

# Home Assignment 2

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# 1 Growth of Functions

$$F(n) := \begin{cases} 0 & \text{if } n = 0 \\ 1 & \text{if } n = 1 \\ F(n-1) + F(n-2) & \text{if } n > 1 \end{cases}$$

## 1.1

Prove that  $F(n) = O(2^n)$ .

We need to prove that  $F(n) \leq c_2 2^n$  where  $c_2 > 0$  for every value  $n > n_0$ . We'll begin by assigning the values  $n_0$  and  $c_2$  so that we have a case where we know the condition to be true. For this we'll use  $n_0 = 0$  and  $c_2 = 1$ . Next, we'll prove the inequality using complete induction.

First off we show that the inequality is valid for the base case  $n = 0$ :

$$P(0) : \quad F(0) = 0 \leq 1 = 1 * 2^0$$

Assume that the following applies for all values of  $n$  where  $0 \leq n \leq m$ :

$$P(m) : \quad F(n) \leq 2^n$$

We'll now show that the inequality also applies for  $m + 1$ :

$$\begin{aligned} P(m+1) : \quad F(m+1) &= F(m) + F(m-1) \\ &\leq 2^m + 2^{m-1}, \text{ from our assumption} \\ &= 2^m + \frac{2^m}{2} \\ &= 2^m * (1 + \frac{1}{2}) \\ &< 2^m * 2 \\ &= 2^{m+1} \end{aligned}$$

As the base case applies and  $P(m) \implies P(m+1)$ , we prove that the inequality applies for all  $n \in \mathbb{N}$ ,  $n \geq 0$  according to the principle of induction.

□

## 1.2

Prove that  $F(n) = \Omega(2^{\frac{n}{2}})$ .

We need to prove that  $c_1 2^{\frac{n}{2}} \leq F(n)$  where  $c_1 > 0$  for every value  $n > n_0$ . We'll begin by assigning the values  $n_0$  and  $c_1$  so that we have a case where we know the condition to be true. For this we'll use  $n_0 = 2$  and  $c_1 = \frac{1}{2}$ . Next, we'll prove the inequality using complete induction.

First off we show that the inequality is valid for the base case  $n = 2$ :

$$\begin{aligned} P(2) : \\ \frac{1}{2} 2^{\frac{2}{2}} &= \frac{1}{2} 2 = 1 \leq 1 = 1 + 0 = F(1) + F(0) = F(2) \end{aligned}$$

Assume that the following applies for all values of  $n$  where  $2 \leq n \leq m$ :

$$\begin{aligned} P(m) : \\ \frac{1}{2} 2^{\frac{n}{2}} &\leq F(n) \end{aligned}$$

We'll now show that the inequality also applies for  $m + 1$ :

$$\begin{aligned} P(m+1) : \\ \frac{1}{2} 2^{\frac{m+1}{2}} &= \frac{1}{2} 2^{\frac{m}{2}} (\sqrt{2}) \\ &< \frac{1}{2} 2^{\frac{m}{2}} \left(1 + \frac{1}{\sqrt{2}}\right) \\ &= \frac{1}{2} 2^{\frac{m}{2}} + \frac{1}{2} 2^{\frac{m-1}{2}} \\ &\leq F(m) + F(m-1), \text{ from our assumption} \\ &= F(m+1) \end{aligned}$$

As the base case applies and  $P(m) \implies P(m+1)$ , we prove that the inequality applies for all  $n \in \mathbb{N}$ ,  $n \geq 2$  according to the principle of induction.

