequence in between the ends. Unique ligamer sets hybridized to individual RNA molecules are then joined by enzymatic ligation with T4 RNA ligase 2 (Rnl2) [Ho and Shuman, 2002]. The resultant multi-ligamer product reduces the sequence space occupied by the looped out regions of the target RNA while retaining targeted exon connectivity. This connectivity can then be assessed by either size separation or sequencing of ligation products. SeqZip can quantitatively report on RNA isoform abundance, and has a usable dynamic range spanning 6 orders magnitude. Further, SeqZip does not use reverse transcriptase (RT), so is not subject to the problems associated with RT of long RNAs.

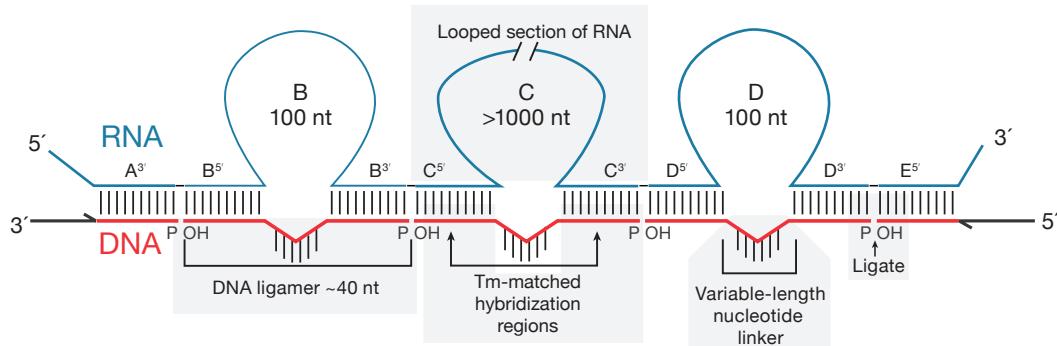


FIGURE 2.1: Principles of the SeqZip Assay

Using an RNA template, custom synthesized DNA oligonucleotide (“ligamers”) that have either one, or two regions of complementarity to the RNA are allowed to hybridize. Ligamers containing one region of complementarity target the terminal, flanking, constant sequences, and also contain primer sequences for subsequent amplification. Internal ligamers contain two regions of complementarity, separated by a spacer sequence. Hybridization of the internal ligamers encourages the RNA between the hybridization sites to loop out. Once all ligamers are hybridized, RnL2 is added in excess, and the ligated DNA is amplified and analyzed.

Here we describe development and validation of SeqZip, its initial application to investigate potential connectivity among alternatively spliced exons in *Fn1* and its use to characterize of the immense molecular diversity of *Dscam1*. We also suggest other potential applications for SeqZip, including multi-site SNP detection, multi-site smFISH probes, and Q-PCR improvements.

## 2.2 Results

### 2.2.1 Method development and validation

The SeqZip assay requires efficient enzymatic ligation of DNA oligos hybridized to a RNA template (Figure 2.1). Although numerous ligases can join DNA or

RNA fragments hybridized a DNA template [Bullard and Bowater, 2006], only T4 DNA ligase, and recently Chlorella virus DNA ligase, are known to previously shown to join DNA fragments hybridized to a RNA template [Lohman et al., 2013, Nilsson et al., 2001]. The commonly used T4 DNA ligase has a high proclivity for promiscuous ligation (NTL) [Kuhn and Frank-Kamenetskii, 2005]. Therefore, we tested the ability of several other commercially available enzymes to perform ligation reactions with four or five 5'  $^{32}\text{P}$ -labeled 20 nt DNA oligos hybridized to adjacent positions on either a DNA or RNA template (Figure 2.2). As expected, all DNA ligases tested [Tth DNA ligase (Thermo), Tsc DNA ligase (Prokaria), Thermostable DNA ligase (Bioline), T4 DNA ligase (NEB), and E. coli DNA ligase (NEB)] efficiently joined all four oligos hybridized to the DNA template, and Rnl2 (NEB) lacked this activity (Figure 2.2A, left, lanes 1-6) [Bullard and Bowater, 2006]. Also as expected, T4 DNA ligase generated multiple slower migrating products, likely resulting from non-templated ligation (Figure 2.2A, left, lane 4). When the oligos were hybridized to an RNA template, only T4 DNA ligase and Rnl2 produced ligation products (Figure 2.2A, left, lanes 11-12). Titration of both enzymes revealed that Rnl2 had significantly higher activity on the RNA template than did T4 DNA ligase (data not shown). Further, at enzyme concentrations that yielded maximal ligation efficiencies after 8 hours, Rnl2 produced significantly less (7.5-fold) non-templated product than did T4 DNA ligase (Figure 2.2A, right, compare lanes 9,10 and 18,19), indicating that Rnl2 has a lower propensity for

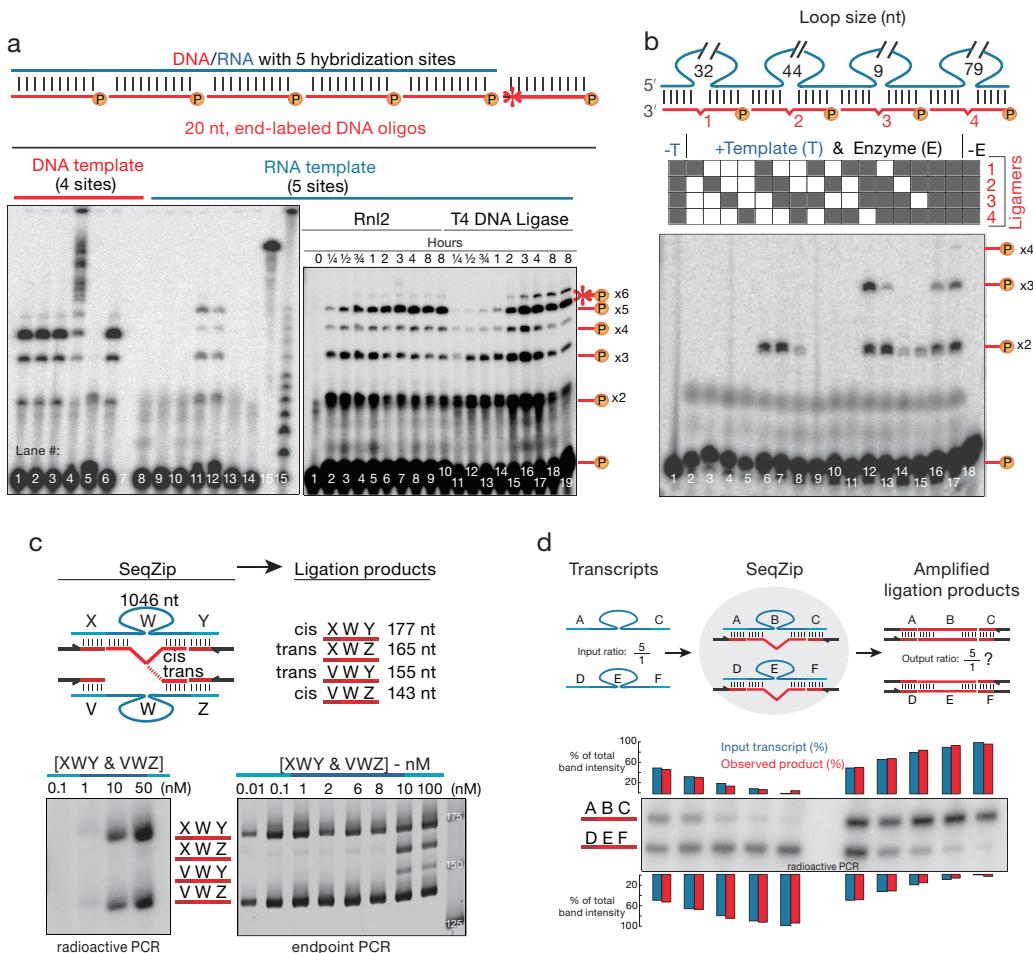


FIGURE 2.2: T4 RNA Ligase 2 will catalyze RNA-templated DNA-to-DNA ligation

See section 2.2.1.1 for caption

promiscuous ligation. Further, the inability of Rnl2 to mediate DNA-templated DNA-DNA ligation minimizes the possibility that contaminating genomic DNA in biological samples would confound SeqZip analysis (Figure 2.2B, left, lane 5). Therefore, we decided to move forward with Rnl2.

We next assessed the feasibility of ligating multiple DNA oligos (ligamers), each spanning a loop in an RNA template (schematized in Figure 2B). Four different

ligamers were constructed to loop out various lengths of a 307 nt transcript. Each 26 nt ligamer consisted of 10 nt hybridizing to either side of the loop separated by a 6 nt spacer. Ligamers were 5' end labeled with  $^{32}\text{P}$  and hybridized to the template RNA individually, pairwise, in threes, or as a complete set. Ligation products were only observed when ligamers targeting adjacent RNA sequences were present in the reaction, and 4-way ligation products were obtained only when all ligamers were present. Thus DNA oligos designed to loop out various lengths of a template RNA can be used to condense the connectivity information in an RNA by more than 3 fold (i.e., a 94 nt RNA was condensed to a 26 nt DNA). In subsequent studies we were able to push this condensation ratio to >49 fold (see below).

### 2.2.1.1 Caption for Figure 2.2

T4 RNA Ligase 2 will catalyze RNA-templated DNA-to-DNA ligation

(left) A screen of ligases was performed (see section 2.4). Ligases were incubated with an RNA or DNA template and a common pool of end-labeled DNA oligos. Importantly, the DNA template was only 80 nt long and could therefore only accommodate 4 oligos. Successful ligation is visualized as products of 40, 60, 80, or 100 nt. The doublet visible at 20 and 40 nt represents intermediate adenylated oligos. The viability of each enzyme was confirmed using the DNA

template. Of note is the inability of Rnl2 to create ligation products longer than 40 nt using a DNA template. Ligases examined and venders are as follows: Lanes 1&8) Tth DNA ligase (Thermo), 2&9) Tsc DNA ligase (Prokaria), 3&10) Thermostable DNA ligase (Bioline), 4&11) T4 DNA ligase (NEB), 5&12) T4 RNA ligase 2 (Rnl2)(NEB), 6&13, E. Coli DNA ligase (NEB). Lanes 7&15 were not loaded. Lane 14 contains radio-labeled oligos but no RNA template, Lane 15 contains the radiolabeled template, Lane 16 shows a 5 nt RNA ladder. (right) A ligation time course was performed for Rnl2 and T4 DNA ligase (section 2.4). Non-templated ligation (NTL) products are annotated as “x-6\*” as there are only 5 hybridization sites on the RNA template. (b) The ability of Rnl2 to ligate adjacently hybridizing ligamers was tested by adding combinations of ligamers to individual reactions and visualizing the mobility of the ligation products on a denaturing PAGE gel. Only when adjacently hybridizing ligamers are included in a reaction are bands of the expected mobility visible. (c) Four different *in vitro* transcribed RNAs were created by through amplification of a plasmid with specific pairs of primers, creating a 1,163 nt RNA with unique sequences on the 5' and 3' ends. These RNAs were incubated in pairs at different concentrations, along with a pool of common ligamers hybridizing to the unique sequences and a ligamer designed to loop out the common, 1,046 nt, internal sequence. After radioactive (left) or endpoint (right), ligation products were visualized on a native PAGE gel. Trans-transcript products are not visible in radioactive PCR, are only

at RNA concentrations >10 nM in the endpoint PCR analysis. (d) “ABC” and “DBE” RNAs were combined in different ratios (blue) in the same background of poly(A)+ RNA. Ligation product band intensity (red) was obtained by radioactive PCR.

### 2.2.2 Trans-transcript hybridization and ligation is minimal

One concern when looping out sections of RNA is the potential for an individual ligamer to hybridize simultaneously to two different RNA molecules. This could result in the undesired formation of ligation products from intermolecular (*trans*) rather than intramolecular (*cis*) hybridization (Figure 2.2C). To investigate this, we combined equimolar concentrations of two 1,127 nt RNA transcripts, each containing a common 1,106 nt internal sequence connected to unique 5' and 3' termini, with a ligamer set designed to loop out 1,046 nt of the common internal sequence (Figure 2.2C). Following incubation with Rnl2, ligation products were PCR amplified. Ligations arising from *cis*-transcript hybridization result in 177 and 143 nt PCR products, whereas ligations from *trans*-transcript hybridization result in 165 and 155 nt PCR products. *Trans* hybridization efficiency should be much more sensitive to RNA concentration than *cis* hybridization efficiency. Consistent with this, *trans* hybridization products were only abundant in endpoint PCR reactions when the two RNA targets were  $\geq 10$  nM, whereas *cis* hybridization products were readily detectable for reactions containing as little

as 0.01 nM target RNA (Figure 2.2C, lower left). Even in samples containing 10 or 50 nM target RNA, radioactive PCR revealed that cis hybridization products predominated at low cycle numbers (Figure 2.2C, lower left). As detailed below, both ligamer hybridization and ligation for SeqZip analysis of complex samples are carried out on magnetic beads on which poly(A) RNA derived from 5  $\mu$ g of total RNA is adhered. Based on calculations of known mRNA abundances, the vast majority of cellular mRNAs are present at concentrations well below 1 nM on these beads. Thus trans hybridization is highly disfavored compared to cis hybridization under these conditions.

To be useful for measuring the abundance of isoforms, SeqZip should faithfully recapitulate input isoform ratios. To test the ability of SeqZip to measure input isoform ratios, we combined two RNA transcripts at ratios varying from 1:1 to 1:100 (Figure 2.2D). Output ratios determined by radioactive PCR were indistinguishable from input. With regard to dynamic range, we have obtained ligation products from as little as 903 molecules ( $50 * 10^{-18}$  mole in 30  $\mu$ L) of target mRNA (data not shown).

### 2.2.3 Reverse transcriptase-based detection versus SeqZip

As a first test of the SeqZip method for measuring relative spliced isoform abundances of an endogenous target, we chose human *CD45* (aka *PTPRC*)

mRNA [Zikherman and Weiss, 2008]. *CD45* is expressed in T-cells, where mRNA isoforms (R) contain various combinations of exons 4, 5, and 6 (Figure 2.3A). Jurkat cells (resembling naïve primary T-cells) predominantly express isoforms R56, R5 and R0 (R0 denotes exclusion of all three exons) while U-937 cells (resembling activated T-cells) predominantly express R456 and R56 [Yeakey et al., 2002]. These three cassette exons are adjacent to one another and together comprise only 585 nt, making this region of *CD45* mRNA amenable to analysis by both RT and SeqZip, and thus provided an excellent benchmark for comparison between methods. Whereas the RT-PCR products varied in length between 365 and 848 nt, shorter SeqZip-PCR products varied between 132 and 260 nt (Figure 2.3B), representing a ~3-fold compression of the connectivity information.

Poly(A)+-selected RNA samples from Jurkat and U-937 cells, or an equimolar combination of the two (Mix), were assessed by RT or SeqZip, both followed by radioactive PCR. Both methods reported the expected isoform profiles for every sample and relative isoform abundances reported by the two techniques were remarkably similar (Figure 2.3C). Importantly, SeqZip did not underreport the abundance of R456 in the Mix sample (which contained all four isoforms), even though detection of R456 required three more ligation events than R0. Thus SeqZip is a robust method for detecting and quantifying spliced isoforms of endogenous transcripts.

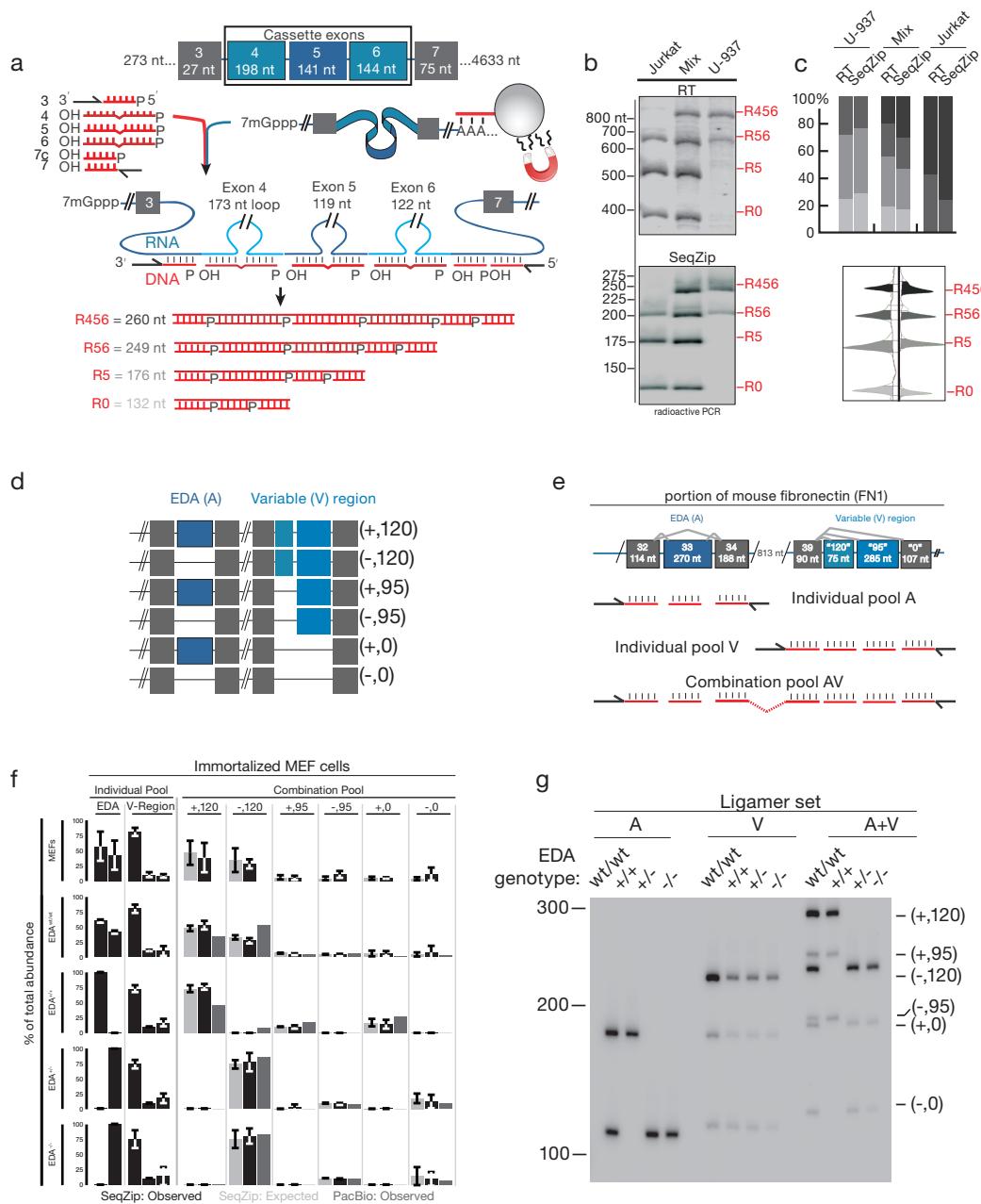


FIGURE 2.3: SeqZip on endogenously expressed RNAs

See subsection 2.2.3.1 for caption.

### 2.2.3.1 Figure 2.3 Caption

SeqZip on endogenously expressed RNAs

(a) Schematic demonstrated analysis of isoforms of the human *CD45* gene by SeqZip. (b) Denaturing PAGE gels showing products of RT (top) or SeqZip (bottom) *CD45* obtained from two different human T-cell lines, or a 1:1 mixture of the two. (c) Quantified band intensity from the gels shown in (b) (top) and a mirror image of the lane profile from the mix lanes (bottom). (d) When considering the cassette exons EDA (blue), and the Variable region (light blue), mouse *Fn1* can produce 6 different isoforms. Isoform nomenclature used in the rest of the figure is shown next to the block schematic. Filled boxes depict exons, diagonal lines indicate isoform sequences not shown, straight lines show absence of exon in the final mRNA. (e) schematic showing more detail for the three regions of *Fn1* alternative splicing investigated. Also shown are different ligamer pools (red). Looped regions indicated by dashed red lines, priming sequences as black arrows. (f) SeqZip was performed on poly(A)+ RNA from various *Fn1* modified cell lines (see Figure 2.4). Ligation products were amplified via radioactive PCR. (g) isoform band intensities are from (f) were quantified in triplicate and are plotted. Black bars indicate individual exon intensities (EDA; V-Region), or calculated expected frequencies (black bars under “Combination pool”). Shown in light grey are observed combination isoform intensities and when available,

frequencies of PacBio reads from RNA of each sample (grey).

#### **2.2.4 SeqZip maintains connectivity and abundance between many sites of alternative splicing**

Mouse Fn1 contains three well-characterized sites of alternative splicing: Extra Domain B (EDB,B), which is included in embryos but excluded in almost all adult tissues except brain; Extra Domain A (EDA (A), which displays a more variable alternative splicing pattern both across developmental stages and adult tissue types; and Variable Region (V) wherein three alternative 3' splice sites lead to 120, 95, or 0 additional amino acids in FN1 (Figure 2.3D). One study has suggested that EDA exclusion is associated with preferential use of the most promoter-proximal 3' splice site (120) in the V region [Fededa et al., 2005]. However, another concluded that splicing of the EDA and V regions occurs autonomously [Chauhan et al., 2004]. These contrary results are possibly explainable by differences in both mRNA source (MEFs vs. adult tissues, respectively) and quantitation methods (region-specific or exon-specific RT-PCR, respectively).

Together, the EDA and V regions produce up to six different spliced isoforms (Figure 2.3D). These two alternative splicing regions are separated by constitutively included exons 34-39 comprising 815 nt. Analysis of the EDA and V exons using traditional RT-PCR generates cDNAs ranging in size from 1 to 1.6 kilobases (kb).

Using SeqZip, we could compress the exon connectivity information >5-fold into uniquely sized ligation products (139 to 318 nt), greatly simplifying connectivity and abundance analysis.

To examine possible coordination between the EDA and V regions, we first made ligamer sets that reported on each region individually (individual pools A and V) and in combination (Figure 2.3E). Using these ligamer pools, we obtained ligation products from poly(A)+ RNA of MEF cells and analyzed them using radioactive PCR (Figure 2.3F and G). EDA and V isoform distributions were analyzed separately or in combination (Figure 2.3F, top row, black bars). The expected isoform distributions for all EDA and V combinations, assuming the null hypothesis of no coordination, were calculated from the individual frequencies (Figure 2.3G, light grey bars). Connected splicing decisions should appear as deviations from null-hypothesis frequencies. In no case did the SeqZip data exhibit any significant deviance from the null hypothesis expectation. Thus, at least in primary MEFs, EDA and V region alternative splicing appears to be independently regulated.

To directly test the effects of EDA inclusion or exclusion on splicing of the V region, Chauhan et al. [2004] used homologous recombination to create mice where the intronic splicing enhancers were modified to favor either constitutive inclusion (+/+) or exclusion (-/-) of exon 33. They also analyzed the parental

strain (wt) and mice heterozygous for the modified locus (+/-). We analyzed RNA obtained from immortalized MEFs of all four genotypes using SeqZip as above(Figure 2.3G, lower 4 rows). While we did observe constitutive inclusion or exclusion of the EDA exon as expected, in none of the lines did we observe an effect of EDA inclusion or exclusion on the V-region. We further confirmed these mRNA isoform distributions by directly sequencing full-length RT-PCR products on the PacBio platform (Figure 2.3G, grey bars). Thus, our results are consistent with the findings of Chauhan et al. [2004] that the EDA and V regions are indeed autonomous with regard to splicing.

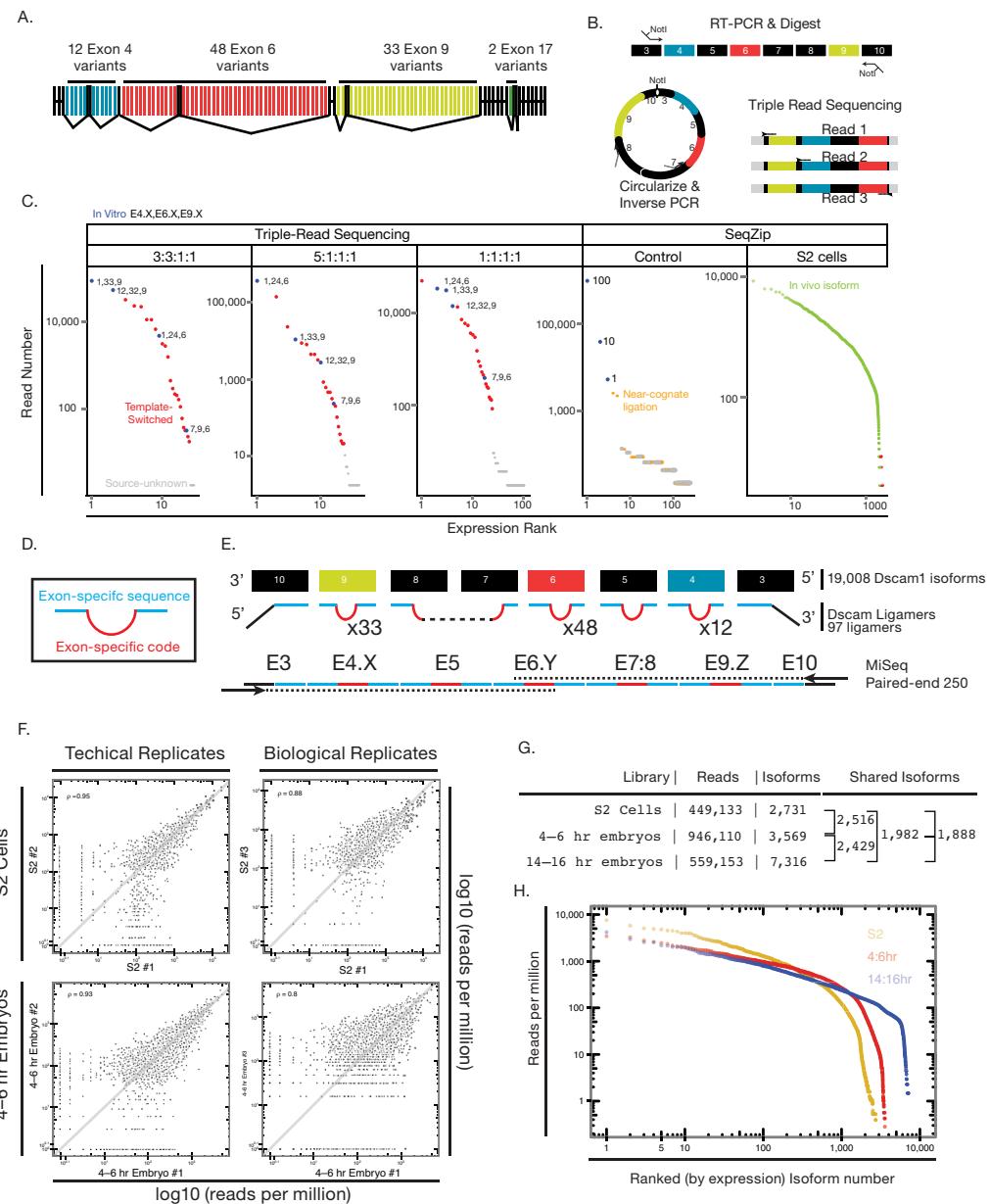
### 2.2.5 *Dscam1* analysis by high-throughput sequencing

Unquestionably, the most challenging system for measuring multi-site alternative splicing exon connectivity and isoform abundance is *Dscam1* (Figure 2.4A). *Dscam1* has four regions of alternative splicing (exons 4, 6, 9 and 17), all utilizing mutually exclusive cassette exons (with 12, 47, 33 and 2 variants, respectively). Thus there are 37,224 possible *Dscam1* mRNA isoforms, and previous studies have suggested that all isoforms have the potential to be generated [Neves et al., 2004, Sun et al., 2013, Zhan et al., 2004]. Consistent with this, a recent study examining *Dscam1* expression in individual neurons showed that all exon 4 variants are used and are incorporated in a stochastic and probabilistic manner [Miura et al., 2013]. Only one study so far has attempted to assess the extent

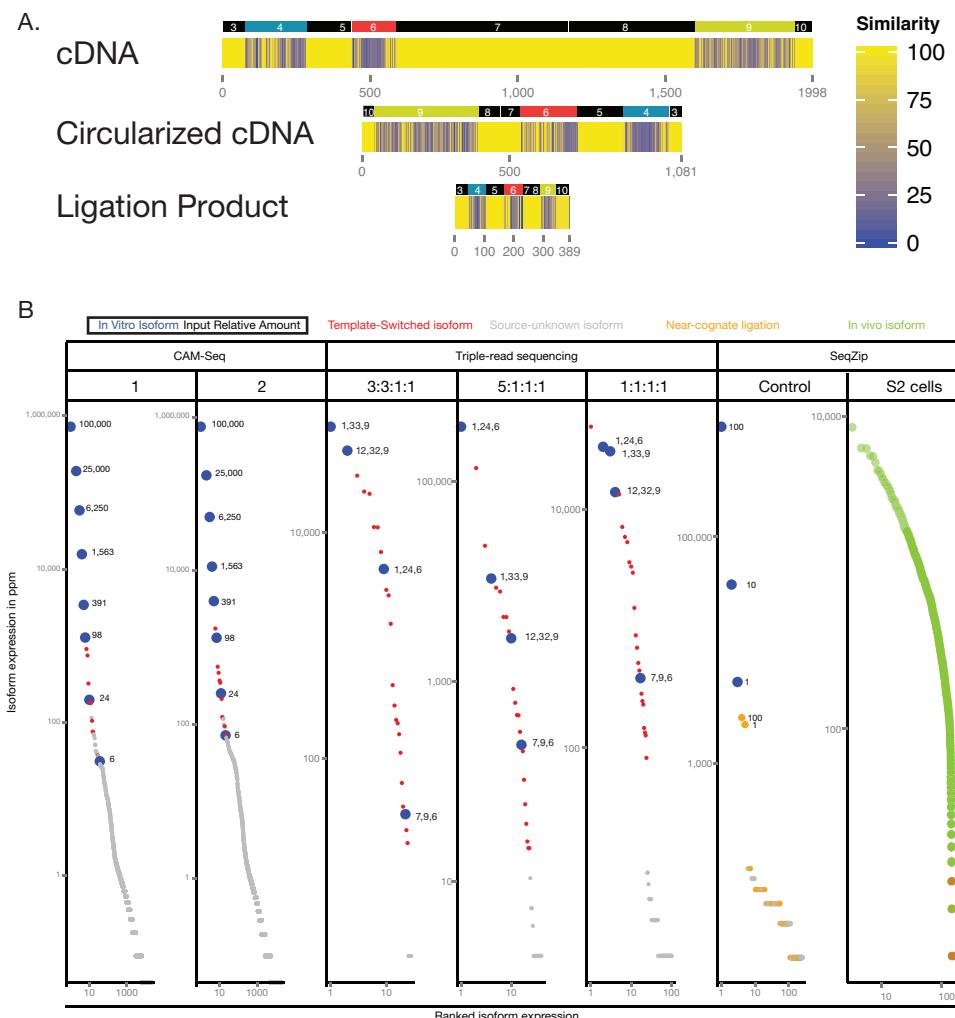
to which specific exon choices in the different cassette regions influence one another [Sun et al., 2013]. That study examined connectivity between exons 4, 6 and 9 using an RT-PCR-based method dubbed “CAMSeq”.

Potentially problematic for RT-PCR-based approaches are long stretches of sequence identity in the constitutive exons separating each cluster, as well as the high sequence similarity between individual exon 4, 6 and 9 variants (Figure 2.5). Long regions of sequence homology favor template switching during both RT and PCR [Houseley and Tollervey, 2010, Judo et al., 1998]. That is, an incompletely extended DNA strand can hybridize to a different template during the RT step or any subsequent PCR cycle, leading to novel “switched ” isoforms not present in the original biological sample.

To test how template switching might affect *Dscam1* isoform interrogation, we developed an RT-PCR-based Triple-read sequencing method for examining exon connectivity between alternative splicing regions 4, 6 and 9, similar to CAMSeq (Figure 2.4B, & section 2.4). To specifically assess template switching, we generated four full-length cDNA clones (*Dscam1*<sup>1,33,9</sup>, *Dscam1*<sup>12,32,9</sup>, *Dscam1*<sup>1,24,6</sup>, and *Dscam1*<sup>7,9,6</sup>; where the superscripted numbers indicate the included 4.X, 6.Y, and 9.Z exon variants respectively). Runoff RNA transcripts were mixed together in three different ratios (1:1:1:1, 3:3:1:1, and 5:1:1:1) and the mixtures were then processed in parallel to generate deep sequencing data.


 FIGURE 2.4: Analysis of *Dscam1* isoforms via High-Throughput Sequencing

A) The *Dscam1* gene. B) Triple-Read library preparation schematic. C) Rank-order expression for different *in vitro* *Dscam1* isoforms. Blue - *in vitro* products added to libraries, with isoform identities at 4.X, 6.X, and 9.X. Red - template-switched isoforms, Yellow - ligation products created via inclusion of near-cognate ligamers. Green - *in vivo* isoforms measured from S2 cells. CAM-Seq data from [Sun et al., 2013]. See also Figure 2.8. D) Schematic showing how ligamers include exon-specific barcodes. E) SeqZip application to *Dscam1* isoform profiling. F) Technical and biological replicates for S2 cells and 4-6 hr embryos using SeqZip G) Number of reads and isoforms observed per sample type. Also shared isoforms between libraries. H) Rank order isoform expression by sample type.

FIGURE 2.5: HTS Analysis of *Dscam1* *in vitro* transcripts

A) The similarity of sequence between cDNA, circularized cDNA, and ligation products was measured [Notredame et al., 2000, Waterhouse et al., 2009]. B) The rank-order in terms of expression for different *in vitro* *Dscam1* isoforms is plotted. Blue dots indicate true *in vitro* products added to the libraries, Red dots indicate template-switch isoforms sequenced from, but not added to, each library. Yellow dots indicate ligation products created via inclusion of near-cognate ligamers during SeqZip ligation. Green dots indicate *in vivo* isoforms measured from S2 cells using SeqZip. CAMSeq data is from [Sun et al., 2013]. See also Figure 2.4.

Indicative of template switching, many novel transcript isoforms containing exon combinations not present in original control transcripts were observed (Figure 2.4C). These template-switched isoforms made up 34% to 55% of the total isoforms detected, with many being significantly more abundant than one or more of the input isoform(s). We next analyzed similar control data from the CAMSeq study [Sun et al., 2013]. CAMSeq proved to be much more robust than our Triple-read protocol for accurately reporting both individual exon and individual transcript isoform abundances over five orders of magnitude. Nonetheless, template-switched isoforms were present in the CAMSeq data, with many switched species being more abundant than the lowest input species. Further, many additional invasive isoforms of unknown source were detected. Although the template-switched and unknown source isoforms represented just 0.6–0.94% of total reads, they represented 99.9% of all isoforms detected (5,378–5,906 switched and source-unknown isoforms versus 8 input isoforms) (Figure 2.5B). Thus, extensive template in both RT-PCR-based approaches (Triple-read and CAMSeq) compromised their ability to accurately identify the exact isoform set present in the original sample and faithfully report their relative input levels.

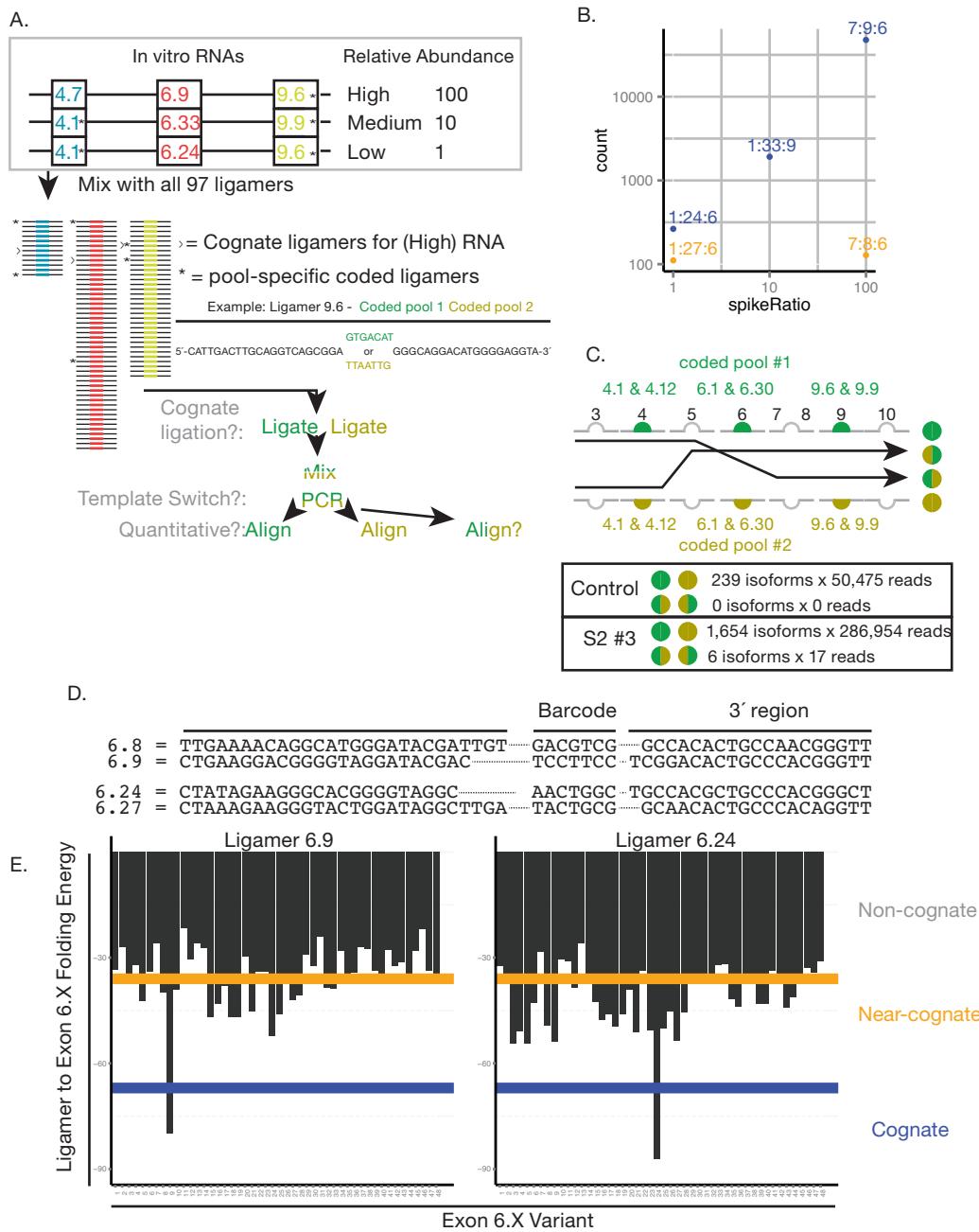
The technical issue of template switching can be greatly minimized using SeqZip and ligamers containing an exon-specific barcode in the linker region (Figure 2.4D). The added sequence diversity and decreased length make SeqZip ligation

products, in principle, much less prone to template switching (Figure 2.5A). Exon-specific barcodes also ensure unambiguous isoform alignment. To apply SeqZip to *Dscam1*, we designed ligamers targeting each variant exon in clusters 4, 6, and 9 plus constitutive exons 3, 5, 7–8, and 10 (97 ligamers in all) (Figure 2.4E). Whereas the exon 3–10 region ranges in size from 1,722–1,751 nt (median = 1,734 nt) in *Dscam1* mRNAs, our ligamer design compresses this ~5-fold to a 356 nt median-length, 7 ligamer-containing (6 ligation events) products. These products could be completely sequenced in a single Illumina MiSeq paired-end 250 nt run.

To assess (1) maintenance of input isoform ratios, (2) template switching during PCR amplification, and (3) inappropriate ligamer hybridization during the ligation reaction, we performed control experiments containing 3 different *in vitro* transcribed *Dscam1* isoforms mixed at a 1:10:100 ratio in a background of total RNA from mouse Hepa 1-6 cells (Figure 2.6A, section 2.4). Separate ligation reactions each containing a 97-ligamer pool wherein two highly expressed exons within each cluster were differently coded between the pools allowed for detection of template-switched isoforms, both in our control experiments and in biological samples. Following ligation, differentially coded samples are mixed together, subjected to PCR and sequenced (Figure 2.4C, 2.5B & section 2.4). As expected, SeqZip faithfully maintained Dscam input isoform abundance in the control samples (Figure 2.5BB). Further, we detected no template-switched

isoforms (Figure 2.5C). Thus, as expected, our ligamer design strongly disfavors template switching during PCR amplification. This also held true for a complex biological sample (poly(A)+-selected RNA from S2 cells) where the same differentially coding approach revealed just 17 of 111,242 reads (0.015%) corresponding to switched isoforms (Figure 2.5C).

Given the high sequence similarity between variant exons within each cluster, ligamer hybridization to near cognate sequences is potentially problematic. Ligamer hybridization is specified by the sequences at the ends of target exons (Figure 2.1). To assess the potential for mis-pairing, we calculated the free energy of hybridization [Reuter and Mathews, 2010] between each ligamer and all exon variants within its target cluster. As expected, cognate ligamer-exon pairs had predicted hybridization energies lower than  $\Delta G^\circ = -67$  kcal/mol, with the closest near-cognate pair being at least 12 units higher (Figure 2.7, section 2.4). In the control samples, only 642 of 50,475 high-confidence alignments (1.3%) contained ligamers for exons not present in any input transcript, with the vast majority of these species (221/236) being represented by 3 or fewer reads. The two highest near-cognate hybridization products had abundances well below those of the true targets (Figure 2.4C, 2.5B, 2.6D, 2.6E), indicating that, while detectable, near-cognate hybridization is not a major problem for SeqZip.

