

# Inductive Data Types

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Program Design and Data Structures



# Labs

## Fall labs:

- 11 graded labs in Fall. Of these, you must pass **at least 8** in order to complete the Fall lab part of the course. At most two of the 8 labs can be made up in Spring.

## Spring labs:

- 8 graded labs in Spring. Of these, you must pass **at least 5** in order to complete the Spring lab part of the course.
- If you pass more than 5 labs in Spring, up to two supernumerary passed labs will be transferred to your Fall lab component to compensate for missed labs there.

# Today and Next Lecture

- Recap: Haskell's Type System
- New Names for Old Types
- New Types
  - Enumeration Types
  - Tagged Unions
  - Polymorphic Data Types
  - Inductive Data Types
    - Trees

# Recap: Haskell's Type System

# Types and Type Annotations

Every Haskell expression has a **type**.

```
> :t length "hello"  
length "hello" :: Int
```

**Type annotations** can be used to indicate the type of expressions.

```
> (length :: String -> Int) ("hello" :: String) :: Int  
5
```

However, since GHC automatically infers the type of expressions, type annotations are usually (except in special situations) redundant.

# Strongly Typed, Static Type-Checking

Haskell is a **strongly typed** language.

```
> 1 + "1"
```

```
<interactive>:2:3:
```

```
  No instance for (Num [Char]) arising from a use of `+'  
  ...
```

Type checking is **static**, i.e., performed at compile time.

```
> let f x = x + length x
```

```
<interactive>:2:22:
```

```
  Couldn't match expected type `[a0]' with actual type `Int'  
  ...
```

# Basic Types and Type Constructors

Types are built starting from **basic types** and using **type constructors**.

Basic Types:

- `Int`, `Integer`, `Char`, `Bool`, `Double`, `()`, ...

Type constructors:

- `->`/2 e.g., `String -> Int`
- `(,)`/2 e.g., `(Char, Bool)`
- `[]`/1 e.g., `[Double]`
- ...

# New Names for Old Types



# Type Synonyms

The keyword `type` gives a new name to an existing type.

The simplest form is

```
type Identifier = TypeExpression
```

For instance,

```
type Real = Double
```

```
type String = [Char]
```

Note that type names must begin with an uppercase character.

## Type Synonyms (cont.)

The new name becomes **synonymous** with the type expression. Both can be used interchangeably.

For instance,

```
> "hello" :: [Char]
"hello"
```

```
> "hello" :: String
"hello"
```

```
> ("hello" :: [Char]) == ("hello" :: String)
True
```

# Parametric Type Synonyms

Types can be **polymorphic**, i.e., contain type variables. Therefore, type synonym declarations can take type variables as parameters.

The general form of a type synonym declaration is

```
type Identifier tyvar_1 ... tyvar_k = TypeExpression
```

For instance,

```
type Predicate a = a -> Bool
```

```
type AssocList a b = [(a,b)]
```

## Parametric Type Synonyms (cont.)

All type variables that appear in the type expression (i.e., on the right) must be mentioned as a parameter (i.e., on the left).

```
> type Predicate = a -> Bool
```

```
<interactive>:2:18: Not in scope: type variable `a'
```

Type variables may be instantiated with types (as usual).

```
> (even :: Predicate Int) 0
True
```

# New Types

# New Types: Motivation

**Types prevent programming errors** by ensuring that operations can only be applied to appropriate data.

```
> 1 + "1"
```

```
<interactive>:2:3:
```

```
  No instance for (Num [Char]) arising from a use of `+'
```

Here, GHC detects an error because 1 and "1" have different types. (In particular, "1" is not a number.)

## New Types: Motivation (cont.)

Suppose we want to represent cardinal directions (North, South, East, West) in our program. If we declare

```
> type Direction = Int  
> let north = 1; south = 2; east = 3; west = 4
```

then there is no difference between the type of directions and the type of integers:

```
> 1 + north -- adding directions to integers!?  
2
```

# Enumeration Types

The keyword `data` declares a **new** type.