□

## 2 From Code to Recurrences

### 2.1

```
append [] ys      = ys
append (x:xs) ys = x :  append xs ys
```

If the function is given an empty list for **xs**, it will simply return **ys**, and will only take the time for the function to return a list. If **xs** has elements in it, it will add the element as the head to a list returned by a recursive call to the function, which is given a list with one less element. Therefore the run-time cost can be described as:

$$T_{append}(n) = \begin{cases} t_0 & \text{if } n = 0 \\ T_{append}(n-1) + t_{add} & \text{if } n > 0 \end{cases}$$

### 2.2

```
fib 0 = 0
fib 1 = 1
fib n = fib (n-1) + fib (n-2)
```

When **n** is either 0 or 1 the function will simply take the time for returning a value. If **n** > 0 the function will make two recursive calls to itself, one where **n** is decreased by 1 and one where it is decreased by 2. This will also add the time it takes to add the returned values of the recursive calls, which makes the run-time cost of the function:

$$T_{fib}(n) = \begin{cases} t_0 & \text{if } n = 0 \\ T_{fib}(n-1) + T_{fib}(n-2) + t_{sum} & \text{if } n > 0 \end{cases}$$

### 2.3

```
power b 0 = 1
power b n | even n = power b (n `div` 2) * power b (n `div` 2)
power b n | odd  n = b * power b (n `div` 2) * power b (n `div` 2)
```

When **n** = 0 the function simply takes the time to return a value. If it's larger, it checks if **n** is even or odd, which adds time, and depending on the result also calls the multiplication function. As all three of the functions **even**, **odd** and **(\*)** have a constant time complexity, they can be described as  $\Theta(1)$  in the run-time cost. The function also makes two recursive calls to itself, with the value **n** divided by 2. The run-time cost is as follows:

$$T_{power}(n) = \begin{cases} t_0 & \text{if } n = 0 \\ \Theta(1) + 2T_{power}(n/2) & \text{if } n > 0 \end{cases}$$

### 3 Solving Recurrences

#### 3.1

$$f(n) := \begin{cases} 1 & \text{if } n = 0 \\ 3 & \text{if } n = 1 \\ 2f(n-1) + 3f(n-2) & \text{if } n > 1 \end{cases}$$

We'll attempt to find a pattern in the recurrence using the expansion method.

$$\begin{aligned} f(0) &= 1 \\ f(1) &= 3 \\ f(2) &= 2f(1) + 3f(0) = 6 + 3 = 9 \\ f(3) &= 2f(2) + 3f(1) = 18 + 9 = 27 \\ f(4) &= 2f(3) + 3f(2) = 54 + 27 = 81 \end{aligned}$$

We see that the following pattern emerges from our analysis:

$$f(n) = 3^n, \quad n \in \mathbb{N}$$

#### 3.2

$$g(n) := \begin{cases} 0 & \text{if } n = 0 \\ g(n-1) + 2n - 1 & \text{if } n > 0 \end{cases}$$

We look at the function using the substitution method.

$$\begin{aligned} g(n) &= g(n-1) + 2n - 1 \\ &= (g(n-2) + 2(n-1) - 1) + 2n - 1 \\ &= g(n-2) + 4n - 4 \\ &= (g(n-3) + 2(n-2) - 1) + 4n - 4 \\ &= g(n-3) + 6n - 9 \\ &= (g(n-4) + 2(n-3) - 1) + 6n - 9 \\ &= g(n-4) + 8n - 16 \\ &= g(n-k) + 2kn - k^2 \\ &\vdots \\ &= g(n-n) + 2n^2 - n^2 \quad \text{when } k = n \\ &= 2n^2 - n^2 \\ &= n^2 \end{aligned}$$

As can clearly be seen from the substitution, the function can be rewritten to a closed form as:

$$g(n) = n^2, \quad n \in \mathbb{N}$$

## 4 The Master Theorem

$$T(n) := 3 * T(n/2) + n^2 * \log(\log n)$$

We will check if the function behaves according to any of the master theorem cases, starting with the first one.

### 4.1 Case 1

For the first case we need to check if  $f(n) = O(n^c)$ , where  $\log_b a < c$ , in which  $f(n) = n^2 \log(\log n)$ ,  $b = 2$  and  $a = 3$  based on the given function. As  $2^2 = 4$ , we can safely set  $c = 2$  as it is definitely greater than  $\log_2 3$ . As  $\log(\log n)$  will eventually reach a value greater than 1 and then increase from there, we can determine that  $f(n) \neq O(n^c)$  and move on to the second case.