FIGURE 2.6: SeqZip *in vitro* *Dscam1* controls and near-cognate ligation

A) The three *in vitro* cDNAs used as *in vitro* transcript controls. B) Expression of *in vitro* controls in SeqZip analysis. Blue are true transcripts, yellow near-cognate transcripts. C) Schematic showing template-switching observation scheme. Also shown is quantified template-switched products from Control and S2 libraries. D) Comparison of E6.[8 & 9] ligamer sequences. E) Folding energies of between ligamers 6.9 and 6.24 to all exons 6.X sequences.

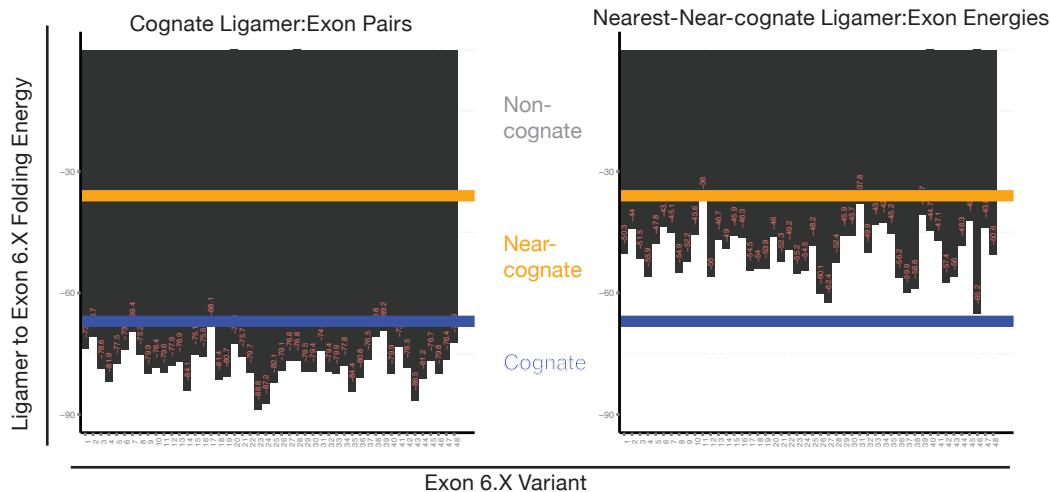


FIGURE 2.7: Cognate and Nearest-Near cognate folding energies for *Dscam1* exon 6  
Left) Folding energies between all cognate exon:ligamer 6 pairs. Right) Nearest-NearCognate folding energies for all ligamer:exon pairs. On both Left and Right panels,  
Yellow line = folding energy of -36 J/mol and Blue line = -67 J/mol.

## 2.2.6 Analysis of *Dscam1* transcripts

Having validated SeqZip as a reliable approach for analyzing *Dscam1* isoforms, we next analyzed RNA samples from S2 cells, 4–6 and 14–16 h embryos. Between ~450,000–1,000,000 reads were obtained for each sample. In total, 8,397 of the possible 18,612 unique isoforms were detected (Figure 2.4G). Individual isoform abundances were highly correlated in both technical and biological replicates (Figure 2.4F;  $r=0.95-0.8$ ). Of the 97 possible exons represented in our ligamer set, all were detected except 6.11, for which substantial evidence indicates it to be an unused pseudo-exon [Neves et al., 2004, Sun et al., 2013, Watson et al., 2005, Zhan et al., 2004]. Thus absence of exon 6.11 reads from our libraries additionally confirms the specificity of our technique. Yet another

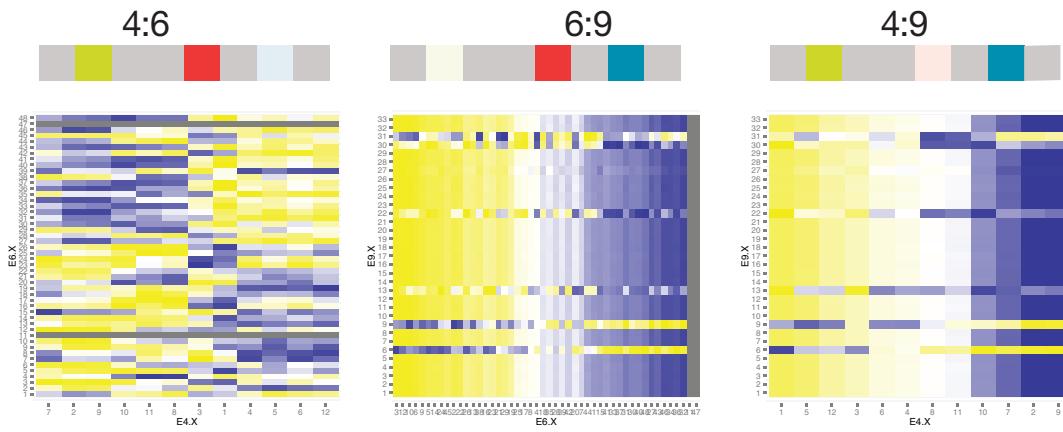


FIGURE 2.8: Correlograms of expression for all exon pairs in *Dscam1* as measured by SeqZip

Pearson correlations of expression for each exon from all libraries were calculated and are plotted as shaded boxes with Yellow =  $r$  of 1 and Blue =  $r$  of -1.

confirmation was the individual exon utilization patterns observed in S2 cells, the only sample directly comparable between the SeqZip and CAMSeq datasets (Figure 2.7A & B). Overall, the S2 exon utilization patterns were remarkably similar between the two analyses. The exception was exon 6.47, which was well represented in the CAMSeq data, but undetectable in the SeqZip data. We currently do not understand this failure of ligamer 6.47 to capture exon 6.47 containing transcripts. Nonetheless, the similarity between the SeqZip and CAMSeq data with regard to all other exon abundances demonstrates the general robustness of SeqZip for accurately reporting exon abundances in highly complex samples.

Comparison of exon utilization patterns across biological samples in our SeqZip data revealed a substantial increase in diversity going from S2 cells (least

diversity) to 4-6 hour embryos (intermediate) to 14-16 hour embryos (highest) (Figure 2.9A). As previously reported, the utilization patterns across clusters 4 and 9 exhibit dramatically change during development, whereas the utilization pattern across cluster 6 remains relatively static [Celotto and Graveley, 2001, Miura et al., 2013, Neves et al., 2004, Sun et al., 2013, Zhan et al., 2004]. S2 cells are characterized by poor utilization of exons 4.[2,9], and almost exclusive utilization of exons 9.[6,9,13,30,31]. This pattern is characteristic of hemocytes [Watson et al., 2005], consistent with the macrophage-like nature of S2 cells [Schneider, 1972]. Whereas 4-6 hour embryos are very similar to S2 cells in their cluster 4 and 9 exon utilization patterns, 14-16 hour embryos show a significant increase in exon diversity, especially in cluster 9. New isoforms are likely neuronal in origin. To compare the expression levels of hemocyte- and non-hemocytes indicative isoforms within each sample, we color coded them in the scatter plots in Figure 2.9B. This makes it easy to see that as a class, the “hemocyte-indicative” isoforms (i.e., those lacking exon 4.[2,9] or containing exon 9.[6,9,13,30,31]) dominate all samples in terms of abundance. As development proceeds, however, “non-hemocyte indicative” isoforms increase in both number and abundance.

For all three samples (S2 cells, 4-6 h and 14-16 h embryos), we calculated expected pairwise and triple combination frequencies in individual transcript isoforms by simple multiplication of individual variant exon frequencies in each

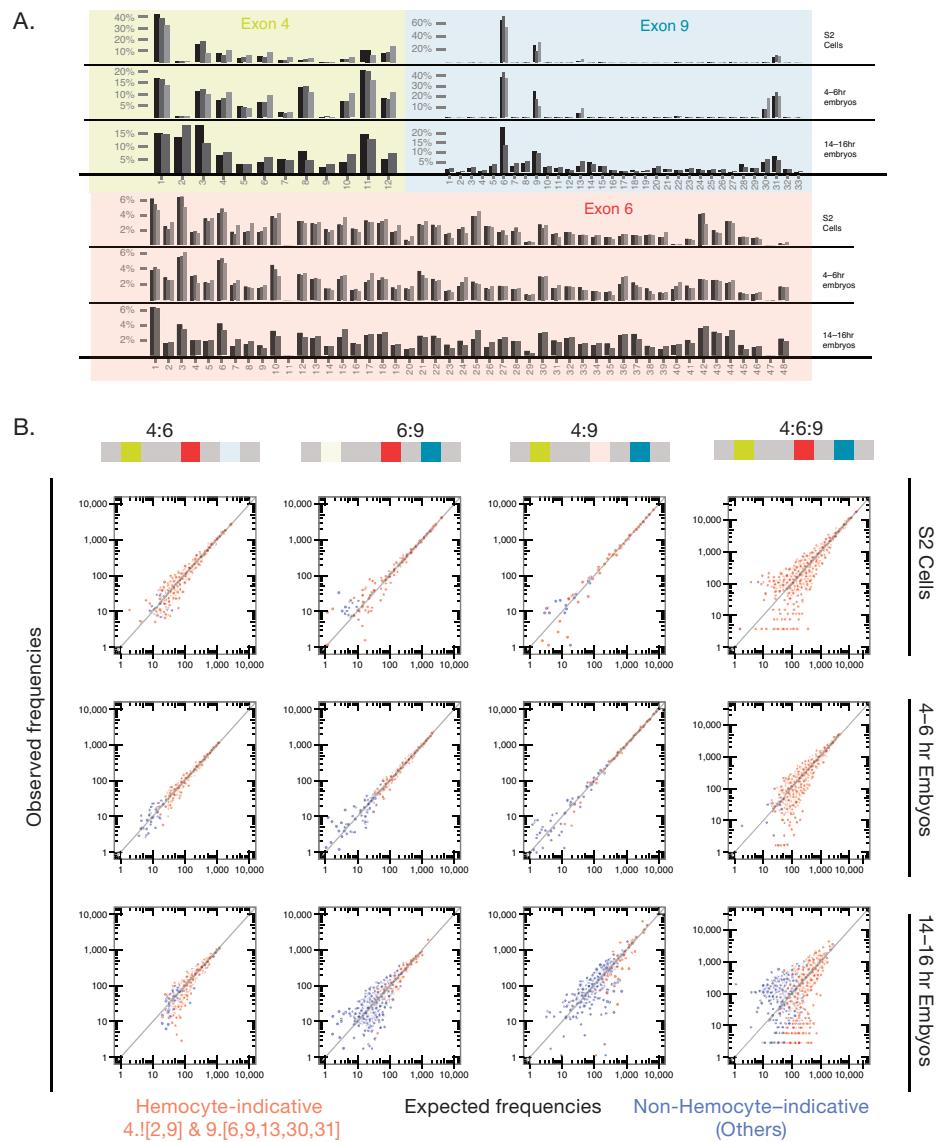
cluster. Plotting these expected frequencies against observed frequencies (Figure 2.9B) revealed no obvious outliers. Therefore, consistent with previous analyses [Neves et al., 2004, Sun et al., 2013], we conclude that there is no coordination between *Dscam1* clusters 4, 6 and 9 with regard to alternative exon choice.

## 2.3 Discussion

Here we describe development and implementation of a new method, SeqZip, for compressing sequence information of long RNAs while maintaining connectivity between distant regions of individual molecules. Completely orthogonal to traditional methods of RNA sequence investigation such as RT-PCR, SeqZip can be used to quickly and efficiently examine complex alternative splicing events, and is particularly useful for investigating genes harboring multiple distal sites of alternative splicing. Using SeqZip to investigate the splicing coordination in mouse *Fn1* transcripts and in *Drosophila melanogaster Dscam1* we found no evidence of splicing coordination in either gene.

### 2.3.1 Deconvoluting *Dscam1*

Many of the *Dscam1* variant exons arose from exon-duplication and, therefore, have very high sequence similarity [Lee et al., 2010]. The extreme diversity of

FIGURE 2.9: *Dscam1* individual exon usage measured by SeqZip

A) Expression of each variant exon, for each library. Individual libraries are plotted as gray-shaded bars. B) Scatterplots showing mean Observed versus Expected individual exon abundances for the pairs, or all exon clusters (4, 6, and 9). Isoforms are colored red when hemocyte indicative or blue when not.

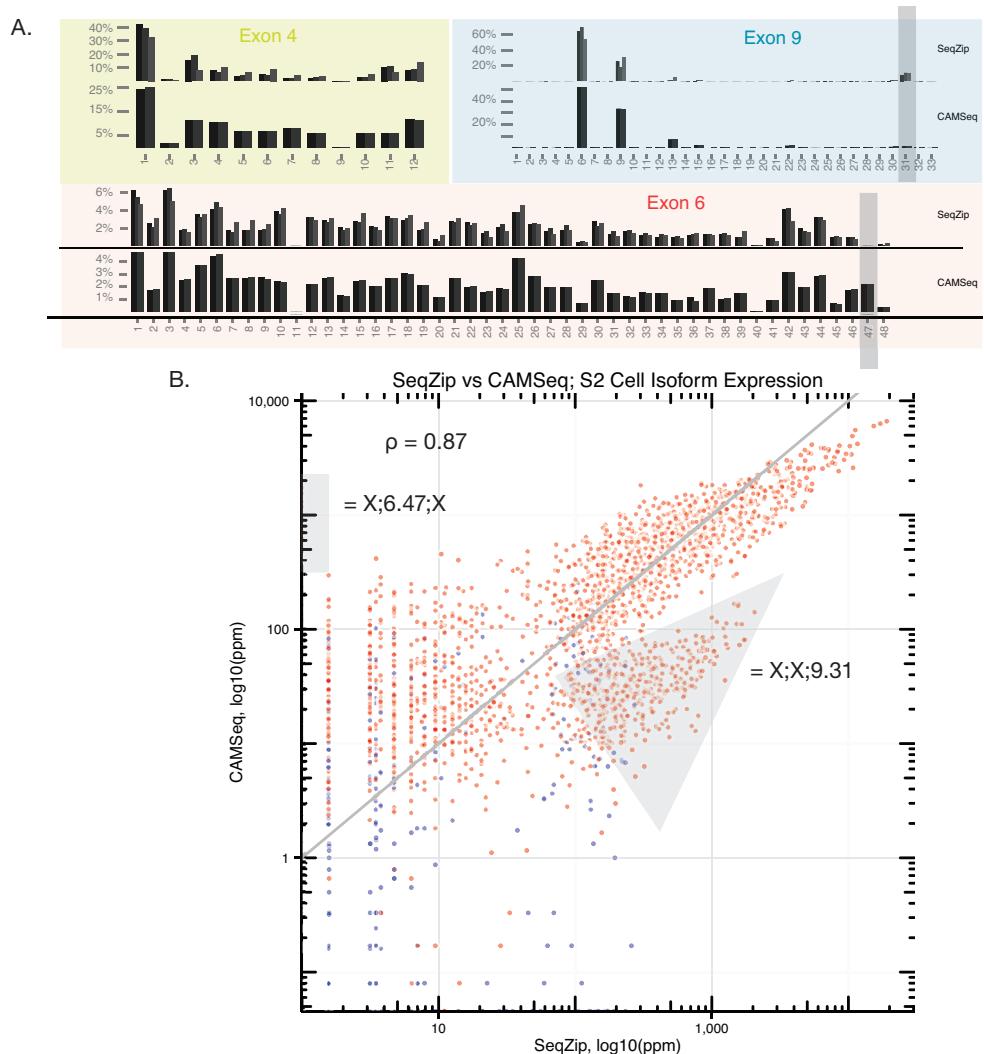


FIGURE 2.10: Comparison between SeqZip and CAMSeq analysis of *Dscam1* isoforms in S2 cells

A) Individual exon usages observed for S2 cells observed using either SeqZip (Top) or CAMSeq (Bottom).

*Dscam1* has been implicated in important biological functions, including neuronal self recognition and immune function [Watson et al., 2005, Wojtowicz et al., 2004, Zipursky and Grueber, 2013]. While flies coding for 4,752 unique isoforms have been shown to display equivalent neurite formation as wild-type controls, animals expressing 1,152 isoforms display neuronal branding defects, supporting the view that diversity of molecules, and not sequence, is essential for biological function [Hattori et al., 2009].

Multiple technical hurdles currently hamper characterization of *Dscam1* isoforms: (1) determining the relative utilization of individual exons within each cassette region, while maintaining connectivity information between cassettes using current Illumina-platform RNA-Seq read lengths is currently not possible [LeGault and Dewey, 2013, Neves et al., 2004, Zipursky and Grueber, 2013]; (2) sequencing full-length mRNAs expressed across 5 orders of magnitude is technically challenging and costly [Hattori et al., 2008, Sharon et al., 2013]; and (3) due to sequence similarity between *Dscam1* isoforms, template switching artifacts complicate high-throughput sequencing library preparation.

Recently, two studies have lent additional support to a longstanding hypothesis that *Dscam1* isoforms are produced via stochastic processing. The first is an elegant genetic investigation into exon 4 variants, demonstrating that changes in variant expression are not due to any requirement at a specific time, cell, or tissue,

and instead is determined by modulating the probability of choosing certain variants [Miura et al., 2013]. In the second study, Sun et al. [2013] employed a novel high throughput sequencing approach (CAMSeq). CAMSeq begins by amplifying barcoded 2 kb *Dscam1* RT products circularized into ~1 kb inserts containing exon clusters 4, 6 and 9. Circularized products are amplified again, and deep sequenced via sequential hybridization of three constitutive exon-specific primers, sequencing exons 4, 6, and 9. CAMSeq is extremely similar to the Triple-read approach described above. CAMSeq analysis of *Dscam1* diversity in multiple *Drosophila melanogaster* (S2 cells, embryos, larva, pupae, and adult brains) led the authors to conclude that all possible isoforms are expressed at all developmental stages, again in a stochastic fashion.

Two potential complications of the CAMSeq approach are: (1) chimera formation via intermolecular ligation during the circularization step, and (2) template switching during the RT step or either round of PCR. Using a clever barcoding scheme, the authors were able to determine that chimeras represented ~1% of their CAMSeq libraries, indicating that this is a non-trivial problem. Indeed, in control libraries made from a mixture of 8 *in vitro* transcribed *Dscam1* isoforms, chimera formation resulted in detection of >5,000 other isoforms not present in the original mix, with ~500 being represented by  $\geq 10$  reads (Figure 2.5B).

Whereas barcoding can distinguish bona fide isoforms from chimeras, there is

no way to distinguish isoforms present in the original RNA sample from artificial combinations created by template switching. Template switching can occur during the initial RT step [[Houseley and Tollervey, 2010](#)], or during either PCR amplification step [[Judo et al., 1998](#), [Meyerhans et al., 1990](#)]. With these potential experimental complications in mind, we decided to investigate and characterize isoforms of *Dscam1* using the SeqZip method.

Our analysis of *Dscam1* yielded a very similar exon usage frequency to that of CAMSeq at each stage examined (Figure [2.10](#)). Additionally, we also observed no connectivity between exon choices from any of the three clusters (Figure [2.9B](#)). We do observe increased exon 9 diversity in 14-16 h embryos. Taken together, our data also support the view that flies use a complex mixture of *Dscam1* isoforms, produced via stochastic and probabilistic splicing, in order to discriminate self from non-self neuronal processes [[Zipursky and Grueber, 2013](#)].

Even a relatively shallow analysis of the human transcriptome using single-molecule sequencing on the PacBio platform has revealed a rich population of previously unreported isoforms, and thorough analysis of complex spliced genes is becoming a reality [[Sharon et al., 2013](#)]. However, the most interesting spliced genes often produce long (>1,500 nt) transcripts that are often expressed in the central nervous system [[Park and Graveley, 2007](#)]. While human *Dscam* does

play a role in the neurologically disorder down syndrome, it does not undergo extensive splicing [Yamakawa et al., 1998]. Unlike *Dscam*, human *protocadherin* and *neurexins* are heavily processed and, similar to *Dscam1*, are involved in neuronal wiring [Ushkaryov et al., 1992, Wu and Maniatis, 1999]. Recently, PacBio was used to rigorously determine *neurexin* isoforms, and found that these genes do produce many different isoforms, but lack any coordination in their alternative processing events [Treutlein et al., 2014]. Perhaps efficient cell-cell recognition is accomplished not by an ordained and complicated system, but by random and frequent shuffling of exons.

### 2.3.2 Other applications of SeqZip

A potentially more routine use of the SeqZip methodology is highlighted by our analysis of mouse *Fn1*, where we simultaneously measured 12 different alternative splicing isoforms and determined their relative expression using traditional PAGE. While it is intriguing to think that inclusion of the EDA exon in this gene influences alternative splicing decisions over a kilobase and multiple exons away, we saw no evidence for this type of regulation in any of the cell lines investigated.

SeqZip could also be used to assess the integrity of long RNAs, extended 3' UTRs [Wang and Yi, 2013], or piRNA-precursor transcripts [Li et al., 2013a]. A

more routine laboratory task where SeqZip could prove useful is Q-PCR. SeqZip does not include an RT step, providing an orthogonal means of measuring RNA abundance. Also, any sequence can be placed between each ligamers two regions of complementarity. Therefore sequences for custom priming, restriction digestion, recombination, etc., can be introduced allowing for quantification or manipulation of ligation products. Analysis of ligation products can even be multiplexed, allowing for simultaneous generation and analysis of internal controls and primer sets. As demonstrated by our *Dscam1* study, SeqZip ligation products can be analyzed with high-throughput sequencing via incorporation of platform-appropriate priming sequences either in the terminal PCR primers, or in the spacer sequences of the internal ligamers. Therefore, this robust methodology, which only takes 1.5 days to complete, complements more traditional analysis via RT-PCR.

## 2.4 Materials and Methods

### Cell lines and *Drosophila melanogaster* stocks

U-937 (CRL-1593.2), Jurkat (TIB-152), and S2 (CRL-1963) cell lines were obtained from ATCC. Primary MEF cells were from C57BL/6J strain background and were obtained from The Jackson Labs. MEF lines were immortalized using SV40 retroviral infection. Mixed *Drosophila melanogaster*

Oregon-R males and females were maintained at 25 °C. Embryos 4-6 hour, and 14-16 were collected.

**Ligamer design** The 5' and 3' most sequences of a target sequence (ex. exon or multiple exons) were obtained from online databases (Ensembl, UCSC, etc.). The  $T_m$  of these sequences was normalized to 60 °C ± 5 °C according to nearest-neighbor rules [Xia et al., 1998] by adding or removing flanking nucleotides. Most regions of complementarity fell 12–25 nt in length. After assembling complementary region sequences, matching sequences (i.e. the 5' and 3' edge sequences of a specific exon) were combined with a short spacer sequences included between them. For this study the spacer was restricted to (TGA)\*N, where N was typically 2. With the full sequence now assembled, the reverse complement was taken, ligamers requiring 5' phosphorylation for subsequent ligation were marked, and ligamers were ordered in 96 well format from Integrated DNA Technologies (IDT). Ligamers were reconstituted to 1  $\mu$ M in sets targeting specific regions on a specific gene and subsequently diluted further for use in the SeqZip protocol.

**SeqZip** Total RNA was isolated from a cell line or tissue type using TriReagent (MRC) according to the manufacturer's instructions. Poly(A)+ RNA was isolated using the Poly(A)Purist MAG kit from Ambion (AM1922). Poly(A)+

RNA was not eluted from magnetic beads, and after the last wash step, beads were aliquoted into appropriate amounts and reconstituted in hybridization buffer (60 mM Tris-HCl pH 7.5 @ 25 °C, 1.2 mM DTT 2.4 mM MgCl<sub>2</sub>, 480 μM ATP) including 10 nM appropriate ligamers. Hybridization was performed in a thermocycler by heating samples to 62 °C for 5 minutes and cooling to 45 °C in 3 °C by 10 minute increments. Samples were left at 45 °C for 1 hour, then cooled again in 3 °C by 10 minute increments until 37 °C was reached, followed by enzyme addition. T4 RNA ligase 2 (NEB, M0239) was added to compose 10% of final volume (ex. 2.5 μl in 22.5 μL previous samples). At this point the samples were in 1X ligation buffer (51 mM Tris-HCl pH 7.5 @ 25 °C, 2.01 mM DTT, 5 mM KCl, 2 mM MgCl<sub>2</sub>, 400 μM ATP, 3.5 mM (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>, 5% glycerol). Samples were incubated at 37 °C for 8–16 hours. Ligation products were amplified by PCR and analyzed by either native PAGE or sequencing.

**PacBio FN1 Analysis** cDNA prepared using primers designed to amplify EDB->IIICS region was submitted for library construction using “The DNA Template Prep Kit 2.0 ”and sequenced on a PacBio RS II. Circular Consensus reads were aligned to an index of FN1 isoforms using BLAT.

**MiSeq Library Preparation** Individual SeqZip ligation reactions were amplified for 12 cycles using common primers, in individual PCR reactions. After

amplification, PCR reactions were run on a 5% acrylamide gel, and DNA in the appropriate size range of full-length ligation products was cut from the gel, and eluted. Eluted DNA was precipitated, and amplified for another 22 cycles using primers containing Illumina priming sequences with integrated barcodes. These PCR reactions were cleaned using a PCR clean up kit (Qiagen) and quantified. Samples were mixed and submitted for sequencing on the MiSeq instrument, paired-end 250 nt read option.

**MiSeq Library Analysis** Paired reads were split according to the index read. Libraries were aligned against an index of all possible *Dscam1* ligamer arrangements using bowtie2 [[Langmead and Salzberg, 2012](#)] in the “very-sensitive-local” mode and constrained using “-no-discordant” to only look for reads where both pairs aligned to the same isoform. Read counts per isoform were extracted using the SAMtools software package [[Li et al., 2009a](#)]. Analysis of count values and graph generation was performed using R [[R Development Core Team, 2011](#)].

**Triple Read Sequencing** To interrogate, RNA samples, reverse transcription was performed using 5  $\mu$ g total RNA, Superscript II (Invitrogen) and random hexamer at 42o C for one hour. Three strand-switching control experiments were performed by mixing plasmids encoding isoforms *Dscam1*<sup>1.33.9</sup>, *Dscam1*<sup>12.32.9</sup>, *Dscam1*<sup>1.24.6</sup>, and *Dscam1*<sup>7.9.6</sup> in the ratios of 3:3:1:1, 1:1:1:5,

and 1:1:1:1. PCR primers specific to exon 3 (Not1Ex3For: TAT CGG CGG CCG CGG ACG TCC ATG TGC GAG CCG) and exon 10 (Ex10RevNot1: ATA TCG CGG CCG CGA GGA TCC ATC TGG GAG GTA) with a Not I restriction enzyme site on the 5' ends were used to amplify the cDNA or plasmids containing the region encompassing exons 4, 6, and 9 using Phusion polymerase (NEB) with an annealing temperature of 55 °C and 1 minute extension. PCR products were gel purified and digested with Not1 for 2 hours at 37 °C, followed by a heat inactivation at 65 °C for 20 minutes. 0.5 µg of the digested PCR products were circularized in 500 µL 1X T4 ligase buffer (NEB) with 1 µl T4 ligase at 18 °C overnight. Inverse PCR was then performed with primers specific to exons 7 (PEex7Rev:CAA GCA GAA GAC GGC ATA CGA GAT CGG TCT CGG CAT TCC TGC TGA ACC GCT CTT CCG ATC TAT GAA CTT GTA CCA T) and 8 (PEex8For: AAT GAT ACG GCG ACC ACC GAG ATC TAC ACT GTT TCC CTA CAC GAC GCT CTT CCG ATC TAA GTG CAA GTC ATG G) that containing Illumina paired-end clustering sequences using Phusion polymerase (NEB) with a 55 °C annealing temperature and 30 second extension. Libraries were gel purified, quantified and clustered on a Genome Analyzer IIx paired-end flow cell on an Illumina cluster station using the standard clustering protocol. Sequencing was performed on an Illumina GAIix by modifying the protocol

for paired-end sequencing with an index read. Briefly, read 1 was performed for 24 cycles with a primer complementary to the 5' end of exon 8 (Ex8For:ACG ACG CTC TTC CGA TCT AAG TGC AAG TCA TGG). The flow cell was denatured to remove the exon 9 sequencing products, a primer complementary to exon 3 (Ex3For:CCC GGG ACG TCC ATG TGC GAG CCG) was annealed, and read 2 sequenced for 12 cycles. Next, the flow cell was re-clustered using the paired-end protocol and read 3 performed for 20 cycles using a primer complementary to exon 7 (Ex7Rev:GAA CCG CTC TTC CGA TCT ATG AAC TTG TAC CAT).

Base calling was performed from the raw images using the Firecrest, Bustard, and Gerald software modules of GAPipeline-1.4.0 and a matrix.txt file for a PhiX lane from a previous flow cell for calibration. This generated a single FastQ file per lane containing the three reads from each cluster concatenated together. The reads within the FastQ files were parsed to separate the three reads and the identity of each exon within each cluster, and thus the full isoform, determined by matching to a database of known exon sequences using Perl scripts.

**Determining Sequencing Similarity of *Dscam1* Sequences** Endogenous *Dscam1* sequences were obtained from genomic build DM3 using BEDTools [Quinlan and Hall, 2010]. All possible *Dscam1* were assembled using a PERL

script. Five hundred random isoforms were obtained, and aligned using TCOFFEE [[Notredame et al., 2000](#)] in the Jalview package [[Waterhouse et al., 2009](#)]. Consensus scores of alignments were exported and graphed in R. The same analysis was performed on *Dscam1* ligation products, except ligamer sequences were used in place of endogenous exonic sequences.

**Trans-transcript RNA design** PCR was performed using oligos specified in Table S2 in desired combinations. These oligos have partial complementarity to the open reading frame (ORF) of human eIF4A3. A plasmid containing this ORF was used (RefSeq: NM\_014740 ) as a template for PCR. The sequences of the individual RNAs were confirmed by sequencing.

**Reverse Transcription** Reverse transcription was performed using SuperScript III (Invitrogen), 200 ng poly(A) selected RNA, and either a gene-specific antisense primer or anchored oligo(dT).

**Radioactive PCR** A 5' <sup>32</sup>P-radiolabeled antisense primer was used in PCR reactions run for a limiting number of PCR cycles. Multiple cycle numbers were performed to test for expected increases in signal (typically 15,18, and 21 cycles). Reactions analyzed on denaturing acrylamide gels to size resolve ligation products. Bands were quantified using a Typhoon imager (GE Healthcare) and the ImageQuant software package (GE Healthcare).

**Endpoint PCR** Using a 25  $\mu$ l reaction volume, after 8 hours of ligation, 2  $\mu$ l reaction volume was added into a 20  $\mu$ l PCR reaction with 500 nM primers and 50% Green master mix from Promega. Samples were amplified for 35 cycles using a hybridization temperature 5 °C below the  $T_m$  of the primers. 10  $\mu$ l of each PCR reaction was run out on an appropriate percentage native 29:1 (acrylamide: bis-acrylamide) native acrylamide gel.

**Statistical Analysis** Error bars represent the standard error of the mean of the experimental replicates. Errors were propagated from individual standard deviations according to the formula  $\Delta Z = Z(\text{SQRT}(((\Delta A/A)^2)+((\Delta B/B)^2)))$  where  $Z = A/B$ .

## 2.5 End Matter

**ACKNOWLEDGEMENTS** Alper Kucukural for bioinformatics support. Kristen Lynch and Eric Shuman for plasmids. Andrés Muro for Fn1 cell lines.

**AUTHOR CONTRIBUTIONS** CKR, BRG, PDZ, and MJM conceived the experiments. CKR and SO performed the experiments and conducted the analysis. CKR, PDZ, and MJM wrote the paper.

**COMPETING FINANCIAL INTERESTS** The authors have applied for a patent (12/906,678) concerning the SeqZip protocol.

# **Chapter 3**

## **An Ancient Transcription Factor Initiates the Burst of piRNA Production during Early Meiosis in Mouse Testes**

### **3.1 Preface**

The contents of this Chapter have been published previously as:

*Li, X. Z., Roy, C. K., Dong, X., Bolcun-Filas, E., Wang, J., Han, B. W., Xu, J., Moore, M. J., Schimenti, J. C., Weng, Z., and Zamore, P. D. (2013a). An ancient transcription factor initiates the burst of piRNA production during early meiosis in mouse testes. Molecular cell, 50(1):67–81*

For information not contained in this chapter (i.e. supplemental tables), please refer to the following locations:

NCBI: <http://www.ncbi.nlm.nih.gov/pubmed/23523368>

Molecular Cell: [http://www.cell.com/molecular-cell/abstract/S1097-2765\(13\)00172-X](http://www.cell.com/molecular-cell/abstract/S1097-2765(13)00172-X)

Supplemental tables can also be found on the Zamore Lab website: <http://www.sciencedirect.com/science/article/pii/S109727651300172X#appd003>

## 3.2 Introduction

P-element induced wimpy testis (PIWI)-interacting RNAs (piRNAs) can be distinguished from other animal small silencing RNAs by their longer length (typically 23–35 nt), 2'-O-methyl-modified 3' termini, and association with PIWI proteins, a distinct subgroup of Argonaute proteins, the small RNA-guided proteins responsible for RNA interference and related pathways [Aravin et al., 2008, Cenik and Zamore, 2011, Farazi et al., 2008, Kim et al., 2009, Kumar and Carmichael, 1998, Thomson and Lin, 2009]. piRNA production does not require Dicer, the double-stranded RNA endonuclease that makes microRNAs (miRNAs) and small interfering RNAs (siRNAs), and piRNAs are thought to derive from single-stranded rather than double-stranded RNA [Houwing et al., 2007, Vagin et al., 2006].

In most bilateral animals, germline piRNAs protect the genome from transposon activation, but also have other functions [Aravin and Hannon, 2008, Aravin et al.,

2007a, 2001, Ashe et al., 2012, Brennecke et al., 2007, Carmell et al., 2007, Hartig et al., 2007, Kuramochi-Miyagawa et al., 2008, Lee et al., 2012, Shirayama et al., 2012, Vagin et al., 2004]. A few days after birth, the majority of piRNAs in the mouse testis are pre-pachytene piRNAs; 25% of these piRNA species map to more than one location in the genome. A second class of piRNAs, typically derived from intergenic regions, has been reported to emerge in the mouse testis 14.5 days postpartum (dpp), when the developing spermatocytes synchronously enter the pachytene phase of meiotic prophase I. These pachytene piRNAs compose >95% of piRNAs in the adult mouse testis. Loss of genes required to make pachytene piRNAs blocks production of mature sperm [Aravin et al., 2001, Deng and Lin, 2002, Reuter et al., 2011, Vourekas et al., 2012]. What triggers the accumulation of pachytene piRNAs when spermatocytes enter the pachynema is unknown.

In *Caenorhabditis elegans*, each piRNA is processed from its own short RNA polymerase II (Pol II) transcript [Gu et al., 2012]. In contrast, insect and mouse piRNAs are thought to be processed from long RNAs transcribed from large piRNA loci. Supporting this view, a transposon inserted into the 5' end of the flamenco piRNA cluster in flies reduces the production of flamenco piRNAs 168 kbp 3' to the insertion, suggesting that it disrupts transcription of the entire locus [Brennecke et al., 2007]. High-throughput sequencing and chromatin immunoprecipitation (ChIP) has been used to define the genomic structure of

the piRNA-producing genes of immortalized, cultured silk moth BmN4 cells [Kawaoka et al., 2012]. However, for flies and mice, we do not know the structure of piRNA-producing genes, their transcripts, or the nature of the promoters that control their expression.

Instead, piRNA loci have been defined as clusters: regions of the genome with a high density of mapping piRNA sequences [Aravin et al., 2006, Brennecke et al., 2007, Girard et al., 2006, Grivna et al., 2006, Lau et al., 2006, Ro et al., 2007]. In reality, piRNA-producing loci correspond to discrete transcription units that include both intergenic loci believed to encode no protein [Brennecke et al., 2007, Brennecke and Malone, 2008, Vourekas et al., 2012] and protein-coding genes that also produce piRNAs [Aravin et al., 2007b, Robine et al., 2009, Saito et al., 2009].

We used high-throughput sequencing data to define the genes and transcripts that produce piRNAs in the juvenile and adult mouse testis. Using these data, we identified the factor that initiates transcription of pachytene piRNA genes: A-MYB (MYBL1), a spermatocyte protein that serves as a master regulator of genes encoding proteins required for cell-cycle progression through the pachytene stage of meiosis [Bolcun-Filas et al., 2011, Trauth et al., 1994]. A-MYB also initiates transcription of the genes encoding many piRNA biogenesis factors. The combined action of A-MYB at the promoters of genes producing pachytene

piRNA precursor transcripts and genes encoding piRNA biogenesis proteins creates a coherent feedforward loop that triggers a >6,000-fold increase in pachytene piRNA abundance during the ~5 days between the early and late phases of the pachytene stage of male meiosis. A-MYB also promotes its own transcription through a positive feedback loop. The A-MYB-regulated feedforward loop is evolutionarily conserved: A-MYB is bound to the promoters of both piRNA clusters and PIWIL1, TDRD1, and TDRD3 in the rooster (*Gallus gallus*) testis.

### 3.3 Results

#### 3.3.1 Defining piRNA-Producing Transcripts in the Mouse Testis

To define the structure of piRNA-producing loci in the testis of wild-type adult mice, we assembled the transcripts detected by three biological replicates of strand-specific, paired-end, rRNA-depleted, total RNA sequencing (RNA-seq; Figure 3.1A). We mapped reads to the mouse genome using TopHat [Trapnell et al., 2009] and performed de novo transcriptome assembly using Trinity [Grabherr et al., 2011] to identify unannotated exon-exon junctions. We used all mapped reads, including reads corresponding to unannotated exon-exon junctions, to perform reference-based transcript assembly (Cufflinks; [Trapnell et al., 2010]).

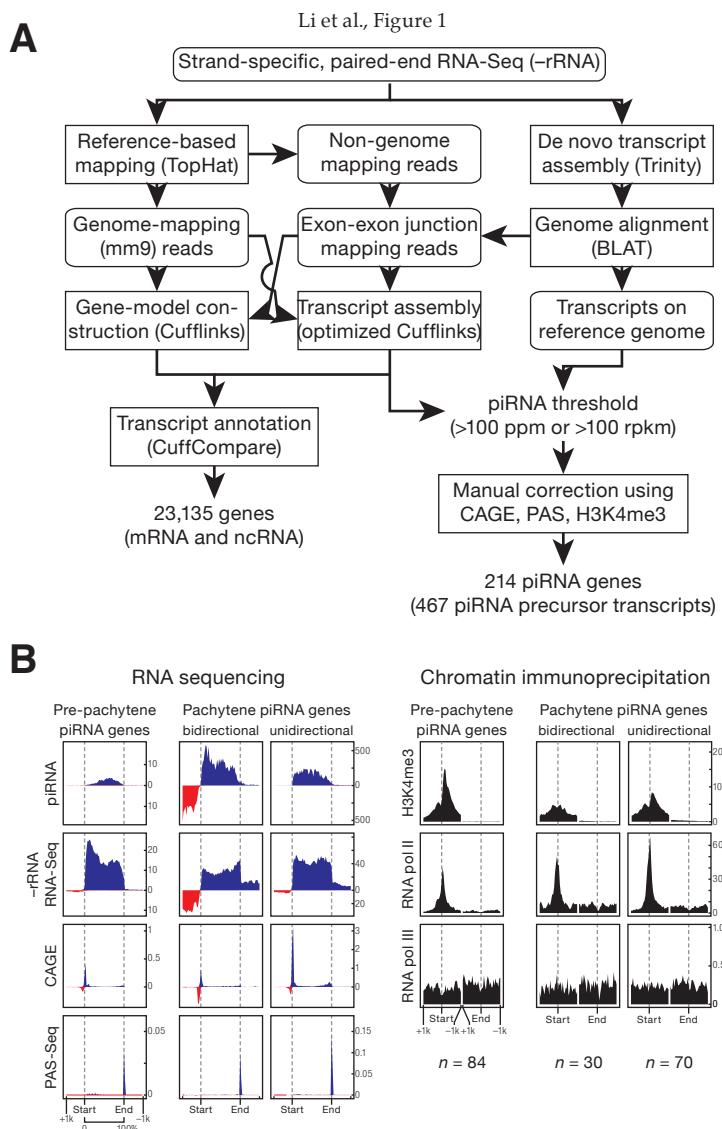


FIGURE 3.1: piRNA Precursors are RNA Pol II Transcripts

(A) Strategy to assemble the mouse testis transcriptome. Rectangles with rounded corners, input or output data; rectangles, processes. Decisions are shown without boxing.(B) Aggregated data for piRNA-producing transcripts (5% trimmed mean). Oxidized small RNA (>23 nt) sequencing data were used to detect piRNAs; transcript abundance was measured using total RNA depleted of rRNA (RNA-seq). RNA Pol III data were from SRA001030. Dotted lines show the transcriptional start site (Start) and site of polyadenylation (End). See also Figure 3.2.