Here, we declare an **enumeration type**, i.e., a type that has a finite number of values  $C_1, \dots, C_n$ :

```
data Identifier = C1 | ... | Cn
```

For instance,

```
data Direction = North | South | East | West
```

Now, `North` is a value of type `Direction`:

```
> :t North
North :: Direction
```



# Enumeration Types: Example

The type `Bool` has a finite number of values. It could (in principle) be declared as an enumeration type as follows:

```
data Bool = True | False
```

Other types that could (in principle) be declared as enumeration types are `()`, `Char`, even `Int`.

# Enumeration Types: Exercise

If we compare two integers  $a$  and  $b$ , then either (i)  $a < b$ , (ii)  $a = b$ , or (iii)  $a > b$ .

- 1 Declare an enumeration type `Ordering` that has three values: `LT`, `EQ`, `GT`.
- 2 Write a function `compare :: Int -> Int -> Ordering` such that `compare a b` returns `LT` if  $a < b$ , `EQ` if  $a == b$ , and `GT` if  $a > b$ .

# Enumeration Types: Exercise (cont.)

Solution:

```
data Ordering = LT | EQ | GT

{- compare a b
   PRE: true POST: ... EXAMPLES: ...
-}

compare :: Int -> Int -> Ordering
compare a b
  | a < b      = LT
  | a == b     = EQ
  | otherwise = GT
```

`Ordering` and `compare` are already declared in the Haskell Prelude.

# Constructors

In a datatype declaration

```
data Identifier = C1 | ... | Cn
```

$C_1, \dots, C_n$  are called **(value) constructors**.

Note that value constructors must begin with an uppercase character.

# Constructor Patterns

Constructors can be used in patterns. A constructor matches only itself.

For instance,

```
{- opposite d
  PRE: true
  POST: the direction opposite d
  EXAMPLE: opposite North = South
-}
```

```
opposite :: Direction -> Direction
opposite North = South
opposite South = North
opposite East = West
opposite West = East
```

## Constructor Patterns (cont.)

Constructors can be used in patterns. A constructor matches only itself.

For instance,

```
{- signum n
   PRE: true
   POST: -1 if n<0, 0 if n=0, 1 if n>0
   EXAMPLE: signum 42 = 1
-}
signum :: Int -> Int
signum n =
  case compare n 0 of
    LT -> -1
    EQ -> 0
    GT -> 1
```

# Beyond Enumeration Types

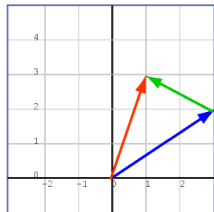
# Beyond Enumeration Types: Motivation

Suppose you want to implement a calculator for rational numbers. You could model fractions as pairs of integers, (`Integer`, `Integer`).

$$\text{qadd } (a,b) \ (c,d) = (a*d + b*c, b*d)$$

But pairs of integers could also denote vectors in  $\mathbb{Z}^2$ .

$$\text{vadd } (a,b) \ (c,d) = (a+c, b+d)$$



We would like rational numbers to have a separate type (so that GHC can detect a type error when we accidentally try to, e.g., add a rational number to a vector).



# Constructors with Arguments

Constructors in a datatype declaration may take arguments. The type of each argument is specified after the constructor.

```
data Identifier = C1 Type_1_1 Type_1_2 ...  
                | ...  
                | Cn Type_n_1 Type_n_2 ...
```

For instance,

```
data MyRational = Rat Int Int
```

```
Rat 2 3
```

# Constructors with Arguments: Pattern Matching

Constructors that take arguments can be used in patterns (together with a pattern for each argument).

For instance,

```
{- qadd x y
  PRE: x and y are rational numbers (with denominator <> 0)
  POST: the sum of x and y
  EXAMPLE: qadd (Rat 1 2) (Rat 1 3) = Rat 5 6
-}

qadd :: MyRational -> MyRational -> MyRational
qadd (Rat a b) (Rat c d) =
  Rat (a*d + b*c) (b*d)
```

## Example: Geometric Shapes

A type that models circles (of a given radius), squares (of a given side length) and triangles (of three given side lengths):

```
data Shape = Circle Double
           | Square  Double
           | Triangle Double Double Double
```

Constructing values of type Shape:

```
Circle 1.0
```

```
Square (1.0 + 1.0)
```

```
Triangle 3.0 4.0 5.0
```