### 4.2 Case 2

For the second case we check to see if  $f(n) = \Theta(n^c \log^k n)$ , where  $c = \log_b a$  and  $k \geq 0$ . We can set the value  $k = 2$  and immediately tell that  $n^2 \log(\log n)$  will be growing at a greater rate than  $n^{\log_2 3} \log^2 n$ , which makes the second case invalid.

### 4.3 Case 3

For the third case we look if  $f(n) = \Omega(n^c)$ , where  $c > \log_b a$ . We'll set the value  $c$  to any arbitrary number  $\log_2 3 < c < 2$  and see that  $n^c$  will in fact grow at a slower rate than  $n^2 \log(\log n)$ , fulfilling the case.

### 4.4 Regularity condition

We also need to make sure that the regularity condition holds for the master theorem to be applicable. The regularity condition states that  $af(\frac{n}{b}) \leq kf(n)$  for some constant  $k < 1$  and sufficiently large  $n$ , so we insert in our function to see if it works.

$$\begin{aligned} af\left(\frac{n}{b}\right) &\leq kf(n) \\ 3f\left(\frac{n}{2}\right) &\leq kf(n) \\ 3\left(\frac{n}{2}\right)^2 \log\left(\log \frac{n}{2}\right) &\leq kn^2 \log(\log n) \\ \frac{3}{4}n^2 \log\left(\log \frac{n}{2}\right) &\leq kn^2 \log(\log n) \end{aligned}$$

We know that  $\log(\log \frac{n}{2}) < \log(\log n)$  and can thereby safely say that the inequality applies for any  $\frac{3}{4} \leq k < 1$ , which proves that the regularity condition holds. According to the master theorem, we can now determine that  $T(n) = \Theta(n^2 * \log(\log n))$ .

## 5 Example: Quicksort

### 5.1

When the function is called with the empty list `xs`, the run-time will be the one it takes to simply return a tuple of two empty lists. If the list isn't empty, it makes a single recursive call to itself with a list one element shorter and adds the time it takes to add an element at the head of a list. The run-time cost can thus be described with the following recurrence:

$$T_{\text{partition}}(n) := \begin{cases} t_0 & \text{if } n = 0 \\ T(n-1) + t_{\text{add}} & \text{if } n > 0 \end{cases}$$

### 5.2

We'll use the expansion method to find a pattern for  $T_p(n)$  and determine a closed function.

$$\begin{aligned} T_p(0) &= t_0 \\ T_p(1) &= T_p(0) + t_{\text{add}} = t_0 + t_{\text{add}} \\ T_p(2) &= T_p(1) + t_{\text{add}} = t_0 + 2t_{\text{add}} \\ T_p(3) &= T_p(2) + t_{\text{add}} = t_0 + 3t_{\text{add}} \\ &\vdots \\ T_p(n) &= t_0 + nt_{\text{add}} \end{aligned}$$

### 5.3

(a) `lows == highs`

If the list `xs` given to the function is empty, it will take the time it takes to return an empty list. Otherwise, the function will call the partition function with a list 1 element shorter than `xs`. It will also make two recursive calls to itself, each time with a list half the length of `xs` minus one element. There will also be an added cost of using `(:)` and `(++)`, which can be described as  $t_{\text{add}}$  and  $\Theta(n)$  respectively. The recurrence is as follows:

$$T_q(n) = \begin{cases} t_0 & \text{if } n = 0 \\ T_p(n-1) + 2T_q(\frac{n-1}{2}) + \Theta(n) + t_{\text{add}} & \text{if } n > 0 \end{cases}$$

(b) `length highs == 0`

In this case the function will make one recursive call to itself with a list with the length of `xs` minus one (`lows`) and one with the length 0 (`lows`), the latter simply adding  $t_0$  from the base case. The recurrence for the run-time cost is thereby:

$$T_q(n) = \begin{cases} t_0 & \text{if } n = 0 \\ T_p(n-1) + T_q(n-1) + \Theta(n) + t_{\text{add}} + t_0 & \text{if } n > 0 \end{cases}$$