Li et al., Supplemental Figure S1, Related to Figure 1

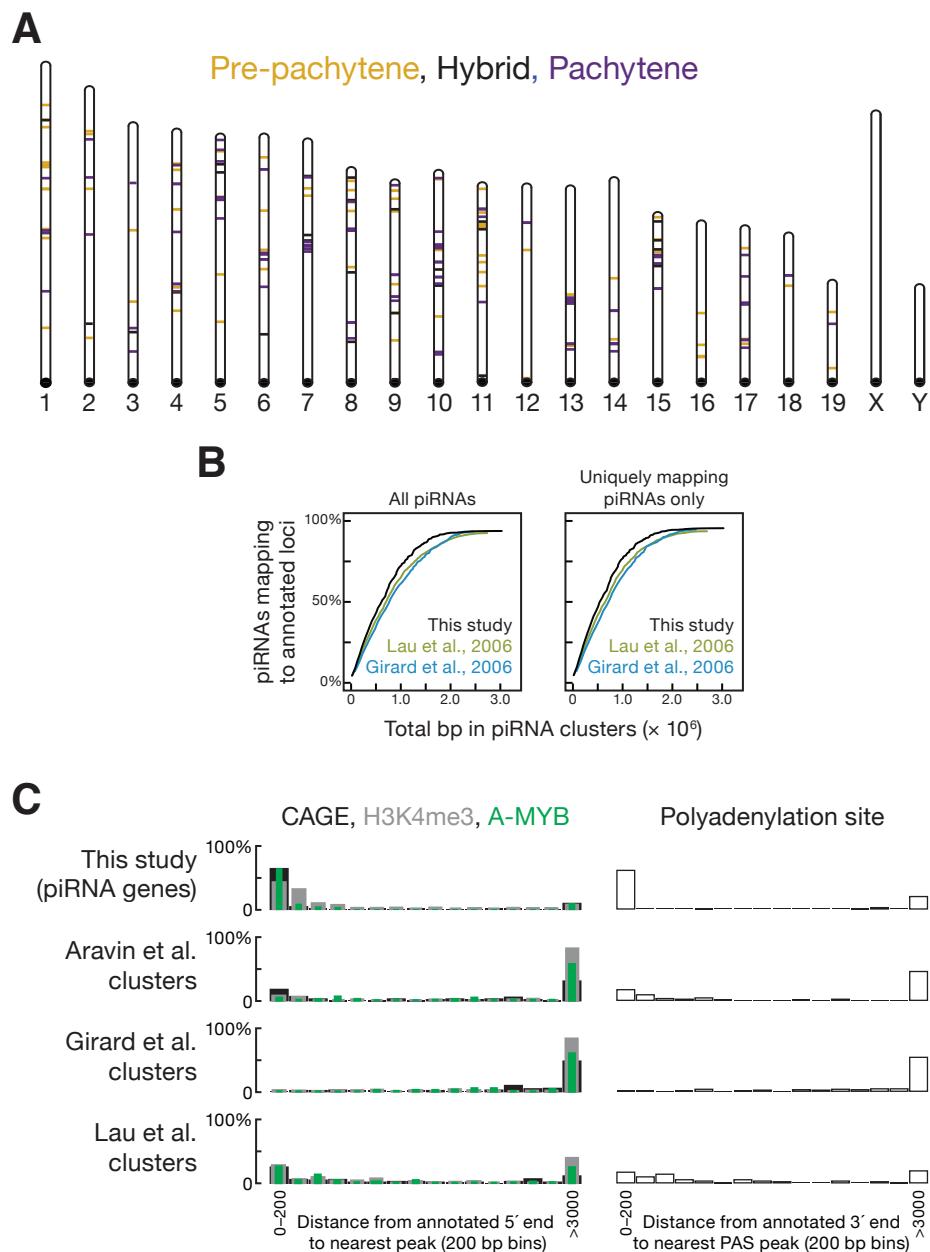


FIGURE 3.2: See subsection 3.3.1.1 for full figure caption.

To identify the transcripts that produce piRNAs, we sequenced piRNAs from six developmental stages of mouse testes (10.5 dpp, 12.5 dpp, 14.5 dpp, 17.5 dpp, 20.5 dpp, and adult) and mapped them to the assembled transcripts. The first round of spermatogenesis proceeds synchronously among the tubules of the testis: mouse testes at 10.5 dpp advance no further than the zygotene stage (staging according to [Nebel et al., 1961]; 12.5 dpp to the early pachytene; 14.5 dpp to the middle pachytene; 17.5 to the late pachytene; and 20.5 dpp to the round spermatid stage. For each stage, we prepared two sequencing libraries: one comprising all small RNAs and one in which oxidation was used to enrich for piRNAs by virtue of their 2'-O-methyl-modified 3' termini [Ghildiyal et al., 2008].

To qualify as a piRNA-producing transcript, an assembled RNA was required to produce either a sufficiently high piRNA abundance (>100 ppm; parts per million uniquely mapped reads) or density (>100 rpkm; reads per kilobase of transcript per million uniquely mapped reads). These criteria retained both long transcripts producing an abundance of piRNAs and short transcripts generating many piRNAs per unit of length. To refine the termini of each piRNA-producing transcript, we supplemented the RNA-seq data with high-throughput sequencing of the 5' ends of RNAs bearing an N(5') $\text{ppp}(5')$ N cap structure (cap analysis of gene expression; CAGE) and the 3' ends of transcripts preceding the poly(A) tail (polyadenylation site sequencing; PAS-seq). The assembled piRNA-producing transcripts likely correspond to continuous RNAs *in vivo* because

the CAGE library used to annotate transcript 5' ends was constructed after two rounds of poly(A) selection. Thus, the RNA molecules in the library derive from complete transcripts extending from the 5' cap to the poly(A) tail (Figure 3.1B). Conventional 5' and 3' RACE (rapid amplification of cDNA ends) analysis of piRNA-producing transcripts confirmed the ends of 16 loci (data not shown). To provide additional confirmation of the 5' end of each piRNA-producing transcript, we also determined the locations of histone H3 bearing trimethylated lysine 4 (H3K4me3), a histone modification associated with RNA Pol II transcription start sites [Guenther et al. \[2007\]](#).

### 3.3.1.1 Caption for Figure 3.2

(A) Positions of the 214 major piRNA-producing genes on the 19 autosomes of mice. We detected no loci on the X or Y chromosomes. (B) Cumulative distributions for all piRNAs and for uniquely mapping piRNAs comparing the piRNA loci defined by our methods and by previous approaches [\[Girard et al., 2006, Lau et al., 2006\]](#). (C) Histogram of distances (in 200 bp bins) from the annotated 5' or 3' end of a piRNA gene (this study) or cluster to the nearest peak of reads from high-throughput sequencing for transcript 5' (CAGE-seq) or 3' (PAS-seq) ends, transcription start sites (H3K4me3) or A-MYB binding.

### 3.3.2 piRNA Precursor RNAs are Canonical RNA Pol II Transcripts

The presence of 5' caps and poly(A) tails and the binding of histone H3K4me3 to the genomic DNA immediately upstream of the transcription start site of each piRNA locus suggest that piRNA transcripts are produced by RNA pol II 3.1. Moreover, using antibodies to RNA pol II but not RNA pol III, ChIP-seq showed a peak at the transcription start site as well as polymerase occupancy across the entire piRNA gene (Figure 3.1B; [Kutter et al., 2011]). We conclude that piRNA transcripts are conventional RNA pol II transcripts bearing 5' caps and 3' poly(A) tails.

### 3.3.3 A Transcript-based Set of piRNA Loci

Our transcriptome assembly yielded 467 piRNA-producing transcripts that define 214 genomic loci (Figure 3.2A and Table S1). Among the ~2.2 million distinct piRNA species and ~8.8 million piRNA reads from the adult mouse testis, the 214 genomic loci account for 95% of all piRNAs.

Previous studies defined piRNA clusters based solely on small RNA sequencing data [Aravin et al., 2007a, Girard et al., 2006, Lau et al., 2006]. Our approach differs in that it (1) uses RNA-seq data, whose greater read length facilitates the identification of introns, allowing us to define the architecture of piRNA

precursor transcripts and (2) uses CAGE, PAS-seq, and H3K4me3 ChIP-seq data to refine the 5' and 3' ends of the piRNA transcripts. Consequently, the piRNA loci presented here account for more piRNAs using fewer genomic base pairs than those previously defined (Figures 3.2B and 3.2C; [Girard et al., 2006, Lau et al., 2006]. Our piRNA-producing loci include 41 piRNA loci that escaped previous detection [Aravin et al., 2007a, Girard et al., 2006, Lau et al., 2006], 37 of which contain introns. The 41 loci account for 2% of piRNAs at 10.5 dpp and 0.36% in the adult testis.

### **3.3.4 Three Classes of piRNAs During Post-Natal Spermatogenesis**

Mice produce three PIWI proteins: MIWI2 (PIWIL4), which binds piRNAs in perinatal testis [Aravin and Hannon, 2008, Carmell et al., 2007]; MILI (PIWIL2), which binds piRNAs at least until the round spermatid stage of spermatogenesis [Aravin et al., 2006, 2007a, Kuramochi-Miyagawa et al., 2004]; and MIWI (PIWIL1), which is first produced during the pachytene stage of meiosis [Deng and Lin, 2002]. From 10.5 to 20.5 dpp, piRNA abundance increases and longer piRNAs appear, reflecting a switch from MILI-bound piRNAs, which have a 26-27 nt modal length [Aravin et al., 2006, Aravin and Hannon, 2008, Montgomery et al., 1998, Robine et al., 2009], to MIWI-bound piRNAs, which have a 30 nt modal length (Figure 3.4A; [Reuter et al., 2009, Robine et al., 2009]). This switch occurs at the

pachytene phase of meiosis. MILI-bound pre-pachytene piRNAs predominate before the onset of pachynema; at the pachytene and round spermatid stages, most piRNAs are MIWI-bound pachytene piRNAs.

We used hierarchical clustering to analyze the change in piRNA abundance from 10.5 to 20.5 dpp for the 214 genes defined by our data (Figures 3.3A and 3.4A and Table S2). Three types of piRNA-producing genes were identified according to when their piRNAs first accumulate and how their expression changes during spermatogenesis: 84 pre-pachytene, 100 pachytene, and 30 hybrid loci. At 10.5 dpp, the earliest time we evaluated, 84 genes dominate piRNA production (median piRNA abundance per gene = 16 rpkm; Figure 3.3B). Nearly all (81 out of 84) were congruent with protein-coding genes. The 84 pre-pachytene piRNA genes account for 13% of piRNAs at 10.5 dpp, but only 0.31% of piRNAs in the adult testis. Of the pre-pachytene piRNAs accounted for by the 84 loci, 15% derive from 31 piRNA-producing genes that, to our knowledge, have not previously been described.

A parallel analysis of piRNA precursor transcription using RNA-seq (>100 nt) corroborated the classification based on piRNA abundance; of the 100 piRNA genes classified as pachytene based on the developmental expression profile of their piRNAs, 93 were grouped as pachytene according to the developmental expression profile of their transcripts. Of these 93, 89 are intergenic. All 84

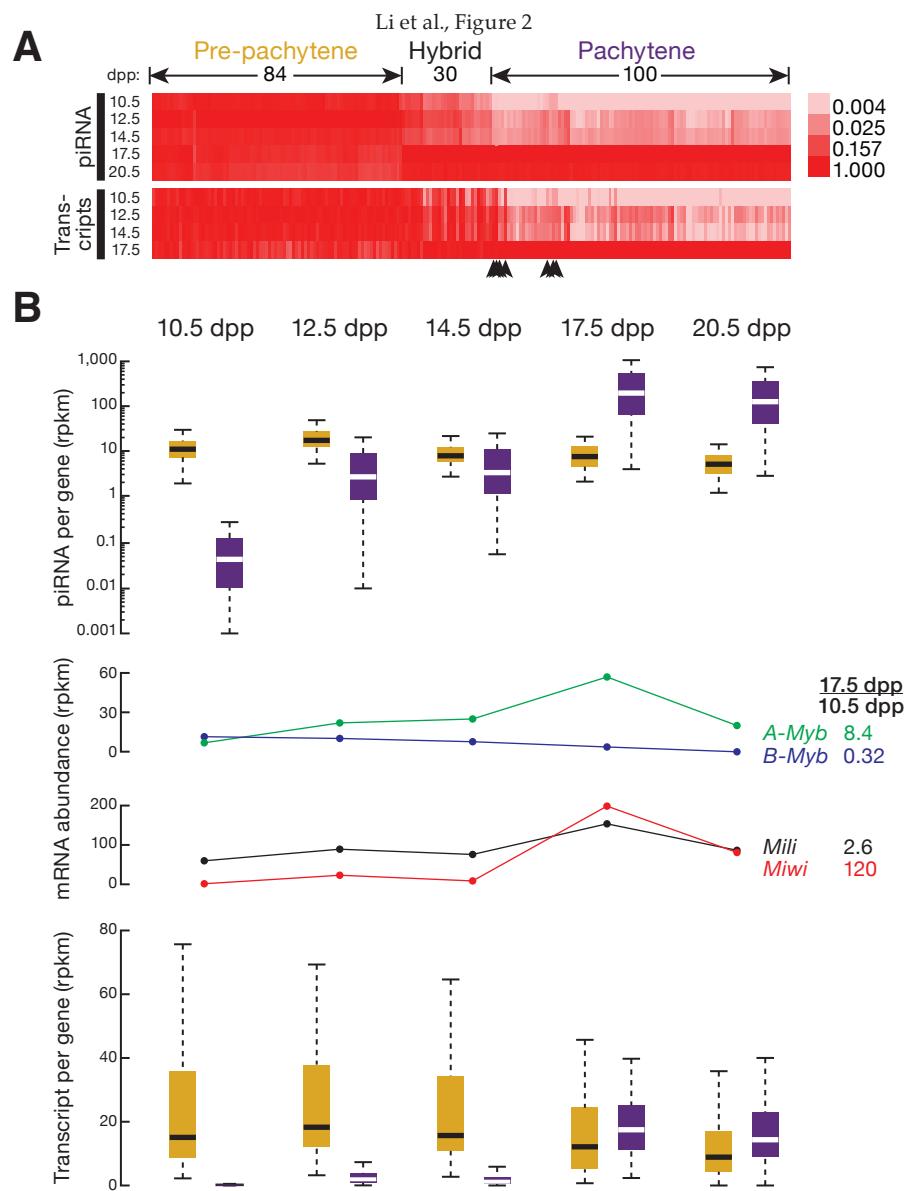


FIGURE 3.3: See subsection 3.3.4.1 for full figure caption.

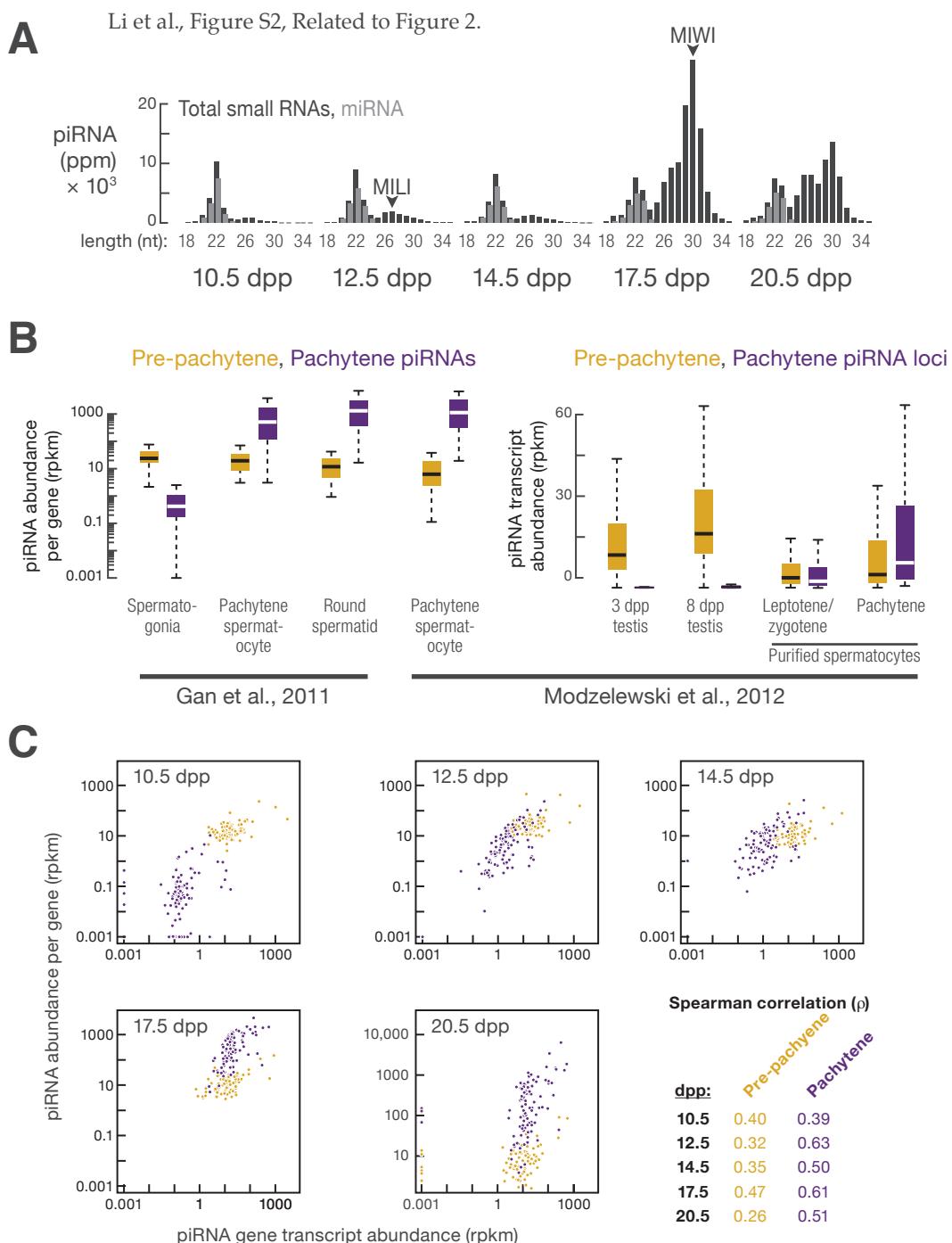


FIGURE 3.4: See subsection 3.3.4.2 for full figure caption.

piRNA genes designated pre-pachytene using piRNA data were classified as pre-pachytene according to their transcript abundance.

Despite their name, pre-pachytene piRNAs were readily detected in >90% and ~95% pure pachytene spermatocytes, as well as round spermatids (Figure 3.4B; [Gan et al., 2011, Modzelewski et al., 2012]. Transcript abundance from the 84 pre-pachytene loci was high at 3 dpp (median abundance = 11 rpkm), higher by 8 dpp (18 rpkm), and lower in purified leptotene/zygotene spermatocytes (3.3 rpkm; 3.4B). Yet piRNA precursor transcripts were readily detectable in purified pachytene spermatocytes at a level (4.6 rpkm) comparable to that in purified leptotene/zygotene spermatocytes (Figure 3.4B); [Gan et al., 2011, Modzelewski et al., 2012]. From 10.5 to 20.5 dpp, the steady-state level of pre-pachytene piRNA precursor transcripts remained constant (Figure 3.4B).

Finally, the abundance of pre-pachytene piRNA precursor transcripts was better correlated with pre-pachytene piRNA abundance at 17.5 dpp ( $\rho = 0.47$ ), when pachytene spermatocytes compose a larger fraction of the testis, than at 10.5, 12.5, or 14.5 dpp ( $0.32 \geq \rho \leq 0.40$ ; Figure 3.4C). Our data suggest that the pre-pachytene loci continue to be transcribed and processed into piRNAs long after spermatocytes enter the pachytene stage of meiosis. Thus, the name pre-pachytene piRNA is a misnomer that should be retained only for historical reasons.

Hierarchical clustering identified 100 pachytene genes whose piRNAs emerge at 12.5 dpp, 2 days earlier than previously reported [Girard et al., 2006]. Nearly all the pachytene genes are intergenic (93 out of 100). piRNA expression from pachytene piRNA genes peaks at 17.5 dpp (Figure 3.3B). Overall, the median abundance of piRNAs from these 100 loci increased >6,000-fold from 10.5 to 17.5 dpp. Transcripts from pachytene genes were low at 10.5 dpp (median abundance = 0.15 rpkm) and increased 116-fold from 10.5 to 17.5 dpp. From 10.5 to 20.5 dpp, the dynamics of pachytene piRNA abundance from each piRNA gene correlated with the increase in abundance of its precursor transcripts ( $0.39 \geq \rho \leq 0.63$ ;  $pvalue \leq 7.3 \times 10^{-5}$ ; Figure 3.4C). The 100 pachytene genes account for 92% of piRNAs in the adult testis, making it unlikely that biologically functional pachytene piRNAs originate from thousands of genomic loci [Gan et al., 2011]. Figures 3.5 and 3.6 provide examples of pachytene and pre-pachytene piRNA genes defined by our data.

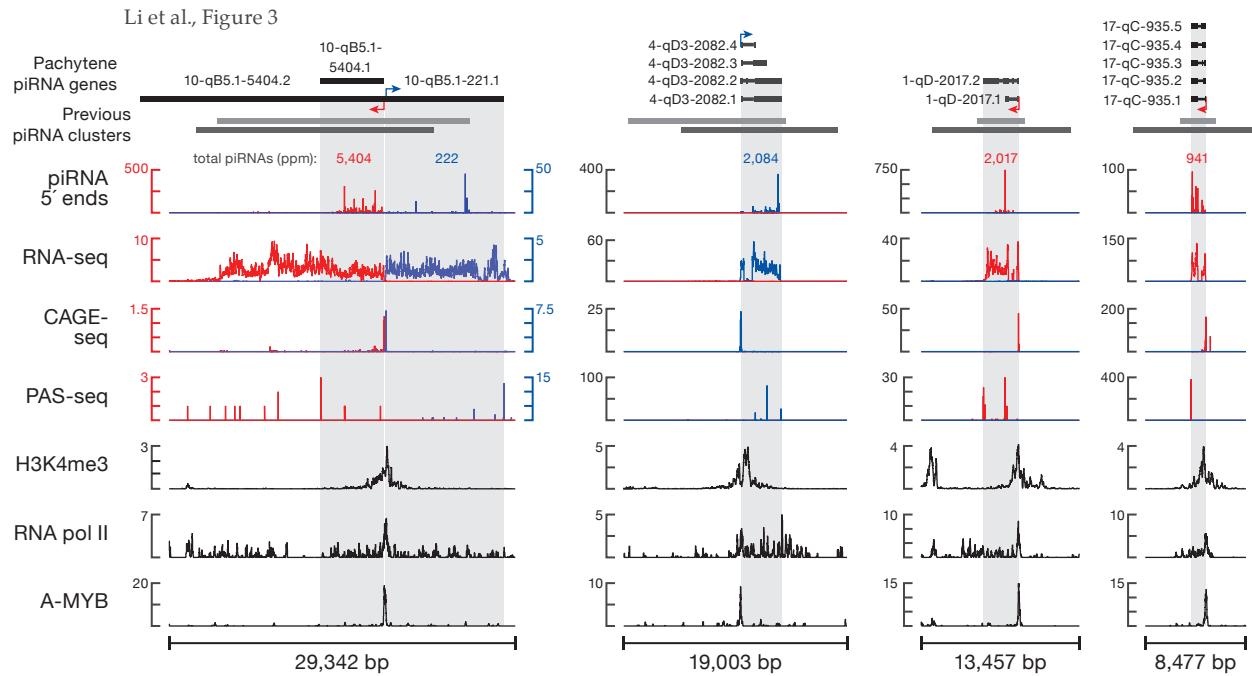


FIGURE 3.5: Previous cluster boundaries are from Lau et al. [2006] in gray and Girard et al. [2006] in dark gray).

Li et al., Supplemental Figure S3, Related to Figure 3

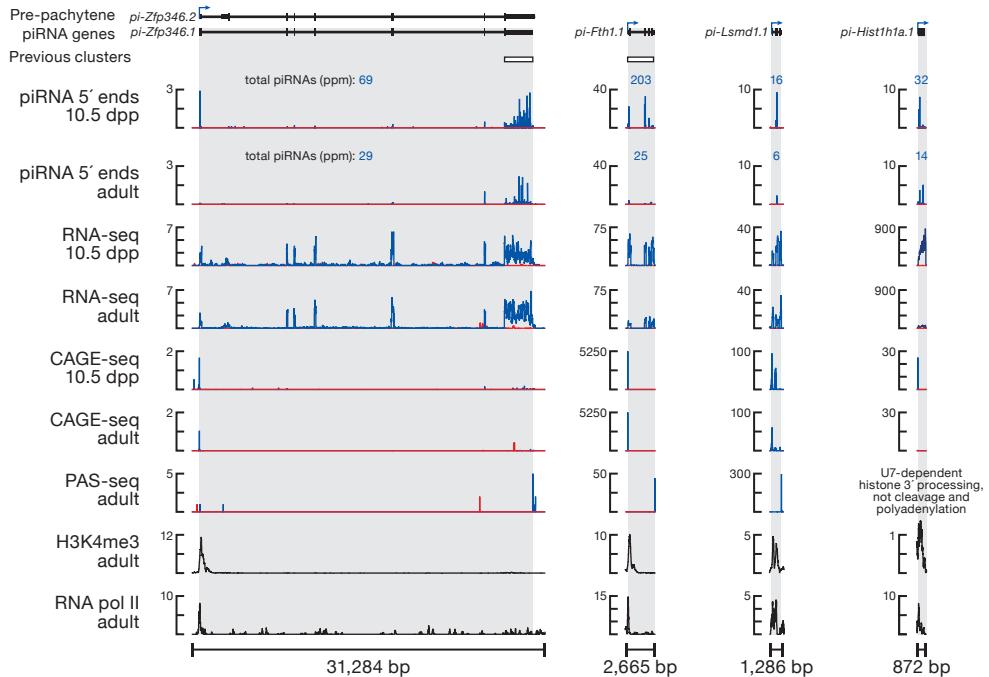


FIGURE 3.6: Previous cluster boundaries are from Lau et al. [2006] in gray and Girard et al. [2006] in dark gray).

Hierarchical clustering detected a third class, hybrid piRNAs, which derives from 30 genes with characteristics of both pre-pachytene and pachytene piRNA loci. Like pre-pachytene, hybrid piRNAs were detected at 10.5 dpp (median abundance = 3.7 rpkm) and in purified spermatogonia [Gan et al., 2011]. Like pachytene piRNAs, hybrid piRNA abundance increased during the pachytene stage of meiosis, but the increase was delayed until late (17.5 dpp) rather than early pachynema (14.5 dpp). Overall, piRNAs from hybrid genes increased >10-fold from 14.5 to 17.5 dpp. The median abundance of piRNAs from hybrid piRNA genes ranged from 90-120 rpkm in purified pachytene spermatocytes, >20-fold greater than their median abundance in spermatogonia [Gan et al., 2011, Modzelewski et al., 2012]. Moreover, hybrid piRNA precursor transcripts were readily detected in purified pachytene spermatocytes (median abundance = 9.0 rpkm; [Modzelewski et al., 2012]).

### 3.3.4.1 Caption for Figure 3.3

(A) Normalized piRNA density (rpkm) for each piRNA-producing gene is shown as a heatmap across the developmental stages. Hierarchical clustering divided the genes into three classes. Arrowheads mark seven pachytene piRNA genes that were not classified as pachytene according to the change in the abundance of their precursor RNAs from 10.5 to 17.5 dpp.(B) Top: box plots present piRNA

density per gene as spermatogenesis progresses (here and elsewhere, pre-pachytene in yellow and pachytene in purple). Middle: expression of *A-Myb*, *B-Myb*, *Mili*, and *Miwi* was measured by RNA-seq. Bottom: box plots present piRNA precursor expression per gene, measured by RNA-seq, from 10.5 to 20.5 dpp. See also Figure 3.4 and Table S2.

### 3.3.4.2 Caption for Figure 3.4

(A) As shown previously by others using lower temporal resolution, the modal length of piRNAs increases as spermatogenesis proceeds to more advanced stages. (B) Total piRNA rpkm abundance and piRNA transcript abundance per locus by class, from purified spermatogonia, spermatocytes, round spermatids, and 3 dpp and 8 dpp testis [Gan et al., 2011, Modzelewski et al., 2012]. (C) Correlation between piRNA abundance per locus and piRNA precursor transcription from 10.5 to 20.5 dpp. Throughout the Figures, gold indicates pre-pachytene and purple indicates pachytene piRNA loci.

### 3.3.5 *A-Myb* Regulates Pachytene piRNA Precursor Transcription

The coordinated increase in pachytene piRNA precursor transcripts suggests their regulation by a common transcription factor or factors. Among the 100 pachytene piRNA genes, 15 pairs (30 genes) are divergently transcribed. The

5' ends of the piRNA precursor RNAs from each pair are close in genomic distance (median = 127 bp), suggesting that a shared promoter lies between the two transcription start sites.

We took advantage of the unique genomic organization of these 15 pairs of divergently transcribed piRNA genes to search for sequence motifs common to their promoters. The MEME algorithm [Bailey and Elkan, 1994] revealed a motif highly enriched in these bidirectional promoters ( $E = 8.3 \times 10^{12}$ ; Figure 3.7A). This motif matches the binding site of the Myb family of transcription factors (Figure 3.7A; [Gupta et al., 2007, Newburger and Bulyk, 2009]). The Myb motif is not restricted to bidirectional promoters; MEME identified the same motif using the promoters of all pachytene piRNA genes ( $E = 9.1 \times 10^{-28}$ ; Figure 3.7B).

The Myb transcription factor family is conserved among eukaryotes. Like other vertebrates, mice produce three Myb proteins, A-MYB (MYBL1), B-MYB (MYBL2), and C-MYB (MYB), each with a distinct tissue distribution [Latham et al., 1996, Mettus et al., 1994, Oh and Reddy, 1999, Trauth et al., 1994]. Testes produce both A- and B-MYB proteins. Multiple lines of evidence implicate A-MYB, rather than B-MYB, as a candidate for regulating pachytene piRNA transcription. First, the expression of *A-Myb* during spermatogenesis resembles that of pachytene piRNAs: *A-Myb* transcripts appear at ~12.5 dpp and peak at 17.5 dpp (Figure 3.3B; [Bolcun-Filas et al., 2011]). The expression of *A-Myb*

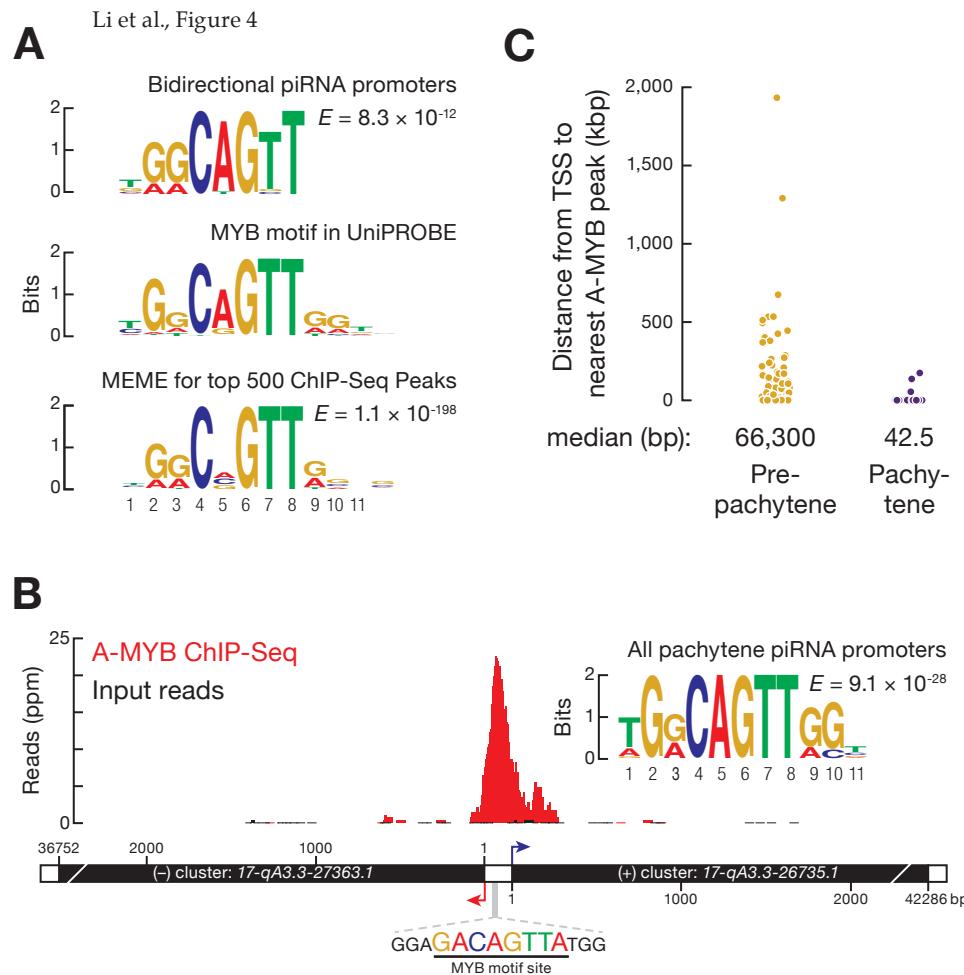


FIGURE 3.7: (A) Top: MEME identified a sequence motif in the bidirectional promoters of the 15 pairs of divergently transcribed pachytene piRNA genes. E value computed by MEME measures the statistical significance of the motif. Middle: Myb motif from the mouse UniPROBE database. Bottom: MEME-reported motif for the top 500 (by peak score) A-MYB ChIP-seq peaks from adult mouse testes.(B) A-MYB ChIP-seq data for the common promoter of the divergently transcribed pachytene piRNA genes 17-qA3.3-27363.1 and 17-qA3.3-26735.1.(C) The distance from the annotated transcription start site (TSS) of each piRNA gene to the nearest A-MYB peak. See also Figure 3.8.

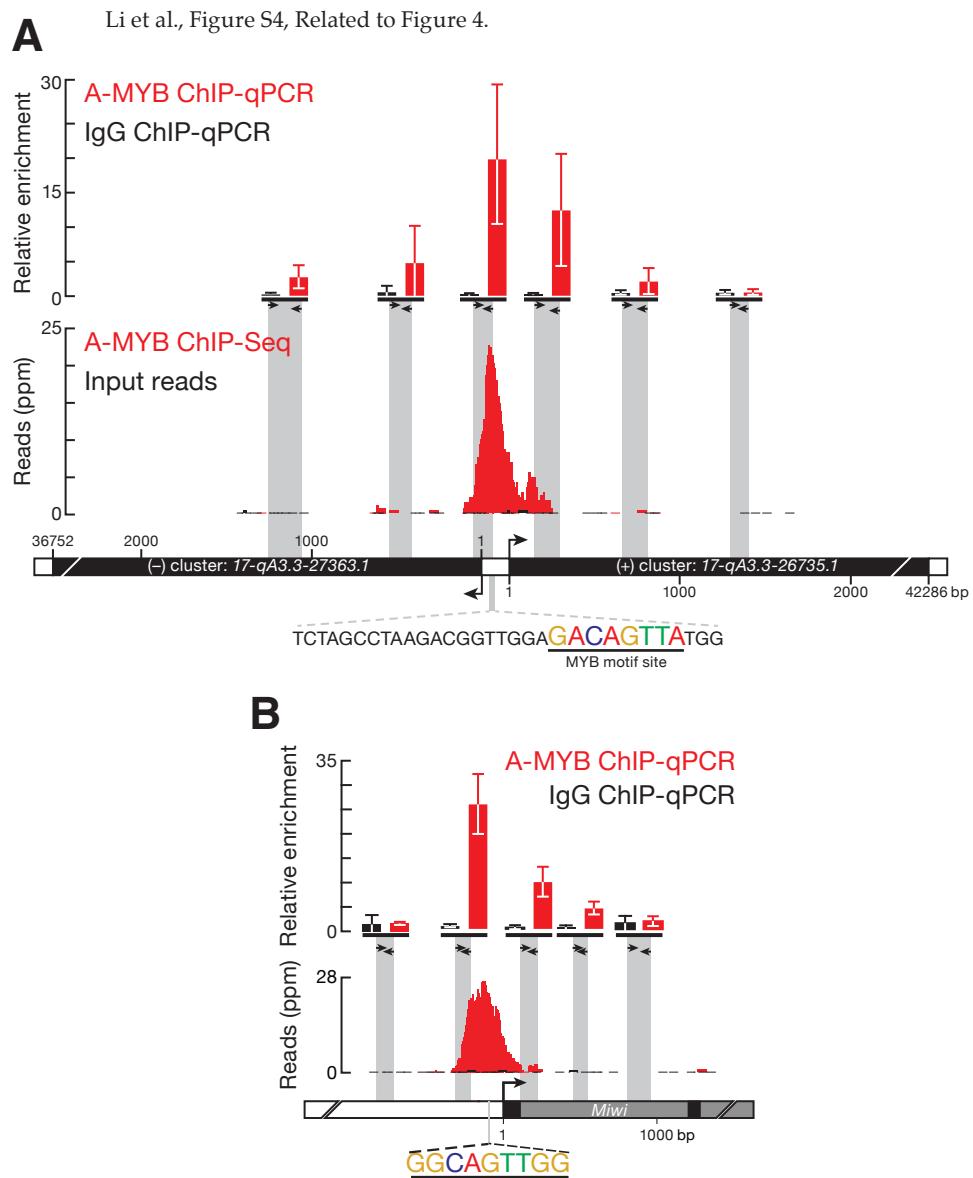


FIGURE 3.8: (A) A-MYB binds to the common promoter of divergently transcribed pachytene piRNA loci 17-qA3.3-27363.1 and 17-qA3.3-26735.1. The abundance of DNA fragments at the amplified region relative to a control region (mean  $\pm$  standard deviation;  $n = 3$ ) was measured by qPCR (top). The A-MYB ChIP-seq (red) and input (black) data for this pair of genes is presented as in Figure 3.7B. (B) ChIP-seq and qPCR were as in (A), but for the promoter region of *Miwi* (*Piwil1*). Also shown is the RefSeq gene model. Exons, black; introns, gray.

messenger RNA (mRNA) increases ~15-fold from 8 dpp to 19 dpp, whereas *B-Myb* mRNA expression remains constant and low during the same time frame and into adulthood [Horvath et al., 2009]. Our RNA-seq data (Figure 3.3B) corroborate these findings. Indeed, in our RNA-seq analysis of adult testes, *A-Myb* mRNA was 24-fold more abundant than *B-Myb*. Second, a testis-specific *A-Myb* point-mutant allele, *Mybl1<sup>repro9</sup>*, which is caused by a cytosine-toadenine transversion that changes alanine 213 to glutamic acid, leads to meiotic arrest at the pachytene stage with subtle defects in autosome synapsis; *A-Myb* null mutant mice have defects in multiple tissues, including the testis and the mammary gland [Bolcun-Filas et al., 2011, Toscani et al., 1997]. Third, our RNA-seq analysis of *A-Myb* mutant testes shows that there is no significant change in *B-Myb* expression in the mutant, compared to the heterozygous controls, at 14.5 or 17.5 dpp. Finally, B-MYB protein is not detectable in pachytene spermatocytes [Horvath et al., 2009].

To assess more directly the role of A-MYB in pachytene piRNA precursor transcription, we used anti-A-MYB antibody to perform ChIP followed by high-throughput sequencing of the A-MYB-bound DNA. The anti-A-MYB antibody is specific for A-MYB, and the peptide used to raise the antibody is not present in B-MYB. The model-based analysis of ChIP-seq (MACS) algorithm [Zhang et al., 2008] reported 3,815 genomic regions with significant A-MYB binding (false discovery rate, FDR < 10<sup>25</sup>); we call these regions A-MYB peaks or peaks.

Among the 500 peaks with the lowest FDR values, 394 (80%) contained at least one significant site ( $\rho < 10^4$ ) for the MYB binding motif (Figure 3.7A). Figure 3.7B shows an example of such an A-MYB peak at the bidirectional promoter of the divergently transcribed pair of pachytene piRNA genes 17-qA3.3-27363.1 and 17-qA3.3-26735.1. A-MYB occupancy of this genomic site was confirmed by ChIP and quantitative PCR (ChIP-qPCR) (Figure 3.8A).

The median distance from the transcription start site to the nearest A-MYB peak was ~43 bp for the 100 pachytene piRNA genes but >66,000 bp for the 84 pre-pachytene genes (Figure 3.7C). Our data suggest that during mouse spermatogenesis A-MYB binds to the promoters of both divergently and unidirectionally transcribed pachytene piRNA genes.

To test the idea that A-MYB promotes transcription of pachytene, but not pre-pachytene, piRNA genes, we used RNA-seq to measure the abundance of RNA > 100 nt long from the testes of *A-Myb* point-mutant (*Mybl1<sup>repro9</sup>*) mice and their heterozygous littermates (Figure 3.9). Pachytene piRNA precursor transcripts—both divergently and unidirectionally transcribed—were significantly depleted in *A-Myb* mutant testes compared to the heterozygotes: the median decrease was 45-fold at 14.5 dpp ( $q = 1.1 \times 10^{-13}$ ) and 248-fold at 17.5 dpp ( $q = 3.9 \times 10^{-23}$ ). The abundance of pre-pachytene piRNA transcripts was not significantly changed ( $q \geq 0.34$ ). The binding of A-MYB to the promoters

of pachytene piRNA genes, together with the depletion of pachytene piRNA transcripts in the *A-Myb* mutant, further supports the view that A-MYB directly regulates transcription of pachytene piRNA genes.

### 3.3.6 *A-Myb* Regulates Pachytene piRNA Production

To test the consequences of the loss of piRNA precursor transcripts, we measured piRNA abundance in the *A-Myb* mutant. Like pachytene piRNA precursor transcription, pachytene piRNA abundance significantly decreased in mutant testes. At 14.5 dpp, median piRNA abundance per pachytene gene decreased 87-fold in *A-Myb* homozygous mutant testes compared to heterozygotes ( $\rho < 2.2 \times 10^{-16}$ ; Figure 3.9. By 17.5 dpp, median pachytene piRNA abundance was  $>9,000$  times lower in the *A-Myb* mutant than the heterozygotes ( $P < 2.2 \times 10^{-16}$ ). In contrast, pre-pachytene piRNA levels were essentially unaltered. Figure 6 presents examples of the effect at 14.5 and 17.5 dpp of the *A-Myb* mutant on piRNA precursor transcript and mature piRNA abundance for one pre-pachytene and three pachytene piRNA genes.