## Example: Geometric Shapes (cont.)

A function that computes the area of a geometric shape:

```
{- area s
  PRE: true
  POST: the area of s
  EXAMPLE: area (Circle 1.0) = 3.141592654
-}
area :: Shape -> Double
```

```
area (Circle r) = pi * r * r
area (Square l) = l * l
area (Triangle a b c) =
  let s = (a + b + c) / 2.0
  in -- Heron's formula
    sqrt (s * (s-a) * (s-b) * (s-c))
```

# The Type of Constructors

A constructor that takes no arguments has the declared type.

```
> :t North  
North :: Direction
```

A constructor that takes arguments has a function type.

```
> :t Circle  
Circle :: Double -> Shape
```

```
> :t Square  
Square :: Double -> Shape
```

```
> :t Triangle  
Triangle :: Double -> Double -> Double -> Shape
```

However, constructors (unlike functions) may be used in patterns!

# Tagged Unions/Sum Types

Types declared via

```
data Identifier = C1 Type1 | ... | Cn TypeN
```

are also known as **tagged unions** or **sum types**.

We can think of values of this type as either being a value of type 1 or ... or a value of type  $N$ , that is *tagged* with a constructor that indicates which type the value has.



## Example: Computation with Integers and Reals

Handling numbers, whether they are integers or reals. (This is not really practical, but still an interesting example of a tagged union.)

```
data Number = NumberInt Integer | NumberDouble Double
```

```
{- toReal x
```

```
  PRE: true
```

```
  POST: the real number that corresponds to x
```

```
  EXAMPLE: toReal (NumberInt 1) = NumberDouble 1.0
```

```
-}
```

```
toReal :: Number -> Number
```

```
toReal (NumberInt i) = NumberDouble (fromInteger i)
```

```
toReal (NumberDouble d) = NumberDouble d
```

## Example: Computation with Integers and Reals (cont.)

```
{- addNumbers x y
   PRE: true
   POST: x+y (the result is a real number if x or y are
           real numbers)
   EXAMPLE: addNumbers (NumberDouble 3.1) (NumberInt 1) =
             NumberDouble 4.1
-}

addNumbers :: Number -> Number -> Number
addNumbers (NumberInt a) (NumberInt b) = NumberInt (a+b)
addNumbers x y =
  let
    NumberDouble rx = toReal x
    NumberDouble ry = toReal y
  in
    NumberDouble (rx+ry)
```



# Polymorphic Data Types

The argument types of constructors can be **polymorphic**, i.e., contain type variables. Therefore, datatype declarations can take type variables as parameters.

The general form of a datatype declaration is

```
data Identifier tyvar_1 ... tyvar_k = C1 Type_1_1 Type_1_2 ...  
                                   | ...  
                                   | Cn Type_n_1 Type_n_2 ...
```

## Example: Maybe a

For instance,

```
data Maybe a = Just a | Nothing
```

```
> :t Nothing
```

```
Nothing :: Maybe a
```

```
> :t Just
```

```
Just :: a -> Maybe a
```

```
> :t Just 'a'
```

```
Just 'a' :: Maybe Char
```

```
> :t Just True
```

```
Just True :: Maybe Bool
```

```
> :t Just []
```

```
Just [] :: Maybe [a]
```

# The Maybe Type

We can think of values of type `Maybe a` as representing either a single value or zero values of type `a`.

Type `Maybe a` is useful to model partial functions (i.e., functions that normally return a value of type `a`, but may not do so for some argument values).

For instance, not every key is present in an association list:

```
> lookup 1 [(0,"x"),(1,"y")]  
Just "y"
```

```
> lookup 2 [(0,"x"),(1,"y")]  
Nothing
```

`Maybe a` is more explicit than exceptions. (It requires callers to deal with the `Nothing` case explicitly.) This may or may not be desirable.

`Maybe a` and related functions are declared in the Haskell Prelude.