Our data show that A-MYB binds to the promoters of pachytene piRNA genes; *A-Myb*, *Miwi*, and pachytene piRNA precursor transcription begins at 12.5 dpp; and *A-Myb* mutant spermatocytes reach pachynema with subtle defects in autosome synapsis [Bolcun-Filas et al., 2011]. Could pachytene piRNA depletion

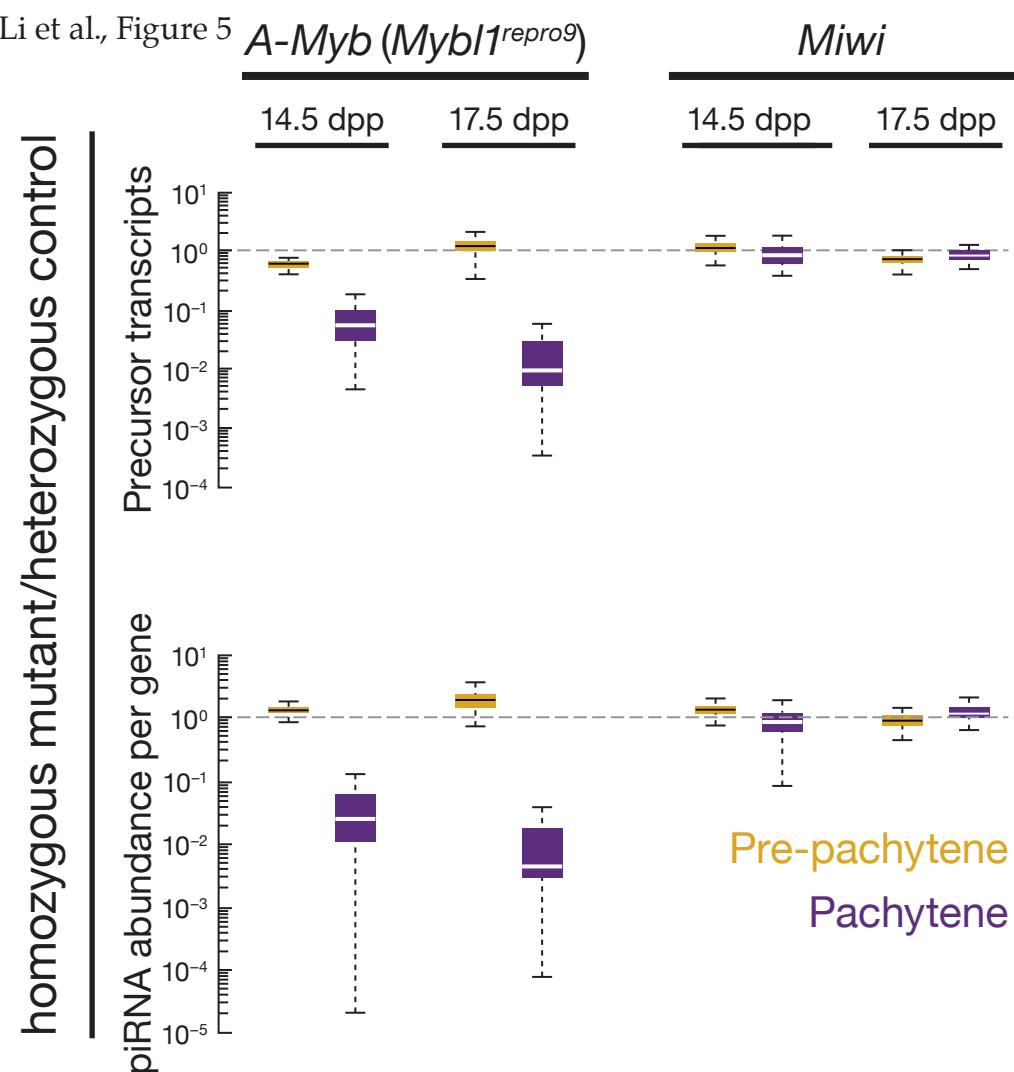


FIGURE 3.9: The change in transcript or piRNA abundance per gene in *A-Myb* ( $n = 3$ ) and *Miwi* ( $n = 1$ ) mutants compared to heterozygotes in testes isolated at 14.5 and 17.5 dpp. See also Figure 3.10.

Li et al., Figure S5, Related to Figure 5.

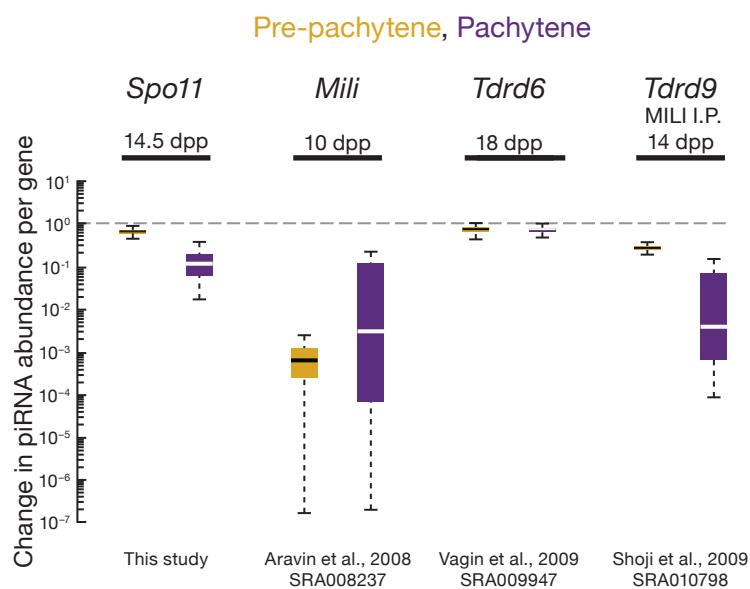


FIGURE 3.10: Change in piRNA abundance per locus (rpkm) for *Spo11* (14.5 dpp), *Mili* (*Piwi2*; 10.5 dpp), *Tdrd6* (18 dpp), and *Tdrd9* (14 dpp) mutants compared to heterozygous controls.

nonetheless be an indirect consequence of the meiotic arrest caused by the *A-Myb* mutant? To test this possibility, we sequenced small RNAs from *Spo11* mutant testes, which failed to generate double-stranded DNA breaks at the leptotene stage and display a meiotic arrest [Baudat et al., 2000, Romanienko and Camerini-Otero, 2000]. The median abundance of piRNAs from pre-pachytene genes did not decrease at 14.5 dpp. By 17.5 dpp, piRNA from pachytene genes decreased just 5.9-fold in the *Spo11* mutant testes compared to the heterozygotes (Figure 3.10). We note that A-MYB protein abundance is reduced in the *Spo11* mutant [Bolcun-Filas et al., 2011].

*Trip13* is required to complete the repair of double-strand DNA breaks on fully

synapsed chromosomes. *Trip13* mutants display a meiotic arrest similar to that in *A-Myb* mutant testes [Li and Schimenti, 2007]: pachytene arrest with synapsed chromosomes. To further test whether the loss of pachytene piRNA precursor transcripts in *A-Myb* mutants reflects a general effect of meiotic arrest, we measured piRNA precursor transcript abundance in *Trip13* mutant testes at 17.5 dpp. Unlike *A-Myb*, piRNA precursor transcripts were readily detectable in the *Trip13* mutant (Figure 3.12). We conclude that the loss of pachytene piRNA precursor transcripts and piRNAs in *A-Myb* mutant testes is a direct consequence of the requirement for A-MYB to transcribe pachytene piRNA genes and not a general feature of meiotic arrest at the pachytene stage.

### 3.3.7 ***A-Myb* Regulates Expression of piRNA Biogenesis Factors**

The *A-Myb* mutant more strongly affected pachytene piRNA accumulation than it did the steady-state abundance of the corresponding piRNA precursor transcripts (Figure 3.9; the median decrease in pachytene piRNA abundance was 2-fold greater at 14.5 dpp and 38-fold greater at 17.5 dpp than the decrease in the steady-state abundance of pachytene precursor transcripts (Table S1). These data suggest that A-MYB exerts a layer of control on piRNA accumulation beyond its role in promoting pachytene piRNA precursor transcription.

*Miwi* has previously been proposed to be a direct target of A-MYB; *Miwi* mRNA abundance is reduced in A-MYB mutant testes, and ChIP microarray data place A-MYB on the *Miwi* promoter [Bolcun-Filas et al., 2011]. Our RNA-seq data confirm that accumulation of *Miwi* mRNA requires A-MYB: *Miwi* mRNA decreased more than 50-fold in testes isolated from *A-Myb* mutant mice at 14.5 dpp compared to their heterozygous littermates (Figures 3.13A and 3.14 and Table S3). Furthermore, our ChIP data confirm that A-MYB binds the *Miwi* promoter *in vivo* (Figures 3.13B, 3.8B, and 3.14). Like pachytene piRNAs, *Miwi* transcripts first appear at 12.5 dpp (Figure 3.3B), and MIWI protein is first detected in testes at 14.5 dpp [Deng and Lin, 2002]. Loss of MIWI arrests spermatogenesis at the round spermatid stage [Deng and Lin, 2002].

A previous study reported that piRNAs fail to accumulate to wild-type levels in *Miwi* mutant testes [Grivna et al., 2006]. However, our data suggest that the overall change in piRNA abundance caused by loss of MIWI is quite small: RNA-seq detected no change at 14.5 dpp (change in total piRNA abundance = 1.1; n = 2) and only a modest decrease at 17.5 dpp (change in total piRNA abundance = 0.58; n = 1). piRNAs from pachytene loci decreased just 2.7-fold at 14.5 dpp ( $p = 0.0046$ ) and 3.5-fold at 17.5 dpp ( $p = 1.8 \times 10^{-6}$ ) in *Miwi* mutant testes (Figure 3.9). By comparison, pachytene piRNAs declined 87-fold at 14.5 dpp and 9,400-fold at 17.5 dpp in the *A-Myb* mutant.

Does the loss of MIWI affect piRNA precursor transcription? We measured transcript abundance and piRNA expression in *Miwi* null mutant testes at 14.5 and 17.5 dpp. In *Miwi*<sup>-/-</sup> testes, pachytene piRNA precursor transcripts were present at levels indistinguishable from *Miwi* heterozygotes (median change = 1.0- to 1.4-fold; q = 1; Figure 3.9). Thus, loss of MIWI does not explain loss of pachytene piRNA precursor transcripts in *A-Myb* mutant testes.

In addition to *Miwi*, ChIP-seq detected A-MYB bound to the promoters of 12 other RNA-silencing-pathway genes (Figure 3.13B and Table S3). Of these, the mRNA abundance—measured by three biologically independent RNA-seq experiments—of *Ago2*, *Ddx39* (uap56 in flies), *Mael*, *Mili*, *Mov10l1*, *Tdrd9*, and *Vasa* did not change significantly at 14.5 dpp in *A-Myb* mutant testes compared to heterozygotes (q > 0.05); except for *Ago2*, all decreased significantly in the mutant at 17.5 dpp. In contrast, the abundance of the mRNAs encoding Tudor domain proteins decreased significantly in *A-Myb* mutant testes: *Tdrd6* (64-fold decrease; q = 3.1 x 10<sup>-5</sup>) and *Tdrd5* (7.5-fold decrease; q = 1.0 x 10<sup>-5</sup>). *Tdrd5* is expressed in embryonic testes then decreases around birth [Yabuta et al., 2011]. *TDRD5* protein reappears at 12 dpp, increasing throughout the pachynema [Smith et al., 2004, Yabuta et al., 2011]. Our data indicate that A-MYB activates *Tdrd5* transcription at the onset of the pachytene stage of meiosis. Similarly, *Tdrd6* mRNA can be detected at the middle pachytene, but not the zygotene stage, and peaks after late pachytene; *TDRD6* protein can

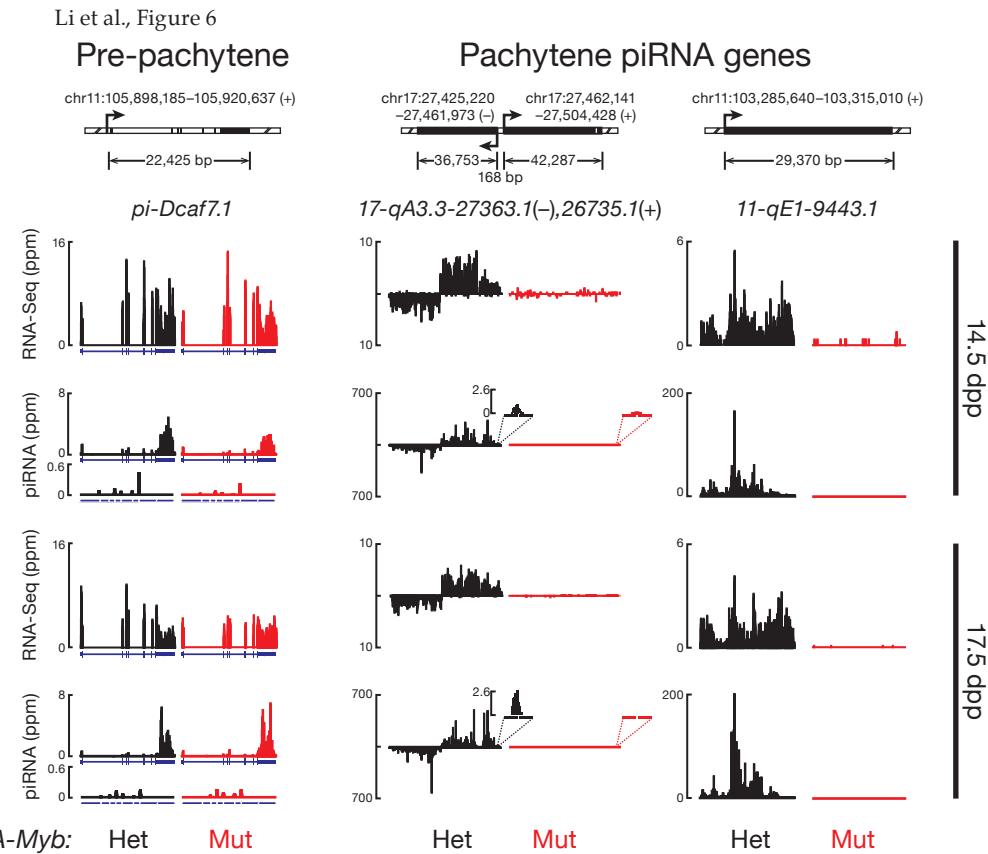


FIGURE 3.11: Transcript and piRNA abundance in heterozygous (Het) and homozygous *A-Myb* point-mutant testes is shown for four illustrative examples at 14.5 and 17.5 dpp. Also shown is the abundance of piRNA sequencing reads that map to the exon-exon junctions. Gene 11-qE1-9443 does not have an intron. Exons, blue boxes; splice junctions, gaps; the last exon is compressed and not to scale. See also Figure 3.12.

be detected at 17 dpp and continues to increase until 21 dpp [Vasileva et al., 2009]. The findings that TDRD5 and TDRD6 colocalize with MIWI in pachytene spermatocytes [Hosokawa et al., 2007, Vasileva et al., 2009, Yabuta et al., 2011] and that TDRD6 binds MIWI [Chen et al., 2009, Vagin et al., 2009, Vasileva et al., 2009] suggest a role for these Tudor domain proteins in pachytene piRNA production or function. As in *Miwi*-/- testes, spermatogenesis arrests at the

Li et al., Figure S6, Related to Figure 6

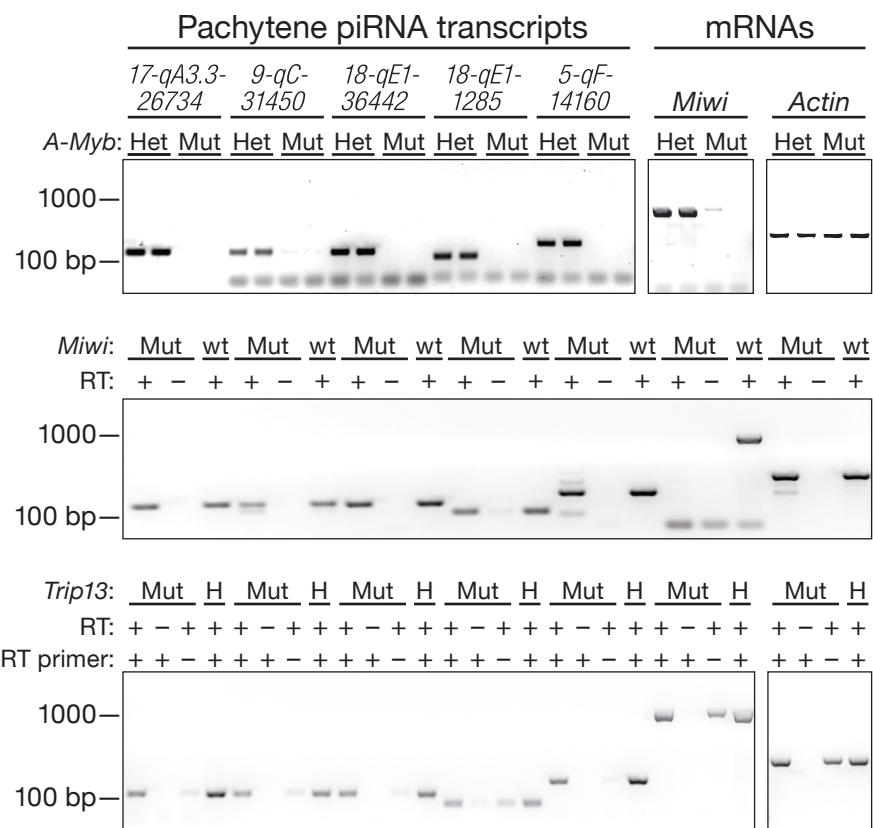


FIGURE 3.12: Transcripts were detected in total RNA from adult testes by RT-PCR (using random primers) for five pachytene piRNA loci as well as *Miwi* and *Actin*. Mut, mutant; Het or H, heterozygote; wt, wild type.

round spermatid stage in *Tdrd5*<sup>-/-</sup> and *Tdrd6*<sup>-/-</sup> mutant testes [Vasileva et al., 2009, Yabuta et al., 2011]. Loss of *Tdrd6* expression has little effect on piRNA levels (Figure 3.6; [Vagin et al., 2009]), perhaps because the functions of Tudor domain proteins overlap.

Other genes encoding piRNA pathway proteins whose promoters are bound by A-MYB and whose expression decreased significantly in *A-Myb* mutant testes

include *MitoPld* (*Pld6*; 3.9-fold decrease;  $q = 0.0095$ ) and *Tdrd12* (5.3-fold decrease;  $q = 0.0046$ ). *MitoPld* encodes an endoribonuclease implicated in an early step in piRNA biogenesis in mice and flies [Haase et al., 2010, Houwing et al., 2007, Huang et al., 2011, Ipsaro et al., 2012, Nishimasu et al., 2012, Pane et al., 2007, Watanabe et al., 2011a]. The function of *Tdrd12* is not known, but its fly homologs (Yb, Brother of Yb, and Sister of Yb) are all required for piRNA production [Handler et al., 2011]. *Tdrd1* decreased 3.4-fold, but with  $q$  value = 0.015. *Tdrd1* is first expressed in fetal prospermatogonia, then re-expressed in pachytene spermatocytes [Chuma and Hosokawa, 2006]. In *Tdrd1* mutant testes, spermatogenesis fails, with no spermatocytes progressing past the round spermatid stage [Chuma and Hosokawa, 2006]. TDRD1 binds MILI and MIWI [Chen et al., 2009, Kojima et al., 2009] and colocalizes with TDRD5 and TDRD6 in the chromatoid body [Hosokawa et al., 2007].

Together, these data support the idea that at the onset of the pachytene phase of meiosis, A-MYB coordinately activates transcription of many genes encoding piRNA pathway proteins.

### 3.3.8 A-MYB and the Pachytene piRNA Regulatory Circuitry

A number of genes encoding known and suspected piRNA pathway proteins are bound and regulated by A-MYB (Figures 3.13B and 3.14C). Our data support a

model in which A-MYB drives both the transcription of pachytene piRNA genes and the mRNAs encoding genes required for piRNA production including *Miwi*, *MitoPld*, and *Tdrd9*. Regulation by A-MYB of both the sources of pachytene piRNAs and the piRNA biogenesis machinery creates a coherent feedforward loop (Figure 3.13C). Feedforward loops amplify initiating signals to increase target gene expression. Furthermore, they function as switches that are sensitive to sustained signals; they reject transient signals [Osella et al., 2011, Shen-Orr et al., 2002].

A-MYB also bound to the *A-Myb* promoter (Figure 3.13B), and *A-Myb* transcripts decreased 4.2-fold in testes from an *A-Myb* point mutant (*Mybl1<sup>repro9</sup>*; Figure 3.13B). The *A-Myb* mutant fails to produce the high level of A-MYB protein observed in wild-type testes at the late pachytene stage of meiosis [Bolcun-Filas et al., 2011]. Instead, A-MYB protein never becomes more abundant than the level achieved in wild-type testes by the beginning of the pachytene stage. While the lower level of A-MYB in the *A-Myb* mutant may reflect instability of the mutant protein, a simpler explanation is that mutant A-MYB cannot activate *A-Myb* transcription.

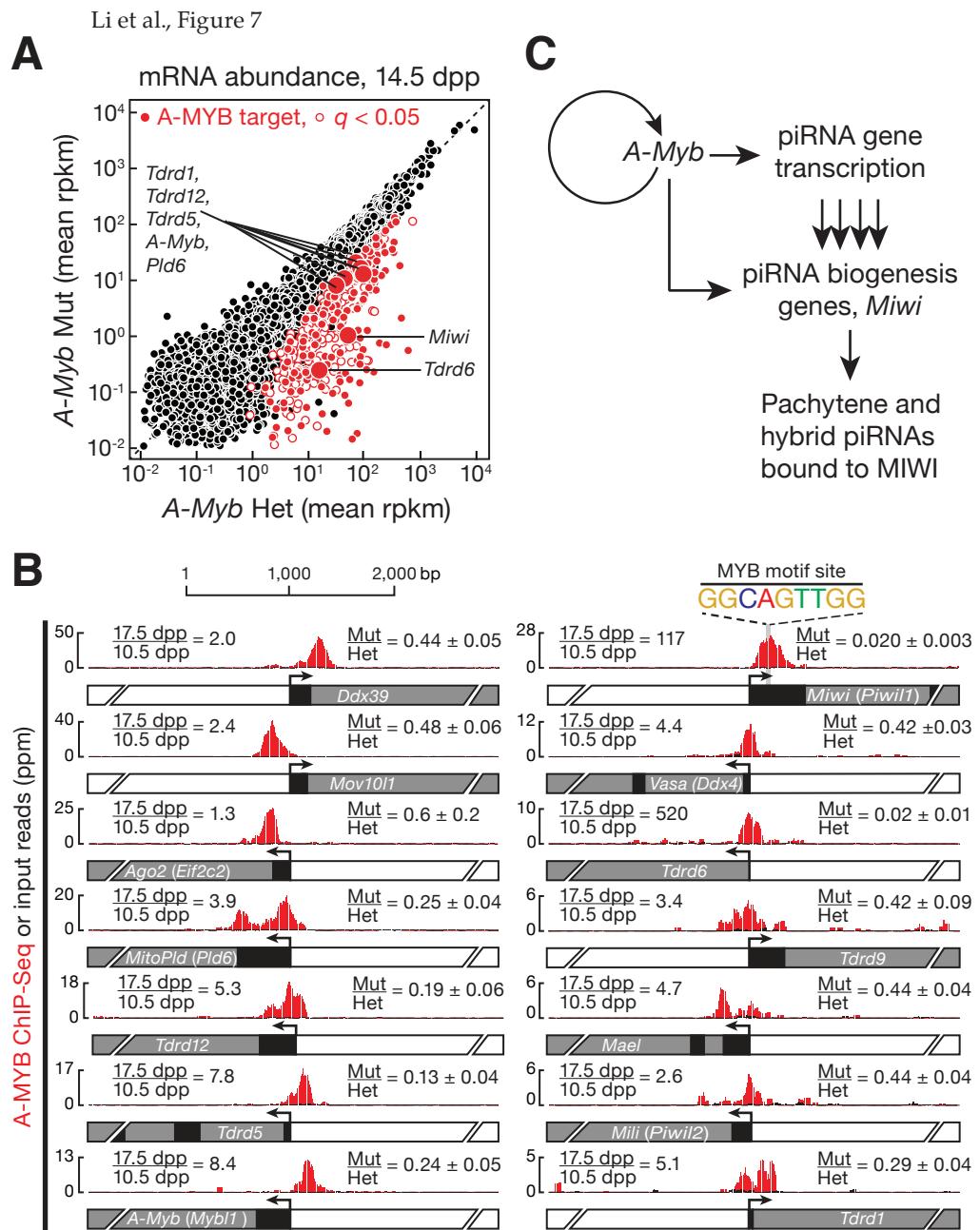


FIGURE 3.13: See subsubsection 3.3.8.1 for full figure caption.

Li et al., Figure S7, Related to Figure 7

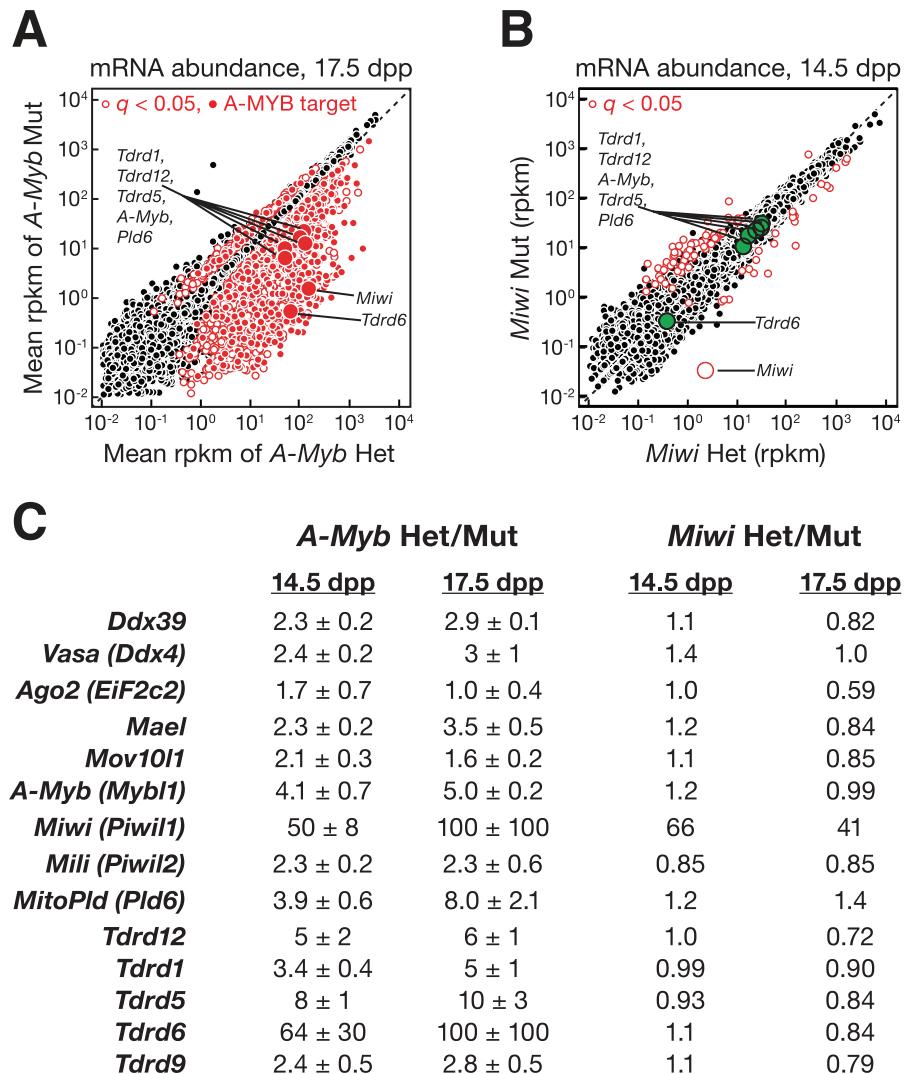


FIGURE 3.14: See subsubsection 3.3.8.2 for full figure caption.

### 3.3.8.1 Caption for Figure 3.13

(A) mRNA abundance in *A-Myb* mutant versus heterozygous testes. The 407 genes with a significant ( $q < 0.05$ ) change in steady-state mRNA levels are shown as red circles. The 203 with A-MYB peaks within 500 bp of their transcription start site are filled. (B) A-MYB ChIP-seq signal at the transcription start sites of

*A-Myb* and genes implicated in RNA silencing pathways. For each, the figure reports the change in mRNA abundance between 17.5 and 10.5 dpp in wild-type testes and the mean change between *A-Myb* mutant and heterozygous testes at 14.5 dpp (mean  $\pm$  SD; n = 3). (C) A model for the regulation of pachytene piRNA biogenesis by A-MYB. See also Figure 3.14 and Table S3.

### 3.3.8.2 Caption for Figure 3.14

A) mRNA abundance in 17.5 dpp *A-Myb* versus heterozygous testes. The 2,853 genes with a significant ( $q < 0.051$ ) change in steady-state mRNA abundance are shown as open red circles. Among them, 8721,009 genes also had A-MYB peaks within 500 bp of their transcription start sites. These “A-MYB targets” are marked with filled red circles. (B) Same as (A) but in 14.5 dpp *Miwi* mutant versus heterozygous testes. The genes encoding proteins implicated in RNA silencing pathways that were labeled in (A) and that showed no change in expression in *Miwi* mutant testes are highlighted as green filled circles. As expected, *Miwi*, showed a significant decrease in mRNA abundance in *Miwi*-/- testes. (C) The change in mRNA abundance (rpkm) in *A-Myb* and *Miwi* mutant testes versus heterozygous controls for the RNA silencing genes highlighted in (A) and (B).

### 3.3.9 Feed-Forward Regulation of piRNA Production is Evolutionarily Conserved

Is A-MYB-mediated, feedforward control a general feature of regulation of piRNA production among vertebrates? To test whether A-MYB control of piRNA precursor transcription is evolutionarily conserved, we used high-throughput sequencing to identify piRNAs in adult rooster testes. Birds and mammals diverged 330 million years ago [[Benton and Donoghue, 2007](#)]. After removing the sequences of identifiable miRNAs [[Burnside et al., 2008](#)] and annotated noncoding RNAs, total small RNA from the adult rooster testis showed peaks at both 23 and 25 nt (Figure 3.15A). When the RNA was oxidized before being prepared for sequencing, only a single 25 nt peak remained, consistent with the 25 nt small RNAs corresponding to piRNAs containing 2'-O-methyl-modified 3' termini. These longer, oxidation-resistant species typically began with uracil (62% of species and 65% of reads; Figure 3.15B), and we detected a significant Ping-Pong amplification signature ( $Z$  score = 31; Figure 3.15C). We conclude that the oxidation-resistant, 24-30 nt long small RNAs correspond to rooster piRNAs. Like piRNAs generally, rooster piRNAs are diverse, with 5,742,529 species present among 81,121,893 genome-mapping reads. Like mouse pachytene piRNAs, 70% of piRNAs from adult rooster testes mapped to unannotated intergenic regions, 19% mapped to transposons, and 14% mapped to protein-coding genes. Of the piRNAs that map to protein-coding genes, >95% derive from

introns. Forty-two percent of piRNA species mapped uniquely to the *Gallus gallus* genome.

Using 24-30 nt piRNAs from oxidized libraries, we identified 327 rooster piRNA clusters (Figure 3.16). These account for 76% of all uniquely mapping piRNAs. Of the 327 clusters, 25 overlapped with protein-coding genes. To begin to identify the transcription start sites for the rooster piRNA clusters, we analyzed adult rooster testes by H3K4me3 ChIP-seq. More than 81% (268 out of 327) of the clusters contained a readily detectable H3K4me3 peak within 1 kbp of the piRNA cluster. In contrast, the median distance from a cluster to the nearest transcription start site of an annotated gene was 73 kbp, suggesting that the H3K4me3 peaks reflect the start sites for rooster piRNA precursor transcripts.

Next, we asked where in the genome A-MYB bound in adult rooster testes. A-MYB ChIP-seq identified 5,509 significant peaks (FDR < 10-25). MEME analysis of the top 500 peaks with the lowest FDR values identified a motif ( $E = 2.6 \times 10^{-201}$ ; Figure 3.15D) similar to that found in the mouse (Figure 3.7A). A-MYB is the only one of the three chicken MYB genes expressed in adult testis (X.Z.L. and P.D.Z., unpublished data), supporting the view that these peaks correspond to A-MYB binding. The core sequence motif associated with A-MYB binding in mouse differs at one position (CAGTT) from that in rooster (C C/G GTT). This difference between mammalian and chicken MYB proteins has been noted

previously [Deng et al., 1996, Weston, 1992].

To determine whether chicken A-MYB might regulate transcription of some piRNA clusters in the testis, we compared the A-MYB peak nearest to each piRNA cluster with the nearest H3K4me3 peak. Of the 327 rooster piRNA clusters, at least 104 were occupied by A-MYB at their promoters, as defined by an overlapping H3K4me3 peak. These 104 clusters account for 31% of uniquely mapping rooster piRNAs.

The chicken genome encodes at least two PIWI proteins: PIWIL1 and PIWIL2. Remarkably, the promoter of *Gallus gallus* PIWIL1, the homolog of mouse *Miwi*, contained a prominent A-MYB peak (Figure 3.15E). TDRD1 and TDRD3 also showed A-MYB peaks (Figure 3.15E). Thus, as in mice, *Gallus gallus* A-MYB controls the transcription of both piRNA clusters and genes encoding piRNA pathway proteins. We conclude that A-MYB-mediated feedforward regulation of piRNA production was likely present in the last common ancestor of birds and mammals.

In mice, we found no piRNA-producing genes on the sex chromosomes (Figure 3.2A), perhaps because mouse sex chromosomes are silenced during the pachytene stage [Li et al., 2009a]. Birds use a ZW rather than an XY mechanism for sex determination, so roosters are homogametic (ZZ), allowing the sex chromosomes to remain transcriptionally active in males [Namekawa and Lee, 2009,

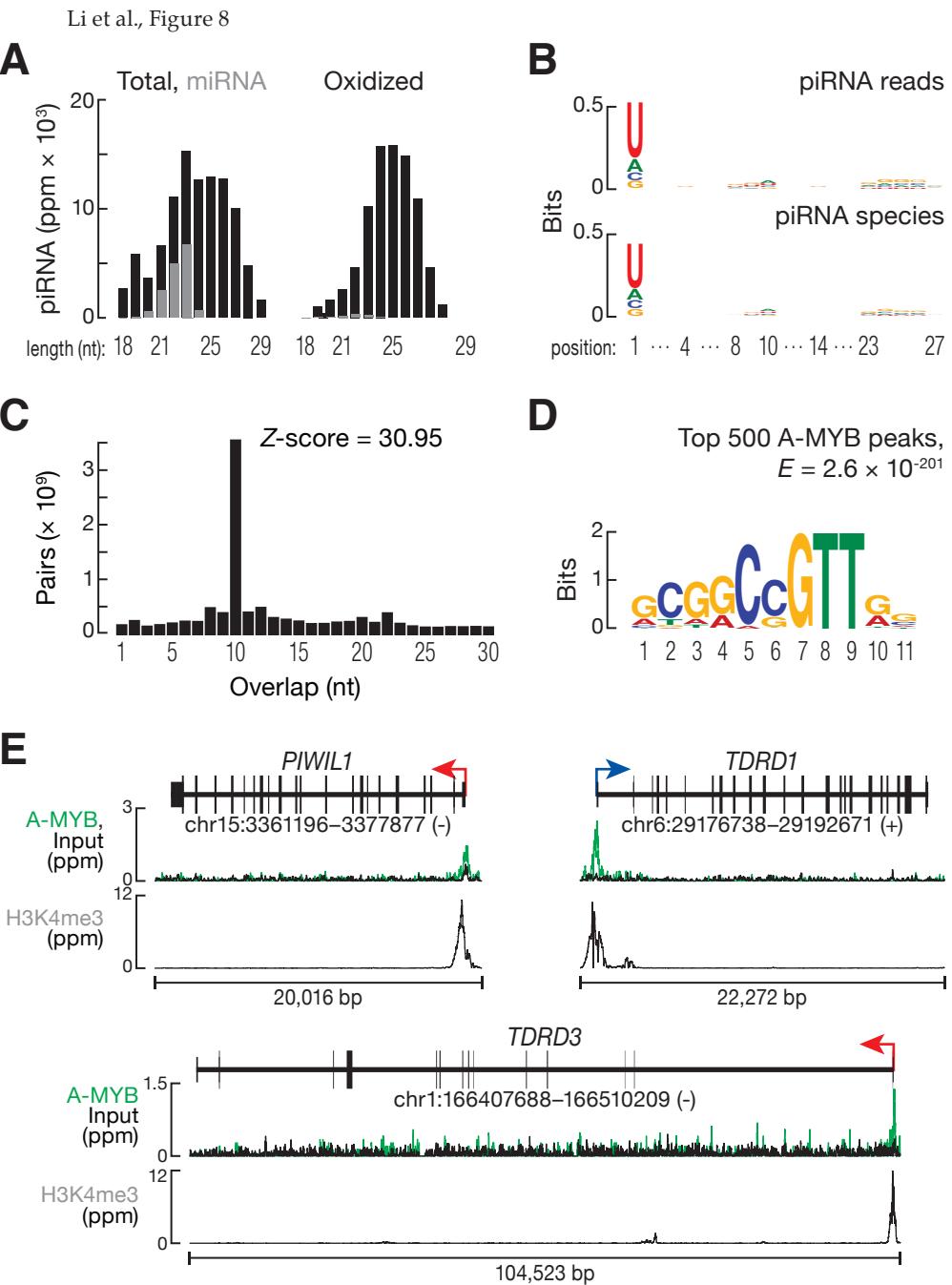


FIGURE 3.15: See subsubsection 3.3.9.1 for full figure caption.

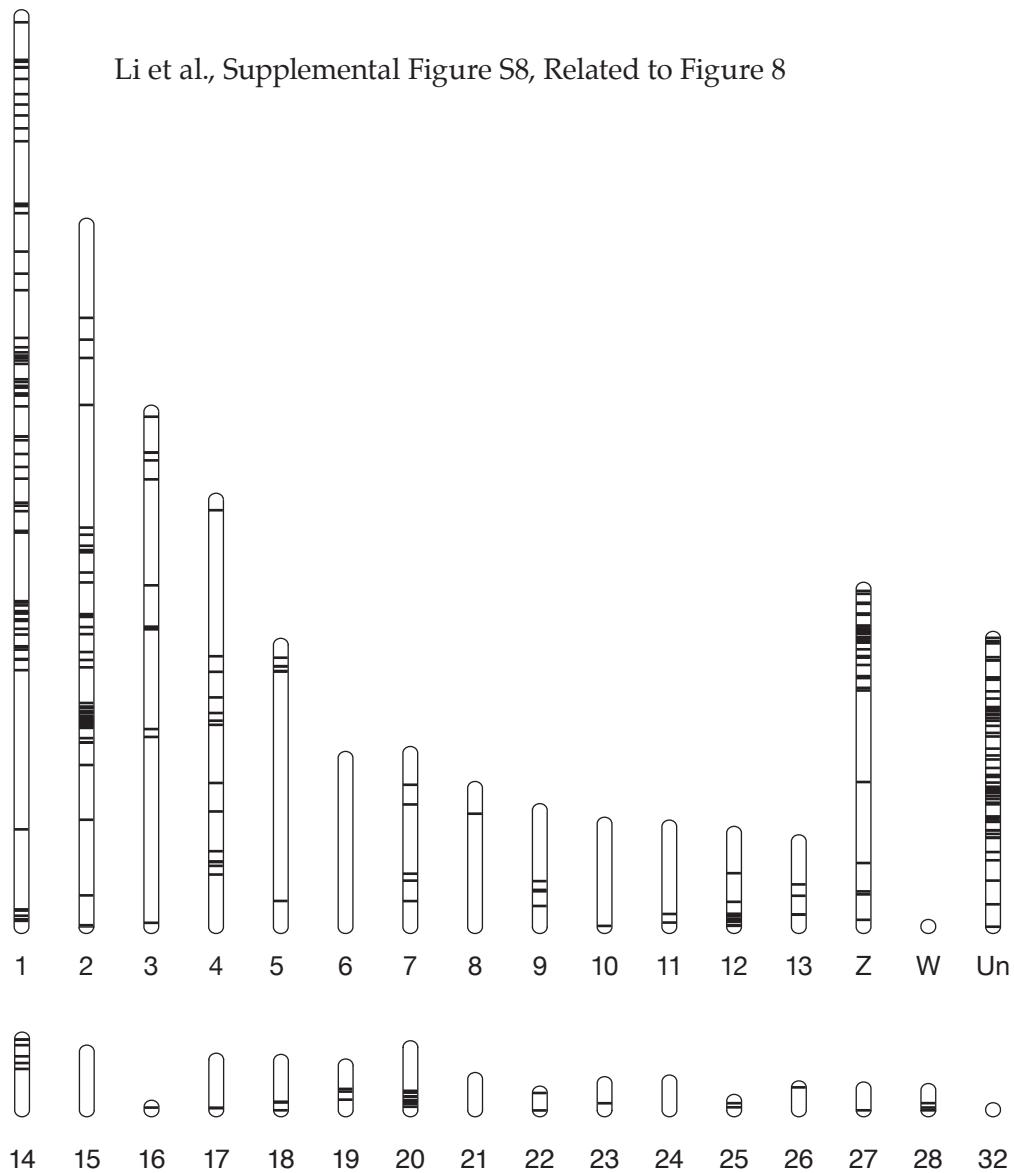


FIGURE 3.16: Black horizontal lines denote the locations on the *Gallus gallus* (galGal3) chromosomes of the piRNA clusters identified by small RNA sequencing. The figure shows 324 clusters; clusters on E64 (cluster 370) and E22C19W28\_E50C23 (clusters 109 and 563) are not shown.

Schoenmakers et al., 2009]. Indeed, we find that 39 of the 327 rooster piRNA clusters are on the Z chromosome, accounting for 12% of uniquely mapping piRNAs (Figure 3.16). Of the 39 Z chromosome clusters, 18 had an A-MYB peak at their promoter.

### 3.3.9.1 Caption for figure 3.15

(A) Length distributions of total rooster testis small RNAs (black) and miRNAs (gray).(B) Sequence logo showing the nucleotide composition of piRNA reads and species.(C) The 5' -5' overlap between piRNAs from opposite strands was analyzed to determine if rooster piRNAs display Ping-Pong amplification. The number of pairs of piRNA reads at each position is reported. Z score indicates that a significant 10 nt overlap (Ping-Pong) was detected. Z score > 1.96 corresponds to p value < 0.05.(D) MEME-reported motif of the top 500 (by peak score) A-MYB ChIP-seq peaks from adult rooster testes.(E) A-MYB, H3K4me3, and input ChIP-seq signals at the transcription start sites of rooster PIWIL1, TDRD1, and TDRD3. See also Figure S8.

## 3.4 Discussion

The data presented here provide strong support for the view that piRNAs in mammals begin as long, single-stranded precursors generated by testis-specific,

RNA Pol II transcription of individual piRNA genes (see also [Vourekas et al. \[2012\]](#)). Transcription by RNA Pol II affords piRNA genes the same rich set of transcriptional controls available to regulate mRNA expression. Our data establish that developmentally regulated transcription of piRNA genes determines when specific classes of piRNAs emerge during spermatogenesis.

During mouse spermatogenesis, transcription of pachytene piRNA genes begins at the onset of the pachytene stage of meiosis; pachytene piRNAs accumulate subsequently. The presence of the MYB binding motif near the transcription start sites of pachytene piRNA genes, the physical binding of A-MYB to those genes, and the loss of pachytene piRNA precursor transcripts and piRNAs in testes from *A-Myb* mutant mice all argue that A-MYB regulates pachytene piRNA production.

A-MYB also drives increased expression of piRNA pathway genes. Among these, *Miwi* expression shows the greatest dependence on A-MYB, but A-MYB also drives transcription of genes encoding other proteins in the piRNA pathway, including MitoPld, Mael, and five genes encoding Tudor domain proteins. For example, A-MYB increases expression of Tdrd6 more than 500-fold. Loss of A-MYB function more strongly depletes pachytene piRNAs than loss of MIWI, in part because pachytene piRNAs can still be loaded into MILI in *Miwi* mutant testes, although MILI-loaded pachytene piRNAs do not suffice to produce

functional sperm. In the *A-Myb* mutant, expression of mRNAs encoding multiple piRNA pathway proteins decreases. We speculate that in wild-type male mice, the increased expression of these mRNAs at the onset of the pachytene stage of meiosis ensures that sufficient piRNA-precursor-processing and MIWI-loading factors are available to cope with the large increase in pachytene piRNA precursor transcription.

We propose that induction of A-MYB during the early pachytene stage of spermatogenesis initiates a feedforward loop that ensures the precisely timed production of these piRNAs. Coherent feedforward loops show delayed kinetics in order to reject background stimuli [Mangan and Alon, 2003]. Indeed, we observed a delay from the early to middle pachytene in the accumulation of pachytene piRNAs, despite the continued increase in *A-Myb* expression (Figure 3.3A). Pachytene piRNA levels increase 75-fold (median for the 100 genes) from 10.5 to 12.5 dpp, coincident with increased expression of *A-Myb*. However, from 12.5 to 14.5 dpp, pachytene piRNAs increase only 1.2-fold. Pachytene piRNAs subsequently resume their accumulation, increasing 65-fold from 14.5 to 17.5 dpp. We believe this delay is a consequence of a feedforward loop that ensures the production of pachytene piRNAs only at the pachytene stage of spermatogenesis. Regulation by a feedforward loop also predicts a rapid shutdown of pachytene piRNA pathways at round spermatid stage VIII, when A-MYB protein levels decrease [Horvath et al., 2009]. Supporting this idea, the abundance of

MIWI decreases sharply by the elongated spermatid stage of spermatogenesis [Deng and Lin, 2002]. Testing this proposal is a clear challenge for the future.

In fruit flies and zebrafish [Brennecke et al., 2007, Houwing et al., 2007], most piRNAs map to repetitive regions, whereas in mammals, uniquely mapping intergenic piRNAs predominate in the adult testis. The discovery that 70% of rooster piRNA reads map to intergenic regions suggests that the expansion of intergenic piRNAs controlled by A-MYB feedforward regulation arose before the divergence of birds and mammals. In the future, detailed analysis of piRNA production across avian spermatogenesis should provide insight into the evolutionary origins and functions of pachytene piRNAs, a class of piRNAs thus far only detected in mammals.

In summary, we have shown that mouse piRNA genes are coregulated transcriptionally, establishing that A-MYB coordinately regulates the biogenesis of an entire piRNA class, the pachytene piRNAs. The discovery that a loss-of-function *A-Myb* mutant, *Mybl1<sup>repro9</sup>*, disrupts piRNA precursor transcription in vertebrates provides a tool to understand the transformation of long, single-stranded piRNA precursors into mature piRNAs and to explore the functions and targets of the pachytene piRNAs.

## 3.5 Experimental Procedures

### Mice

*Mybl1<sup>reproto</sup>*, *Spo11<sup>tm1Sky</sup>*, and *Piwi1<sup>tm1Hf</sup>* mice were maintained and used according to the guidelines of the Institutional Animal Care and Use Committee of the University of Massachusetts Medical School and genotyped as described [Baudat et al., 2000, Bolcun-Filas et al., 2011, Deng and Lin, 2002].

### Sequencing

Small [Ghildiyal et al., 2008, Seitz et al., 2008] and long RNA-seq [Zhang et al., 2012b] and analysis [Li et al., 2009b] were as described. Reads that did not map to mouse genome mm9 were mapped to piRNA precursor transcripts to obtain splice junction mapping small RNAs. Total small RNA libraries from different developmental stages and from mutants were normalized to the sum of all miRNA hairpin mapping reads. Oxidized samples were calibrated to the corresponding total small RNA library via the abundance of shared, uniquely mapped piRNA species. piRNA expression data were grouped with Cluster 3.0. Differential gene expression was analyzed with DESeq R [Anders and Huber, 2010]; ChIP-seq reads were aligned to the genome using Bowtie version 0.12.7 [Langmead et al., 2009], and peaks were identified using MACS [Zhang et al., 2008].

### Acknowledgments

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### Accession Numbers

The Gene Expression Omnibus (GEO) accession number for the RNA-seq, ChIP-seq, and small RNA data reported in this paper is GSE44690.

### Animals

Mice were maintained and used according to the guidelines of the Institutional Animal Care and Use Committee of the University of Massachusetts Medical School. C57BL/6J (Jackson Labs, Bar Harbor, ME, USA; stock number 664); *Mybl1<sup>repro9</sup>* in a mixed 129X1/SvJ x C57BL/6J background; *Spo11tm1Sky* in a C57BL/6J background; and *Piwi<sup>1tm1Hf</sup>* in a mixed 129X1/SvJ x C57BL/6J background (“*Miwi*”) mice were genotyped as described [[Baudat et al., 2000](#), [Bolcun-Filas et al., 2011](#), [Deng and Lin, 2002](#)]. Rooster testes from White Leghorn of the Cornell Special C strain, about

15 months old, were used for small RNA analysis; and testes from the Brown Leghorn strain, about one year old, were used for ChIP analysis.

### RNA Sequencing

Small RNA libraries were constructed and sequenced as described [[Ghildiyal et al., 2008](#), [Seitz et al., 2008](#)] except that 18-35 nt RNA was isolated and 2S rRNA depletion omitted. Sequencing was performed using either a Genome Analyzer GAI (36 or 76 nt reads) or HiSeq 2000 (50 nt) instrument (Illumina, San Diego, CA, USA). We analyzed published small RNA libraries from purified mouse spermatogonia (SRR069809), spermatocytes (SRR069810, GSE39652), or spermatids (SRR069811; [[Gan et al., 2011](#), [Modzelewski et al., 2012](#)]); from *Mili* mutant or heterozygous testes at 10 dpp (SRX003089 and SRX003088; [[Aravin and Hannon, 2008](#)]); from Tdrd6 mutant or heterozygous testes at 18 dpp (SRX012165 and SRX012166; [[Vagin et al., 2009](#)]); and MILI IP samples from Tdrd9 mutant or heterozygous testes at 14 dpp (SRX015795, SRX015796, SRX015797, and SRX015798; [[Shoji et al., 2009](#)]).

Strand-specific RNA-seq libraries [[Zhang et al., 2012a](#)] using Ribo-Zero Gold (Epicentre Biotechnologies, Madison, WI, USA) were sequenced using the paired-end protocol on a HiSeq 2000.

### Small RNA Analysis

Small RNA sequence analysis was as described [Li et al., 2009b] using mouse genome release mm9 and chicken genome release galGal3. Non-coding RNA annotations comprised data from ncRNAscan, the known tRNAs from UCSC, and 18S, 28S and 5.8S rRNAs. miRNA hairpin and mature miRNA annotation was from miRBase Release 19. Mouse and chicken transposons were annotated using Repeat Masker from UCSC. Reads that did not map to the mouse genome (mm9) were mapped to piRNA precursor transcripts to obtain splice junction-mapping small RNAs. Total small RNA libraries from different developmental stages and from mutants were normalized to the sum of all miRNA hairpin-mapping reads. Oxidized samples were calibrated to the corresponding total small RNA library via the abundance of shared, uniquely mapped piRNA species. Table S1 reports the statistics for high-throughput sequencing. For oxidized (i.e., piRNA-enriched) samples, uniquely mapping small RNAs >23 nt were mapped to each assembled piRNA precursor transcript and reported as reads per kilobase pair per million reads mapped to the genome (rpkm) using a pseudo count of 0.001.

### **Small RNA Analysis**

RNA-seq reads were aligned to the genome (NCBI 37/mm9) using TopHat 2.0.4 [Trapnell et al., 2009]. Reads were mapped uniquely using the “-g 1” switch. We assembled the mouse testes transcriptome (see below).

For genes with multiple isoforms, the transcript with the highest average rpkm value among the three replicates of adult testes was selected for further analysis. Fragments with both reads mapped within a transcript, or to piRNA precursor transcripts, were counted using BEDTools [Quinlan and Hall, 2010]. The sum of the reads aligning to the top quartile of expressed transcripts per library was used to calibrate the samples. The number of reads per transcript was normalized by length, divided by the library-specific calibration factor, and reported as rpkm with a pseudo count of 0.001. Table S1 presents the statistics for the RNA-seq data. Sequences mapping to five genes (Table S1) that overlapped with or were embedded within a piRNA gene were excluded when calculating piRNA precursor transcript abundance.

### PAS-seq Library Construction and Analysis

PAS-seq libraries (Table S1) were prepared essentially as described [Shepard et al., 2011] and sequenced using a HiSeq 2000 (100 nt read length). We first removed adaptors and performed quality control using Flexbar 2.2 (<http://sourceforge.net/projects/theflexibleadap>) with the parameters “-at 3 -ao 10 –min-readlength 30 –max-uncalled 70 –phred-pre-trim 10.” For reads beginning with GGG including (NGG, NNG or GNG) and ending with three or more adenoses, we removed the first three nucleotides and mapped the remaining sequence with and without the tailing adenoses to

the mouse genome using TopHat 2.0.4. We retained only those reads that could be mapped to the genome without the trailing adenosine residues. Genome-mapping reads containing trailing adenosines were regarded as potentially originating from internal priming and thus discarded. The 3' end of the mapped, retained read was reported as the site of cleavage and polyadenylation.

### CAGE Library Construction and Analysis

CAGE (cap analysis of gene expression; Table S1) was as described [[Yang et al., 2011](#)] and sequenced using a HiSeq 2000 (100 nt reads). After removing adaptor sequences and checking read quality using Flexbar 2.2 with the parameters of

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```
-at 3 -ao 10 --min-readlength 20 --max-uncalled 70 --phred-pre-trim 10
```

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, we retained only reads beginning with NG or GG (the last two nucleotides on the 5' adaptor). We then removed the first two nucleotides and mapped the sequences to the mouse genome using TopHat 2.0.4. All unique 5' ends of the mapped positions were considered as CAGE-tag starting sites and grouped into tag clusters using a distance-based method in which the maximal distance between two neighboring tags was required to be <20 bp. The peak position of a tag cluster was then reported as the transcription start site.

### Transcriptome Assembly and Annotation

De novo transcriptome assembly from three biological replicates of strand-specific RNA-seq data from adult testes was performed using Trinity (r2012-06-08) with default parameters [Grabherr et al., 2011]. The assembled RNA sequences were aligned to the mouse genome (mm9) with BLAT [Kent, 2002], and the alignments with more than 95% of sequence length mapped and fewer than 1% mismatches retained.

We extracted novel junctions from Trinity (i.e., reads with [0-9]+M[0-9]+N[0-9]+M pattern in the CIGAR string of SAM output), and re-mapped all RNA-seq reads to these junctions, rescuing 1,402,444 reads in three replicates. Rescued reads were combined with TopHat alignments (supplied with “-max-multihits 100” to assembly through repetitive regions) and used as input for reference-based assembly.

We used Cufflinks v2.0.2 [Trapnell et al., 2010] with parameters of:

---

```
-u -j 0.2 --min-frags-per-transfrag 40
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to assemble transcripts. To join small transcript fragments caused by insufficient read coverage or embedded repetitive elements, two different gap-joining distance cutoffs were used for the assembly of genes (“overlap-radius 100”) and piRNA loci (“–overlap-radius 250”). We used Cuffcompare v2.0.2 [Trapnell et al., 2010] to annotate the 49,840 Cufflinks-assembled

transcripts using parameters optimized for genic conditions (“overlap-radius 100”).

### **piRNA Precursor Transcript Annotation**

We combined transcripts from the two Cufflink assemblies with those from the Trinity assembly, producing 136,069 unique transcripts. Those transcripts with 100 ppm or 100 rpkm unique mapping piRNAs at any time point (10.5, 12.5, 14.5, 17.5, 20.5 dpp and adult oxidized small RNA from testis) were selected for manual annotation.

To refine the termini of the piRNA-producing transcripts, we supplemented the RNA-seq data with high-throughput sequencing of 5' ends of RNAs bearing (5') $\text{ppp}(5')$  cap structures (CAGE) and of the 3' ends of transcripts flanking the poly(A) tail (PAS-seq). To provide independent confirmation of the 5' ends of each piRNA-producing transcript, we used chromatin immunoprecipitation (ChIP-seq) of RNA polymerase II (pol II) and histone H3 bearing trimethylated lysine-4 (H3K4me3). Refinement of transcriptional starts required both a CAGE and a H3K4me3 peak to support the 5' end of the transcript. When no H3K4me3 peak corroborated alternative transcription start sites proposed by the CAGE data, the alternative transcripts were merged with the fully substantiated transcript.

### **piRNA Gene Nomenclature**

When piRNA-producing genes overlap an annotated protein coding gene, we refer to them using the name of the overlapping gene preceded by “pi-”; when they do not, their names refer to their genomic location followed by a number indicating the piRNA abundance in ppm at 6 weeks post-partum. The last digit of a piRNA gene name specifies the rank order of expression among isoforms, determined by the highest abundance of transcripts (rpkm) observed for that gene among the six developmental stages of testis.

### **Grouping piRNA Precursor Transcripts**

For the most abundant transcript in each locus, the abundance (rpkm) of piRNAs at each stage was expressed as a fraction of the maximum abundance reached during the developmental time course. These data were then analyzed by hierarchical clustering according to Euclidean distance and complete linkage using Cluster 3.0. Clustering results were visualized using Java Tree View 1.1.3.

### **Analysis of Differential Gene Expression**

We determined differential gene expression using DESeq R [[Anders and Huber, 2010](#)]. For each annotated mRNA, reads from each library were aligned to the most abundant assembled transcript. Transcripts with  $q < 0.05$  were considered to be differentially expressed. Table S3 lists the

genes that were differentially expressed in *A-Myb* at 14.5 dpp. Three biologically independent replicates were used for *A-Myb* homozygotes and heterozygotes at 14.5 and at 17.5 dpp.

### Motif Discovery

For divergently transcribed piRNA gene pairs, the promoter region was defined as the region between the transcription start sites defined by CAGE peaks. Sequence motifs in these putative promoter regions were detected ab initio using MEME [[Bailey et al., 2009](#), [Bailey and Elkan, 1994](#)] in TCM mod (any number of repetitions per sequence) and compared to existing JASPAR and TRANSFAC libraries via TOMTOM [[Gupta et al., 2007](#)]. FIMO was used to detect motif sites within the putative promoters (default  $p < 10^{-4}$ ; [[Grant et al., 2011](#)]).

### Chromatin Immunoprecipitation (ChIP)

ChIP was performed as described [[Chen et al., 2008](#)] except that testes were macerated on ice and then fixed with 1.5% (w/v) formaldehyde for 20 min. Samples were then further crushed using 20 strokes with a “B” pestle in a Dounce homogenizer (Kimble-Chase, Vineland, NJ, USA). Chromatin was sheared by sonication and immunoprecipitated using anti-A-MYB (HPA008791; Sigma, St. Louis, MO, USA) or anti-H3K4me3 (ab8580; Abcam, Cambridge, MA, USA) antibody; immunoglobulin G (IgG; Sigma,

item 2729) served as a control. ChIP-quantitative PCR (qPCR) was performed using the CFX96 Real-Time PCR Detection System with SsoFast EvaGreen Supermix (Bio-Rad, Hercules, CA, USA). Data were analyzed using DART-PCR [Peirson, 2003]. Relative ChIP enrichment values were normalized to *MyoD1*, a gene not expressed in testes. Table S1 lists ChIP-qPCR primers. ChIP-seq libraries for anti-A-MYB and control input DNA were prepared following the Illumina ChIP-seq protocol and sequenced on a HiSeq 2000 (50 nt reads).

### ChIP-seq Analysis

ChIP-seq reads were aligned to the genome using Bowtie version 0.12.7 [Langmead et al., 2009]. Reads were mapped uniquely using the “-M 1 –best –strata” switches and one mismatch was allowed (-v 1). ChIP peaks were identified using MACS version 1.4.1 [Zhang et al., 2008] using default arguments, input as control, and a cutoff p-value =  $10^{-25}$  was used. BEDTools was used to assign peaks to the nearest 5' end of genes. Table S1 reports sequencing statistics for ChIP-seq.

### RT-PCR

Total RNA was treated with Turbo DNase (Ambion, Austin, TX, USA), and then reverse transcribed using SuperScript III (Invitrogen, Eugene, OR, USA) with random primers (Promega, Madison, WI, USA). The resulting

cDNA was analyzed by conventional PCR. Table S1 lists the primers used in Figure 3.12.

### Ping-Pong Analysis

Ping-Pong amplification was analyzed by the 5' -5' overlap between piRNA pairs from opposite genomic strands [Li et al., 2009b]. Overlap scores for each overlapping pair were the product of the number of reads of each of the piRNAs from opposite strands. The overall score for each overlap extend (1-30) was the sum of all such products for all chromosomes. Heterogeneity at the 3' ends of small RNAs was neglected. Z-score for 10 bp overlap was calculated using the scores of overlaps from 1-9 and 11-30 as background.

### Rooster piRNA Cluster Detection

We developed a dynamic programming algorithm to identify the genomic regions with the highest piRNA density. We used oxidized small RNA reads (>23 nt) to detect clusters. We used the conservative assumption that piRNA clusters compose at most 2% of the chicken genome. We first split the genome into 1 kbp non-overlapping windows and computed piRNA abundance for each window. The mean of the top 2% of windows was used as the penalty score for the dynamic programming algorithm. The algorithm computes the cumulative piRNA abundance score as a function

of the window index along each chromosome. The score at a window is the sum of the score in the previous window and the piRNA abundance in the current window, minus the penalty score; if the resulting score was negative it was reset to 0. The maximal score points to the largest piRNA cluster. We extracted the largest piRNA cluster, recomputed the scores at the corresponding windows, and searched for the next cluster. The process continued until the scores for all windows were zero. The boundaries of each cluster were further refined by including those base pairs for which piRNA abundance exceeded the mean piRNA abundance of the top 2% windows. We considered only those clusters with abundance >10 ppm for uniquely mapping piRNAs. In Figure 3.15E, gene models were corrected using data from our unpublished adult rooster testis RNA-seq data.

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# **Chapter 4**

## **SeqZip - Development and Applications**

### **4.1 Overview**

Development of the SeqZip methodology began with an attempt to circumvent an obvious shortcoming in second generation HTS—short read lengths. Until second generation HTS (i.e. reads <100nt on either the Illumina or SOLiD platforms), most sequencing was done using cloned fragments, stored in a bacteria, and analyzed using dideoxy “Sanger Sequencing” (see [1.2.1](#)). Indeed, this is how most [ESTs](#) were analyzed. An extremely powerful feature of these ESTs is that as they represented the sequence of a single clone, from a single original molecule of RNA. Connectivity between sequences that were far apart (>1,000 nt) in the original sequence was maintained. It is this very feature, the continuity of sequence, that allowed whole genome shotgun sequencing to be used, and

ESTs to be assembled into complete genomes, despite sometimes lengthly, repetitive, stretches of DNA (see section 1.2.1). Once research transitioned over to heavy use of the second generation HTS, all of that connectivity was lost, and all the inherent information with it.

Second generation HTS can be supplemented with other technologies. This has been demonstrated perhaps most successfully with long-read assisted genome assembly [Koren et al., 2012]. Why not supplement the disconnected nature of short reads with another technology? To that end, Phillip D. Zamore proposed an RNA-templated DNA-DNA ligation approach as drawn in Figure 4.1 (see US Patent application 12/906,678). Using this approach, two or more distant sequences of RNA are investigated using short DNA oligonucleotides to force the intervening sequences to loop out. Incorporation of the hybridized DNA via ligation with those of DNAs adjacently hybridized generates a positive read out of sequence presence.

Along with Patent 12/906,678, Chapter 2 presents much of the early and important developmental work demonstrating reduction to practice of this method (termed “SeqZip”), and its application to investigating connectivity of sequence content in the biologically-interesting genes *Fn1* and *Dscam1*.

Presented in this Chapter are experiments demonstrating SeqZip application to the following questions and issues:

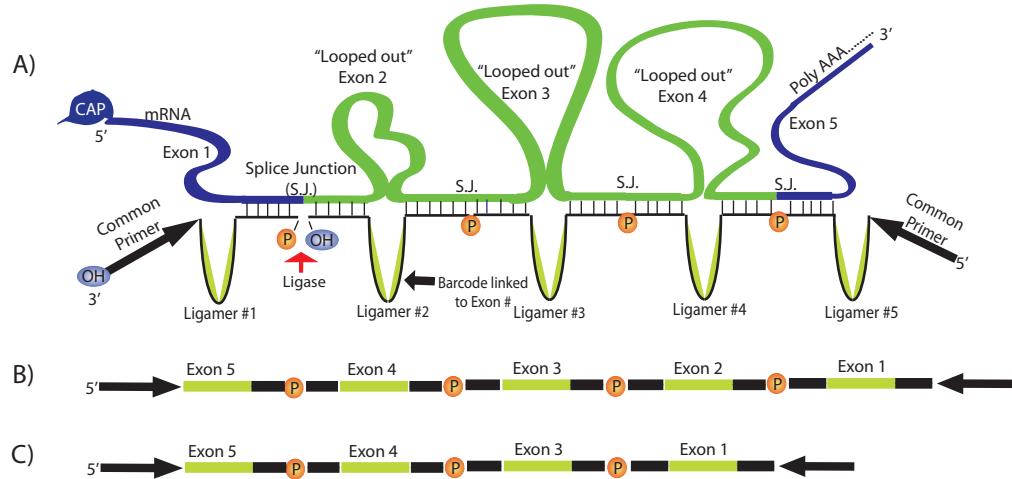


FIGURE 4.1: Original SeqZip Diagram

This is the original concept diagram of the SeqZip methodology. (A) Specific DNA oligos target an mRNA and loop out the RNA sequence. Ligases is added to join the DNA oligos together; (B) & (C) Two different possibilities of ligation products templated from the RNA in (A), where Exon 2 is an cassette exon.

- Section 4.2: Simultaneous investigation of 10 genes ("Multiplex") for co-ordinated alternative splicing.
- Section 4.3: Investigate of RNA integrity using SeqZip.
- Section 4.4.4: Demonstrating the presence of long, continuous piRNA precursors by SeqZip

The three sections add to the data discussed in Chapter 2 in some important ways. Section 4.2 demonstrates that the SeqZip method can not only be used to investigate an extremely complex alternatively spliced gene (*Dscam1*) in a comprehensive manner, but can also be applied to looking at multiple genes at once. Section 4.3 exploits a subtle feature of the method—that the RNA must be intact in order to produce a ligation product. This can be used to report on a fraction of intact RNA and deduce meaningful information such as the amount of

intact RNA virus (see, or the existence of as-yet unobserved mega transcripts, like mammalian piRNA precursors (section 4.4).

## 4.2 Multiplex SeqZip Application

Is the coordination discussed in section 1.3.4 a general phenomenon? One of the major goals of developing SeqZip was to investigate potential coordination genome-wide. By genome-wide, what we really mean is to analyze many (or all) of the RNA transcripts in a tissue for evidence of coordinated splicing decisions. When development of the method reached the point that it could be applied to a multiplex study, I did not possess the bioinformatic skills necessary to (1) identify target transcripts, exons, and sequences to investigate for potential connectivity and (2) design ligamers in an automated and high-throughput fashion. Both of these points are discussed later (see 4.4 and 5).

In order to make some progress on applying the technique to multiple genes at once, I used data presented by Fagnani et al. [2007]. This paper identified genes displaying tissue-specific splicing patterns, focusing on those with CNS-specific patterns. One section focused on “Coordination between alternative splicing events belonging to the same genes,” and seemed to be the exact type of genes we were interested in applying the SeqZip method too. Five hundred of the 3,044 genes investigated by their microarrays contained 2–5 alternative

exons. [Fagnani et al. \[2007\]](#) contained an additional data file listing all pair-wise combinations of alternative exons in the same gene (with that gene having significant expression in >20 different tissues), along with the standard and partial spearman correlations.

It is important to note that the genes above also contain alternative first exons, a prominent type of alternative splicing (Figure 1.7). Indeed, from microarrays studies, it has been estimated that approximately 16%–23% of all alternative splicing events involve alternative first and last exons [[Bingham et al., 2008](#)]. It is known that, through alternative use of first and last exons, cells can fine-tune a transcript's untranslated region (UTR) and control many aspects of mRNA regulation including nuclear export, localization, expression, and stability [[Hughes, 2006](#)].

Using the [Fagnani et al. \[2007\]](#) data, I filtered exon pairs to those with a distance >350 nt between exons in the final pre-mRNA. I also visualized their transcript architecture, and EST evidence using NCBI's AceView tool [[Thierry-Mieg and Thierry-Mieg, 2006](#)]. For example, the exons with strong correlation of expression in *Chl1* are in the beginning (second exon) and end (fourth from last exon, accession BC060216) with plenty of supporting evidence for these exons being expressed and skipped. After combing through [[Fagnani et al., 2007](#)] data, I assembled a list of 11 genes (Table 4.1).

TABLE 4.1: A list of 11 genes investigated in section 4.2. Coordination between exons first suggested by [Fagnani et al., 2007].

Gene name	nt mRNA between	possible isoforms	Exon 1	Exon 2
Chl1	4665	18	2	24
Mdm1	1846	4	EDA	IIICS
PTPRF-Y	1633	4	2	13
Cacna1c	1403	4	15	21/22
PTPRF-X	936	4	9/10	21
FN1	813	8	13/14	21/22
Apbb1	802	260	1/2b	2/3e
Agrn	736	8	33/34c	33/34a
Exoc7	513	4	7	13
Prom1	512	4	7	9
Lphn2	396	32	19	24/25a
Lphn2	396	32	19	24/25a

I hand-designed ligamers to observe potentially coordinated splicing decisions. These oligos were ordered from IDT in a 96-well plate format, pooled according to gene, and used to develop a multiplex approach to applying SeqZip, as well as investigate coordination between these exons, in these genes, using mouse total RNA from brains (see section 2.4 for methods).

After attempts to perform SeqZip on all 10 genes in one ligation failed, I reverted back to *per-gene* ligation reactions in order to trouble shoot and optimize the assay. Once I had obtained ligation products from per-gene ligation reactions for both the individual and combination ligamers pools, I pooled all the ligation products and amplified them. Amplified products were sent for paired-end 100 sequencing on the Illumina GEIIx platform.

After considerable delay and optimization from the Umass Sequencing Core

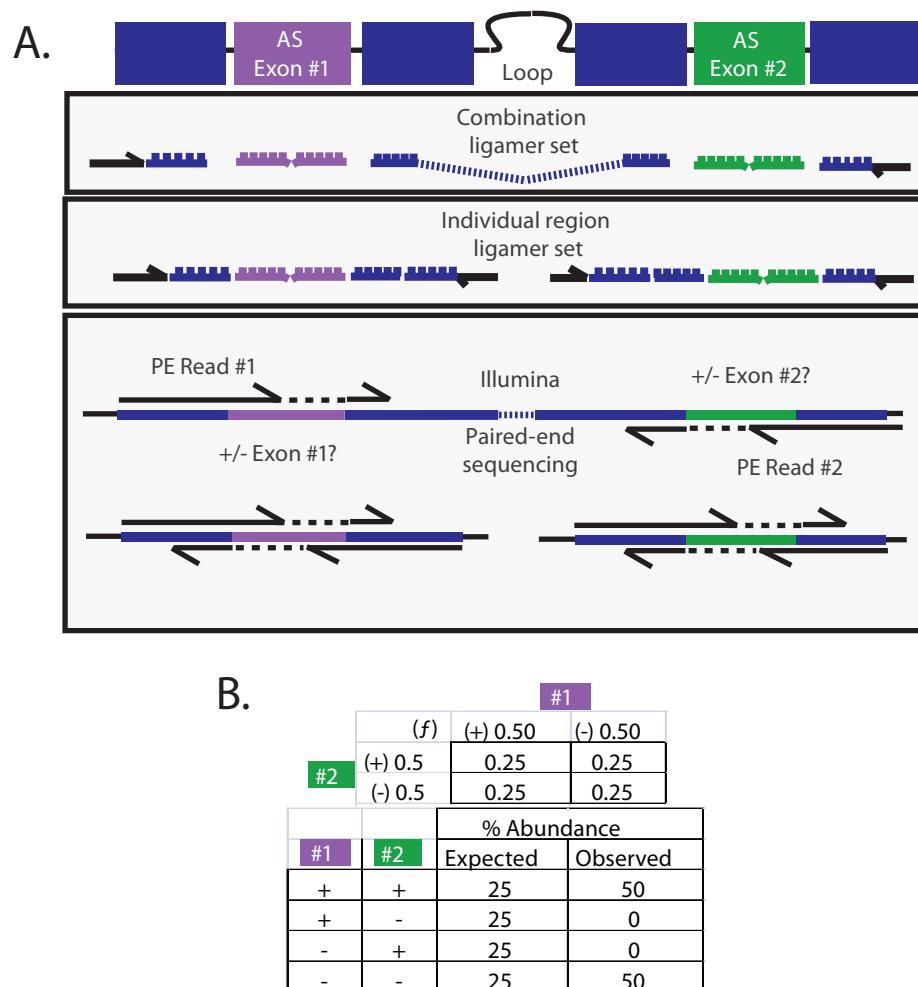


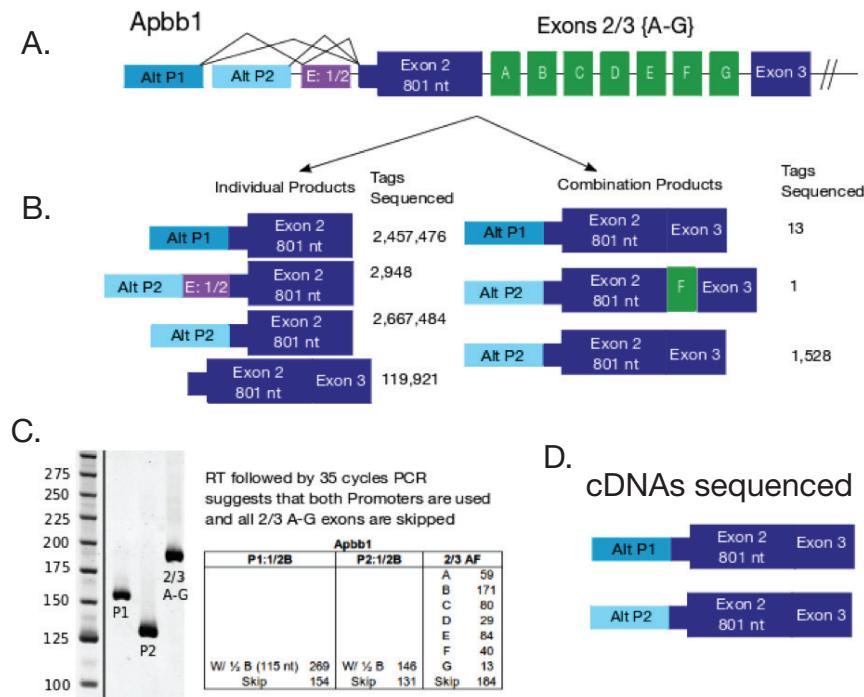
FIGURE 4.2: 10 Gene Set study schematic

A) Two pools of ligamers “Combination” and “Individual” were used to investigate splicing of cassette exons individually or while maintaining connectivity. Ligation products were sequenced on the Illumina platform using a paired-end approach. B) A hypothetical example of showing how individual splicing rates can confound true isoform identity when observing regions independently.

(due to low library sequence diversity) the analyzed data demonstrated little alternative splicing in the genes examined. Put another way—most of the transcripts observed via SeqZip were uniform in exon inclusion, and showed little variation for cassette exon inclusion (Figure 4.3). These results forced us to rethink applying SeqZip to multiple genes or complex alternative splicing (i.e. *Dscam1*). For most genes, there was too few reads aligning to combination products, arguing for more careful mixing of the more efficient individual products with lower-efficiency combination products prior to sequencing. For a discussion of an “ideal” multiplex study, see section 5.3.1.

### 4.3 RNA Integrity

An exciting use of SeqZip is rapid quantification of RNA integrity. Integrity defined as the fraction of molecules that are continuous and unbroken nucleic acid polymers, from the original site of transcript to 3' processed end. Quantification of integrity has many uses including: (1) quality control of RNA before downstream analysis such as RT or sequencing, and (2) implications of infectivity for viruses that package and RNA genome in the virion.

FIGURE 4.3: Measuring *Apbb1* via SeqZip in multiplex study

A) Region of *Apbb1* investigated. Two alternative promoters, a 5' cassette exon ("E:1/2") and a 3' group of cassette exons ("Exon 2/3 {A-G}"). B) Number of sequencing reads mapping to individual *Apbb1* ligation products (left) and combination (right). C) RT-PCR of total RNA taken from a mouse brain looking for cassette exon usage at each position shown in (A). Also shown in the size in nt of the expected bands. D) Schematic of cDNAs cloned and sequencing from mouse brain total RNA.

### 4.3.1 Demonstration of Concept

In order to demonstrate the feasibility of the SeqZip assay toward performing these type of analysis, I *in vitro* transcribed a 9,800 nt long RNA that I digested using ZnCl<sub>2</sub> at two different concentrations and times (Figure 4.4). The RNA was probed using three ligamers, two to the very edges of the RNA and one that looped out the intervening 8,000 nt. The amount of product observed should be directly tied to the abundance of the full length template.

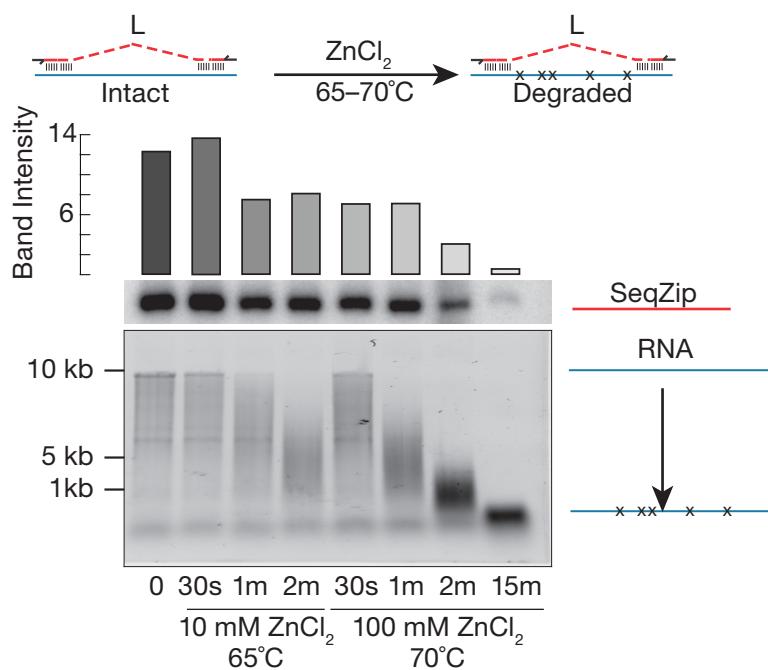


FIGURE 4.4: Ligation product tied to RNA integrity

Top) Schematic demonstrating the experimental design to test ability of SeqZip to report on transcript integrity. A middle ligamer (L), that hybridizes to the edges of a 8,000 nt section of RNA should only ligate to flanking ligamers when the template RNA is intact. Middle) Intensity of PCR products amplified using end-labeled primers such that the intensities of all bands can be quantitatively compared (i.e. semi-quantitative PCR). Bottom) A denaturing agarose gel stained with EtBr showing the intactness of the template RNA used in position-matched ligation reactions in the middle panel.

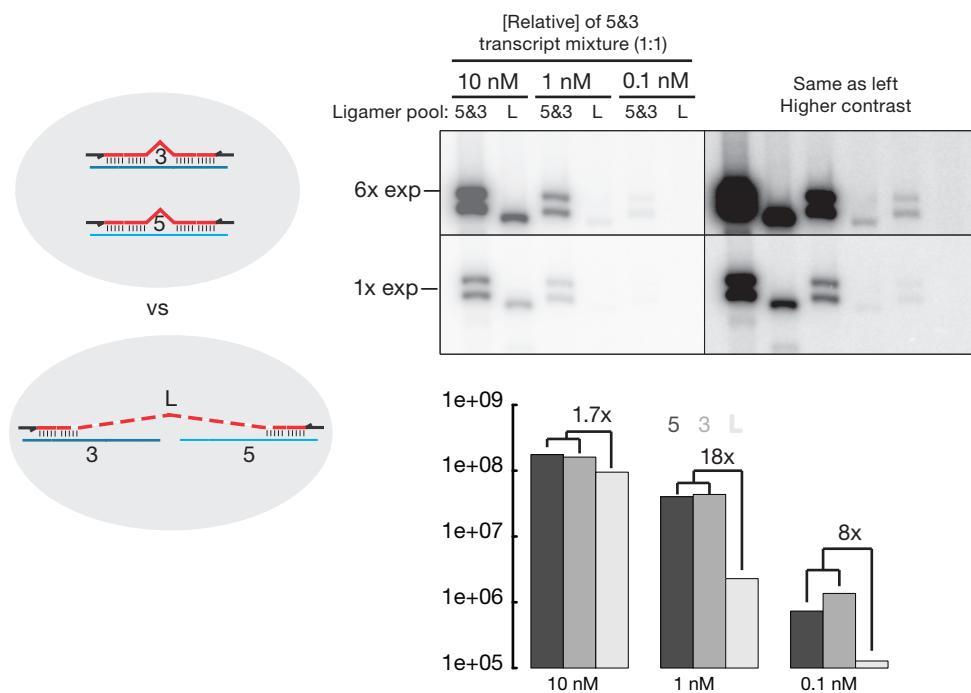
Figure 4.4 shows promising results toward the ability of SeqZip to report on RNA integrity. The apparent intensity of the bands shown in (middle) was tied to the amount of intact RNA seen in (bottom). However, the lane where the RNA was degraded for two minutes with 10 mM  $\text{ZnCl}_2$  compared to 30 seconds with 100 mM  $\text{ZnCl}_2$  were not in good agreement, with clearly less intact RNA in the two minute lane, but just as much ligation product. We hypothesized that this was due to inherent secondary structure in the template we used (a section of the HIV genome, discussed in section 4.3).

At what concentration of template do “long” ligamers generate ligation products from template fragments? Using pools of ligamers targeting fragments and the complete template (the same template used in Figure 4.4). SeqZip was performed using a 1:1 ratio of RNA fragments. Results (Figure 4.5) show that SeqZip accurately reports on the presence of fragments, and not full length transcripts at  $\leq 1$  nM template. This is in good agreement with results presented in Chapter 2.

These results are encouraging, but bear repeating in order to address the issues of potential secondary structure and repetitive regions inherent to the template RNA used. Put differently—they should be repeated with a template RNA of mRNA original, instead of a highly-structured and repetitive template such as the HIV genome.

### 4.3.2 HIV Genome Integrity

In late 2010–early 2011, a graduate student in the [Gottlinger](#) lab, Anna Kristina Serquiña observed that a cell line expressing ATPase-defective forms of the SF1 helicase UPF1 [[Bhattacharya et al., 2000](#)] did not infect reporter cell lines to the same as control. Previous Mass-spec results had reported MOV10 (a SF1 family helicase [[Gregersen et al., 2014](#)]) was packaged into extracellular viral particles. Anna hypothesized that the decrease in infectivity was due to a problem with RT

FIGURE 4.5: *Trans-transcript investigation*

Left) Schematic of experimental design: Three pools of ligamers were used. Two (labeled "3" and "5") hybridize to the 5' and 3' sections of a 9,800 nt template RNA. The last, labeled "L" connects these two regions via a long longer with target 5' and 3' regions of complementarity. (Right;Top) Combinations of the ligamer pools were used with different concentrations of template RNA in the SeqZip assay. Ligation products were amplified with end-labeled PCR primers and amplified using radioactive PCR. Shown are low (left column) and high (right column) versions of two different exposure times (1x on bottom and 6x on top). Right Bottom) Quantification of the bands shown in the gel above, grouped by input template RNA concentration. The fold difference in band intensity between the lowest signal "5" or "3" ligamer pool and the "L" pool is indicated. Y-Axis is the raw band intensity.

when the genetic material is injected into target cells. The results of this study were recently published [[Serquiña et al., 2013](#)].