## Polymorphic Data Types (cont.)

All type variables that appear in an argument type (i.e., on the right) must be mentioned as a parameter (i.e., on the left).

```
> data Maybe = Just a | Nothing
```

```
<interactive>:2:19: Not in scope: type variable `a'
```

Type variables may be instantiated with types (as usual).

```
> Nothing :: Maybe Int
Nothing
```

# Inductive Data Types

# Inductive Data Types

The argument types of constructors may refer to (instances of) the data type that is being declared.

That is, in a datatype declaration

```
data Identifier tyvar_1 ... tyvar_k = C1 Type_1_1 Type_1_2 ...  
                                   | ...  
                                   | Cn Type_n_1 Type_n_2 ...
```

the type expressions  $\text{Type}_{i_j}$  may contain Identifier (applied to  $k$  type parameters).

## Example: `[a]`

The type `[a]` of lists (with elements from `a`) is an inductive type.

① Base case:

`[] :: [a]`

② Inductive step:

If `x :: a` and `xs :: [a]`, then `x:xs :: [a]`.

Type `[a]` could (in principle) be declared as follows:

```
data [] a = []  
         | a : [a]
```

## Example: Peano's Natural Numbers

Giuseppe Peano (1889) gave an axiomatization of natural numbers  $\mathbb{N}$ , based on a successor function  $S$ .

① Base case:

$$0 \in \mathbb{N}.$$

② Inductive step:

$$\text{If } x \in \mathbb{N} \text{ then } S(x) \in \mathbb{N}.$$

For instance, the natural number 3 is written as  $S(S(S(0)))$ .

Peano's numbers as an inductive data type in Haskell:



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Peano's numbers as an inductive data type in Haskell:

```
data Nat = Zero | S Nat
```

## Example: Peano's Natural Numbers (cont.)

```
data Nat = Zero | S Nat
```

```
{- addNat x y
```

```
  PRE: true
```

```
  POST: x+y
```

```
  EXAMPLE: addNat (S Zero, S Zero) = S (S Zero)
```

```
-}
```

```
addNat :: Nat -> Nat -> Nat
```

## Example: Peano's Natural Numbers (cont.)

```
data Nat = Zero | S Nat
```

```
{- addNat x y
```

```
  PRE: true
```

```
  POST: x+y
```

```
  EXAMPLE: addNat (S Zero, S Zero) = S (S Zero)
```

```
-}
```

```
addNat :: Nat -> Nat -> Nat
```

```
-- VARIANT: size of x
```

```
addNat Zero y = y
```

```
addNat (S x) y = S (addNat x y)
```

## Example: Arithmetic Expressions

For instance,  $1 + 2$ ,  $3 \cdot 4 + 5$ ,  $\dots$

Let us define arithmetic expressions (that involve addition and multiplication over integers) inductively:

- ① Base case:
  - Each integer is an arithmetic expression (AExp).
- ② Inductive step:
  - If  $e_1$  and  $e_2$  are AExps, then  $e_1 + e_2$  is an AExp.
  - If  $e_1$  and  $e_2$  are AExps, then  $e_1 \cdot e_2$  is an AExp.

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  - If  $e_1$  and  $e_2$  are AExps, then  $e_1 + e_2$  is an AExp.
  - If  $e_1$  and  $e_2$  are AExps, then  $e_1 \cdot e_2$  is an AExp.

```
data AExp = Atom Int
          | Plus AExp AExp
          | Times AExp AExp
```

## Example: Evaluation of Arithmetic Expressions

```
data AExp = Atom Int
          | Plus AExp AExp
          | Times AExp AExp

{- eval e
   PRE: true
   POST: the value of e
   EXAMPLE: eval (Plus (Atom 1) (Atom 2)) = 3
-}
eval :: AExp -> Int
```

## Example: Evaluation of Arithmetic Expressions

```
data AExp = Atom Int
          | Plus AExp AExp
          | Times AExp AExp

{- eval e
   PRE: true
   POST: the value of e
   EXAMPLE: eval (Plus (Atom 1) (Atom 2)) = 3
-}
eval :: AExp -> Int

-- VARIANT: size of e
eval (Atom i) = i
eval (Plus x y) = eval x + eval y
eval (Times x y) = eval x * eval y
```

# Functions for Data Types

In Haskell, the declaration of types is separate from the declaration of functions for those types.