Anna was interested in using the SeqZip methodology to quantify intact HIV virus in virus-producing cells and extracellular virions. The first step in applying SeqZip to HIV was to design ligamers.

### 4.3.3 HIV Ligamer Design

Research into the integrity of the HIV RNA genome using SeqZip began with designing a set of ligamers against two different clones. The first, targeting transcripts from the M19921 plasmid (so called “M” clone), and the section from the K03455 clone containing nearly identical sequence. We targeted a difference in sequence for one site of ligation (4.6)A). Three different pools of ligamers were created: a Five(5) ligamer pool, with three ligamers designed to test for the presence of sequence in the first 1,140 nt of the HIV genome, importantly the first site of ligation in the 5 region pool should contain a mismatch in the K clone sequence; a three(3) pool, testing the last 1,210 nt of the genome, and a Long (L) ligamer pool, also containing three ligamers, but the middle ligamer of which spans the 5 and 3 regions, looping out 8,633 nt of sequence in the middle of the HIV genome.

*In vitro* transcripts were created using both the K and M clones. These transcripts were added to a background of total MEF RNA, and SeqZip was performed. Ligation products were successfully amplified from all ligamer pools when using the M clone transcript and all three ligamer pools. Also the abundance of these ligation products, as measured by endpoint PCR, seemed to be spike-concentration dependent. Notably, Ligation products were not obtained from the K clone using either the 5 or L ligamer pools, likely due to the mismatch

between the transcript and the ligamers at the site of ligation. Also of note was the appearance of ligation products from purified endogenous virions of the M clone from all three ligamer pools, and the absence of products from virions purified from plasmids containing a defective protein, Gag, essential for viral packaging.

The results show (Figure 4.6) that SeqZip and these three pools of ligamers can be used to profile *in vitro* HIV transcripts and RNA from purified virions. Important features of the figure are: (1) Ligation products are *not* observed for ligation reactions using the K clone template RNA and the Five(5) pool of ligamers, verifying the specificity of the ligamers to the different base of the M clone; and (2) That the amount of product from reactions using the L pool of ligamers required more cycles (22 vs. 12) in order to be visualized, as would be expected given the physical constrain of hybridizing to two sequences separated by >8,000 nt.

Again, while these results were encouraging, access to purified material and a general push to publish Anna's UPF1 story lead the Gottlinger lab to substantiate the viral genome integrity claims effecting infectivity using a traditional northern blot [Serquiña et al., 2013]. However, these results warrant additional optimization and application.

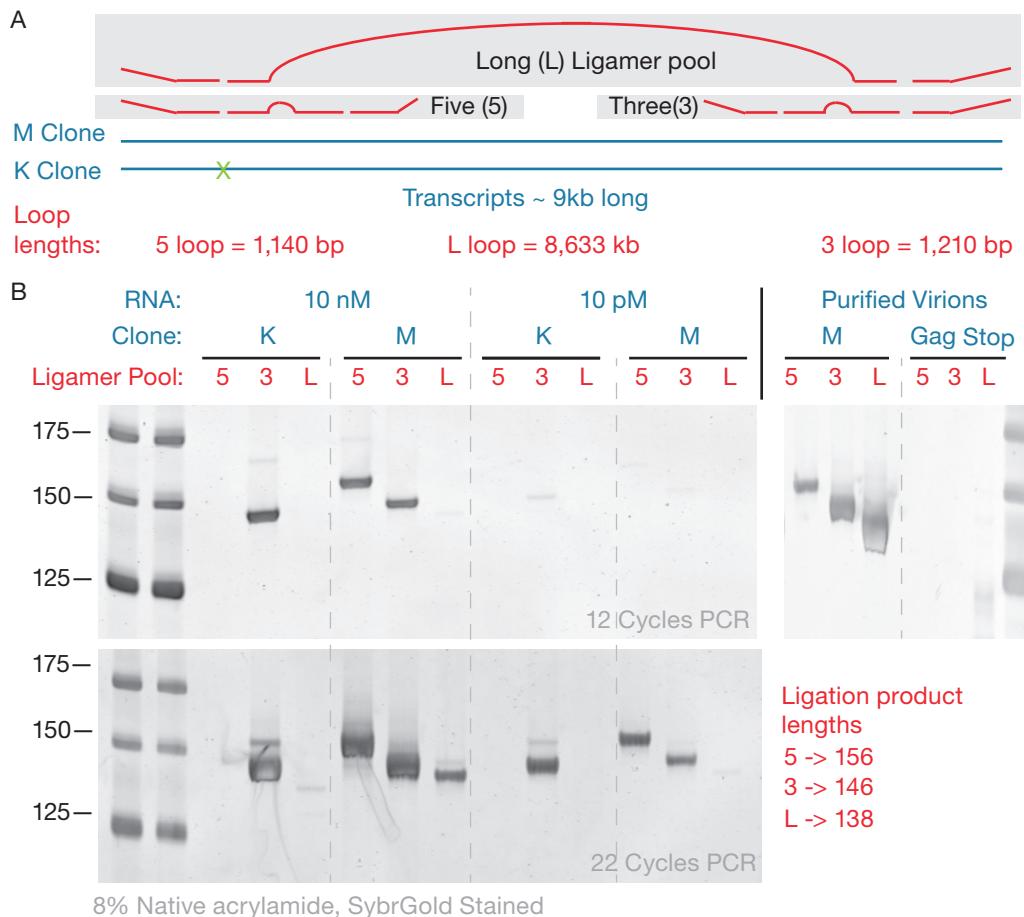


FIGURE 4.6: SeqZip can examine HIV transcript integrity

A) Schematic demonstrating the experimental design. Three different pools are used to probe for connectivity on the 5' (Five(5)) and 3' (Three(3)) ends. Additionally, a Long (L) ligamer is used to check for connectivity between the two ends. We used two different clones of the HIV genome, described in the text and denoted as "M" and "K". Important here is that the "K" contains difference base at a ligation site of the 5 ligamer pool. B) A series of end-point PCR gels showing amplified ligation products templated with *in vitro* transcribed RNA at 10 nM or 10 pM of either the K or M clones, or from purified virions of (M clone origin). Show are two different end points of PCR, 12 cycles (top) or 22 cycles (bottom). Also shown is a legend of expected ligation products lengths

## 4.4 piRNA Precursors

The first genome-wide studies of piRNAs in *Drosophila melanogaster* suggested their production from a long, single-stranded RNA, as discussed in section 1.5 [Brennecke et al., 2007, Gunawardane et al., 2007]. Yet, demonstration of precursor transcripts existing as continuous, long, RNA molecules had, as of 2010, yet to be demonstrated. If it could be shown through experimentation that precursors existed as long RNAs, it would provide valuable clues as to their biogenesis, included how such a long RNA is packaged and transported around the cell. With these goals in mind, the following section describes efforts to demonstrating the continuity of precursor transcripts using SeqZip.

### 4.4.1 Mammalian piRNA Precursor Loci

Chapter 3 discusses 214 genomic loci that account for >95% of all pachytene piRNAs. Many of these loci are intergenic. That is they reside many thousands of base pairs away from another protein-coding gene. Yet, many of these loci are traditional protein coding genes themselves, making investigation into their eventual biogenesis to mature piRNAs more complicated. Finally, some loci are generated from what appear to be bidirectional promoters. Figure 4.7 shows the location of each of these types of precursor loci on each of the 19 autosomal

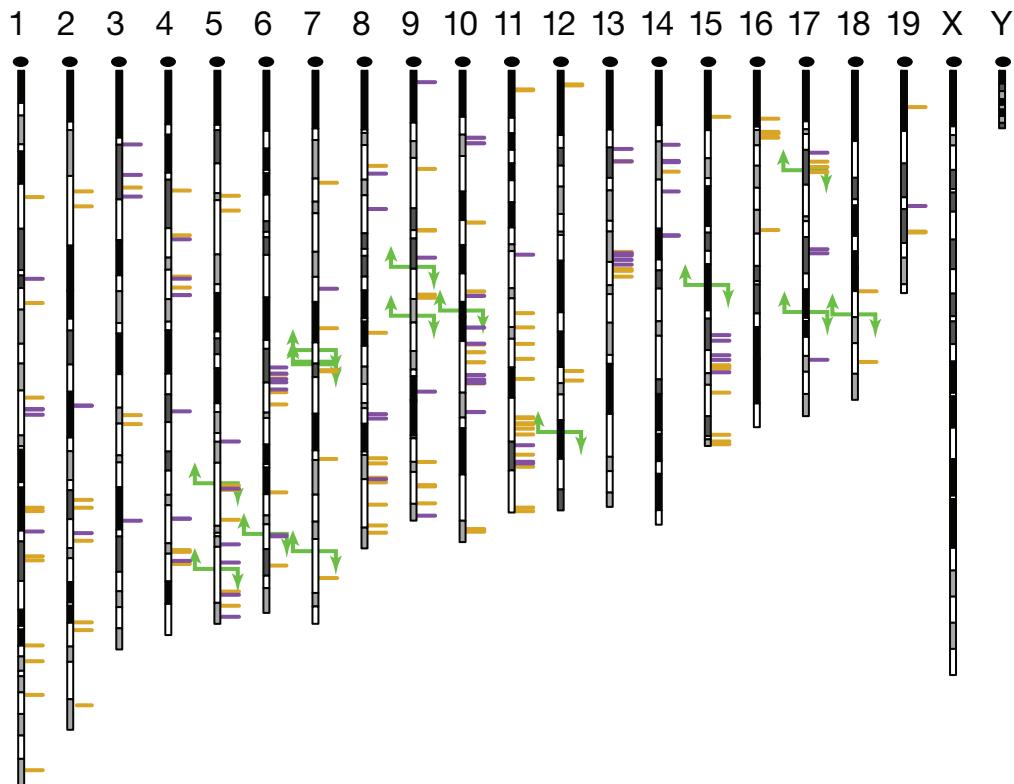


FIGURE 4.7: piRNA precursor locations in mice

Shown are the 19 autosomal and 2 allosomal mouse chromosomes. They are banded according to ideogram staining and oriented with the centromere (dark black circle) on the top. Yellow bars indicate the location of classified “pre-pachytene” loci, which are mostly coincident with previously annotated mRNAs. Purple bars indicate pachytene loci, and are usually far from any other annotated transcript. Finally green arrows, pointing in opposite directions, represent those pachytene loci that are divergently transcribed from a single promoter.

chromosomes of the mouse. There were no loci identified on the X and Y chromosomes, likely due to transcription silencing during gametogenesis.

The bidirectionally-transcribed sub-type of the pachytene loci are extremely interesting, and useful. A motif search of the small sequence between the annotated 5' TSSs of these transcripts allowed for identification of A-MYB as the transcription factor that drove loci transcription (see section 3.3.5). Also, even as

TABLE 4.2: Just 9 piRNA genes create &gt;50% of mammalian piRNAs

Cluster Name	Matched Cluster	Unique-mapping wt.14dpp piRNAs	Fraction of pachytene piRNAs	Cumulative pachytene piRNAs
17-qA3.3-26735.1	17-qA3.3-27363	3,021,022	17.2	17.2
17-qA3.3-27363.1	17-qA3.3-26735	1,742,695	9.9	27.2
9-qC-31469.1	9-qC-10667	1,006,333	5.7	32.9
9-qC-10667.1	9-qC-31469	272,385	1.6	34.5
7-qD2-24830.1	7-qD2-11976	652,564	3.7	38.2
7-qD2-11976.1	7-qD2-24830	280,312	1.6	39.8
6-qF3-28913.1	6-qF3-8009	564,930	3.2	43.0
6-qF3-8009.1	6-qF3-28913	180,210	1.0	44.0
2-qE1-35981.1	NA	1121042	6.4	50.4

the 214 loci account for >95% of the adult pachytene piRNAs, one could consider just 5 of these promoters, including 4 that drive bidirectional transcription, and account for >50% of the pachytene piRNAs. Table 4.2 describes these loci and transcripts, along with the cumulative number of piRNAs accounted.

Currently, the Zamore lab is designing sequence-specific DNA modifications (via TALENs and CRISPRs) to remove these promoters from the mouse genome. Once strains are created with these promoters removed, it is hoped that the phenotypes displayed will provide clues to the function of pachytene piRNAs in mice.

#### 4.4.2 Pachytene Precursors are Unique Pol II Transcripts

Though mammalian piRNA precursor transcription is driven by Pol II, transcripts themselves have a unique architecture. They tend to be very long (some are >100

kb). While not especially long compared to some annotated mRNAs, what is unique is that many are not interrupted by introns for tens of thousands of nucleotides. Given the coupling between splicing and transcription (discussed in section 1.3.4) it is strange to see so much transcribed RNA, surely containing cryptic splice sites, be largely skipped by the spliceosome. Perhaps more confusing is that pre-pachytene precursors *do have* traditional mRNA-like design and introns typical of Pol II transcripts. Yet, both types of transcripts are processed into piRNAs. How does the cell partition these transcripts (see section 5.2.1)? Also refer to Figure 4.8 for comparisons between “genic” (i.e. prepachytene) and “intergenic” (i.e. pachytene) precursor transcripts (see Appendix A.2) and two other classes of Pol II transcripts, mRNAs and non-coding RNAs (ncRNA).

An initial goal of characterizing piRNA precursor transcripts was to demonstrate their existence as continuous RNA polymers in total RNA obtained from mouse testes. Given the tremendous length of these transcripts (Figure 4.8), the go-to experimental approach one would use to demonstrate continuity would be gene-specific RT-PCR. A DNA oligo was designed to hybridize near the 3' end of the loci 17-qA3.3-27363.1 (*aka* “M1”), the longest and most studied of the mice piRNA-generating loci. RT would be primed using this oligo, generating cDNAs that would extend (1) until the 5' end of the transcript was reached or (2) RT fell off the template. Following cDNA generation, pairs of DNA primers hybridizing to 5' sections of the proposed transcript were used in PCR reactions. Boundaries

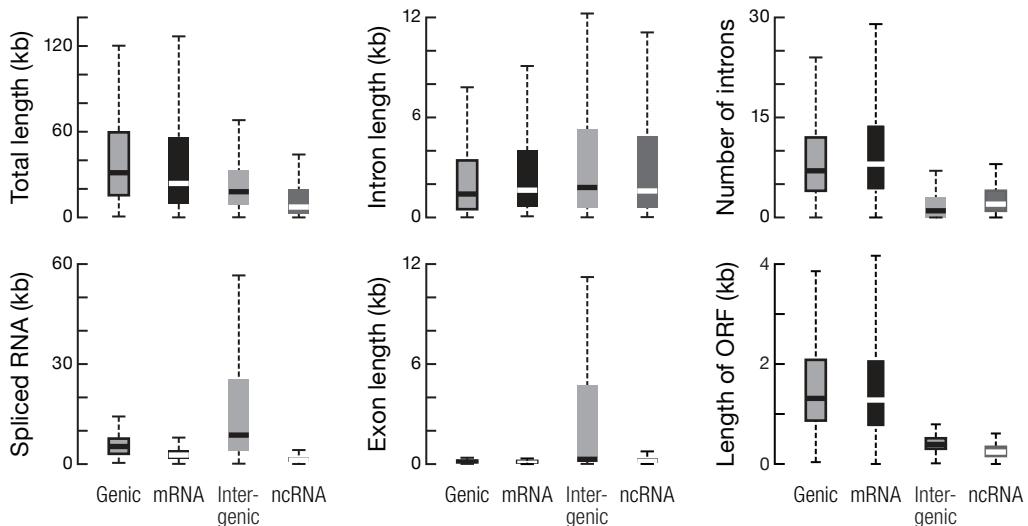


FIGURE 4.8: Some general features of piRNA transcripts

Top, left) Comparison between genic piRNA precursor transcripts (i.e. pre-pachytene), mRNAs, Intergenic precursor transcripts (i.e. pachytene), and non-coding RNAs (ncRNA) for overall length in nucleotides. Top, middle) Intron length. Top, right) Number of introns. Bottom) Same as above, but considering fully processed (i.e. “spliced”) versions of the transcripts.

of the proposed transcript were determined using a combination of small RNA sequencing and poly(A)+-unstranded RNA-Seq. A schematic of the approach is shown in Figure 4.9A.

One expected issue when performing RT on such a long transcript expressed at low levels is the *lack* of dependence on the RT primer. This is illustrated in Figure 4.9B, where in the “+RT; -Primer” lanes there is still a clear signal for all 7 primer pairs. The signal is virtually gone when leaving out RT, suggesting that an RNA template is the source of the signal. It is believed that extremely short

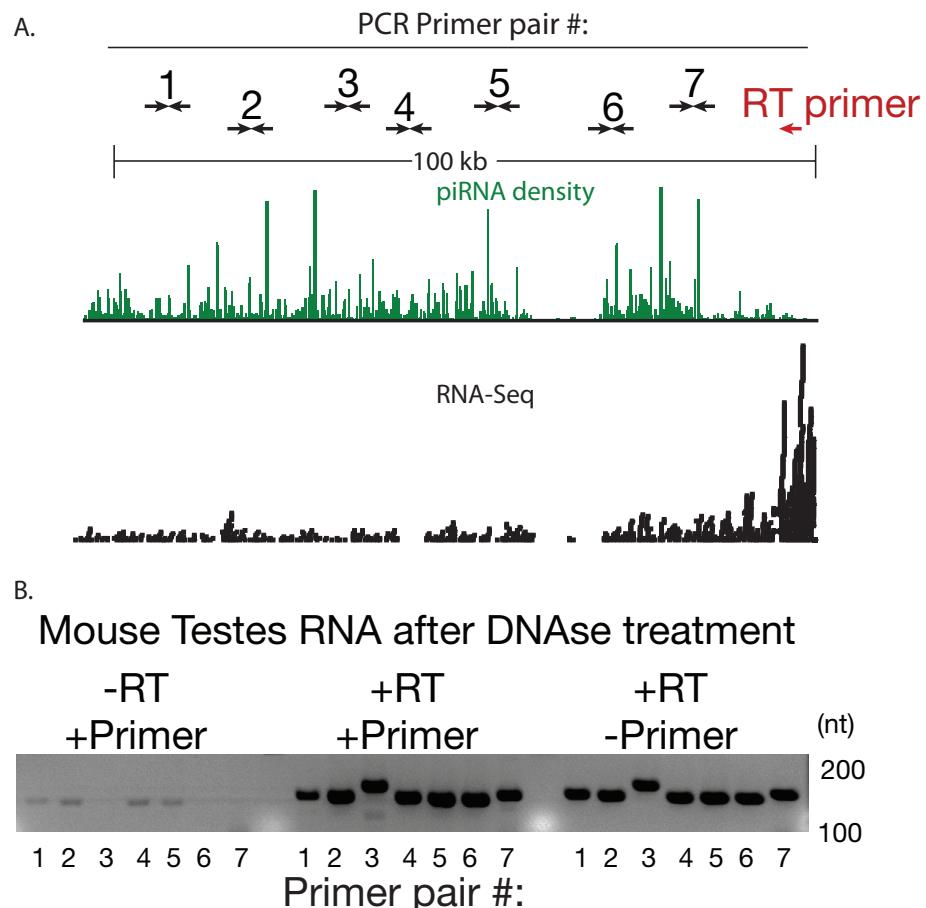


FIGURE 4.9: RT Doesn't Work for piRNA precursors

A) Experimental design of RT-PCR demonstration of piRNA precursor 17-qA3.3-27363.1 continuity. Shown in black, numbered 1-7 are primer pairs amplified by PCR, after cDNA generation using the red “RT primer”. Also shown is the length of the locus, the small RNA signal in green, and the RNA-Seq signal in black. The locus is shown 5' (left) to 3' (right). B) Results from RT-PCR using the 7 primer pairs shown in A, and various combinations of  $\pm$  RT-primer and RT-PCR enzyme.

DNA species (as short as 4 nt) are priming cDNA at some very low rate in the “-Primer” reactions. This complication removes RT-PCR as a suitable experimental approach to demonstrate the continuity of piRNA precursor transcripts.

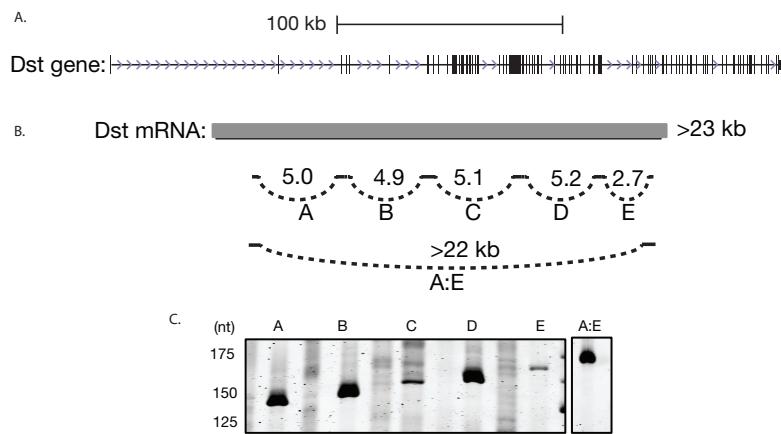


FIGURE 4.10: SeqZip on a very long mRNA (*Dst1*)

A) A model of the *Dst1* gene. Arrows show direction of transcription (5' to 3'). Exons are tall lines, intronic regions join the exons. A scale bar is shown for size in kb. B) A schematic showing how SeqZip was used to investigate 5 different regions of *Dst1* transcripts (called A-E). Indicated are the nt of each loop in kb. C) End-point PCR of SeqZip ligation products from each of the ligamer sets shown in (B).

#### 4.4.3 Connectivity of Distance Intramolecular Sequences

Before applying SeqZip to these extremely difficult transcripts, we designed a set of oligos to demonstrate the continuity of a traditional mRNA. The mRNA picked, *Dst1*, was (1) of sufficient length (>23 kb as a fully processed mRNA) and (2) expressed in mouse testes. Ligamers were designed to loop out ~5kb sections spaced evenly along the length of the transcript. A ligamer was designed to loop out 22 kb of the message, from 5' to 3' end. An illustration of the experimental design is shown in Figure 4.10.

As seen in Figure 4.10C, ligation products were obtained from every ligamer combination, including the critical set ("AE") where >22 kb of the message was looped out. In the control experiment, no ligation products were observed. This

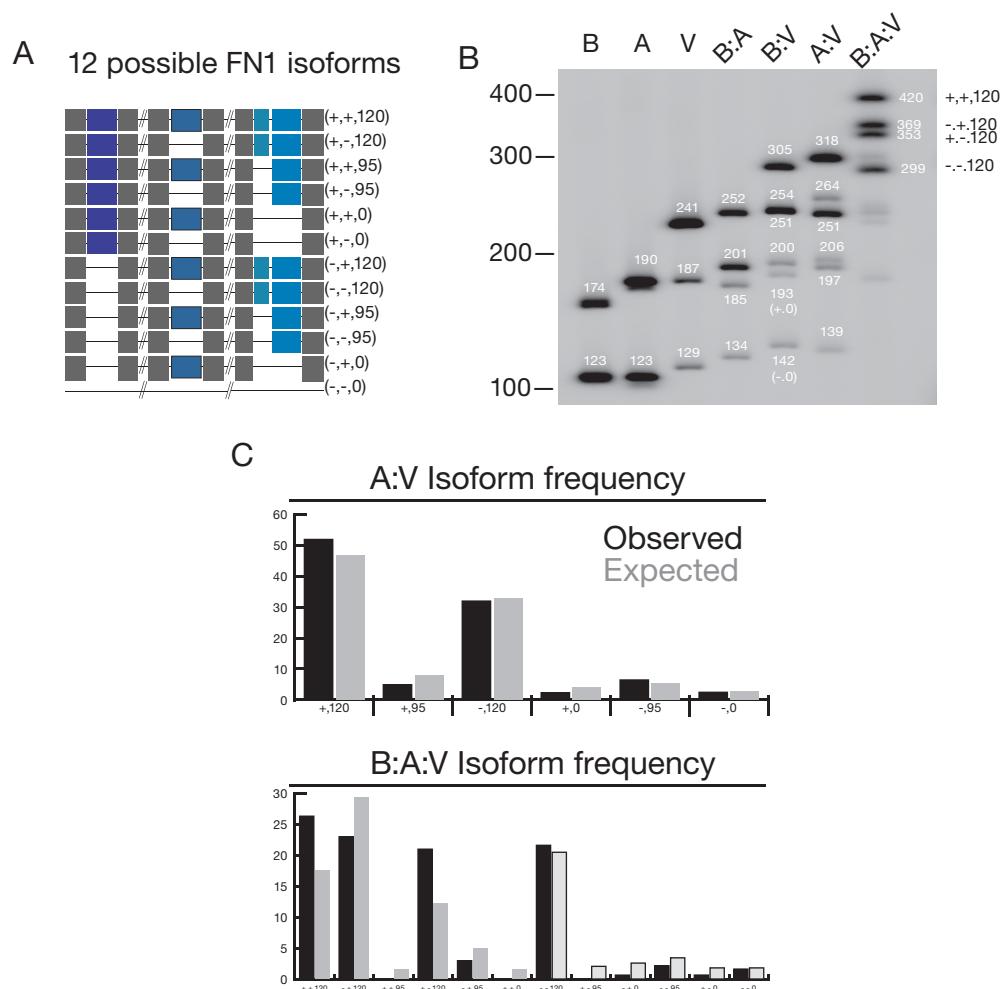
experiment represents the longest successful “looping” in a SeqZip experiment targeting an endogenously expressed RNA.

An additional demonstration of SeqZip’s application to profile long RNAs at multiple sites are experiments involving *Fn1*. As described previously (see section 2.2.1) *Fn1* contains three main sites of alternative splicing: EDB, EDA, and V-region. Using the proper mix of ligamers, SeqZip examines and maintains connectivity at all three of these sites, correctly reporting on their usage in the RNA template (Figure 4.11).

With these results in hand, we felt confident that SeqZip could be used to analyze piRNA precursor transcripts.

#### 4.4.4 Precursor Transcript Continuity

Applying the same logic as that used to examine multiple distant sequences in *Dst1* and *Fn1*, ligamers were designed against a highly-expressed piRNA-producing loci, 7-qD2-11976 (aka - “M11”). Five unique sites were picked, again named A-E. Sites were picked to (1) avoid repetitive regions; (2) overlap with expression evidence from small RNA and RNA-Seq data; (3) contain loops of ~5 kb in length; and 4) be unique in the genome. A schematic of the approach is shown in Figure 4.12A.

FIGURE 4.11: Three sites of alternative splicing in *Fn1* by SeqZip

A) Graphical representation of the 12 possible isoforms from mouse *Fn1*. B) Radioactive PCR gel showing amplified ligation products templated with specific loops of ligamers. Pools are specified by top row: B = EDB exon only; A = EDA exon only; V = V-Region only; B:A = EDB and EDA exon combinations; B:V = EDB and V-Region combinations; A:V = EDA and V-Region combinations; B:A:V = All two combinations, as shown in panel (A). Marked in nt is shown on left, expected size of specific ligation ligation products indicated in white letters on the gel, or black on right side. Where identity is not obvious from size, identity of isoform provided. C) Quantification of bands from panel (B). Black bars = observed signal of indicated band, Grey = product of individual frequencies. Top only describes A:V combinations, lower shows all combinations.

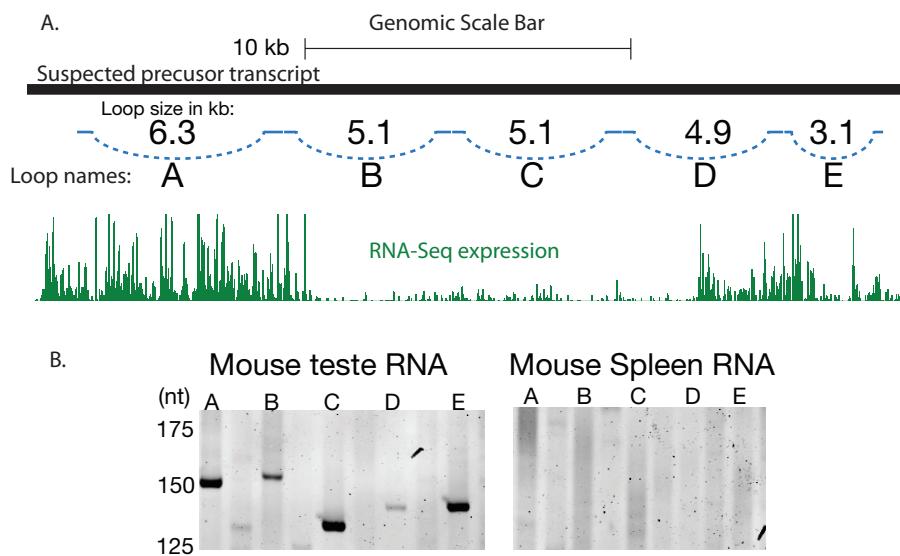


FIGURE 4.12: Testes-specific ligation product signal from piRNA precursor

A) Schematic of the piRNA-producing loci (“gene”) 7-qD2-11976 (aka “M11”) shown with scale bar, and relative looping ligamer locations. Loops are labeled A-E, and the length of the loop in kb is shown. Also shown in green is small RNA expression along this locus. B Left) Ligation products obtained from each set shown in (A) using mouse testes RNA, or B Right) mouse spleen RNA.

Using total RNA obtained from adult mouse testes, analyzed by SeqZip and the ligamers shown in Figure 4.12A, signal from ligation products could routinely be observed from loops of ~5 kb (Figure 4.12B-right and Figure 4.13B). Also the signal is dependent on source RNA (Figure 4.12B-left) and RNA from mouse spleen did not produce ligation products. The M1 and M11 clusters are both long and have reasonably high expression compared to the other precursors. Yet, no ligation products were ever obtained for either cluster when loops >~5 kb were used (data not shown). What was the cause of this negative signal?

As first alluded to in Chapter 2 and discussed in section 4.2, ligation efficiency should decrease with loop length and additional required ligations. All of the

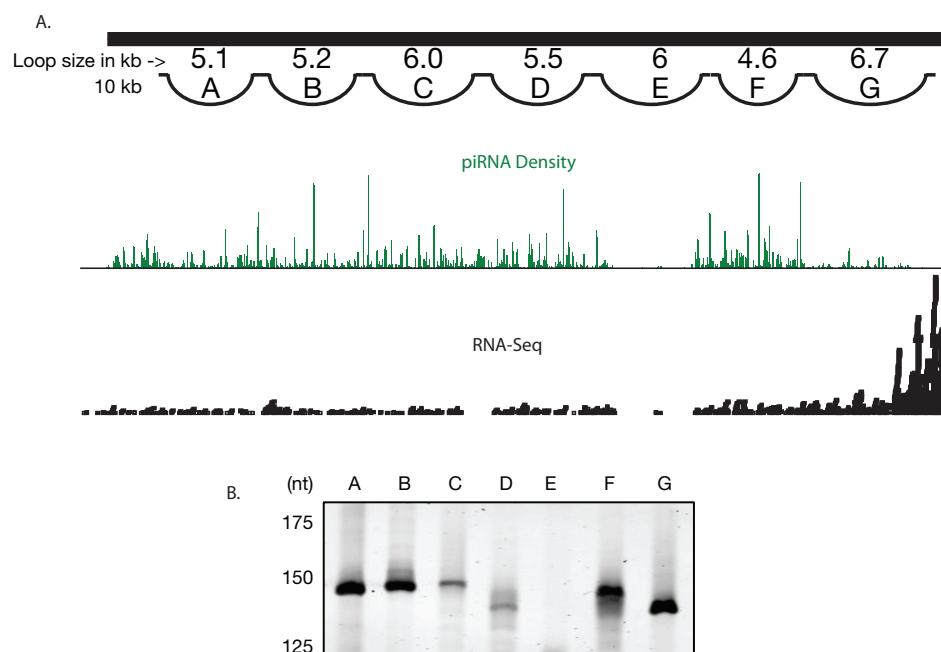


FIGURE 4.13: SeqZip signal from piRNA-producing loci 17-qA3.3-27363.1

A) Schematic of the piRNA-producing loci 17-qA3.3-27363.1 (aka “M1”) shown with scale bar, and relative looping ligamer locations. Loops are labeled A–G and the length of the loop in kb. Green is small RNA expression along this locus and RNA-Seq in black.  
 B) Ligation products obtained from each set shown in (A) using mouse testes RNA.

ligation products used to profile precursors only required two ligation events. Numerous other genes had been investigated with SeqZip that contained >2 sites of ligation (sections 2.3 and 4.4.3). This suggested that the length of the loops was the limiting factor in obtaining ligation products templated off piRNA precursors.

We investigated this potential explanation by designing a series of ligamer sets with increasing 1 kb increment loop lengths from 5–10 kb. Figure 4.14 shows results typical of this series of experiments. The amount of ligation product when

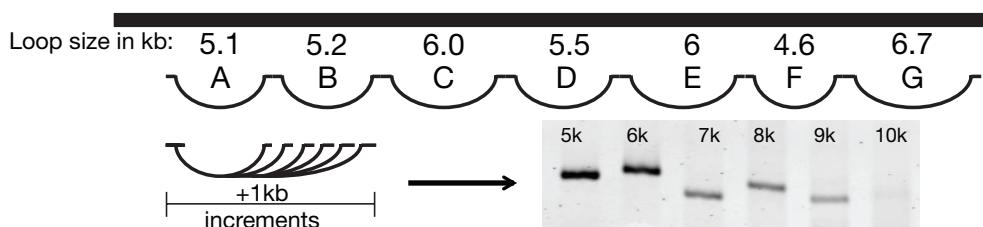


FIGURE 4.14: SeqZip signal from piRNA precursor transcripts decreases with loop length

A series of ligamers were design against the 5' portion of cluster 17-qA3.3-27363.1 ("M1"). Sets forcing increasing lengths were used, and ligation products were analyzed by end-point PCR.

using ligamers of increasing loop size decreases with the length of the loop. The signal, after 35 cycles of end-point PCR, is barely visible when the loop is 9 kb, and extremely faint when 10 kb. Ten kilo-bases represents just a fraction of the length of most pachytene piRNA precursor transcripts.

Even after numerous attempts, ligation products could not be obtained for loop sizes >10 kb, no matter what the target transcript. At this point in the study, we decided to abandon the demonstration of piRNA precursor transcripts as continuous transcripts via SeqZip, and instead turned our attention to splicing within the transcripts (discussed in the next section, 4.5) which eventually lead to the study presented in Chapter 3.

What could be the cause of our inability to create ligation products? The method worked so well, without any optimization, for mRNAs of similar length and expression (e.g. *Dst1*). Our current hypothesis is that at steady-state levels, the amount of full-length piRNA precursors that exist—in continuous polymers of

length >10kb—is extremely low. Low to the point of being below the SeqZip limit of detection. Indeed, many nucleases appear to act on piRNA precursors along their journey from Pol II transcript to mature piRNA (see section 1.5.2). The piRNA machinery is perhaps too fast and efficient for us to capture these extremely long RNAs. Future experiments that somehow perturb the pathway, such as *Pld6* (aka *MmZuc*, *MitoPLD* and *Zucchini* in flies) could accumulate precursors before cleavage occurs. This is discussed in more detail in section 5.2.

## 4.5 Precursor Splicing

Once it was determined that the existence of piRNA precursor transcripts as continuous piRNA precursors could not be demonstrated using SeqZip, careful attention was paid to RNA-Seq data used to determine the edges of precursor loci transcription. The RNA-Seq data, once aligned with a splicing-sensitive algorithm (i.e. “Tophat” [[Trapnell et al., 2009](#)]), showed that piRNA precursors were spliced. Multiple reads and species supported intronic segments, each containing little to no RNA-Seq and small RNA reads. A good example of the high-level type of data observation that was being performed until this point is shown in Figure 4.15. In this figure, small RNA data is shown in green along with RNA-Seq data in black. For this particular cluster, the RNA-Seq data and small

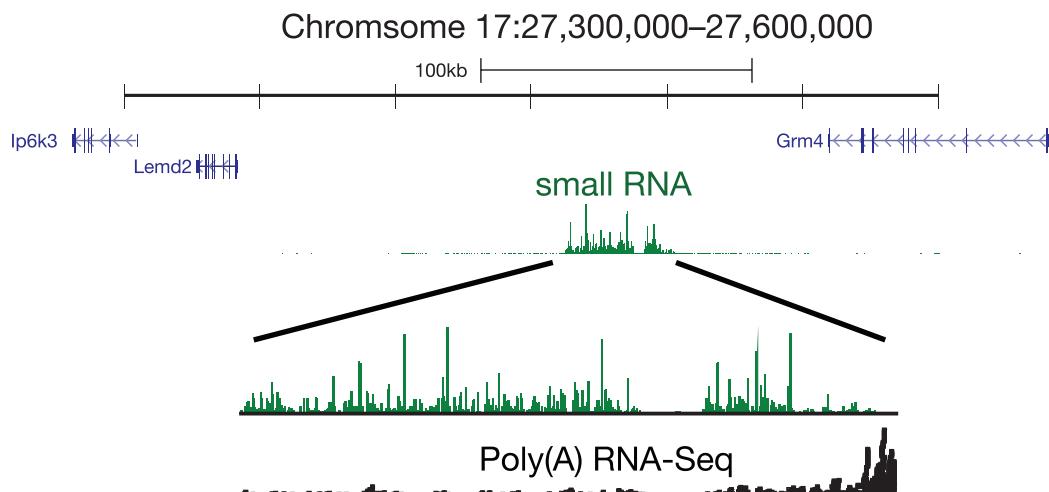


FIGURE 4.15: Example small RNA and RNA-Seq data aligned to a piRNA-generating loci (17-qA3.3-26735)

Show in the context of the genome and surrounding genes (blue) is a piRNA-generating loci, with signal in green. Bottom) zoomed view of the small RNA signal (green) along with poly(A)+-unstranded RNA-Seq (black).

RNA data appear continuous with the length of gene, as typical for many loci in *Drosophila melanogaster*. It was necessary to increase the resolution used to study the piRNA-generating loci in mice in order to accurately define transcripts. One of the most illustrative piRNA-generating loci is that containing the genes 17-qA3.3-27363.1 and 17-qA3.3-26735 (Figure 4.16). These two genes are expressed in pre-pachytene testes and increase expression once mice hit 14.5 dpp. These two genes alone account for 27% of all the piRNAs sequenced at 14.5 dpp (see Chapter 3 and Table 4.2). An extremely informative feature, detected early from initial poly(A)+-unstranded RNA-Seq libraries, was the absence of signal near the apparent 3' end of the loci. There were many reads that could be aligned across this gap, as if it was a traditional mRNA intron. There were no

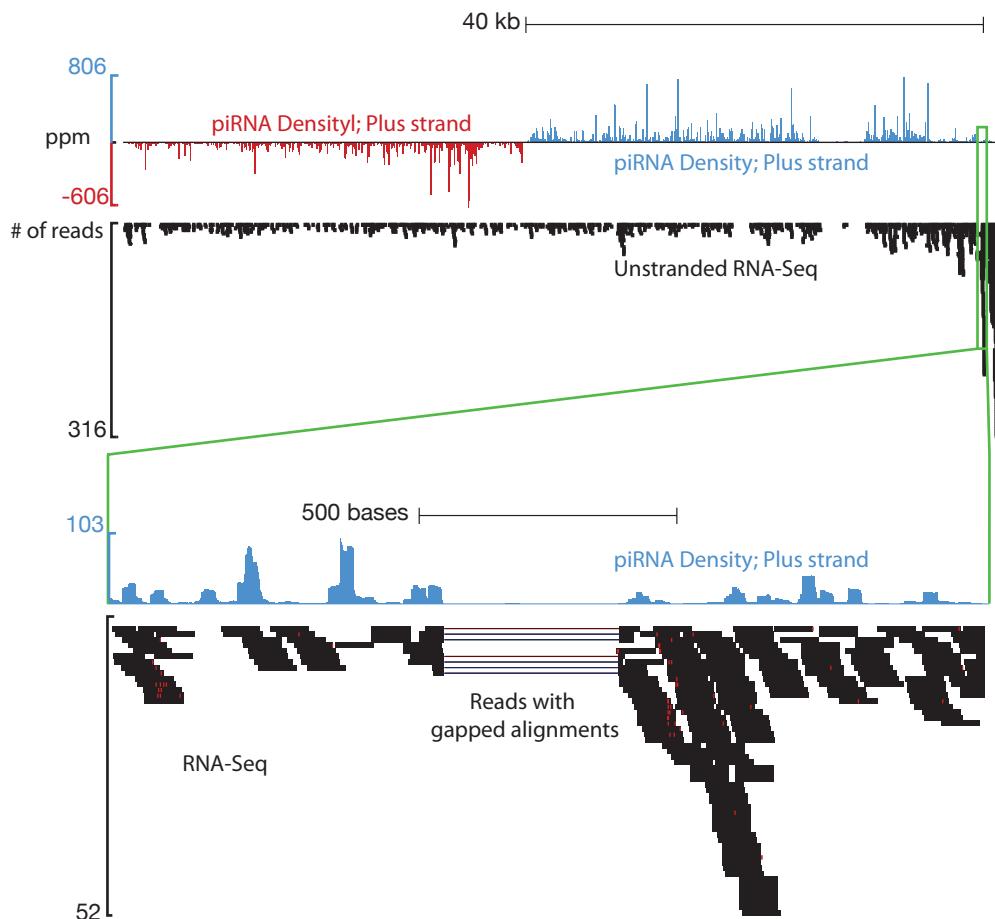


FIGURE 4.16: Introns in mammalian piRNA precursors

Top) Divergently transcribed piRNA-producing genes *17-qA3.3-27363.1* and *17-qA3.3-26735*. These genes are transcribed from a common promoter. Plus strand small RNAs are shown in blue, minus stranded small RNAs in red. poly(A)+-unstranded) RNA-Seq is shown in black. Bottom) Zoomed portion of the message near the 3' end of *17-qA3.3-26735*. Plus-stranded small RNA (blue) and RNA-Seq reads in black. Multiple RNA reads and species aligned across a intron. This region was also largely free of small RNA signal.

repeat element that would have depleted this region of the message for reads, as with other sections of the locus. The most obvious explanation was that the precursor contained an intron, which was spliced out prior to poly(A) tailing..