For instance, so far we cannot compare two AExps with equality:

```
> Atom 1 == Atom 2
```

```
<interactive>:....:8:
```

```
No instance for (Eq AExp) arising from a use of `=='  
...
```

Haskell doesn't even know how to print an AExp:

```
> Atom 1
```

```
<interactive>:....:1:
```

```
No instance for (Show AExp) arising from a use of `print'  
...
```



# Data Types: deriving

You can tell GHC to print your own data type “in the standard way” by adding a

```
deriving (Show)
```

clause immediately after the datatype declaration. For instance,

```
data AExp = Atom Int
          | Plus AExp AExp
          | Times AExp AExp deriving (Show)
```

Now,

```
> Atom 1
Atom 1
```

We'll talk more about `deriving` later, in the context of type classes.

# Trees



# Trees: Motivation

So far, the only *container* type that we have seen are lists. Why should one (sometimes) use trees?

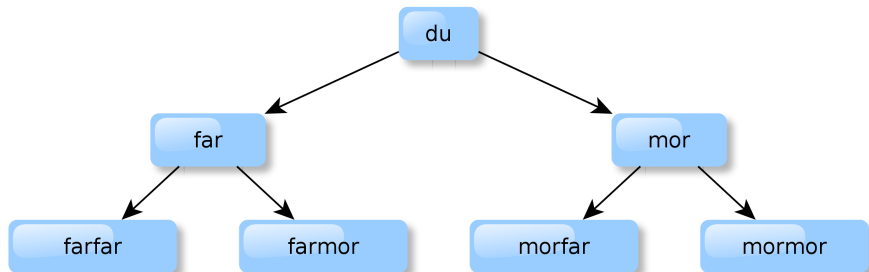
## **Hierarchical data:**

The internal structure of a list is linear (i.e., there is a first, second, ... element). Trees allow to model hierarchical data.

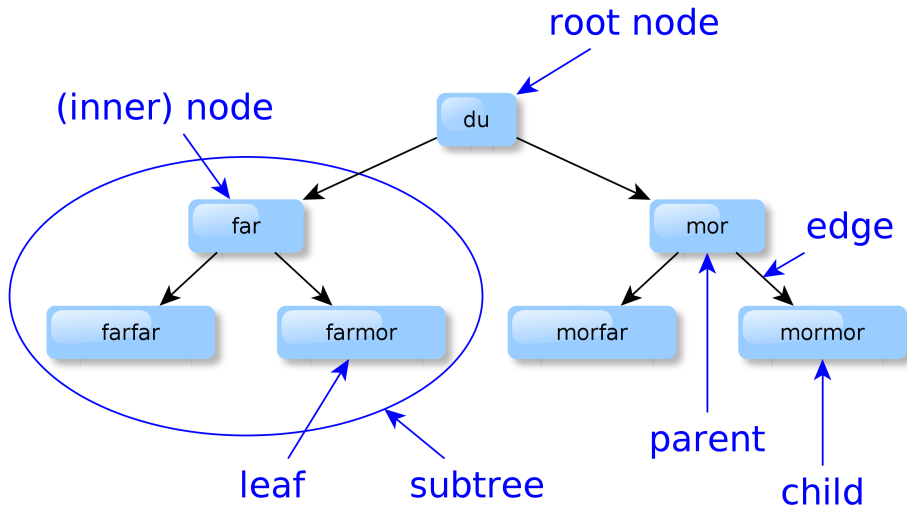
## **Complexity:**

Algorithms that use trees may have different complexity than algorithms that use lists.

## Example: Family Tree



# Trees: Terminology



# Full Binary Trees

A tree where each inner node has exactly two children is called a **full binary** tree. Full binary trees (with values of type  $a$ ) can be defined inductively:

- 1 Base case:  
Each value of type  $a$  is a full binary tree, namely a leaf.
- 2 Inductive step:  
If  $x$  is of type  $a$  and  $t_1$  and  $t_2$  are full binary trees, then  
Node  $t_1 \times t_2$  is a full binary tree.

In Haskell:

# Full Binary Trees

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① Base case:

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② Inductive step:

If  $x$  is of type  $a$  and  $t_1$  and  $t_2$  are full binary trees, then  
Node  $t_1 \times t_2$  is a full binary tree.

In Haskell:

```
data FBTree a = Leaf a
              | Node (FBTree a) a (FBTree a)
```

# Full Binary Trees: Examples

```
data FBTre a = Leaf a
             | Node (FBTre a) a (FBTre a)
```

Some full binary trees in Haskell:

```
> Leaf "farfar"
```

```
> Node (Leaf "farfar") "far" (Leaf "farmor")
```



# Pattern Matching: Example

Functions over trees—like functions over inductive data types in general—are usually defined using pattern matching and recursion.

For instance,

```
{- rootValue t
   PRE: true
   POST: the value at t's root node
   EXAMPLE: rootValue (Leaf "foo") = "foo"
-}
rootValue :: FBTre a -> a
```

# Pattern Matching: Example

Functions over trees—like functions over inductive data types in general—are usually defined using pattern matching and recursion.

For instance,

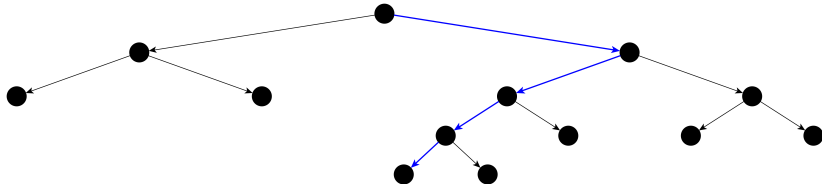
```
{- rootValue t
   PRE: true
   POST: the value at t's root node
   EXAMPLE: rootValue (Leaf "foo") = "foo"
-}
rootValue :: FBTre a -> a

rootValue (Leaf x) = x
rootValue (Node _ x _) = x
```

# Tree Height

The **height** of a tree is the length of the *longest* path from the root to a leaf.

For instance, the following tree has height 4:



# Tree Height in Haskell

```
{- height t
  PRE: true
  POST: the height of t
  EXAMPLE: height (Leaf "foo") = 0
-}
height :: FBTREE a -> Int
```

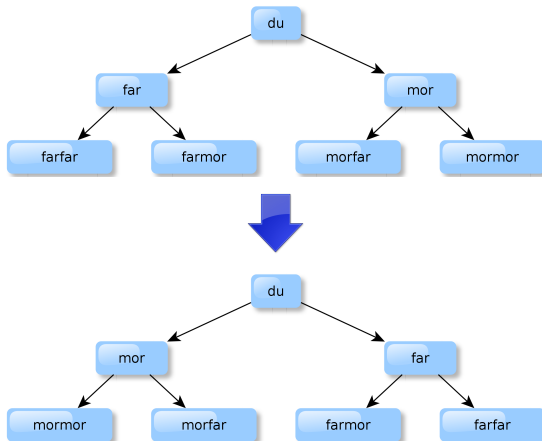
# Tree Height in Haskell

```
{- height t
   PRE: true
   POST: the height of t
   EXAMPLE: height (Leaf "foo") = 0
-}
height :: FBTREE a -> Int

-- VARIANT: size of t
height (Leaf _) = 0
height (Node l _ r) = 1 + max (height l) (height r)
```

# Mirror Image

Let's write a function that “mirrors” a full binary tree by (recursively) exchanging left and right subtrees. For instance,



# Mirror Image in Haskell

```
{- mirror t
   PRE: true
   POST: the mirror image of t
   EXAMPLE: mirror (Node (Leaf 1) 2 (Leaf 3)) =
              Node (Leaf 3) 2 (Leaf 1)
-}
mirror :: FBTree a -> FBTree a
```

# Mirror Image in Haskell

```
{- mirror t
   PRE: true
   POST: the mirror image of t
   EXAMPLE: mirror (Node (Leaf 1) 2 (Leaf 3)) =
              Node (Leaf 3) 2 (Leaf 1)
-}

mirror :: FBTREE a -> FBTREE a

-- VARIANT: size of t
mirror (Leaf x) = Leaf x
mirror (Node l x r) = Node (mirror r) x (mirror l)
```



# Pre-, In-, Post-Order Tree Traversal

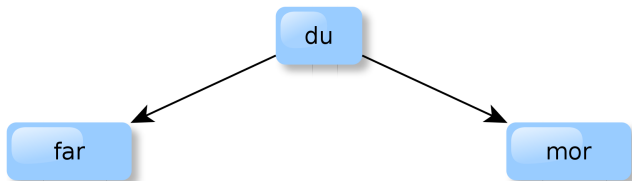
A **traversal** of a data structure is a way of processing each element in the data structure exactly once.