The results shown in Figure 4.16 were very exciting initially, and provided important clues to the biogenesis of piRNAs. The presence of an intron indicates Pol II origin. The lack of small RNA within the intron supported mature piRNA creation after precursor splicing. A major reason why this feature had not already been noticed is that small RNA data is not long enough to accurately and confidently align across splice junctions. Therefore, intron detection had to wait for application of longer RNA-Seq reads and splicing-sensitive alignment software. Once these introns were known, supporting their use with small RNA data become possible.

Using genomic coordinates supplied by the splicing-sensitive alignment algorithm ([Trapnell et al., 2009]), an alignment index of transcript sequences *flanking* was created. Then, using a more traditional (in terms of small RNA alignment) aligner, Bowtie [Langmead et al., 2009], those piRNAs that did *not* map to the genome could be aligned to index containing piRNA precursor splice junctions. This experiment is shown graphically in Figure 4.17.

Chapter 3 discusses the ultimate refinement of the observations described above, including the generality of splicing within precursor transcripts. In fact, there are a total of 383 introns within the “intergenic” sub-classified 214 piRNA-generating loci from [Li et al., 2013b]. These introns display a A-MYB-dependent small RNA signal across their exon-exon junctions (Figure 4.18). The more

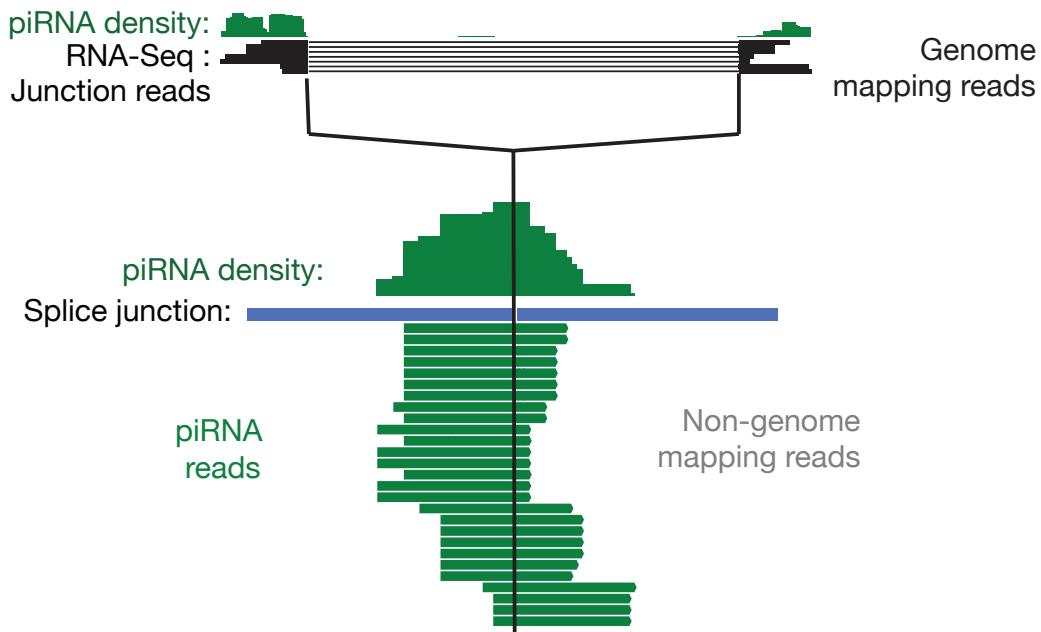


FIGURE 4.17: piRNAs map to precursor transcript splice junctions

Top) piRNA density (green) and RNA-Seq density at the 3' most intron within 17-qA3.3-26735. Bottom) A splice junction sequence (blue) created by joining the sequences just outside the intron shown in (Top) is sufficient to align non-genome mapping piRNAs.

traditionally looking piRNA-producing loci of the “genic” subclass, contain far more introns (2,113). The signal for these transcripts does not display the same A-MYB-dependent small RNA signal. These subclasses are provided in Appendix A.2.

While it was not possible to demonstrate continuity of piRNA-producing precursors using the SeqZip method, development of advanced HTS methods and computational approaches provides clear evidence that they are (see Chapter 3). Proposed future experiments into mammalian piRNA precursors are discussed in section 5.2.

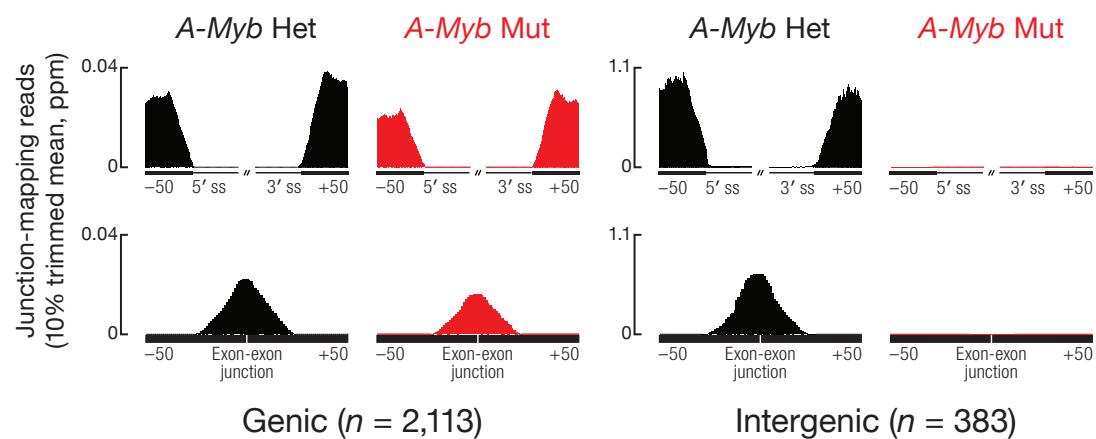


FIGURE 4.18: *A-Myb* Mutants produce no splice-junction mapping piRNAs for genic piRNA-producing loci

Trimmed mean ppm of junction-mapping piRNAs within two classes ("genic & Intergenic") loci. Shown in red is signal from *A-Myb* mutant mice, black *A-Myb* heterozygous mice. All data from stranded RNA-Seq (strand accounted for during alignment and signal aggregation).

# Chapter 5

## Discussion

### 5.1 Concerning the Transcriptome

Deep sequencing of transcriptomes has revolutionized biology. Previously, transcript identification and characterization involved significant labor, cost, and materials. In the mid-90's, microarray technology [[Schena et al., 1995](#)] provided a tantalizing glimpse into the gene expression profile of cells and tissues. Even though the data from microarrays are limited to known sequences, the red and green landscapes hinted at incredible complexity. Full realization of this complexity would have to wait for technology to catch up.

RNA-seq was made possible by incremental improvements in numerous supportive technologies included: (1) digital optics; (2) microscopy; (3) slide chemistry; (4) colony PCR; and (4) nucleic-acid alignment. A HiSeq 2500 relies on all of

these technologies (and others) to produce the >100,000,000 sequences per lane that allow scientists to peer into the transcriptional output of a genome.

Biologists can now think beyond mRNAs and small RNAs. The former captured our interest for 30+ years [[Furuichi, 1975](#), [Wei et al., 1975](#)], while the latter has been on a run-away train since 1998 [[Fire et al., 1998](#)]. Now included on the list of captivating RNAs are long non-coding RNAs (lncRNAs). All classes of RNA are now routinely measured by HTS. However, many biologists find themselves overwhelmed by methods and approaches used to tackle these biological “big data.” Current biology training programs do not provide most with required skills in statistics, programming, and experimental design necessary to work with genome-wide data (see section [5.4.3](#)). The richness of these data often results in unasked—and unanswered—testable hypotheses, answers to which are just sitting in public data repositories [[Plocik and Graveley, 2013](#)].

This discussion will focus on *long* RNA classes that contain traditional mRNA features—a 5' m7G Cap, ligated exons, and a poly(A)+ tail. Many of these long mRNAs are extremely dynamic in terms of co- and post-transcriptional processing. So much so that until RNA-Seq comprehensive investigation of their complexity was impossible.

### 5.1.1 Extensive transcription

There are 2,598,960 different Poker hands possible from a 52-card deck. There are 1,098,240 different single-pair combinations, with a probability of obtaining one being almost 50%. Compare this to a “Royal Flush”, for which there are only 4 options, and a probability of 649,739:1 or  $1.54 \times 10^{-6}$ ! It is these numbers that makes it possible to play Poker for hours on end.

Evolution encourages biology to use similar combinatorics to arrange exons into unique and rare combinations. This is especially true for complex eukaryotic organisms, where virtually all genes are alternatively spliced (Figure 1.4). Accurate determination and assembly of each card (exon) that comprises a hand (transcript) is a major “known unknown” [Rumsfeld, 2011] of research into long RNAs.

The ENCODE papers of late 2012 suggest that 95% of the genome is functional [Dunham et al., 2012], a heavily debated finding [Bhattacharjee, 2014, Graur et al., 2013]. Djebali et al. [2012] focused on transcription in the ENCODE cell lines (discussed in section 1.3.5) and concluded that 75% of the genome is transcribed into RNA. Additionally, “GENCODEv7” includes 9,640 manually curated lncRNA loci. These lncRNA are some of the most novel and functionally interesting class of long RNAs [Derrien et al., 2012, Pauli et al., 2011]. Accurate

quantification and interpretation of this extensive transcription requires quality annotation.

### 5.1.2 A Need for Transcript Assembly

The field of transcriptome assembly is in its infancy (see section 1.5.3). Current transcript assembly algorithms only provide predictions and probabilities for the existence of real molecules. Until RNA is directly and completely sequenced from single cells or molecular compartments, researchers will always be forced to make compromises in annotation and quantification [Ozsolak and Milos, 2010, Steijger et al., 2013]. Once technology advances to the point where a transcriptome is as accurately and quickly determined as a genome, exciting research into the subtle and nuanced complexity of transcriptome regulation will be revealed (e.g. what are the post-transcriptional differences between twins?).

What is required to improve our ability to quickly and accurately assemble transcriptomes? Simulations indicate that improvements will not come entirely from longer read lengths [Chang et al., 2014b]. These simulations also demonstrate that the accuracy of current *de novo* (see section 1.5.3) assemblers decreases sharply with increased alternative splicing within the transcriptome. Systematic assessment of RNA-Seq transcript reconstruction methods have concluded what is likely to be the most revolutionary step toward accurate transcriptome

assembly—single pass sequencing of single transcripts [[Engström et al., 2013](#), [Steijger et al., 2013](#)]. Results presented by [[Sharon et al., 2013](#)], demonstrating inherent constraints imposed by requiring RT to convert long RNAs to long cDNAs, mean that this future single-molecule sequencing will have to be of the RNA directly. This will be especially important and informative to measure RNA modifications such as N6-methyl-adenosine [[Pan, 2013](#)].

### 5.1.3 Tissue and Cell Specificity

As discussed in section [1.3.1](#), mechanisms of alternative splicing are frequently tissue-, time-, and cell- specific. Landmark studies examining alternative splicing in different organ systems, from evolutionarily-distant organisms, found that alternative splicing is more comparable between organs of different animals than between different organs from the *same* animal [[Barbosa-Morais et al., 2012](#), [Merkin et al., 2012](#)]. [Brown et al. \[2014\]](#) analyzed the *Drosophila melanogaster* transcriptome, and observed that alternative splicing could be better described as “tissue-specific splicing”. Further, tissue-specific lncRNA expression has been recently reported [[Washietl et al., 2014](#)], adding to the importance of sample resolution when performing transcriptome analysis.

The concept of “tissue-specific splicing” brings up a subtle but important consideration concerning alternative splicing research. The concept of alternative

splicing conjures an image of dynamic post-transcription RNA processing, allowing cells to quickly respond to changes in environment, programming, or stimuli. While it is true that the transcriptome of some cell types, such as dendrites and macrophages are very dynamic, the studies just mentioned suggest that most cells are not. In fact, the main reason many of these events are even considered *alternative* is because we are comparing transcriptomes of different tissues. Is that a fair comparison?

By the current definition these events are indeed *alternative*, but what significance does that label carry? In a sample of sufficient resolution, if genes are typically *not* alternatively spliced, does their capability to do so matter? These questions underscore the importance of advances in transcriptome assembly keeping step with advancements in HTS technology and ever-increasing sample resolution.

## 5.2 In the haystack: piRNA Precursors

Chapter 3 describes the annotation of 467 transcripts from 214 loci. These loci account for 95% of the total piRNAs in 14.5 dpp mice. These transcripts possess the archetypical molecular signatures of Pol II origin, including 5' 7meG CAP, introns, and poly(A)+ tails. Yet RNA from these molecules appears to be rapidly consumed and processed into millions of unique small RNA ( 23–35 nt) species.

How does the cell partition mRNAs for translation by the ribosome or maturation into piRNAs?

### 5.2.1 Precursor Identity

Figure 5.1 shows a good example that highlights the issue of cellular identification of precursor transcripts. In testes of mice, the *Wdfy3* gene produces at least two different transcripts from different promoters. Virtually all piRNAs map within the bounds of the shorter isoform (*PI-Wdfy3.1*). The promoter that falls more 3' within the gene is also bound by A-MYB. The more 5' promoter, which presumably drives transcription of the longer isoform (*PI-Wdfy3.2*), is not bound by A-MYB. Also, in *A-Myb* mutants, piRNAs from the shorter locus are drastically reduced as are RNA-Seq reads. RNA-Seq reads aligning to the longer transcript did not decrease.

These results indicate that A-MYB drives transcription of the shorter *pi-Wdfy3.1* isoform, but not longer isoform, annotated elsewhere simply as *Wdfy3*. A general phenomenon of piRNAs mapping to the 3' -UTR of mRNAs has been reported [Robine et al., 2009]. How does a cell discriminate between these two transcripts?

Recently it was demonstrated that virtually all RNAs interact with the ribosome

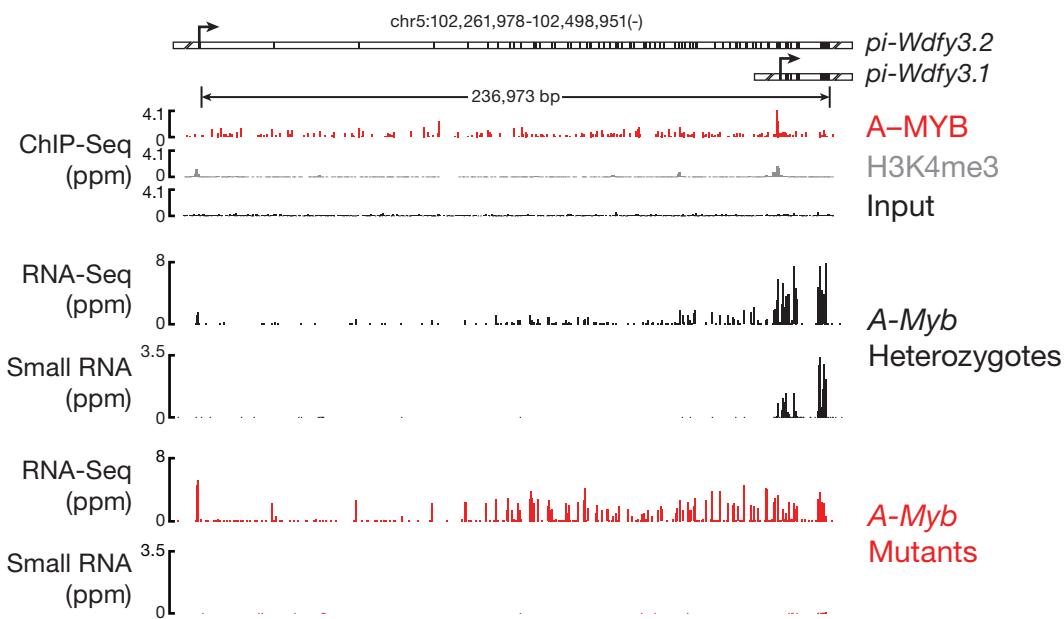


FIGURE 5.1: *wdfy3* locus expresses both mRNA and piRNA precursor form in testes

The mouse genomic locus *wdfy3* expresses both a traditional mRNA form, originating from an upstream TSS, and a piRNA precursor transcript from a downstream TSS. The piRNA precursor form appears to originate from an A-MYB-bound promoter, and is expressed in *A-Myb* heterozygous mice. Also small RNAs (piRNAs) mapping to this locus are only observed in *A-Myb* heterozygous mice, and not in *A-Myb* mutant mice.

[[Ingolia et al., 2011](#)]. This observation was later refined to state that only mRNAs display a strong “Ribosome Release Score (RRS)” indicative of read-frame engagement [[Guttman et al., 2013](#)]. Therefore, it is not surprising that preliminary results support precursors being traversed by ribosomes (Xin Zhiguo Li, unpublished). Additional experiments and bioinformatic analysis may tease out sequence elements that assist in precursor discrimination from mRNAs by the ribosome, similar to the RRS for traditional ncRNAs.

Beyond ribosome profiling, what are other potential experimental approaches that could be used to gain insight into the biology of mammalian piRNA precursors?

### 5.2.2 Precursor Interactions

Intergenic piRNA loci share many features with other Pol II transcript classes (Figure 4.8). Yet, almost half contain no introns. A simple visual inspection using genome browsers and the HTS datasets described in Chapter 3 reveals how unique these loci are from the rest of the transcriptome.

As discussed in sections 1.3.1 and 1.3.3, splice sites and SRE are recognized amidst a sea of extremely similar “cryptic” sequences. The spliceosome machinery is remarkably efficient in its use of correct splice sites. Spliceosomal components assist in choosing from the overwhelming set of sequences. Berg et al. [2012] identified the snRNP U1 as a key suppressor of cryptic polyadenylation site (PAS) use. This suppressor activity is in contrast to its primary role in the definition of 5' splice sites. Perhaps a similar mechanism is acting on cryptic splice sites contained within precursor transcripts. What experiments could be used to identify precursor interacting molecules, protein and RNA both?

Coincident with HTS development, methodology to measure genome-wide interactions have also made considerable advances [König et al., 2011]. Methodologies to capture {Protein::RNA} interactions include “HITS-CLIP” [Licatalosi et al., 2008], “PAR-CLIP” [Hafner et al., 2010], and “iCLIP” [König et al., 2010]. {DNA::RNA} interactions are measurable using “ChIRP” [Chu et al., 2012],

{RNA::RNA} interactions by “RAP” and “CLASH” [[Engreitz et al., 2013](#), [Helwak and Tollervey, 2014](#)]. These approaches could be applied to determining piRNA precursor transcript interacting molecules. However, there are some important caveats that warrant discussion.

Some of the techniques mentioned above that investigate {Nucleic acid::Protein} interactions require a target protein. For example, to investigate PIWI-piRISC interacting sequencing, HITS-CLIP has already been performed on MILI and MIWI in postnatal testes [[Vourekas et al., 2012](#)]. Few additional interacting proteins are known, limiting the number of proteins to investigate. Obvious initial candidates include MitoPLD, Mvh [[Lasko, 2013](#)] (the mouse homologue of Vasa) and numerous Tudor-related proteins [[Chen et al., 2011](#)]. Finally, ChiRP and RAP do not require knowing the target protein if coupled to mass spectrometry.

Genome-wide studies of {Nucleic Acid::Protein} interactions typically require cross-linking [[Chodosh, 2001](#)] using either ultra violet light or reagents such as glutathione. This requirement is why most original reports of these techniques are performed in cell culture (due to the relative ease of exposing the sample to the cross-linking reagent). Currently, the process of piRNA biogenesis has only been reproduced *in vitro* using silk worm cell culture extracts [[Kawaoka et al., 2009, 2011](#)], a system which is likely far from that of pachytene biogenesis and function in mice. Therefore, application of these techniques to mammalian piRNA

pathway study would require testes sectioning prior to cross-linking. [[Vourekas et al., 2012](#)] worked around this requirement by detunicated testes and creating a cell suspension in a petri dish which was then irradiated.

Another potential experimental avenue would be to perform these studies in mature (or *maturing*) sperm. Sperm develops and matures as they move through the seminiferous tubules and into the epididymis, where piRNAs are known to be “sequence-able” in humans [[Jones, 1999](#), [Li et al., 2012b](#)]. However, how much of exciting biology driven by piRNAs has already occurred once sperm have transitioned into the epididymis?

{Nucleic Acid::Nucleic acid} interactions typically require a ligation step, the efficiency of which is typically very low [[Helwak and Tollervey, 2014](#)]. Also, the “ChIRP” protocol is done in crude cell extract, where RNase H is a concern when using DNA probes to pull down and query RNA. Given that precursor transcripts seem to be rapidly processed (section 4.4.4), these methods may require prior enrichment, perhaps using RNACapture [[Mercer et al., 2014](#)]. Given recent developments into the CRISPR/CAS9 system for genome-editing [[Sander and Joung, 2014](#)], the “CLASH” approach to look at precursor transcript {RNA::RNA} interactions is attractive. The requirement for a tagged protein is no longer as large a barrier compared to past forms of mammalian gene editing.

There are many applications to piRNA biogenesis biology for these experimental

techniques as they evolve and become more robust. Increased resolution of time points, proteins, and species examined will help to create a comprehensive purpose for piRNA in the maintenance of mammalian male fertility.

### 5.2.3 Precursor Location

A drawback of all the methods and approaches discussed above is that they do not maintain the anatomical and cellular location of transcripts. Localization of RNA has been important for decades [[Rebagliati et al., 1985](#)], and was recently shown in a large screen in *Drosophila melanogaster* embryos to be the rule rather than the exception [[Lécuyer et al., 2007](#)].

The most important question for mammalian *pachytene* piRNAs is *What are they doing?* We know that they are essential for the health of the species, as discussed in section [3.2](#), and piRNA-pathway mutants are sterile. What could these small RNAs, with complementarity to nothing but themselves, be doing?

The cellular location of precursor piRNA transcript processing is not known. The most accepted hypothesis is that precursor transcripts are processed into mature piRNAs with machinery tethered to chromatoid bodies [[Meikar et al., 2011, 2014](#)] or another structure similar to *Drosophila* nuage. Knowledge of *where* mature piRNAs are generated would provide clues into larger biogenesis mechanistic details.

Identifying the location of mature piRNA processing from precursor transcripts could be achieved through development and application of techniques to visualize precursors in a dense sea of other RNA, including mature piRNAs. Improvements in *in vitro* FISH experiments allow for discrimination of isoforms resulting from alternative splicing [Lee et al., 2014b]. Robust FISH-type experiments could be used to investigate cellular and anatomical locations of precursor transcript processing. The SeqZip methodology could even be used in this regard (see section 5.3.4.2).

Beyond FISH, direct imaging of intact precursor transcripts could be accomplished by engineering  $\lambda_N$ -RFP [Daigle and Ellenberg, 2007] and MS2-GFP sequences into the 5' and 3' ends of piRNA-generating genes and perform live-cell imaging experiments similar to experiments performed in the the Singer lab [Park et al., 2014]. This would be assisted by the previously mentioned CRISPR/CAS9 systems. Mice expressing precursors containing MS2 loops could be crossed with those containing MS2 bacteriophage capsid protein fused to GFP (MCP-GFP). Using this system precursors could potentially be visualized in real time or at least in real locations.

### 5.2.4 Precursor Sequencing

Very recently methods demonstrating sequencing *in situ* have been published [Ke et al., 2013, Lee et al., 2014a]. These methods represent a major improvement over the single-cell sequencing approaches discussed in section 1.2.3. Building upon the principles of FISH, *in situ* sequencing allows for novel sequence discovery, multiplex investigation, and cellular location RNA. Could *in situ* sequencing be used to learn more about piRNA precursor biology?

FISSEQ, reported by Lee et al. [2014a], uses rolling circle amplification to create a 3D grid of highly-concentrated DNA (“nanoballs”), originating from a single RNA/cDNA. SOLiD sequencing is used to determine 27–30 bases from each nanoball. Confocal microscopy is used to assign the sequence to 3D location within the sample. Read lengths for FISSEQ would make it difficult to distinguish mature piRNAs from precursors. An experimental scheme, perhaps exploiting the methylation of mature piRNAs, would be necessary to ensure sequencing of piRNA precursors or intermediates.

Whether by determining the interacting molecules, physical location, or *in situ* sequence, more advanced techniques are required to advance our understanding of this novel and exciting cellular process in a complex organ that perpetuates mammalian species.

## 5.3 Future of RNA-templated DNA-DNA ligation

The SeqZip methodology as developed and described in Chapters 2 and 4 works adequately and robustly for characterization of relatively simple (*CD45*) to extremely complex (*Dscam1*) genes. However, there is substantial room for optimization. The improvements, modifications, and applications discussed below support continued use of SeqZip in RNA research.

### 5.3.1 A SeqZip Experiment to Observe Linked First and Downstream Cassette exons

The past 5 years have revealed convincing evidence for co-transcriptional splicing and cross-talk with chromatin [Brown et al., 2012, Kolasinska-Zwierz et al., 2009, Luco et al., 2011, Schwartz and Ast, 2010]. In light of these observations, a transcriptome-wide SeqZip study looking for coordinated use of first exons and downstream cassette exons is attractive. Instead of focusing on a few genes with prior reports of coordinated first exon use and downstream splicing (as done for work discussed in sections 1.3.4 and 4.2), one could take an agnostic approach and focus on *all* alternative first exon use and potential coordination with downstream cassette exons.

In order to perform this experiment, current RNA-Seq data could be mined for alternative first exon and cassette exon included in transcripts from the same

gene. Identified targets would be limited to those of sufficient variation and expression. Following target identification, an automated the ligamer design process (see Appendix B) could be used to create a database of ligamers. At least three ligamers would be required per event, with very little duplicated use of ligamers. The number of ligamers required would preclude the use of standard synthesis, even in a 384-well plate format. Therefore, ligamers would need to be “printed” on a custom microarray, similar to products offered by [Nimbogen](#). Ligamers would be barcoded and priming sequences included such that short (50nt) paired-end reads could reliably identify the templating first and cassette exons.

Ligamer design would be further optimized to include a barcoding scheme to quantify the number of ligation events (and thereby transcripts) per {alt first exon::cassette exon} pair. Libraries would be amplified using a digital PCR scheme allowing determination of PCR jackpots and enhanced read quantification [[Shiroguchi et al., 2012](#)]. Finally, the data would be aligned against a reference of all {alt first exon::cassette exon} pairs and any potential coordination determined.

This experiment would create a dataset not possible using any other technical approaches available in the near future. Such a dataset would not only make observation of coordinated events possible, but also assist in refinement of

transcript annotation. Indeed, SeqZip is complementary to HTS technology, especially when reads are shorter than originating RNAs.

### 5.3.2 LNA-containing ligamers and T39A Rnl2

The use of an RNA-base on the 5' side of the nick encourages a C3' *endo* sugar pucker for the base, placing the 3' OH in an apical orientation relative to the AMP leaving group (Figure 5.2) [Nandakumar et al., 2006]. With lowered costs of oligo synthesis, incorporation of 2' OMe at the penultimate and ultimate bases of the 5' nick ligamers should greatly increase ligation efficiency, as these are the primary substrate-specificity determinants of Rnl2 due to this conformational constraint [Nandakumar et al., 2004, 2006].

Nandakumar et al. [2004] demonstrated the importance of the ribose at this penultimate position, evidenced by a 50-fold reduction in turnover for substrates containing a 2' H substitutions. Figure 5.2 shows that Threonine 39 (T39) hydrogen bonds with both the 2' OMe and 3' O of the penultimate sugar. A T39A mutation did not phenocopy the 2' H substitution on the penultimate sugar, indicating that the structural constraint of sugar pucker is important for efficient ligation. These results support the use of a T39A Rnl2 mutant for increased RNA-templated DNA-DNA ligation efficiency, as the mutant would have one less molecular requirement for an RNA substrate.

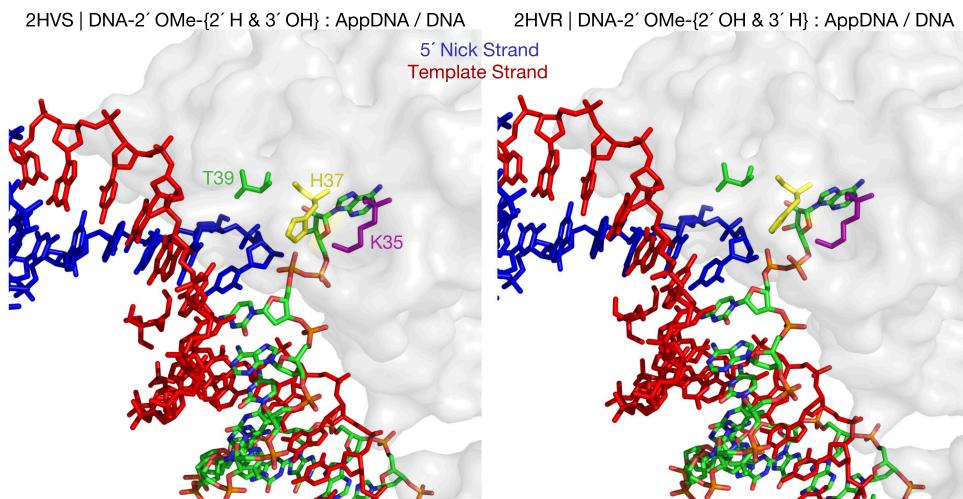


FIGURE 5.2: Sugar pucker in Rnl2 structures

Using two different nucleic acid substrate combinations crystallized with Rnl2, [Nandakumar et al. \[2006\]](#) demonstrates the effect of 3' and 2' identity of the base at the 5' side of the nick: Left) The crystal structure (PDB: 2HVS), containing a 2' position deoxy residue, displays a DNA-like C2' *endo* sugar pucker. In contrast to Right) where the crystal structure (2HVR) contains a 2' hydroxyl and displays an RNA-like C3' *endo* sugar pucker.

Future versions of the SeqZip assay could use a combination of LNA modified bases [[You et al., 2006](#)] at either the penultimate or terminal residues (or both) on the 5' side of the nick in order to increase specificity and efficiency. The combination of modified ligamers and the T39A Rnl2 mutant could enhance the efficiency of RNA-templated DNA-DNA ligation required by SeqZip.

### 5.3.3 Thermostable Ligases

The use of LNA-containing ligamers brings up issues involving off-target hybridization. Directed protein evolution of Rnl2 [[Romero and Arnold, 2009](#), [Stemmer, 1994](#)] could be used to develop a thermostable variant of the enzyme,

similar to variations of DNA ligase that have been used for years [Barany, 1991]. Use of LNAs and elevated ligation temperatures could alleviate off-target hybridization events reducing both nonproductive hybridization and non-templated ligation. This would also allow for use of reduced overall ligamer concentrations, in line with the optimal SeqZip experiment described in section 5.3.1 and ligamer synthesis on microarrays.

### 5.3.4 Other SeqZip Applications

SeqZip can be used in many different forms of RNA sequence characterization. An incomplete illustration of these applications is shown in Figure 5.3. Three novel applications are discussed below:

#### 5.3.4.1 Multi-site SNP detection

The concept of connectivity in sequence can be applied not only to exons, or long stretches of RNA, but even to single-nucleotide polymorphisms (SNPs). SeqZip could be used to profile potential SNPs contained within the same transcript and therefore within the same allele (Figure 5.3). For maximal benefit and specificity, care should be taken as to where the variant ligamers bases are placed respective to the 5' or 3' side of the ligation site. For example, Chauleau

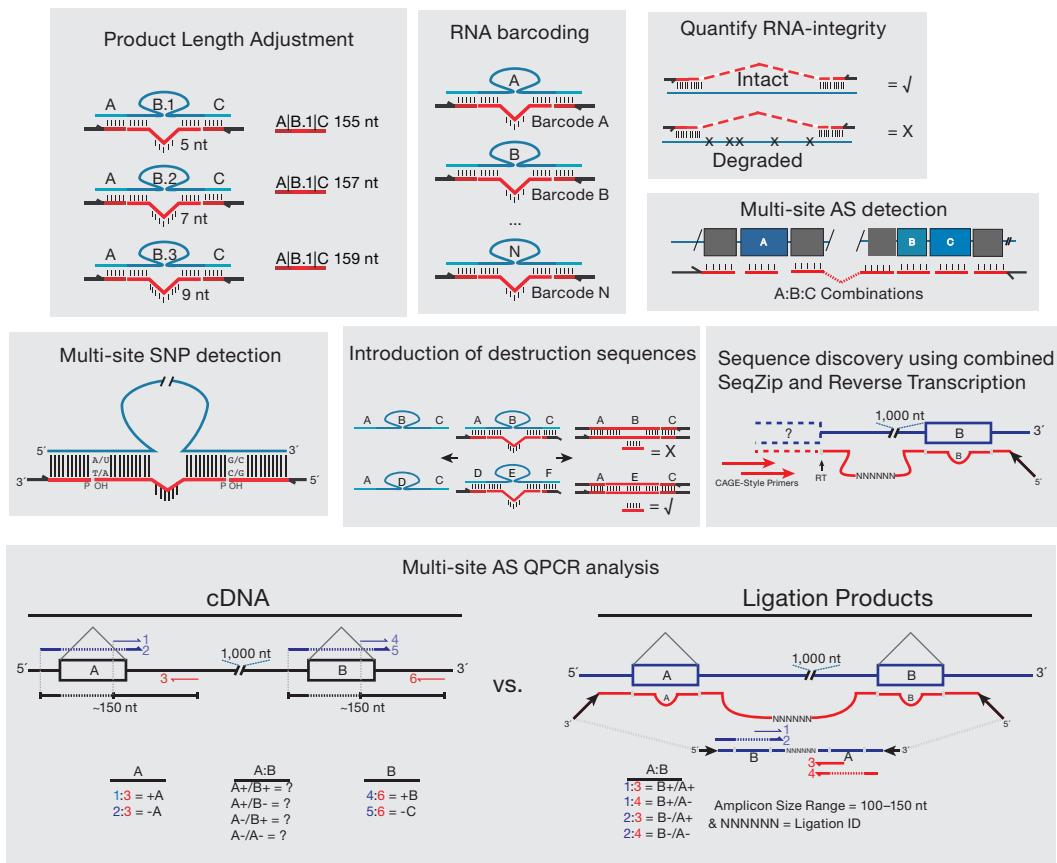


FIGURE 5.3: Proposed uses of the SeqZip methodology

Show are general applications of the SeqZip method to profiling RNA sequences. Top row examples are substantiated by experiments described on Chapters 2 and 4. Middle and bottom rows are hypothetical, but logical, extensions of the method.

and Shuman [2013] have demonstrated the importance of proper base pairing at the 3' OH side of the nick.

### 5.3.4.2 SeqZip and single-molecule multi-site FISH

A logical extension of the multi-site SNP detection application described above is the use of SeqZip in multi-site FISH probes Figure (5.4). Advances in FISH, microscopy, fluorescent moieties, and image processing are making this type of

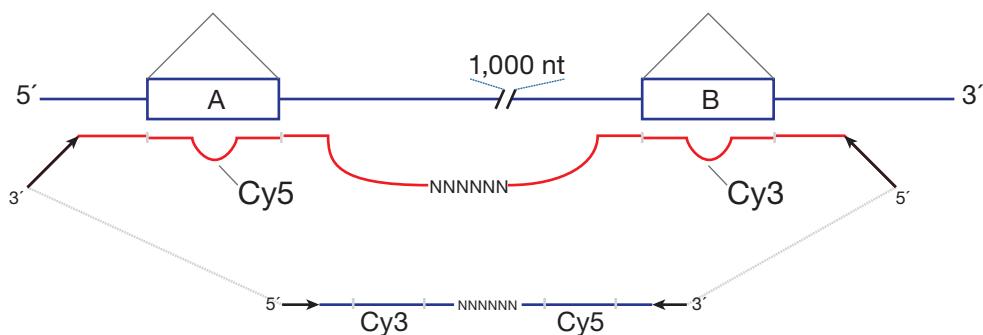


FIGURE 5.4: Multi-Site smFISH using fluorophore-containing ligamers

The simplest form of a multi-site FISH SeqZip experiment. Five ligamers are used, with two hybridizing to the beginning and end of a target RNA sequence (e.g. and exon), and having unique fluorescent labels (Cy3 and Cy5 in this case). The use of the third ligamer, containing an addressable barcode is used to report on these two ligamers hybridizing to the same RNA. Use of flanking ligamers allows for amplification, downstream analysis, and trouble shooting.

experiment more approachable. A multi-site FISH SeqZip experiment could be used to ask some of the questions described in section 5.2.3, including precursor integrity and location, without the need for downstream processing.

#### 5.3.4.3 Introduction of destruction sequences

Introduction of unique sequences into a ligation product is a powerful feature of SeqZip. In addition to the other proposed uses (barcoding, sites of priming, sequence diversity, etc.) introduced sequences could also be used to *eliminate* ligation products. For example to remove unwanted abundant transcripts in a transcriptome-wide SeqZip study. Restriction enzymes or elimination via selective hybridization, similar removal of ribosomal RNA sequences during HTS

library preparation [[Chen and Duan, 2011](#)], are two potential ways to remove ligation products.

#### **5.3.4.4 Re-purposing the SOLiD Platform**

Custom sequences within ligamers could also be used to generalize exon identity. Put another way, ligamers representing the first exon of a message could be given a specific barcode, second exons another barcode, and so on. Then, using a sequencing platform such as SOLiD and custom hybridization/sequencing oligos, fluorescent signal would report not the sequence, but the numeric ID of the exon within the target message. A few rounds of traditional sequencing could identify the mRNA from each spot, and a simplistic schema of exon arrangement could be interpreted from the ligation product. This would require major SeqZip optimization, bioinformatic transformation of a given transcriptome annotation, transcriptome-wide design and synthesis of ligamers, and customization of the SOLiD ligation chemistry. But it would be extremely useful and informative for complete and routine transcriptome quantification.

## **5.4 Final Thoughts**

This thesis has introduced the complexity, purpose, potential, and challenges of transcriptome study. There is no comparison between these issues with that of

the DNA genome. The next period of biomedical knowledge will be heralded by advances in transcriptome analysis. This section discusses how scientists need to grow with technology.

### 5.4.1 Science vs. Engineering

*“There is a general attitude among the scientific community that science is superior to engineering.” — [Macilwain, 2010]*

*“Science is about what is; engineering is about what can be. Engineers are dedicated to solving problems and creating new, useful, and efficient things.” — Neil Armstrong*

A common schism between technically-oriented individuals is whether or not they identify themselves as an engineer or a scientist. The first quote, from an article published in *Nature*, communicates a clear bias in academic circles of the importance of the *why* over the *how*. In essence, how one prioritizes these questions categorizes individuals as scientists (why is important) or engineers (how is more important). The second quote, from the first man to walk on the Moon, Neil Armstrong, highlights what motivates a self described “engineer” and “geek.” How does a graduate system—training PhDs for careers in life science—educate individuals who fall into these two fundamentally different belief systems?

When searching for a lab I told professors that I wanted to work on a technology development project. A typical response was, “That’s not what we do here.”

As someone who is more interested in the “how” over the “why,” this began a brief period when I thought I had made the wrong choice leaving industry and going back to graduate school. What was the basis for this aversion to technology development? The same article in *Nature* states that this feeling toward engineering may be attributed...

*...partly to a “linear” model of innovation, which holds that scientific discovery leads to technology, which in turn leads to human betterment. This model is as firmly entrenched in policy-makers’ minds as it is intellectually discredited. As any engineer will tell you, innovations, such as aviation and the steam engine, commonly precede scientific understanding of how things work.*

In fact, some of the most notable breakthrough scientific discoveries, including many made by Nobel Laureates, demonstrate a clear integration of both the scientific method and practical application. For example, the 2007 award in Physiology and Medicine was given for “discoveries of principles for introducing specific gene modifications in mice by the use of embryonic stem cells.” By combining principle discoveries an indispensable technique in modern genetics was created—gene targeting. The feeling of which is more important, the principle discoveries or the application thereof, is likely what separates a scientist from an engineer.

The importance of technology to the advancement of science in general is not limited to anecdotes resulting in a Nobel prize. A quick scan of the most [highly-cited](#) papers in the *PNAS* reveals that the top 13, indeed *all* 13, describe a novel

methodology or technique. Sequencing of DNA, microarray analysis, tetracycline-inducible promoters, recombinant adenovirus, and site-specific mutagenesis are just a handful of the tools on the list. This effect can also be seen in [computation biology](#), where transformative algorithms, such as BLAT [Altschul et al., 1990] and Bowtie [[Langmead et al., 2009](#)] attain citations well beyond a typical paper in their journal of publication, indeed far more than most primary research articles.

The growth of big datasets is forcing all in biomedical research to think like an Engineer. At least two major concerns require immediate attention: How to store the data and how to analyze it?

### 5.4.2 The Data Deluge

*“The HiSeq X Ten is sold as a set of 10 or more ultra-high throughput sequencing systems, each generating up to 1.8 terabases (Tb) of sequencing data in less than three days or up to 600 gigabases (Gb) per day, per system, providing the throughput to sequence tens of thousands of high-quality, high-coverage genomes per year.”*

—[Illumina Press Release](#)

In a world where the HiSeq X, described above is a reality, biomedical researchers need to change how they approach every aspect of data analysis including storage, processing, and visualization. Evidence is mounting that replicates, not depth, are essential in differential gene expression [[Liu et al., 2014](#)]. Replicates compound problems of keeping similar data and file types separate and tracked.

How do we work with all this data? Systems need to be in place to track the necessary sample meta-data, analysis and modifications performed. Systems should aid in eventual public posting and sharing of HTS data. Laboratory information management systems (LIMs) and Electronic lab notebooks (ELNs) must be implemented in academic labs participating in copious amounts of HTS data generation and analysis.

Once these systems are in place, the ability to navigate, via genome browsers [[Robinson et al., 2011](#), [Zweig et al., 2008](#)], will be of critical importance to allow other members of the lab to reuse valuable datasets. Recent changes to the way aligned genomic data is stored and added to UCSC genome browsers is a good example of needed process improvements [[Raney et al., 2013](#)]. Finally, efforts such as the Galaxy project will define how most academic labs perform future “routine” HTS analysis [[Blankenberg et al., 2010](#)].

### **5.4.3 Biologists need Computation Biological Skills**

Just 10 years ago, graduate students and PhDs in the fields of Molecular Biology or Biochemistry need not venture far from Excel or perhaps a statistical program with an advanced graphical interface (e.g. Prism or Graphpad). Software knowledge that stops at these tools and the rest of the Microsoft Office suite is no longer enough to generate big strides in Biomedical research.

TABLE 5.1: Changing computational tools for Molecular Biologists

What is Used	What Should be Used
Word	Plain Text
Hand-inserted Citations	Citation-management Software (e.g. Papers )
Paper Notebooks	Evernote or Commercial ELNs
Excel for Storage	Relational Databases (i.e. MySQL)
Excel for Analysis	R
Local Code development & backup	Online Code development (GitHub)

Working with tens of even hundreds of lines of data within a spreadsheet is manageable. Computers from 20 years ago had more than enough computing power to process these type of data. Data generated from most cutting edge projects can no longer be analyzed in a spreadsheet program. Many students and post-docs find that they are unable to analyze the data generated from months or years of tireless bench work. Faced with learning what is effectively a collection of new languages and awash in a sea of acronyms (e.g. LINUX, BASH, GNU, PERL, R) they reach out for help from a “bioinformatics person.” Perhaps the relationship and interaction with this personal is productive, leading to a collaboration and exciting new knowledge. Sometimes it isn’t and the bench scientist shifts into one of three modes: wait, find another bioinformatic-minded collaborator; or collect more data.

The “wait” mode is the most damaging, as it delays the progress of one’s work and the advancement of science in general. Personally, I did not want to fall into this mode. Once the multiplex study described in section 4.2 reached a point where I had millions of sequencing reads but I could not find anyone to help me

analyze the data, I decided to educate myself on the basic principles of Linux, the command line, and analysis of HTS data.

A biologically-train individual who posses the knowledge of analysis of HTS datasets is an extremely powerful and empowering situation. This was recently communicated in [Plocik and Graveley \[2013\]](#):

*“Such exercises will empower students to explore and assess the quantitative data published in the manuscripts that they read, which can no longer be assessed at a glance like the qualitative gel-based results on which molecular biology was founded. Ultimately, it will be equally important to know how to write code as it is to pipette.” — [Plocik and Graveley, 2013]*

The fact is that no one will care about a project as much as the student or post-doc who generated the data. Learning and training of computational skills bent on analyzing large datasets (see Table 5.1)should be central to the education in Biomedical sciences in the future.

# Appendix A

## Appendix A: Misc Information

### A.1 Buffers

TABLE A.1: SeqZip Hybridization and Ligation Buffer

Component	Concentration
Tris-HCl	50 mM
MgCl <sub>2</sub>	2 mM
DTT	1 mM
ATP	400 μM
pH	7.5 @ 25 °C

### A.2 Equations

#### A.2.1 RNA concentration from radioactive in vitro transcription

$$\mu\text{M} = \left( \frac{\text{pmol}}{\mu\text{L}} \right) = \left( \frac{\text{cpm after purification} \times \text{dilution factor}}{\text{cpm before purification} \times \text{dilution factor}} \right) \times \left( \frac{\text{mol UTP in original reaction}}{\text{Reaction Volume}} \right) \times \left( \frac{1}{\text{Number UTPs in transcript}} \right) \times 10^{-12}$$

### A.2.2 RNA concentration based on absorbance

$$[\text{RNA in M}] = \left( \frac{\text{A}_{260} \times \text{Dilution Factor}}{10,313 < \text{note 1} > \times \text{nucleotides in message}} \right)$$

note 1: This value represents an average RNA extinction ( $\epsilon$ ) coefficient value

### A.2.3 Normalize oxidized small RNA libraries size to time-matched unoxidized library

NB: this equation assumes calibration against a specific time-point , in this case data obtained from 6 week-old testes.

$$\begin{aligned} \text{unox } \tau \text{ norm}_1 &= \left( \frac{\left( \frac{\sum \text{miRNA reads } \tau}{\sum \text{miRNA reads 6wk}} \right) \times \text{depth 6wk}}{1,000,000} \right) \\ \text{ox } \tau \text{ norm}_1 &= \text{unox } \tau \text{ norm}_1 \times \left( \frac{\sum \text{oxidized shared } \geq 23 \text{ nt reads}}{\sum \text{unoxidized shared } \geq 23 \text{ nt reads}} \right) \end{aligned}$$

## A.3 PCR Programs

### Ligamer Hybridization ROY-H2 | Ligamer Hybridization

Steps 1–9 are 10 minute incubations at the following temperatures:  
69;66;63;58;54;52;50;48;46 °C

Step 10 is a 45 °C incubation for 1 hour

Steps 11–14 are 10 minute incubations at the following templates:  
43;41;39;37 °C  
Final incubation is at 37 °C for  $\infty$

### SeqZip ligation program ROY-37-4 | T4 Rnl2 RNA-template DNA:DNA ligation

1. 37 °C for 18 hours

2.  $10^{\circ}\text{C}$  for  $\infty$

## A.4 Intergenic and genic piRNA-producing Loci

TABLE A.2: Intergenic and genic subclassifications of piRNA-producing loci from 3 and [Li et al., 2013b].

Genic Locus	Intergenic Locus
pi-Zbtb37	1-qC1.3-637
pi-Zbtb37	1-qC1.3-637
pi-Gm5878	1-qD-2017
pi-1700006A11Rik	10-qA3-2592
pi-1700016M24Rik	10-qB4-6488
pi-Abl2	10-qC1-875
pi-Acvr2b	10-qC2-545
pi-Ankrd11	11-qB1.3-590
pi-Arhgap20	11-qE1-3997
pi-Asb1	12-qE-23911
pi-Atxn1l	13-qA3.1-213
pi-BC026590	13-qA3.1-355
pi-Bcl2l13	13-qA5-156
pi-Bend4	13-qA5-208
pi-Cbfa2t2	13-qA5-464
pi-Cbl	13-qA5-703
pi-Cbx5	13-qA5-967
pi-Ccdc117	13-qB1-1517
pi-Ccrn4l	14-qC1-1010
pi-Cdc42ep3	15-qD1-4001
pi-Cmtm4	15-qE1-3710
pi-Cramp1l	17-qA3.3-26735
pi-Crk1	17-qA3.3-352
pi-Ctdsp2	17-qC-935
pi-D10Wsu102e	19-qC2-1361
pi-D730040F13Rik	2-qE1-35981
pi-Dcaf7	2-qE5-4
pi-Ddx19b	2-qF1-2536
pi-Dnmt3a	2-qG3-1029
pi-Eif2c2	3-qA2-617

pi-Eif4ebp2	3-qA3-2052
pi-Elk4	4-qB3-277
pi-Exog	4-qB3-3994
pi-Eya3	4-qB3-639
pi-Fam168b	4-qD3-2082
pi-Fam53b	5-qF-14224
pi-Fbxl18	5-qG2-2301
pi-Fbxo41	5-qG2-950
pi-Foxo3	6-qC3-100
pi-Fth1	6-qC3-2394
pi-Gabpb2	6-qC3-6258
pi-Gan	6-qD1-2831
pi-Gtf3c4	6-qF3-1063
pi-Hic2	6-qF3-3040
pi-Hif1an	6-qF3-8009
pi-Hinfp	7-qB5-6255
pi-Hjurp	7-qD1-16444
pi-Hmbox1	7-qD1-654
pi-Igf2bp1	7-qD2-11976
pi-Igsf9b	7-qD2-24830
pi-II17rd	7-qF3-3125
pi-Ing5	8-qC5-2209
pi-Ip6k1	8-qE1-3748
pi-Ipmk	9-qA1-178
pi-Kcng3	—
pi-Kctd7	—
pi-Klf13	—
pi-Klh11	—
pi-Lpp	—
pi-Lsmd1	—
pi-Luzp1	—
pi-Mafg	—
pi-Map3k9	—
pi-March08	—
pi-Mcart1	—
pi-Mgll	—
pi-Mlc1	—
pi-Mlec	—
pi-Mllt6	—
pi-Mrs2	—
pi-Ndst1	—

pi-Nr2c2	—
pi-Nsd1	—
pi-Phf20	—
pi-Pou6f1	—
pi-Ppm1f	—
pi-Ppp1r12b	—
pi-Ppp1r15b	—
pi-Rab11fip4	—
pi-Rad54l2	—
pi-Rc3h1	—
pi-Rcan3	—
pi-Rnf169	—
pi-Rplp1	—
pi-Shank3	—
pi-Slc43a2	—
pi-Smcr8	—
pi-Snx30	—
pi-Socs7	—
pi-Ssh1	—
pi-Strbp	—
pi-Tacc1	—
pi-Tbl2	—
pi-Tef	—
pi-Tet3	—
pi-Tfcp2l1	—
pi-Tkrl2	—
pi-Tmem194	—
pi-Trim71	—
pi-Uhmk1	—
pi-Uhrf1bp1	—
pi-Wdfy3	—
pi-Wipf2	—
pi-Zbtb49	—
pi-Zdhhc23	—
pi-Zfp280b	—
pi-Zfp346	—
pi-Zfp382	—
pi-Zfp652	—
pi-Zfp866	—
pi-Zmat3	—
pi-Zyg11a	—

pi-Zyg11b | -

## **Appendix B**

# **Appendix B: Automated Ligamer Assembly**

### **B.1 Installation**

Major Steps:

- Create an input csv file with required information
- Run this information sequentially through the scripts
- Use the results to order oligos from IDT

Required Tools:

- Perl
- BioPerl
- Ensembl Perl APIs
- String::Random Perl Package

Items to future improvements

- Use Ensembl Database to initialize queries
- Make the use of BioPerl more flexible
- Make more web-friendly

Helpful hints on installing BioPerl and Emsembl Perl APIs:

---

```
## Install BioPerl, use git
cpan App::cpanminus # First prep cpan
cpanm DBI ## Install necessary DBI perl module
mkdir ~/src; cd ~/src
git clone git://github.com/bioperl/bioperl-live.git
cp ~/.bash_profile ~/.bash_profile.bak
echo -e 'PERL5LIB=$HOME/src/bioperl-live:$PERL5LIB' >>
~/bash_profile
source ~/bash_profile
# Install ensembl perl apis
mkdir ~/src; cd ~/src
wget ftp://ftp.ensembl.org/pub/ensembl-api.tar.gz
tar xvfz ensembl-api.tar.gz
# Add locations to perlfile libs to $PATH
echo -e '
PERL5LIB=${PERL5LIB}:${HOME}/src/ensembl/modules
PERL5LIB=${PERL5LIB}:${HOME}/src/ensembl-compara/modules
PERL5LIB=${PERL5LIB}:${HOME}/src/ensembl-variation/modules
PERL5LIB=${PERL5LIB}:${HOME}/src/ensembl-functgenomics/modules
export PERL5LIB' >> ~/bash_profile
```

---

## B.2 Example Input Format

Here is an example input file to create ligamers investigating the *Gria3* gene in Rats:

```
# Comment lines are ignored
#Gene name
GRIA3
# PCR primers used - Solexa PE adaptor sequences
# Five prime
PCR-Primer-5'-ATCTGAGCGGGCTGGCAAGGC
#Three Prime
PCR-Primer-3'-GCCTCCCTCGCGGCCATCAGA
```

ExonId	LigID	Name	Strand	Code	TargetPrime	bedLoc	SetID	ConstID
<Gria3_201/202-Shared-I14	10	rn4	minus	TC	5	X:127903250-127903350	NNNNNNNN	201_2_intron
<Gria33_201/202-Shared-I14	9	rn4	minus	T	3	X:127903210-127903249	NNNNNNNN	201_2_intron
<Gria33_201/202-E15	8	rn4	minus	TC	5	X:127914822-127915069	NNNNNNNN	201,202
<Gria33_202-I14:15	7	rn4	minus	I	N	X:127912345-127914821	TACACAT	202
<Gria33_202-E14	6	rn4	minus	I	N	X:127912230-127912344	ACCCCAG	202
<Gria33_201-I14:15	5	rn4	minus	I	N	X:127897499-127914821	CGCGCAC	201
<Gria33_201-E14	4	rn4	minus	I	N	X:127897384-127897498	GTCTCAA	201
<Gria33_202-I13:14	3	rn4	minus	I	N	X:127896828-127912229	ACCGATT	202
<Gria33_201-I13:14	2	rn4	minus	I	N	X:127896828-127897383	CGCTATG	201
<Gria33_201/202-E13	1	rn4	minus	T	3	X:127896580-127896827	NNNNNNNN	201,202

### B.3 Ligamer Assembler Source Code

---

```
#! /usr/bin/perl

#Pre requisites
# These are working on 02/19/13
use lib "/home/royc/perl5/lib/perl5/"; # BioPerl location
#use lib "/home/royc/lib/ensembl.perl.zpi/ensembl/modules"; #ensembl packages

=head1 Ligamer Assembler

This script will automatically create ligamers.

=head2 Contact information

Script made by Christian Roy, UMass Medical School
christian.roy@umassmed.edu

=cut

use strict; # To help wtih variable control
use warnings; # To help me catch mistakes

use Bio::EnsEMBL::Registry; # To load remote EnsEMBL Registry
use Bio::EnsEMBL::Slice; # To retreave sequences from EnsEMBL registry
use Bio::DB::Fasta; # BioPerl tool to retreave sequence from local FastA file
use Bio::SeqFeature::Primer; # BioPerl Tool for Tm normalization
use Cwd; # To retreave current working directory information

my $dir = getcwd; # Assign current working directory to scalar
my $timestamp = localtime(); # Grab the time at script start

## Variables
my (
    $file_input, # Name of specified input file
    $output_file, # Name of file to print results too
    $species, # The species to grab from Ensembl
    $strand, # The strand to grab for ligamer sequences
    $working_sequence, # The slice sequence variable
    $line_counter, #Keep track of stepping through input file
    @arguments, # Keep track of input arguments
    $fa_reference, # Fill if using a local FASTA Reference file
    $chr, # Obvious
    $coordinates, # Interim variable for splitting UCSC
    $start, # obvious
    $end, # Obvious
    $gene, # target gene name
    $lig_location, # Ligamer prime variable
    $target_prime, # Broad variable to define ligamer type - see man
```

```
$UCSCcoordinates, # Obvious
$pcrsequence, # fill with appropriate PCR sequence for terminal oligos
$barcode, # Fill will barcode for sequence between regions of comp.
$note_line, # Fill with notes for a ligamer query
$three_prime_PCR_sequence, # Fill with three prime PCR sequence
$five_prime_PCR_sequence, # Fill with five prime PCR sequence
$lig_joiner_code, # Internal varialbe for assembling ligamers see man
$set, # Move set assembly information input to output file
);

#Variables with Defaults
my $verbose=0; # Verbose loading of ensembl databases
my $db_version=62; # Default database version for ensembl database loading
my $temp="58"; # Defaolt temp for Tm normalization
my $salt="0.05"; # Default salt concetration for Tm calculation in M
my $lig_conc="0.00000025"; # Defeult ligamer conc for Tm calc in M
my $man_print=0; # for printing manual information
my $help_print=0; # For printing help informatio to HTML file
my $ligamer_name=0; # Internal variable for sequential numbering of ligamers
my $remote=0; # set to 1 for ensembl database loading
my $control_length=20; # Default length for control variables in nt
my $plname=$0; # assign $plname scalar to script name (for help printing)

#Print Usage information if nothing is entered at commandline
if (@ARGV==0) {system "pod2text $0 | less"; die}

=head2 Usage

-hp = Print HTML POD data for scriptname
-mp = Print and view Manual POD data for scriptname
-i [File] = File Input
-o [File] = File output
-v [#] = Verbose for Ensembl loading
-d [#] = data_base version for Ensembl loading
-t [#] = Temp in degrees celcius
-salt [#] = Salt concentration for Tm in mM
-lig_conc [#] = Ligamer concentration for Tm in nM
-c [#] = Minimum length for Control ligamers (default=20)

=cut
## Finish message if run with no arguments

#Parse the command line
while(@ARGV>0)
{
    @arguments = @ARGV; #Store the command line for printing later
    my $next_arg=shift(@ARGV);
```

```
if ($next_arg eq "-hp") { # Do you want to print HTML POD Data?
    $help_print=1;
}
if ($next_arg eq "-mp") { # Do you want to print a manual?
    $man_print=1;
}
if ($next_arg eq "-i") { # What is the name of the input file?
    $file_input = shift @ARGV;
}
if ($next_arg eq "-f") { #n Name of the fasta file your sequences are in?
    $fa_reference = shift @ARGV ;
}
if ($next_arg eq "-r") { # Do you want to fetch sequences from ensembl?
    $remote = 1
}
if ($next_arg eq "-o") { # Name of output file
    $output_file = shift(@ARGV);
}
if ($next_arg eq "-v") { # Do you want to see the ensembl load data?
    $verbose = shift @ARGV;
}
if ($next_arg eq "-d") { # What version of ensembl do you want to use?#
    $db_version = shift @ARGV;
}
if ($next_arg eq "-t") { # What temperature in degrees C do you want to norm
    ?
    $temp = shift @ARGV;
}
if ($next_arg eq "-salt") { # Salt concentration for Tm calculations?
    $salt = shift @ARGV ;
    $salt = $salt / 1000; # from micro Molar to Molar
}
if ($next_arg eq "-lig_conc") { # Concentration for Tm calculations?
    $lig_conc=shift@ARGV;
    $lig_conc = $lig_conc / 1000000000; # nM to M
}
if ($next_arg eq "-c") { # What length would you like (min) for control ligs?
    $control_length = shift @ARGV ;
    $control_length = $control_length-1
}
} ## Finish Parsing the command line

#####
# POD HELP SUBROUTINE
CALLS#####
my $scriptname=$0;
podhelp( $scriptname, $help_print, $man_print, $dir);
#####
# POD HTML Subroutine
CALLS#####

#####
# open the ensembl registry#####
#####
```

```
my $db;
if ($fa_reference) {
    $db = Bio::DB::Fasta->new($fa_reference);
}

if ($remote==1) {
    $db = ensembl_database($verbose, $db_version)
}
#####
#open the output file
open (OUT, '>'.$output_file) || die "The output file could not be created.\n";
## Print the headers
print OUT
# Start with general assembler information
">Source Program\t".$dir,$0,"\\n".
">Date Run \t$timestamp \\n".
">Arguments entered \t", " @arguments", " \\n".
">Input filename \t$file_input\\n".
">Output filename \t$output_file\\n".
">Control Seq Length \t$control_length plus 1\\n".
">Normalization temperature \t$temp\\n".
#####
# now all on 1 line print the ligamer-specific information
">Gene\t". #1
"Ligamer_Number\t". #2
"Species\t". #3
"Strand\t". #4
"Ligamer Joiner Code\t". #5
"Target Prime\t". #6
"UCSC coordinates\t". #7
"PCR Used\t". #8
"Barcode Used\t". #9
"Total Query span\t". #10
"Five Prime Sequence\t". #11
"5 Prime Length\t". #12
"Five Prime Tm\t".
"3 Prime Sequence\t".
"3 Prime Length\t".
"3 Prime Tm\t".
#"Ligamer Identifier\t".
"Ligamer Sequence\t".
"Ligamer Length\t".
"Notes\t".
"Set\t".
"\n";
#####
## open the input file
open (INPUT, $file_input) || die "The file $file_input couldn't be opened.\n";
#####

#####read and analyze each line of the input file #####
```

```
while (my $line=<INPUT>) { ## starting brace to read through csv

    if ($line=~/^#/){next} #skips comments
    if ($line=~/^>/){print OUT $line; next} #skips and trans.these lines
    if ($line=~/^~~/){$line=~s/~~//;chomp $line; $gene=$line;} # find gene
        identifier
    $gene=~s/[\s]+//g;
    if ($line=~/^@/){chomp $line;$note_line=$line;next} #store notes

    chomp $line;

    if ($line=~/^PCR-Primer-5'-/g) { #Find the 5 adaptor
        $five_prime_PCR_sequence=$line;
        $five_prime_PCR_sequence=~s/PCR-Primer-5'-//;
        $five_prime_PCR_sequence=~s/[\s]+//g;
        print OUT ">5_pcr\t".$five_prime_PCR_sequence."\n";
        next
    }

    if ($line=~/^PCR-Primer-3'-/) {## find the 3 adaptor
        $three_prime_PCR_sequence=$line;
        $three_prime_PCR_sequence=~s/PCR-Primer-3'-//;
        $three_prime_PCR_sequence=~s/[\s]+//g;
        print OUT ">3_pcr\t".$three_prime_PCR_sequence."\n";
        next
    }

    if ($line=~/^</) {#ligamer query lines start with a '<'
        unless ($note_line) {$note_line=" ";}
        my $lig_joiner_code;
        my $slice_sequence;

        $line_counter++;

        (
        $gene,
        $species,
        $strand,
        $lig_location,
        $target_prime,
        $UCSCcoordinates,
        $barcode,
        $set
        ) = parse_the_line($line);

        $lig_location = uc $lig_location;

        # Parse the ligamer query line
        ($chr, $start, $end)= parse_coordinates($UCSCcoordinates);
```

```
my $target_seq_length = ($end-$start);

#####
# Get genomic slice from ensembl registry #####
if ($remote==1) {
    $slice_sequence =
        get_genomic_sequence
    (
        $chr,
        $start,
        $end,
        $species,
        $db,
    )
}
#####

#####
# Get the genomic slice from local Fasta #####
if ($fa_reference) {
    ##$chr="chr".$chr;
    my $obj = $db -> get_Seq_by_id($chr);
    $slice_sequence = $obj -> subseq ($start => $end);
}
#####

#####
# get the correct orientation
my ($working_sequence) =
    revcom_slice_based_on_strand
    (
        $strand,
        $slice_sequence
    );

# Get the T5 end
my ($T5_seq, $T5_tm, $T5_seq_length)=
    obtain_T5_tm_sequence
(
    $working_sequence,
    $temp,
    $lig_location,
    $control_length,
    $salt,$lig_conc
);
# Get the T3 end
my ($T3_seq, $T3_tm, $T3_seq_length) =
    obtain_T3_tm_sequence
(
    $working_sequence,
    $temp,
```

```
$lig_location,
$control_length,
$salt,
$lig_conc
);

#start to build your working HASH
my %common =
(
    working_sequence => $working_sequence,
    temp      => $temp,
    UCSCcoordinates => $UCSCcoordinates,
    UCSC_chr     => $chr,
    UCSC_start   => $start,
    UCSC_end     => $end,
    gene        => $gene,
    ligamer_name  => $ligamer_name,
    species      => $species,
    strand       => $strand,
    target_prime  => $target_prime,
    five_prime_PCR_sequence => $five_prime_PCR_sequence,
    three_prime_PCR_sequence => $three_prime_PCR_sequence,
    barcode      => $barcode,
    target_seq_length => $target_seq_length,
    seed         => $control_length,
    T3_seq       => $T3_seq,
    T3_tm        => $T3_tm,
    T3_seq_length => $T3_seq_length,
    T5_seq       => $T5_seq,
    T5_tm        => $T5_tm,
    T5_seq_length => $T5_seq_length,
    notes        => $note_line,
    set          => $set,
);

if ($lig_location eq "T" && $target_prime eq "5") { #Terminal 5 targeted
    #Advance the ligamer number
    $ligamer_name++;
    $common{ligamer_name} = $ligamer_name;
    # Add to the hash table
    $lig_joinder_code = "T-5";
    $common{$lig_joinder_code} = $lig_joinder_code;
    my %lig_results = Terminal_5(%common);
    my %final = ligamer_piece_joinder(%lig_results);
    my %bed_output = %final;
    output (%final);
};

if ($lig_location eq "TC" && $target_prime eq "5") {# Grab the internal
$ligamer_name++;
```

```
$common{ligamer_name} = $ligamer_name;
$lig_joiner_code = "T-C-5-I";
$common {lig_joiner_code} = $lig_joiner_code;
my %lig_results = Terminal_5(%common);

my %final_internal =
    ligamer_piece_joiner
    (%lig_results);

my $working_sequence = $lig_results{working_sequence};
my $T5_seq_length = $lig_results{T5_seq_length};

# Now grab the sequence inside of the control
$working_sequence = $common{working_sequence};
my $T5_ctrl_length = $common{T5_seq_length};
$common{T5_ctrl_length} = $T5_ctrl_length;
$working_sequence = substr ($working_sequence,$T5_ctrl_length);
$lig_location = "IC";
($T5_seq, $T5_tm, $T5_seq_length) =
    obtain_T5_tm_sequence
(
$working_sequence,
$temp,
$lig_location,
$salt,
$lig_conc
);

$common{working_sequence} = $working_sequence;
$common{T5_seq} = $T5_seq;
$common{T5_tm} = $T5_tm;
$common{T5_seq_length} = $T5_seq_length;
$ligamer_name++;
$common{ligamer_name}= $ligamer_name;
$lig_joiner_code="T-C-5-T";
$common {lig_joiner_code}= $lig_joiner_code;
%lig_results = Terminal_5 (%common);
my %final = ligamer_piece_joiner(%lig_results);
output (%final_internal);
output (%final);
}

if ($lig_location eq "T" && $target_prime eq "3") {
    $ligamer_name++;
    $common{ligamer_name} = $ligamer_name;
    $lig_joiner_code = "T-3";
    $common {lig_joiner_code} = $lig_joiner_code;
    my %lig_results = Terminal_3 (%common);
    my %final = ligamer_piece_joiner (%lig_results);
    my %bed_output = %final;
```

```
    output (%final);
};

if ($lig_location eq "TC" && $target_prime eq "3") {
    #Grab the control
    $ligamer_name++;
    $common{ligamer_name} = $ligamer_name;
    $lig_joinder_code = "T-C-3-I";
    $common {lig_joinder_code} = $lig_joinder_code;
    my %lig_results = Terminal_3 (%common);
    my %final_internal = ligamer_piece_joinder (%lig_results);
    # Grab the sequence internal of the control
    $working_sequence = $common{working_sequence};
    my $T3_ctrl_length = $common{T3_seq_length};
    $common{T3_ctrl_length} = $T3_ctrl_length;
    $T3_seq_length = $common{T3_seq_length};
    $working_sequence = substr ($working_sequence,0, $T3_ctrl_length);
    $lig_location = "IC";
    ($T3_seq, $T3_tm, $T3_seq_length) =
    obtain_T3_tm_sequence
    (
        $working_sequence,
        $temp,
        $lig_location
    );

    $common{working_sequence} = $working_sequence;
    $common{T3_seq} = $T3_seq;
    $common{T3_tm} = $T3_tm;
    $common{T3_seq_length} = $T3_seq_length;
    $common{bed_start} = $start;
    $common{bed_end} = $end;
    $ligamer_name++;
    $common{ligamer_name} = $ligamer_name;
    $lig_joinder_code = "T-C-3-T";
    $common {lig_joinder_code} = $lig_joinder_code;
    %lig_results = Terminal_3 (%common);
    my %final = ligamer_piece_joinder (%lig_results);
    output (%final);
    output (%final_internal);
};

if ($lig_location eq "I" && $target_seq_length>60) {
    $ligamer_name++;
    $common{ligamer_name} = $ligamer_name;

    if ($lig_location eq "I" && $target_prime eq "C") {
        $lig_joinder_code = "I-L-C";
        $common {lig_joinder_code} = $lig_joinder_code;
        my %lig_results = (%common);
```

```
my %final = ligamer_piece_joiner(%lig_results);
$final{pcrsequence} = "";
my %bed_output = %final;
output (%final);
}

if ($lig_location eq "I" && $target_prime eq "N") {
$lig_joiner_code = "I-L";
$common {lig_joiner_code} = $lig_joiner_code;
my %lig_results = (%common);
my %final = ligamer_piece_joiner(%lig_results);
$final{pcrsequence} = "";
my %bed_output = %final;
output (%final);
#my %bed_final = prep_bed (%bed_output);
}
}

if ($lig_location eq "I" && $target_seq_length<=60) {
$ligamer_name++;
$common{ligamer_name} = $ligamer_name;
$lig_joiner_code = "I-S";
$common {lig_joiner_code} = $lig_joiner_code;
my %lig_results = obtain_short_interal_tm (%common);
my %final = ligamer_piece_joiner (%lig_results);
$final{pcrsequence} = "";
my %bed_output = %final;
output (%final);
#my %bed_final = prep_bed (%bed_output);
};

}## matching brace for ligamer data lines

else {next};
}## Matching brace for csv file input test
##### END LIGAMERS ASSEMBLY PORTION

close OUT;
close INPUT;
print "Program Finished.\n";
exit;

##### END MAJOR WORK OF PROGRAM !
#####
#### Begin Subroutine section of program.
sub Terminal_5 {
my %results = (@_);
my $T3_seq = "";
my $T3_tm = "";
```

```
my $T3_seq_length = "";

$results {T3_seq} = $T3_seq;
$results {T3_tm} = $T3_tm;
$results {T3_seq_length} = $T3_seq_length;

return %results;
}

#####
#######
##### sub Terminal_3 {
my %results = (@_);

my $T5_seq="";
my $T5_tm="";
my $T5_seq_length="";

$results {T5_seq} = $T5_seq;
$results {T5_tm} = $T5_tm;
$results {T5_seq_length} = $T5_seq_length;

return %results;
}
#####

#####
##### BEGIN Subroutine for short slices
#####
sub obtain_short_interal_tm {

my %results = (@_);
my $working_sequence = $results{working_sequence};

my $working_sequence_tm_obj=
Bio::SeqFeature::Primer -> new(-seq=>$working_sequence);
my $T5_tm = $working_sequence_tm_obj->
Tm
(
-salt => $salt,
-oligo => $lig_conc
);

$T5_tm=substr($T5_tm,0,5);
my $T5_seq = $working_sequence;

$results{working_sequence} = $working_sequence;
$results{T5_seq} = $T5_seq;
$results{T5_tm} = $T5_tm;
```

```
return (%results);
}

#####
##### sub output { my %results=(@_);

print OUT $results{gene },"\t"; # 0
print OUT $results{ligamer_name },"\t"; # 1
print OUT $results{species },"\t"; # 2
print OUT $results{strand },"\t"; # 3
print OUT $results{lig_joiner_code },"\t"; # 4
print OUT $results{target_prime },"\t"; # 5
print OUT $results{UCSCcoordinates },"\t"; # 6
print OUT $results{pcrsequence },"\t"; # 7
print OUT $results{barcode },"\t"; # 8
print OUT $results{target_seq_length },"\t"; # 9
print OUT $results{T5_seq },"\t"; # 10
print OUT $results{T5_seq_length },"\t"; # 11
print OUT $results{T5_tm },"\t"; # 12
print OUT $results{T3_seq },"\t"; # 13
print OUT $results{T3_seq_length },"\t"; # 14
print OUT $results{T3_tm },"\t"; # 15
print OUT $results{ligamer },"\t"; # 16
print OUT $results{warning }; #
print OUT $results{ligamer_length },"\t"; # 17
print OUT $results{notes },"\t"; # 18
print OUT $results{set },"\t"; # 19

#Commented on 022013
#if ( defined $results{T5_ctrl_length} ) {
# print OUT $results{ T5_ctrl_length },"\t";
# }

#if ( defined $results{T3_ctrl_length} ) {
# print OUT $results{ T3_ctrl_length },"\t";
# }

print OUT "\n";

}
#####

#####BEGIN Subroutine to parse csv file into variables
#####
sub parse_the_line {

my $line = shift(@_);
```

```
my (
    $gene,
    $ligamer_name,
    $species,
    $strand,
    $lig_location,
    $target_prime,
    $UCSCcoordinates,
    $barcode,
    $set
)
= split /\t/ , $line ;

$gene=~s/^\s+//;
print "Gene - $gene\n";
print "Ligamer name - $ligamer_name\n";
print "species - $species\n";
print "strand - $strand\n";
print "lig_location - $lig_location\n";
print "target_prime - $target_prime\n";
print "UCSC - $UCSCcoordinates\n";
print "barcode - [$barcode]\n";
print "Set - [$set]\n";

if ($barcode =~ / /){$barcode=~s/ / /} ## GO HERE!

$gene=~s/<//;

return
(
    $gene,
    $species,
    $strand,
    $lig_location,
    $target_prime,
    $UCSCcoordinates,
    $barcode,
    $set
);

#####
#####END Subroutine to parse csv file into variables #####
#####

#####
#####BEGIN Subroutine to parse genomic coordinates into variables #####
sub parse_coordinates {

my $input=shift(@_);

my ($chr,$coordinates) =split /\:/,$input;
```

```
my ($start,$end) =split /\-/, $coordinates;
#$chr=~s/chr//; # I have comment out this to behave with local fasta files!

$start=~s/\//,/g;
$end=~s/\//,/g;

return ($chr, $start, $end);

}

#####END Subroutine to parse genomic coordinates into variables
#####

#####Subroutine to make revcom depending on strand
annotation#####
sub revcom_slice_based_on_strand {

my ($strand, $slice_sequence) = @_;

#if the strand is positive - make the reverse compliment
$strand=lc($strand);

if ($strand eq 'plus') {
    $working_sequence = reverse($slice_sequence);
    $working_sequence =~ tr/ACGTacgt/TGCATgca/;
}
# if the strand is minus - do nothing
if ($strand eq 'minus') {
    $working_sequence = $slice_sequence;
}

return $working_sequence
}

##### END SUBROUTINE revcom_slice_based_on_strand #####
#####

##### BEGIN Subroutine to obtain only 5' end of working sequence##
sub obtain_T5_tm_sequence {

my $working_sequence = shift (@_);
my $temp = shift (@_);
my $lig_location = shift (@_);
my $control_length=shift (@_);
my $salt=shift (@_);
my $lig_conc=shift (@_);
my $working_sequence_length = length ($working_sequence);
my $T5_seq_length;

if ($lig_location eq "TC") {$T5_seq_length=$control_length};

}
```

```
if ($lig_location eq "T") {$T5_seq_length=19};
if ($lig_location eq "I") {$T5_seq_length=19};

my $T5_tm=0;
my $T5_seq;
my $T5_seq_out;

while($T5_tm < $temp)
{
    $T5_seq_length++;
    print ".";
    $T5_seq=substr $working_sequence,0, $T5_seq_length;
    my $T5_seq_primer=
        Bio::SeqFeature::Primer ->
            new
            (
                -seq=>$T5_seq
            );
    $T5_tm = $T5_seq_primer ->
        Tm
        (
            -salt=>$salt,
            -oligo=>$lig_conc
        );
    $T5_tm=substr($T5_tm,0,5);
    if ($T5_seq_length eq $working_sequence_length) {last};
    if ($T5_tm>=$temp)
    {
        $T5_seq_out = $T5_seq;
        print "\n";
        last
    }

    if ($T5_seq_length eq 33)
    {
        $T5_seq_out=$T5_seq;
        print "\n";
        print STDERR "Warning: ".
        "Assembly at line $. T5 side cut".
        " off due to low Tm \n";
        last
    }
    elsif ($T5_tm<=$temp){next}
}

return ($T5_seq_out, $T5_tm, $T5_seq_length);
}

#####
##### BEGIN Subroutine to obtained only 3' end of working sequence##
sub obtain_T3_tm_sequence {
```

```
my $working_sequence = shift (@_);
my $temp = shift (@_);
my $lig_location = shift (@_);
my $control_length=shift (@_);
my $salt=shift (@_);
my $lig_conc=shift (@_);
my $working_sequence_length = length ($working_sequence);
my $T3_seq_length;

if ($lig_location eq "TC") {$T3_seq_length=(-$control_length)};
if ($lig_location eq "T") {$T3_seq_length=(-19)};
if ($lig_location eq "I") {$T3_seq_length=(-19)};

my $T3_tm=0;
my $T3_seq;
my $T3_seq_out;

while ($T3_tm < $temp )
{
    print ".";
    $T3_seq_length--;
    $T3_seq=
    substr $working_sequence, $T3_seq_length;
    my $T3_seq_primer=
    Bio::SeqFeature::Primer ->
        new
        (
        -seq=>$T3_seq
        );
    $T3_tm = $T3_seq_primer ->
        Tm
        (
        -salt=>$salt,
        -oligo=>$lig_conc
        );
    $T3_tm=substr($T3_tm,0,5);
    if ($T3_seq_length eq (-$working_sequence_length)) {last};
    if ($T3_seq_length<(-80)){die}
    if ($T3_tm>=$temp)
    {
        $T3_seq_out=$T3_seq;
        print "\n";
        last
    }

    if ($T3_seq_length eq (-33))
    {
        $T3_seq_out=$T3_seq;
        print "\n";
        print STDERR "Warning: ".
    }
}
```

```
"Assembly at line $. T3 side cut".
" off due to low Tm \n";
last
}
if ($T3_tm<$temp){next}
}
return ($T3_seq_out, $T3_tm, $T3_seq_length);
}

#####
# END Subroutine to obtained only 3' end of working sequence#####

#####
# BEGIN Subroutine to joined pieces of ligamer #####
sub ligamer_piece_joinder{

my %results = @_;

my $lig_joinder_code = $results{lig_joinder_code};
my $T5_seq = $results{T5_seq};
my $barcode = $results{barcode};
my $T3_seq = $results{T3_seq};
my $pcrsequence;
my $short_sequence=$T5_seq;
my $ligamer;
my $ligamer_length;
my $warning="";
my $Phos_mod_code="\5Phos\/";

if ($lig_joinder_code eq "T-5")
{
$pcrsequence=$results{three_prime_PCR_sequence};
$ligamer = join ("",$Phos_mod_code, $T5_seq, $barcode,$pcrsequence);
$ligamer_length = length $ligamer;
$ligamer_length = $ligamer_length-7;
}

if ($lig_joinder_code eq "T-C-5-I")
{
$pcrsequence=$results{three_prime_PCR_sequence};
$ligamer = join ("",$Phos_mod_code,$short_sequence);
$ligamer_length = length $ligamer;
$ligamer_length = $ligamer_length-7;
}

if ($lig_joinder_code eq "T-C-5-T")
{
$pcrsequence=$results{three_prime_PCR_sequence};
$ligamer = join ("",$Phos_mod_code, $T5_seq, $barcode, $pcrsequence);
$ligamer_length = length $ligamer;
$ligamer_length = $ligamer_length-7;
}
```

```
if ($lig_joiner_code eq "T-3")
{
$pcrsequence=$results{five_prime_PCR_sequence};
$ligamer = join ("",$pcrsequence,$barcode,$T3_seq);
$ligamer_length = length $ligamer;
}

if ($lig_joiner_code eq "T-C-3-I")
{
$pcrsequence=$results{five_prime_PCR_sequence};
$ligamer = join ("",$Phos_mod_code,$T3_seq);
$ligamer_length = length $ligamer;
$ligamer_length = $ligamer_length-7;
}

if ($lig_joiner_code eq "T-C-3-T")
{
$pcrsequence=$results{five_prime_PCR_sequence};
$ligamer = join ("",$pcrsequence,$barcode,$T3_seq);
$ligamer_length = length $ligamer;
}

if ($lig_joiner_code eq "I-S")
{
$ligamer = join ("",$Phos_mod_code,$short_sequence);
$ligamer_length = length $ligamer;
$ligamer_length = $ligamer_length-7;
}

if ($lig_joiner_code eq "I-L")
{
$ligamer = join ("",$Phos_mod_code,$T5_seq,$barcode,$T3_seq);
$ligamer_length = length $ligamer;
$ligamer_length = $ligamer_length-7;
}

if ($lig_joiner_code eq "I-L-C")
{
$ligamer = join ("",$Phos_mod_code,$T5_seq,$barcode,$T3_seq);
$ligamer_length = length $ligamer;
$ligamer_length = $ligamer_length-7;
}

if ($ligamer_length > 60)
{
print STDERR
"Warning! The ligamer from input file data line $".
" has a length greater than 60!\n";
};
```

```
$results{pcrsequence} = $pcrsequence;
$results{ligamer} = $ligamer;
$results{ligamer_length} = $ligamer_length;
$results{warning} = $warning;

return %results;

}

#####
## Load the latest Ensembl Registry
sub ensembl_database{

my $verbose=shift @_;
my $db_version=shift @_;

my $registry = 'Bio::EnsEMBL::Registry';
print "Beginning to login to Ensembl database version $db_version.\n";
$registry->load_registry_from_db
(
    -host => 'ensembl.org',
    -user => 'anonymous',
    -db_version => $db_version,
    -verbose => $verbose,
);

print "Done loading ensembl database.\n";
return $registry;
}

#####

##### BEGIN Subroutine to obtained get genomic sequence slice
#####
sub get_genomic_sequence {

my ($chr, $start, $end, $species, $db ) = @_;

my $slice_adaptor = $db->get_adaptor( $species, 'Core', 'Slice');

$chr=~s/^chr//;

my $slice = $slice_adaptor->
fetch_by_region
(
    'chromosome',
    $chr,
    $start,
```

```
$end,  
);  
  
my $slice_sequence = ($slice->seq);  
  
return $slice_sequence;  
}  
##### END Subroutine to obtained get genomic sequence slice #####  
##### BEGIN Subroutine to PROVIDE POD HELP DATA #####  
sub podhelp {  
  
my $scriptname= shift@_;  
my $help_print= shift@_;  
my $man_print= shift@_;  
my $perlname=$scriptname;  
my $htmlname=$scriptname;  
my $manname=$scriptname;  
  
if ($help_print eq 1)  
{  
$htmlname =~ s/\.pl/\.html/;  
system "pod2html $perlname --title=$perlname --outfile=$htmlname";  
print "\n\t$htmlname printed in cwd.\n\n";  
exit  
}  
  
if ($man_print eq 1)  
{  
$manname =~ s/\.pl/\.man/;  
system "pod2man $perlname $manname";  
print "\n\t$manname printed in $dir.\n\n";  
system "man -l $manname|less";  
exit  
}  
}  
##### END Subroutine to PROVIDE POD HELP DATA #####

---


```

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