For binary trees, we distinguish:

- **Pre-order traversal:** process the root value, then traverse the left subtree, then traverse the right subtree
- **In-order traversal:** traverse the left subtree, then process the root value, then traverse the right subtree
- **Post-order traversal:** traverse the left subtree, then traverse the right subtree, then process the root value

# Pre-, In-, Post-Order Tree Traversal: Example

Suppose we want to list the elements of a FBTTree. For instance,



- Pre-order traversal: “du”, “far”, “mor”
- In-order traversal: “far”, “du”, “mor”
- Post-order traversal: “far”, “mor”, “du”

# In-Order Traversal in Haskell

```
{- listInOrder t
   PRE: true
   POST: the list of elements in t, in order
   EXAMPLE: listInOrder (Node (Leaf 'a') 'b' (Leaf 'c')) =
               "abc"
-}
listInOrder :: FBTTree a -> [a]
```

# In-Order Traversal in Haskell

```
{- listInOrder t
   PRE: true
   POST: the list of elements in t, in order
   EXAMPLE: listInOrder (Node (Leaf 'a') 'b' (Leaf 'c')) =
              "abc"
-}

listInOrder :: FBTree a -> [a]

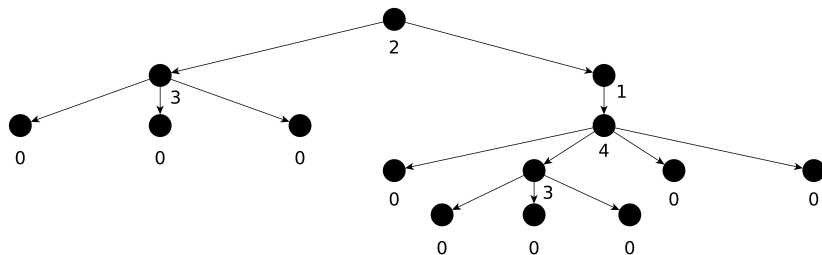
-- VARIANT: size of t
listInOrder (Leaf x) = [x]
listInOrder (Node l x r) = listInOrder l ++ x : listInOrder r
```

# Out-Degree

The **out-degree** of a node is the number of children that the node has.

Thus, in a full binary tree, all nodes have out-degree 0 (leafs) or 2 (inner nodes). However, in general, nodes in a tree may have varying out-degrees.

For instance,



# General Trees in Haskell

We already know a container type that can hold a variable (arbitrary but finite) number of elements: lists. Let's use lists to define general trees:

```
data Tree a = Node a [Tree a]
```

Note that no separate constructor for leafs is required. Leafs simply have an empty list of subtrees.

For instance,

```
> Node 1 []
```

```
> Node 1 [Node 2 [], Node 3 [], Node 4 []]
```

# Recursion over General Trees: Examples

```
{- treeSum t
  PRE: true
  POST: the sum of elements in t
  EXAMPLE: treeSum (Node 1 [Node 2 []]) = 3
-}
treeSum :: Tree Int -> Int
```

# Recursion over General Trees: Examples

```
{- treeSum t
   PRE: true
   POST: the sum of elements in t
   EXAMPLE: treeSum (Node 1 [Node 2 []]) = 3
-}

treeSum :: Tree Int -> Int
treeSum (Node x ts) = x + treeListSum ts

{- treeListSum ts
   PRE: true
   POST: the sum of elements of trees in ts
   EXAMPLE: treeListSum [Node 1 [], Node 2 []] = 3
-}

treeListSum :: [Tree Int] -> Int
treeListSum [] = 0
treeListSum (t:ts) = treeSum t + treeListSum ts
```



# Lists Are (a Special Case of) Trees



A list is (essentially) a tree where each inner node has out-degree 1.